

Neural Network Models for Object Recognition

Postgraduate Diploma in Artificial Intelligence Machine Learning module

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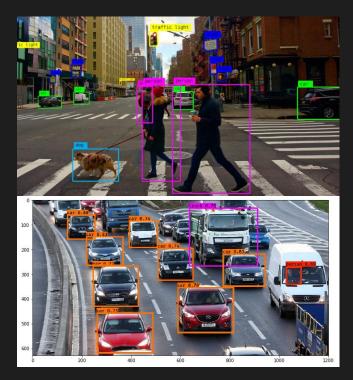
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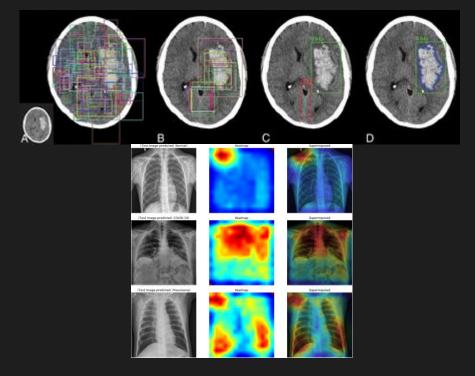
- O Results
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Introduction – neural networks for object recognition



Autonomous vehicles

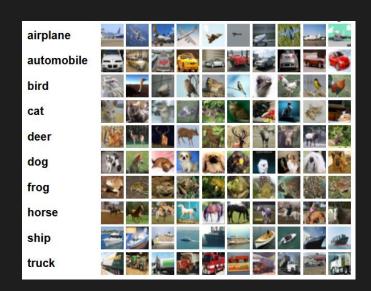


Medical imaging

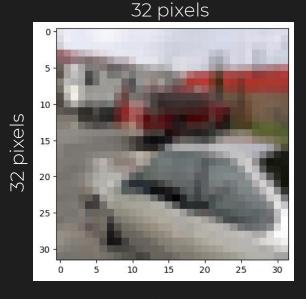


Dataset[®]

CIFAR-10 dataset (Canadian Institute For Advanced Research Dataset)



CIFAR-10 categories

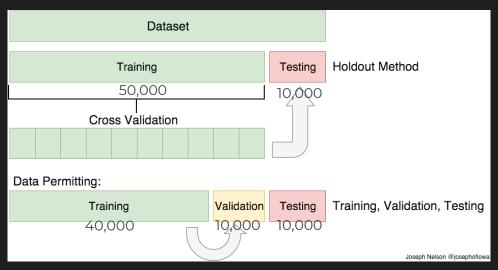


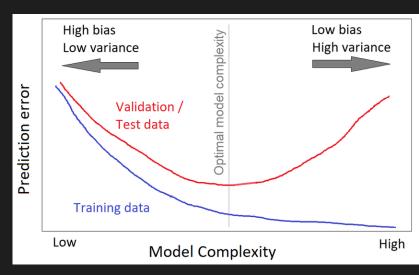
Example image

Pre-processing: data normalisation (scaling pixel values: 0-255 to 0-1)



Dataset partitioning





Train-test-validate split

Bias-variance tradeoff

```
(x_train_all, y_train_all), (x_test, y_test) = cifar10.load_data()
```

transforming dataset into dataframe

```
VALIDATION_SIZE = 10000

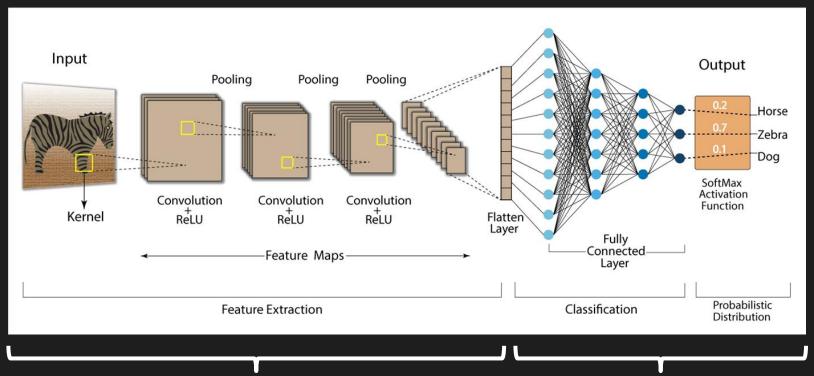
x_val = x_train_all[:VALIDATION_SIZE]
y_val = y_train_all[:VALIDATION_SIZE]
print("Image validation set shape:\n", x_val.shape)
print("Labels validation set shape:\n", y_val.shape)
```

creating the validation set

```
# removing validation set instances from training set
x_train = x_train_all[VALIDATION_SIZE:]
y_train= y_train_all[VALIDATION_SIZE:]
print("Image training set shape:\n", x_train.shape)
print("Labels training set shape:\n", y_train.shape)
```



Network architecture



Convolutional layers

Dense (fully-connected) layers



Simple CNN

Layer (type)	Output Shape	Param #
conv2d_6 (Conv2D)	(None, 29, 29, 32)	1,568
max_pooling2d_6 (MaxPooling2D)	(None, 14, 14, 32)	0
conv2d_7 (Conv2D)	(None, 11, 11, 32)	16,416
max_pooling2d_7 (MaxPooling2D)	(None, 5, 5, 32)	0
flatten_3 (Flatten)	(None, 800)	Θ
dense_6 (Dense)	(None, 256)	205,056
dense_7 (Dense)	(None, 10)	2,570
Total params: 225,610 (881.29 KB) Trainable params: 225,610 (881.29 KB) Non-trainable params: 0 (0.00 B)		

Simple CNN:

2 convolutional layers 1 flattening layer 2 dense layers 225,610 parameters

VGG-19:

16 convolutional layers 1 flattening layer 3 dense layers 38,947,914 parameters

Visual Geometry Group (VGG)-19



Layer (type)	Output Shape	Param #
block1_conv1 (Conv2D)	(None, 32, 32, 64)	1,792
block1_conv2 (Conv2D)	(None, 32, 32, 64)	36,928
block1_pool (MaxPooling2D)	(None, 16, 16, 64)	0
block2_conv1 (Conv2D)	(None, 16, 16, 128)	73,856
block2_conv2 (Conv2D)	(None, 16, 16, 128)	147,584
block2_pool (MaxPooling2D)	(None, 8, 8, 128)	0
block3_conv1 (Conv2D)	(None, 8, 8, 256)	295,168
block3_conv2 (Conv2D)	(None, 8, 8, 256)	590,080
block3_conv3 (Conv2D)	(None, 8, 8, 256)	590,080
block3_conv4 (Conv2D)	(None, 8, 8, 256)	590,080
block3_pool (MaxPooling2D)	(None, 4, 4, 256)	0
block4_conv1 (Conv2D)	(None, 4, 4, 512)	1,180,160
block4_conv2 (Conv2D)	(None, 4, 4, 512)	2,359,808
block4_conv3 (Conv2D)	(None, 4, 4, 512)	2,359,808
block4_conv4 (Conv2D)	(None, 4, 4, 512)	2,359,808
block4_pool (MaxPooling2D)	(None, 2, 2, 512)	0
block5_conv1 (Conv2D)	(None, 2, 2, 512)	2,359,808
block5_conv2 (Conv2D)	(None, 2, 2, 512)	2,359,808
block5_conv3 (Conv2D)	(None, 2, 2, 512)	2,359,808
block5_conv4 (Conv2D)	(None, 2, 2, 512)	2,359,808
block5_pool (MaxPooling2D)	(None, 1, 1, 512)	0
flatten_17 (Flatten)	(None, 512)	0
dense_34 (Dense)	(None, 4896)	2,101,248
dense_35 (Dense)	(None, 4096)	16,781,312
dense_36 (Dense)	(None, 10)	48,970
Total params: 38,947,914 (148.57 MB) Trainable params: 38,947,914 (148.57 MB)	в)	

Non-trainable params: @ (0.00 B)



Network architecture

Simple CNN:

```
model_1 = Sequential()
## ******** FTRST SET OF LAYERS *************
# CONVOLUTIONAL LAYER
model_1.add(Conv2D(filters=32, kernel_size=(4,4),input_shape=(32, 32, 3), activation='relu',))
# POOLING LAYER
model_1.add(MaxPool2D(pool_size=(2, 2)))
## ******* SECOND SET OF LAYERS ***********
#Since the shape of the data is 32 \times 32 \times 3 = 3072 \dots
#We need to deal with this more complex structure by adding yet another convolutional layer
model_1.add(Conv2D(filters=32, kernel_size=(4,4),input_shape=(32, 32, 3), activation='relu',))
# POOLING LAYER
model_1.add(MaxPool2D(pool_size=(2, 2)))
# FLATTEN IMAGES FROM 32 x 32 x 3 =3072 BEFORE FINAL LAYER
model_1.add(Flatten())
# 256 NEURONS IN DENSE HIDDEN LAYER (YOU CAN CHANGE THIS NUMBER OF NEURONS)
model 1.add(Dense(256, activation='relu'))
# LAST LAYER IS THE CLASSIFIER, THUS 10 POSSIBLE CLASSES
model 1.add(Dense(10, activation='softmax'))
```

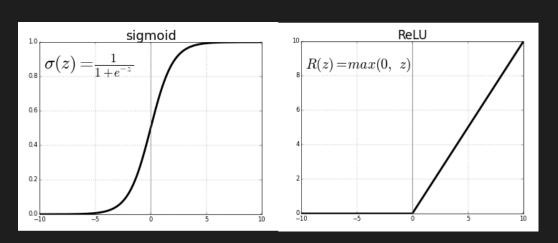
VGG-19:

```
# loading VGG-19 model
vgg = VGG19( include top = False,
           input shape = [32,32,3])
model 3=Sequential(vgg.layers)
# flatting laver
model 3.add(Flatten())
# ANN layers
# dense layers
model 3.add(Dense(4096, # number of neurons in dense layer
                activation='relu')) # activation function
model 3.add(Dense(4096, # number of neurons in dense layer
                activation='relu')) # activation function
# final classifier
model 3.add(Dense(10, # 10 classes
                activation='softmax')) # activation function
```

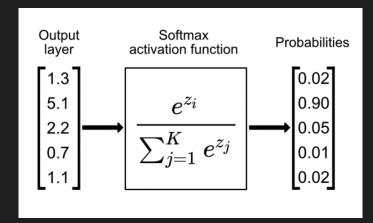


Activation functions

Activation function within network: **Rectifier Linear Unit (ReLU)**



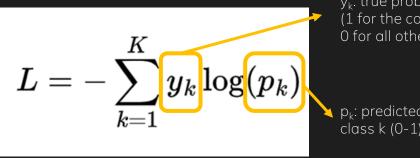
Final activation function: softmax





Loss function

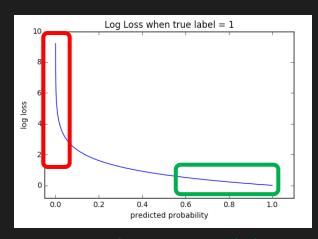
Sparse categorical cross-entropy (log) loss



y_k: true probability of class k (1 for the correct class, 0 for all others)

 p_k : predicted probability of class k (0-1)

High loss for incorrect predictions ($p_k \sim 0$ and $y_k = 1$)



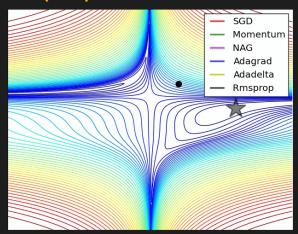
Low loss for correct predictions $(p_k~1, y_k=1)$

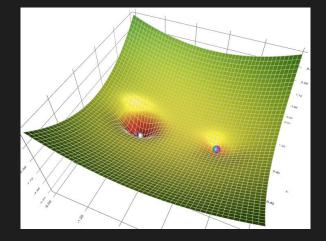


Optimizer algorithm

Examples:

- Stochastic gradient descent (SGD)
- SGD with momentum
- AdaGrad (Adaptive Gradient)
- RMSProp (Root Mean Square Propagation)
- Adam (Adaptive Moment Estimation)







Training approach

- Batch size: 64
- Maximum training epochs: 50
- Callback/early stop: if validation loss increases for 2 consecutive epochs
- Learning rate (optimizer algorithm defaults):

from tensorflow.keras.callbacks import EarlyStopping
early_stop = EarlyStopping(monitor='val_loss',patience=2)

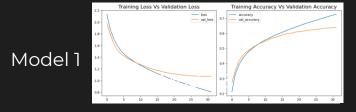
- SGD: 0.01 (1e-2)
- o Adam: 0.001 (1e-3)

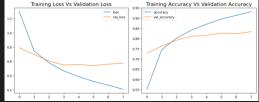


Model performance

*Until early stopping rule is met Models ran on Google Colab Pro default environment and A100 GPU hardware accelerator

Model	Architecture	Optimizer	Epochs*	Time	Training accuracy	Training loss	Validation accuracy	Validation loss
1	Simple CNN	SGD	33	55 s	0.72	0.80	0.64	1.06
2	Simple CNN	Adam	9	18 s	0.78	0.65	0.64	1.15





Model 2



Troubleshooting - ChatGPT

The issue with your second model (model_4) appears to stem from a combination of factors that prevent it from learning effectively. Here are some points to consider and possible solutions:

1. Freezing VGG Layers

- By default, when you initialize the Sequential model with vgg.layers, the layers are trainable
 However, the pre-trained weights in the VGG model might not align with your dataset (32x32
 images and classes), causing instability during training.
- Solution: Freeze the VGG layers to ensure only the added dense layers are trained. Add this
 before compiling the model:



3. Weight Initialisation Mismatch

- VGG19 is designed for a different task (ImageNet classification), and the weights might need fine-tuning for your dataset. Without proper adjustments, the model might be stuck in a poor local minima.
- Solution: Add dropout layers or fine-tune the last few convolutional layers. For example:



2. Adam Optimizer Learning Rate

- The Adam optimizer uses an adaptive learning rate, which can sometimes lead to suboptimal
 convergence, especially when applied to a large model like this. The default learning rate of
 0.001 might be too high.
- Solution: Lower the learning rate by initializing Adam explicitly:

```
python

D Copy code

from tensorflow.keras.optimizers import Adam

model_4.compile(
   loss='sparse_categorical_crossentropy',
   optimizer=Adam(learning_rate=1e-4),
   metrics=['accuracy']
)
```

4. Overfitting

- Two dense layers with 4096 neurons each add significant model complexity, leading to overfitting on small datasets like CIFAR-10.
- Solution: Reduce the dense layer size and add regularization (dropout):

```
python

@ Copy code

model_4.add(Dense(1824, activation='relu'))
model_4.add(Dense(512, activation='relu'))
model_4.add(Dense(512, activation='relu'))
model_4.add(Dense(18, activation='softmax'))
```

5. Vanishing Gradients

- The added dense layers with relu activation might cause vanishing gradients, especially if
 initial weights are poorly scaled.
- Solution: Use Batch Normalization to stabilize training:

```
python

Group code

from tensorflow.keras.layers import BatchNormalization

model_4.add(Dense(4096, activation='relu'))

model_4.add(BatchNormalization())

model_4.add(Dense(4096, activation='relu'))

model_4.add(BatchNormalization())
```



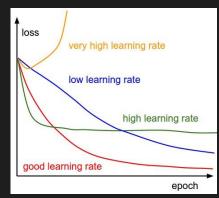
Model tuning – learning rate (model 5)

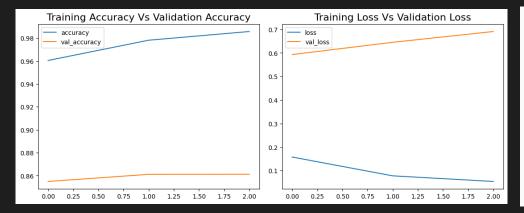
Aim – stabilise learning with smaller learning rate = 1e-4

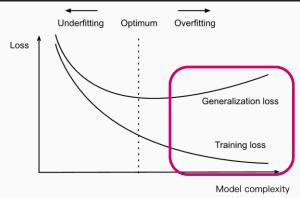
```
# Compile with adjusted learning rate
from tensorflow keras.optimizers import Adam
model_5.compile(
    loss='sparse_categorical_crossentropy',
    optimizer=Adam(
        learning_rate=1e-4 # 0.0001; default is 0.001
        ),
    metrics=['accuracy']
)
```



Training time: 28 s









Model tuning – batch normalisation (model 6)

Aim – normalise outputs across different filters within the same batch (avoid vanishing gradients)

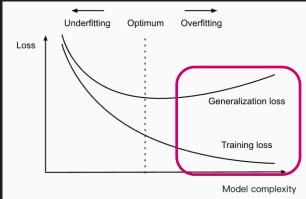
```
# Flatten and add dense layers + batch normalisation

model_6.add(Flatten())
model_6.add(Dense(4096, activation='relu'))
model_6.add(BatchNormalization())
model_6.add(Dense(4096, activation='relu'))
model_6.add(BatchNormalization())
model_6.add(Dense(10, activation='softmax'))
```

	accuracy	loss	val_accuracy	val_loss
0	0.943725	0.213584	0.8020	0.857794
1	0.957875	0.135923	0.7964	0.990321
2	0.963625	0.111848	0.8184	0.831689
3	0.970025	0.095517	0.8169	0.898287
4	0.971050	0.089650	0.7890	1.067495

Training time: 44 s



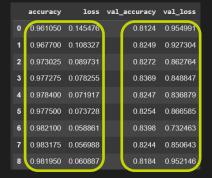




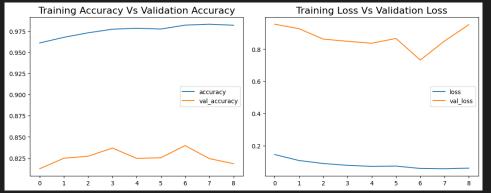
Model tuning – regularisation I (model 7)

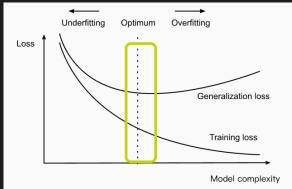
Aim – discard some neurons in dense layers (dropout) to avoid overfitting

```
# Flatten and add dense layers + batch normalisation + dropout
model_7.add(Flatten())
model_7.add(Dense(4096, activation='relu'))
model_7.add(BatchNormalization())
model_7.add(Dropout(0.5)) # discard 50% of neurons
model_7.add(Dense(4096, activation='relu'))
model_7.add(BatchNormalization())
model_7.add(Dropout(0.5)) # discard 50% of neurons
model_7.add(Dense(10, activation='softmax'))
```



Training time: 1min 11 s







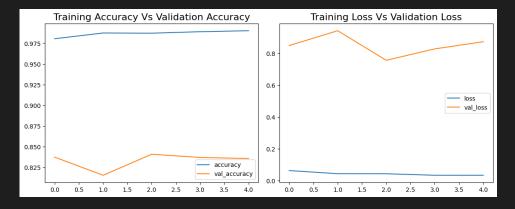
Model tuning – regularisation II (model 8)

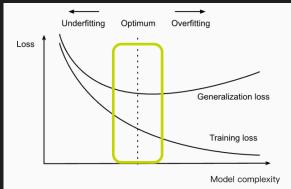
Aim – make dense layers simpler to avoid overfitting (without dropout)

```
model_8.add(Flatten())
model_8.add(Dense(1024, activation='relu'))
model_8.add(BatchNormalization())
# model_8.add(Dropout(0.5)) # discard 50% of neurons
model_8.add(Dense(512, activation='relu'))
model_8.add(BatchNormalization())
# model_8.add(Dropout(0.5)) # discard 50% of neurons
model_8.add(Dense(10, activation='softmax'))
```



Training time: 41 s







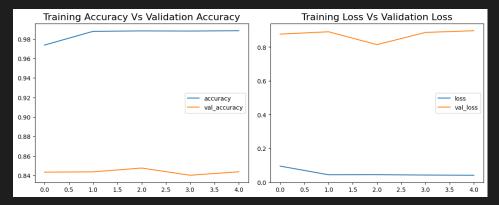
Model tuning – regularisation III (model 9)

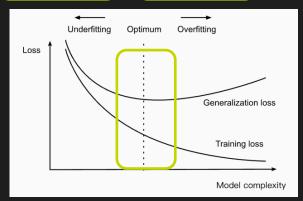
Aim – make dense layers simpler to avoid overfitting (plus dropout)

```
# Flatten and add dense layers + batch normalisation + dropout + trimmed fully connected layers
model_8.add(Flatten())
model_8.add(Dense(1024, activation='relu'))
model_8.add(BatchNormalization())
model_8.add(Dropout(0.5)) # discard 50% of neurons
model_8.add(Dense(512, activation='relu'))
model_8.add(BatchNormalization())
model_8.add(Dropout(0.5)) # discard 50% of neurons
model_8.add(Dense(10, activation='softmax'))
```

	accuracy	loss	val	_accuracy	val_loss
0	0.973750	0.094775		0.8434	0.876441
1	0.987825	0.043589		0.8437	0.890243
2	0.988275	0.043924		0.8476	0.814130
3	0.988125	0.041827		0.8402	0.886450
4	0.988475	0.040523		0.8438	0.896566

Training time: 41 s







Model tuning – pre-trained weights (model 10)

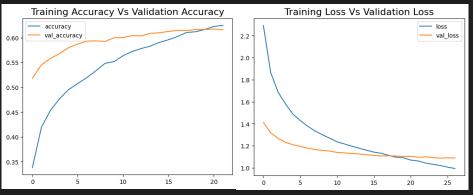
Aim – transfer learning by leveraging pre-trained weights

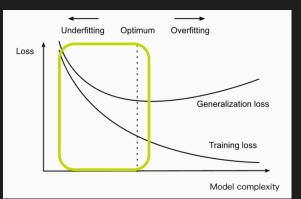
	accuracy	loss	val_accuracy	val_loss			
0	0.337775	2.292621	0.5196	1.411963			
1	0.421950	1.863356	0.5527	1.318233			
() 27 epochs total							
24	0.637050	1.021148	0.6228	1.087670			
25	0.646025	1.005807	0.6228	1.090368			
26	0.648300	0.993571	0.6214	1.088640			



ImageNet dataset (>14M images, 10,000 classes)

Training time: 1 min 17s





References: Kurban, 2021; Solawetz, 2021; Stanford Vision Lab, Stanford University, Princeton University, 2021



Model tuning - data augmentation (model 11)

Aim – force learning of more representative features by adding noise

Training Accuracy Vs Validation Accuracy

val accuracy

0.5

0.3 -

0.2

```
from tensorflow.keras.preprocessing.image import ImageDataGenerator
```

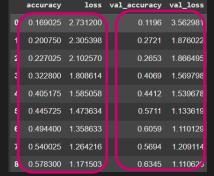
```
datagen = ImageDataGenerator(
    rotation_range = 40 ,
    width_shift_range = 0.2 ,
    height_shift_range = 0.2 ,
    shear_range = 0.2 ,
    zoom_range = 0.2 ,
    horizontal_flip = True ,
    fill_mode = 'nearest'
)
```

Training Loss Vs Validation Loss

datagen.fit(x train all)

3.5 -

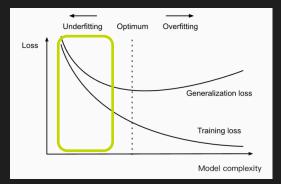
3.0







Example augmented images



References: Kurban, 2021



1. Effect of Data Augmentation

- Increased Complexity: Data augmentation modifies the input images, introducing variations (e.g., rotations, shifts, flips). This makes the model's task harder, especially in the early epochs, as it needs to learn more robust features.
- Accuracy Drop in Early Epochs: It's common to see an initial dip in validation accuracy with augmentation, as the model is adapting to the augmented data.

Solution: Allow the model to train for more epochs to fully benefit from the augmented data. Increase the patience of EarlyStopping to 5-10 epochs or remove it temporarily to observe performance over more epochs.

2. Over-Augmentation

- The augmentation parameters you used (e.g., rotation_range=40, width_shift_range=0.2, etc.) may be too aggressive for the dataset, introducing distortions that make the task unnecessarily difficult.
- Impact: Excessive augmentation can create unrealistic samples that confuse the model.

Solution:

· Reduce the augmentation intensity:

```
python

datagen = ImageDataGenerator(
    rotation_range=15, # Reduce rotation
    width_shift_range=0.1, # Reduce width shift
    height_shift_range=0.1, # Reduce height shift
    shear_range=0.1, # Reduce shear
    zoom_range=0.1, # Reduce zoom
    horizontal_flip=True, # Keep horizontal_flip
    fill_mode='nearest'
)
```

· Test with different augmentation combinations and tune them based on performance.

3. Inconsistency Between Training and Validation Data

- During training, augmented images are passed to the model, but the validation set is not augmented. The model might generalize better with augmented data but struggles to map its learning to unaugmented validation data.
- Solution: Consider augmenting the validation data similarly to the training data, but this is only
 relevant if the test data distribution is also augmented in the real-world task. Alternatively,
 continue training with unaugmented validation to focus on generalization.

4. Learning Rate

- . The learning rate might be too low to compensate for the added complexity of augmented data.
- Solution: Use a learning rate scheduler to adapt the learning rate dynamically during training.
 For example:

```
python

P Copy code

from tensorflow.keras.callbacks import ReduceLROnPlateau

lr_scheduler = ReduceLROnPlateau(
    monitor='val_loss',
    factor=0.5,
    patience=1,
    verbose=1,
    min_lr=1e=6
}

Add this callback to model.fit().
```

5. Increased Training Time Requirement

- Augmented data increases the effective dataset size, requiring more training time to converge
 to optimal performance.
- Solution: Train for more epochs (e.g., 30-50) and use ReduceLROnPlateau or EarlyStopping with higher patience.

6. Batch Normalization with Augmented Data

- Batch normalization calculates running statistics during training. With highly varied augmented data, these statistics may not represent the validation data well.
- Solution: Ensure that batch sizes are not too small to prevent noisy batch statistics. Use a batch size of at least 32 or 64.



Model tuning – lower data augmentation (model 12)

Aim – facilitate learning by reducing data augmentation complexity

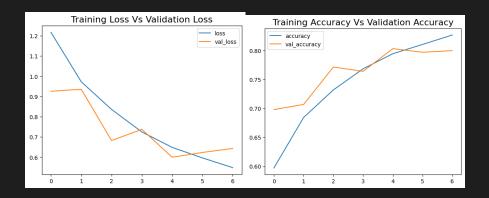
```
from tensorflow.keras.preprocessing.image import ImageDataGenerator

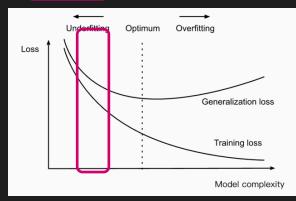
datagen = ImageDataGenerator(
    rotation_range=15,  # Reduce rotation
    width_shift_range=0.1,  # Reduce width shift
    height_shift_range=0.1,  # Reduce height shift
    shear_range=0.1,  # Reduce shear
    zoom_range=0.1,  # Reduce zoom
    horizontal_flip=True,  # Keep horizontal flip
    fill_mode='nearest'
)

datagen.fit(x train all)
```

	accuracy	loss	val_accuracy	val_loss
0	0.596750	1.216773	0.6979	0.925662
1	0.684325	0.972460	0.7069	0.935512
2	0.732000	0.835807	0.7716	0.682035
3	0.768600	0.724591	0.7642	0.737224
4	0.794750	0.648303	0.8035	0.599655
5	0.810800	0.596211	0.7973	0.623190
6	0.827175	0.548088	0.8000	0.643121

Training time: 3 min 16 s







Model tuning – adaptive training rate (model 13)

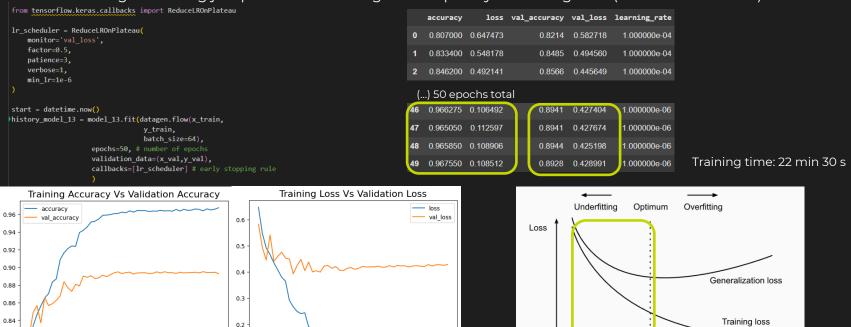
0.1

0.82

0.80

10

Aim – allow larger learning jumps to overcome higher complexity in training data (avoid local minima)



Model complexity



Model performance

*Until early stopping rule is met or maximum training epochs reached. Models ran on Google Colab Pro default environment and A100 GPU hardware accelerator

Model	Architecture	Optimizer	Other features	Epochs*	Time	Train accuracy	Train loss	Validation accuracy	Validation loss
	Simple CNN	SGD	Learning rate = 1e-3	33	55 s		0.80		1.06
2	Simple CNN	Adam	Learning rate = 1e-3	9	18 s	0.78	0.65	0.64	1.15
3	VGG-19	SGD	Learning rate = 1e-3	5	32 s	0.87	0.39	0.75	0.86
	VGG-19	Adam	Learning rate = 1e-3		30 s	0.09	2.30	0.09	2.30
	VGG-19	Adam	Learning rate = 1e-4		28 s	0.94	0.19	0.82	0.66
	VGG-19	Adam	Batch normalisation		44 s	0.97	0.09	0.79	1.07
7	VGG-19	Adam	Dropout	9	1 min 11 s	0.98	0.06	0.82	0.95
8	VGG-19	Adam	Simpler dense layers	5	41 s	0.99	0.03	0.84	0.87
9	VGG-19	Adam	Dropout + simpler dense layers	5	41 s	0.99	0.04	0.84	0.90
10	VGG-19	Adam	Pre-trained weights	27	1 min 17 s	0.65	0.99	0.62	1.09
	VGG-19	Adam	Data augmentation (higher)		4 min 13 s		1.17		1.11
12	VGG-19	Adam	Data augmentation (lower)	7	3 min 16 s	0.83	0.54	0.80	0.64
13	VGG-19	Adam	Adaptive learning rate + higher patience	50 (max)	22 min 30 s	0.97	0.11	0.89	0.43



Critical analysis

Class	Label	Precision	Recall	F1-Score	Accuracy
Ο	Airplane	0.90	0.92	0.91	0.92
1	Automobile	0.93	0.95	0.94	0.95
2	Bird	0.88	0.84	0.86	0.84
3		0.78	0.73	0.76	0.73
4	Deer	0.89	0.86	0.87	0.86
5	Dog	0.85	0.77	0.80	0.77
6	Frog	0.86	0.95	0.90	0.95
7	Horse	0.88	0.93	0.90	0.93
8	Ship	0.95	0.94	0.95	0.94
9	Truck	0.91	0.94	0.93	0.95
Average	N/A	0.88	0.88	0.88	0.88

model = model_13

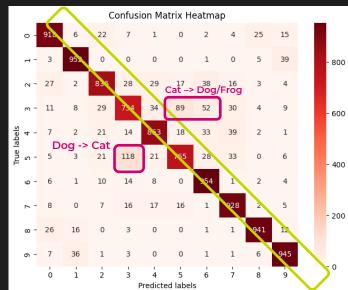
Train accuracy: 0.97 Validation accuracy: 0.88

model.evaluate(x_test,y_test)

 $from \ \ sklearn.metrics \ import \ classification_report, \ confusion_matrix$

 $predictions = np.argmax(model.predict(x_test), axis=-1)$

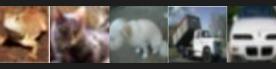
print(classification_report(y_test,predictions))



cm=confusion_matrix(y_test,predictions)

Plotting the heatmap
plt.figure(figsize=(8, 6))
sns.heatmap(cm,
annot=frue,
fmt='g',
cmap='Reds',
xticklabels=np.arange(10),
yticklabels=np.arange(10)

xticklabels=np.arange(10), yticklabels=np.arange(10)) plt.xlabel('Fredicted labels') plt.ylabel('True labels') plt.title('Confusion Matrix Heatmap') plt.show()



CIFAR-10 sample images: frog, cat, dog, truck, car



Learning outcomes and reflections

- Importance of broad network depth (simple CNN vs VGG-19).
- Overfitting/regularisation (bias/variance) generalisability impaired if model too complex for task
- Optimizers faster learning vs higher training complexity
- Learning rate computational demand vs overcoming local minima; benefits of adaptive learning rates
- Transfer learning not necessarily useful if task/data very different (ImageNet vs CIFAR-10)
- Data augmentation improved validation accuracy vs lower training accuracy (bias/variance), but must be careful
- Model complexity vs computing requirements consumed 70 Google Colab computational units (free version: 10/month); many in initial debugging



Conclusion

- Explored impact of CNN architecture design and training choices on model performance
- Tackled many common machine learning challenges (e.g. vanishing gradients, overfitting, unstable learning, computing requirements)
- Final model with good performance
- Performance potentially further improved with additional hyperparameter tuning
- Al feedback loop Al begets Al



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