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The eNF² data model

$eNF^2 = Extended NF^2 Model$

- Extend NF² model by introducing
 - various type constructors and
 - allowing their free combination
- Type constructors:
 - set {.}: create a set type of nested type
 - tuple \langle.\rangle: tuple type of nested type
 - list (.): list type of nested type
 - bag {|.|}: bag—multi-set—type of nested type
 - array [.]_n: array type of nested type
 - map [./.]: key/value dictionary type of nested types
- First two are already available in RM and NF²

The eNF² data model (cont'd)

The Evolution of Data Models

b.t.w. of sort comparison

- Relational Model $au := \langle A_1 : \mathsf{dom}, \dots, A_k : \mathsf{dom} \rangle$ • NF² $au := \mathsf{dom} \mid \langle A_1 : \tau, \dots, A_k : \tau \rangle \mid \{\tau\}$
- eNF²

$$\tau := \mathsf{dom} \mid \langle A_1 : \tau, \dots, A_k : \tau \rangle \mid \{\tau\} \mid (\tau) \mid [\tau]_n \mid \{|\tau|\} \mid [\tau/\tau]$$

Flavors by restrictions, such like nested relations for NF²

Type constructors

- $\langle . \rangle \{.\} (.) [.]_n \{.\} [./.]$ a.k.a. Parametrizable Data Types
- Construction based on '.', the input data type
- Define **own operations** for access and modification
- Similar to pre-defined parametrizable data types of programming languages
 - Generics in Java java.util
 - Templates in C++ STL
 - Type inference in OCaml

Туре	Dupl.	Bounded	Order	Access by	Composite
Set {.}	X	X	X	Iterator	×
Bag { . }	/	×	×	Iterator	×
Map [./.]	~	×	×	Key	×
List (.)	/	×	~	Position/Iter.	×
Array [.] _n	/	✓	~	Index	×
Tuple (.)	/	~	~	Name	/

- All but tuple type constructors are collection data types
- Tuple type constructor is a **composite data type**

Introduced within SQL:1999

```
CREATE TABLE Contacts(
```

Name VARCHAR(40),

PhoneNumbers VARCHAR(20) ARRAY[4],

Addresses AddressType ARRAY[3]);

- Array type constructor for record insertion
- Access to elements by explicit position [k]

• Alternative access to element by unnesting of collection

```
SELECT Name, Tel.*
FROM Contacts,
     UNNEST( Contacts.PhoneNumbers ) WITH ORDINALITY
     AS Tel(Phone, Position)
WHERE Name='Doe';
```

- Further operations:
 - size CARDINALITY()
 - concatenation | |

Object structure

- Complex value—state—conforms to object structure
- Type constructors are building blocks: tuple, set, list, array, bag, dictionary
- eNF² as the reference model
- Implementation within SQL3

Yet another popular restriction

ullet Class of sort au following

$$\tau := \langle A_1 : \varrho, \dots, A_k : \varrho \rangle$$

$$\varrho := \text{dom } | \langle A_1 : \varrho, \dots, A_k : \varrho \rangle | \{ \varrho \} | (\varrho) | [\varrho]_n | \{ |\varrho| \} | [\varrho/\varrho]$$

- Objects are instances of classes, a.k.a. class members
- An object o satisfies sort τ of its class c
- Essentially struct in C Programming Language

User-Defined Type in SQL3

UDT's occur at two levels:

- Columns of relations
- Tuples of relations

```
CREATE TYPE AddressType AS (Street CHAR(50),
                             City
                                    CHAR(50),
                                    CHAR(5));
                             Zip
                                    CHAR(20),
CREATE TYPE BarType
                        AS ( Name
                             Addr
                                    AddressType );
CREATE TABLE Bars OF BarType ( PRIMARY KEY (Name) );
```

Encapsulated object vs. row

- Bars is unary: tuples are objects with 2 components
- Grant access privilege to components
- Type constructor

Encapsulated object vs. row (cont'd)

- Observer A() and Mutator A(v) for each attribute A
- Calls to implicit getters and setters, redefinition allowed

```
IJPDATE Bars
SET Bars.Addr.Street('Allée Flesselles')
WHERE Bars.Name = 'Le Flesselles';
SELECT B. Name, B. Addr FROM Bars B;
Excerpt of the result set:
BarType( 'Le Flesselles',
         AddressType ('Allée Flesselles', 'Nantes', '44000')
```

Object behavior

Method := signature + body

Operation that apply to objects of a type

- f(x) is invoked by sending a message to object o: o.f(3)
- Method
 - returns single value (may be a collection)
 - is typically written in general-purpose PL
 - could have unexpactable side-effect
- Implementation within ODL and SQL3

Disclaimer

Insight into object behavior is out of the scope of this series of slides

Corollary: main focus is the structural part

A word on eNF² in Oracle

- Supports **majority** of standard features as part of its object-relational extension—since 8i
 - Multi-set type constructor as NESTED TABLE type
 - **Array** type constructor as VARRAY type
 - **Object** (and **Tuple**) type constructor as OBJECT type
- Uses different syntax than ANSI/ISO SQL standard...

Alternative languages

Definition of object structures

- DDL part of SQL3
- DDL part of [your favorite or-dbms]
- Entity/Relationship (E/R) Model
- Object Description Language (ODL)
- Unified Modeling Language (UML)

•

OR-Databases

OR-Databases

Relational Databases

OO-Databases

OO-PL

ODMG ODL

Example

- Primitive types: int, real, char, string, bool, and enumeration
- Composite type: structure
- Collection types: set, array, bag, list, and dictionary



- Reuse of class definition
- A subclass is a refinement of its superclass

Definition (Class hierarchy)

A class hierarchy (C, σ, \prec) has 3 components:

- 1. a set C of class names
- 2. types τ 's associated with these classes: $\sigma(c) = \tau$
- 3. specification of the is-a relationship \prec between classes

Subtyping relationship

A subtype inherits value—and behavior—of a predefined type

Definition (Subtyping)

Let (C, σ, \prec) be a class hierarchy; subtyping relationship is the smallest partial order \leq over types σ satisfying:

- 1. $dom \leq dom$
- 2. if $\tau_i \leq \tau_i'$, $1 \leq i \leq n$, then $\langle A_1 : \tau_1, \ldots, A_n : \tau_n, \ldots, A_{n+k} : \tau_{n+k} \rangle \leq \langle A_1 : \tau_1', \ldots, A_n : \tau_n' \rangle$
- 3. if $\tau_1 \le \tau_2$, then $\{\tau_1\} \le \{\tau_2\}$, $(\tau_1) \le (\tau_2)$, $\{|\tau_1|\} \le \{|\tau_2|\}$ and $[\tau_1]_n \le [\tau_2]_n$
- **4**. if $\tau_1 \le \tau_3$ and $\tau_2 \le \tau_4$, then $[\tau_1/\tau_2] \le [\tau_3/\tau_4]$
- 5. for each τ , $\tau \leq \text{ANY}$ (i.e. ANY is the top of the hierarchy)

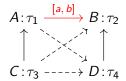
Covariance and contravariance

Subtyping follows from wider to narrower: **covariance** only

Definition (Covariance)

Typing rules preserve the ordering on \leq

About the map type constructor



Contravariance reverses the ordering: type safety in PL

Well-formed class hierarchy

Property

A class hierarchy (C, σ, \prec) is **well-formed** iff for each pair c_1 , c_2 of classes, $c_1 \prec c_2$ implies $\sigma(c_1) \leq \sigma(c_2)$

Example

- $\sigma(\mathsf{Person}) = \langle \mathsf{name} \rangle$
- $\sigma(\mathsf{Teacher}) = \langle \mathsf{name}, \mathsf{dpts} : \{\langle \mathsf{id} \rangle \} \rangle$
- $\sigma(\mathsf{Student}) = \langle \mathsf{name}, \mathsf{major}, \mathsf{enrol} : \{\mathsf{dom}\} \rangle$
- $\sigma(\text{Lecturer}) = \langle \text{name}, \text{dpts} : \{\langle \text{id}, \text{office} \rangle\}, \text{contacts} : [\text{dom}]_3 \rangle$
- $\sigma(\mathsf{Tutor}) = \langle \mathsf{name}, \mathsf{dpts} : \{\langle \mathsf{id} \rangle\}, \mathsf{labs} : \{|\langle \mathsf{day}, \mathsf{room} \rangle|\}\rangle$

 $\{$ Student, Teacher $\} \prec Person$, and $\{$ Lecturer, Tutor $\} \prec Teacher$

UNDER clause with NOT FINAL statement in the base type

```
CREATE TYPE PersonType AS (
               VARCHAR(20) NOT NULL,
    Name
    DateOfBirth DATE,
   Gender
               CHAR)
NOT FINAL;
CREATE TYPE StudentType UNDER PersonType AS (
    StudentID VARCHAR(10).
              VARCHAR(20)
   Major
);
CREATE TABLE Student OF StudentType;
```

Multiple Inheritance

- More than one superclass vs. single inheritance
- The is-a relationship forms a directed acyclic graph (DAG)
- A subclass inherits state and behavior from all its superclasses
- · Potential for ambiguity, e.g., fields with the same name
- The "diamond problem": $D \prec \{B, C\} \prec A$
- SQL does not support multiple inheritance of UDT's

Inheritance within ODL

```
class Person {
   attribute string name;
   attribute character gender; }

class Teacher extends Person {...}

class Student extends Person {...}

class TeachingFellow extends Teacher, Student {
   attribute string degree; }
```

• How many names and genders for a single TF ?!

Membership in a class hierarchy

Definition (Object assignment)

A function π mapping each name in $\mathcal C$ to a finite set of objets

- Proper extension of c: $\pi(c)$
- Set of database objects: $O = \{\pi(c) \mid c \in C\}$
- Extension of c: $\pi^*(c) = \bigcup \{\pi(x) \mid x \in \mathcal{C} \land x \prec c\}$
- $\pi^*(c_1) \subseteq \pi^*(c_2)$ whenever $c_1 \prec c_2$

Definition (Substitutability principle)

Value of type S can be sustituted to value of its supertype T

Alternative memberships

Properties

- Complete assignment: $\pi^*(c) \neq \emptyset \rightarrow \pi(c) = \emptyset$
- **Disjoint** assignment: $\pi(c_1) \cap \pi(c_2) \neq \emptyset \rightarrow (c_1 \prec c_2 \lor c_2 \prec c_1)$

Each class may have direct subclasses with:

- complete vs. partial assignment
- disjoint vs. overlapping assignment

- Extent declaration: named set of objects of the same type
 - ullet Class \sim Schema of a relation
 - ullet Extent \sim Instance of a relation
- Optional Key declaration: unicity constraint

- Object Query Language (OQL): SQL-like for pure object db's
- Alias for extent (c) is mandatory: typical class member



Table inheritance!

No native extension for types in SQL: create table for each UDT

CREATE TABLE Person OF PersonType;
CREATE TABLE Student OF StudentType UNDER Person;

- A Person row matches at most one Student row
- A Student row matches exactly one Person row
- Inherited columns are inserted only into Person table
- Delete Student row deletes matching Person row

• Default: retrieve the extension $\pi^*(\mathsf{Person})$ with all subtable rows

```
SELECT P.Name FROM Person P;
```

• ONLY clause: retrieve the proper extension $\pi(\mathsf{Person})$

```
SELECT P.Name FROM ONLY (Person) P;
```

Open issues

Multiple-table inheritance ? Propagation of referential integrity constraints ? Index ? . . .

Basics of relational mapping

- Classes are all distinct tables
- Keys must be defined
- The three ways to cope with class hierarchy:
 - 1. E/R-style: one partial table by subclass with key+specific fields
 - 2. OO-style: one full table by subclass
 - 3. Null-style: all subclasses embedded within one single base table

Example

Person(name, gender) Teacher(name, dpt) Student(name, major)

Person(name, gender) Teacher(name, gender, dpt) Student(name, gender, major)

Person(name, gender, dpt, major)

Exercises 1/2

Definitions

eNF². Class, Class member, UDT, Observer, Mutator, Method, ODL, Class hierarchy, Subtyping, Covariance, Diamond problem, Substitutability principle, Extension, OQL

2. True or False?

- i) eNF² dominates NF².
- ii) Map is a composite type constructor.
- iii) SQL implements eNF².
- iv) Subtyping rules are covariant only.
- v) $\pi(c) = \emptyset$ except for the leaves, is a full complete assignment.
- vi) SQL Subtabling implements relational mapping in E/R-style.

3. Misc 🦃

- 1. Exhibit a class hierarchy that is not well formed.
- 2. Give in ODL the Person class hierarchy of the example slide.

4. Problem 🖉

How many relations are required, using the OO-style mapping, if there is a 3-level hierarchy with out-degree 4, and that hierarchy is: (a) disjoint and complete at each level, (b) disjoint and partial at each level, and (c) overlapping and partial.

Object identity

- Persistent objects are given an Object IDentifier (OID)
- Used to manage inter-object references
- OID's are
 - unique among the set of objects stored in the DB
 - immutable even on update of the object value
 - permanent all along the object lifecycle
- OID's are not based on physical representation/storage of object (i.e., \neq ROWID or TID, \neq @object)

Ultimate object representation

Definition (Object)

An object is a pair (o, ϑ) , with o being the OID and ϑ is the value

- · Object identity is given by the OID
- Object value is not required to be unique

Values by example

- In the *class*-oriented restriction of eNF², values ϑ are
 - tuple-based complex values:

```
(o_1, \langle \text{title} : '\text{cs}123', \text{desc} : '...' \rangle)
(o_2, \langle \text{title} : '\text{cs}987', \text{desc} : '...' \rangle)
```

- $(o_3, \langle \mathsf{name} : \mathsf{'Doe'}, \mathsf{major} : \mathsf{'cs'}, \mathsf{year} : \mathsf{'junior'}, \mathsf{enrol} : \{o_1, o_2\} \rangle)$
- OID to achieve aliasing: (o_4, o_3)
- nil for nullable reference: (o₅, nil)

Composition graph

Structural representation of an object as a labeled directed graph

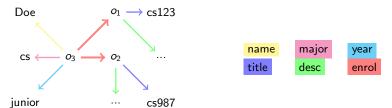
$$struct(o) := G(V, E)$$

where

- Vertices $V \subset O \cup \text{dom are OID's and atomic values}$
- Edges $E \subseteq V \times A \times V$ are labeled with symbols from A, the set of field names
- Draw an edge (o_i, x) whenever $x \in \{o_j, a\}$ occurs in the value of o_i , a being an atomic value in dom

Composition graph (cont'd)

Example for object o_3



Extend to a—cyclic—graph: $teacher \rightarrow dpt \rightarrow employees$

Statement

Object db is essentially a huge persistent relational graph

Equality

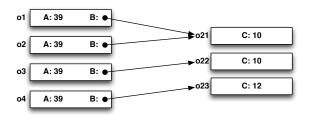
- Identity (==) is checked by means of OID's comparison
- · Composition graph allows to compare two objects for equality
 - Shallow equality (=): graphs must be identical, including OID's
 - Deep equality (=_{*}): isomorphic graphs with different OID's but atomic values are equal

Properties

$$o_i == o_j \longrightarrow o_i = o_j$$

 $o_i = o_i \longrightarrow o_i =_* o_i$

Equality (cont'd)



True	False
$o_1.B == o_2.B$	$o_1 == o_2$
$o_1 = o_2$	$o_1=o_3$
$o_1 =_* o_3$	$o_1 =_* o_4$
$o_{21} = o_{22}$	$o_{21} == o_{22}$

Object expansion

Definition (Expansion)

Expansion of an object o, denoted expand(o), is the—possibly infinite—tree obtained by replacing each object by its value recursively

Example of expand(o_3)



- Infinite expansion: cycle in the composition graph
- Deep equality can be checked from expansion traversal

Object persistence

- In OO-PL, objects are transient
- Persistence is orthogonal to object types—classes
- Many policies to come up with persistent objects:
 - Object creation and explicit declaration
 - Homogeneous collection by extension
 - Reachability: declare persistent objects by name, and the system makes persistent all reachable objects at any level of the composition graph

Reachability vs. extension

Names

- 1. Extension: all class members are designated by the name of a single collection
- Reachability: objects are linked to the name of their root of persistence

On delete

- 1. Extension: must detect an orphan (?) and retrieve the object from its collection name
- Reachability: garbage collecting when an object has a null in-degree

Types revisited

The family of $types \ au$ over the set $\mathcal C$ of class names is as follows:

$$\tau := \langle A_1 : \varrho, \dots, A_k : \varrho \rangle$$

$$\varrho := \text{dom } |c| \langle A_1 : \varrho, \dots, A_k : \varrho \rangle | \{\varrho\} | (\varrho) | [\varrho]_n | \{|\varrho|\} | [\varrho/\varrho]$$

- dom may be refined into primitive types of the language
- ullet c is any class name in ${\cal C}$

Types revisited (cont')

- ullet Object assignment π is basically OID assignment
- ANY $\in \mathcal{C}$ is a—singular—type such that $\mathsf{dom}(\mathtt{ANY}) = \llbracket O
 rbracket$
- Subtyping relationship is extended to:
 - 6. if $c_1 \prec c_2$, then $c_1 \leq c_2$
- Semantics of a class c is $dom(c) = \pi^*(c) \cup \{nil\}$

SQL3 References

Principle

If au is a type, then REF(au) is a **type of references** to au

- Weak translation of OID's into SQL world
- Unlike OID's, a REF is visible although it is gibberish

```
CREATE TYPE SellType AS (
bar REF(BarType) SCOPE Bar,
beer REF(BeerType) SCOPE Beer,
price FLOAT );
```

```
CREATE TABLE Sell OF SellType (
   REF IS sellID SYSTEM GENERATED,
   PRIMARY KEY (bar, beer) );

SELECT DEREF(s.beer) AS beer
FROM Sells s
WHERE s.bar->name = 'Le Flesselles';
```

• It would have required a join or nested guery otherwise

- Operate at the type system—class definition—level
- Connect entities/classes/types one with each other
- Binary relationships as partial multi-valued functions
- Decide for a direction: contains or isIncluded or both
- Prevent from redundancy: computed relationships
- Multiway relationships simulated by connecting classes

ODL example

```
class Sell {
 attribute
               real price;
 relationship Bar theBar;
 relationship Beer theBeer;
```

Multiplicity of relationships

ID's & Relationships

- For binary relationships
 - One-one: class/class Many-one: set¹/class
 - Many-many: set/set
- 'One' means at most one
- Many-one variants: **aggregation** and **composition** (1..1)
- Weak class: id depends on master class id's (≠ OID's)

```
class Employee (key (name, affiliated_to)) { // weak class
 attribute
              string
                           name:
 relationship Department affiliated_to; // one-one
 relationship set<Task> assigned_to; // many-one
 relationship list<Project> participates_in; // many-many
```



¹or any collection type constructor.

Basic properties of partial multi-valued functions

- A function $f: X \to Y$ can be
 - total: domain of f is X
 - **injective**: for all a,b in domain of f, if f(a) = f(b) then a = b
 - **surjective**: range (or codomain) of f is Y
 - bijective: both injective and surjective
- The **inverse** function $f^{-1}: Y \to X$ satisfies $f^{-1} \circ f = \operatorname{Id}$, with extended to sets of values

Comments

- Impact on design choices and further encoding
- Problems arise especially when mixed with inheritance

- Two relationships are right inverses by means of
 - Both ways of the same link in graphical languages
 - inverse statement in ODL
 - Not supported in SQL3

Example in ODL

- Relationships are essentially all distinct tables
- Key fields both parts come into play
- Exceptions:
 - Supporting relationship of a weak class does not require a separate table
 - Inlining of aggregations and compositions
- Discussion about inlining each *-one relationship
- Implement relationships one way only

Query can include path expressions rather than joins:

```
SELECT s.beer.name, s.price
FROM Sell
WHERE s.bar.name='Le Flesselles';
```

Alternative query

```
SELECT s.beer.name, s.price
FROM Bar b, b.beerSold s
WHERE b.name='Le Flesselles';
```

- Collections cannot be further extended by dot notation
- Collections can be part of the FROM clause

OQL features (cont'd)

- Result type is basically $\{|\langle . \rangle|\}$
- · Complex result type can be constructed in query

```
SELECT DISTINCT struct( e.name, projects:(
SELECT p.projectId
FROM e.participates_in AS p) )
FROM Employees AS e;
```

Result type:

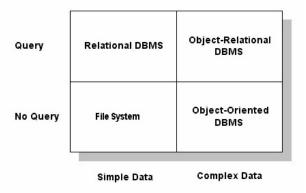
```
\{\langle name: string, projects: \{|int|\}\rangle\}
```

From Lineland to Spaceland

Object-Oriented paradigm brings to the-relational-data world

- Mashup of:
 - Databases
 - 2. OO Programming Languages
 - 3. Conceptual/Semantic Modeling
- Practical approaches to contemporary issues
- Lack of strong mathematical foundations

The Matrix



M. Stonebraker: *Object-Relational DBMS: The Next Great Wave*, MK, 1998 15 years later, OO-DBMS in South-East quadrant is questionable



Impedance Mismatch revisited

Find a sunset picture taken within a coastal zone by a professional photographer

```
SELECT p.id

FROM slides p, area a, a.landmarks l

WHERE sunset (p.picture) AND

p.owner.occupation = 'photographer' AND

a.type = 'coastal' AND

contains (p.caption, l.name);
```

- User-defined functions: sunset() contains()
- Path expression: P.owner.occupation
- Collection as table: area.landmarks

The First Manifesto

M. Atkinson, F. Bancilhon, D. DeWitt, K. Dittrich, D. Maier, and S. Zdonik. **The Object-Oriented Database System Manifesto**. In *Proceedings of the First International Conference on Deductive and Object-Oriented Databases*, pages 223-240, Kyoto, Japan, December 1989

13 must-have features of OO-DBMS

- 8 from Object-Oriented Programming Languages complex objects, object identity, encapsulation, types and classes, inheritance, polymorphism, completeness, extensibility
- 5 from Databases
 persistence, secondary storage management, concurrency,
 recovery, ad hoc query facility

ODMG Standard

- Object Database Management Group
 - 1991 ODMG was created by R. Cattell of Sun Microsystems
 - 2000 Latest standard: ODMG 3.0
 - 2001 ODMG disbanded to focus on Java Data Object (JDO)
 - 2006 OMG Object Database Technology (ODBT) Working Group for the 4th generation of OO-DBMS standard
- Four components
 - 1. Object Model
 - 2. Object Definition Language (ODL)
 - 3. Object Query Language (OQL)
 - 4. Language Binding for C++, Java, Smalltalk

Object Query Language (OQL)

- Extension of the SQL-92 standard: object-oriented notions, like complex objects, object identity, path expressions, operation invocation etc.
- High level constructs to deal with sets of objects and primitives for structures, list, arrays etc.
- Functional language where operators can freely be composed, as long as the operands respect the type system
- OQL does not provide explicit update operators but rather invokes operations defined on objects for that purpose

OO-DBMS vs. OR-DBMS vs. O/R Mapping

Relation as first-class citizen?

- Yes: SQL3
 - PostgreSQL, IBM DB2, Oracle, Microsoft SQL Server, Sybase
- No: ODMG ODL+OQL
 - db4o, Versant, ObjectStore, ObjectDB, Native Queries, LINQ
- Don't care: PL coupled with (R-)DBMS Mapping Framework
 - Hibernate, JPA, JDO, Codelgniter, Symfony, Django, EF

Exercises 1/2

1. Definitions

OID, Composition graph, Shallow equality, Deep equality, Expansion, Persistence by reachability, Partial multi-valued function

2. True or False?

- i) OID's are kind of primary keys.
- ii) Given = and $=_*$ are resp. $=_0$ and $=_\infty$, then $=_{k+1}$ refines $=_k$.
- iii) One-one relationships are injective functions.
- iv) Contravariance is meaningless.
- v) ODL allows for multiway relationships.

3. ODL relationships @

- 1. Give an ODL design with all the inverse relationships for the Person class to represent a genealogy.
- 2. What makes a relationships its own inverse?

4. Problem

Prove that expand(o) is a regular tree, i.e., it has a finite number of distinct subtrees.