

Introduction to Databases

Lecture 2 – Normalization theory

Gianluca Quercini

gianluca.quercini@centralesupelec.fr

Master DSBA 2020 – 2021



CentraleSupélec

What you will learn

In this lecture you will learn:

- Why **normalization theory** is important.
- What **functional dependencies** are.
- How **functional dependencies** relate to **keys**.
- How to determine the **normal form** of a relational table.
- How to **normalize** a relational table.

Motivating example

What's bad about this table?

book_id	isbn	title	type	year	authors	publisher_name	publisher_country
book-001	978-0321197849	An introduction to database systems	Hardcover	1999	Christopher J. Date, UK	Addison-Wesley	United States
book-001	978-81780882318	An introduction to database systems	Paperback	1999	Christopher J. Date, UK	Addison-Wesley	United States
book-002	978-3446215337	Data mining	Paperback	2001	Ian H. Witten, NZ; Frank Eibe, DE	Addison-Wesley	United States
book-003	978-0132923606	Structured computer organisation	Kindle	2013	Andrew S. Tanenbaum, US	Pearson	United States

Motivating example

- **Redundancy.** Title, isbn, type of a book are stored several times.
- **Update anomalies.** Renaming a title requires updating several rows.
- **Insertion anomalies.** How to add rows *consistently*? How to add books with no publisher?
- **Deletion anomalies.** Deleting *book-003* also deletes all information about the publisher *Pearson*.

book_id	isbn	title	type	year	authors	publisher_name	publisher_country
book-001	978-0321197849	An introduction to database systems	Hardcover	1999	Christopher J. Date, UK	Addison-Wesley	United States
book-001	978-81780882318	An introduction to database systems	Paperback	1999	Christopher J. Date, UK	Addison-Wesley	United States
book-002	978-3446215337	Data mining	Paperback	2001	Ian H. Witten, NZ; Frank Eibe, DE	Addison-Wesley	United States
book-003	978-0132923606	Structured computer organisation	Kindle	2013	Andrew S. Tanenbaum, US	Pearson	United States

Data redundancy

Data redundancy is particularly harmful for two reasons:

- **Waste of space.** Redundant data occupy unnecessary space on disk.
 - That was a huge concern in the 70s (cost of 1 GB ~\$300k). [▶ Source](#)
 - Less of a concern today (cost of 1 GB ~\$0.019).
- **Update anomalies.**
 - The publisher country of a book occurs in several rows.
 - When we update the country in a row, we need to update the others as well.

Relational database design

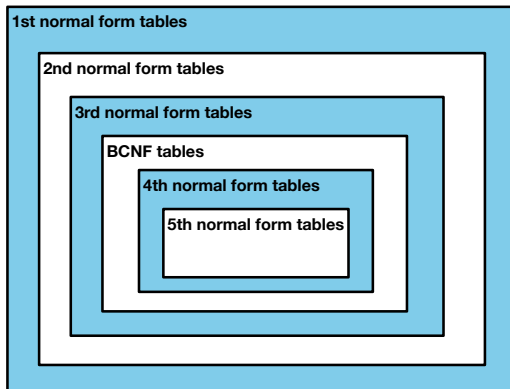
Good relational database design principles:

- **Minimize redundancy**, avoiding to store the same information several times.
 - Create separate tables for logically distinct information.
 - **Example.** Information on the authors should not be mixed with the information on the publishers.
- **Avoid modification anomalies**, by imposing primary and foreign key constraints.

Normalization

Definition (Normalization — Informal definition)

We define **normalization** as the process of splitting up a table so that redundancy is eliminated or reduced to a minimum.



- A table can be in one of six **normal forms**.
- A table in a particular normal form meets **some specific constraints**.
- Each normal form adds some constraints to the previous.

Normalization

Definition (Normalization — Formal definition)

Normalization is a procedure that consists in successively reducing a given collection of tables to some more desirable normal form. [► Source](#)

- The normalization procedure must be **nonloss**, or **information-preserving**.
 - The output of the normalization procedure can be **mapped back** to the input.
 - To understand the normalization procedure, we need to introduce the notion of **functional dependency**.

Functional dependencies

Definition (Functional dependency)

Given a relational table, a set of column $Y = \{Y_1, \dots, Y_n\}$ is **functionally dependent** on a set of columns $X = \{X_1, \dots, X_m\}$, which is denoted as $X \rightarrow Y$, if **any value** (x_1, \dots, x_m) of X always implies value (y_1, \dots, y_n) of Y .

- X is called the **determinant** of the functional dependency $X \rightarrow Y$.
- Y is called the **dependent** of the functional dependency $X \rightarrow Y$.

Definition (Functional dependency — Informal definition)

In relational table, $X \rightarrow Y$ if whenever two rows agree on their X values, they also agree on their Y values. [► Source](#)

Functional dependencies

Example

Consider the following table:


Employee(code_employee, first_name, last_name, position, salary, dept_id)

Example of functional dependencies are:

- $\text{code_employee} \rightarrow \{\text{first_name}, \text{last_name}, \text{position}, \text{salary}, \text{dept_id}\}$
 - $\text{position} \rightarrow \text{salary}$
 - $\{\text{position}, \text{salary}\} \rightarrow \text{salary}$
-
- If X is a **candidate key** for a table T , then all columns of T must be functionally dependent on X .
 - If $X \rightarrow Y$, and X is **not** a candidate key, then the table has some **redundancy**.
 - In our example, the salary is repeated at every row where the corresponding position occurs.

Functional dependencies

- Functional dependencies (FDs) are **semantic constraints** imposed on a table.
- The **database designer** is responsible for identifying the FDs.
- The database designer must know the **domain** of the data being modeled.

 In order to determine the FDs, the database designer **must not look at a particular instance of a table**. An FD is a constraint that all instances of a table must comply with.

- Similarly to key constraints, FDs are part of the **integrity constraints** of a database.

Functional dependencies

Exercise

Given the following table:

Book (book_id, isbn, title, type, authors, publisher_name,
publisher_country)

can you list some of the functional dependencies?

- The complete set of FDs can be **very large**.
- But some FDs imply other FDs.
- Since we want a DBMS to enforce FDs, **we should avoid redundant FDs**.

Functional dependencies

Exercise

Given the following table:

Book (book_id, isbn, title, type, authors, publisher_name,
publisher_country)

can you list some of the functional dependencies?

- The complete set of FDs can be **very large**.
- But some FDs imply other FDs.
- Since we want a DBMS to enforce FDs, **we should avoid redundant FDs**.

Functional dependencies


Exercise

Given the following table:

Book (book_id, isbn, title, type, authors, publisher_name,
publisher_country)

can you list some of the functional dependencies?

- The complete set of FDs can be **very large**.
- But some FDs imply other FDs.
- Since we want a DBMS to enforce FDs, **we should avoid redundant FDs**.

 But how can we determine a non-redundant set of FDs?

Trivial FDs

Some FDs are **trivial** and should not be included in the final set.

Definition (Trivial functional dependency)

A FD is **trivial** if it cannot possibly fail to be satisfied. [► Source](#)

Definition (Trivial functional dependency)

An FD is **trivial** if and only if the **right side is a subset of the left side**.

Example

$\{\text{position, salary}\} \rightarrow \text{salary}$

👉 Trivial FDs account for a small part of the redundant FDs. To go further, we need to introduce the notion of **closure**.

Closure of a set of FDs

Definition (Closure)

Given a set \mathcal{F} of FDs, the **closure** of \mathcal{F} , denoted as \mathcal{F}^+ , is the set of all FDs **implied** by \mathcal{F} .

- We can use **Armstrong's axioms** to compute \mathcal{F}^+ from \mathcal{F} .

Armstrong's axioms

Let A , B and C be three arbitrary sets of columns of a given table. The following inference rules apply:

- **Reflexivity.** If $B \subseteq A$, then $A \rightarrow B$.
- **Augmentation.** If $A \rightarrow B$, then $AC \rightarrow BC$.
- **Transitivity.** If $A \rightarrow B$ and $B \rightarrow C$ then $A \rightarrow C$.



AB is a shorthand for $A \cup B$

Closure of a set of columns

- Computing \mathcal{F}^+ with Armstrong's axioms is inefficient.
- Instead we find the set $Z_{\mathcal{F}}^+$ (the **closure** of Z given \mathcal{F}) of all columns that are functionally dependent on Z .
 - Z is any subset of columns of the table.

Computing $Z_{\mathcal{F}}^+$ from \mathcal{F}

```
1:  $Z_{\mathcal{F}}^+ := Z$ 
2: while True do
3:   for all  $X \rightarrow Y \in \mathcal{F}$  do
4:     if  $X \subseteq Z_{\mathcal{F}}^+$  then
5:        $Z_{\mathcal{F}}^+ := Z_{\mathcal{F}}^+ \cup Y$ 
6:     end if
7:   end for
8:   if  $Z_{\mathcal{F}}^+$  did not change in this iteration then
9:     exit loop
10:  end if
11: end while
```

Closure of a set of attributes

Example

- We're given a table with four columns: A , B , C , D .
- We're given the following set \mathcal{F} of functional dependencies:

$$A \rightarrow BC, E \rightarrow CF, B \rightarrow E, CD \rightarrow EF.$$

- We compute $Z_{\mathcal{F}}^+$, where $Z = \{A, B\}$.
- We initialize $Z_{\mathcal{F}}^+ = \{A, B\}$.

Closure of a set of attributes

Example

- We're given a table with four columns: A, B, C, D .
- We're given the following set \mathcal{F} of functional dependencies:

$$A \rightarrow BC, E \rightarrow CF, B \rightarrow E, CD \rightarrow EF.$$

- We compute $Z_{\mathcal{F}}^+$, where $Z = \{A, B\}$.
- We initialize $Z_{\mathcal{F}}^+ = \{A, B\}$.

First iteration — First FD

- $Z_{\mathcal{F}}^+ = \{A, B\}$
- We consider the FD $A \rightarrow BC$.
- $\{A\} \subset Z_{\mathcal{F}}^+$; then $Z_{\mathcal{F}}^+ = Z_{\mathcal{F}}^+ \cup \{B, C\} = \{A, B, C\}$.

Closure of a set of attributes

Example

- We're given a table with four columns: A, B, C, D .
- We're given the following set \mathcal{F} of functional dependencies:

$$A \rightarrow BC, E \rightarrow CF, B \rightarrow E, CD \rightarrow EF.$$

- We compute $Z_{\mathcal{F}}^+$, where $Z = \{A, B\}$.
- We initialize $Z_{\mathcal{F}}^+ = \{A, B\}$.

First iteration — Second FD

- $Z_{\mathcal{F}}^+ = \{A, B, C\}$
- We consider the FD $E \rightarrow CF$.
- $\{E\} \not\subseteq Z_{\mathcal{F}}^+$; then $Z_{\mathcal{F}}^+ = \{A, B, C\}$.

Closure of a set of attributes

Example

- We're given a table with four columns: A, B, C, D .
- We're given the following set \mathcal{F} of functional dependencies:

$$A \rightarrow BC, E \rightarrow CF, B \rightarrow E, CD \rightarrow EF.$$

- We compute $Z_{\mathcal{F}}^+$, where $Z = \{A, B\}$.
- We initialize $Z_{\mathcal{F}}^+ = \{A, B\}$.

First iteration — Third FD

- $Z_{\mathcal{F}}^+ = \{A, B, C\}$
- We consider the FD $B \rightarrow E$.
- $\{B\} \subset Z_{\mathcal{F}}^+$; then $Z_{\mathcal{F}}^+ = Z_{\mathcal{F}}^+ \cup \{E\} = \{A, B, C, E\}$.

Closure of a set of attributes

Example

- We're given a table with four columns: A, B, C, D .
- We're given the following set \mathcal{F} of functional dependencies:

$$A \rightarrow BC, E \rightarrow CF, B \rightarrow E, CD \rightarrow EF.$$

- We compute $Z_{\mathcal{F}}^+$, where $Z = \{A, B\}$.
- We initialize $Z_{\mathcal{F}}^+ = \{A, B\}$.

First iteration — Fourth FD

- $Z_{\mathcal{F}}^+ = \{A, B, C, E\}$
- We consider the FD $CD \rightarrow EF$.
- $\{CD\} \not\subseteq Z_{\mathcal{F}}^+$; then $Z_{\mathcal{F}}^+ = \{A, B, C, E\}$.

Closure of a set of attributes

Example

- We're given a table with four columns: A, B, C, D .
- We're given the following set \mathcal{F} of functional dependencies:

$$A \rightarrow BC, E \rightarrow CF, B \rightarrow E, CD \rightarrow EF.$$

- We compute $Z_{\mathcal{F}}^+$, where $Z = \{A, B\}$.
- We initialize $Z_{\mathcal{F}}^+ = \{A, B\}$.

Second iteration — First FD

- $Z_{\mathcal{F}}^+ = \{A, B, C, E\}$
- We consider the FD $A \rightarrow BC$.
- $\{A\} \subset Z_{\mathcal{F}}^+$; then $Z_{\mathcal{F}}^+ \cup \{B, C\} = \{A, B, C, E\}$.

Closure of a set of attributes

Example

- We're given a table with four columns: A, B, C, D .
- We're given the following set \mathcal{F} of functional dependencies:

$$A \rightarrow BC, E \rightarrow CF, B \rightarrow E, CD \rightarrow EF.$$

- We compute $Z_{\mathcal{F}}^+$, where $Z = \{A, B\}$.
- We initialize $Z_{\mathcal{F}}^+ = \{A, B\}$.

Second iteration — Second FD

- $Z_{\mathcal{F}}^+ = \{A, B, C, E\}$
- We consider the FD $E \rightarrow CF$.
- $\{E\} \subset Z_{\mathcal{F}}^+$; then $Z_{\mathcal{F}}^+ \cup \{C, F\} = \{A, B, C, E, F\}$.

Closure of a set of attributes

Example

- We're given a table with four columns: A, B, C, D .
- We're given the following set \mathcal{F} of functional dependencies:

$$A \rightarrow BC, E \rightarrow CF, B \rightarrow E, CD \rightarrow EF.$$

- We compute $Z_{\mathcal{F}}^+$, where $Z = \{A, B\}$.
- We initialize $Z_{\mathcal{F}}^+ = \{A, B\}$.

Second iteration — Third FD

- $Z_{\mathcal{F}}^+ = \{A, B, C, E\}$
- We consider the FD $B \rightarrow E$.
- $\{B\} \subset Z_{\mathcal{F}}^+$; then $Z_{\mathcal{F}}^+ \cup \{E\} = \{A, B, C, E, F\}$.

Closure of a set of attributes

Example

- We're given a table with four columns: A, B, C, D .
- We're given the following set \mathcal{F} of functional dependencies:

$$A \rightarrow BC, E \rightarrow CF, B \rightarrow E, CD \rightarrow EF.$$

- We compute $Z_{\mathcal{F}}^+$, where $Z = \{A, B\}$.
- We initialize $Z_{\mathcal{F}}^+ = \{A, B\}$.

Second iteration — Fourth FD

- $Z_{\mathcal{F}}^+ = \{A, B, C, E\}$
- We consider the FD $CD \rightarrow EF$.
- $\{CD\} \not\subseteq Z_{\mathcal{F}}^+$; then $Z_{\mathcal{F}}^+ = \{A, B, C, E, F\}$.

Closure of a set of attributes

Example

- We're given a table with four columns: A, B, C, D .
- We're given the following set \mathcal{F} of functional dependencies:

$$A \rightarrow BC, E \rightarrow CF, B \rightarrow E, CD \rightarrow EF.$$

- We compute $Z_{\mathcal{F}}^+$, where $Z = \{A, B\}$.
- We initialize $Z_{\mathcal{F}}^+ = \{A, B\}$.

Third iteration

- We loop over the four FDs again, but this time $Z_{\mathcal{F}}^+$ won't change.
- We exit the loop.

Closure of a set of attributes

First corollary

Given a set \mathcal{F} of FDs, a specific FD $X \rightarrow Y$ follows from \mathcal{F} (i.e., it is **redundant**) if and only if $Y \subseteq X_{\mathcal{F}}^{+}$.

- If K is a **superkey**, then: $K \rightarrow A$ for each column A of the table (from the definition).

Second corollary

A set of columns K is a **superkey** if and only if, given a set of functional dependencies \mathcal{F} , $K_{\mathcal{F}}^{+}$ is the set of all columns of the table.

- 👉 Given a set \mathcal{F} of FDs, how do we find a set of FDs \mathcal{G} that is **equivalent** and **minimal** (or, **irreducible**)?

Equivalence

Definition (Equivalence)

Given two sets of FDs \mathcal{F} and \mathcal{G} , \mathcal{F} and \mathcal{G} are **equivalent** if and only if $\mathcal{F}^+ = \mathcal{G}^+$.

Theorem

$\mathcal{F}^+ = \mathcal{G}^+$ if and only if for any FD $X \rightarrow Y \in \mathcal{F} \cup \mathcal{G}$, we have $\mathcal{F}_X^+ = \mathcal{G}_X^+$

Proof


- Let $F' = \{X \rightarrow F_X^+ \text{ s.t. } X \rightarrow Y \in F \cup G\}$
- Let $G' = \{X \rightarrow G_X^+ \text{ s.t. } X \rightarrow Y \in F \cup G\}$
- Clearly, $F'^+ = F^+$ and $G'^+ = G^+$.
- If $F^+ = G^+ \implies F' = G' \implies F_X^+ = G_X^+$
- If $F_X^+ = G_X^+ \implies F'^+ = G'^+ \implies F^+ = G^+$

Minimality

Definition (Minimality)

A set of FDs \mathcal{F} is **minimal** if and only if the following is true:

- Every FD $X \rightarrow Y$ is in **canonical form**.
 - Y consists of exactly one attribute.
- Every FD $X \rightarrow Y$ in \mathcal{F} is **left-irreducible**.
 - No attribute can be removed from X without changing \mathcal{F}^+ .
- Every FD $X \rightarrow Y$ in \mathcal{F} is **non-redundant**
 - $X \rightarrow Y$ cannot be removed from \mathcal{F} without changing \mathcal{F}^+ .

 This definition gives us a procedure to minimize a set of functional dependencies. We'll take a closer look at this procedure in *Tutorial 2*.

First Normal Form — 1NF

Definition (First Normal Form — 1NF)

A **table** is in **first normal form** if it meets the following conditions:

- 1 Each row is unique (identified by a **primary key**).
- 2 There are **no duplicate columns**.
- 3 Each cell contains a **single value** (lists are not allowed).

First Normal Form — 1NF

Question. The table Book is not in 1NF. Why?

Example

Book (book_id, isbn, title, type, year, authors,
publisher_name, publisher_country)

("book-001", 978-0321197849, "An introduction to database systems",
"Hardcover", 1999, "Christopher J. Date, UK", "Addison-Wesley", "US")

("book-001", 978-8178082318, "An introduction to database systems",
"Paperback", 1999, "Christopher J. Date, UK", "Addison-Wesley", "US")

("book-002", 978-3446215337, "Data Mining",
"Paperback", 2001, "Ian H. Witten, New Zealand"; "Frank Eibe, Germany",
"Hanser Fachbuch", "Germany")

First Normal Form — 1NF

Question. The table Book is not in 1NF. Why?

- **Answer.** Column *authors* contains a list of values.

Example

Book (book_id, isbn, title, type, year, authors,
publisher_name, publisher_country)

("book-001", 978-0321197849, "An introduction to database systems",
"Hardcover", 1999, "Christopher J. Date, UK", "Addison-Wesley", "US")

("book-001", 978-8178082318, "An introduction to database systems",
"Paperback", 1999, "Christopher J. Date, UK", "Addison-Wesley", "US")

("book-002", 978-3446215337, "Data Mining",
"Paperback", 2001, "Ian H. Witten, New Zealand"; "Frank Eibe, Germany",
"Hanser Fachbuch", "Germany")

First Normal Form — 1NF

- We create a new table BookAuthor that contains the authors of the books.
- The two tables Book and BookAuthor are in 1NF.
- But there is still a lot of **redundancy**. **Where?**

Example

```
Book      (book_id, isbn, title, type, year, publisher_name,  
           publisher_country)  
BookAuthor (book_id, aut_id, aut_name, aut_country)
```

First Normal Form — 1NF

- We create a new table BookAuthor that contains the authors of the books.
- The two tables Book and BookAuthor are in 1NF.
- But there is still a lot of **redundancy**. **Where?**
 - title of the book is repeated for each edition.
 - same goes for the publisher name and country.
 - the author country is repeated for each book of that author.

Example

```
Book      (book_id, isbn, title, type, year, publisher_name,  
          publisher_country)  
BookAuthor (book_id, aut_id, aut_name, aut_country)
```

Second Normal Form — 2NF

Definition (Prime attribute)

A **prime** column is one that belongs to at least one candidate key. Conversely, a **non-prime** column is one that does not belong to any candidate key.

Definition (Second Normal Form — 2NF)

A table is in **second normal form** if it meets the following conditions:

- The table is in 1NF.
- All non-prime columns are functionally dependent on **all** the columns of **each** candidate key.

Second Normal Form — 2NF

Functional dependencies

Book (book_id, isbn, title, type, year, publisher_name, publisher_country)

BookAuthor (book_id, aut_id, aut_name, aut_country)

Functional dependencies in table Book (not exhaustive!):

- $book_id \rightarrow title$
- $isbn \rightarrow book_id, type, publisher_name$
- $publisher_name \rightarrow publisher_country$

Functional dependencies in table BookAuthor:

- $aut_id \rightarrow aut_name, aut_country$

Second Normal Form — 2NF

- Candidate key in table Book: $\{isbn, year\}$.
- Table Book is not in 2NF:
 - $isbn \rightarrow title$; $isbn \rightarrow type$; $isbn \rightarrow publisher_name$.
- **Question:** table BookAuthor is not in 2NF. Why?
 - Answer, $aut_id \rightarrow aut_name$; $aut_id \rightarrow aut_country$.

Example

Book (book_id, isbn, title, year, publisher_name, publisher_country)

BookAuthor (book_id, aut_id, aut_name, aut_country)

Second Normal Form — 2NF

- Candidate key in table Book: $\{isbn, year\}$.
- Table Book is not in 2NF:
 - $isbn \rightarrow title$; $isbn \rightarrow type$; $isbn \rightarrow publisher_name$.
- **Question:** table BookAuthor is not in 2NF. Why?
 - **Answer.** $aut_id \rightarrow aut_name$; $aut_id \rightarrow aut_country$.

Example

Book (book_id, isbn, title, year, publisher_name, publisher_country)

BookAuthor (book_id, aut_id, aut_name, aut_country)

Second Normal Form — 2NF

- Candidate key in table Book: {*isbn*, *year*}.
- Table Book is not in 2NF:
 - *isbn* → *title*; *isbn* → *type*; *isbn* → *publisher_name*.
- **Question:** table BookAuthor is not in 2NF. Why?
 - **Answer.** *aut_id* → *aut_name*; *aut_id* → *aut_country*.

Example

Book (book_id, isbn, title, year, publisher_name, publisher_country)


BookAuthor (book_id, aut_id, aut_name, aut_country)

Second Normal Form — 2NF

- Candidate key in table Book: {*isbn*, *year*}.
- Table Book is not in 2NF:
 - *isbn* → *title*; *isbn* → *type*; *isbn* → *publisher_name*.
- **Question:** table BookAuthor is not in 2NF. Why?
 - **Answer.** *aut_id* → *aut_name*; *aut_id* → *aut_country*.

Example

```
Book      (book_id, isbn, title, type, year, publisher_name,  
           publisher_country)  
BookAuthor (book_id, aut_id, aut_name, aut_country)
```

 How do we turn this database into 2NF?

Second Normal Form (2NF)

- The following tables are in 2NF.
- Still redundant data
 - *publisher_name* \rightarrow *publisher_country*

Example

Book	(<u>book_id</u> , <u>isbn</u> , title, type, publisher_name, publisher_country)
PublicationYear	(<u>isbn</u> , <u>year</u>)
BookAuthor	(<u>book_id</u> , <u>aut_id</u>)
Author	(<u>aut_id</u> , aut_name, aut_country)

Third Normal Form — 3NF

Definition (Third Normal Form — 3NF)

A table is in **third normal form** if it meets the following conditions:

- The table is in 2NF.
- No non-prime column depends on non-prime columns.

The table Book is not in 3NF. Why?

Example

Book	(book_id, <u>isbn</u> , title, type, publisher_name, publisher_country)
------	---

Third Normal Form — 3NF

Example

Book	(<u>book_id</u> , title) f.d.: book_id --> title
BookEdition	(<u>isbn</u> , book_id, type, publisher_name) f.d.: isbn --> book_id, type, publisher_name
Publisher	(<u>publisher_name</u> , publisher_country) f.d.: publisher_name --> publisher_country
PublicationYear	(<u>isbn</u> , <u>year</u>) f.d.: none
BookAuthor	(<u>isbn</u> , <u>aut_id</u>) f.d.: none
Author	(<u>aut_id</u> , aut_name, aut_country) f.d.: aut_id --> aut_name, aut_contry

Boyce-Codd Normal Form — BCNF

Another way to define 3NF

In a table in third normal form, a non-prime column must provide a fact about the key, use the whole key and nothing but the key (so help me Codd!) — William Kent, 1983

- 3NF still leaves the door open to data redundancy.

Definition (Boyce-Codd Normal Form)

A table is in **Boyce-Codd normal form** (BCNF) if, for every functional dependency $X \rightarrow Y$, X is a superkey.

Boyce-Codd Normal Form

Example

Course (course_name, major_name, lecturer_id)

- A teacher only teaches one course. ($lecturer_id \rightarrow course_name$).
- A course can be taught in two different majors.
- Candidate keys are $\{course_name, major_name\}$ and $\{lecturer_id, major_name\}$.
- Table Course is not in BCNF ($lecturer_id$ is not a superkey).
- The following example is in BCNF.

Example

Course	(<u>course_name</u> , lecturer_id)
Lecturer	(lecturer_id, <u>major_name</u>)

Fourth Normal Form

Definition (Fourth Normal Form)

A table is in **fourth normal form** (4NF) if it does not contain two or more independent multi-valued facts about an entity.

Example

Employee (emp_id, emp_skill, emp_language)

- *emp_skill* and *emp_language* are **multi-valued**.
 - An employee can have many skills and speak many languages.
- *emp_skill* and *emp_language* are **independent**.
 - Skills do not depend on the spoken languages.
- **Uncertainty** as to how the values are stored in the table.

Fourth Normal Form

Disjoint format

```
(1, "programming", NULL)
(1, "network admin", NULL)
(1, NULL, "French")
(1, NULL, "English")
(1, NULL, "German")
```

Random mix format

```
(1, "programming", "French")
(1, "network admin", "English")
(1, "network admin", "German")
```

Cross-product format

```
(1, "programming", "French") (1, "network admin", "French")
(1, "programming", "English") (1, "network admin", "English")
(1, "programming", "German") (1, "network admin", "German")
```

Tables in 4NF

```
EmployeeSkill (emp_id, emp_skill)
EmployeeLanguage (emp_id, emp_language)
```


Fifth Normal Form

Definition (Fifth Normal Form)

A table is in **fifth normal form** (5NF) if its information content cannot be reconstructed from several smaller tables.

Example

SaleRepresentative (agent, company, product)

- 1 Companies make products.
- 2 Agents represent companies.
- 3 An agent representing a company sells all products made by that company.

The columns *company* and *product* are not independent. The table is in 4NF.

Fifth Normal Form

Content of the table SaleRepresentative

("Smith", "Ford", "car")	("Jones", "Ford", "car")
("Smith", "Ford", "truck")	("Jones", "Ford", "truck")
("Smith", "GM", "car")	("Brown", "Toyota", "car")
("Smith", "GM", "truck")	("Brown", "Toyota", "bus")

Tables in 5NF

AgentCompany (agent, company)
 CompanyProduct (company, product)

Content of AgentCompany

("Smith", "Ford")
 ("Smith", "GM")
 ("Jones", "Ford")
 ("Brown", "Toyota")

Content of CompanyProduct

("Ford", "car")
 ("Ford", "truck")
 ("GM", "car")
 ("GM", "truck")
 ("Toyota", "car")
 ("Toyota", "bus")

References

- Date, Christopher John. *An introduction to database systems*. Pearson Education India, 2004. [▶ Click here](#)