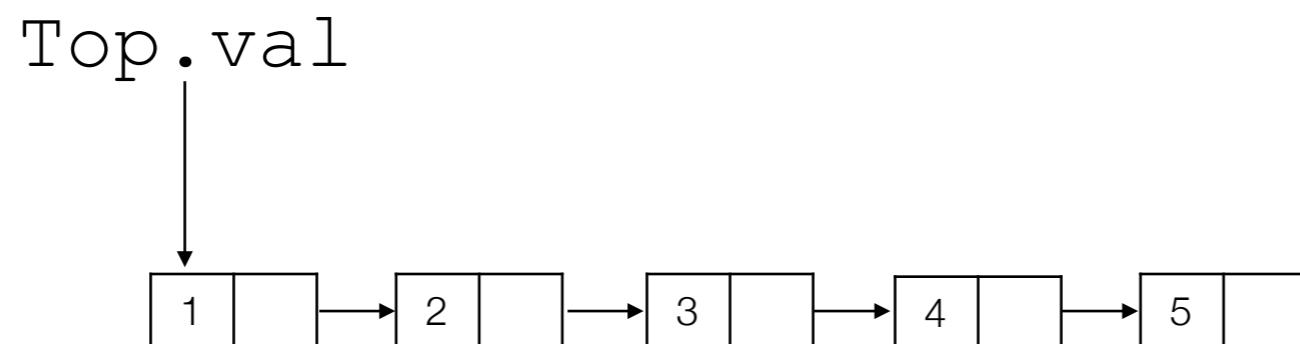


... continuation

[https://gpetri.github.io/  
MPRI-2017/index.html](https://gpetri.github.io/MPRI-2017/index.html)

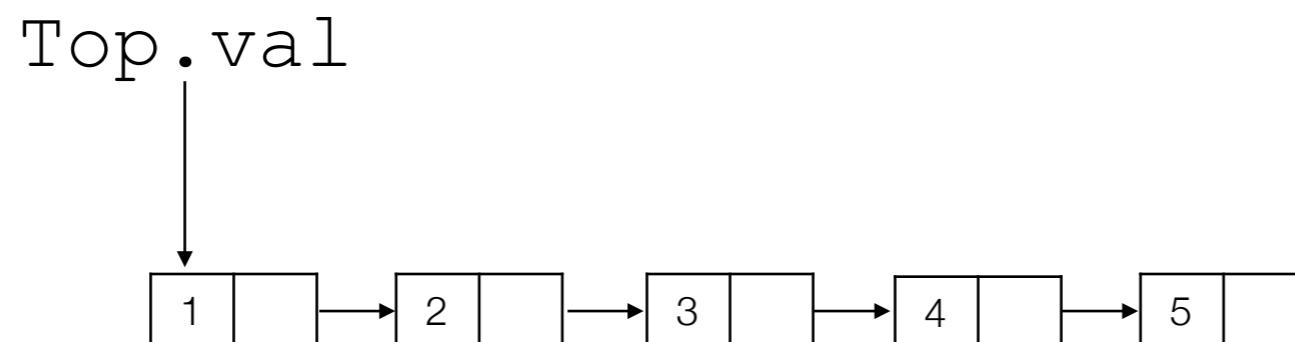
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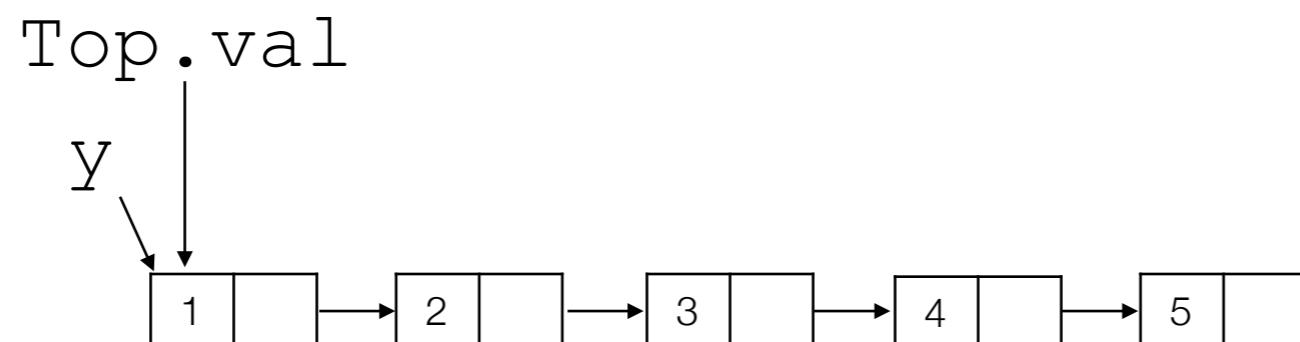
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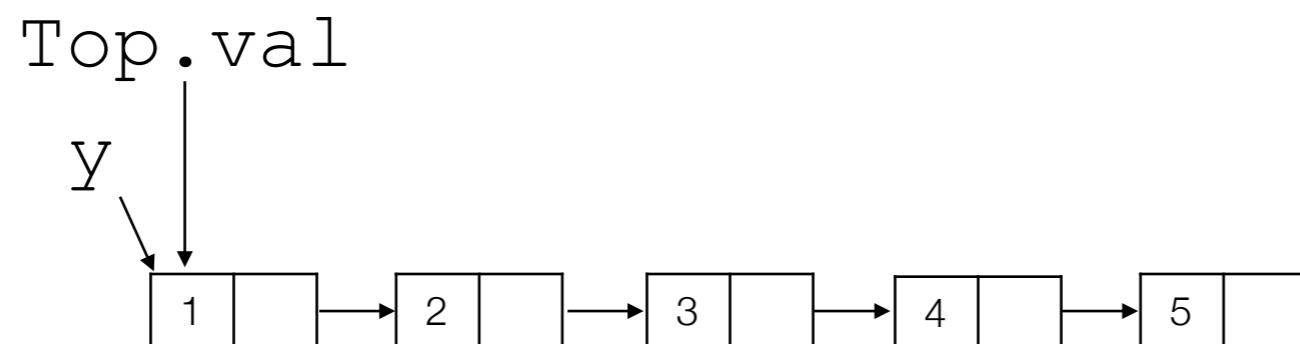
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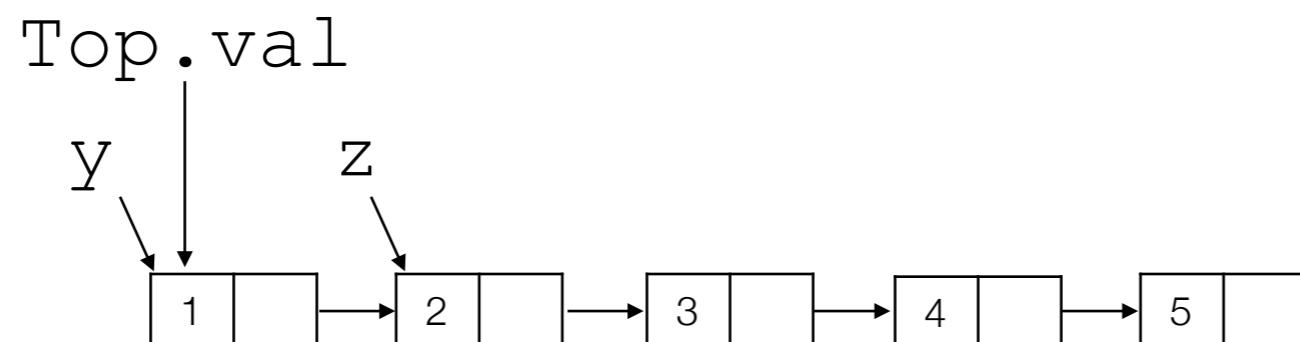
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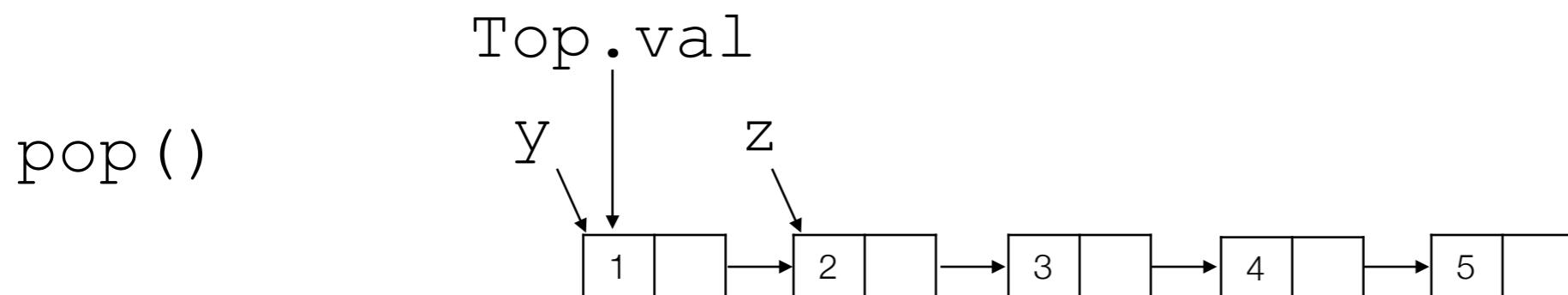
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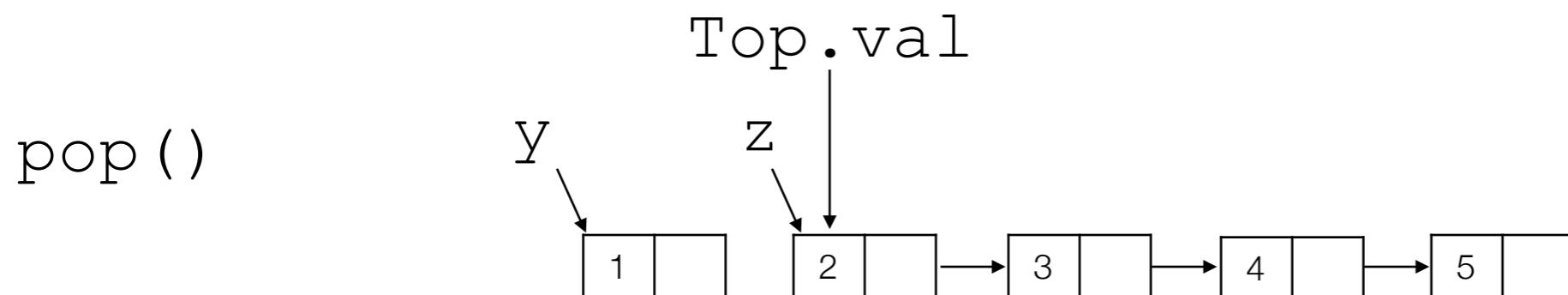
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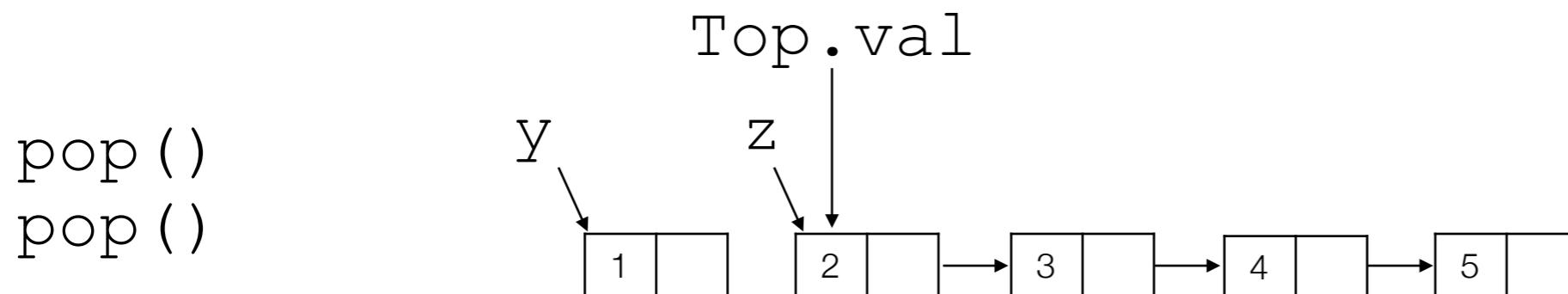
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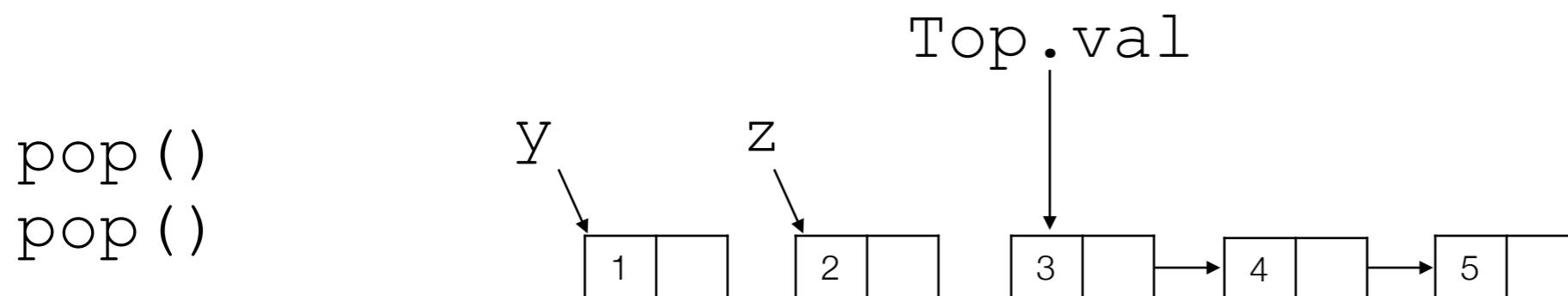
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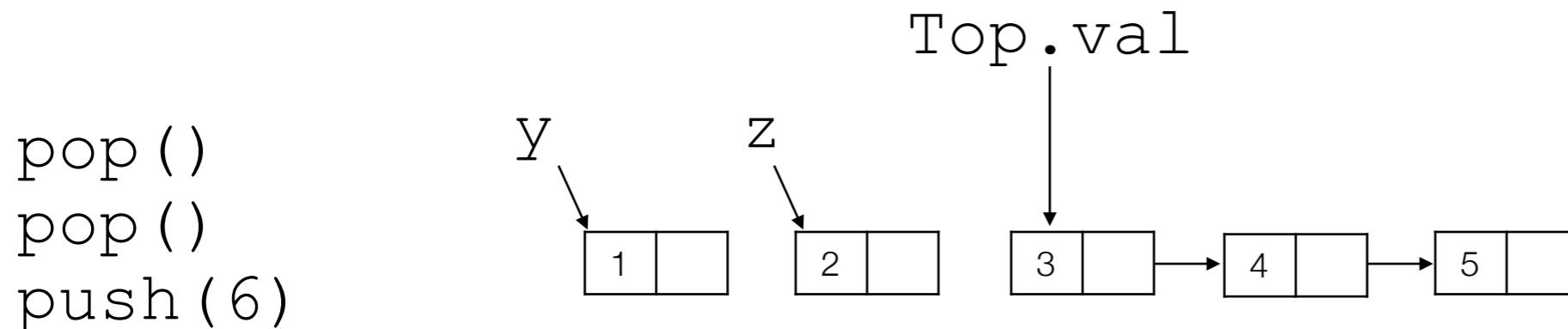
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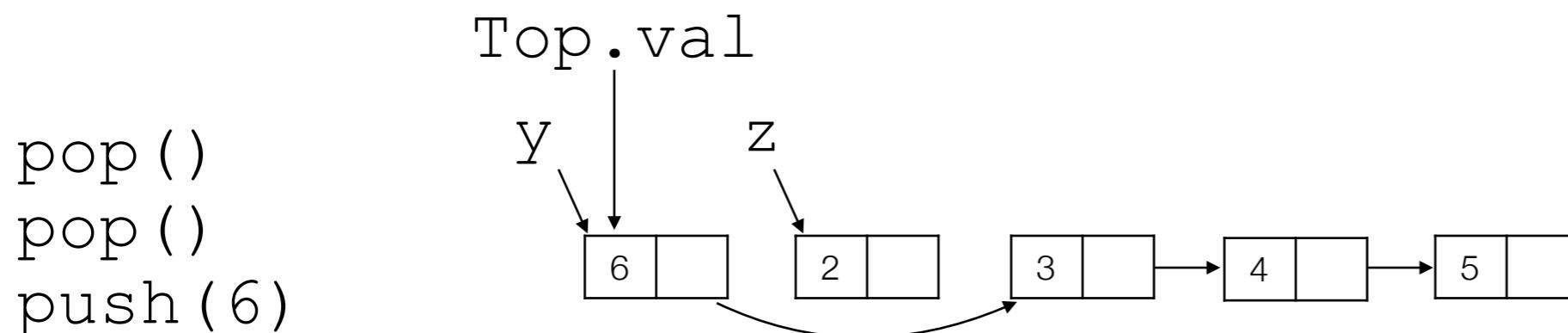
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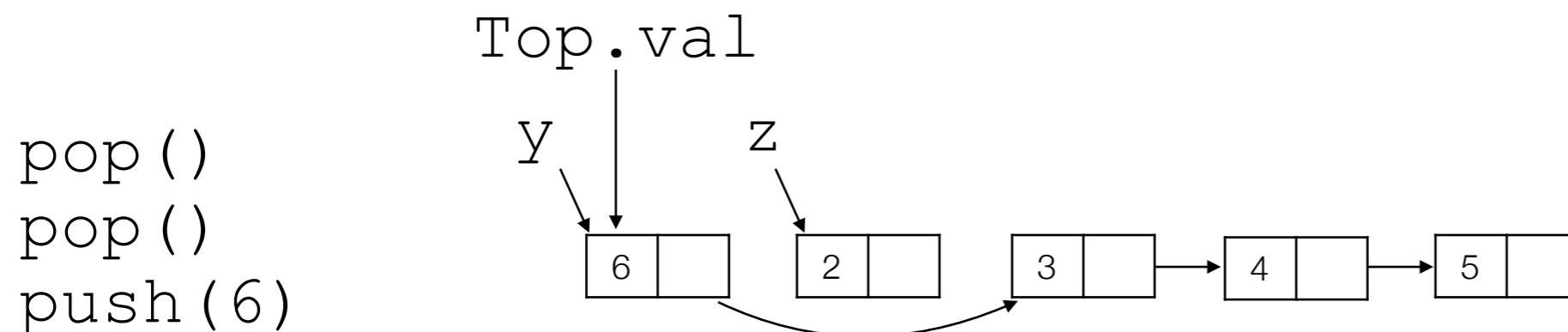
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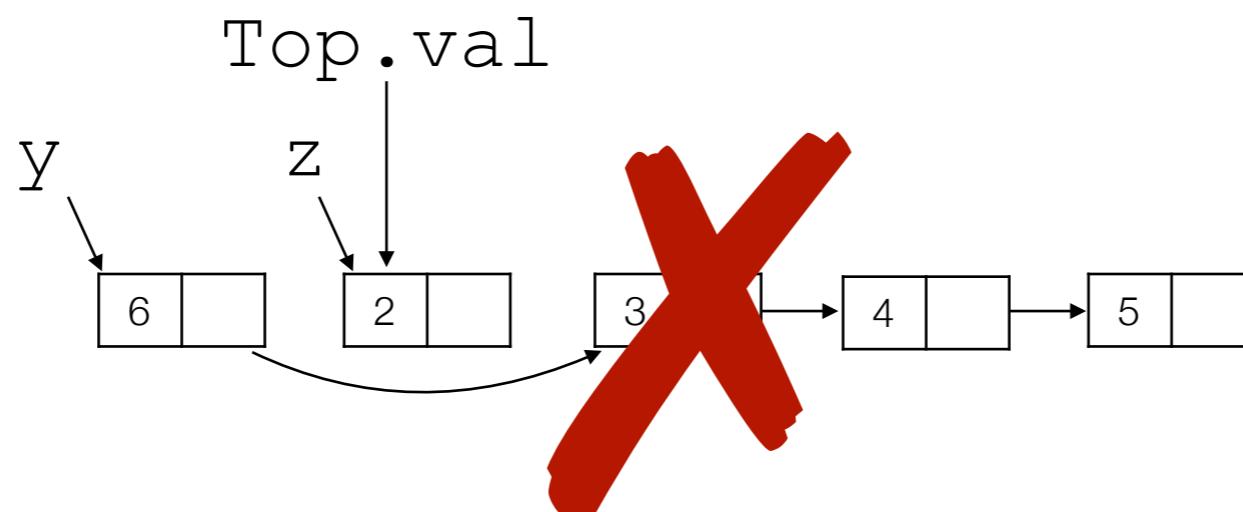
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---	---	--

pop()  
pop()  
push(6)



# Treiber + Hazard Pointers

```
class Node {           void push(int e) {           int pop() {  
    Node tl;          Node y, n;          Node y, z;  
    int val;          y = new();          while(true) {  
}           y->val = e;          y = TOP->val;  
class NodePtr{        for(n=0; n<=THREADS; n++)          if (y==0) return EMPTY;  
    Node val;          if (H[n] == y)          (TOP->H)[getTid()] = y;  
    TID[] H;          return false;          if (TOP->val != y)  
} TOP;          while(true)          continue;  
                      n = TOP->val;  
                      z = y->tl;  
                      y->tl = n;          if (cas(TOP->val, y, z))  
                      if (cas(TOP->val, n, y))          break;  
                      break;          }  
                      return true;          (TOP->H)[getTid()] = null  
} }          return y->val;  
}
```

# HSY Elimination Stack

Extremely simplified version: 1 collision

```
class Node {  
    Node tl;  
    int val;  
}  
  
class NodePtr {  
    Node val;  
} TOP;  
  
class TidPtr {  
    int val;  
} clash;  
  
void push(int e) {  
    Node y, n;  
    TID hisId;  
    y = new();  
    y->val = e;  
  
    while (true) {  
        n = TOP->val;  
        y->tl = n;  
        if (cas(TOP->val, n, y))  
            return;  
        //elimination scheme  
        TidPtr t = new TidPrt();  
        t->val = e;  
        if (cas(clash,null,t)){  
            wait(DELAY);  
            //not eliminated  
            if (cas(clash,t,null))  
                continue;  
            else break; //eliminated  
        }  
    }  
}  
  
int pop() {  
    Node y,z;  
    int t;  
    TID hisId;  
    while (true) {  
        y = TOP->val;  
        if (y == 0)  
            return EMPTY;  
        z = y->tl;  
        t = y->val;  
        if (cas(TOP->val, y, z))  
            return t;  
        //elimination scheme  
        pusher = clash;  
        while (pusher!=null){  
            if (cas(clash, pusher, null))  
                //eliminated push  
                return pusher->val;  
        }  
    }  
}
```

# VERIFYING LINEARIZABILITY

## RGSEP: Rely Guarantee + Separation Logic

# Resources, Concurrency and Local Reasoning\*

Peter W. O'Hearn  
Queen Mary, University of London

To John C. Reynolds for his 70th birthday.

## Abstract

In this paper we show how a resource-oriented logic, separation logic, can be used to reason about the usage of resources in concurrent programs.

## 1 Introduction

Resource has always been a central concern in concurrent programming. Often, a number of processes share access to system resources such as memory, processor time, or network bandwidth, and correct resource usage is essential for the overall working of a system. In the 1960s and 1970s Dijkstra, Hoare and Brinch Hansen attacked the problem of resource control in their basic works on concurrent programming [17, 18, 23, 24, 8, 9]. In addition to the use of synchronization mechanisms to provide protection from inconsistent use, they stressed the importance of *resource separation* as a means of controlling the complexity of process interactions and reducing the possibility of time-dependent errors. This paper revisits their ideas using the formalism of separation logic [43].

Our initial motivation was actually rather simple-minded. Separation logic extends Hoare's logic to programs that manipulate data structures with embedded pointers. The main primitive of the logic is its separating conjunction, which allows local reasoning about the mutation of one portion of state, in a way that automatically guarantees that other portions of the system's state remain unaffected [34]. Thus far separation logic has been applied to sequential code but, because of the way it breaks state into chunks, it seemed as if the formalism might be well suited to shared-variable concurrency, where one would like to assign different portions of state to different processes.

Another motivation for this work comes from the perspective of general resource-oriented logics such as linear logic [19] and BI [35]. Given the development of these logics it might seem natural to try to apply them to the problem of reasoning about resources in concurrent programs. This paper is one attempt to do so – separation logic's assertion language is an instance of BI – but it

# Resources, Concurrency and Local Reasoning\*

Peter W. O'Hearn  
Queen Mary, University of London

To John C.

## *Technical Report*

Number 726

In this paper we show how a logic can be used to reason about the usage of resources in concurrent programs.

### 1 Introduction

Resource has always been a central concern in distributed systems. Many processes share access to system resources such as memory, disk space and network bandwidth, and correct resource usage is essential for the correctness of the system. Dijkstra, Hoare and Brinch Hansen have all proposed logics for reasoning about concurrent programming [17, 18, 20]. These logics are intended to provide protection from incorrect resource usage by specifying rules for the usage of resources as a means of controlling the communication between processes to prevent time-dependent errors. This paper presents a logic for reasoning about resource usage in distributed systems.

Our initial motivation was to find a logic that could be applied to programs that manipulate shared variables. A key feature of the logic is its separating conditionality, which allows a portion of state, in a way that is similar to linear logic, to remain unaffected [34]. Thus far, the logic has been applied in a number of ways. One way it breaks state into local and global components, allowing for modular fine-grained shared-variable concurrency, where different parts of state are manipulated by different processes.

Another motivation for this work is to find a logic that can be used to reason about the usage of resources in distributed systems. Such logics have been developed for other purposes, such as linear logic [19] and E-logic [21], and it would be interesting to try to apply them to the problem of reasoning about resource usage. This paper is one attempt to do so.

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UNIVERSITY OF  
CAMBRIDGE

Computer Laboratory

## Modular fine-grained concurrency verification

Viktor Vafeiadis

# Verifying Linearizability with RGSEP

- ▶ Today: Program Logic approach (manual)
  - ▶ Later: automate part of the process
- ▶ Separation Logic (SL)
- ▶ Rely Guarantee (RG)
- ▶ Linearizability Proofs

# Verifying Linearizability

- ▶ Simulation based approach:

1. For each method locate the *linearization point* in the code (conceptually the atomic execution)
2. Embed an “*abstract atomic operation*” at the linearization point
3. Provide an *abstraction map* between concrete and abstract states
4. Prove the invariance of the concrete and abstract state relation
5. Show that abstract and concrete operations have the same return values for similar inputs

# Linearizability with RGSep

# A Primer on Hoare-Logics

- ▶ Also known as (Floyd-)Hoare Logic
- ▶ We need a language of assertions describing property of the state at different program points
- ▶ Program variables can be used in assertions to relate the program term and the state
- ▶ Invariants: Assertions that are true of all the states in a piece of code (e.g. loop invariant, global invariant, etc.)

# Assertions

$P ::= True$	Any possible state
$\neg P$	
$P \wedge Q$	All states that satisfy P and Q
$P \vee Q$	
$P \Rightarrow Q$	
$x = v \mid \dots$	Logical variables relate values in
$\exists X, P$	one state $\{\exists X, x = X \wedge y = X + 1\}$
$\forall X, P$	

## Substitutions

$$\{\exists X, x = X \wedge y = X + 1\}[x \leftarrow 8] = \{\exists X, 8 = X \wedge y = X + 1\} \\ \equiv \{y = 9\}$$

Notation:  $P[x \leftarrow 8] \approx [x/8]P$

# The meaning of Triples

For any initial state  
satisfying P

The final state must  
satisfy Q

$$\{P\} \ c \ \{Q\} \iff \forall \sigma \models P, \text{ when } (\sigma, c) \xrightarrow{*} \sigma', \text{ we have } \sigma' \models Q$$

If we execute c reaching a final state

Triples can be composed to  
prove complex programs

$$\{Pre\} \ c_0; \{P\} \ c; \{Q\} \ c_1; \{Post\}$$

# Check these examples

$$\{x = 3\} \quad x := x + 1 \quad \{x \leq 0\}$$
$$\{x = 3\} \quad x := x + 1 \quad \{x \geq 0\}$$
$$\{x = 3\} \quad x := x + 1; y := x \quad \{y \geq 0\}$$
$$\{\exists X, x = X \wedge y = X + 1\} \quad x := x + 1; y := x \quad \{x = y\}$$
$$\{x = 0\} \text{ if } \textit{true} \text{ then } x := x + 1 \text{ else } x := x - 1 \text{ fi } \{x = 1\}$$
$$\{x = 0\} \text{ if } x = 5 \text{ then } x := x + 1 \text{ else } x := x - 1 \text{ fi } \{x = 1\}$$
$$\{x = 0\} \text{ while } \textit{true} \text{ do } x := x + 1 \text{ od } \{\textit{false}\}$$

# Hoare Logic Rules

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$\{P\} \ skip \ {P}$

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$$\{P\} \text{ skip } \{P\}$$
$$\{P[x \leftarrow e]\} \ x := e \ \{P\}$$

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$$\frac{\{P\} \ c_0 \ \{R\} \quad \{R\} \ c_1 \ \{Q\}}{\{P\} \ c_0; c_1 \ \{Q\}}$$

# Hoare Logic Rules

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$$\frac{\{P \wedge b\} \ c_0 \ \{Q_0\} \quad \{P \wedge \neg b\} \ c_1 \ \{Q_1\}}{\{P\} \text{ if } b \text{ then } c_0 \text{ else } c_1 \text{ fi } \{b \Rightarrow Q_0 \wedge \neg b \Rightarrow Q_1\}}$$

# Hoare Logic Rules

$$\{P\} \text{ skip } \{P\}$$

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$$\frac{\{P \wedge b\} \ c \ \{P\}}{\{P\} \text{ while } b \text{ do } c \text{ od } \{\neg b \wedge P\}}$$

# Hoare Logic Rules

$$\{P\} \text{ skip } \{P\}$$

$$\{P[x \leftarrow e]\} \ x := e \ \{P\}$$

$$\frac{\{P\} \ c_0 \ \{R\} \quad \{R\} \ c_1 \ \{Q\}}{\{P\} \ c_0; c_1 \ \{Q\}}$$

$$\frac{\{P\} \ c \ \{Q\} \quad P' \Rightarrow P \quad Q \Rightarrow Q'}{\{P'\} \ c \ \{Q'\}}$$

$$\frac{\{P \wedge b\} \ c_0 \ \{Q_0\} \quad \{P \wedge \neg b\} \ c_1 \ \{Q_1\}}{\{P\} \text{ if } b \text{ then } c_0 \text{ else } c_1 \text{ fi } \{b \Rightarrow Q_0 \wedge \neg b \Rightarrow Q_1\}}$$

$$\frac{\{P \wedge b\} \ c \ \{P\}}{\{P\} \text{ while } b \text{ do } c \text{ od } \{\neg b \wedge P\}}$$

# A simple Hoare Logic Proof

$\{x = X \wedge y = Y\}$

**aux** = **x**;

**x** = **y**;

**y** = **aux**;

$\{x = Y \wedge y = X\}$

# A simple Hoare Logic Proof

$\{x = X \wedge y = Y\}$

**aux** = **x**;

$\{x = X \wedge y = Y \wedge \text{aux} = X\}$

**x** = **y**;

**y** = **aux**;

$\{x = Y \wedge y = X\}$

# A simple Hoare Logic Proof

$\{x = X \wedge y = Y\}$

**aux** = **x**;

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**x** = **y**;

$\{x = Y \wedge y = Y \wedge \text{aux} = X\}$

**y** = **aux**;

$\{x = Y \wedge y = X\}$

# The Owicky/Gries Method

- ▶ Hoare logics is insufficient to prove concurrent programs
- ▶ In 1976 Owicky and Gries provide a method to verify concurrent programs (using the cobegin/ coend parallel construct)
- ▶ First method to be able to prove properties of multiprgrams

# Quick intro to OG (R/G)

$$\frac{\{P_0\} \ C_0 \ \{Q_0\} \quad \{P_1\} \ C_1 \ \{Q_1\} \quad \text{non-interference}}{\{P_0 \wedge P_1\} \ C_0 \| C_1 \ \{Q_0 \wedge Q_1\}}$$

# Quick intro to OG (R/G)

$$\frac{\{P_0\} \ C_0 \ \{Q_0\} \quad \{P_1\} \ C_1 \ \{Q_1\} \quad \text{non-interference}}{\{P_0 \wedge P_1\} \ C_0 \| C_1 \ \{Q_0 \wedge Q_1\}}$$

## Non-Interference

- ▶ For each triple  $\{p_i\} \ c_i \ \{q_i\}$  occurring in  $\{P_1\} \ C_1 \ \{Q_1\}$
- ▶ For each pair  $\{p_j\} \ c_j$  occurring in  $\{P_0\} \ C_0 \ \{Q_0\}$ , where  $c_j$  is an atomic command (write, read, ...)
- ▶ Show that:  $p_i$  and  $q_i$  are stable w.r.t.  $\{p_i \wedge p_j\} \ c_j \ \{p_i\}$

# Quick intro to OG (R/G)

$$\frac{\{P_0\} C_0 \{Q_0\} \quad \{P_1\} C_1 \{Q_1\} \quad \text{non-interference}}{\{P_0 \wedge P_1\} C_0 \| C_1 \{Q_0 \wedge Q_1\}}$$

## Non-Interference

- ▶ For each triple  $\{p_i\} c_i \{q_i\}$  occurring in  $\{P_1\} C_1 \{Q_1\}$
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- ▶ Show that:  $p_i$  and  $q_i$  are stable w.r.t.  $\{p_i \wedge p_j\} c_j \{p_i\}$

Exponential number of proof obligations on number of threads

# To the Board!

$$\{x = 0 \wedge \text{flag} = \text{false}\}$$

$x = 100$                    ||        $\text{if}(\text{flag})$   
 $\text{flag} = \text{true}$            ||        $x = x - 50$

$$\{x \geq 50 \wedge \text{flag} = \text{true}\}$$

# To the Board!

$$\{x = 0 \wedge \text{flag} = \text{false}\}$$

$$\begin{array}{l} x = 100 \\ \text{flag} = \text{true} \end{array} \quad \| \quad \begin{array}{l} \text{if(flag)} \\ \quad \quad x = x - 50 \end{array}$$

$$\{x \geq 50 \wedge \text{flag} = \text{true}\}$$

$$\{x = 0\}$$

$$\text{Atomic} \longrightarrow x = x + 1 \quad \| \quad x = x + 1$$

$$\{x = 2\}$$

# To the Board!

$$\{x = 0 \wedge \text{flag} = \text{false}\}$$

$$\begin{array}{ll} x = 100 & \text{if(flag)} \\ \text{flag} = \text{true} & \| \\ & x = x - 50 \end{array}$$

$$\{x \geq 50 \wedge \text{flag} = \text{true}\}$$

$$\{x = 0\}$$

$$\text{Atomic} \longrightarrow x = x + 1 \quad \| \quad x = x + 1$$

$$\{x = 2\}$$

Ghost Variables

# Separation Logic (SL)

$P$	$::=$	$True$
		$\neg P$
		$P \wedge Q$
		$P \vee Q$
		$P \Rightarrow Q$
		$x = v \mid \dots$
		$\exists X, P$
		$\forall X, P$
		$\forall X, P$
Spatial Assertions		emp
		junk
		$x \mapsto v$
		$P * Q$
		$P -\circledast Q$

# Separation Logic (SL)

$P ::=$	$True$	$h, i \models_{SL} \text{emp} = \text{dom}(h) = \emptyset$
	$\neg P$	$h, i \models_{SL} \text{junk} = h, i \models_{SL} \neg \text{emp}$
	$P \wedge Q$	
	$P \vee Q$	
	$P \Rightarrow Q$	
	$x = v \mid \dots$	
	$\exists X, P$	
	$\forall X, P$	
	$\forall X, P$	
Spatial Assertions	emp	
	junk	
	$x \mapsto v$	
	$P * Q$	
	$P -@ Q$	

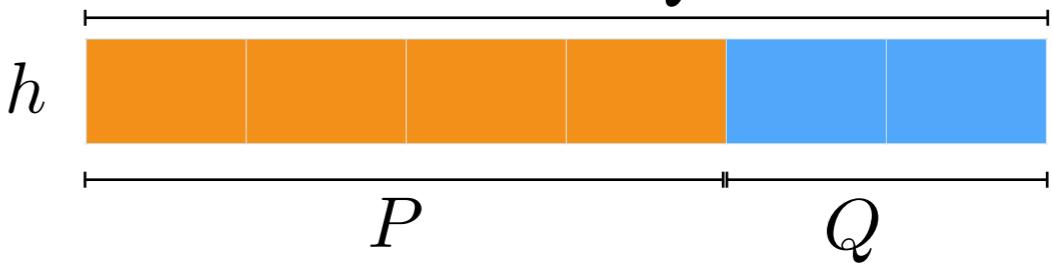
# Separation Logic (SL)

$P ::=$	$True$	$h, i \models_{SL} \text{emp} = \text{dom}(h) = \emptyset$
	$\neg P$	$h, i \models_{SL} \text{junk} = h, i \models_{SL} \neg \text{emp}$
	$P \wedge Q$	
	$P \vee Q$	$h, i \models_{SL} x \mapsto v = \exists p, \text{dom}(h) = \{p\} \wedge$
	$P \Rightarrow Q$	$i(x) = p \wedge h[i] = v$
	$x = v \mid \dots$	
	$\exists X, P$	
	$\forall X, P$	
	$\forall X, P$	
Spatial Assertions	emp	
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	$P \Rightarrow Q$	
	$x = v \mid \dots$	$h, i \models_{SL} (P * Q) = \exists h_1 h_2, (h_1 \uplus h_2 = h) \wedge h_1, i \models_{SL} P \wedge h_2, i \models_{SL} Q$
	$\exists X, P$	
	$\forall X, P$	
	$\forall X, P$	
	$\text{emp}$	
	$\text{junk}$	
	$x \mapsto v$	
	$P * Q$	
	$P -@ Q$	
Spatial Assertions		

# Separation Logic (SL)

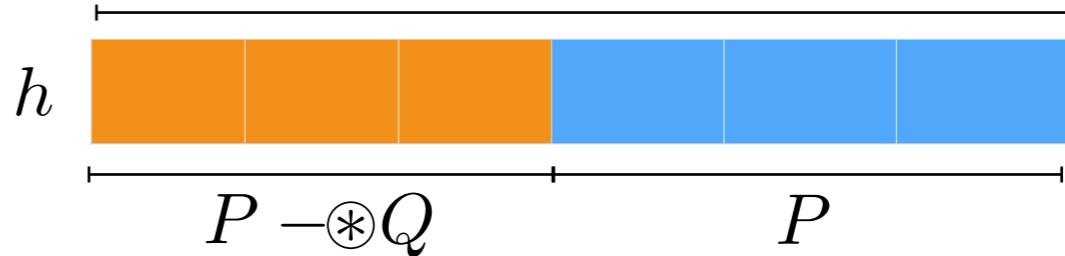
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	$P \Rightarrow Q$	$i(x) = p \wedge h[i] = v$
	$x = v \mid \dots$	$h, i \models_{SL} (P * Q) =$
	$\exists X, P$	$\exists h_1 h_2, (h_1 \uplus h_2 = h) \wedge$
	$\forall X, P$	$h_1, i \models_{SL} P \wedge h_2, i \models_{SL} Q$
	$\forall X, P$	
Spatial Assertions	emp	
	junk	
	$x \mapsto v$	
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	$P -@ Q$	

# Separation Logic (SL)

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	$P \Rightarrow Q$	
	$x = v \mid \dots$	$h, i \models_{SL} (P * Q) = \exists h_1 h_2, (h_1 \uplus h_2 = h) \wedge h_1, i \models_{SL} P \wedge h_2, i \models_{SL} Q$
	$\exists X, P$	
	$\forall X, P$	
	$\forall X, P$	
Spatial Assertions	$\text{emp}$	$h, i \models_{SL} (P -\circledast Q) = \exists h_1 h_2, (h_1 \uplus h = h_2) \wedge h_1, i \models_{SL} P \wedge h_2, i \models_{SL} Q$
	$\text{junk}$	
	$x \mapsto v$	
	$P * Q$	
	$P -\circledast Q$	
		$h \quad \overbrace{\hspace{10em}}^{\text{---}} \quad P -\circledast Q$

# Separation Logic (SL)

$P ::=$	$True$	$h, i \models_{SL} \text{emp} = \text{dom}(h) = \emptyset$
	$\neg P$	$h, i \models_{SL} \text{junk} = h, i \models_{SL} \neg \text{emp}$
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	$\text{junk}$	
	$x \mapsto v$	
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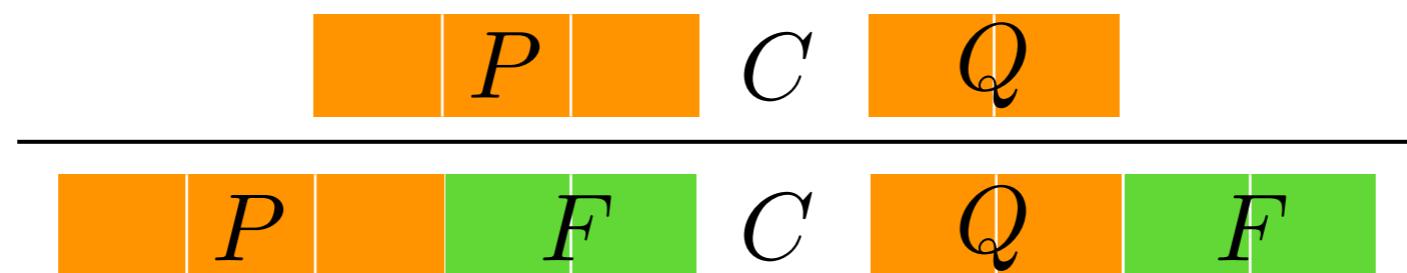


# Separation Logic (SL)

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	$\exists X, P$	
	$\forall X, P$	
	$\forall X, P$	
Spatial Assertions	$\text{emp}$	$h, i \models_{SL} (P -\circledast Q) = \exists h1\ h2, (h_1 \uplus h = h_2) \wedge h_1, i \models_{SL} P \wedge h_2, i \models_{SL} Q$
	$\text{junk}$	
	$x \mapsto v$	
	$P * Q$	
	$P -\circledast Q$	

# SL Frame Rule

$$\frac{\{P\} \ C \ \{Q\}}{\{P * F\} \ C \ \{Q * F\}}$$



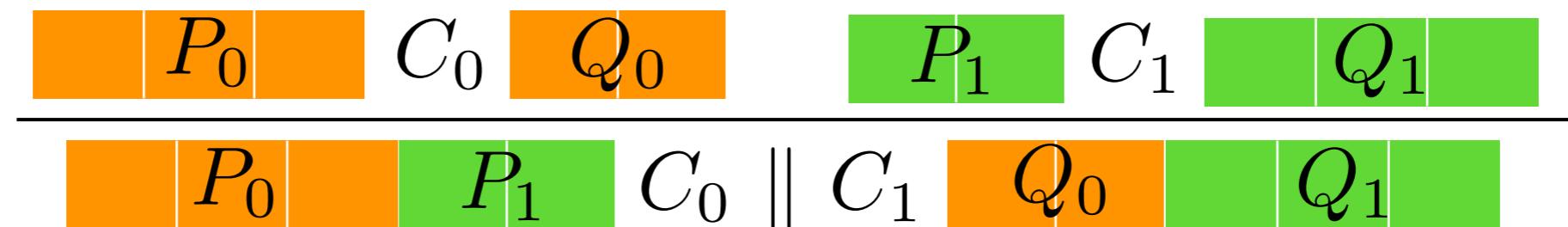
# Concurrent Separation Logic (CSL)

$$\frac{\{P_0\} \ C_0 \ \{Q_0\} \quad \{P_1\} \ C_1 \ \{Q_1\}}{\{P_0 * P_1\} \ C_0 \parallel C_1 \ \{Q_0 * Q_1\}}$$

$$\frac{\begin{array}{c|c|c|c|c} & P_0 & & C_0 & Q_0 \\ \hline & & & & \end{array} \quad \begin{array}{c|c|c|c|c} P_1 & & C_1 & & Q_1 \\ \hline & & & & \end{array}}{\begin{array}{c|c|c|c|c|c|c} & P_0 & & P_1 & & C_0 & \parallel & C_1 & Q_0 & & Q_1 \\ \hline & & & & & & & & & & \end{array}}$$

# Concurrent Separation Logic (CSL)

$$\frac{\{P_0\} \ C_0 \ \{Q_0\} \quad \{P_1\} \ C_1 \ \{Q_1\}}{\{P_0 * P_1\} \ C_0 \parallel C_1 \ \{Q_0 * Q_1\}}$$



We will ignore resources for now

# SL + Rely Guarantee (RGSep)

Locality

$$p, q, r ::= P \mid \boxed{P} \mid p * q \mid p \wedge q \mid p \vee q \mid \exists x. \, p \mid \forall x. \, p$$

# SL + Rely Guarantee (RGSep)

Locality

$$p, q, r ::= P \mid \boxed{P} \mid p * q \mid p \wedge q \mid p \vee q \mid \exists x. \, p \mid \forall x. \, p$$

The expression  $\boxed{P}$  is highlighted with a box. Two arrows point to this box from two separate boxes below it: one labeled "Local State" and one labeled "Global State".

# SL + Rely Guarantee (RGSep)

Locality

$$p, q, r ::= P \mid \boxed{P} \mid p * q \mid p \wedge q \mid p \vee q \mid \exists x. \, p \mid \forall x. \, p$$

# SL + Rely Guarantee (RGSep)

Locality

$$p, q, r ::= P \mid \boxed{P} \mid p * q \mid p \wedge q \mid p \vee q \mid \exists x. \, p \mid \forall x. \, p$$

Interference

$$\llbracket P \rightsquigarrow Q \rrbracket = \{(h_1 \uplus h_0, h_2 \uplus h_0) \mid h_1, i \vDash_{SL} P \wedge h_2, i \vDash_{SL} Q\}$$

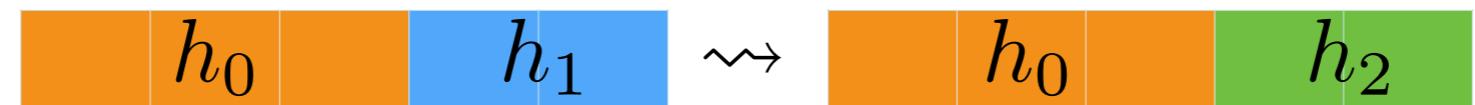
# SL + Rely Guarantee (RGSep)

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# RGSep Judgment

Pre      Post

$$R,G \models \{P\} \ c \ \{Q\}$$

# RGSep Judgment

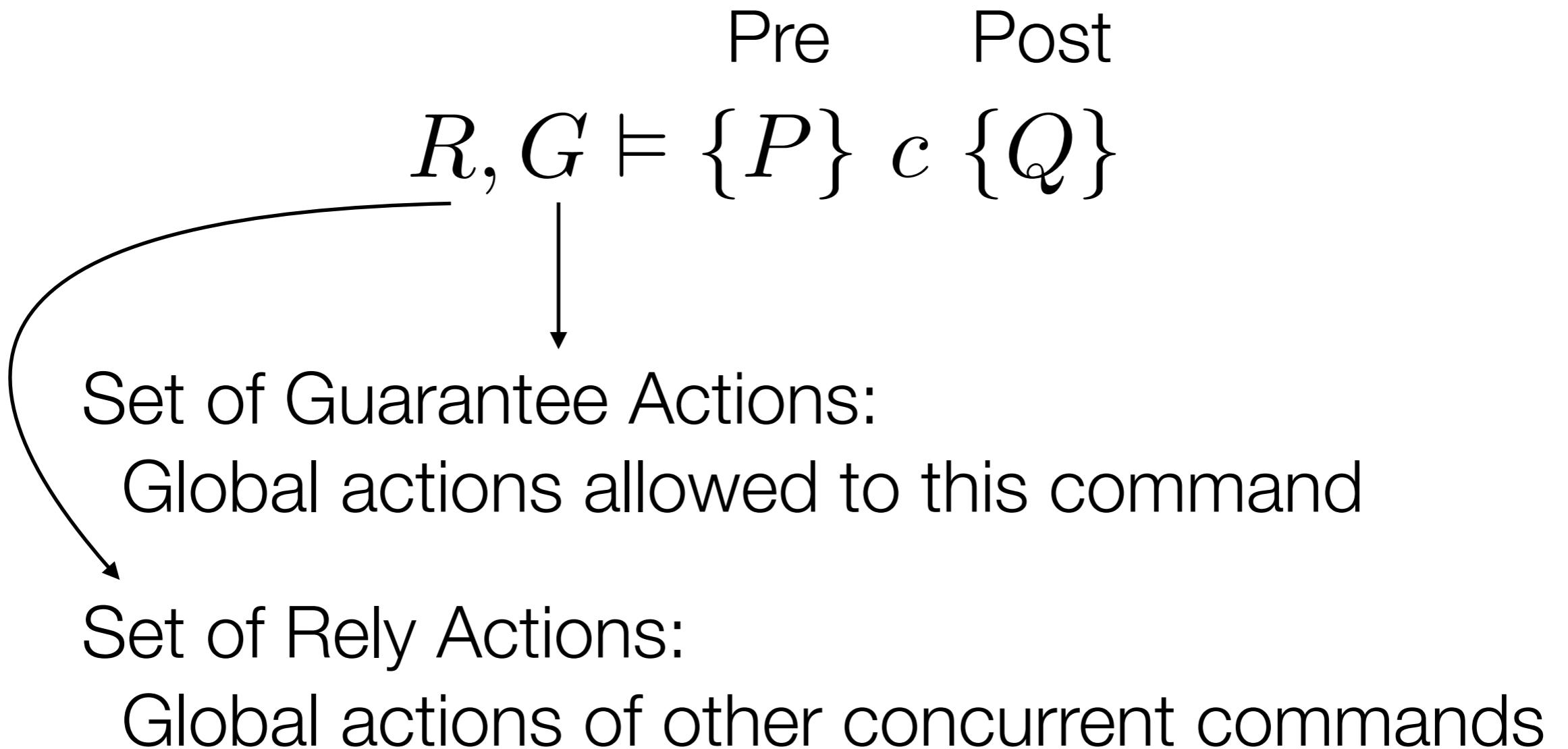
$$R, G \models \{P\} \; c \; \{Q\}$$

↓

Pre      Post

Set of Guarantee Actions:  
Global actions allowed to this command

# RGSep Judgment



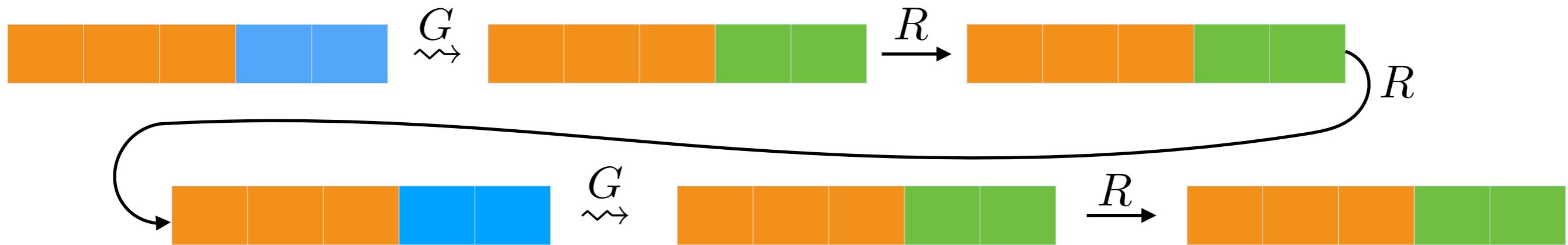
# RGSep Judgment

$$R, G \models \{P\} \; c \; \{Q\}$$

Pre      Post

Set of Guarantee Actions:  
Global actions allowed to this command

Set of Rely Actions:  
Global actions of other concurrent commands



# RGSep Proof Rules

# RGSep Proof Rules

$$\frac{R, G \vdash \{P\} \ C \ \{Q\} \quad F \text{ stable for } (R \cup G) \text{ or } C \text{ has no atomic}}{R, G \vdash \{P * F\} \ C \ \{Q * F\}}$$

# RGSep Proof Rules

$$R, G \vdash \{P\} \ C \ \{Q\}$$

$F$  stable for  $(R \cup G)$  or  $C$  has no atomic

$$R, G \vdash \{P * F\} \ C \ \{Q * F\}$$

$$Q \equiv (P * X \mapsto Y) \quad x \notin fv(P)$$

$$R, G \vdash \{\boxed{Q} \wedge e = X\} \ x := [e] \ \{\boxed{Q} * x = Y\}$$

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$$R, G \vdash \{P\} \ C_1 \ \{R\} \quad R, G \vdash \{R\} \ C_2 \ \{Q\}$$

$$R, G \vdash \{P\} \ C_1; C_2 \ \{Q\}$$

# RGSep Proof Rules

$$R, G \vdash \{P\} \ C \ \{Q\}$$

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$$\frac{}{R, G \vdash \{\boxed{Q} \wedge e = X\} \ x := [e] \ \{\boxed{Q} * x = Y\}}$$

$$R, G \vdash \{P\} \ C_1 \ \{R\} \quad R, G \vdash \{R\} \ C_2 \ \{Q\}$$

$$R, G \vdash \{P\} \ C_1; C_2 \ \{Q\}$$

$$\frac{\vdash \{P_1 * P_2\} \ C \ \{Q_1 * Q_2\} \quad \boxed{Q} \text{ stable for } R}{\bar{y} \cap fv(P_2) = \emptyset \quad P \Rightarrow P_1 * F \quad Q_1 * F \Rightarrow Q \quad (P_1 \rightsquigarrow Q_1) \subseteq G}$$

$$\frac{}{\vdash \{\exists \bar{y}. \boxed{P} * P_2\} \text{ atomic } C \ \{\exists \bar{y}. \boxed{Q} * Q_2\}}$$

# RGSep Proof Rules

$$R, G \vdash \{P\} C \{Q\}$$

$F$  stable for  $(R \cup G)$  or  $C$  has no atomic

$$R, G \vdash \{P * F\} C \{Q * F\}$$

$$\frac{Q \equiv (P * X \mapsto Y) \quad x \notin fv(P)}{R, G \vdash \{\boxed{Q} \wedge e = X\} \ x := [e] \ \{\boxed{Q} * x = Y\}}$$

$$R, G \vdash \{P\} C_1 \{R\} \quad R, G \vdash \{R\} C_2 \{Q\}$$

$$R, G \vdash \{P\} C_1; C_2 \{Q\}$$

$$\frac{\begin{array}{c} \vdash \{P_1 * P_2\} C \{Q_1 * Q_2\} \quad \boxed{Q} \text{ stable for } R \\ \bar{y} \cap fv(P_2) = \emptyset \quad P \Rightarrow P_1 * F \quad Q_1 * F \Rightarrow Q \quad (P_1 \rightsquigarrow Q_1) \subseteq G \end{array}}{\vdash \{\exists \bar{y}. \boxed{P} * P_2\} \text{ atomic } C \{\exists \bar{y}. \boxed{Q} * Q_2\}}$$

$$R \cup G_2, G_1 \vdash \{P_1\} C_1 \{Q_1\} \quad P_1 \text{ stable for } R \cup G_2$$

$$R \cup G_1, G_2 \vdash \{P_2\} C_2 \{Q_2\} \quad P_2 \text{ stable for } R \cup G_1$$

$$R, G_1 \cup G_2 \vdash \{P_1 * P_2\} C_1 \| C_2 \{Q_1 * Q_2\}$$

# RGSep Proof Rules

$$\frac{}{x \mapsto y \rightsquigarrow x \mapsto y \subseteq G} \text{G-EXACT}$$

$$\frac{P_1 \rightsquigarrow S * Q_1 \subseteq G \quad P_2 * S \rightsquigarrow Q_2 \subseteq G}{P_1 * P_2 \rightsquigarrow Q_1 * Q_2 \subseteq G} \text{G-SEQ}$$

$$\frac{\models_{\text{SL}} P' \Rightarrow P \quad P \rightsquigarrow Q \subseteq G \quad \models_{\text{SL}} Q' \Rightarrow Q}{P' \rightsquigarrow Q' \subseteq G} \text{G-CONS}$$

$$\frac{P \rightsquigarrow Q \in G}{P \rightsquigarrow Q \subseteq G} \text{G-AX}$$

$$\frac{P \rightsquigarrow Q \subseteq G}{P[e/x] \rightsquigarrow Q[e/x] \subseteq G} \text{G-SUB}$$

$$\frac{(P * F) \rightsquigarrow (Q * F) \subseteq G}{P \rightsquigarrow Q \subseteq G} \text{G-COFRM}$$

# To the Board (RGSep)

$$\{x = 0 \wedge \text{flag} = \text{false}\}$$

$$\begin{array}{ll} x = 100 & \text{if(flag)} \\ \text{flag} = \text{true} & \| \\ & x = x - 50 \end{array}$$

$$\{x \geq 50 \wedge \text{flag} = \text{true}\}$$

$$\{x = 0\}$$

$$x = x + 1 \quad \| \quad x = x + 1$$

$$\{x = 2\}$$

# Linearizability: Proof Technique

- ▶ For each implementation method of a library:
  - ▶ Identify a *syntactic linearization point*
  - ▶ Check that for each successful execution of a method there is *exactly one linearization point*
  - ▶ Check that the *input/output corresponds to the sequential spec.* of the object

# Linearizability in RGSep: Some Additional Ingredients

## Single Assignment Variables

$$\begin{array}{lll} \{emp\} & x := \mathbf{new}_{\mathbf{single}}; & \{x \xrightarrow{s} undef\} \\ \{y \xrightarrow{s} z \wedge e = y\} & x := [e]_{\mathbf{single}}; & \{y \xrightarrow{s} z \wedge x = z\} \\ \{e_1 \xrightarrow{s} undef\} & [e_1]_{\mathbf{single}} := e_2; & \{e_1 \xrightarrow{s} e_2\} \\ \{e \xrightarrow{s} \_\_ \} & \mathbf{dispose}_{\mathbf{single}}(e); & \{emp\} \end{array}$$

# Linearizability in RGSep: Some Additional Ingredients

## Single Assignment Variables

$$\begin{array}{lll} \{emp\} & x := \mathbf{new}_{\mathbf{single}}; & \{x \xrightarrow{s} undef\} \\ \{y \xrightarrow{s} z \wedge e = y\} & x := [e]_{\mathbf{single}}; & \{y \xrightarrow{s} z \wedge x = z\} \\ \{e_1 \xrightarrow{s} undef\} & [e_1]_{\mathbf{single}} := e_2; & \{e_1 \xrightarrow{s} e_2\} \\ \{e \xrightarrow{s} \_\_ \} & \mathbf{dispose}_{\mathbf{single}}(e); & \{emp\} \end{array}$$

## Simulation Argument in RGSep

$$\{p \wedge d.\mathbf{AbsResult} \xrightarrow{s} undef\} \text{ConcreteOp}(d) \{d.\mathbf{AbsResult} \xrightarrow{s} \mathbf{Result}\}$$

# Linearizability in RGSep: Some Additional Ingredients

## Single Assignment Variables

$$\begin{array}{l} \{emp\} \quad x := \mathbf{new}_{\mathbf{single}}; \quad \{x \xrightarrow{s} \text{undef}\} \\ \{y \xrightarrow{s} z \wedge e = y\} \quad x := [e]_{\mathbf{single}}; \quad \{y \xrightarrow{s} z \wedge x = z\} \\ \{e_1 \xrightarrow{s} \text{undef}\} \quad [e_1]_{\mathbf{single}} := e_2; \quad \{e_1 \xrightarrow{s} e_2\} \\ \{e \xrightarrow{s} \_\_ \} \quad \mathbf{dispose}_{\mathbf{single}}(e); \quad \{emp\} \end{array}$$

## Simulation Argument in RGSep

$$\{p \wedge d.\mathbf{AbsResult} \xrightarrow{s} \text{undef}\} \text{ ConcreteOp}(d) \quad \{d.\mathbf{AbsResult} \xrightarrow{s} \mathbf{Result}\}$$

## Shorthand Annotation for Linearization Points

$$\mathbf{Lin}_{e_1, \dots, e_n} \stackrel{\text{def}}{=} e_1.\mathbf{AbsResult} := \mathbf{AbsOp}(e_1); \dots; e_n.\mathbf{AbsResult} := \mathbf{AbsOp}(e_n);$$

# Specifications: from histories to states

Predefined Abstract State: **Abs**

Abstract specification of the operations

$$\begin{aligned}\text{Abs\_push}(e) &\stackrel{\text{def}}{=} \langle \text{Abs} := e \cdot \text{Abs}; \text{AbsResult} := e; \rangle \\ \text{Abs\_pop}() &\stackrel{\text{def}}{=} \langle \text{case } (\text{Abs}) \\ &\quad | \epsilon \implies \text{AbsResult} := \text{EMPTY}; \\ &\quad | v \cdot A \implies \{ \text{Abs} := A; \text{AbsResult} := v; \} \rangle\end{aligned}$$

We can generate the specification histories

$$\delta = \langle \text{Abs\_push}(v), \_ \rangle \cdot \langle \text{Abs\_pop}(v), v \rangle \dots$$

# Treiber Stack

# Treiber Stack in RGSep

```
class Cell {Cell next;  value_t data; }  
Cell S, Abs;  
  
void push (value v) {  
    Cell t, x;  
    x := new Cell();  
    x.data := v;  
    do {  
        <t := S; >  
        x.next := t;  
    } while ( $\neg$ CASthis(&S, t, x));  
}  
  
value pop () {  
    Cell t, x;  
    do {  
        <t := S; Linthis(t = null);>  
        if(t = null)  
            return EMPTY;  
        x := t.next;  
    } while ( $\neg$ CASthis(&S, t, x));  
    return t.data;  
}
```

Linearization points  
are marked

$$\begin{aligned} \text{Abs\_push}(e) &\stackrel{\text{def}}{=} \langle \text{Abs} := e \cdot \text{Abs}; \text{AbsResult} := e; \rangle \\ \text{Abs\_pop}() &\stackrel{\text{def}}{=} \langle \text{case } (\text{Abs}) \\ &\quad | \epsilon \implies \text{AbsResult} := \text{EMPTY}; \\ &\quad | v \cdot A \implies \{ \text{Abs} := A; \text{AbsResult} := v; \} \rangle \end{aligned}$$

# Treiber Stack in RGSep

## Actions

$$\&S \mapsto y * \&\text{Abs} \mapsto A \rightsquigarrow \&S \mapsto x * x \mapsto \text{Cell}(v, y) * \&\text{Abs} \mapsto v \cdot A \quad (\text{Push})$$

$$\&S \mapsto x * x \mapsto \text{Cell}(v, y) * \&\text{Abs} \mapsto v \cdot A \rightsquigarrow \&S \mapsto y * x \mapsto \text{Cell}(v, y) * \&\text{Abs} \mapsto A \quad (\text{Pop})$$

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## Inductive Representation of a List containing A

$$\begin{aligned} \text{lseg}(x, y, A) &\stackrel{\text{def}}{=} (x = y \wedge A = \epsilon \wedge \text{emp}) \\ &\vee (x \neq y \wedge \exists v z B. x \mapsto \text{Cell}(v, z) * \text{lseg}(z, y, B) \wedge A = v \cdot B) \end{aligned}$$

# Treiber Stack in RGSep

## Actions

$$\&S \mapsto y * \&\text{Abs} \mapsto A \rightsquigarrow \&S \mapsto x * x \mapsto \text{Cell}(v, y) * \&\text{Abs} \mapsto v \cdot A \quad (\text{Push})$$

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## Abstraction Invariant (a.k.a. Simulation Relation)

$$StackInv \stackrel{\text{def}}{=} \boxed{\exists x A. \&S \mapsto x * \&\text{Abs} \mapsto A * \text{lseg}(x, \text{null}, A) * \text{true}}$$

# Treiber Stack in RGSep

```

void push (value v) {
    Cell t, x;
    {AbsResult  $\xrightarrow{s}$  undef * StackInv}
    x := new Cell();
    x.data := v;
    {AbsResult  $\xrightarrow{s}$  undef
     { * x $\mapsto$ Cell(v,_) * StackInv}}
    do {
        {AbsResult  $\xrightarrow{s}$  undef
         { * x $\mapsto$ Cell(v,_) * StackInv}}
        {t := S; Linthis(t = null);}
        { (t=null  $\wedge$  AbsResult  $\xrightarrow{s}$  EMPTY * StackInv)
          {  $\vee$  ( $\exists x$ . AbsResult  $\xrightarrow{s}$  undef * K(x)) }
          if(t = null) return EMPTY;
          { $\exists x$ . AbsResult  $\xrightarrow{s}$  undef * K(x)}}
        x := t.next;
        {AbsResult  $\xrightarrow{s}$  undef * K(x)}
    } while( $\neg$ CASthis(&S, t, x));
    {AbsResult  $\xrightarrow{s}$  v * StackInv}
}

```

&S $\mapsto$ y \* &Abs $\mapsto$ A  $\rightsquigarrow$  &S $\mapsto$ x \* x $\mapsto$ Cell(v, y) \* &Abs $\mapsto$ v·A (Push)

&S $\mapsto$ x \* x $\mapsto$ Cell(v, y) \* &Abs $\mapsto$ v·A  $\rightsquigarrow$  &S $\mapsto$ y \* x $\mapsto$ Cell(v, y) \* &Abs $\mapsto$ A (Pop)

```

value pop () {
    Cell t, x, temp;
    {AbsResult  $\xrightarrow{s}$  undef * StackInv}
    do {
        {t := S; Linthis(t = null);}
        { (t=null  $\wedge$  AbsResult  $\xrightarrow{s}$  EMPTY * StackInv)
          {  $\vee$  ( $\exists x$ . AbsResult  $\xrightarrow{s}$  undef * K(x)) }
          if(t = null) return EMPTY;
          { $\exists x$ . AbsResult  $\xrightarrow{s}$  undef * K(x)}}
        x := t.next;
        {AbsResult  $\xrightarrow{s}$  undef * K(x)}
    } while( $\neg$ CASthis(&S, t, x));
    { $\exists v$ . AbsResult  $\xrightarrow{s}$  v
     {  $\exists x A$ . &Abs $\mapsto$ A * &S $\mapsto$ x
       { * lseg(x, null, A) * x $\mapsto$ Cell(v,_) * true}}
     temp := t.data;
     { $\exists v$ . AbsResult  $\xrightarrow{s}$  temp * StackInv}
     return temp;
    }
}

```

$$StackInv \stackrel{\text{def}}{=} \exists x A. \&S \mapsto x * \&Abs \mapsto A * lseg(x, \text{null}, A) * true$$

$$K(y) \stackrel{\text{def}}{=} \left( \begin{array}{l} \exists x v A B. \&Abs \mapsto A \cdot v \cdot B * \&S \mapsto x * lseg(x, t, A) \\ * t \mapsto Cell(v, y) * lseg(y, \text{null}, B) * true \end{array} \right) \\ \vee (\exists x A. \&Abs \mapsto A * \&S \mapsto x * lseg(x, \text{null}, A) * t \mapsto Cell(., .) * true)$$

# Treiber Stack in RGSep

```

void push (value v) {
    Cell t, x;
    {AbsResult  $\xrightarrow{s}$  undef * StackInv}
    x := new Cell();
    x.data := v;
    {AbsResult  $\xrightarrow{s}$  undef
     { * x $\mapsto$ Cell(v,_) * StackInv}}
    do {
        {AbsResult  $\xrightarrow{s}$  undef
         { * x $\mapsto$ Cell(v,_) * StackInv}}
        {t := S; Linthis(t = null);}
        { (t=null  $\wedge$  AbsResult  $\xrightarrow{s}$  EMPTY * StackInv)
          {  $\vee$  ( $\exists x$ . AbsResult  $\xrightarrow{s}$  undef * K(x)) }
          if(t = null) return EMPTY;
          { $\exists x$ . AbsResult  $\xrightarrow{s}$  undef * K(x)}}
        x := t.next;
        {AbsResult  $\xrightarrow{s}$  undef * K(x)}
    } while( $\neg$ CASthis(&S, t, x));
    {AbsResult  $\xrightarrow{s}$  v * StackInv}
}

```

(Push)

$$\&S \mapsto y * \&\text{Abs} \mapsto A \rightsquigarrow \&S \mapsto x * x \mapsto \text{Cell}(v, y) * \&\text{Abs} \mapsto v \cdot A \quad (\text{Push})$$

$$\&S \mapsto x * x \mapsto \text{Cell}(v, y) * \&\text{Abs} \mapsto v \cdot A \rightsquigarrow \&S \mapsto y * x \mapsto \text{Cell}(v, y) * \&\text{Abs} \mapsto A \quad (\text{Pop})$$

```

value pop () {
    Cell t, x, temp;
    {AbsResult  $\xrightarrow{s}$  undef * StackInv}
    do {
        {t := S; Linthis(t = null);}
        { (t=null  $\wedge$  AbsResult  $\xrightarrow{s}$  EMPTY * StackInv)
          {  $\vee$  ( $\exists x$ . AbsResult  $\xrightarrow{s}$  undef * K(x)) }
          if(t = null) return EMPTY;
          { $\exists x$ . AbsResult  $\xrightarrow{s}$  undef * K(x)}}
        x := t.next;
        {AbsResult  $\xrightarrow{s}$  undef * K(x)}
    } while( $\neg$ CASthis(&S, t, x));
    { $\exists v$ . AbsResult  $\xrightarrow{s}$  v
     {  $\exists x A$ . &Abs  $\mapsto A * \&S \mapsto x$ 
       { * lseg(x, null, A) * x  $\mapsto$  Cell(v,_) * true } }
      temp := t.data;
      { $\exists v$ . AbsResult  $\xrightarrow{s}$  temp * StackInv}
      return temp;
    }
}

```

Check Stability: Exercise :)

$$StackInv \stackrel{\text{def}}{=} \exists x A. \&S \mapsto x * \&\text{Abs} \mapsto A * \text{lseg}(x, \text{null}, A) * \text{true}$$

$$K(y) \stackrel{\text{def}}{=} \left( \begin{array}{l} \exists x v A B. \&\text{Abs} \mapsto A \cdot v \cdot B * \&S \mapsto x * \text{lseg}(x, t, A) \\ * t \mapsto \text{Cell}(v, y) * \text{lseg}(y, \text{null}, B) * \text{true} \end{array} \right) \\ \vee (\exists x A. \&Abs \mapsto A * \&S \mapsto x * \text{lseg}(x, \text{null}, A) * t \mapsto \text{Cell}(\_, \_) * \text{true})$$

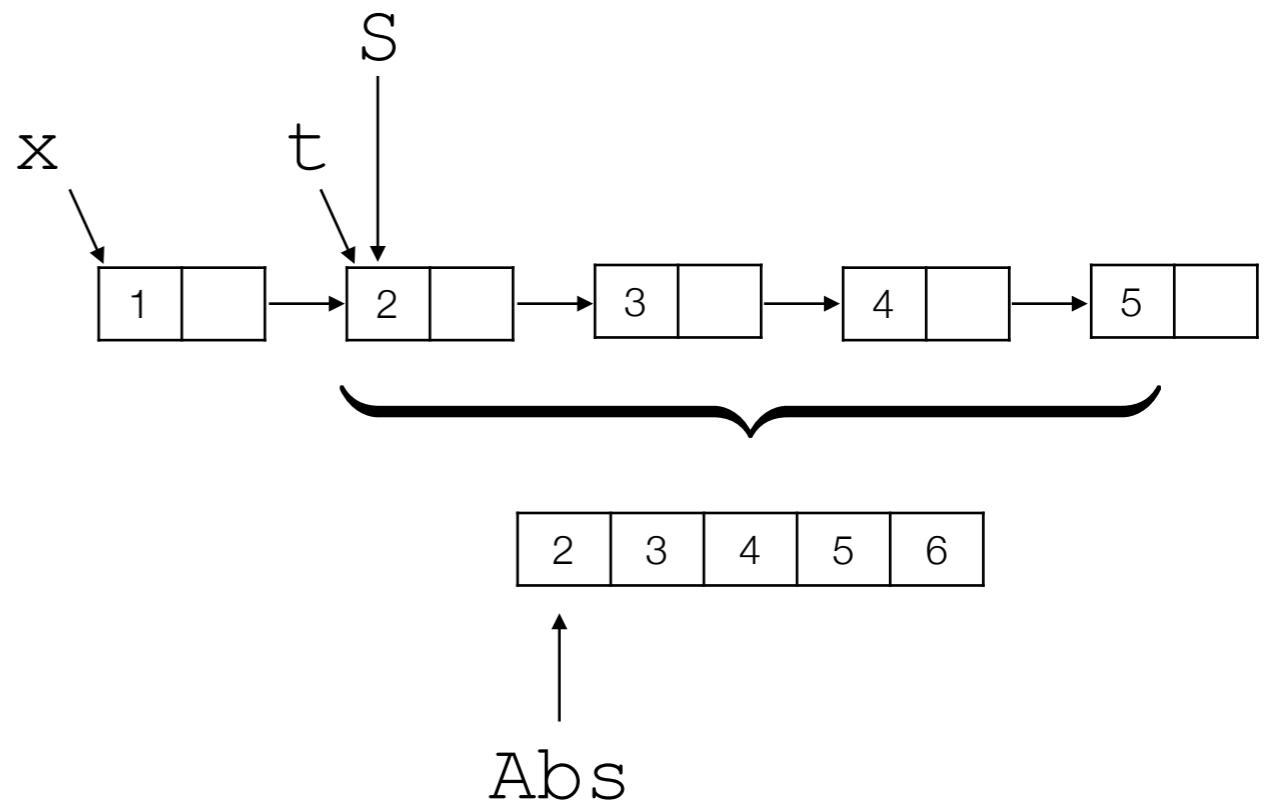
# Push

Linearization point of Push

$$\left\{ \begin{array}{l} \text{AbsResult} \xrightarrow{s} \text{undef} \\ * \mathbf{x} \mapsto \text{Cell}(\mathbf{v}, \mathbf{t}) * \text{StackInv} \end{array} \right\}$$

$\mathbf{b} := \text{CAS}_{\text{this}}(\&\mathbf{S}, \mathbf{t}, \mathbf{x});$

$$\left\{ \begin{array}{l} (\mathbf{b} \wedge \text{AbsResult} \xrightarrow{s} \mathbf{v} * \text{StackInv}) \\ \vee \left( \neg \mathbf{b} \wedge \text{AbsResult} \xrightarrow{s} \text{undef} \right) \\ * \mathbf{x} \mapsto \text{Cell}(\mathbf{v}, \mathbf{t}) * \text{StackInv} \end{array} \right\}$$



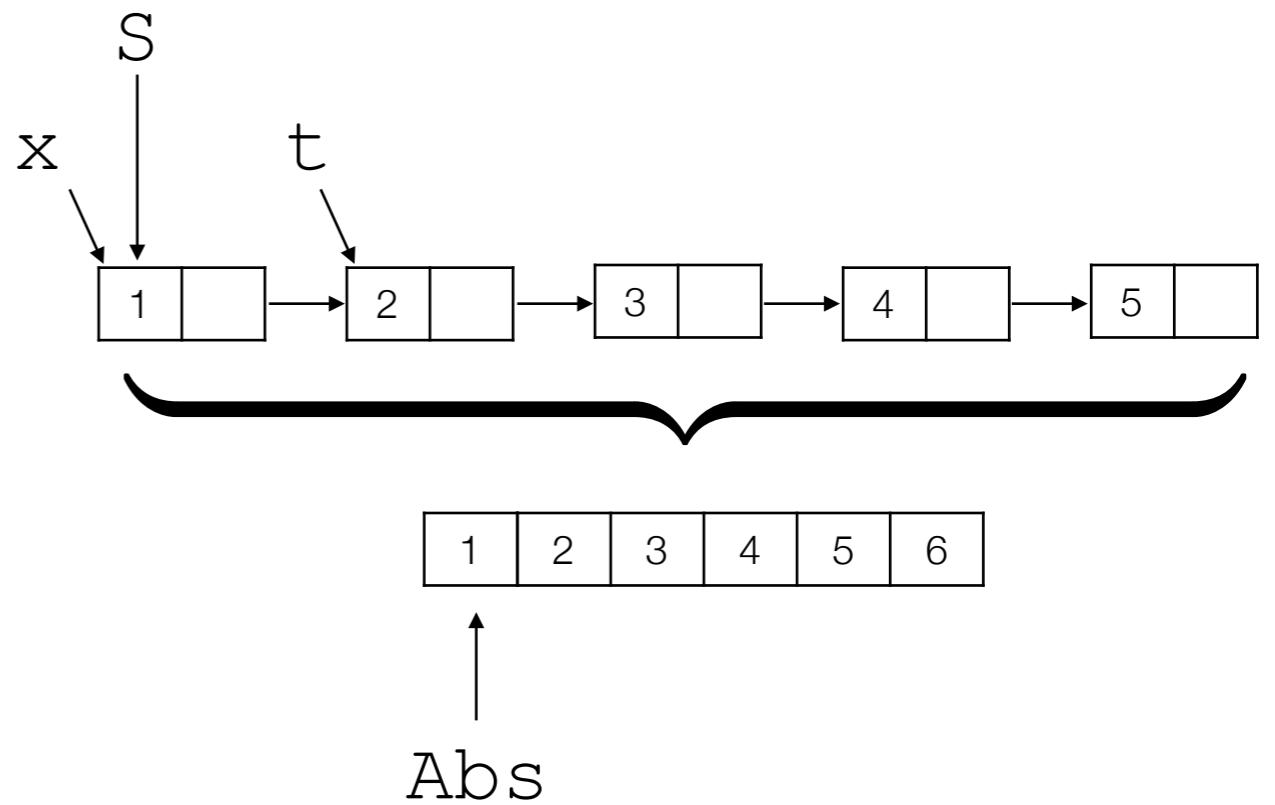
# Push

Linearization point of Push

$$\left\{ \begin{array}{l} \text{AbsResult} \xrightarrow{s} \text{undef} \\ * \mathbf{x} \mapsto \text{Cell}(\mathbf{v}, \mathbf{t}) * \text{StackInv} \end{array} \right\}$$

$\mathbf{b} := \text{CAS}_{\text{this}}(\&\mathbf{S}, \mathbf{t}, \mathbf{x});$

$$\left\{ \begin{array}{l} (\mathbf{b} \wedge \text{AbsResult} \xrightarrow{s} \mathbf{v} * \text{StackInv}) \\ \vee \left( \neg \mathbf{b} \wedge \text{AbsResult} \xrightarrow{s} \text{undef} \right) \\ * \mathbf{x} \mapsto \text{Cell}(\mathbf{v}, \mathbf{t}) * \text{StackInv} \end{array} \right\}$$



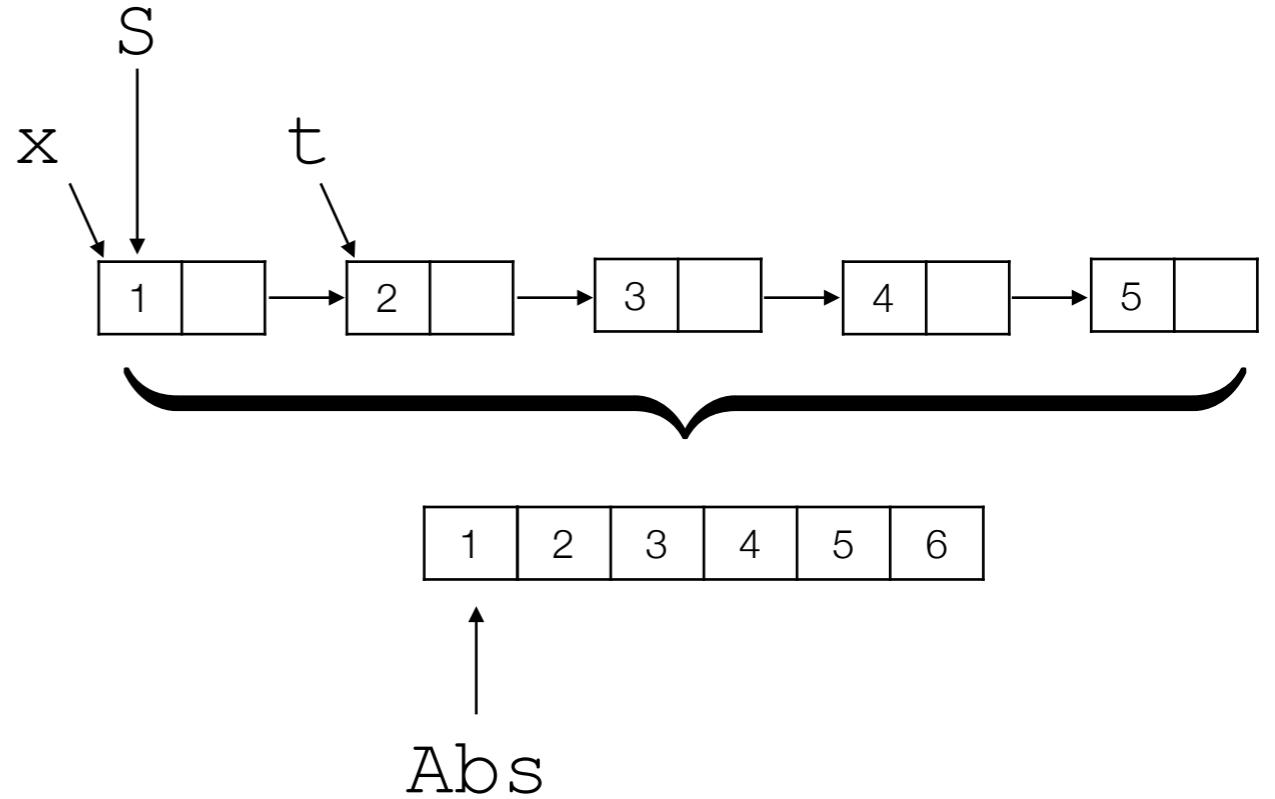
# Push

Linearization point of Push

$$\left\{ \begin{array}{l} \text{AbsResult} \xrightarrow{s} \text{undef} \\ * \text{x} \mapsto \text{Cell}(\text{v}, \text{t}) * \text{StackInv} \end{array} \right\}$$

$\text{b} := \text{CAS}_{\text{this}}(\&\text{S}, \text{t}, \text{x});$

$$\left\{ \begin{array}{l} (\text{b} \wedge \text{AbsResult} \xrightarrow{s} \text{v} * \text{StackInv}) \\ \vee \left( \neg \text{b} \wedge \text{AbsResult} \xrightarrow{s} \text{undef} \right) \\ * \text{x} \mapsto \text{Cell}(\text{v}, \text{t}) * \text{StackInv} \end{array} \right\}$$



Successful CAS

$$\left\{ \text{AbsResult} \xrightarrow{s} \text{undef} * \text{x} \mapsto \text{Cell}(\text{v}, \text{t}) * \boxed{\exists A. \&S \mapsto \text{t} * \&\text{Abs} \mapsto A * \text{lseg}(\text{t}, \text{null}, A) * \text{true}} \right\}$$

$$\left\{ \text{AbsResult} \xrightarrow{s} \text{undef} * \text{x} \mapsto \text{Cell}(\text{v}, \text{t}) * \boxed{\&S \mapsto \text{t} * \&\text{Abs} \mapsto A * \text{lseg}(\text{t}, \text{null}, A) * \text{true}} \right\}$$

$\langle [\&\text{S}] := \text{x}; \text{Abs\_push}(\text{v}) \rangle$

$$\left\{ \text{AbsResult} \xrightarrow{s} \text{v} * \boxed{\&S \mapsto \text{x} * \&\text{Abs} \mapsto \text{v} \cdot A * \text{x} \mapsto \text{Cell}(\text{v}, \text{t}) * \text{lseg}(\text{t}, \text{null}, A) * \text{true}} \right\}$$

$$\left\{ \text{AbsResult} \xrightarrow{s} \text{v} * \boxed{\exists x A. \&S \mapsto x * \&\text{Abs} \mapsto A * \text{lseg}(x, \text{null}, A) * \text{true}} \right\}$$

# Pop

CAS Linearization point of Pop

$$\left\{ \text{AbsResult} \xrightarrow{s} \text{undef} * K(\mathbf{x}) \right\}$$

$b := \text{CAS}_{\text{this}}(\&S, t, x);$

$$\left\{ \begin{array}{l} \left( b \wedge \exists v. \text{AbsResult} \xrightarrow{s} v * \boxed{\begin{array}{l} \exists x A. \&\text{Abs} \mapsto A * \&S \mapsto x * \text{lseg}(x, \text{null}, A) \\ * \text{phead} \mapsto \text{Cell}(v, \_) * \text{true} \end{array}} \right) \\ \vee (\neg b \wedge \text{AbsResult} \xrightarrow{s} \text{undef} * K(\mathbf{x})) \end{array} \right\}$$

$$K(y) \stackrel{\text{def}}{=} \left( \begin{array}{l} \left( \exists x v A B. \&\text{Abs} \mapsto A \cdot v \cdot B * \&S \mapsto x * \text{lseg}(x, \text{phead}, A) \right) \\ * \text{phead} \mapsto \text{Cell}(v, y) * \text{lseg}(y, \text{null}, B) * \text{true} \end{array} \right) \\ \vee (\exists x A. \&\text{Abs} \mapsto A * \&S \mapsto x * \text{lseg}(x, \text{null}, A) * \text{phead} \mapsto \text{Cell}(\_, \_) * \text{true})$$

# Pop

CAS Linearization point of Pop

$$\left\{ \text{AbsResult} \xrightarrow{s} \text{undef} * K(x) \right\}$$

$b := \text{CAS}_{\text{this}}(\&S, t, x);$

$$\left\{ \begin{array}{l} \left( b \wedge \exists v. \text{AbsResult} \xrightarrow{s} v * \boxed{\begin{array}{l} \exists x A. \&\text{Abs} \mapsto A * \&S \mapsto x * \text{lseg}(x, \text{null}, A) \\ * \text{phead} \mapsto \text{Cell}(v, \_) * \text{true} \end{array}} \right) \\ \vee (\neg b \wedge \text{AbsResult} \xrightarrow{s} \text{undef} * K(x)) \end{array} \right\}$$

$$K(y) \stackrel{\text{def}}{=} \left( \begin{array}{l} \exists x v A B. \&\text{Abs} \mapsto A \cdot v \cdot B * \&S \mapsto x * \text{lseg}(x, \text{phead}, A) \\ * \text{phead} \mapsto \text{Cell}(v, y) * \text{lseg}(y, \text{null}, B) * \text{true} \end{array} \right) \\ \vee (\exists x A. \&\text{Abs} \mapsto A * \&S \mapsto x * \text{lseg}(x, \text{null}, A) * \text{phead} \mapsto \text{Cell}(\_, \_) * \text{true})$$

Read Linearization point of Pop

$$\left\{ \begin{array}{l} \text{AbsResult} \xrightarrow{s} \text{undef} \\ * \text{StackInv} \end{array} \right\} - \left\{ \begin{array}{l} (t = \text{null} \wedge \text{AbsResult} \xrightarrow{s} \text{EMPTY} * \text{StackInv}) \\ \vee (\exists x. \text{AbsResult} \xrightarrow{s} \text{undef} * K(x)) \end{array} \right\}$$

# Lock Coupling List

# Lock Coupling Set

```
locate(e) {  
    local p, c;  
    p := Head;  
    lock(p);  
    c := p.next;  
    while (c.value < e) {  
        lock(c);  
        unlock(p);  
        p := c;  
        c := p.next;  
        lock(c);  
    }  
    return(p, c);  
}
```

```
remove(e) {  
    local x, y, z;  
    (x, y) := locate(e);  
    if (y.value = e) {  
        lock(y);  
        z := y.next;  
        x.next := z;  
        unlock(x);  
        dispose(y);  
    } else {  
        unlock(x);  
    }  
}
```

```
add(e) {  
    local x, y, z;  
    (x, z) := locate(e);  
    if (z.value ≠ e) {  
        y := new Node();  
        y.lock := 0;  
        y.value := e;  
        y.next := z;  
        x.next := y;  
    }  
    unlock(x);  
}
```

# Lock Coupling Set

Some predicates

$$N_s(x, v, t) = x \mapsto \{.\text{lock} = s, .\text{value} = v, .\text{next} = y\}$$

# Lock Coupling Set

Some predicates

$$N_s(x, v, t) = x \mapsto \{.\text{lock} = s, .\text{value} = v, .\text{next} = y\}$$

x points to a node locked by s or s is 0,  
with value v, and followed by Node y

# Lock Coupling Set

Some predicates

$$N_s(x, v, t) = x \mapsto \{.\text{lock} = s, .\text{value} = v, .\text{next} = y\}$$

$$L_t(x, v, y) = N_t(x, v, y) \wedge t > 0$$

$x$  points to a node locked by a real thread  $t$

# Lock Coupling Set

Some predicates

$$N_s(x, v, t) = x \mapsto \{.\text{lock} = s, .\text{value} = v, .\text{next} = y\}$$

$$L_t(x, v, y) = N_t(x, v, y) \wedge t > 0$$

$$U(x, v, y) = N_0(x, v, y)$$

x points to an unlocked node

# Lock Coupling Set

Some predicates

$$N_s(x, v, t) = x \mapsto \{.\text{lock} = s, .\text{value} = v, .\text{next} = y\}$$

$$L_t(x, v, y) = N_t(x, v, y) \wedge t > 0$$

$$U(x, v, y) = N_0(x, v, y)$$

# Lock Coupling Set

Some predicates

$$N_s(x, v, t) = x \mapsto \{.\text{lock} = s, .\text{value} = v, .\text{next} = y\}$$

$$L_t(x, v, y) = N_t(x, v, y) \wedge t > 0$$

$$U(x, v, y) = N_0(x, v, y)$$

Lock and Unlock Actions

$$t \in T \wedge U(x, v, b) \rightsquigarrow L_t(x, v, n) \quad t \in T \wedge L_t(x, v, b) \rightsquigarrow U(x, v, n)$$

# Lock Coupling Set

Some predicates

$$N_s(x, v, t) = x \mapsto \{.\text{lock} = s, .\text{value} = v, .\text{next} = y\}$$

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Lock and Unlock Actions

$$t \in T \wedge U(x, v, b) \rightsquigarrow L_t(x, v, n) \quad t \in T \wedge L_t(x, v, b) \rightsquigarrow U(x, v, n)$$

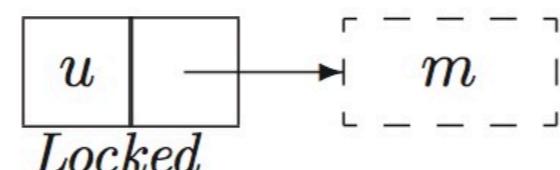
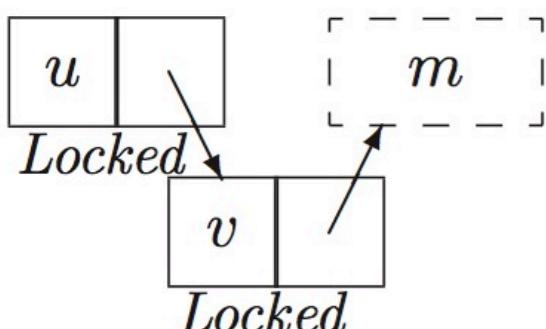
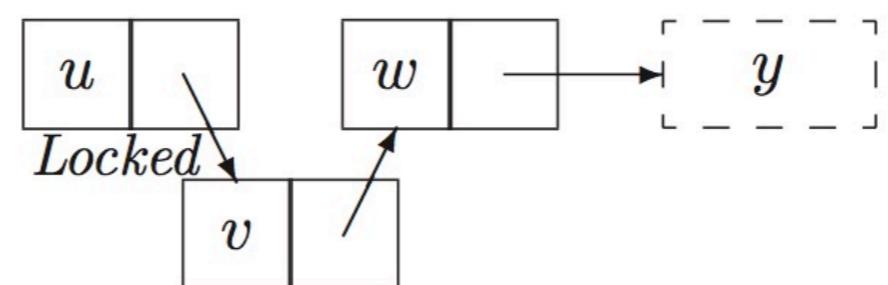
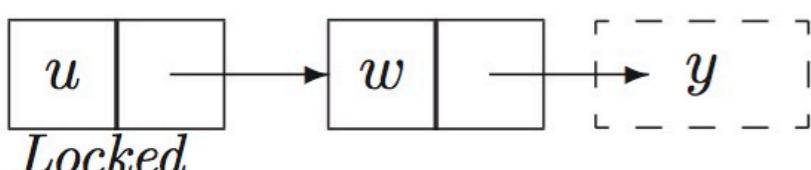
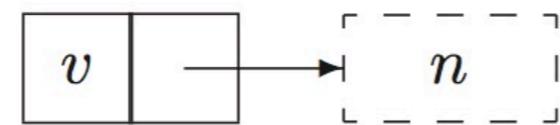
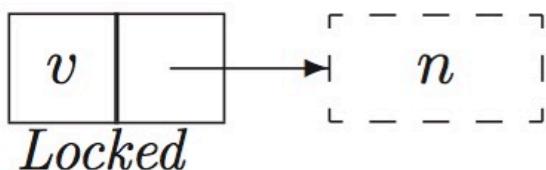
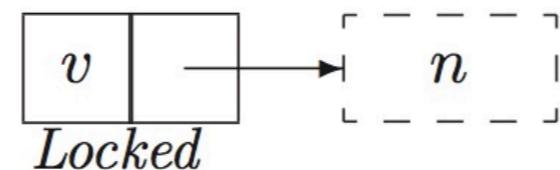
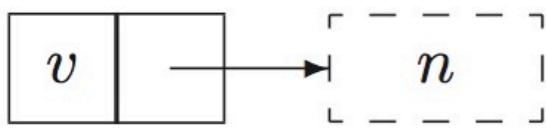
Rules

$$\frac{\begin{array}{c} P \text{ stable for } R \quad Q \text{ stable for } R \\ P \Rightarrow N_-(p, n, n) * F \\ L_{tid}(p, v, n) * F \Rightarrow Q \end{array}}{R, G \vdash \{\boxed{P}\} \text{ lock}(p) \{\boxed{Q}\}}$$
 
$$\frac{\begin{array}{c} P \text{ stable for } R \quad Q \text{ stable for } R \\ P \Rightarrow L_{tid}(p, n, n) * F \\ U(p, v, n) * F \Rightarrow Q \end{array}}{R, G \vdash \{\boxed{P}\} \text{ unlock}(p) \{\boxed{Q}\}}$$

# Lock Coupling Set

$$(t \in T) \wedge (u < v < w) \wedge (L_t(x, u, n) * N_s(n, w, y)) \rightsquigarrow L_t(x, u, m) * U(m, v, n) * N_s(n, w, y) \quad (\text{Insert})$$

$$(t \in T) \wedge (v < \infty) \wedge (L_t(x, u, n) * L_t(n, v, m)) \rightsquigarrow L_t(x, u, m) \quad (\text{Remove})$$



# Locate

```

locate(e) {
    local p, c, t;
    { $\exists A. ls(\text{Head}, A, \text{nil}) * s(A)$   $\wedge -\infty < e$ } 
    p := Head;
    { $\exists ZB. ls(\text{Head}, \epsilon, p) * N(p, -\infty, Z)$   $\wedge -\infty < e$ } 
    { $* ls(Z, B, \text{nil}) * s(-\infty \cdot B)$ } 
    lock(p);
    { $\exists Z. \exists B. ls(\text{Head}, \epsilon, p) * L(p, -\infty, Z)$   $\wedge -\infty < e$ } 
    { $* ls(Z, B, \text{nil}) * s(-\infty \cdot B)$ } 
    {c := p.next;}
    { $\exists B. ls(\text{Head}, \epsilon, p) * L(p, -\infty, c)$   $\wedge -\infty < e$ } 
    { $* ls(c, B, \text{nil}) * s(-\infty \cdot B)$ } 
    {t := c.value;}
    { $\exists u. \exists ABZ. ls(\text{Head}, A, p) * L(p, u, c)$   $\wedge u < e$ } 
    { $* N(c, t, Z) * ls(c, B, \text{nil}) * s(A \cdot u \cdot t \cdot B)$ } 
    while (t < e) {
        { $\exists u. \exists ABZ. ls(\text{Head}, A, p) * L(p, u, c)$   $\wedge u < e \wedge t < e$ } 
        { $* N(c, t, Z) * ls(c, B, \text{nil}) * s(A \cdot u \cdot t \cdot B)$ } 
        lock(c);
        { $\exists uZ. \exists AB. ls(\text{Head}, A, p) * L(p, u, c)$   $\wedge t < e$ } 
        { $* L(c, t, Z) * ls(Z, B, \text{nil}) * s(A \cdot u \cdot t \cdot B)$ } 
        unlock(p);
        { $\exists Z. \exists AB. ls(\text{Head}, A, c) * L(c, t, Z)$   $\wedge t < e$ } 
        { $* ls(Z, B, \text{nil}) * s(A \cdot t \cdot B)$ } 
        p := c;
        { $\exists uZ. \exists AB. ls(\text{Head}, A, p) * L(p, u, Z)$   $\wedge u < e$ } 
        { $* ls(Z, B, \text{nil}) * s(A \cdot u \cdot B)$ } 
        {c := p.next;}
        { $\exists u. \exists AB. ls(\text{Head}, A, p) * L(p, u, c)$   $\wedge u < e$ } 
        { $* ls(c, B, \text{nil}) * s(A \cdot u \cdot B)$ } 
        {t := c.value;}
        { $\exists u. \exists ABZ. ls(\text{Head}, A, p) * L(p, u, c)$   $\wedge u < e$ } 
        { $* N(c, t, Z) * ls(Z, B, \text{nil}) * s(A \cdot u \cdot t \cdot B)$ } 
    }
    { $\exists uv. \exists ABZ. ls(\text{Head}, A, p) * L(p, u, c)$   $\wedge u < e \wedge e \leq v$ } 
    { $* N(c, v, Z) * ls(Z, B, \text{nil}) * s(A \cdot u \cdot v \cdot B)$ } 
    return (p, c);
}

```

# Add

```

add(e) { local x,y,z,t;
{ $\exists A. ls(\text{Head}, A, \text{nil}) * s(A)$ }  $\wedge -\infty < e$ }
(x,z) := locate(e);
{ $\exists uv. \left\{ \begin{array}{l} \exists ZAB. ls(\text{Head}, A, x) * L(x, u, z) * N(z, v, Z) \\ * ls(Z, B, \text{nil}) * s(A \cdot u \cdot v \cdot B) \end{array} \right\} \wedge u < e \wedge e \leq v$ }
<t = z.value;> if(t  $\neq$  e) {
{ $\exists uv. \left\{ \begin{array}{l} \exists ZAB. ls(\text{Head}, A, x) * L(x, u, z) * N(z, v, Z) \\ * ls(Z, B, \text{nil}) * s(A \cdot u \cdot v \cdot B) \end{array} \right\} \wedge u < e \wedge e < v$ }
y = cons(0, e, z);
{ $\exists uv. \left\{ \begin{array}{l} \exists ZAB. ls(\text{Head}, A, x) * L(x, u, z) * N(z, v, Z) \\ * ls(Z, B, \text{nil}) * s(A \cdot u \cdot v \cdot B) \end{array} \right\} * U(y, e, z) \wedge u < e \wedge e < v$ }
<x.next = y;>
{ $\exists uv. \left\{ \exists ZAB. ls(\text{Head}, A, x) * L(x, u, y) * N(y, e, Z) * ls(Z, B, \text{nil}) * s(A \cdot u \cdot e \cdot B) \right\}$ }
}
unlock(x);
{ $\exists v. \left\{ \exists A. ls(\text{Head}, A, \text{nil}) * s(A) \right\}$ }
}

```

# Remove

```

remove(e) { local x, y, z, t;
  { $\exists A. ls(\text{Head}, A, \text{nil}) * s(A)$ }  $\wedge -\infty < e \wedge e < +\infty$ 
  (x, y) = locate(e);
  { $\exists uv. \begin{cases} \exists ZAB. ls(\text{Head}, A, x) * L(x, u, y) * N(y, v, Z) \\ * ls(Z, B, \text{nil}) * s(A \cdot u \cdot v \cdot B) \end{cases}$ }  $\wedge u < e \wedge e \leq v \wedge e < +\infty$ 
  {t = y.value; } if(t = e) {
    { $\exists u. \begin{cases} \exists ZAB. ls(\text{Head}, A, x) * L(x, u, y) * N(y, e, Z) \\ * ls(Z, B, \text{nil}) * s(A \cdot u \cdot e \cdot B) \end{cases}$ }  $\wedge e < +\infty$ 
    lock(y);
    { $\exists u. \begin{cases} \exists ZAB. ls(\text{Head}, A, x) * L(x, u, y) * L(y, e, Z) \\ * ls(Z, B, \text{nil}) * s(A \cdot u \cdot e \cdot B) \end{cases}$ }  $\wedge e < +\infty$ 
    {z := y.next; }
    { $\exists u. \begin{cases} \exists AB. ls(\text{Head}, A, x) * L(x, u, y) * L(y, e, z) \\ * ls(z, B, \text{nil}) * s(A \cdot u \cdot e \cdot B) \end{cases}$ }  $\wedge e < +\infty$ 
    {x.next := z; }
    { $\exists u. \exists AB. ls(\text{Head}, A, x) * L(x, u, z) * ls(z, B, \text{nil}) * s(A \cdot u \cdot B) * L(y, e, z)$ }
    unlock(x);
    { $\exists A. ls(\text{Head}, A, \text{nil}) * s(A)$ } * L(y, e, z)
    dispose(y);
  } else { { $\exists u. \exists ZAB. ls(\text{Head}, A, x) * L(x, u, y) * ls(y, B, \text{nil}) * s(A \cdot u \cdot B)$ } }
    unlock(x);
    { $\exists A. ls(\text{Head}, A, \text{nil}) * s(A)$ }
}

```

.... to be continued ....

- ▶ Automatization
  - ▶ Symbolic Execution of SL
  - ▶ Shape Analysis on SL
  - ▶ Shape-Value Abstraction for Linearizability
  - ▶ RGSep Action Inference
  - ▶ Automatically Proving Linearizability



# Monitoring Atomicity in Concurrent Programs

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**Abstract.** We study the problem of monitoring concurrent program runs for atomicity violations. Unearthing fundamental results behind scheduling algorithms in database control, we build space-efficient monitoring algorithms for checking atomicity that use space polynomial in the number of active threads and entities, and independent of the length of the run monitored. Second, by interpreting the monitoring algorithm as a *finite automaton*, we solve the model checking problem for atomicity of finite-state concurrent models. This establishes (for the first time) that model checking finite-state concurrent models for atomicity is decidable, and remedies incorrect proofs published in the literature. Finally, we exhibit experimental evidence that our atomicity monitoring algorithm exhibits time and space benefits on benchmark applications.

# Conflict Serializability (recap)

- ▶ We need to inspect the implementation of the library
  - ▶ In a transaction these are writes and reads to different registers
- ▶ Specification Histories:
  - ▶ Call : beginTx
  - ▶ Return : commitTx
- ▶ Implementation Histories:
  - ▶ Call : beginTx
  - ▶ Return : commitTx
  - ▶ Write :  $wr_{p,v}$
  - ▶ Read :  $rd_{p,v}$
  - ▶ RMW :  $cas_{p,v,w}$
- ▶ Sometimes we need to mention the thread:  $(t, wr_{p,v})$

# Conflict Serializability (recap)

- ▶ We define a conflict relation  $\#$  between operations:
  - ▶  $wr_{p,v} \# rd_{p,w}$
  - ▶  $wr_{p,v} \# wr_{p,w}$
  - ▶  $rd_{p,w} \# wr_{p,v}$
- ▶ Conflict Equivalence:
  - ▶ Minimal equivalence on histories  $\sim$ , such that
$$\delta_0 \cdot o_1 \cdot o_2 \cdot \delta_1 \sim \delta_0 \cdot o_2 \cdot o_1 \cdot \delta_1$$
whenever  $o_1 \# o_2$
  - ▶ In a nutshell, reordering non-conflicting events renders equivalent histories

# Conflict Serializability Monitoring

- ▶ Let's instrument the program to detect Serializability violations at runtime
- ▶ We can record the history of the execution and check when it becomes un-serializable
- ▶ Problem: How much do we need to remember?
  - ▶ Let's try to minimize it

# Conflict Serializability Monitoring

- ▶ Actions  $\sigma \in \Sigma$ :  $\text{push}(v)$ ,  $\text{ret}_{\text{push}}$ ,  $\text{pop}()$ ,  $\text{ret}_v$ ,  
 $\text{wr}_{p,v}$ ,  $\text{rd}_{p,v}$ ,  $\text{cas}_{p,v,w}$
- ▶ Events:  $\Sigma_T = \{(t, a) \mid a \in \Sigma, t \in T\}$
- ▶ History:  $\delta \in \Sigma_{T^*}^*$  + well formedness conditions
- ▶ Conflict, Dependency and Equivalence of histories:  
as before
- ▶ Definition: (Atomicity – a.k.a. Conflict Serializability)  
A history  $\delta$  is (Conflict) Serializable if it has a *conflict equivalent serial history*

# Conflict Serializability Monitoring

## ▶ Definition: (Conflict Graph)

If operations  $o_1, o_2, \dots, o_n$  happen in  $\delta$ . The conflict graph  $CG(\delta)$  of  $\delta$  is a tuple  $CG(\delta) = (V, E, S)$  where:

▶  $V = (o_1, o_2, \dots, o_n)$ ,

▶  $S : V \rightarrow 2^\Sigma$  where  $S(o_i)$  is the set of events of  $o_i$  in  $\delta$

▶  $o_i \rightarrow o_j \in E$  if there are events  $(t, a) \in S(o_i)$  and  $(t', a') \in S(o_j)$  such that  $a \# a'$ , and  $a$  appears in  $\delta$  before  $a'$

## ▶ Lemma:

There exists a cycle in  $\delta$  iff  $\delta$  is not conflict serializable

# Conflict Serializability Monitoring

- ▶ So, we could record the Conflict Graph, and check for cycles at every operation:

# Conflict Serializability Monitoring

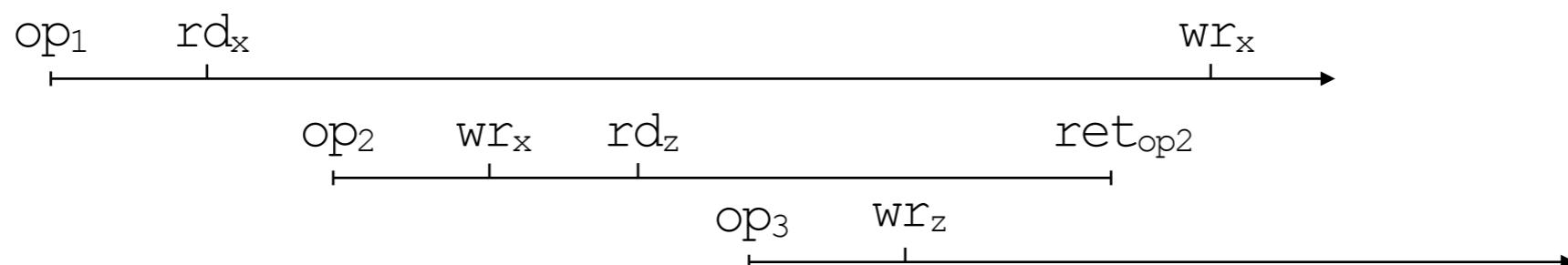
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- ▶ So, we could record the Conflict Graph, and check for cycles at every operation:
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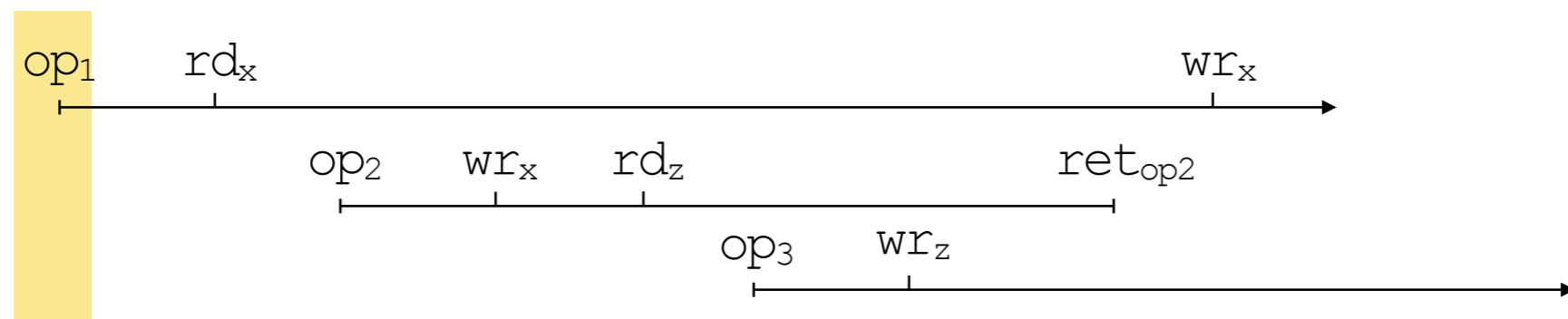
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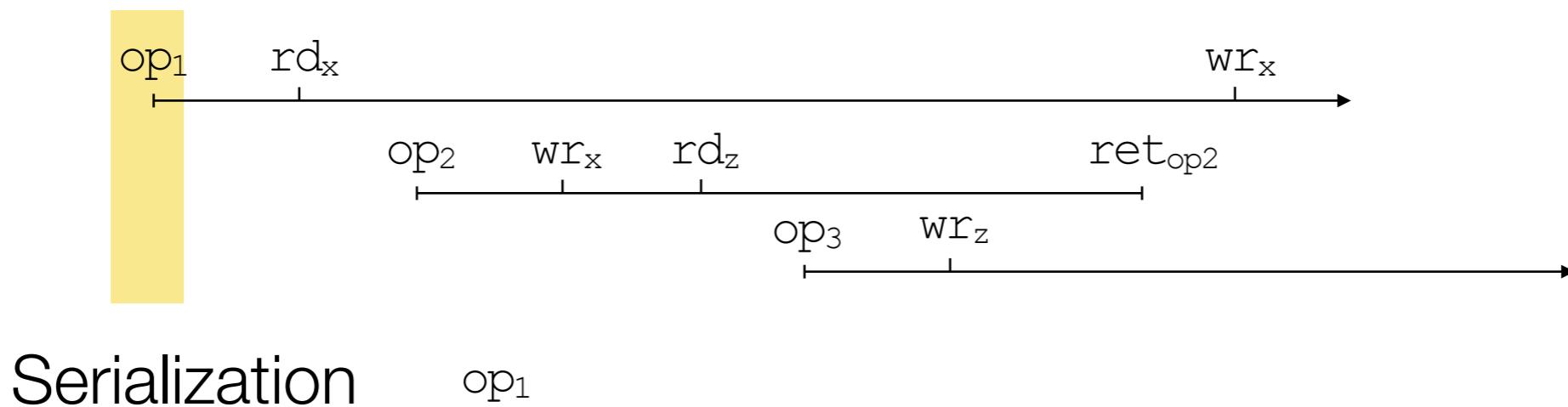
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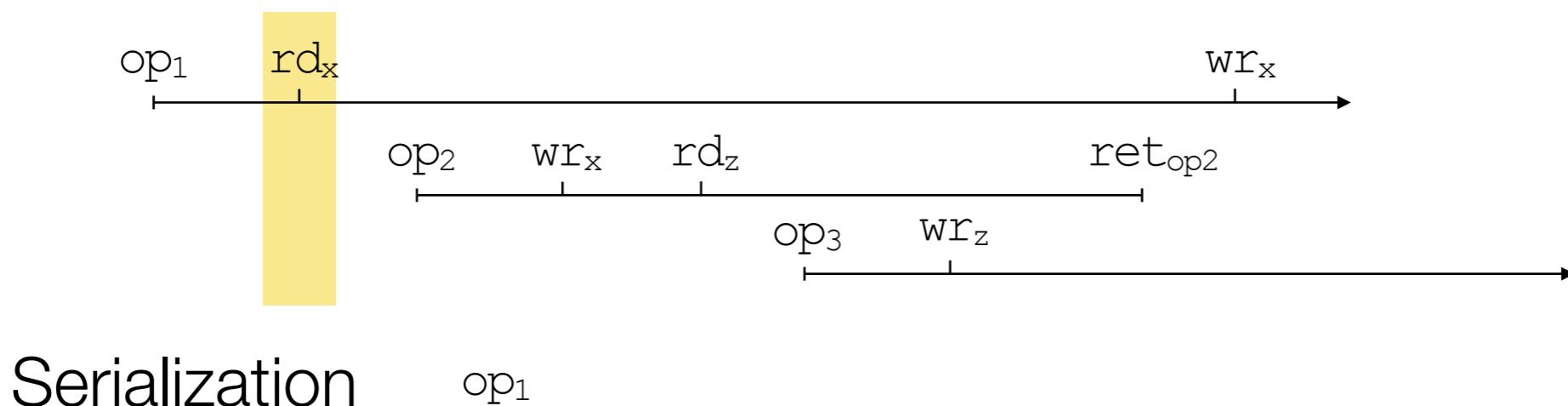
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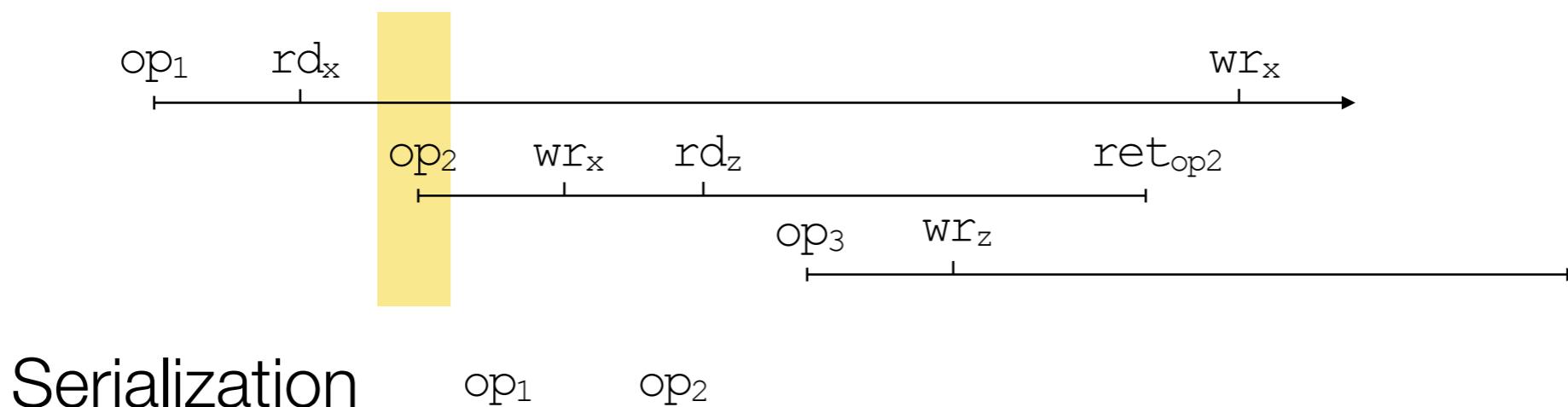
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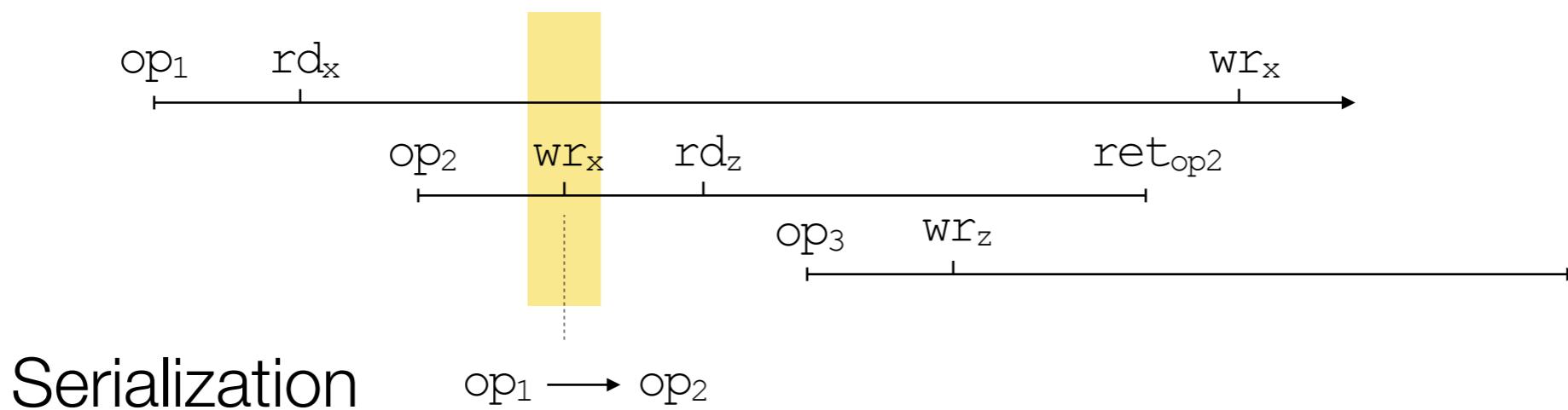
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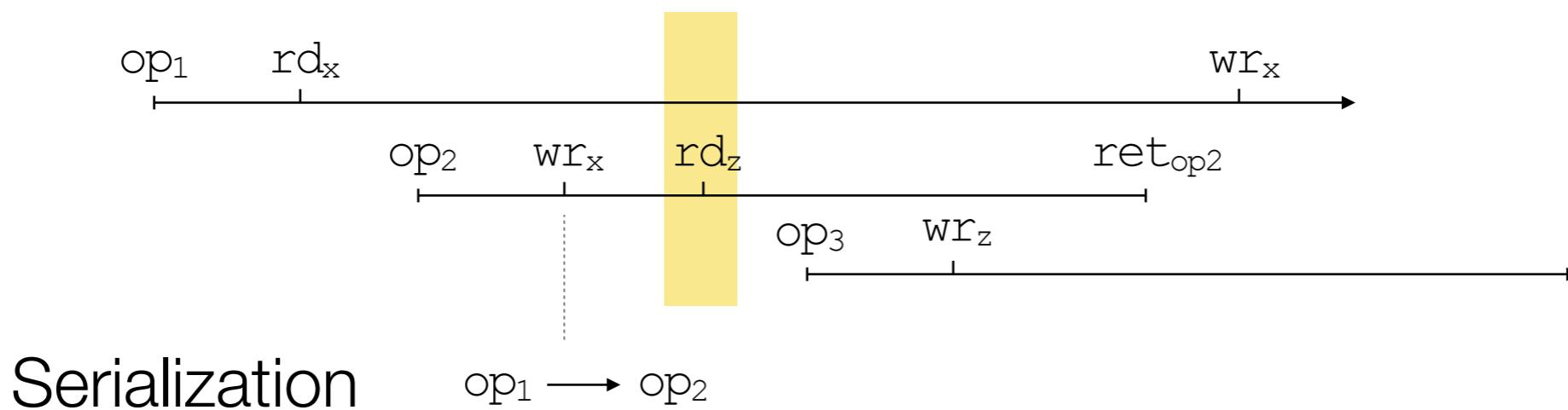
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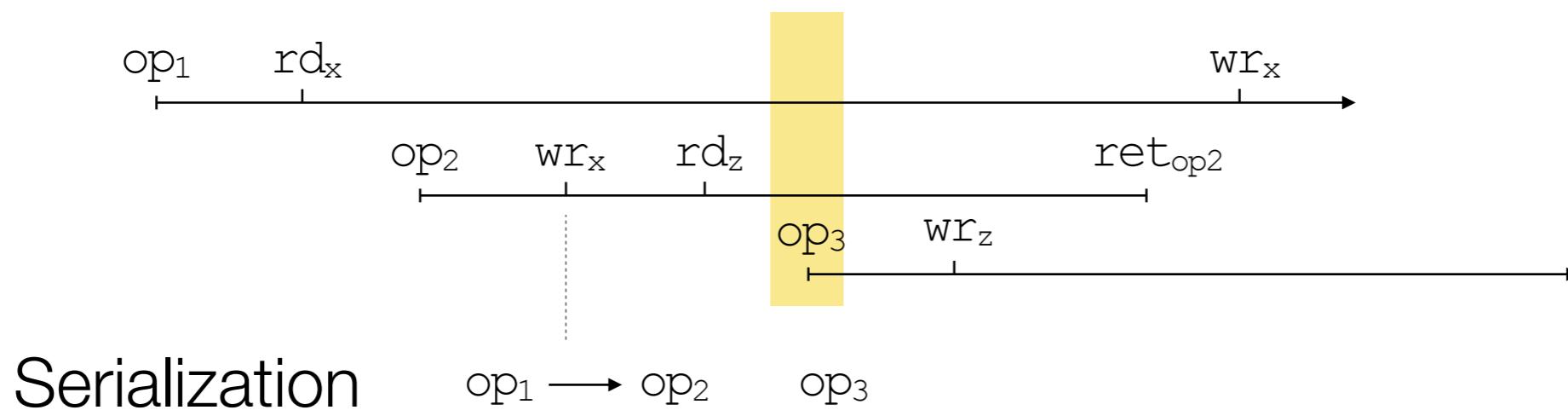
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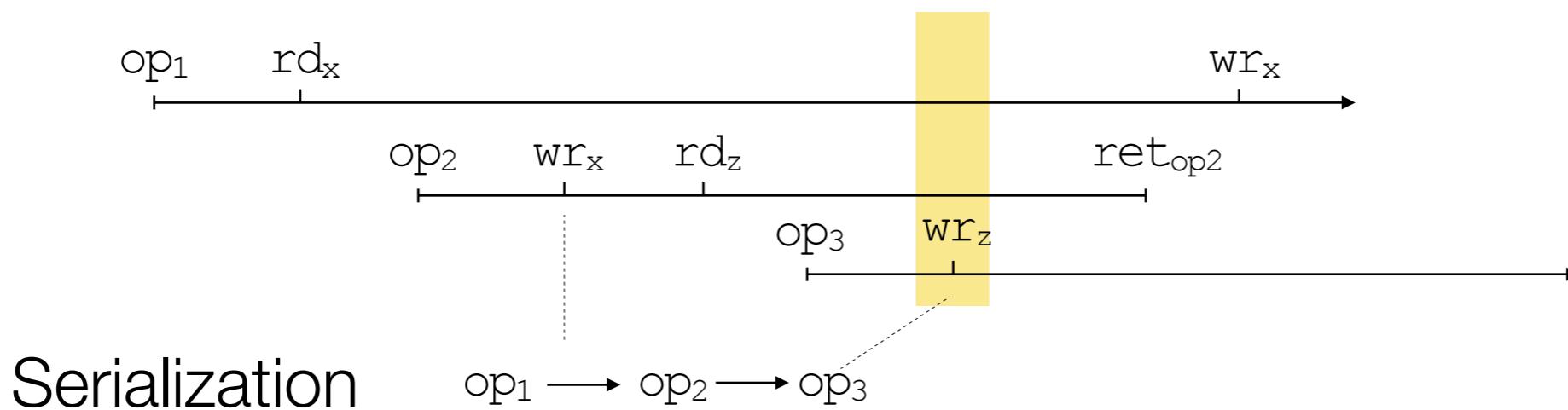
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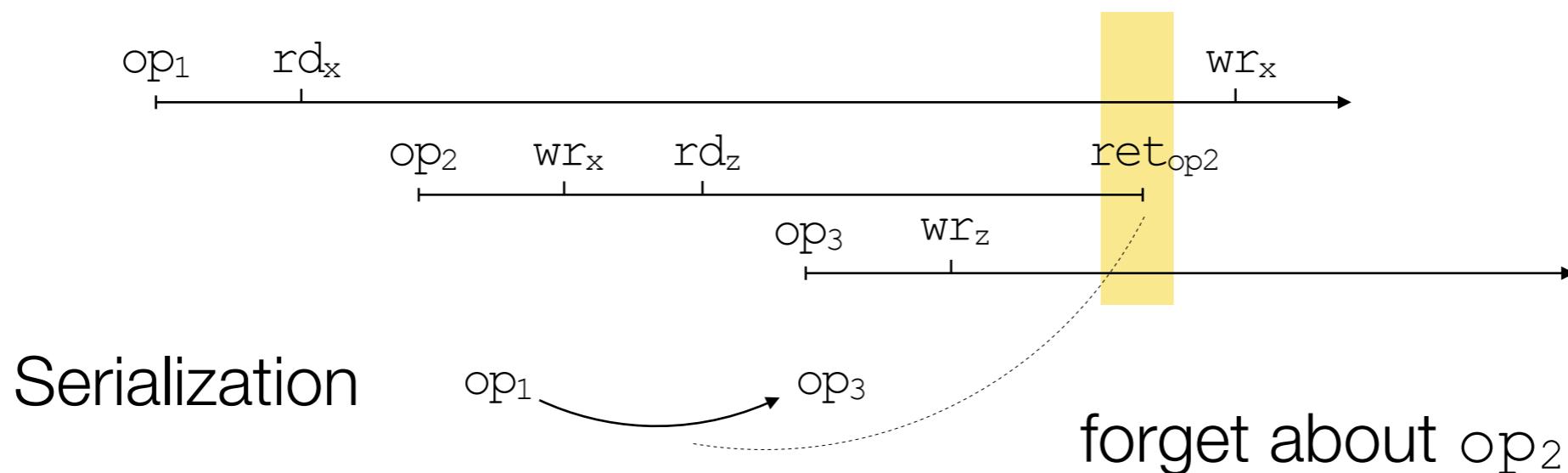
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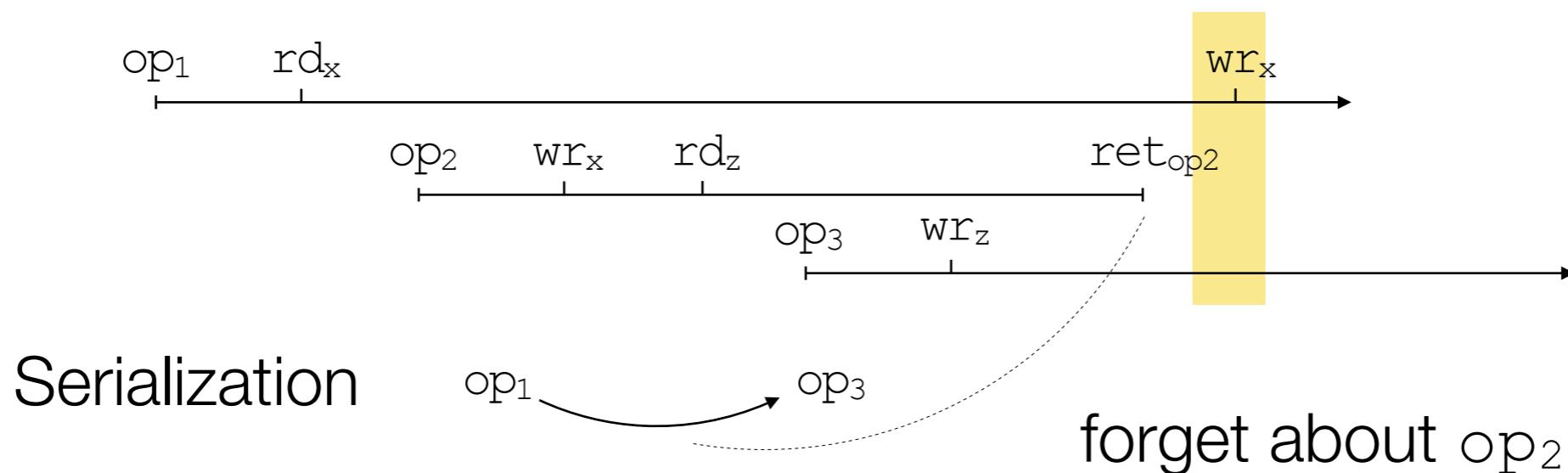
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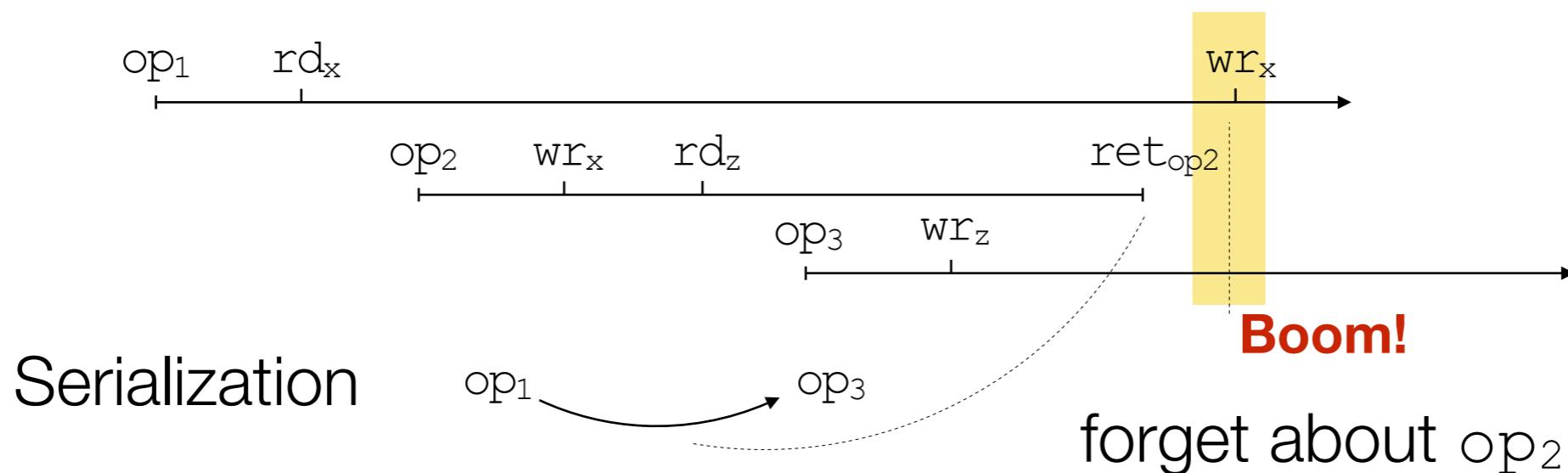
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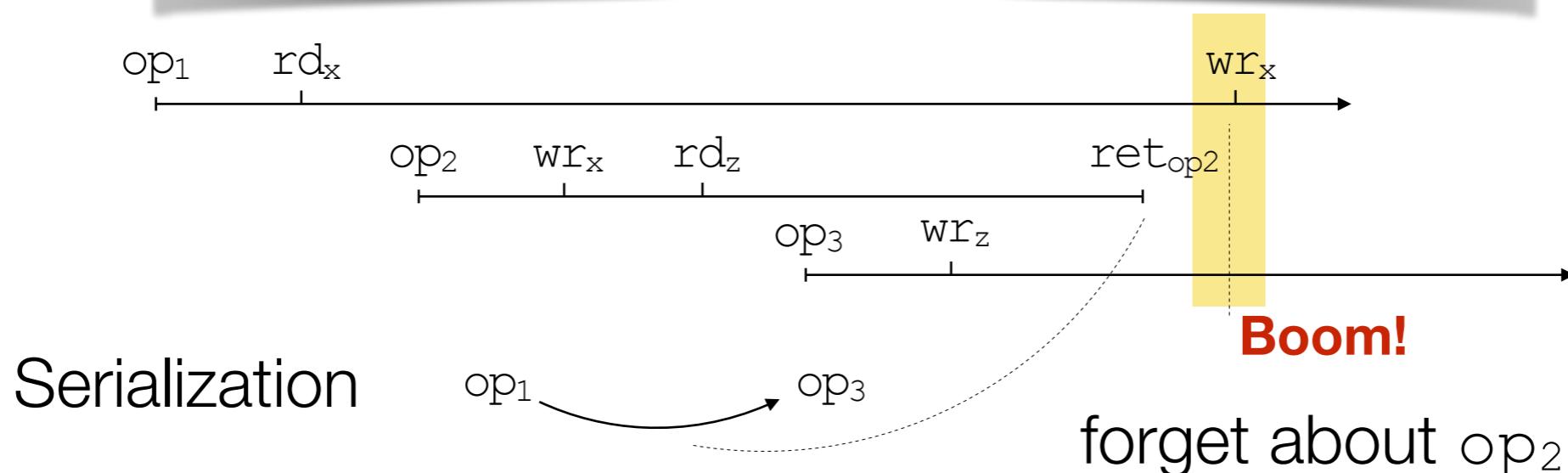
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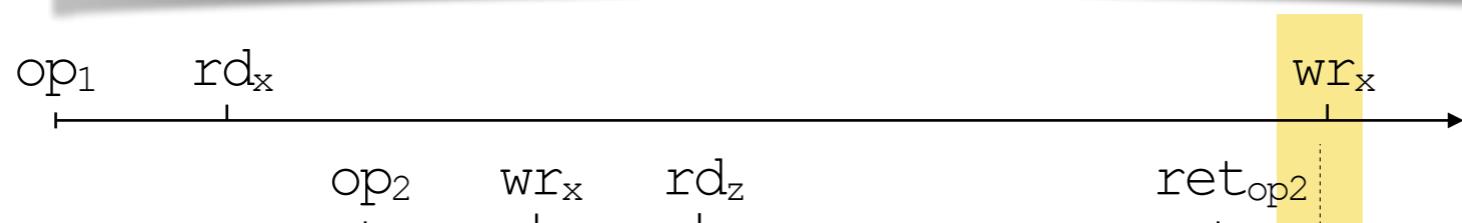
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Forget the operations but not the conflicts they induced



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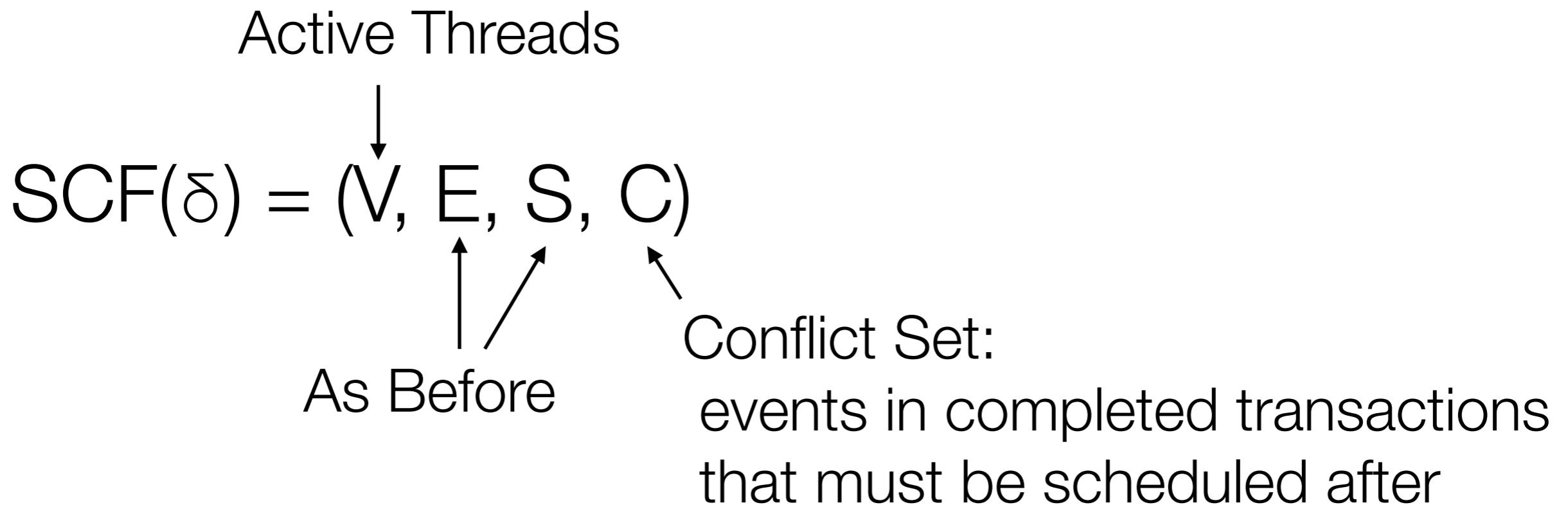
- The graph is unbounded :-(
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Forget the operations but not the conflicts they induced



But recording every event of every transaction is too expensive in memory

# Summarized CG

- ▶ Keep track of active threads only
- ▶ Paths between active transactions are summarized as edges
- ▶ Keep set of conflicts C, events in transactions that must be scheduled after the current one



# Conflict Serializability Monitoring

- ▶ Definition: [SCG] Given  $CF(\delta)$ , we define  $SCF(\delta) = (V, E, S, C)$  where:
  1.  $V$  contains a node  $v_i$  for each active thread  $t$  (executing  $(t, o_i)$ )
  2.  $v \rightarrow v'$  (executing  $(t, o_i)$  and  $(t', o_i)$  resp.) appears in  $E$  if there is  $o_i \rightarrow o_j$  a path in  $CG(\delta)$  which contains no other node  $v^*$  (in  $V$ )
  3. if  $v \in V$  (corresponding to  $(t, o_i)$ ) then  $S((t, o_i)) = S(o_i) \in CG(\delta)$  and  $C(v)$  contains:
    - a)  $(t', a)$  such that  $t'$  is an active thread, and there is some completed  $o_j$  with  $o_i \rightarrow o_j$  a path in  $CG(\delta)$ , where  $(t', a) \in S(o_j)$
    - b)  $a$  such that there is a completed  $o_j$  in  $CG(\delta)$  with  $o_i \rightarrow o_j$  and  $(t', a) \in S(o_j)$  where  $t'$  has terminated.
- ▶ Lemma:  
 $CG(\delta)$  contains a cycle iff  $SCG(\delta')$  contains a cycle for  $\delta'$  a prefix of  $\delta$

# Conflict Serializability Monitoring

- ▶ Algorithm: we consider the next event  $v$  in  $\delta$ 
  - ▶  $v = (t, \text{call}_{\text{op}})$ : create a vertex  $v$  and set  $S(v) = \emptyset$  and  $C(v) = \emptyset$
  - ▶  $v = (t, \text{ret}_{\text{op}})$ : for each  $v'$  and  $v^*$  such that  $v' \rightarrow_v v \rightarrow_v v^*$  appears in  $E$ , remove  $v$  and add edge  $v' \rightarrow_{v^*} v^*$  to  $E$ .  
Set  $C(v') = C(v') \cup S(v) \cup C(v)$ .
  - ▶  $v = (t, a)$ : Set  $S(v) = S(v) \cup \{(t, a)\}$ .  
For each  $v'$  in  $V$  such that  $(t^*, a^*)$  appears in  $C(v') \cup S(v')$  and  $(t^*, a^*) \neq (t, a)$ , add  $v' \rightarrow_v v$  to  $E$ . Also, for any action  $b$  in  $C(v')$  with  $b \neq a$   $v' \rightarrow_v v$  to  $E$ .
  - ▶ If a thread  $t$  terminates, erase all the labels  $t$  from the conflict sets.
  - ▶ If at any point there is a *self loop* report a *serializability violation*.

# Conflict Serializability Monitoring

- ▶ Lemma: (Complexity)

If a)  $k$  is the maximum number of active threads, and b)  $n$  is the number of variables, then the size of the SCG is  $O(k^2 + k * n)$

- ▶ Theorem: (Decidability)

Checking if a Boolean Program is Serializable is PSPACE-complete

Happy Holidays!