Lab 11 report Shuchen Zhang

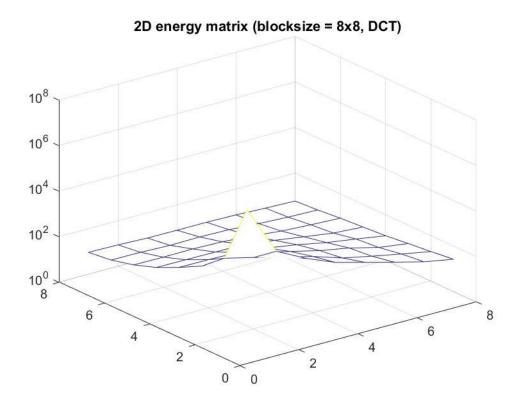
Introduction:

In this lab, we explore a few lossy image compression methods which are belong to transform coding. Transform coding take the advantage of energy compaction properties of Transform and find the optimal number of coefficients to keep so that the compressed image perceptually perfect. Two methods are implemented: DCT (discrete cosine transform) and KLT (Karhunen-Loeve Transform). Also, we examine the basic properties of JPEG image compression.

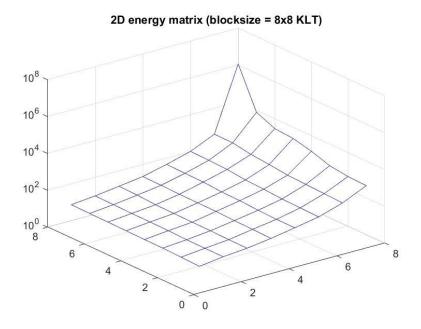
Results:

Q1.

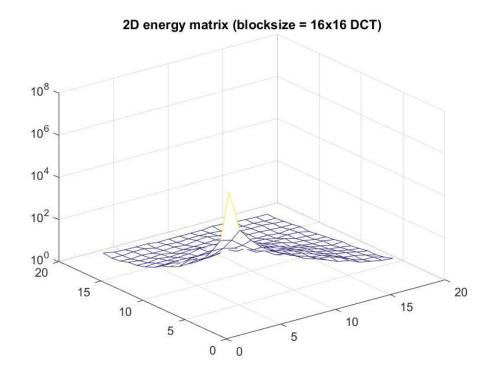
Plot of 'energy' matrix (block size = 8x8, DCT):



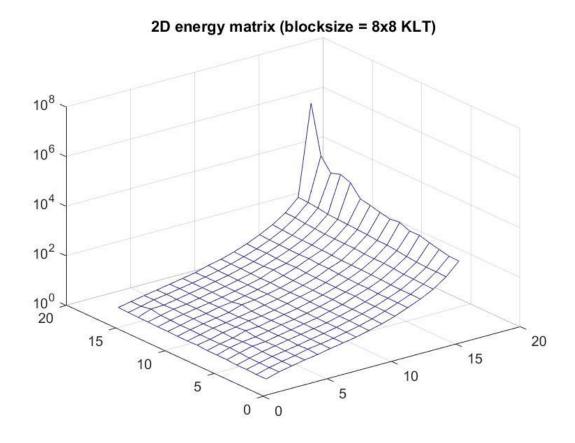
Plot of 'energy' matrix (block size = 8x8, KLT):



Plot of 'energy' matrix (block size = 16x16, DCT):



Plot of 'energy' matrix (block size = 16x16, KLT):



(i) 8x8 block, 10 coefficients kept:

a. Average block energy matrix:

1113526.26	7369.495	1600.408	472.6202	212.2493	95.93018	44.43204	25.64177
2845.291889	1366.788	619.1983	300.9496	114.7786	61.09009	34.09324	19.33793
447.4468234	458.6184	354.6095	173.9751	84.28035	48.7527	22.99782	15.20656
136.3994807	133.3624	123.5172	86.11809	53.05185	29.35361	18.75848	11.62838
47.24636078	46.06798	44.77216	43.36764	28.81882	18.46549	11.93056	9.827922
21.34602531	20.81486	21.23125	19.53493	15.60878	11.43845	9.589914	8.333294
12.14941378	11.84354	10.66156	11.08766	10.32013	8.49496	7.257209	6.675492
9.229664694	8.716275	7.755715	7.597281	7.562072	7.136904	6.278756	5.739915

b. Block coefficient mask:

	1	2	3	4	5	6	7	8
1	1	1	1	1	0	0	0	0
2	1	1	1	0	0	0	0	0
3	1	1	1	0	0	0	0	0
4	0	0	0	0	0	0	0	0
5	0	0	0	0	0	0	0	0
6	0	0	0	0	0	0	0	0
7	0	0	0	0	0	0	0	0
8	0	0	0	0	0	0	0	0

c. Resulting MSE per pixel: 36.9188

(ii) 8x8 block, 5 coefficients kept:

a. Average block energy matrix: same with a. in (i)

b. Block coefficient mask:

	1	2	3	4	5	6	7	8
1	1	1	1	0	0	0	0	0
2	1	1	0	0	0	0	0	0
3	0	0	0	0	0	0	0	0
4	0	0	0	0	0	0	0	0
5	0	0	0	0	0	0	0	0
6	0	0	0	0	0	0	0	0
7	0	0	0	0	0	0	0	0
8	0	0	0	0	0	0	0	0

c. Resulting MSE per pixel: 73.6765

(iii) 16x16 block, 40 coefficients kept:

a. Average block energy matrix:

```
4392481 49936.74 11955.11 4077.505 1892.138 1036.197 612.6555 319.2826 226.6707 144.3699 88.40918 71.27611 43.15714 34.82272 27.50977 24.65898
3104.02 2964.131 2190.862 1520.635 735.3763 584.9146 378.1431 204.5901 125.1485 86.04491 75.23078 52.03298 31.88623 27.42288 21.26908 15.75085
836.3508 1044.851 1279.093 744.256 733.6491 460.8319 251.3699 170.8372 106.7716 87.82005 56.6841 43.51826 31.00032 24.9745 19.48683
388.7273 472.3987 480.1467 512.3895 418.6958 343.176 226.3727 147.0129 100.2263 70.92014 52.23671 34.00944 27.2799
                                                                                               19.936 15.98933 13.54172
206.8159 237.9029 220.7121 254.8958 282.0208 176.1841 143.0812 107.7534 68.17244 57.5767 39.84725 30.6647 22.79646 16.02337 14.00933 11.79908
101.6062 154.1746 115.423 141.1013 129.8546 136.1411 88.83169 66.32727 64.15353 41.71907 30.67936 24.68797 18.29884 14.8153 11.52714 12.18307
47.43298 47.66199 38.73416 46.33573 48.36397 47.53638 52.61275 41.13357 36.66602 24.77069 20.73646 17.30207 12.30504 11.26728 9.270624 8.946931
26.69206 26.58424 27.59643 26.67322 25.37542 29.12826 33.55545 27.65029 23.05822 18.21636 14.3248 13.12901 12.82623 9.344511 8.619672 7.932869
18.50789 20.2182 19.38841 19.69557 21.00017 27.23799 18.72978 19.86903 16.35357 13.32703 11.49889 10.92042 8.558529 9.150146 8.666635 6.856937
14.37901 13.69518 15.82048 13.48313 14.5229 15.57437 14.48063 14.37639 12.56665 10.56793 9.078033 7.904033 7.956674 6.899917 7.813554 7.583004
13.16958 11.3224 10.82248 11.87467 9.723232 10.30125 10.45296 10.89348 11.40377 11.00344 8.32724 7.708645 7.526664 6.806164 6.520124 6.438296
9.876284 9.005196 9.934769 8.862251 8.656709 9.053695 8.046934 9.744921 10.51647 8.501338 7.826323 7.494232 7.064021 7.261719 6.42101 5.916919
10.22306 8.112308 7.650061 7.544255 7.618819 6.477731 6.761943 6.650168 6.645195 6.690302 7.467806 7.007751 6.090077 5.844769 5.518064 5.562819
```

b. Block coefficient mask:

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
1	1	1	1	1	1	1	1	1	1	0	0	0	0	0	0	0
2	1	1	1	1	1	1	1	1	0	0	0	0	0	0	0	0
3	1	1	1	1	1	1	1	0	0	0	0	0	0	0	0	0
4	1	1	1	1	1	1	1	0	0	0	0	0	0	0	0	0
5	1	1	1	1	1	1	0	0	0	0	0	0	0	0	0	0
6	0	1	0	1	1	0	0	0	0	0	0	0	0	0	0	0
7	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
8	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
9	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
10	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
17																

c. Resulting MSE per pixel: 30.2193

- (iv) 16x16 block, 10 coefficients kept:
 - a. Average block energy matrix: same with a in (iii.)
 - b. Block coefficient mask:

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
1	1	1	1	1	0	0	0	0	0	0	0	0	0	0	0	0
2	1	1	1	0	0	0	0	0	0	0	0	0	0	0	0	0
3	1	1	1	0	0	0	0	0	0	0	0	0	0	0	0	0
4	0	0	0	0	0	0	0	0	0	O	0	0	0	O	0	0
5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
7	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
8	0	0	0	0	0	0	0	0	0	O	0	0	0	O	0	0
9	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
10	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

c. Resulting MSE per pixel: 111.0360

KLT:

- (i) 8x8 block, 10 coefficients kept:
 - a. Average block energy matrix:

```
    5.351883
    7.930937
    10.22555
    15.36457
    25.93932
    44.80842
    114.2088
    443.5506

    5.885588
    7.92351
    10.35506
    16.73876
    26.01835
    48.58515
    127.9724
    483.8919

    6.326544
    8.033769
    11.01865
    17.34049
    28.21662
    51.41986
    141.3714
    648.2377

    6.427366
    8.660772
    11.16527
    18.98026
    32.498
    60.86006
    156.5932
    1383.661

    6.692372
    8.957912
    11.54252
    19.3611
    33.83434
    67.06095
    205.4076
    2379.973

    6.905103
    9.064157
    11.98473
    19.74052
    40.47456
    86.88672
    252.9087
    2822.254

    7.063862
    9.479951
    13.86675
    21.11186
    41.91792
    95.21659
    373.3221
    7520.321

    7.383065
    9.829814
    14.6635
    21.85588
    44.48344
    100.6153
    383.3447
    1112790
```

b. Block coefficient mask:

1	2	3	4	5	6	7	8
0	- 0	0	0	0	0	0	1
0	0	0	0	0	0	0	1
0	0	0	0	0	0	0	1
0	0	0	0	0	0	0	1
0	0	0	0	0	0	0	1
0	0	0	0	0	0	0	1
0	0	0	0	0	0	1	1
0	0	0	0	0	0	1	1

c. Resulting MSE per pixel: 34.2895

(ii.) 8x8 block, 5 coefficients kept:

a. Average block energy matrix: same with a. in (i)

b. Block coefficient mask:

	1	2	3	4	5	6	7	8
1	0	0	0	0	0	0	0	0
2	0	0	0	0	0	0	0	0
3	0	0	0	0	0	0	0	0
4	0	0	0	0	0	0	0	1
5	0	0	0	0	0	0	0	1
6	0	0	0	0	0	0	0	1
7	0	0	0	0	0	0	0	1
8	0	0	0	0	0	0	0	1

c. Resulting MSE per pixel: 70.7324

(iii.) 16x16 block, 40 coefficients kept:

a. Average block energy matrix:

2.369959 3.330917 4.190329 5.272102 6.285074 7.970052 9.771789 12.01169 16.07049 21.39375 31.37256 50.22407 81.38747 148.0723 336.6625 1050.144 2.332576 3.258263 4.193031 5.193961 6.843746 8.019167 9.787918 12.47212 16.21146 22.43538 33.19362 52.18233 83.27848 154.0184 349.9859 1078.561 2.464427 3.311463 4.35079 5.277805 6.442939 8.125715 10.01929 12.77011 17.24256 23.4297 33.65978 52.75084 83.22232 161.4805 352.7122 1326.202 $2.430561 \ \ 3.361487 \ \ 4.420327 \ \ 5.511939 \ \ 6.878993 \ \ 8.411145 \ \ 10.28121 \ \ 12.78018 \ \ 17.12574 \ \ 23.68536 \ \ 34.00095 \ \ 52.04022 \ \ 85.98721 \ \ 164.1955 \ \ 372.8812 \ \ 1631.109$ 2.624678 3.595491 4.845384 5.354226 6.755071 8.358274 10.49844 12.94955 17.82722 23.76548 37.79341 55.64411 91.26381 183.9119 408.5203 2110.816 $2.645917 \ \ 3.547336 \ \ 4.444754 \ \ 6.333937 \ \ \ 6.736382 \ \ 8.612563 \ \ 10.78504 \ \ \ 13.4715 \ \ 18.27636 \ \ 25.02211 \ \ \ 36.7428 \ \ 57.52008 \ \ 97.63734 \ \ 197.1454 \ \ 510.0237 \ \ 3025.852$ 2.871909 3.562388 4.767493 5.806558 7.53895 8.486599 10.7168 14.16106 19.23741 25.30775 37.46025 60.05066 99.3309 204.5193 519.3437 2709.517 2.799466 3.742066 4.674233 5.80817 7.0464 10.44122 11.82797 14.71859 18.82149 26.92142 39.66801 64.54438 107.6545 225.0982 604.4366 4154.311 2.905679 3.900327 4.900709 6.045012 7.279824 8.970615 11.44229 14.79919 19.63581 31.28725 41.36983 67.85254 119.4715 236.255 675.2414 14625.96 2.982081 3.979957 5.162129 5.945883 7.453368 9.414944 11.49229 15.0268 20.0348 28.58731 43.25427 69.49199 121.561 255.1312 3.025331 4.703513 4.92744 6.028686 7.542048 9.38309 12.04748 15.28803 20.85966 28.83935 45.65044 72.25137 126.2609 272.6679 828.4125 15408.74 3.116713 3.980041 5.265613 6.316888 7.794121 9.494704 11.99778 15.54022 20.99277 29.90184 45.01557 73.85646 134.9505 306.3912 915.2131 50550.02 3.230931 4.074746 5.103386 6.219151 7.72297 9.546038 11.85235 16.75173 21.39964 30.64434 47.10282 79.55755 144.7806 316.7071 957.4898 4379323

b. Block coefficient mask:

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1
2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1
3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1
4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1
5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1
6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1
7	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1
8	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1
9	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	1
10	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	1
11	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	1
12	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	1
13	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	1
14	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	1
15	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	1
16	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	1

c. Resulting MSE per pixel: 24.8651

(iv.) 16x16 block, 10 coefficients kept:

a. Average block energy matrix: same with a. in (iii.)

b. Block coefficient mask:

		υ.	DIOCK C	OCITICIO		1511.										
	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
7	0	C	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2	0	C	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3	0	C	0	0	0	0	0	0	0	0	0	0	0	0	0	0
4	0	C	0	0	0	0	0	0	0	0	0	0	0	0	0	0
5	0	C	0	0	0	0	0	0	0	0	0	0	0	0	0	0
6	0	(0	0	0	0	0	0	0	0	0	0	0	0	0	0
7	0	C	0	0	0	0	0	0	0	0	0	0	0	0	0	1
8	0	C	0	0	0	0	0	0	0	0	0	0	0	0	0	1
9	0	C	0	0	0	0	0	0	0	0	0	0	0	0	0	1
10	0	C	0	0	0	0	0	0	0	0	0	0	0	0	0	1
11	0	C	0	0	0	0	0	0	0	0	0	0	0	0	0	1
12	0	C	0	0	0	0	0	0	0	0	0	0	0	0	0	1
13	0	C	0	0	0	0	0	0	0	0	0	0	0	0	0	1
14	0	C	0	0	0	0	0	0	0	0	0	0	0	0	0	1
15	0	C	0	0	0	0	0	0	0	0	0	0	0	0	0	1
16	0	C	0	0	0	0	0	0	0	0	0	0	0	0	0	1

c. Resulting MSE per pixel: 105.1344

Q2.

For both DCT and KLT, as the number of coefficients kept increase and keep the block size the same, the visual quality of the compressed image will be better, the distortion will be lower and MSE will be lower. If keep the number of coefficients the same, for both DCT and KLT methods, as the block size increase, the visual quality of the compressed image will get lower, distortion will be higher and MSE will be

higher. When keep the block size and the number of coefficients kept same for both DCT and KLT methods, KLT gives better visual quality and MSE will be smaller than DCT.

- **Q3**. Zonal masking is effective if most of the energy is concentrated in the low frequency part. By doing the zonal masking, small high-frequency components will be discarded, so it might not be effective if there are a lot of high frequency components. Instead of zonal masking method, we could also do thresholding for each block, and those energy above the selected threshold will be preserved and the others will be discarded.
- **Q4.** KLT has higher compression efficiency than DCT and results in better visual quality and lower MSE of compressed image if keeping the block size and number of coefficients the same. However, computing KLT requires a correlation matrix computation followed by an eigenvector decomposition which requires extra execution time and unlike DCT, there is no fast algorithm for computing the transformed coefficients with KLT method. The KLT works better than DCT in compression quality in the image that is less-correlated, while DCT is almost optimal in stationary image with high-correlation.
- **Q5**. The percentage of total energy retained can be calculated as the sum of energy corresponding to the preserved coefficients divided by the total energy of the entire image.

DCT:

i)8x8 block, 10 coefficients kept: 0.9966
ii) 8x8 block, 5 coefficients kept: 0.9958
iii) 16x16 block, 40 coefficients kept: 0.9982
iv) 16x16 block, 10 coefficients kept: 0.9937

KLT:

i)8x8 block, 10 coefficients kept: 0.99806
ii) 8x8 block, 5 coefficients kept: 0.9959
iii) 16x16 block, 40 coefficients kept: 0.99859
iv) 16x16 block, 10 coefficients kept: 0.994053

Q6. Comparing DCT and KLT matrices, we could see that they are very different. For DCT, high energy concentrated on the top left corner of matrices (low frequency part) while for KLT, high energy concentrated on the bottom right corner of matrices (high frequency part). The assumption for KLT to perform best is that the image is highly uncorrelated, while DCT works best when the image is highly correlated.

Image obtained:

8X8 #coefficients = 5 DCT:



8X8 #coefficients = 10 DCT:



8X8 #coefficients = 5 KLT:



8X8 #coefficients = 10 KLT:



16X16 #coefficients = 10 DCT:



16X16 #coefficients = 40 DCT:



16X16 #coefficients = 10 KLT:



16X16 #coefficients = 40 KLT:



Conclusion:

In this lab, we compared the performance of two transform coding method DCT and KLT. DCT will perform more efficient and faster than KLT and works best especially for highly-correlated stationary image. However, KLT results in better visual quality and lower MSE compared to DCT for the lena image which is not very high-correlated. KLT will cost longer execution time due to its extra computation of correlation matrix and eigenvector decomposition but will results in better quality of compressed image when there is random correlation of the image.