

Current/Past Research conducted on freely available multi-center (eICU)/single-center (MIMIC's) databases for critical care research

Chi-Kuang Yeh

Department of Statistics and Actuarial Science
University of Waterloo

Feb 27th, 2019

Table of contents

- 1 Background
- 2 Research fields
- 3 Methodologies
- 4 Remarks

Freely accessible critical care databases from Philips

- 1 eICU Collaborative Research Database (eICU/eICU-CRD, (2018))
 - ★ Current version (v2.0 (17 May 2018))
 - ★ 200,859 patient between 2014 – 2015, from 335 units at 208 hospitals.

Freely accessible critical care databases from Philips

- ① eICU Collaborative Research Database (eICU/eICU-CRD, (2018))
 - ★ Current version (v2.0 (17 May 2018))
 - ★ 200,859 patient between 2014 – 2015, from 335 units at 208 hospitals.
- ② Medical Information Mart for Intensive Care databases(MIMIC's (1996, 2011, 2016))
 - ★ I – 121 records, II – 32,000 records, III – 53,423 records between 2001 – 2012 from Beth Israel Deaconess Medical Center in Boston
 - ★ Current version (v1.4 (4 September, 2016))

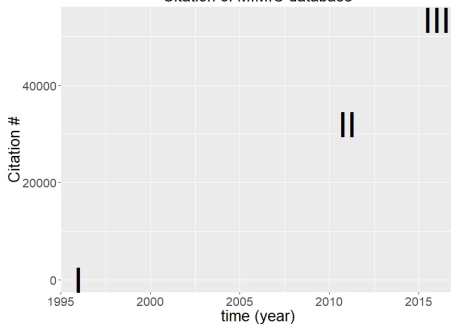
Freely accessible critical care databases from Philips

- ① eICU Collaborative Research Database (eICU/eICU-CRD, (2018))
 - ★ Current version (v2.0 (17 May 2018))
 - ★ 200,859 patient between 2014 – 2015, from 335 units at 208 hospitals.
- ② Medical Information Mart for Intensive Care databases(MIMIC's (1996, 2011, 2016))
 - ★ I – 121 records, II – 32,000 records, III – 53,423 records between 2001 – 2012 from Beth Israel Deaconess Medical Center in Boston
 - ★ Current version (v1.4 (4 September, 2016))
- ③ MIMIC-III and eICU-CRD are independent

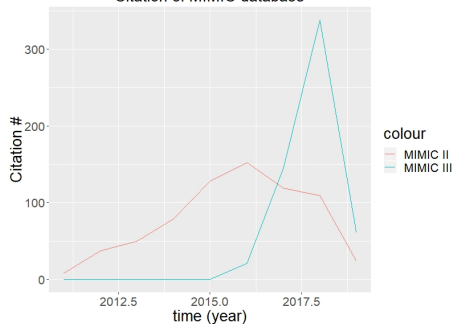
What's the deal here?

Citation & Data Magnitude

Citation of MIMIC database



Citation of MIMIC database



Areas

- 1 Medical Research
- 2 Engineering/Computer Science (ECS)
- 3 Other general focused area
 - ▶ E.g. Testing the quality of the data
- 4 Not so many on other fields such as in Statistics

Medical Journal

- Critical Care series (e.g. Critical Care Medicine)
- Journal of Electrocardiology
- The Lancet
- Computing in Cardiology
- Nature series
- and many more...

Questions

- 1 Choice of covariates
- 2 Mortality prediction
- 3 What's the characteristic of the data?
- 4 e.g. Do we have better prediction rate by including BMI index in the predictive model? (Kramer 2019)

Procedure

- 1 Processing Data
- 2 Analyzing Data
- 3 Displaying in Table + Explanation
- 4 Using Medical models (e.g. APACHE (Acute Physiologic Assessment and Chronic Health Evaluation) and OASIS (Outcome and Assessment Information Set) models, etc)
- 5 Sensitive test, evaluation using statistical measures (e.g. ROC (Receiver operating characteristic))

ECS

- IEEE series (e.g. IEEE journal of biomedical and health informatics/transactions on Biomedical Engineering/Computing in Cardiology, etc)
- Many conferences/proceedings (e.g. EMBC/EMBC/AAAI)
- Journal of the ACM

Questions and Motivation

Various different topics

- 1 From medical research
- 2 Privacy/Security concern
- 3 Data Reliability
- 4 Save money/time/patients
- 5 Auto-detection system
- 6 and many more

Some main Research areas

ECS

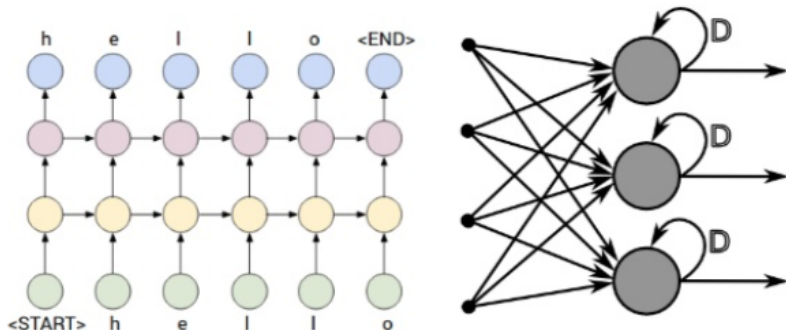
- Data Generation
- Classification & Detection
- Clustering – Finding Treatment
- Prediction – Mortality rate

Data Generation

- To prevent privacy concern
- E.g. Derroncourt et. al. (2016),
 - ▶ De-identification of Patient Notes
 - ▶ Conditional random field (CRF) model (RNN)
 - ▶ Optimized to maximize the likelihood of the labels
- E.g. Esteban et. al. (2017)
 - ▶ Generative Adversarial Networks (GANs) with RNN, conditioned on auxiliary information Synthetic data

What are Recurrent Neural Networks

1. In their simplest form (RNNs), they are just Neural Networks with a feedback loop
2. The previous time step's hidden layer and final outputs are fed back into the network as part of the input to the next time step's hidden layers.



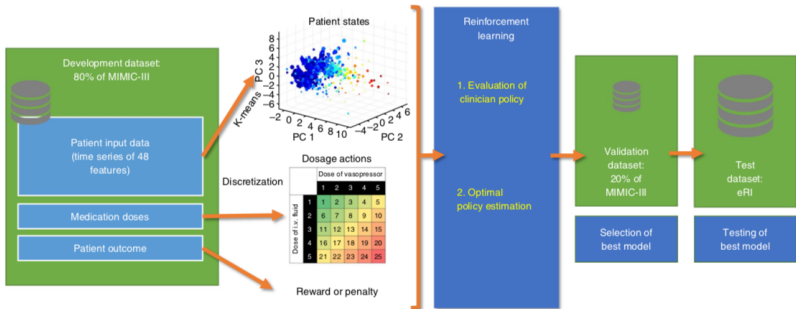
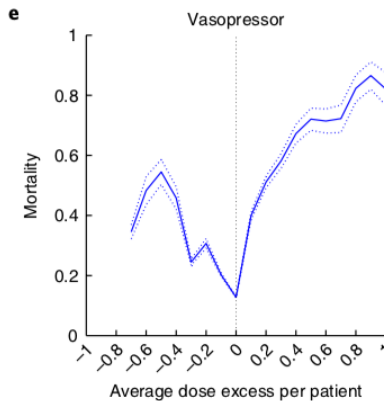
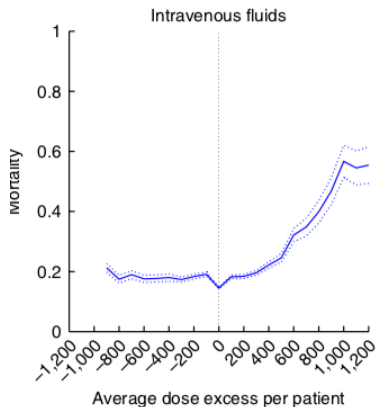


Fig. 1 | Data flow of the AI Clinician. Eighty percent of the MIMIC-III dataset was used to define the elements of the MDP. Time series of patient data were clustered into finite states. The dose of intravenous (i.v.) fluids and vasopressors were discretized into 25 possible actions. Patient survival at 90 d after ICU admission defined reward. Reinforcement learning was used to estimate optimal treatment strategies—the AI policy. The remaining 20% of MIMIC-III data was used to identify the best model among 500 candidates, which was then tested on an independent dataset from the eRI database.



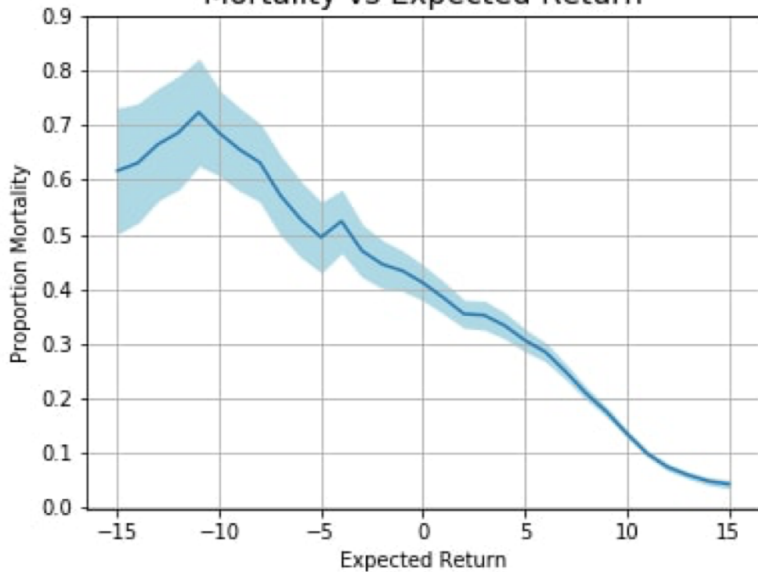
- Komorowski et. al. (2018), The Artificial Intelligence Clinician learns optimal treatment strategies for sepsis in intensive care
 - ▶ Markov decision process (MDP), deploy the AI clinician to solve the MDP and predict outcomes of treatment strategies
 - ▶ 1. Evaluation of clinician policy; 2. Optimal policy estimation
 - ▶ The AI policy – Time series of patient data were clustered into finite states, then apply RL to estimate optimal treatment strategies -
 - ▶ Random forest used, temporal difference learning etc.
- A machine learning approach to intensive care discharge.
 - ▶ Decision support tool for inpatient discharge process using LC/RF with cross-validation
 - ▶ ROC (Receiver-operator-characteristic) and PRC (Precision recall) curves

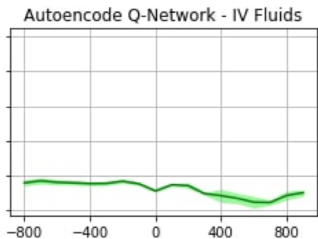
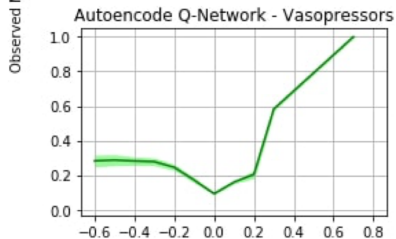
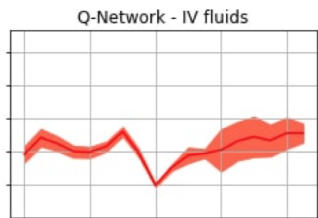
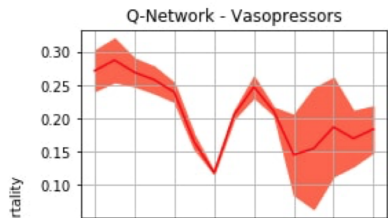
Patient	NLD	NLD _{opt}	RF	LC	NLD fails	Notes
1034 (FP)	0.010 (1.0)	0.010 (1.0)	0.071 (0.784)	0.096 (0.765)	-	Patient admitted to ICU post surgery (primary lung tumour). Discharge to ward. Readmitted within 24 hours with bacterial pneumonia.
10783 (FP)	0.010 (1.0)	0.010 (1.0)	0.035 (0.819)	0.163 (0.716)	-	Patient admitted to ICU with secondary hepatic tumour. Appears to be RFD at 96 hours prior to callout.
4065 (TN)	1.0 (0.467)	0.464 (0.702)	0.368 (0.494)	0.395 (0.450)	R2, R4, C0, P, B1, B3, B4	Patient admitted to ICU with intracranial abscess. Not ready for discharge at 72 hours prior to callout.
4065 (TP)	0.010 (1.0)	0.010 (1.0)	0.077 (0.780)	0.047 (0.812)	-	Same patient as above. RFD at time of callout.
868 (FN)	0.113 (0.867)	0.046 (0.939)	0.080 (0.777)	0.054 (0.806)	C0, T	Patient admitted with malignant large bowel tumour. Appears NRFD at time of callout. Positive outcome.

Finding the optimal treatment for patients

- Raghu et. al. (2017)
- To find optimal treatment of Sepsis
- SSM – probabilistic dependence between the latent state variable and the observed measurement

Mortality vs Expected Return





Difference between optimal and physician vasopressor dose

Difference between optimal and physician IV dose

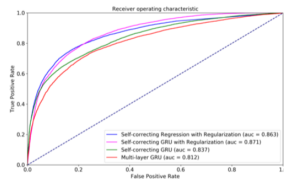
- Rajkomar et. al. (2018),
 - ▶ Electronic health record (EHR)
 - ▶ EHR -> input container of FHIR specification -> in temporal order -> high precision
- Nemati et. al. (2018), An Interpretable Machine Learning Model for Accurate Prediction of Sepsis in the ICU
- Pan et. al. (2019),
 - ▶ Prediction of acute kidney injury (AKI)
 - ▶ Based on Recurrent Neural networks (RNN), to be dependent on the previous predicted output and corresponding label
 - ▶ Incorporate with prediction and estimation errors

Model	MIMIC (testing)	MIMIC (training)	eICU (testing)	eICU (training)
Multi-layer GRU (baseline)	0.743	0.777	0.812	0.836
Self-correcting RNN*	0.889	0.892	0.837	0.875
Self-correcting Regression with Regularization*	0.886	0.891	0.861	0.894
Self-correcting RNN with Regularization*	0.893	0.897	0.871	0.899

Table 1: AUC of the four models on MIMIC-III and eICU. * Proposed methods.



(a)



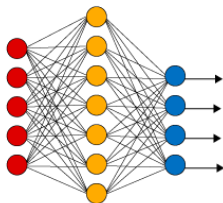
(b)

Figure 5: ROC of the four comparing models (a) on the MIMIC-III dataset for time steps $t > 6$, and (b) on the eICU dataset for time steps $t > 6$. The red line indicates the baseline RNN model, and the other lines are ones for our proposed methods.

Methodologies

Methodologies

Simple Neural Network

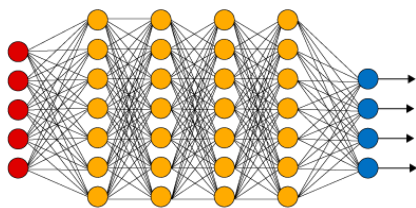


● Input Layer

● Hidden Layer

● Output Layer

Deep Learning Neural Network

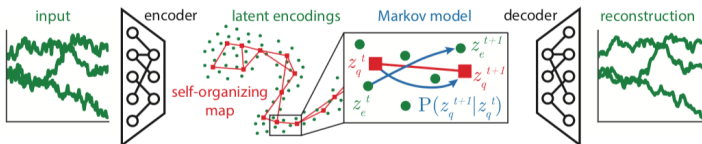


Mainly on Machine Learning/AI literature

- 1 Variations of Neural Network
- 2 GA, RNN, Auto-encoder, Long-Short Term Memory
- 3 LSTM – well-suited to classifying, processing and making predictions based on time series data,
- 4 Rarely, Logistic classifier or Random Forest
- 5 Biomedical text mining/bioNLP techniques

Representation

Representation



Some statistical methods used

- Cross-validation
- AUROC

Some statistical methods used

- Cross-validation
- AUROC
- Bahadori et. al. (2017) – Causal Regularization
- Sow et. al. (2010), – ARIMA model
- Johnson et. al. (2012) uses Bayesian Network/Learning
- Pirracchio et. al. (2015) – GLM
- Mayaud et. al. (2013), Li-wei et. al. (2013) – Bootstrap

Remarks:

- 1 The difference between eICU and MIMIC's
- 2 Interpretability – from statistics point of view
- 3 Almost no related publications on statistical journals, yet!
- 4 Might be missing some earlier works
- 5 Data cleaning procedure

Reading Break

Reading Break



THANK YOU!



References I

*

- Bahadori, Mohammad Taha et al. (2017). “Causal regularization”. In: *arXiv preprint arXiv:1702.02604*. URL: <https://arxiv.org/abs/1702.02604>.
- Dernoncourt, Franck et al. (2017). “De-identification of patient notes with recurrent neural networks”. In: *Journal of the American Medical Informatics Association* 24.3, pp. 596–606. DOI: [10.1093/jamia/ocw156](https://doi.org/10.1093/jamia/ocw156).
- Esteban, Crist  bal, Stephanie L. Hyland, and Gunnar R  dtsch (2017). “Real-valued (medical) time series generation with recurrent conditional gans”. In: *arXiv preprint arXiv:1706.02633*. URL: <https://arxiv.org/abs/1706.02633>.

References II

- Johnson, Alistair EW et al. (2012). “Patient specific predictions in the intensive care unit using a Bayesian ensemble”. In: *2012 Computing in Cardiology*. IEEE, pp. 249–252. URL: <https://ieeexplore.ieee.org/document/6420377>.
- Johnson, Alistair EW et al. (2016). “MIMIC-III, a freely accessible critical care database”. In: *Scientific data* 3, p. 160035. URL: <https://www.nature.com/articles/sdata201635>.
- Komorowski, Matthieu et al. (2018). “The Artificial Intelligence Clinician learns optimal treatment strategies for sepsis in intensive care”. In: *Nature medicine* 24.11, p. 1716. DOI: [10.1038/s41591-018-0213-5](https://doi.org/10.1038/s41591-018-0213-5).
- Kramer, Andrew A. (2019). “A Different Type of Obesity Paradox”. In: *Critical Care Medicine* 47.2, pp. 300–301. DOI: [10.1097/CCM.0000000000003575](https://doi.org/10.1097/CCM.0000000000003575).

References III

- Li-wei, H. Lehman et al. (2013). “Methods of blood pressure measurement in the ICU”. In: *Critical Care Medicine* 41.1. pmid:23269127, p. 34. URL: https://journals.lww.com/ccmjournal/Abstract/2013/01000/Methods_of_Blood_Pressure_Measurement_in_the_ICU_.5.aspx.
- Nemati, Shamim et al. (2018). “An interpretable machine learning model for accurate prediction of sepsis in the ICU”. In: *Critical Care Medicine* 46.4, pp. 547–553. DOI: 10.1097/CCM.0000000000002936.
- Pan, Ziyuan et al. (2019). “A Self-Correcting Deep Learning Approach to Predict Acute Conditions in Critical Care”. In: *arXiv preprint arXiv:1901.04364*. URL: <https://arxiv.org/abs/1901.04364>.

References IV

- Pirracchio, Romain et al. (2015). “Mortality prediction in intensive care units with the Super ICU Learner Algorithm (SICULA): a population-based study”. In: *The Lancet Respiratory Medicine* 3.1, pp. 42–52. URL: [https://doi.org/10.1016/S2213-2600\(14\)70239-5](https://doi.org/10.1016/S2213-2600(14)70239-5).
- Pollard, Tom J. et al. (2018). “The eICU Collaborative Research Database, a freely available multi-center database for critical care research”. en. In: 5. DOI: 10.1038/sdata.2018.178. URL: <http://www.nature.com/articles/sdata2018178>.
- Raghu, Aniruddh et al. (2017). “Continuous state-space models for optimal sepsis treatment-a deep reinforcement learning approach”. In: *arXiv preprint arXiv:1705.08422*. URL: <https://arxiv.org/abs/1705.08422>.

References V

- Rajkomar, Alvin et al. (2018). “Scalable and accurate deep learning with electronic health records”. In: *NPJ Digital Medicine* 1.1, p. 18. URL: <https://www.nature.com/articles/s41746-018-0029-1#Abs1>.
- Saeed, Mohammed et al. (2002). “MIMIC II: a massive temporal ICU patient database to support research in intelligent patient monitoring”. In: *Computers in cardiology*. IEEE, pp. 641–644. DOI: 10.1109/CIC.2002.1166854.
- Saeed, Mohammed et al. (2011). “Multiparameter Intelligent Monitoring in Intensive Care II (MIMIC-II): a public-access intensive care unit database”. In: *Critical Care Medicine* 39.5. PMID:21283005, p. 952. DOI: 10.1097/CCM.0b013e31820a92c6.

References VI

- Sow, Daby et al. (2010). “Real-time prognosis of ICU physiological data streams”. In: *2010 Annual International Conference of the IEEE Engineering in Medicine and Biology*. IEEE, pp. 6785–6788. DOI: 10.1109/IEMBS.2010.5625983.