

1 Title page

2 Title:

3 Clinical trial results in context: comparison of baseline characteristics and outcomes of
4 38510 RECOVERY trial participants versus a reference population of 346271 people
5 hospitalised with COVID-19 in England

6

7 Authors:

8 Guilherme Pessoa-Amorim^{ab†*}, Raphael Goldacre^{ct}, Charles Crichton^{ct}, Will Stevens^{ab},
9 Michelle Nunn^{ab}, Andy King^d, Dave Murray^d, Richard Welsh^d, Heather Pinches^e, Andrew
10 Rees^e, Eva JA Morris^{cf}, Martin Landray^{abcf}, Richard Haynes^{ab}, Peter Horby^{ghij}, Karl
11 Wallendszus^{ab}, Leon Peto^{abj}, Mark Campbell^{ab†}, Charlie Harper^{ab†}, Marion Mafham^{ab†}

12 †Joint first-authors

13 ‡Joint senior authors

14

15 Affiliations:

16 ^a Clinical Trial Service Unit, Oxford Population Health, University of Oxford, Oxford, United
17 Kingdom

18 ^b Medical Research Council Population Health Research Unit, Oxford Population Health,
19 University of Oxford, Oxford, United Kingdom

20 ^c Big Data Institute, Oxford Population Health, University of Oxford, Oxford, United
21 Kingdom

22 ^d National Perinatal Epidemiology Unit, Oxford Population Health, University of Oxford,
23 Oxford, United Kingdom

24 ^e NHS DigiTrials, Leeds, United Kingdom

1 ^f NIHR Oxford Biomedical Research Centre, Oxford University Hospitals NHS Foundation
2 Trust, Oxford, United Kingdom

3 ^g Centre for Tropical Medicine and Global Health, Nuffield Department of Medicine,
4 University of Oxford, Oxford, United Kingdom

5 ^h International Severe Acute Respiratory and emerging Infections Consortium (ISARIC),
6 University of Oxford, Oxford, United Kingdom

7 ⁱ Pandemic Sciences Centre, University of Oxford, Oxford, United Kingdom

8 ^j Department of Infectious Diseases and Microbiology, Oxford University Hospitals NHS
9 Foundation Trust, Oxford, United Kingdom

10

11 *Corresponding author:

12 Guilherme Pessoa-Amorim. Clinical Trial Service Unit, Richard Doll Building, Old Road
13 Campus, University of Oxford, Roosevelt Drive, Oxford OX37LF, United Kingdom. Email:
14 guilherme.pessoa-amorim@ndph.ox.ac.uk

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1 Abstract

2 Background:

3 Randomised trials are essential to reliably assess medical interventions. Nevertheless,
4 interpretation of such studies, particularly when considering absolute effects, is enhanced
5 by understanding how the trial population may differ from the populations it aims to
6 represent.

7 Methods:

8 We compared baseline characteristics and mortality of RECOVERY participants recruited
9 in England (n= 38510) with a reference population hospitalised with COVID-19 in England
10 (n = 346271) from March 2020 - November 2021. We used linked hospitalisation and
11 mortality data for both cohorts to extract demographics, comorbidity/frailty scores, and
12 crude and age- and sex-adjusted 28-day all-cause mortality.

13 Results:

14 Demographics of RECOVERY participants were broadly similar to the reference population,
15 but RECOVERY participants were younger (mean age [standard deviation]: RECOVERY
16 62.6 [15.3] vs reference 65.7 [18.5] years) and less frequently female (37% vs 45%).
17 Comorbidity and frailty scores were lower in RECOVERY, but differences were attenuated
18 after age stratification. Age- and sex-adjusted 28-day mortality declined over time but
19 was similar between cohorts across the study period (RECOVERY 23.7% [95% confidence
20 interval: 23.3%-24.1%]; vs reference 24.8% [24.6%-24.9%]), except during the first
21 pandemic wave in the UK (March-May 2020) when adjusted mortality was lower in
22 RECOVERY.

23 Conclusions:

24 Adjusted 28-day mortality in RECOVERY was similar to a nationwide reference population
25 of patients admitted with COVID-19 in England during the same period but varied

1 substantially over time in both cohorts. Therefore, the absolute effect estimates from
2 RECOVERY were broadly applicable to the target population at the time, but should be
3 interpreted in the light of current mortality estimates.
4 Trial registration: ISRCTN50189673, NCT04381936

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6 List of abbreviations

7 95% CI: 95% confidence intervals

8 CRF: case-report form

9 HES: Hospital Episode Statistics

10 ICD-10: International Classification of Diseases and Related Health Problems, Tenth

11 Revision

12 IQR: inter-quatile range

13 NHS: National Health Service

14 RCTs: randomised controlled trials

15 RECOVERY: Randomised Evaluation of COVID-19 Therapy

16 SD: standard deviation

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1 Background

2 Randomised controlled trials (RCTs) are essential to reliably evaluate safety and efficacy
3 of health interventions.(1,2) The use of randomisation (with allocation concealment)
4 minimises the risk of bias but, inevitably, due to eligibility criteria, trial participants are
5 rarely representative of the populations whose treatment they aim to inform. Nonetheless,
6 the proportional estimates of treatment effects from the trial are usually generalisable to
7 the broader population, unless there are good grounds for believing there may be
8 systematic differences in the effectiveness of the intervention or in the biology of the target
9 disease outside of the trial setting (e.g. the advent of a new variant that renders a pathogen
10 resistant to the particular drug that was studied).(3) However, the estimates of *absolute*
11 harm and benefit generated by such trials may not be directly generalisable, and
12 assessment of the absolute rates of the relevant outcomes in the target population is useful
13 to understand the likely absolute effects of the intervention in clinical practice.(4,5)
14 The Randomised Evaluation of COVID-19 Therapy (RECOVERY) trial is an ongoing,
15 randomised, controlled, open-label, pragmatic, platform trial of potential therapies for
16 patients hospitalised with COVID-19.(6) Eligibility criteria are broad and simple (i.e.
17 hospitalisation for suspected or confirmed COVID-19), and trial procedures are
18 streamlined to be feasible in local practice. Data collection by trial staff, using dedicated
19 case-report forms (CRF), focuses on the minimum information needed, and is
20 complemented with extensive linkage to several healthcare systems data sources in the
21 UK. The trial is taking place in all acute UK National Health Service (NHS) hospitals, and in
22 several other countries globally.
23 Here, we aimed to compare the baseline characteristics (demographics and comorbidities)
24 and all-cause 28-day mortality (the trial primary outcome) for RECOVERY participants
25 with a reference population hospitalised with COVID-19, within England.

1 Methods

2 RECOVERY cohort

3 The RECOVERY trial design has been described previously.⁽⁶⁾ Briefly, RECOVERY recruits
4 patients admitted to hospital with confirmed or suspected COVID-19 who are considered
5 suitable for inclusion by their attending clinical team. Recruitment was not targeted to any
6 particular subgroups or aimed at achieving a representative sample of the target
7 population; the aim was to recruit a large number of participants rapidly. Randomisation
8 is performed via a short online CRF in which essential baseline data are collected. Follow-
9 up data are collected using a simple CRF upon death, hospital discharge, or at 28 days
10 from randomisation (whichever occurs sooner). In the UK, these data are complemented
11 with linkage to national healthcare systems data sources. The protocol, data analysis plan,
12 baseline characteristics and outcome derivation documentation, and published results are
13 openly available at www.recoverytrial.net, and the trial is registered with ISRCTN
14 (50189673) and ClinicalTrials.gov (NCT04381936). Written informed consent was
15 obtained from all the patients or from a legal representative if they were unable to provide
16 consent. The RECOVERY trial has been approved by the UK Medicines and Healthcare
17 products Regulatory Agency and the Cambridge East Research Ethics Committee
18 (reference 20/EE/0101).

19 For this analysis we included all RECOVERY participants recruited in England who had not
20 withdrawn consent and had available healthcare systems data on hospital admissions
21 (Hospital Episode Statistics [HES]),⁽⁷⁾ with or without mortality data from official death
22 records (Civil Registrations).⁽⁸⁾ We excluded children aged <16 years due to difficulties in
23 accessing linked healthcare systems data in this group in RECOVERY. HES data contained
24 information on admissions to all NHS hospitals in England (using standardised coding
25 practices since the 1990s), namely admission and discharge dates and relevant diagnostic

1 and procedure codes. Diagnostic codes are recorded using the *International Classification*
2 *of Diseases and Related Health Problems, Tenth Revision* (ICD-10) clinical terminology,
3 and can be assigned a position from 1 to 20; codes in position 1 usually indicate the primary
4 cause of admission (or main cause of extension of hospital stay).(9) Civil Registrations
5 included information on date of death and underlying and contributing causes of death
6 (also coded using ICD-10). HES and Civil Registrations were linked and supplied by NHS
7 England.(10)

8 Reference population

9 To derive a reference population of people hospitalised with COVID-19 in England (thus
10 potentially eligible for RECOVERY), we used an anonymised database covering the entirety
11 of England which includes linked HES and Civil Registrations data continuously collected
12 since 1999. These data were linked and supplied by NHS England, and are analysed at the
13 University of Oxford.(11) More information can be found in the NHS England Data Uses
14 Register at [http://digital.nhs.uk/services/data-access-request-service-dars/data-uses-](http://digital.nhs.uk/services/data-access-request-service-dars/data-uses-register)
15 [register](http://digital.nhs.uk/services/data-access-request-service-dars/data-uses-register) (reference: DARS-NIC-315419-F3W7K). Approval for the use of the datasets was
16 provided by the Central and South Bristol Research Ethics Committee (ref 04/Q2006/176).
17 The reference population was ascertained based on the presence of a COVID-19 ICD-10
18 code (U071 - "COVID-19, Virus identified", or U072 - "COVID-19, Virus not identified").(12)
19 This approach was informed by preliminary cross-validation work (Annex III) using linked
20 HES and SARS-CoV-2 testing data for RECOVERY participants, which showed 92% of
21 RECOVERY participants recruited in England with a positive SARS-CoV-2 test (as
22 captured in NHS England's COVID-19 Second Generation Surveillance System – SGSS
23 dataset)(13) had an admission in the HES data which included one of these codes in the
24 primary diagnostic position. We therefore restricted our reference population to individuals
25 with relevant ICD-10 codes in the primary position to avoid inclusion of people in whom

1 COVID-19 was not the main reason for care. The RECOVERY cohort largely overlaps the
2 reference population, but given the anonymised nature of the national datasets it was not
3 possible to identify them.

4 Analysis period

5 For each individual in RECOVERY and the reference population, we assigned an index date
6 as the start of the earliest HES episode with U071/U072 in the first diagnostic position. For
7 RECOVERY participants with index dates before 1st March 2020 (indicating long episodes
8 before inclusion in the study; n=22), or no COVID-19 codes in their HES records (n=1465)
9 we used randomisation date as the index date. We then restricted our analysis period to
10 index dates between 1st March 2020 and 30th November 2021 inclusive. These analyses
11 were not extended beyond this time-point as the launch of the high-dose dexamethasone
12 comparison in the UK (only suitable to patients with oxygen or ventilation requirements)
13 resulted in more selected patient populations being included in the trial.(14)

14 Baseline characteristic and outcomes

15 We used HES data in both cohorts to extract baseline clinical characteristics and
16 demographics including age, sex, ethnicity, deprivation (quintile of Index of Multiple
17 Deprivation 2019),(15) geographical location, Charlson Comorbidity Score (16,17) and its
18 components, and Hospital Frailty Risk Score.(18) Comorbidities were defined as the
19 presence of a relevant ICD-10 code in any diagnostic position recorded within 5 years
20 before the index date (i.e. excluding the index episode). Further methodological details,
21 including the ICD-10 codes used, are provided in Annex I. Geographical location data
22 (including for deprivation assessments) were extracted from HES records and ascertained
23 from full postcode in the RECOVERY HES data and lower-super output area of the
24 postcode in the national HES data.

1 For outcomes, we calculated all-cause mortality within 28 days using linked HES and Civil
2 Registrations data. Ascertainment of fact and date of death was based on these linked
3 data sources (derivation methodology described elsewhere).(19) We considered death
4 records occurring in either healthcare systems data source. We ignored reports of deaths
5 of RECOVERY participants recorded only on the CRF data as there were no CRF data for
6 the reference population.

7 Statistical analyses

8 This analysis is limited to RECOVERY participants in England with available HES data. To
9 assess how this selection may have affected the cohort characteristics we first compared
10 the characteristics of those recruited in England with those recruited in other UK nations
11 (using CRF data for all characteristics except ethnicity, and healthcare systems data in
12 each nation for ethnicity). We then compared the characteristics of RECOVERY
13 participants recruited in England who had available HES data with those who did not
14 (using CRF data for all characteristics except ethnicity, for which we used healthcare
15 systems data from primary care).

16 We compared baseline characteristics and 28-day mortality of the RECOVERY cohort with
17 those of the reference population, in each case restricted to England only. Age was
18 stratified into 4 groups: <60, 60-69, 70-79, and ≥80. We presented continuous parameters
19 as mean with standard deviation (SD) or median with interquartile range (IQR) as
20 appropriate (with visual assessment of frequency distribution for normality), and frequency
21 counts and percentage distribution for categorical parameters. We compared age, sex,
22 and region of residence by calculating a representativeness ratio - defined as the
23 proportion of people within RECOVERY in each category divided by the proportion of
24 people within the reference population in the same category – and presented these along
25 with 95% confidence intervals [95% CI].(20) We also calculated a recruitment ratio defined

1 as number of individuals included in RECOVERY divided by the number of individuals in the
2 reference population. We then aggregated individuals in each cohort into three-month
3 periods and conducted the same calculations as above for each time period separately.
4 The primary RECOVERY trial outcome of 28-day all-cause mortality was calculated
5 starting from the index date in both cohorts, overall and over time (by three-month periods).
6 We presented crude and age- and sex-adjusted mortality rates with 95% CI,(20) with
7 adjustment performed using direct standardisation methods(20) (i.e. applying RECOVERY
8 mortality rates to the reference population age and sex composition using the age groups
9 mentioned above). Further methodological details are provided in Annex I.
10 We used Stata v17/MP to derive baseline characteristics and outcomes in HES and Civil
11 Registrations data in both cohorts, and R v4.2.1 for all subsequent data management,
12 statistical analysis, and plotting (further details are provided in Annex I).

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1 Results

2 Baseline characteristics

3 Up until 1st September 2022, RECOVERY recruited 46010 participants, of which 44766 in
4 the UK and 39952 in England. Of these, 39304 (98.4%) had available HES data, and 38780
5 were recruited within the analysis period (1st March 2020 – 30th November 2021). After
6 excluding participants aged below 16 at the index date, a total of 38510 participants were
7 finally included in our analysis (Figure 1). RECOVERY participants recruited in other UK
8 nations had generally similar characteristics to those recruited in England ([Supplementary
9 Table S1Supplementary Table S1](#)). People with no HES data available were younger, less
10 frequently of white ethnicity, and had generally lower comorbidity burden and need for
11 respiratory support at randomisation (Supplementary Table S2). The reference population
12 included 346271 individuals (Figure 1); for every 100 people admitted with COVID-19 in
13 England, 11 participants were recruited to RECOVERY. When considering geographical
14 region, the proportion of relevant patients recruited to RECOVERY in London, West
15 Midlands, and Yorkshire and The Humber was lower than in the other England regions
16 ([Figure 2Figure 2](#)).

17 Table 1 shows the baseline characteristics of both cohorts. RECOVERY participants were
18 less frequently female (RECOVERY 37% vs reference population 45%) and were on
19 average slightly younger than the reference population (mean age [SD]: 62.6 [15.3] vs 65.7
20 [18.5] years), with people aged 80+ and women underrepresented in RECOVERY
21 throughout the analysis period ([Supplementary Figure S1Supplementary Figure S1](#) and
22 [Supplementary Table S3Supplementary Table S3](#)). RECOVERY participants were more
23 frequently of White background (83% vs 79%) (Table 1 and [Supplementary Figure
24 S2Supplementary Figure S2](#)), but had similar deprivation status overall and throughout the
25 study period ([Supplementary Figure S3Supplementary Figure S3](#)).

With respect to clinical conditions, RECOVERY participants had a lower prevalence of comorbidity (median Charlson Comorbidity Score [IQR]: RECOVERY 3.0 [1.0-5.0] vs reference population 4.0 [1.0-6.0]) and were less frail (median Hospital Frailty Risk Score [IQR]: 5.1 [1.8-11.4] vs 6.3 [1.8-16.3]) These differences were largely explained by the age structure of the two cohorts, with small differences remaining in the prevalence of some comorbidities, including cardiovascular disease, congestive heart failure, and dementia, after accounting for age (Supplementary Figures S4-S6).

Outcomes

Overall, the crude all-cause 28-day mortality in RECOVERY was 20.6% (95% CI: 20.2%-21.0%) and 24.8% (95% CI: 24.6%-25.0%) in the reference population, with mortality decreasing substantially in both cohorts from March 2021 onwards. After standardising the RECOVERY cohort to the age-sex composition of the national reference population, 28-day mortality in RECOVERY was similar to the reference population (23.7%, 95% CI: 23.3%-24.1%; [Figure 3](#)). Age-stratified mortality rates were similar between the two cohorts, with the exception of March-May 2020 where mortality was lower in RECOVERY (Supplementary Figures S7-S8 and Supplementary Table S4). When mortality was assessed separately by comorbidity level and age, the difference in 28-day mortality between the two cohorts in March-May 2020 appeared to be mostly driven by older and more comorbid patients (Supplementary Figure S9).

1 Discussion

2 This study compared the characteristics of RECOVERY trial participants with people
3 admitted to hospital due to COVID-19 in England. Our main findings were that RECOVERY
4 participants were generally similar, but slightly younger, less frequently female, and had
5 an overall lower comorbidity and frailty burden, much of which attributable to age
6 differences. After adjustment for age and sex, 28-day mortality in the RECOVERY cohort
7 was similar to that in the wider population of patients admitted to hospital with COVID-19
8 in England. This pattern was observed throughout the period studied, with the exception
9 of March – May 2020 (corresponding to the first COVID-19 wave in the UK) when, even
10 after adjusting for age and sex, 28-day mortality in RECOVERY was slightly lower than the
11 reference population. The reasons for this are not fully explained by differences in
12 measured frailty or comorbidity as assessed in our analyses and may be attributable to
13 factors not captured in the datasets available in this study.

14 Older adults are frequently underrepresented in trials,(21) and have been excluded from
15 over half of COVID-19 clinical trials and all major vaccine trials.(22) Although RECOVERY
16 does not have an upper age limit (and some participants were aged over 100 years old),
17 in our study RECOVERY participants were on average 3 years younger, with
18 underrepresentation of people aged ≥ 80 . RECOVERY participants were also less
19 frequently female (37% vs 45%). but it is not possible to identify the possible-underlying
20 reasons for this in the available data. However, this is similar to results found in other trials,
21 and may be due to under-recruitment of older patients (who are more frequently
22 women).(23,24) Of note, we found important differences in recruitment rates across
23 different geographical regions. The reasons for this are likely to be complex including issues
24 related to local research infrastructure and funding, competing studies, demand on local
25 clinical services and clinician and patient willingness to engage with research. Data on

1 these parameters are not available for this study, but patterns seen merit further
2 investigation.

3 We also found that comorbidity and frailty scores were lower in the
4 RECOVERY cohort compared with the reference population. Most of these differences were
5 attributable to age composition, but within older age groups comorbidities and the overall
6 frailty risk scores remained slightly higher in the reference population. Clinical decision
7 making about eligibility for randomised trials will inevitably result in differences between
8 the trial cohort and the target population; however, the proportional estimates of treatment
9 effect from trials are usually generalisable, unless there are substantial differences in the
10 biology of the target disease or the effectiveness of the intervention in the non-trial
11 context.(4,5)

12 While crude 28-day all-cause mortality was lower in RECOVERY, age- and sex-adjusted
13 mortality were generally similar, with similar trends in both cohorts over time. The reduction
14 seen from March 2021 onwards, consistent with previous reports,(25) may represent the
15 effect of SARS-CoV-2 vaccination uptake, which greatly reduced the likelihood not only of
16 hospital admission but also of death following hospitalisation.(26,27) Overall, the absolute
17 effect estimates generated by RECOVERY were generalisable to the national population
18 during the period studied. However, secular trends in mortality rates should be considered
19 and the best estimate of the likely absolute effect size in current clinical practice requires
20 application of the proportional treatment effect from the RECOVERY trial to current
21 absolute event rates among patients hospitalised with COVID-19.(4,5)

22 Our study has a number of limitations. We were not able to determine baseline respiratory
23 status (which has been shown to be an important determinant of the proportional and
24 absolute benefits of corticosteroid treatment)(28) in our reference cohort, since there was
25 low agreement between respiratory support status extracted from HES alone and that
collected in the trial (based on a larger number of linked data sources) and used in

published analyses (Annex IV). We also cannot be certain whether our reference population had clinically significant COVID-19, although we have mitigated this by including only people with a relevant ICD-10 code in the primary diagnostic position. Finally, our analysis was restricted to people admitted in England. Baseline characteristics were similar when comparing RECOVERY participants recruited across all UK nations, but may differ from non-UK countries. Finally, our analysis was restricted to the period from March 2020 to November 2021, due to changes to trial eligibility which could not be replicated in the reference population with the available data. However, recruitment to RECOVERY declined significantly from December 2021 onwards (along with national COVID-19 admissions), so that extending the analysis period to the time of writing (mid-2023) would add only a small number of additional deaths (~4%), which were unlikely to meaningfully influence interpretation of our results.

Conclusion

The RECOVERY trial recruited a broad patient population that was generally representative of people admitted to hospital due to COVID-19 in England during the same period, with respect to both baseline characteristics and subsequent mortality. 28-day mortality declined substantially in both the RECOVERY and reference populations throughout the period studied. Estimates of current mortality rates from healthcare systems data combined with the proportional treatment effects from trials are needed to estimate the likely absolute effects of the treatments tested within current practice.

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3 Ethics approval and consent to participate
4 Written informed consent was obtained from all the patients or from a legal representative
5 if they were unable to provide consent. The RECOVERY trial has been approved by the UK
6 Medicines and Healthcare products Regulatory Agency and the Cambridge East Research
7 Ethics Committee (reference 20/EE/0101). The RECOVERY trial has been approved by the
8 UK Medicines and Healthcare products Regulatory Agency and the Cambridge East
9 Research Ethics Committee (reference 20/EE/0101). The dataset used to build the
10 reference population by the University of Oxford has been approved by the Central and
11 South Bristol Research Ethics Committee (reference 04/Q2006/176).

12 Consent for publication

13 Not applicable.

14

15 Availability of data and materials

16 The RECOVERY trial protocol, consent form, statistical analysis plan, definition and
17 derivation of clinical characteristics and outcomes, training materials, regulatory
18 documents, and other relevant study materials are available online at
19 www.recoverytrial.net. Data will be made available in line with the Nuffield Department of
20 Population Health policy and procedures. Those wishing to request access should
21 complete the form available at <http://www.ndph.ox.ac.uk/data-access> and email it to
22 data.access@ndph.ox.ac.uk. Nationwide anonymised English mortality (Civil
23 Registrations) and hospitalisations (HES) data used to derive the reference population can
24 be obtained upon application to NHS England at www.digital.nhs.uk. The statistical

1 programming code used in this work is available for inspection and reuse at
2 <http://gitlab.ndph.ox.ac.uk/guilhermep/recovery-generalizability-representativeness>.

3

4 Competing interests

5 Roche, AbbVie, Regeneron, and GSK have provided study drugs for evaluation in the
6 RECOVERY trial. MM is an applicant on research grants from Novartis and Novo Nordisk
7 (unrelated to this work). ML is in receipt of grants to University of Oxford from Novartis and
8 Boehringer Ingelheim and grants to Protas from Regeneron, Sanofi, Moderna, FluLab,
9 Google Ventures and Schmidt Futures (all unrelated to this work).

10

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1

2 Authors' contributions

3 This manuscript was initially drafted by GPA, RG, MC, CH, and MM, and further developed
4 and approved by all authors. GPA, RG, MC, CH, and MM conceptualised and designed this
5 analysis. GPA and RG performed data derivation and analysis. GPA performed data
6 visualisation. GPA, RG, CC, WS, AK, DM, RW, MN, KW, and MC contributed to data
7 acquisition, management, and processing, together with the broader RECOVERY
8 Collaborative Group. All authors contributed to data interpretation and critical review and
9 revision of the manuscript.

10

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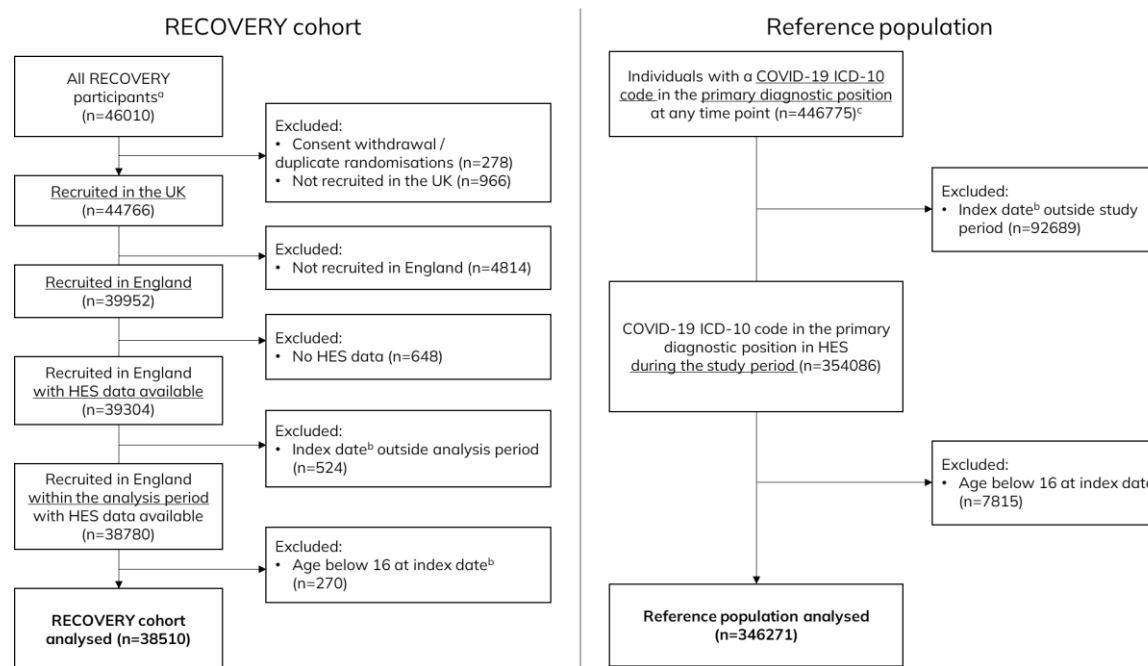
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17 & Social Care, the Intensive Care National Audit & Research Centre, Public Health Scotland,
18 National Records Service of Scotland, the Secure Anonymised Information Linkage at the
19 University of Swansea, and the NHS in England, Scotland, Wales, and Northern Ireland.
20 This work uses data provided by patients and collected by the NHS as part of their care
21 and support.

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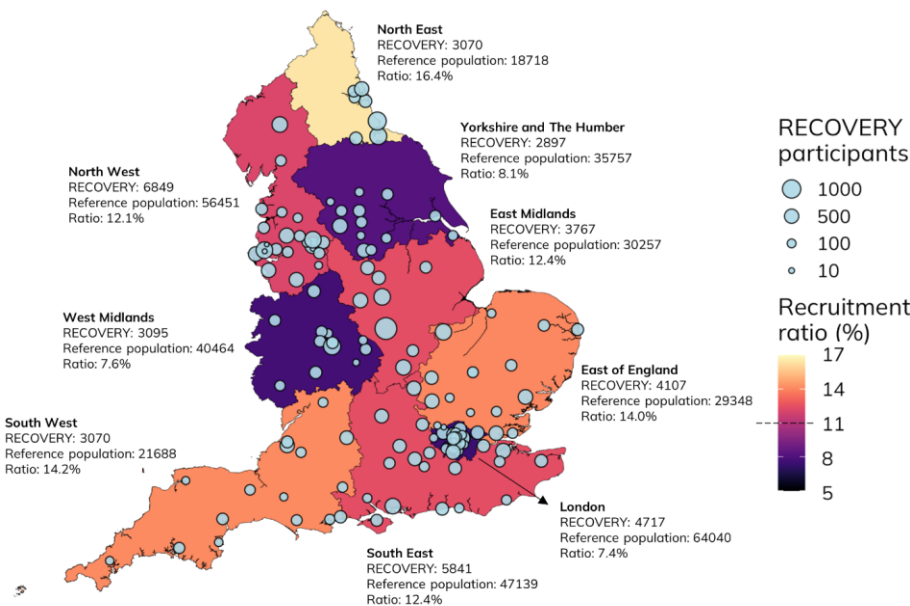
Figures

Figure 1 - CONSORT diagram depicting the cohort derivation process



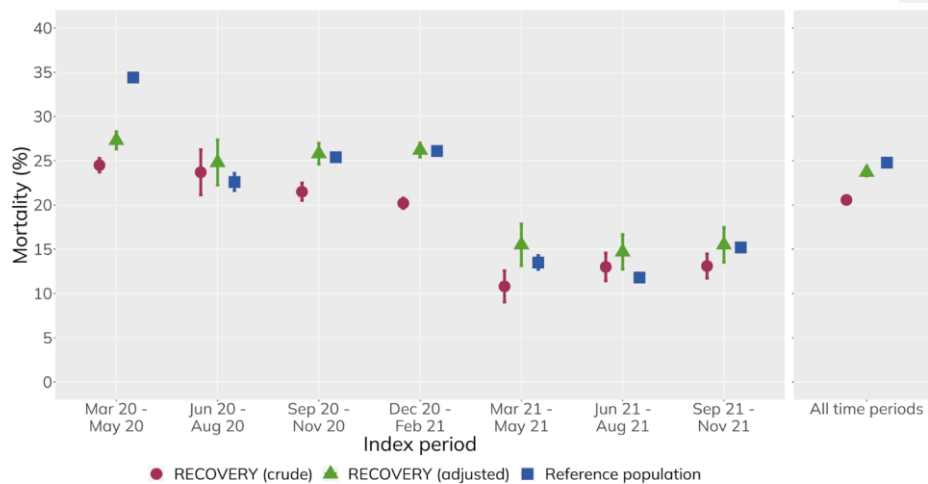
^a Randomised up until the 1st September 2022; ^b Index date is the episode start date for the earliest episode with a COVID-19 ICD-10 code in the primary diagnostic position; ^c Up to June 2022 (latest data included in the raw extract)

Figure 2 - Geographical representativeness of the RECOVERY trial cohort in comparison with the national reference population



Number of RECOVERY participants plotted at the location of the recruiting NHS Trust hospital site. Recruitment ratios were calculated by dividing the number of RECOVERY participants recruited in each region by the number of individuals in the reference population in the same region, and are presented by region. The average recruitment ratio across all English regions was 11.1%. There were 1097 and 2409 individuals with missing residential area in HES data in the RECOVERY and the reference population cohort, respectively.

Figure 3 - All-cause 28-day mortality over time in RECOVERY and the reference population



Period	Mar 20 - May 20	Jun 20 - Aug 20	Sep 20 - Nov 20	Dec 20 - Feb 21	Mar 21 - May 21	Jun 21 - Aug 21	Sep 21 - Nov 21	All time periods
Number of individuals								
RECOVERY	9634	1002	6208	16448	1160	1905	2153	38510
Reference population	78180	6939	49431	127750	9319	32464	42188	346271
Recruitment ratio (%)	12.3	14.4	12.6	12.9	12.4	5.9	5.1	11.1
Deaths								
RECOVERY	2364	237	1335	3330	125	248	283	7922
Reference population	26855	1570	12557	33361	1255	3841	6405	85844
28-day mortality (95% CI)								
RECOVERY, crude	24.5 (23.7 - 5.3)	23.7 (21.2 - 26.2)	21.5 (20.5 - 22.5)	20.2 (19.6 - 20.8)	10.8 (9.0 - 12.6)	13.0 (11.4 - 14.6)	13.1 (11.7 - 14.5)	20.6 (20.2 - 21.0)
RECOVERY, adjusted	27.3 (26.3 - 28.3)	24.8 (22.3 - 27.3)	25.8 (24.6 - 27.0)	26.2 (25.4 - 27.0)	15.5 (13.1 - 17.9)	14.7 (12.7 - 16.7)	15.4 (13.4 - 17.4)	23.7 (23.3 - 24.1)
Reference population	34.4 (34.0 - 34.8)	22.6 (21.6 - 23.6)	25.4 (25.0 - 25.8)	26.1 (25.9 - 26.3)	13.5 (12.7 - 14.3)	11.8 (11.4 - 12.2)	15.2 (14.8 - 15.6)	24.8 (24.6 - 25.0)

28-day mortality is the proportion of people with death recorded within 28 days of their index date (with 95% confidence intervals included). Adjustment performed by applying RECOVERY 28-day mortality to an age- (5-year bands) and sex-standardised population using the reference population, in a rolling basis within each time period (for 28-day mortality and age and sex breakdown).

Tables

Table 1 - Baseline cohort characteristics

Characteristic	RECOVERY, N = 38510	Reference population, N = 346271
Age, mean (SD)	62.6 (15.3)	65.7 (18.5)
<60	16121 (41.9%)	123790 (35.7%)
60-69	8906 (23.1%)	56452 (16.3%)
70-79	7871 (20.4%)	69107 (20.0%)
80+	5612 (14.6%)	96922 (28.0%)
Sex		
Female	14060 (36.5%)	155441 (44.9%)
Male	24424 (63.5%)	190748 (55.1%)
Geographical region ^a		
London	4717 (12.2%)	64040 (18.5%)
North West	6849 (17.8%)	56451 (16.3%)
South East	5841 (15.2%)	47139 (13.6%)
West Midlands	3095 (8%)	40464 (11.7%)
Yorkshire and The Humber	2897 (7.5%)	35757 (10.3%)
East Midlands	3767 (9.8%)	30257 (8.7%)
East of England	4107 (10.7%)	29348 (8.5%)
South West	3070 (8%)	21688 (6.3%)
North East	3070 (8%)	18718 (5.4%)
Unknown/not resident in England	1097 (2.9%)	2409 (0.7%)
Ethnicity ^a		

White	29595 (83.3%)	253842 (78.9%)
Black	1171 (3.3%)	16909 (5.3%)
Asian	3263 (9.2%)	35785 (11.1%)
Other	1146 (3.2%)	11853 (3.7%)
Mixed	351 (1.0%)	3532 (1.1%)
Unknown	2984 (7.7%)	24350 (7.0%)
Index of multiple deprivation (quintile)		
1 (Most deprived)	9821 (25.5%)	94487 (27.3%)
2	8284 (21.5%)	78400 (22.6%)
3	7466 (19.4%)	65082 (18.8%)
4	6910 (17.9%)	57203 (16.5%)
5 (Least deprived)	5797 (15.1%)	48650 (14.0%)
Unknown	232 (0.6%)	2449 (0.7%)
Charlson score, median (IQR)	3.0 (1.0, 5.0)	4.0 (1.0, 6.0)
Myocardial infarction	2941 (7.6%)	34895 (10.1%)
Congestive heart failure	3158 (8.2%)	44007 (12.7%)
Peripheral vascular disease	2052 (5.3%)	24327 (7.0%)
Cerebrovascular disease	2603 (6.8%)	41812 (12.1%)
Chronic pulmonary disease	8160 (21.2%)	80492 (23.2%)
Rheumatic disease	1579 (4.1%)	17365 (5.0%)
Dementia	1234 (3.2%)	30314 (8.8%)
Peptic ulcer disease	710 (1.8%)	7693 (2.2%)
Liver disease (mild)	1515 (3.9%)	14674 (4.2%)
Liver disease (moderate-severe)	175 (0.5%)	2490 (0.7%)
Diabetes mellitus (without chronic complications)	6056 (15.7%)	59244 (17.1%)

Diabetes mellitus (with chronic complications)	1354 (3.5%)	16111 (4.7%)
Chronic kidney disease	3800 (9.9%)	54019 (15.6%)
Solid tumour	2463 (6.4%)	26844 (7.8%)
Metastatic cancer	589 (1.5%)	8287 (2.4%)
Lymphoma	389 (1.0%)	3238 (0.9%)
Leukaemia	320 (0.8%)	2775 (0.8%)
AIDS/HIV ^b	0 (0.0%)	0 (0.0%)
Hospital frailty score, median (IQR)	5.1 (1.8, 11.4)	6.3 (1.8, 16.3)
High-risk (>15)	6737 (17.5%)	94191 (27.2%)
Intermediate risk (5-15)	12751 (33.1%)	99402 (28.7%)
Low risk (<5)	19022 (49.4%)	152678 (44.1%)
Other comorbidities/demographics		
Renal replacement therapy	439 (1.1%)	4922 (1.4%)
Immunosuppression	1471 (3.8%)	15531 (4.5%)
Obesity	6147 (16.0%)	48749 (14.1%)
Severe mental illness	4268 (11.1%)	43969 (12.7%)
Alcohol-attributable diseases	945 (2.5%)	10909 (3.2%)

HES - Hospital Episode Statistics; IQR - interquartile range; SD - standard deviation

Data are shown as Mean (SD); n (%); or Median (IQR)

^aProportions for people with known and unknown geographical region and ethnicity were calculated separately, using the entire cohort as denominator for each calculation

^bICD-10 codes for AIDS/HIV are censored from HES data

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