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A global method for calculating plant CSR ecological strategies applied across biomes worldwide

Simon Pierce¹, Daniel Negreiros², Bruno E.L. Cerabolini³, Jens Kattge⁴, Sandra Díaz⁵, Michael Kleyer⁶, Bill Shipley⁷, S. Joseph Wright⁸, Nadejda A. Soudzilovskaia⁹, Vladimir G. Onipchenko¹⁰, Peter M. van Bodegom⁹, Cedric Frenette-Dussault⁷, Evan Weiher¹², Bruno X. Pinho¹³, Johannes H.C. Cornelissen¹¹, J. Philip Grime¹⁴, Ken Thompson¹⁴, Roderick Hunt¹⁵, Peter J. Wilson¹⁴, Gabriella Buffa¹⁶, Oliver C. Nyakunga^{16,17}, Peter B. Reich^{18,19}, Marco Caccianiga²⁰, Federico Mangili²⁰, Roberta M. Ceriani²¹, Alessandra Luzzaro¹, Guido Brusa³, Andrew Siefert²², Newton P.U. Barbosa², F. Stuart Chapin III²³, William K. Cornwell²⁴, Jingyun Fang²⁵, G. Wilson Fernandes^{2,26}, Eric Garnier²⁷, Soizig Le Stradic²⁸, Josep Peñuelas^{29,30}, Felipe P. L. Melo¹³, Antonio Slaviero¹⁶, Marcelo Tabarelli¹³, Duccio Tampucci²⁰.

¹ Department of Agricultural and Environmental Sciences (DiSAA), University of Milan, Via G. Celoria 2, I-20133 Milan, Italy;

² Ecologia Evolutiva e Biodiversidade/DBG, CP 486, ICB/Universidade Federal de Minas Gerais, 30161-970. Belo Horizonte, MG, Brazil;

³ Department of Theoretical and Applied Sciences, University of Insubria, Via J.H. Dunant 3, I-21100 Varese, Italy;

⁴ Max Planck Institute for Biogeochemistry, P.O. Box 100164, 07701 Jena, Germany;

⁵ Instituto Multidisciplinario de Biología Vegetal (CONICET-UNC) and FCEfyN, Universidad Nacional de Córdoba, Córdoba, Argentina;

⁶ Department of Biology, Earth and Environmental Sciences, University of Oldenburg, 26111 Oldenburg, Germany;

⁷ Département de Biologie, Université de Sherbrooke, Sherbrooke (Qc) Canada J1K 2R1;

⁸ Smithsonian Tropical Research Institute, Apartado 0843-03092, Balboa, Republic of Panama;

⁹ Leiden university, Institute of Environmental Sciences CML, Einsteinweg 2, 2333 CC Leiden, the Netherlands;

¹⁰ Department of Geobotany, Faculty of Biology, Moscow State University, RU-119991 Moscow, Russia;

¹¹ Vrije Universiteit, sub-department of Systems Ecology, de Boelelaan 1085, 1081 HV Amsterdam, the Netherlands;

¹² Department of Biology, University of Wisconsin-Eau Claire, WI 54702-4004, USA;

¹³ Departamento de Botânica, Universidade Federal de Pernambuco, Cidade Universitária, 50670-901, Recife, PE – Brazil;

¹⁴ Department of Animal and Plant Sciences, University of Sheffield, Alfred Denny Building, Western Bank, Sheffield, S10 2TN, UK;

¹⁵ College of Life and Environmental Sciences, University of Exeter, Innovation Centre, Rennes Drive, Exeter, EX4 4RN, UK;

¹⁶ Department of Environmental Sciences, Informatics and Statistics, University Ca' Foscari of Venice, Campo Celestia 2737b – Castello I-30122, Venice, Italy;

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- ¹⁷ College of African Wildlife Management, Mweka (CAWM), Moshi, Republic of Tanzania;
- ¹⁸ Department of Forest Resources, University of Minnesota, 530 Cleveland Ave. N., St. Paul, MN 55108, USA;
- ¹⁹ Hawkesbury Institute for the Environment, University of Western Sydney, Penrith, NSW 2751, Australia;
- ²⁰ Department of Biosciences, University of Milan, Via G. Celoria 26, I-20133 Milano, Italy;
- ²¹ The Native Flora Centre (Centro Flora Autoctona; CFA), c/o Consorzio Parco Monte Barro, via Bertarelli 11, I-23851 Galbiate (LC), Italy;
- ²² Department of Evolution and Ecology, University of California, One Shields Avenue, Davis, CA 95616, USA;
- ²³ Department of Biology and Wildlife, Institute of Arctic Biology, University of Alaska Fairbanks, Alaska, USA;
- ²⁴ Evolution & Ecology Research Centre, School of Biological, Earth and Environmental Sciences, University of New South Wales, Sydney, New South Wales 2052, Australia;
- ²⁵ Institute of Botany, the Chinese Academy of Sciences, Xiangshan, Beijing 100093, China;
- ²⁶ Department of Biology, Stanford University, Stanford, CA 94035, USA;
- ²⁷ CNRS, Centre d'Écologie Fonctionnelle et Évolutive (CEFE) (UMR 5175), 1919 Route de Mende, 34293 Montpellier Cedex 5, France;
- ²⁸ Gembloux Agro-Bio Tech, Biodiversity and Landscape unit, University of Liege, Gembloux, 5030, Belgium.
- ²⁹ CSIC, Global Ecology Unit CREAF-CEAB-CSIC-UAB, Cerdanyola del Vallès, 08193 Barcelona, Catalonia, Spain;
- ³⁰ CREAF, Cerdanyola del Vallès, 08193 Barcelona, Catalonia, Spain;

*Correspondence author: simon.pierce@unimi.it, tel: +39 02503 16785

Running headline: *Global plant CSR analysis*

Summary

1. Competitor, Stress-tolerator, Ruderal (CSR) theory is a prominent plant functional strategy scheme previously applied to local floras. Globally, the wide geographic and phylogenetic coverage of available values of leaf area (LA), leaf dry matter content (LDMC) and specific leaf area (SLA) (representing, respectively, interspecific variation in plant size and conservative vs. acquisitive resource economics) promises the general application of CSR strategies across biomes, including the tropical forests hosting a large proportion of Earth's diversity.

2. We used trait variation for 3068 tracheophytes (representing 198 families, six continents and 14 biomes) to create a globally-calibrated CSR strategy calculator tool and investigate strategy-environment relationships across biomes worldwide.

3. Due to disparity in trait availability globally, co-inertia analysis was used to check correspondence between a 'wide geographic coverage, few traits' dataset and a 'restricted coverage, many traits' subset of 371 species for which 14 whole-plant, flowering, seed and leaf traits (including leaf nitrogen content) were available. CSR strategy/environment relationships within biomes were investigated using fourth-corner and RLQ analyses to determine strategy/climate specialisations.

4. Strong, significant concordance ($RV=0.597$; $p<0.0001$) was evident between the 14 trait multivariate space and when only LA, LDMC and SLA were used.

5. Biomes such as tropical moist broadleaf forests exhibited strategy convergence (i.e. clustered around a CS/CSR median; C:S:R=43:42:15%), with CS-selection associated with warm, stable situations (lesser temperature seasonality), with greater annual precipitation and potential evapotranspiration. Other biomes were characterised by strategy divergence: e.g. deserts varied

between xeromorphic perennials such as *Larrea divaricata*, classified as S-selected (C:S:R=1:99:0%), and broadly R-selected annual herbs (e.g. *Claytonia perfoliata*; R/CR-selected; C:S:R=21:0:79%). Strategy convergence was evident for several growth habits (e.g. trees) but not others (forbs).

6. The CSR strategies of vascular plants can now be compared quantitatively within and between biomes at the global scale. Through known linkages between underlying leaf traits and growth rates, herbivory and decomposition rates, this method and the strategy-environment relationships it elucidates will help to predict which kinds of species may assemble in response to changes in biogeochemical cycles, climate and land-use.

Key-words: Comparative ecology, community assembly, plant economics spectrum, Grime's CSR triangle, plant functional type, survival strategy, universal adaptive strategy theory

Data Archiving: Data are available from the TRY database, at www.try-db.org.

Introduction

A common framework for the comparison of organism function within and between ecosystems worldwide can potentially be achieved using the continuous variation evident along spectra of functional (adaptive) traits. The main plant trait spectra evident globally represent variation in plant resource economics (a trade-off between traits conferring resource acquisition and internal conservation) and the size of plants and plant organs (Díaz *et al.* 2016). These two principal functional trait spectra can be used to assign species a position within multivariate space relative to other species, and concurrently to allocate discrete ecological strategy classes (Pierce *et al.* 2013). These classes are more precise than traditional life-form or 'plant functional type' categories characterised by constant attributes (van Bodegom *et al.* 2012; Soudzilovskaia *et al.* 2013). Functional trait spectra may also better reflect the acquisition, use and cycling of energy and matter within the ecosystem. For instance, plant resource economics is a particularly important determinant of photosynthetic carbon fixation, biomass production, litter decomposition and thus ecosystem nutrient

availabilities (Grime *et al.* 1997; Wright *et al.* 2004; Cornwell *et al.* 2008; Reich 2014). Ecological strategies reflect viable suites of traits that impact fitness and survival and can also provide a conceptual link between ecology and natural selection. Indeed, recent studies underline the evolutionary history of functional traits (Cornelissen & Cornwell 2014; Cornwell *et al.* 2014; Flores *et al.* 2014; Díaz *et al.* 2016) and the consistent co-occurrence of particular traits and trait values (Reich 2014; Verheijen *et al.* 2016). It is now clear that plant size and economics are the most prominent aspects of plant functional variation globally (Díaz *et al.* 2016), although there is currently no consensus on any particular ecological strategy scheme that can potentially explain *why* certain combinations of trait values are consistently observed under similar circumstances.

A prominent strategy scheme is Grime's (1974, 1977, 2001) competitor, stress-tolerator, ruderal (CSR) theory (reviewed by Grime & Pierce 2012), in which the three principal strategies represent viable trait combinations rising under conditions of competition, abiotic limitation to growth or periodic biomass destruction, respectively. Specifically, C-selected 'competitors' are said to survive in relatively stable, productive habitats *via* investment of resources in continued vegetative growth and rapid attainment of large individual and organ size to aid resource pre-emption. S-selected 'stress-tolerators' protect metabolic performance in variable and resource-poor environments by investing mainly in capacity to retain resources and repair cellular components in dense, persistent tissues. They may be small or gradually accrue large size over a long life span. R-selection, or ruderalism, involves investment of a large proportion of resources not in the individual but in propagules from which the population can regenerate in the face of repeated lethal biomass destruction events, or disturbances. The worldwide leaf economics spectrum, itself a part of the 'fast-slow' plant economics spectrum (Reich 2014), and the leaf size spectrum are major components of CSR strategy variation (Pierce *et al.* 2012).

A number of CSR analysis methods have been developed (Grime, Hodgson & Hunt 1988; Hodgson *et al.* 1999; Pierce *et al.* 2013) and have been applied as practical tools to characterise, map and compare vegetation function (Bunce *et al.* 1999; Hunt *et al.* 2004; Schmidtlein, Feilhauer & Bruehlheide 2012). They have also been used to investigate and interpret a range of community processes, such as resistance and resilience, coexistence, succession and the relationship between species richness and productivity (Lepš, Osbornová-Kosinová & Rejmánek 1982; Caccianiga *et al.* 2006; Cerabolini *et al.* 2016). However, each CSR analysis method has drawbacks, and all have been calibrated using local floras. Application of CSR analysis is therefore typically applied to herbaceous vegetation in Europe (but see Negreiros *et al.* 2014 and de Paula *et al.* 2015), while the majority of plant diversity is found in tropical forests (Kreft & Jetz 2007).

Potential for a global system for comparative plant ecology lies in the fact that variation in leaf economics and leaf size is a ubiquitous phenomenon evident not just for temperate herbs but also for trees, lianas and understorey herbs in tropical forests (Santiago & Wright 2007). Indeed, other widely-used traits are not applicable across life forms: e.g. plant height cannot be measured for aquatic species, whereas leaf traits allow aquatic and terrestrial species to be compared on an equal footing (Pierce *et al.* 2012). Leaf area (LA), a key determinant of capacity to intercept light, is one of the most widely available indicators of the size spectrum (Díaz *et al.* 2016). Large values of specific leaf area (SLA) and, alternatively, of leaf dry matter content (LDMC) are highly representative of the opposite extremes of the economics spectrum and are amongst the most widely available traits in the global TRY plant functional trait database (see list at www.try-db.org/de/TabDetails.php; Kattge *et al.* 2011). Data for other key traits such as leaf nitrogen content (LNC) have a much more restricted geographic and phylogenetic coverage (Díaz *et al.* 2016).

Any generally-applicable method for calculating CSR strategies must include ubiquitous traits that can represent the extremes of a trade-off between large size and conservative vs. acquisitive economics. Leaf size and economics traits are widely available, applicable to a range of life-forms and are highly representative of plant functional trade-offs. Specifically, in the European flora species characterised by high LA do not exhibit high SLA or high LDMC, species exhibiting high LDMC all have small, dense leaves, and species with high SLA are small and exhibit low LDMC (Cerabolini *et al.* 2010). These trade-offs at the level of the leaf are associated with whole-plant and reproductive trade-offs (e.g., species with large leaves tend to have large seeds; Pierce *et al.* 2014a; Díaz *et al.* 2016), and it is reasonable to expect leaf-level variation to reflect a substantial portion of overall plant variation (Díaz *et al.* 2016).

The main aim of the current study was to examine the trade-off between LA, LDMC and SLA variation worldwide in order to assign combinations of leaf traits within the triangle of CSR strategies, and to use this to produce a readily-applicable practical tool. This builds on the previous CSR classification system of Pierce *et al.* (2013) but up-scaling of the method using a global calibration has a number of advantages. By using the absolute limits of plant functional trait spectra evident in the world flora, rather than local data ranges, the method is essentially altered from one that compares species relative to one another to become a method bounded by the entire range of plant size and economics currently known for vascular plants (i.e. species are compared against absolute limits). This means that ecologists working in biogeographically distinct contexts worldwide will have a common reference frame. As CSR theory predicts that strategies arise consistently in response to particular conditions, lessons learned in one location are potentially transferable to functionally similar vegetation found on different continents.

At local scales, an average CSR strategy for a plant community can be used to provide a 'functional summary' of vegetation and allow comparison of contrasting circumstances (e.g. Caccianiga *et al.* 2006). A global methodology could similarly provide functional summaries at larger scales and allow investigation of general relationships between plant functioning and broad-scale environmental parameters operating within and between biomes. There is good reason to expect that a plant functional signal should be apparent even at the scale of biomes. For example, as woody species vary between C- and S-selected but no ruderal tree species are apparent (at least in Europe; Pierce *et al.* 2013) biomes dominated by woody species should exhibit clustering of species around the CS-selected region of the CSR triangle (whereas herbaceous species in Europe exhibit a much wider range of strategies; Pierce *et al.* 2013). Furthermore, strategies can be expected to be mediated by climatic factors such as temperature, potential evapo-transpiration and water availability, which are principal determinants of plant survival and vegetation distribution. However, the relationship between plant primary strategies and climate has not previously been investigated, despite the potential importance of plant responses to climatic changes in shaping future vegetation. For the present study, a general prediction can be made that biomes characterized by climatic extremes should include species with a greater degree of functional specialisation. For example, in the desert biome plants survive using contrasting life histories that can be summarized as essentially 'ephemeral therophyte' or 'long-lived and durable' (Chesson *et al.* 2004). We can thus predict that the CSR signature of the desert biome will be polarized between extreme R- and S-selected strategies, and that these too are correlated with climatic factors, particularly precipitation seasonality. Montane grasslands and alpine herbaceous vegetation are known to exhibit CSR strategies that range between R- and S-selection, with C-selection relatively rare (Caccianiga *et al.* 2006; Pierce *et al.* 2007a,b), but these studies have investigated specific plant communities and have not enjoyed the opportunity for

comparison with the range of strategies evident within the biome as a whole, or indeed with other biomes. Certain biomes, such as tropical moist broadleaf forest, have yet to be interpreted in a plant functional strategy/climate context. Thus a secondary aim of the present study was to apply the global CSR analysis tool to determine whether it is possible to discern broad patterns of plant functional variability and specialisation in response to climatic factors operating in biomes worldwide.

Materials and methods

TRAIT DATA

A total of 116979 plant functional trait records, composed of 38835 individual measurements of leaf area (LA; mm²), 48468 measurements of SLA (mm² mg⁻¹), and 29676 of LDMC (%), measured from plants growing in nature were obtained from the TRY global trait database (www.try-db.org; Kattge *et al.* 2011). This included traits from preceding databases such as the LEDA traitbase (www.leda-traitbase.org; Kleyer *et al.* 2008). The dataset included records from all continents except Antarctica, from 16 countries and several biogeographical regions within many of these countries (species native range and typical biome information is summarised in Appendix Table S1, Supporting information). A total of 3068 species growing in a wide range of biomes, including tropical woody vegetation of various types, were represented by complete sets of LA, LDMC and SLA data. Of these species, a subset of 371 species was available for which sets of 14 whole-plant, leaf, flowering and seed traits were available, including the additional traits leaf nitrogen concentration (LNC), leaf carbon concentration (LCC), leaf dry weight (LDW), canopy height (CH), lateral spread (LS), flowering start (FS), flowering period (FP), seed mass (SM), seed volume (SVo), total mass

of seeds (TMS) and seed variance (SVa) (these data are available from the TRY database and are also published as an appendix to Pierce *et al.* 2014a).

The number of replicate values for each trait of each species was typically between 10 to 15, but varied from a minimum of 3 to a maximum of 349. Nomenclature is reported in Appendix Table S1 (Supporting information) and follows the Missouri Botanical Garden Tropicos system (www.tropicos.org). Additional taxonomic and growth habit information was obtained from the Encyclopedia of Life (www.eol.org) and GBIF (www.gbif.org/species/search).

PRODUCTION OF A GLOBAL CSR ANALYSIS TOOL

Global calibration of the CSR tool involved an initial multivariate analysis (PCA) of the key leaf traits (as a method of determining the three-way relationship between traits rather than as an analysis of plant adaptation *per se*), regression of trait values against PCA axes, and the use of these regression equations to produce a Microsoft Excel spreadsheet. This spreadsheet aims to allow novel target species to be compared against the multivariate space over which the global dataset was ordinated.

First, a centred Pearson PCA was performed using XLSTAT 2012.5.01 software (Addinsoft, New York, USA) and Varimax rotation applied to the first two axes. As a small number of species with disproportionately large leaves are evident in the world flora, with most species exhibiting smaller leaves, trait values were transformed to constrain the most extreme values and to provide distributions as close as possible to normality prior to PCA. In this case, LA data were standardised using the maximum value, followed by square root transformation (Podani 2007). LDMC data were logit transformed (logit transformation is

considered a more appropriate method for proportion data with respect to traditional arcsine transformation; Warton & Hui 2011) and SLA data were log transformed.

Transformed trait values were regressed against values of the PCA axis along which the trait exhibited maximum variance (e.g. logSLA against PCA1). The regression equation describing the curve of best fit was then incorporated into a Microsoft Excel spreadsheet that used the equation to compare trait values for any given target species against the global spectrum for that trait. This effectively assigned target species three co-varying dimensions: a “LA dimension” based on PCA2, a “LDMC dimension” based on positive variability along PCA 1, and a “SLA dimension” based on negative variation along PCA1. As PCA values may be negative or positive, the minimum (i.e. the most negative) values along PCA axes were then determined for each trait and these were used as a constant, added to all values of each trait in order to translate the trait dimensions into an entirely positive space. The next spreadsheet function determined the maximum values, giving the range of values for each trait. In order to produce ternary coordinates (i.e. three coordinates for a triangular graph), a function was then added to the spreadsheet that summed the three dimensions and divided by 100, allowing determination of the proportional contributions of LA, LDMC and SLA for each species. Thus the resulting triangular ordination of species represented a “trade-off triangle” against which target species can be compared.

As no species exhibited zero leaf area or mass it was not possible for species to be ordinated along the axes themselves nor at the apices of the trade-off triangle. Thus a spreadsheet function was implemented that essentially expanded, along the three axes, the space occupied by species to fill the entire ternary plot, resulting in full occupation of the triangle and thus positioning of species within the CSR triangle. This was achieved by multiplication of all values by a rescaling constant that allowed the maximum and minimum values to fully occupy the available range. The final spreadsheet (Appendix Table S2:

‘StrateFy’) is available online as Supporting Information, and incorporates all functions to easily allow the CSR analysis of novel plant species from leaf traits.

CORRESPONDENCE BETWEEN VARIATION IN 3 AND 14 FUNCTIONAL TRAITS

To test whether the three key leaf traits (i.e., LA, LDMC and SLA) can provide a reliable multivariate structure for assigning general ecological strategies to plants, we used the subset of species for which 14 vegetative and reproductive trait data were available without any missing data (see Pierce *et al.* 2014a). To this end, a co-inertia analysis (hereafter, COIA) is a general and flexible method that measures the concordance (i.e. co-structure) between two multivariate datasets that share the same objects (in this case, species; Dray *et al.* 2003). This approach was applied to quantify and test the association between two matrices: *i*) three traits per 371 species; and *ii*) fourteen traits for the same 371 species. The COIA provides a correlation coefficient (‘RV’) that measures the strength of the association between matrices. This coefficient is bounded between zero (i.e. no association) and one (i.e. maximum association), with significance (*p*-value) accessed by a Monte Carlo test (with 100,000 permutations). To implement the COIA, a PCA was used for both matrices after data transformation to improve normality. Analyses were carried out using R software (R Development Core Team 2013) with the ade4 package (Dray & Dufour 2007).

COMPARISON WITH A LOCALLY-CALIBRATED METHOD

In addition to the new globally-calibrated method, CSR ternary triplets were also calculated using the local tool of Pierce *et al.* (2013; calibrated with six traits for the Flora of Italy) and regression of values obtained using both methods was also performed in order to allow comparison between the locally and globally-calibrated techniques.

A TEST USING KNOWN VEGETATION CHANGES ALONG SUCCESSION

In order to test whether the CSR analysis method agreed with expectations of vegetation responses to an environmental gradient, the StrateFy tool was used to calculate CSR strategies for the species characteristic of four phytosociological syntaxa (i.e. plant communities *sensu* Braun-Blanquet 1925) associated with a sequence of vegetation development encompassing a primary succession and related pasture vegetation. Specifically, in the European Alps, mobile screes represent highly disturbed environments where vegetation is composed of annual therophytes (phytosociological syntaxon *Thlasietea rotundifolii* Br.-Bl. *et al.* 1947). With the development of the vegetation and stabilisation of scree debris (and where topography and aspect result in long-lying snow cover) snowbed vegetation arises and is characterised by a mixture of nano-phanerophytes and hemicryptophytes (*Salicetea herbaceae* Br.-Bl. *et al.* 1947; Tomaselli 1997). This can develop further on acid, nutrient poor soils into a climax vegetation dominated by slow-growing graminoids such as the sedge *Carex curvula* and the grass *Festuca halleri* (phytosociological alliance *Caricion curvulae* Br.-Bl. 1925) (Tomaselli & Rossi 1994). When these unproductive siliceous grasslands experience grazing by large domestic livestock dominance shifts towards the rhizomatous mat-grass *Nardus stricta* and the vegetation alliance is characterised by greater floristic richness than that evident for *Caricion curvulae*

(i.e. syntaxon *Nardion strictae* Br.-Bl. in Br.Bl. & Jenny 1926; Gennai *et al.* 2014). Grazing of alpine pastures increases nutrient turnover rates and selective grazing and the patchy concentration of nutrients following defecation are thought to provide contrasting microsites for a range of life histories, fostering species richness, but with dominant grass species nonetheless exhibiting conservative leaf economics and stress-tolerance (Pierce *et al.* 2007a,b). We expected that along this vegetation sequence plant communities would shift from R-selection towards S-selection and ultimately, in the *Nardion* pasture, to an intermediate, relatively C-selected mean strategy with greater range of strategies apparent.

GLOBAL FOURTH-CORNER AND RLQ ANALYSES OF CSR STRATEGY/ENVIRONMENT RELATIONSHIPS

Globally, the combined fourth-corner and RLQ method of Dray *et al.* (2014) was used to test the relationship between CSR strategies and environmental variation within biomes. Fourteen biomes were defined according to Olson *et al.* (2001), and are listed as part of Appendix Table S1 (Supporting information). Information regarding the biome(s) within the native range of each species was obtained from the comparison of the global distribution of biomes with the georeferenced records of each species (from GBIF) occurring inside its native range. The native range of each species was obtained from sources such as GRIN taxonomy for plants (www.ars-grin.gov/cgi-bin/npgs/html/tax_search.pl?language=en), eMonocot (<http://emonocot.org>) and Catalogue of Life (www.catalogueoflife.org/col/search/all). Additionally, we investigated the CSR strategies evident for life-form categories (tree, shrub, liana, graminoid, forb (i.e. herbaceous dicot), herbaceous vine, annual and biennial herb, perennial herb) and for taxonomic families that were represented by a minimum of 30 species in the dataset.

The fourth-corner and RLQ approach involved the simultaneous use of three matrices: *i*) a ‘community’ matrix with sample sites in rows and species in columns; *ii*) an environmental matrix with sample sites in rows and environmental variables in columns; and *iii*) a functional matrix with traits in rows and species in columns. The fourth-corner approach tests the relationship between each species trait and each environmental variable, while the RLQ analysis tests the co-structure between all species traits with all environmental variables (Dray *et al.* 2014).

The functional matrix was defined by the 3068 species with three traits (i.e., C, S, R percentages). The 14 world biomes (defined by Olson *et al.* 2001) were treated as sampling sites, and the presence (incidence) of the 3068 species in these biomes was equivalent to a community matrix. To generate the environmental matrix, we assessed average environmental characteristics for each biome using bioclimatic variables (temperature seasonality, annual mean temperature, precipitation seasonality, and annual precipitation) for the recent half-century (1950-2001) obtained from the WorldClim project (Hijmans *et al.* 2005), in addition to global potential evapo-transpiration (Trabucco & Zomer 2009), at a spatial resolution of 0.0083° (~1 km²). Temperature and precipitation seasonality was calculated as the standard deviation of monthly values multiplied by 100, and precipitation seasonality as the coefficient of variation of monthly values (Hijmans *et al.* 2005). In order to obtain unbiased averages for each biome, we created 200,000 uniformly random points for the entire globe, covering all terrestrial biomes, using the package *gismo* for R (R Development Core Team 2013). To conduct the RLQ analysis, a correspondence analysis was used for the community matrix, while a PCA was used for the functional and environmental matrices. For the PCA applied to the functional matrix, species were weighted by their overall relative frequency across biomes (i.e. the number of biomes in which each species occurred), whereas for the PCA applied to the environmental matrix, biomes were

weighted by the overall frequency of species observed (Dray & Dufour 2007). Separate fourth corner and RLQ analyses were conducted for each biome, each analysis involving only species occurring in that biome. Significance was obtained through a Monte Carlo test based on the total co-inertia, using the combined null models 2 (permutation of sampling sites) and 4 (permutation of species) with 50,000 permutations per test (Dray *et al.* 2014). Analyses were carried out using R software (R Development Core Team 2013) with the ade4 package (Dray & Dufour 2007).

Results

‘WIDE COVERAGE, FEW TRAITS’ VS. ‘RESTRICTED COVERAGE, MANY TRAITS’

We found a strong and highly significant concordance between the multivariate space produced by three and 14 traits ($RV = 0.597$; $p < 0.001$; Fig. 1): i.e. there was a relatively small loss of information when only three leaf traits were used instead of 14 traits, and the multivariate plant functional space described by leaf traits alone was representative of whole plant functional variation (Fig. 1).

A GLOBAL CSR ANALYSIS METHOD

The three-way trade-off between LA, LDMC and SLA for the 3068 species of the main global dataset, presented in Fig. 2, confirmed that variation in LA was orthogonal to variation in SLA and LDMC in the world flora. Indeed, SLA and LDMC values ranged in opposite directions between two extremes (negative and positive, respectively) of the first axis of variability (PCA1) and thus an axis of leaf economics (Fig. 2a; for eigenvalues see Fig. 2b). The second axis of variability (PCA2) was delimited by leaf area, with large leaves evident only at intermediate leaf economics (Fig. 2a): species with extremely acquisitive or extremely

conservative leaves were consistently small (Fig. 2a), confirming that high values of LA, SLA and LDMC were mutually exclusive in the global dataset. In other words, dense, tough leaves were not large; soft, acquisitive leaves were not tough and conservative; only leaves with intermediate economics were large.

Transformed leaf area was highly and positively correlated with PCA2 ($R^2=0.998$, $p<0.0001$) following the polynomial linear equation detailed in Fig. S1a. Transformed LDMC was strongly and positively correlated with PCA1 ($R^2=0.794$, $p<0.0001$) following the equation presented in Fig. S1b. Log SLA was strongly and negatively correlated ($R^2=0.782$, $p<0.0001$) with PCA1 following the equation shown in Fig. S1c. These equations allowed comparison of target plant species with the trade-off between these traits, and thus determination of the CSR strategy (see Fig. 3 and the practical tool available as Appendix S2; ‘StrateFy’).

COMPARISON WITH A LOCALLY-CALIBRATED METHOD

When the degree of C-, S- and R-selection calculated by the globally-calibrated method were regressed against C, S and R values calculated using the method of Pierce *et al.* (2013), the results were strongly and positively correlated with one another. Specifically, for the degree of C-selection determined by both methods, $R^2=0.920$, $F=9398.1$, $p<0.0001$, for S-selection $R^2=0.891$, $F=24968.3$, $p<0.0001$ and for R-selection $R^2=0.866$, $F=19725.1$, $p<0.0001$ (Supplementary Fig. S2).

A TEST USING KNOWN VEGETATION CHANGES ALONG SUCCESSION

The CSR strategies evident for species characteristic of primary succession from scree vegetation to siliceous alpine grassland, terminating with alpine *Nardus* pasture, are evident in Fig. 4. Pioneer vegetation on screes, as expected, was characterised by mainly R-selected species and exhibited an R-selected mean strategy (C:S:R = 12:0:88 %). Species characteristic of vegetation at an intermediate point along the succession exhibited a mean strategy of SR (4:58:37 %) and species of the climax vegetation exhibited a high degree of stress tolerance (mean strategy = 6:94:0 %; Fig. 4a). Under pasture, siliceous alpine grassland species exhibited a wider range of strategies encompassing C/CR-selected species such as *Gentiana punctata*, S-selected *Nardus stricta* (3:97:0 %), R/CR-selected species such as *Campanula barbata* (37:0:63 %) and a mean of CR/CSR-selection (40:24:36 %; Fig. 4a). The overall trajectory of primary succession in this context is thus characterised by an initial shift from R- to S-selection, with management increasing the range of strategies present and including a higher degree of C-selection relative to the other vegetation types.

CSR STRATEGIES EVIDENT WITHIN BIOMES GLOBALLY

The CSR strategies evident within biomes were calculated using the globally-calibrated CSR analysis tool and are shown in Fig. 5 (details of CSR strategies for each species within every biome are listed in Appendix S1, Supporting information). Tropical and subtropical broadleaf forest (both moist and dry forest biomes) exhibited a broad range of strategies but these were highly clustered around CS/CSR-selected median strategies (for both biomes, median C:S:R = 43:42:15%; Fig. 5a,b). Tropical and subtropical coniferous forests also exhibited a median CS/CSR strategy that reflected a slightly greater number of S- and R-selected species at the expense of C-selection (32:46:22%; Fig. 5c). Tropical and subtropical grasslands, savannas

and shrublands were highly represented by CS/CSR-selected species (34:51:15%; Fig. 5g). In contrast, biomes such as temperate broadleaf and mixed forests (Fig. 5d) and temperate coniferous forests (Fig. 5e) exhibited a SR/CSR-selected median strategy and a wide range of CSR strategies for individual species, filling the CSR triangle relatively uniformly. This denoted the presence and the even distribution of the full gamut of ecological strategies in these biomes. Deserts also exhibited a range of CSR strategies, with a CSR-selected median (Fig. 5m). Other biomes exhibited variation between relatively restricted strategy variation to wide variation (Fig. 5).

Fourth-corner and RLQ analyses for tropical and subtropical broadleaf forest (both moist and dry forest biomes) (Fig. 6a,b) showed that C- and S-selection were highly correlated with environmental variables: positively with annual mean temperature, annual precipitation and global potential evapo-transpiration, but negatively with temperature seasonality ($p < 0.05$, and in some cases $p < 0.01$ or $p < 0.001$). R-selection exhibited the exact opposite association with environmental variables. In contrast, no significant correlation was found between CSR strategies and environmental variables in temperate broadleaf and coniferous forests (Fig. 6d,e). A wide range of CSR strategies was evident in the desert biome that were significantly correlated with environmental variables: C- and S-selection were positively correlated with annual mean temperature, precipitation seasonality and potential evapo-transpiration, and negatively with temperature seasonality, with R-selection exhibiting contrasting correlations with these factors (Fig. 6l).

CSR STRATEGIES WITHIN GROWTH HABIT CATEGORIES AND FAMILIES

Different growth habit categories exhibited variation in overall and median CSR strategies (Fig. S3). Trees were clustered around a CS median strategy, with no R-selected trees apparent (C:S:R = 43:47:10%; Fig. S3a) and shrubs centred around an S/CSR strategy (20:61:20%; Fig. S3b). Lianas were relatively specialised and clustered around CS/CSR-selection (52:35:14%; Fig. S3c). Graminoids exhibited a strong S-selected component, with variation around an S/CSR median strategy (14:56:29%; Fig. S3d). Forbs (herbaceous dicots) occupied the entire triangle and exhibited a comparatively generalist R/CSR strategy (30:20:51%; Fig. S3e). Annual herbs exhibited an R/CSR median strategy (25:14:61%), with species clustered towards R-selection (Fig. S3g). Perennial herbs had an SR/CSR median strategy (25:35:41%) and occupied the entire triangle (Fig. S3h).

Some plant families were predominantly R-selected (e.g. Brassicaceae, Campanulaceae, Caryophyllaceae, Plantaginaceae; Fig. S4d,e,g,p), and others were dominated by S-selected species (Cyperaceae, Ericaceae, Juncaceae, Salicaceae; Fig. S4h,i,l,w) or were clustered around a relatively S-selected point (e.g. Rosaceae; 28:49:2%, S/CSR; Fig. S4u). Poaceae exhibited a median strategy of S/CSR (15:53:33%; Fig. S4q) and varied greatly between strategy extremes. A small number of families exhibited a relatively high degree of C-selection, such as Apiaceae (47:25:28%, C/CSR; Fig. S4a).

Discussion

The globally-calibrated CSR analysis method allowed strategies to be determined for a wide range of vascular plant species worldwide from habitats as diverse as tropical broadleaf rain forest, desert shrublands, mangroves and alpine pasture. The use of a small number of leaf traits has the advantage that large numbers of individuals or species comprising vegetation

can be rapidly measured and compared. Indeed, studies of strategy variation within and between plant communities are currently applied inconsistently due to methodological constraints and measurement issues, but many of these can now be avoided due to the simplicity of the new method. For example, Schmidtlein, Feilhauer & Bruehlheide (2012) mapped CSR strategies across landscapes as a general indicator of changes in plant function across a mosaic of environments, but the traits used, and thus the overall analysis, were limited to herbaceous species and grassland vegetation. The current method will allow functional comparison of a much more inclusive set of vegetation types worldwide and will allow plant function to be considered in the broadest possible context. Despite the simplicity of the method, we have shown it to broadly represent plant functioning and to be consistent with expected patterns of plant function and along primary succession as predicted by traditional phytosociological interpretation of vegetation.

Application of the CSR analysis to biomes worldwide revealed that certain biomes are characterised by relatively functionally-restricted floras (i.e. clustered within particular zones of the CSR triangle), such as tropical and sub-tropical grasslands (median strategy CS/CSR; Fig. 5g). Others, sometimes despite the prevalence of extreme abiotic conditions, host comparatively uniform strategy distributions and wide variation within the CSR triangle. For example, deserts and xeric shrublands (Fig. 5m) include highly contrasting CSR strategies that reflected the presence of both xeromorphic stress-tolerators (e.g. *Larrea divaricata*; C:S:R = 1:99:0 %, S) and ruderal annual herbs (e.g. *Claytonia perfoliata*, 21:0:79 %, R/CR; Appendix S1, Supporting information), some of which occupy locally humid sites (e.g. R/CR-selected *Mimulus guttatus*). The idea that biological diversity in arid environments depends on highly contrasting perennial and annual life histories, microsite differences and niche differentiation is already well established (e.g. Chesson *et al.* 2004). The value of CSR analysis is that it provides a quantitative method for comparing plant function between sites

and geographic locations where equivalent ecological roles are performed by taxonomically distinct actors: for example, *L. divaricata*, *Cuphea ericoides* (C:S:R = 0:100:0 %; S) or *Sebastiania ditassoides* (1:99:0 %; S).

As a further example of the wide applicability of the method beyond herbaceous vegetation, a range of CSR strategies was evident in tropical and subtropical forest biomes, but strategies were particularly clustered around the CS region as predicted (Fig. 5). Furthermore, a trade-off was apparent between CS- and R-selection that was correlated with environmental gradients: CS-selection was associated with relatively stable situations (i.e. with less temperature seasonality) that were warmer and wetter, with greater annual precipitation and potential evapo-transpiration. Pioneer species in tropical forests were found to be relatively C-selected, such as Neotropical *Cecropia longipes* (C), *Ficus insipida* (C/CS), and *Urera baccifera* (C/CR; Appendix Table S1, Supporting information). Indeed, although disturbances and ruderal species are present in tropical forests, disturbance is not a prevalent factor in the same way that regular grazing or cutting determine the general character of pastures or meadows. Instabilities such as gap formation in tropical forests are local events that do not shape the forest in its entirety. Gap formation in particular is characterised by a pulse of light availability, and the ensuing competition apparently favours C-selection with succession proceeding relatively uninterrupted towards a closed tree canopy. Canopy tree species in tropical moist forest were shown to exhibit a stress-tolerance component (e.g. *Brosimum alicastrum*, CS; *Platypodium elegans*, CS/CSR; *Prioria copaifera*, CS). This perhaps reflects local sequestration of resources in long-lived tissues in later successional stages (Grime 2001), or increasing adaptation to drought tolerance (Engelbrecht *et al.* 2007; Baltzer *et al.* 2008). R-selected tropical rainforest species were evident, and potentially occupy specific microsites at fine scales. The high diversity of tropical forests may also partly be related to specific, single traits operating during the life-cycle, such as flowering and

fruiting characters and symbioses (Grime & Pierce 2012). The many and varied mechanisms proposed to explain higher diversity at low latitudes (discussed by Gaston 2000) probably apply as much to functional diversity as they do to taxonomic diversity, with geographic area and environmental stability (both seasonal and historical) being amongst the most evident factors affecting the creation of species richness.

Globally, the variation in strategies within biomes is likely to be influenced by the presence of contrasting growth forms. Forbs exhibited extremely broad strategy diversity and a generalist median strategy of R/CSR, while trees ranged from C to S, with a CS median strategy similar to the CS/CSR median strategies evident in tropical forests (Fig. S3). The presence or absence of particular strategies at a given location is also likely to be affected by historical and phylogenetic constraints, with restriction of CSR strategies evident in some families but not others (Fig. S4).

The ‘strategy of a species’ as presented here is an average calculated from individuals of each species sampled at a particular location. Ecotypic variation throughout the home range of a species and phenotypic plasticity could potentially broaden the range of strategy variation for a given species and is a complicating factor when CSR strategies measured at one site are assumed to be relevant to other situations. Thus consideration of the CSR strategy of a species across a biome worldwide, as in the present study, should be interpreted with caution and should be seen as a summary or abstract for the species *in lieu* of trait data for all populations throughout the geographic range: this is the best that can be managed with current global datasets. Future detailed study of the functional differences between biomes should ideally involve replicated surveys within a range of habitat types, and would be a massive undertaking involving a great deal of international cooperation and funds. Indeed, the biome-level analysis presented here was limited by the lack of available data regarding the relative abundance of species within biomes at the global scale, and could not

differentiate between prevalent and rare strategies. Thus median CSR strategies for each biome were not weighted and rare species will have had a disproportionately strong influence on the median strategy. Indeed, median strategies for biomes were generally located towards the centre of the triangle (Fig. 5).

Nonetheless, just as species richness and taxonomic identities are fundamental aspects of plant diversity, 'strategy richness' and the character of the strategies present are important aspects of ecological diversity that can now easily be quantified and compared. In local-scale studies in which relative abundance has been quantified, differing degrees of dominance between CSR strategies are evident. For example, microsite differences and niche partitioning between contrasting CSR strategies have been invoked as a mechanism of coexistence and local biodiversity creation on Brazilian coastal Atlantic forest inselbergs (de Paula *et al.* 2015). Similarly, xeric sand calcareous grasslands in Europe include a mixture of relatively abundant stress-tolerator graminoids and larger numbers of infrequent ruderal or competitive-ruderal herbs, and CSR strategies are thus markedly different between dominant and subordinate plants at the centimetre scale (Pierce *et al.* 2014b). Future application of CSR analysis in further bio-geographical and ecological contexts worldwide will allow general conclusions with regard to how the equilibrium between dominant and subordinate species may change in response to environmental perturbation and with regard to the precise relationships between microsite factors and particular plant species.

In conclusion, the CSR analysis tool derived from 116979 records of leaf size and economics traits measured from 3068 species growing in nature worldwide has allowed a preliminary CSR analysis of major biome classes globally. The C, S and R values were in agreement with phytosociological observations of vegetation responses to an environmental gradient along a primary succession. Thus the global system is consistent with earlier locally-calibrated methods and studies of plant strategies, and can provide a functional explanation

consistent with descriptive phytosociological methods of interpreting vegetation. A unified framework now exists for ecological strategy classification, using readily-determined leaf traits of plants as diverse as temperate ferns, lianas and tropical trees. The global strategy-environment relationships that the method can resolve will form a baseline for predicting which kinds of species can be expected to assemble where in response to environmental perturbation resulting from factors such as climatic or land use changes.

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Data accessibility

All data are archived and are available from the TRY plant trait database: www.try-db.org.

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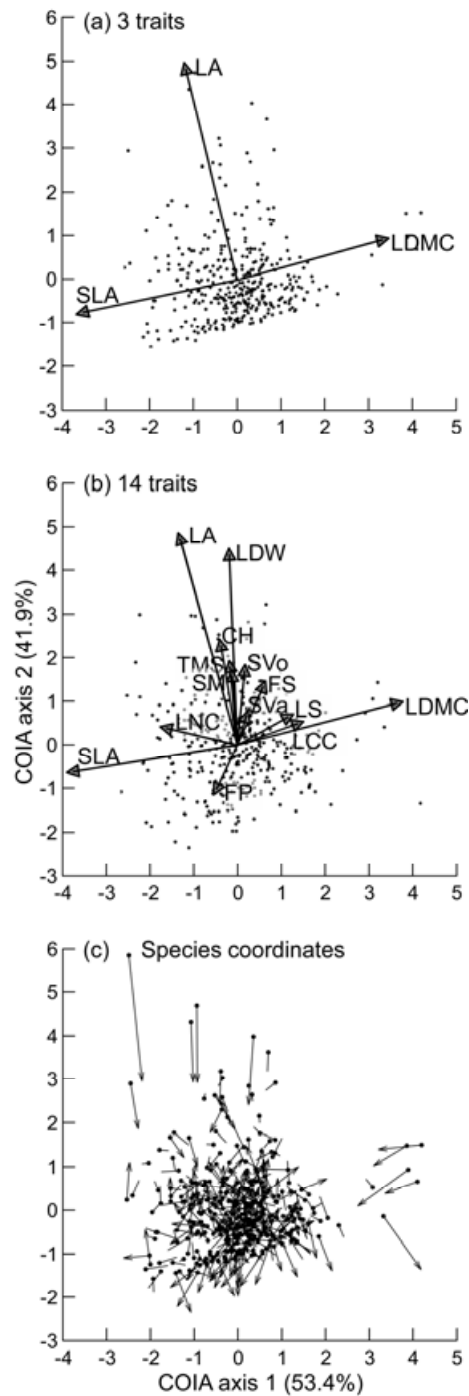


Fig. 1. Projection of two main axes of co-inertia analysis (COIA) for 371 species: variable loadings for datasets including a). three traits, b). 14 traits, and c). A joint representation of species scores from the three trait (dots) and 14 trait (arrow tip) datasets. Percentage of variance explained by each axis (eigenvalues) are shown in the axis legend. Traits are CH: canopy height, FP: flowering period, FS: flowering start, LA: leaf area, LCC: leaf carbon concentration, LDMC: leaf dry matter content, LDW: leaf dry weight, LNC: leaf nitrogen concentration, LS: lateral spread, SLA: specific leaf area, SM: seed mass, SVo: apparent seed volume, SVA: seed variance, TMS: total mass of seeds. Data were log transformed to conform to normality and to constrain extreme values.

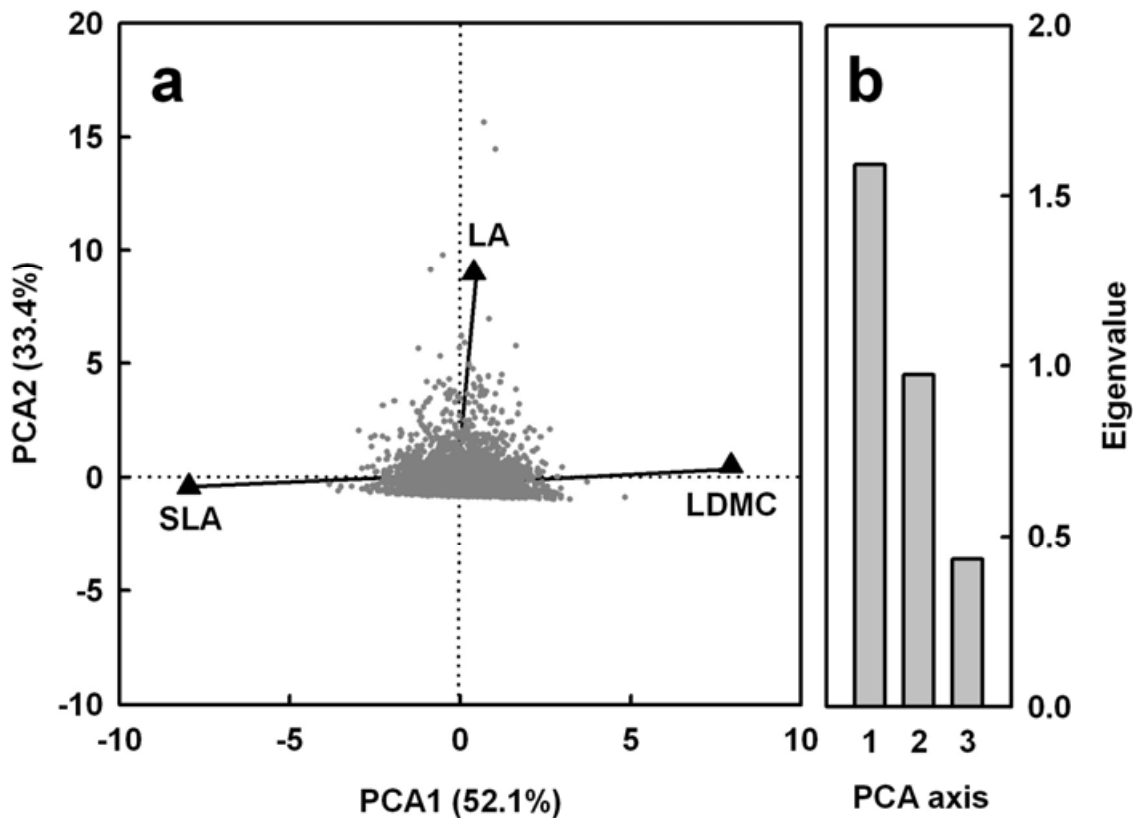


Fig. 2. a). Biplot showing the relationship between the three traits leaf area (LA), leaf dry matter content (LDMC) and specific leaf area (SLA) for 3068 tracheophyte species from across the world used as a basis for calibrating the CSR classification method (principal components analysis; PCA), and **b).** eigenvalues for PCA axes. Data were transformed to constrain extreme values (LA was standardized using the maximum value and then square root transformed, SLA was log transformed, and LDMC logit transformed).

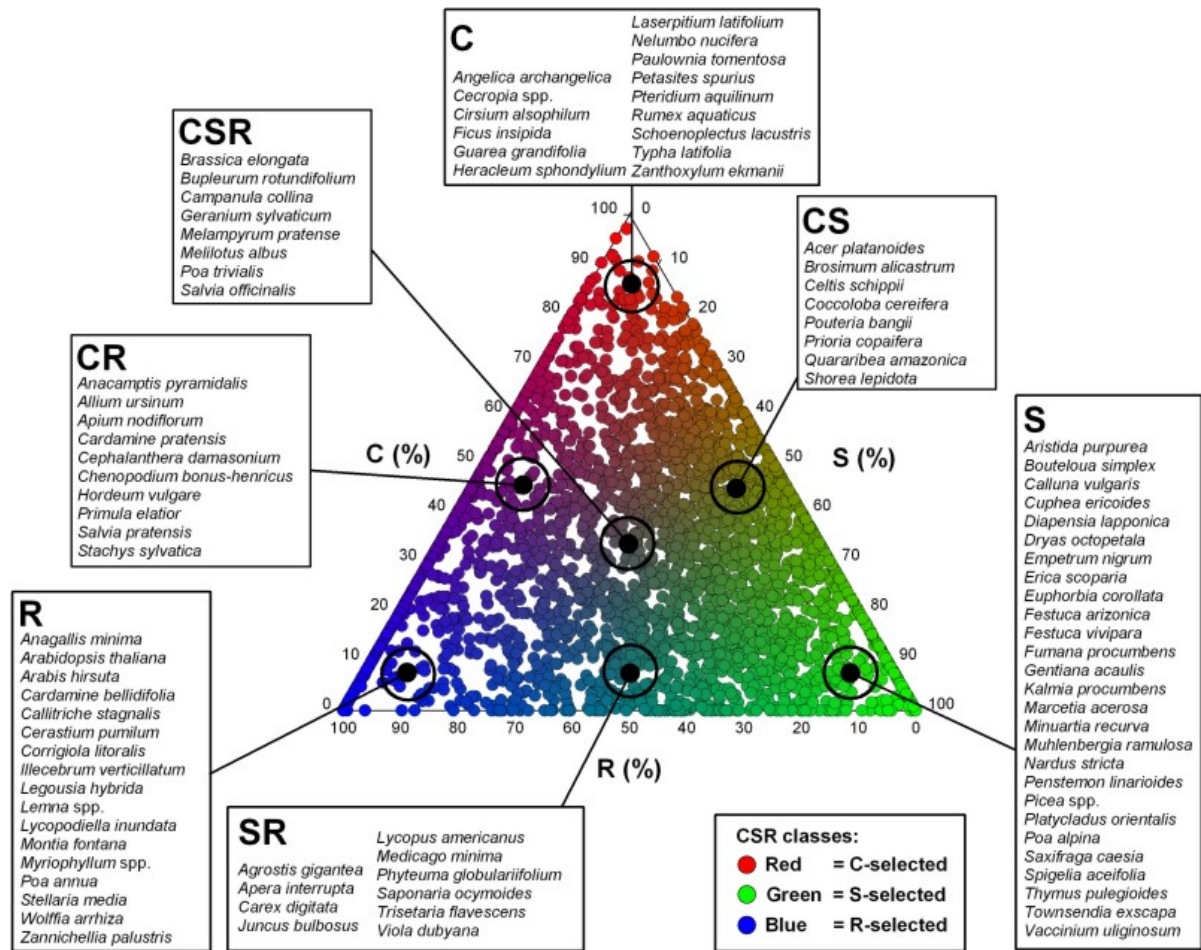


Fig. 3. Relative proportion (%) of C-, S- and R-selection for 3068 tracheophyte species measured from natural habitats across the world, using the globally-calibrated CSR analysis tool 'Stratefy'. Species names represent examples of the seven secondary CSR strategy classes (C, CS, CR, CSR, S, SR, and R) suggested by Grime (2001).

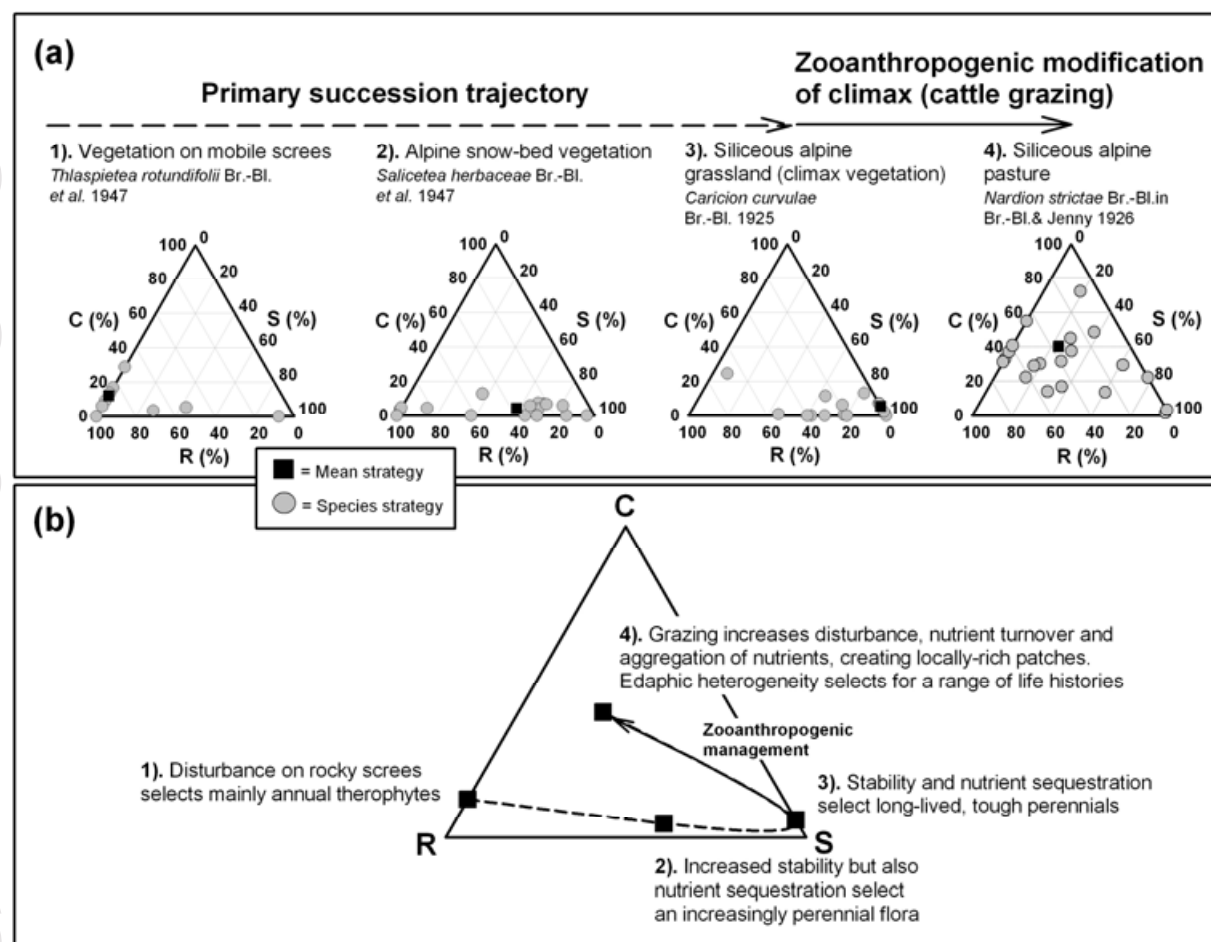


Fig. 4. An example of local application of the globally-calibrated CSR analysis tool ‘StrateFy’ to species characteristic of phytosociological syntaxa undergoing primary succession following a trajectory from highly disturbed alpine scree slopes (*Thlaspietea rotundifolii* Br.-Bl. *et al.* 1947) to siliceous grassland climax vegetation (*Caricion curvulae* Br.-Bl. 1925) further modified by cattle grazing to become alpine pasture (*Nardion strictae* Br.-Bl. in Br.-Bl. & Jenny 1926). **a).** shows separate plant communities recognised by classical phytosociology as phases in the succession sequence, and **b).** a summary of the phytosociological ‘evolution’ of the vegetation represented as a trajectory in CSR space. Species data represent the mean of 10 replicate measurements for characteristic species of each syntaxon measured in the central Italian Alps.

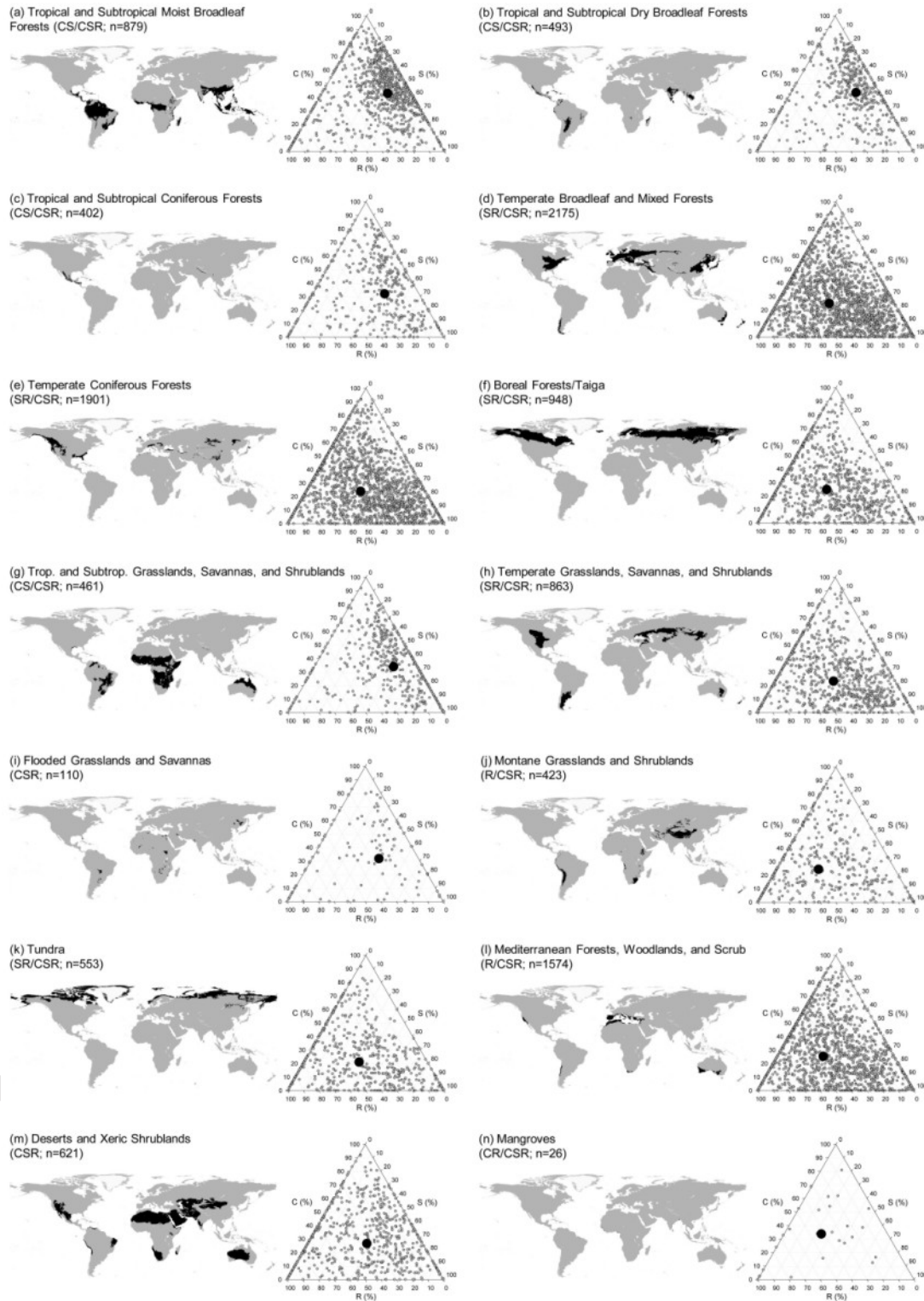


Fig. 5. Total (grey filled circles) and median (black filled circles) CSR strategies for 14 principal biomes worldwide (as defined by Olson *et al.* 2001). For each biome, median CSR category and sample size (number of species) are shown between parentheses. The distribution of each biome in the world map is denoted by black areas.

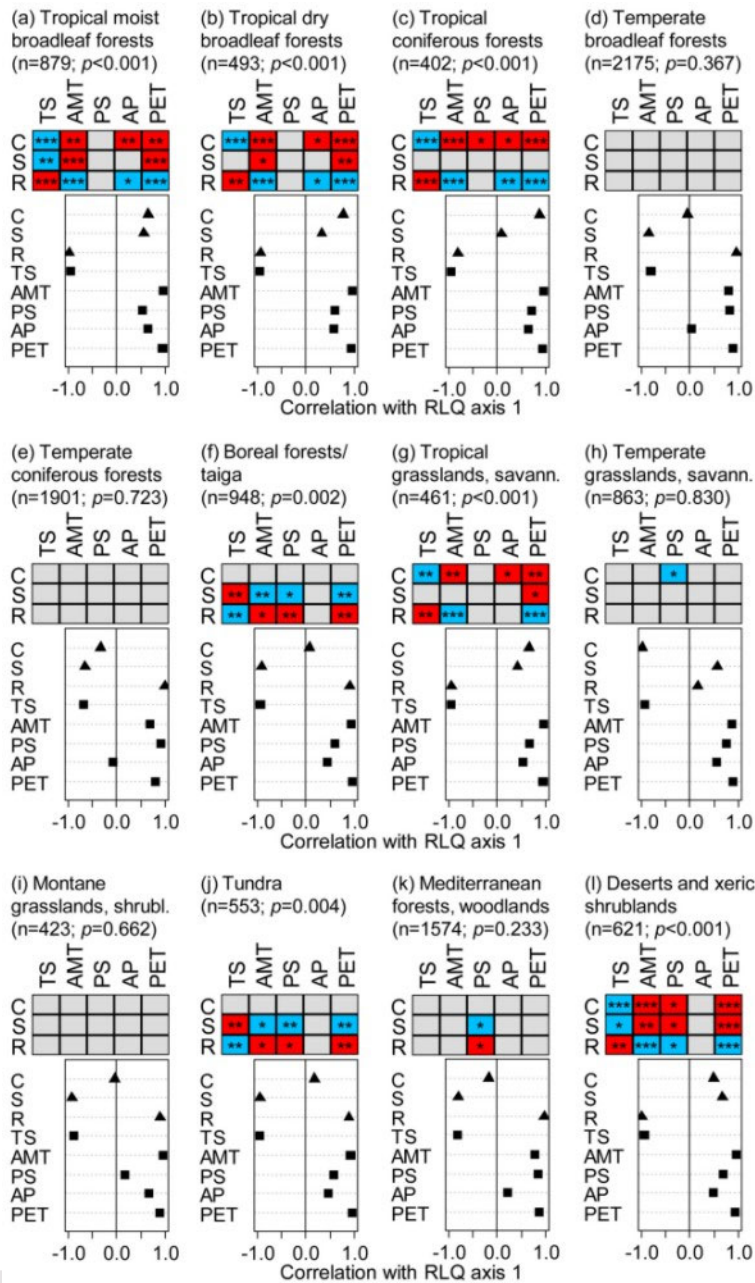


Fig. 6. Global-scale fourth-corner analysis (coloured grids) and RLQ analysis (white dot charts) of the relationship between CSR strategies and environmental variables within biomes: a). tropical moist broadleaf forests, b). tropical dry broadleaf forests, c). tropical coniferous forests, d). temperate broadleaf and mixed forests, e). temperate coniferous forests, f). boreal forests/taiga, g). tropical grasslands, savannas and shrublands, h). temperate grasslands, savannas and shrublands, i). montane grasslands and shrublands, j). tundra, k). Mediterranean forests and scrub, l). deserts/xeric shrublands. TS = temperature seasonality; AMT = annual mean temperature; PS = precipitation seasonality; AP = annual precipitation; PET = global potential evapo-transpiration). Fourth-corner statistic: red = positive, blue = negative, gray = not significant, * = $p < 0.05$; ** = $p < 0.01$; *** = $p < 0.001$ (n = number of species, p = significance for the overall association between C-, S- and R-selection and environmental variables).