Proposal	Contact PI's Surname	Initials	Application Number	Panel
Standard	Mei	Y	24-VUW-092	MIS

MARSDEN FUND PRELIMINARY RESEARCH PROPOSAL

Standard Application Form

1A. TITLE OF RESEARCH PROPOSAL

Towards Better Generalisation and Intrepretability in Automated Design of Combinatorial Optimisation Solvers

1B. IDENTIFICATION

Principal Investigator(s)

Name (with title)	Institution	Country
Associate Professor Yi Mei	Victoria University of Wellington	NEW ZEALAND

Associate Investigator(s)

Name (with title)	Institution	Country
Professor Günther Raidl	Technische Universität Wien	AUSTRIA
Darker Win War		

Professor Xin Yao

1C. FIELDS OF RESEARCH

460203 - Evolutionary computation		Evolutionary computation, artificial intelligence,
490304 - Optimisation	40%	combinatorial optimisation
	0%	

1D. SUMMARY

Combinatorial optimisation is ubiquitous with real-world applications such as logistics and resource allocation. Designing effective combinatorial optimisation solvers highly demands domain expertise. Enabling computers to automatically design combinatorial optimisation solvers will be game changer and completely shift the paradigm of combinatorial optimisation. This project aims to tackle the poor generalisation and interpretability issues faced by existing research. We will define rich search spaces of solvers and novel algorithms to effectively search for better generalisation and interpretability. The project is expected to bring new breakthroughs on machine learning, evolutionary computation, and combinatorial optimisation.

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2. VISION MĀTAURANGA

Vision Mātauranga themes and percent contribution of the proposed research to each theme.

The total for all themes ticked can exceed 100%

Indigenous Innovation (economic sustainability)

Taiao (environmental sustainability)

Hauora/Oranga (health and social wellbeing)

Mātauranga (indigenous knowledge)

0%

N/A

A brief rationale for your choice(s):

This project aims to better solve combinatorial optimisation problems in general. Although not directly related to Vision Mātauranga, it indirectly relates to the four themes as follows.

Many indigenous management and decision making problems are combinatorial optimisation problems, which can be benefited from the outcome of this project. For example, (1) we are exploring AI techniques for Māori land use management, which contributes to indigenous economic and environmental sustainability; (2) we are developing AI techniques for automated Kapa Haka judging with Wellington Māori Cultural Society (SfTI Seed Fund 2022); (3) We are collaborating with Wellington Free Ambulance to develop automated ambulance dispatching algorithms, considering the special requirements of Māori patients. Both (2) and (3) contribute to Hauora/Oranga.

We will actively look for Māori use cases (e.g., the aforementioned examples) to verify our algorithms. We will establish relationships with iwi/hapū via our Māori colleagues (Kevin Shedlock and Kirita-Rose Escott), and consult them for the indigenous requirements, strictly following the indigenous data sovereignty. We will also focus on capacity development of indigenous researchers and engineers. We will look for Māori students and early career researchers, and recruit them as our post-graduate students, postdocs or research assistants.

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3A. ABSTRACT OF RESEARCH PROPOSAL

Combinatorial Optimisation (CO) [1] has many real-world applications such as last-mile delivery, robotics, and cloud computing. Most CO problems are NP-hard and require strong domain expertise to solve. However, human experts are often unavailable or too expensive. If we can get a computer/machine to automatically design solvers for CO problems, it will have huge practical and theoretical impact. But doing so is extremely difficult.

Existing research [2–8] have used machine learning to automatic design specific types of CO solvers, such as the branch-and-bound algorithm [9–13], constructive heuristics [14–18] and improvement heuristics [19–23]). However, they search within an overly restricted space of specific CO solvers, the learned solvers' effectiveness are strongly limited to the problem instances suitable for that specific solver type. On the other hand, there are a variety of related but different CO problems (e.g., vehicle routing, knapsack, and scheduling). Even the instances within the same problem have much different characteristics (e.g., vehicle routing instances with different graph size and topology). The existing learned CO solvers have poor generalisation to different unseen problems or instances. Additionally, most learned CO solvers are black-box models with poor interpretability, making them difficult to be adopted by users. This project will develop novel machine learning approaches to achieve **better generalisation** and **better interpretability** of the learned CO solvers.

To improve generalised performance on a wide range of unseen problem instances, we will (1) design a rich search space containing a comprehensive set of possible CO solvers, and (2) develop novel search and evaluation methods to find the best CO solver(s) effectively and efficiently. Based on our experiences in designing various CO solvers (e.g., constructive heuristics [24–26], genetic algorithms [27, 28], particle swarm optimisation [29, 30] and ant colony optimisation [31–33]), we will design a novel unified framework for CO solvers with rich set of continuous, discrete, and nominal parameters. This parametrised framework is expected to cover a much larger space with more complex but capable CO solvers.

To search in the resultant huge non-differential space, we will employ Genetic Programming (GP) [34] due to its flexibility in handling variable-length search space and strength in gradient-free search. A major challenge is the time-consuming evaluation for candidate solvers on a large number of problem instances representing the possible unseen future. To address this challenge, we will propose novel clustering methods for the problem instances, and learn ensemble of solvers, each for a cluster. We will leverage our experiences in multitask and knowledge transfer techniques [35–43] to improve the learning effectiveness and efficiency, by sharing common knowledge between the models for related instance clusters. In addition, we will develop novel mixed-input surrogate models to approximate the evaluation with good trade-off between accuracy and computational complexity.

We will improve interpretability of the learned CO solvers from three aspects. First, we will design context-free grammar [44–46], to enforce interpretable structures of CO solvers. Second, we will develop multi-objective approaches to optimise the quantitative interpretability metrics together with the original performance metric of CO solvers. Our preliminary studies [47, 48] achieved promising results on a simplified interpretability metric — model size. Expanding on that, we will consider more realistic interpretability metrics and address the corresponding issues. Last but not least, we will develop novel post-hoc explanation methods to further improve the interpretability of the learned solvers, which will be extended from our experiences to explain simple constructive heuristics [49, 50].

Mei's expertise in CO and evolutionary computation, Raidl's expertise in CO and meta-heuristics, and Yao's expertise in artificial intelligence and evolutionary computation provide an excellent basis for this project. We expect this research to produce over 15 publications in prestigious venues such as IEEE TEVC, TCYB, ECJ, EJOR, and GECCO. The outcomes will significantly enhance New Zealand's international leadership in evolutionary computation and combinatorial optimisation.

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3B. REFERENCES

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3C. ROLES AND RESOURCES

Roles. The team for this project consists of three experts in evolutionary computation (EC), machine learning, and combinatorial optimisation (CO), with complementary specific expertise.

The PI, Yi Mei, has expertise in EC and combinatorial optimisation. He has published over 200 papers in fully refereed international venues (6,500+ Google Scholar Citations, h-index is 40). Since receiving a Marsden Fast-Start Fund in 2017, he has published 150+ fully refereed international journal and conference papers. He is a recipient of an Outstanding Paper Award from IEEE Transactions on Evolutionary Computation (TEVC, the top journal in EC), two Best Paper Awards from the ACM Genetic and Evolutionary Computation Conference (GECCO, the top conference in EC) and a **Best Paper Award** from European Conference on Genetic Programming (EuroGP, the flagship conference in GP). He is an Associate Editor of IEEE TEVC and IEEE Transactions on Artificial Intelligence, and an Editorial Board Member/Associate Editor of other four international journals. He is the Founding Chair of the IEEE Task Force on Evolutionary Scheduling and Combinatorial Optimisation. He is a reviewer of 60 international journals (including the top journals in EC and Operations Research) and a PC member of 80 international conferences, including all the major conferences in EC. He is a Fellow of Engineering New Zealand. He will manage the whole project, and focus on the developments to address the grammar design, knowledge transfer optimisation algorithm and the interpretability issues. He will be the primary supervisor of the proposed PostDoc, PhD student, Honours students and summer research assistants. His FTE will be 0.2.

Guenther Raidl's expertise is on combinatorial optimisation and meta-heuristics. xxx

Xin Yao is a world-leading expert in artificial intelligence and EC. He is the recipient of the 2020 **IEEE Frank Rosenblatt Award** (one of the most prominent international awards in computational intelligence and artificial intelligence), 2012 **Royal Society Wolfson Research Merit Award** and 2013 **IEEE CIS EC Pioneer Award**. He has won **Outstanding Paper Awards** on the top artificial intelligence and evolutionary computation journals (IEEE Transactions on Evolutionary Computation and IEEE Transactions on Neural Networks). He is a **Past President of IEEE CIS** and a **Past Editor-in-Chief of IEEE TEVC**. He is an **IEEE Fellow** and **IEEE Distinguished Lecturer**. He has published 800+ papers in fully refereed journals and international conferences, and his h-index is **122** (75,000 Google Scholar Citations). He will focus on the development of the effective search algorithms. The PI has established very good ongoing research collaborations and relationship with Xin (evidenced by the co-authored recent publications on IEEE TEVC). He will co-supervise the PostDoc and PhD student. He will visit the PI at VUW once a year for two to three weeks and/or use a video conference facility to communicate with the PI and the team at VUW. His FTE will be 0.05.

This project will include a PostDoc and a PhD. The PostDoc will focus on developing the grammar for better search spaces, and the PhD student will focus on developing new algorithms to address the interpretability issues. We will also have an Honours student and a part-time/summer research assistant each year. The Honours and summer project students will be chosen from our own final-year students with good programming skills and good background in EC and machine learning. They will mainly carry out programming and experimentation.

This project will continue NZ's significant international research profile in evolutionary machine learning and combinatorial optimisation. Our previous work on evolutionary learning and combinatorial optimisation has earned a good reputation worldwide. This project will allow that research to continue to be carried out in NZ. We also expect this project to further develop NZ as an international centre on EC particularly in evolutionary combinatorial optimisation.

Resources. The project requires the grid computing facilities and library resources. The School of Engineering and Computer Science and Victoria University of Wellington have these resources and the PI and the students can easily access them. We also need video conference tools (e.g. Zoom) for remote discussions, and VUW and University of Birmingham can provide these tools.

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4. PERSONNEL

List the time involvement of all personnel in terms of a Full Time Equivalent (FTE). Give names for all personnel (except when they are as yet unknown for such people as postdoctoral fellows and postgraduate students). Please refer to the Preliminary Research Proposal Guidelines for Applicants for recommended minimum time for Principal Investigators.

Name	FTE	FTE	FTE
	Year 1	Year 2	Year 3
Principal Investigator (Contact)(s)	•	•	•
Associate Professor Yi Mei	0.20	0.20	0.20
Associate Investigator(s)		<u> </u>	<u> </u>
Professor Günther Raidl	0.05	0.05	0.05
Professor Xin Yao	0.05	0.05	0.05
Postdoctoral Fellow(s)		ı	
Dr	0.50	0.50	0.50
Postgraduate Student(s)		ı	
PhD	1.00	1.00	1.00
Honours Student	0.25	0.25	0.25
Summer Student	0.20	0.20	0.20
TOTAL	2.25	2.25	2.25

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5. CURRICULUM VITAE, PUBLICATIONS AND OTHER PUBLISHED WORKS PART 1

1a. Personal details								
Full name	Title		First name	Second i	name(s)		Famil	y name
	Dr		Yi				Mei	
Present position	on		Associate Profe	essor				
Organisation/E	Empl	oyer	Victoria University of Wellington					
Contact Addre	SS	CO3	353, Cotton Buildi	ing				
		Victo	Victoria University of Wellington					
		Kelb	Kelburn, Wellington			Post co	ode	6012
Work telephon	ie	04-463 5331 M d			Mobile	021 087	7 955	86
Email		Yi.m	ei@ecs.vuw.ac.r	nz				
Personal webs	site	https	https://meiyi1986.github.io/					

1b. Academic qualifications

2010, PhD, Computer Science, University of Science and Technology of China. 2005, BSc, Mathematics, University of Science and Technology of China.

1c. Professional positions held

2023-present, Associate Professor, Victoria University of Wellington.

2016-2022, Lecturer and Senior Lecturer, Victoria University of Wellington.

2015-2016, Research Fellow, Victoria University of Wellington.

2012-2015, ARC Discovery Research Fellow, RMIT University.

2010-2012, Research Associate, Chinese University of Hong Kong.

1d. Present research/professional speciality

- Evolutionary Computation, Genetic Programming, Hyper-Heuristics
- Operations Research, Scheduling, Combinatorial Optimisation

1e. Total	years research experience	13 :	years
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1f. Professional distinctions and memberships (including honours, prizes, scholarships, boards or governance roles, etc)

Research Funding

- 2024-2027, "Machine Learning for Emergency Medical Dispatch: A Data Driven Approach", MBIE Smart Idea Fund, \$1,000,000NZD (PI)
- 2024-2025, "Machine Learning for Combinatorial Optimisation: An Evolutionary Computation Approach", NZ Royal Society Catalyst Leaders Fund, \$150,000NZD (PI)
- 2022-2023, "Te Taupanga Tapoi: A Post-COVID Kaupapa Māori Tour Recommendation System", VUW Faculty Strategic Research Fund, \$49,000NZD (PI)
- 2022-2023, "Te Kapahaka Pūnaha Taupanga (The kapahaka software judging system)", NSC SfTI Seed Fund, \$200,000NZD (co-PI)
- 2021-2022, "Interpretable Genetic Programming for Combinatorial Optimisation", Victoria University of Wellington, University Research Fund, \$37,275NZD (PI)
- 2017-2020, "Automatic Design of Heuristics for Dynamic Arc Routing Problem with Genetic Programming", 16-VUW-079, Marsden Fund (Fast-Start), \$300,000NZD (PI).
- 2020-2027, A data-science driven evolution of aquaculture for building the blue economy (Al/ML Advanced Research and Applications to Aquaculture). MBIE SSIF Fund on Data Science. Grant: \$13,000,000 (Key Researcher)
- 2019-2020, "Intelligent Routing for Northland Waste Collection", industrial project with Northland Waste, \$12,000NZD (PI)
- 2018, "Real-Time Tourist Trip Recommendation using Genetic Programming", VUW University Research Fund, \$28,720 NZD (PI)

Prestigious Awards

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- 2022, 2023, Best Paper Awards, ACM Genetic and Evolutionary Computation Conference (top conference in evolutionary computation, CORE Tier A)
- 2022, Best Paper Award, European Conference on Genetic Programming (top conference in genetic programming)
- 2017, IEEE Transactions on Evolutionary Computation (TEVC) Outstanding Paper Award for the paper "Cooperative Co-evolution with Differential Grouping for Large Scale Optimization". (top journal in Al/EC, CORE Tier A*, impact factor = 16.497, acceptance rate around 5%, only one paper per year wins the award)

Editorship

- 2023-present, Associate Editor, IEEE TEVC (top journal in Al/EC, CORE Tier A*)
- 2024-present, **Associate Editor**, IEEE Transactions on Artificial Intelligence
- 2016, Guest Editor, Genetic Programming and Evolvable Machines
- 2020-present, Editorial Board Member, International Journal of Bio-Inspired Computation, and International Journal of Automation and Control
- 2019-prsent, Associate Editor, International Journal of Applied Evolutionary Computation

Conference Organisation

- Program Chair, Pacific Rim International Conferences on Artificial Intelligence 2025
- Journal to Conference Chair, IEEE Congress on Evolutionary Computation 2024
- Track Chair, ACM Genetic and Evolutionary Computation Conference 2024
- Finance Chair, Conference on Image and Vision Computing New Zealand 2020.
- Proceedings Chair, IEEE Congress on Evolutionary Computation 2019 (ARC Tier A).
- Tutorial Chair, Pacific Rim International Conferences on Artificial Intelligence 2019.
- Sponsorship Chair, Australasian Joint Conference on Artificial Intelligence 2018.
- Organizational Committee Member, International Conference on Computers and Industrial Engineering 2018
- Technical Co-chair, International Conference on Data Intelligence and Security 2018.
- Co-chair of 12 Special Sessions in IEEE Congress on Evolutionary Computation (CEC) (ARC Tier A) 2016-2022
- Co-chair of 4 IEEE Symposia on Evolutionary Scheduling and Combinatorial Optimization (flagship conference in EC) 2019-2022

Professional Membership

- Fellow of Engineering New Zealand
- Chair of IEEE New Zealand Central Section, 2021-present
- Chair of IEEE Computational Intelligence Society Travel Grants subcommittee
- Founding Chair of IEEE Taskforce on Evolutionary Scheduling and Combinatorial Optimisation, 2021-present
- Vice-Chair and Member of IEEE Computational Intelligence Society (CIS) Emergent Technologies Technical Committee, 2017-2018
- Member of IEEE CIS Emergent Technologies Technical Committee 2017-2019
- Member of IEEE CIS Intelligent Systems Applications Technical Committee 2017-2020
- IEEE Senior Member, ACM Member
- Reviewer of over 30 international journals, including the top journals in EC and OR.
- Program Committee Member for over 40 international conferences.

Other honours

- Invited talks in New Zealand, Australia, UK, and China.
- Supervision of over 20 PhD students (9 PhD have successfully completed).

1g. Total number of	Journal	Books	Book chapters,	Conference	Patents
peer reviewed	articles		books edited	proceedings	
publications and patents	75	1	2	135	1

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PART 2

2a. Research publications and dissemination

Peer-reviewed journal articles

- * = PhD students supervised by **Mei**; # = postdoc/research fellows supervised by **Mei**
- 1. M. Xu*, **Y. Mei**, F. Zhang, and M. Zhang. Genetic programming for dynamic flexible job shop scheduling: Evolution with single individuals and ensembles. *Transactions on Evolutionary Computation (TEVC)*, DOI: 10.1109/TEVC.2023.3334626, **2023** (*CORE A**, *impact factor* = 14.3)
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A full list of my publications can be seen from: https://meiyi1986.github.io/publication/

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6. OTHER FUNDING

List of other funding organisations to whom you have sought or received a grant for this application.

No other funding applications listed.