

# Hovercraft++ TLA+ Specification

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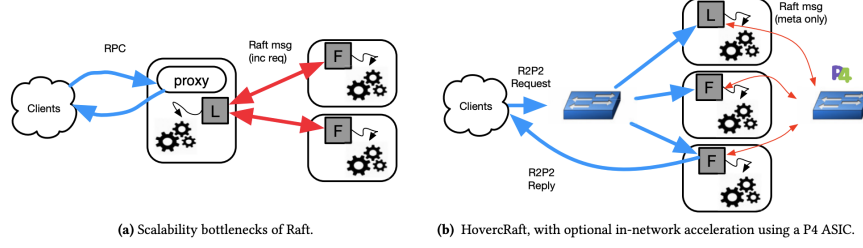
## Abstract

We introduce a formal TLA+ specification of the Hovercraft++ consensus protocol, derived from its natural language description in *HovercRaft: Achieving Scalability and Fault-tolerance for microsecond-scale Datacenter Services*. While the original description provides a strong foundation, our TLA+ model facilitates a deeper, rigorous analysis. We focus on the core consensus mechanism’s fundamental safety properties, while excluding load balancing components (client replies, read-only operations) and bounded queue optimizations. Model checking the specification allows us to uncover and verify behavior in corner cases not overtly addressed in the original paper. Furthermore, this formalization process itself clarifies the intricacies of Raft-based consensus (upon which our specification, like the original TLA+ Raft specification it extends, is built), offering insights beyond even what standard Raft specifications typically detail. This experience reinforces our recommendation for researchers to invest in formal protocol specification with detailed commentary, as it significantly clarifies contributions, aids potential implementations, and ultimately strengthens protocol design.

**Keywords:** consensus, hovercraft++, TLA+, specification

## 1 Background: the Hovercraft++ Consensus Protocol

Hovercraft++ [1], “an approach by which adding nodes increases both the resilience and the performance of general-purpose state-machine replication (SMR)“, is an extension of the Raft [2] consensus protocol, designed to enhance the efficiency and scalability of SMR. SMR and its bottlenecks are described in subsections 2.1.1 and 2.1.2 of [1]. This paper investigates how Hovercraft++ preserves Raft’s core safety while liveness guarantees investigation is left for future work. For the purpose of formal specification, its key provisions are:



**Fig. 1** “Eliminating bottlenecks of SMR. Figure 1a shows the leader node bottlenecks for a classic SMR deployment using Raft: (1) the leader acts as the RPC server for all clients; (2) the leader must communicate individually with each follower to replicate messages and ensure their ordering. Figure 1b illustrates Hovercraft++, extending Raft to separate request replication from ordering and using IP multicast (Switch) and in-network accelerators (NetAgg) to convert leader-to-multipoint interactions into point-to-point interactions. Illustration on a 3-node cluster” reproduced from [1].

- **Transparent SMR Integration:** It integrates an extension of Raft directly within the R2P2 [3] transport layer, enabling applications to utilize SMR without modification to their core logic for handling consensus. “Specifically, the SMR layer becomes part of the RPC layer which forwards RPC requests to the application layer only after those requests have been totally ordered and committed by the leader” [1]. Design details provided in Section 3 of [1].
- **Modified Replication and Ordering Mechanics:** Client request (including payload) replication is separated from the leader’s ordering task by using IP multicast for request dissemination to all nodes through a Switch. The leader is still responsible on establishing the total order of these received requests. For specific design details we refer the reader to Section 3.2 of [1].
- **In-Network Acceleration of Core SMR Messaging:** Hovercraft++ leverages in-network programmable hardware (e.g., P4 ASICs) to statelessly manage crucial SMR communication patterns. This includes:
  - The fan-out of leader messages (like AppendEntries containing ordering metadata) to followers.
  - The fan-in of follower replies related to these consensus messages.

The in-network aggregator offloading is viewed as a leader extension and it **aims** to improve the efficiency of the consensus protocol’s internal communication, particularly as cluster size increases, without altering the fundamental Raft algorithm for achieving agreement. However, the Hovercraft++ protocol was not formally specified nor verified. For specific design details we refer the reader to Sections 4 (Figure 5.b explains communication in Hovercraft++), and 5 of [1]; subsection 6.4 describes important details about the NetAgg aggregator implementation of which we implement the AGG\_COMMIT message to ensure Raft servers update their commit index to be able to respond to clients.

Our TLA+ <sup>1</sup> specification of the Hovercraft++ protocol is based on its design presented in Sections 3, 4, and 5 [1]. We postpone load balancing client replies, load

<sup>1</sup>Lamport’s TLA+ home page: <https://lamport.azurewebsites.net/tla/tla.html>

balancing read-only operations and bounded queues (sections 3.3 to 3.6 and partially section 5 of [1]) to future work discussing liveness and client guarantees. Our specification extends the original Raft TLA+ specification <sup>2</sup> to additionally model the Switch and NetAgg components introduced in Hovercraft++, as illustrated in Figure 1.

## 2 Hovercraft TLA+ Specification

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<sup>2</sup>Raft TLA+ specification <https://github.com/ongardie/raft.tla>

\* Formal specification of the *HoverCraft* ++ consensus algorithm.  
 \* See paper: <https://marioskogias.github.io/docs/hovercraft.pdf>  
 \* Based on the Raft consensus algorithm with enhancements for improved throughput.  
 \* Original Raft TLA+ specification: <https://github.com/ongardie/raft.tla>  
 \* See also the Raft paper: <https://raft.github.io/raft.pdf>  
 \*  
 \* This specification introduces two key components :  
 \* – *Switch*: Abstracts multicast/broadcast mechanisms to decouple *payload* replication  
 \* from consensus ordering, reducing leader bandwidth bottlenecks  
 \* – *NetAgg*: Network aggregator that collects acknowledgments from *followers*  
 \* and sends commit notifications, further reducing leader coordination overhead  
 \*  
 \* We verify safety properties and track message counts for commit index advancement.  
 \* The specification supports server crashes and leader election mechanisms.  
 \*  
 \* Modified by *Ovidiu Marcu*.  
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In standard Raft the leader is the central hub. A client sends a request only to the leader. The leader must then replicate the entire request *payload* to all followers. The leader gathers acknowledgments from Followers, commits the entry, applies it, and sends a response to the client. Bottleneck: The leader’s network bandwidth and processing power for sending the full *payload* to every follower becomes a limiting factor as the cluster size ( $N$ ) or client request size increases. Throughput is limited by  $Leader\_Bandwidth / ((N - 1) * Request\_Payload\_Size)$ .

We introduce a “Switch” abstraction (representing mechanisms like *IP* Multicast or a dedicated middlebox/programmable switch as used in the *HoverRaft* paper). Clients send requests via *Switch*. The *Switch* is non-crashing. Client requests timeouts due to *Switch* failures will force clients choose another *Switch*. The *Switch*’s responsibility is to deliver the request *payload* to all server nodes (Leader and Followers) “simultaneously”. The ‘simultaneous’ delivery is a model abstraction for efficient broadcast mechanisms like *IP* Multicast, where *payload* dissemination is handled by the network infrastructure. We introduce the “*NetAgg*” network aggregation component of *HoverRaft* ++. The *NetAgg* is non-crashing and stateless. Client requests will timeout and retry. The leader sends a single message containing the ordering metadata to *NetAgg*. Leader expects a *AggCommit* message in return. If one is not received in due time, the *Leader* declares *NetAgg* failure, another *NetAgg* will be chosen. *NetAgg* is then responsible for disseminating this metadata to followers and collecting their acknowledgments. When a follower receives the ordering metadata message from *NetAgg*, it uses the identifier in the metadata to find the corresponding *payload* in its temporary buffer. Once matched, the follower places the request *payload* into its replicated *log* at the correct index specified by the leader’s metadata. Once *NetAgg* receives acknowledgments from a quorum of followers, it sends an *AggCommit* message to all servers, informing them that they can advance their commit index for that entry. *AggCommit* also ensures *NetAgg* failure handling by *Leader* choosing another *NetAgg*. This model assumes that the *Switch* and *NetAgg* components will never fail. We do not model *Switch* and *NetAgg* replicas due to model space constraints. Point-to-point recovery mechanisms between Followers and the *Leader* are partially addressed (assumes no *Follower* crashes).

EXTENDS *Naturals*, *FiniteSets*, *Sequences*, *TLC*

\*\*\*\*\* CONSTANTS \*\*\*\*\*

The set of server *IDs* including the *Switch* and *NetAgg*  
CONSTANTS *Server*

The set of client requests that can go into the *log*  
Represents the possible values of client requests that are stored in the *log*.  
A value with its term represent the request metadata. Same value is used as *payload*. A typical flow for a request's data/metadata might be :  
\* Client → *Switch* (metadata and *payload*)  
\* *Switch* → *Leader* & Followers (metadata and *payload*)  
\* *Leader* → *NetAgg* (metadata for ordering)  
\* *NetAgg* → Followers → *NetAgg* (metadata for ordering and acknowledgements)  
\* *NetAgg* → All *Servers* (*AggCommit* sent when majority quorum of acks received)  
\* One server of *Servers* will reply back to client (not handled by this model).

CONSTANTS *Value*

Server states for Raft protocol.  
CONSTANTS *Follower, Candidate, Leader*

Fixed states for *Switch* and *NetAgg* indices in *Server*  
CONSTANTS *Switch, NetAgg*

A reserved value.  
CONSTANTS *Nil*

Message types  
Standard Raft message types for leader election and *log* replication.  
CONSTANTS *RequestVoteRequest, RequestVoteResponse,*  
*AppendEntriesRequest, AppendEntriesResponse*

*Hovercraft* ++ specific message types for interactions involving the *NetAgg* component.  
CONSTANTS *AppendEntriesNetAggRequest, AggCommit*

Limits the total number of client requests processed in the model,  
used for bounding the state space during model checking.  
CONSTANTS *MaxClientRequests*

Limits the number of times any given server can transition to  
the *Leader* state, for state space bounding.  
CONSTANTS *MaxBecomeLeader*

Defines the maximum value a term number can reach, used for state space bounding.  
CONSTANTS *MaxTerm*

\*\*\*\*\* VARIABLES \*\*\*\*\*

Global variables

A bag of records representing requests and responses sent from one server  
to another. This is a function mapping Message to *Nat*.

VARIABLE *messages*

An instrumentation variable mapping each server *ID* (from *Server*) to a natural number, counting how many times it has become a leader. Used with *MaxBecomeLeader* for state space bounding.

VARIABLE *leaderCount*

An instrumentation variable; a natural number tracking the count of client requests processed so far. Used with *MaxClientRequests* for state space bounding.

VARIABLE *maxc*

variable for tracking entry commit message counts  
Maps  $\langle \logIndex, \logTerm \rangle$  to a record tracking message counts.  
[ *sentCount*  $\mapsto$  *Nat*,  $\setminus$  \* *AppendEntriesRequests* sent for the entry  
  *ackCount*  $\mapsto$  *Nat*,  $\setminus$  \* *AppendEntriesResponses* received for this entry  
  *committed*  $\mapsto$  *Bool* ]  $\setminus$  \* Flag indicating if the entry is committed

VARIABLE *entryCommitStats*

A tuple grouping all instrumentation-specific variables. Useful for specifying UNCHANGED *instrumentationVars* in actions that do not modify them.

$instrumentationVars \triangleq \langle leaderCount, maxc, entryCommitStats \rangle$

The unique identifier (*ID* from *Server* set) of the server designated to act as the *Switch* component.

VARIABLE *switchIndex*

The *Switch*'s internal buffer. Maps a request identifier ( $\langle value, term \rangle$ ) to the complete request data and *payload*

VARIABLE *switchBuffer*

A per-server variable (maps *Server ID* to a set of request identifiers). For each server (Leader and Followers), it stores the set of request identifiers ( $\langle value, term \rangle$  tuples) for payloads received from the *Switch* that are awaiting ordering metadata.

VARIABLE *unorderedRequests*

Records which  $\langle value, term \rangle$  pairs the *Switch* has sent to each server. Maps *Server ID*  $\rightarrow$  Set of  $\langle Value, Term \rangle$  pairs.

VARIABLE *switchSentRecord*

A tuple grouping variables specific to the *Hovercraft Switch* functionality.  
 $hovercraftVars \triangleq \langle switchBuffer, unorderedRequests, switchIndex, switchSentRecord \rangle$

*NetAgg* variables

Stores the leader (leader field, type *Server*) and its term (term field, type *Nat*)

that *NetAgg* currently recognizes as active.

VARIABLE *netAggCurrentLeaderTerm*

VARIABLE *netAggIndex* Index of the *NetAgg* server

VARIABLE *netAggMatchIndex* *NetAgg*'s view of follower match indices

VARIABLE *netAggPendingEntries* Entries pending aggregation at *NetAgg*

VARIABLE *netAggCommitIndex* *NetAgg*'s view of commit index

A tuple grouping all variables specific to the *NetAgg* component.

$netAggVars \triangleq \langle netAggIndex, netAggMatchIndex, netAggPendingEntries, netAggCommitIndex, netAggCurrentLeaderTerm \rangle$

The following variables are all per server (functions with domain *Server*).

Each server's current known term number. (Maps *Server ID* to *Nat*).

VARIABLE *currentTerm*

The server's state (Follower, *Candidate*, *Leader*, *Switch*, or *NetAgg*).

For *Switch* and *NetAgg* entities, this state is fixed.

VARIABLE *state*

The candidate the server voted for in its current term, or

Nil if it hasn't voted for any.

VARIABLE *votedFor*

$serverVars \triangleq \langle currentTerm, state, votedFor \rangle$

A Sequence of *log* entries. The index into this sequence is the index of the *log* entry.

VARIABLE *log*

The index of the latest entry in the *log* the state machine may apply.

VARIABLE *commitIndex*

$logVars \triangleq \langle log, commitIndex \rangle$

The following variables are used only on candidates:

The set of servers from which the candidate has received a *RequestVote* response in its *currentTerm*.

VARIABLE *votesResponded*

The set of servers from which the candidate has received a vote in its *currentTerm*.

VARIABLE *votesGranted*

A history variable used in the proof. This would not be present in an implementation.

Function from each server that voted for this candidate in its *currentTerm* to that voter's *log*.

VARIABLE *voterLog*

$candidateVars \triangleq \langle votesResponded, votesGranted, voterLog \rangle$

The following variables are used only on leaders:

The next entry to send to each follower.

VARIABLE *nextIndex*

The latest entry that each follower has acknowledged is the same as the leader's. This is used to calculate *commitIndex* on the leader.

VARIABLE *matchIndex*

$leaderVars \triangleq \langle nextIndex, matchIndex \rangle$

The set of server *IDs* participating in the Raft consensus  
(*i.e.*, excluding *Switch* and *NetAgg* components).

$Servers \triangleq Server \setminus \{switchIndex, netAggIndex\} \setminus * \text{ see } Init$

VARIABLE *Servers*

All variables; used for stuttering (asserting state hasn't changed).

*Hovercraft* ++ brings *hovercraftVars* for *Switch* and *netAggVars* for *NetAgg*.

$vars \triangleq \langle messages, serverVars, candidateVars, leaderVars, logVars, instrumentationVars, hovercraftVars, netAggVars, Servers \rangle$

\*\*\*\*\* HELPERS \*\*\*\*\*

Defines the set of all possible quorums. A quorum is any subset of *Servers* (Raft participants) forming a simple majority. The critical property is that any two quorums must overlap.

$Quorum \triangleq \{i \in \text{SUBSET}(Servers) : Cardinality(i) * 2 > Cardinality(Servers)\}$

The term of the last entry in a *log*, or 0 if the *log* is empty.

$LastTerm(xlog) \triangleq \text{IF } Len(xlog) = 0 \text{ THEN } 0 \text{ ELSE } xlog[Len(xlog)].term$

$WithMessage(m, msgs) \triangleq$

IF *m* ∈ DOMAIN *msgs* THEN

*msgs* avoiding duplicates

ELSE

*msgs* @@ (*m* :> 1)

to allow duplicates use:  $WithMessage(m, msgs) \triangleq$

$[msgs \text{ EXCEPT } ![m] = \text{IF } m \in \text{DOMAIN } msgs \text{ THEN } msgs[m] + 1 \text{ ELSE } 1]$

$WithoutMessage(m, msgs) \triangleq$

IF *m* ∈ DOMAIN *msgs* THEN

$[msgs \text{ EXCEPT } ![m] = \text{IF } msgs[m] > 0 \text{ THEN } msgs[m] - 1 \text{ ELSE } 0]$

ELSE

*msgs*



Add a message to the bag of messages.  
 $Send(m) \triangleq messages' = WithMessage(m, messages)$

Remove a message from the bag of messages. Used when a server is done processing a message.  
 $Discard(m) \triangleq messages' = WithoutMessage(m, messages)$

Helper for *Send* and *Reply*. Given a message  $m$  and bag of messages, return a Combination of *Send* and *Discard*  
 $Reply(response, request) \triangleq$   
 $messages' = WithoutMessage(request, WithMessage(response, messages))$

Return the minimum value from a set, or undefined if the set is empty.  
 $Min(s) \triangleq CHOOSE\ x \in s : \forall y \in s : x \leq y$

Return the maximum value from a set, or undefined if the set is empty.  
 $Max(s) \triangleq CHOOSE\ x \in s : \forall y \in s : x \geq y$

Convert a sequence to a set of its elements  
 $SeqToSet(seq) \triangleq \{seq[i] : i \in DOMAIN\ seq\}$

$min(a, b) \triangleq IF\ a < b\ THEN\ a\ ELSE\ b$

$ValidMessage(msgs) \triangleq$   
 $\{m \in DOMAIN\ messages : msgs[m] > 0\}$

The prefix of the *log* of server  $i$  that has been committed up to term  $x$   
 $CommittedTermPrefix(i, x) \triangleq$   
 Only if *log* of  $i$  is non-empty, and if there exists an entry up to the term  $x$   
 IF  $Len(log[i]) \neq 0 \wedge \exists y \in DOMAIN\ log[i] : log[i][y].term \leq x$   
 THEN  
 then, we use the subsequence up to the maximum committed term of the leader  
 LET  $maxTermIndex \triangleq$   
 $CHOOSE\ y \in DOMAIN\ log[i] :$   
 $\wedge log[i][y].term \leq x$   
 $\wedge \forall z \in DOMAIN\ log[i] : log[i][z].term \leq x \Rightarrow y \geq z$   
 IN  $SubSeq(log[i], 1, min(maxTermIndex, commitIndex[i]))$   
 Otherwise the prefix is the empty tuple  
 ELSE  $\langle \rangle$

$CheckIsPrefix(seq1, seq2) \triangleq$   
 $\wedge Len(seq1) \leq Len(seq2)$   
 $\wedge \forall i \in 1..Len(seq1) : seq1[i] = seq2[i]$

The prefix of the *log* of server  $i$  that has been committed  
 $Committed(i) \triangleq$   
 IF  $commitIndex[i] = 0$   
 THEN  $\langle \rangle$

ELSE *SubSeq*(*log*[*i*], 1, *commitIndex*[*i*])

*MyConstraint*  $\triangleq$  ( $\forall i \in \text{Servers} : \text{currentTerm}[i] \leq \text{MaxTerm}$   
 $\wedge \text{Len}(\text{log}[i]) \leq \text{MaxClientRequests}$   
 $\wedge (\forall m \in \text{DOMAIN } \text{messages} : \text{messages}[m] \leq 1)$

\*\*\*\*\* INIT \*\*\*\*\*

*InitHistoryVars*  $\triangleq$  *voterLog* = [ $i \in \text{Servers} \mapsto [j \in \{\} \mapsto \langle \rangle]$ ]

*InitServerVars*  $\triangleq$   $\wedge \text{currentTerm} = [i \in \text{Servers} \mapsto 1]$   
 $\wedge \text{state} = [i \in \text{Servers} \mapsto \text{Follower}]$   
 $\wedge \text{votedFor} = [i \in \text{Servers} \mapsto \text{Nil}]$

*InitCandidateVars*  $\triangleq$   $\wedge \text{votesResponded} = [i \in \text{Servers} \mapsto \{\}]$   
 $\wedge \text{votesGranted} = [i \in \text{Servers} \mapsto \{\}]$

The values *nextIndex*[*i*][*i*] and *matchIndex*[*i*][*i*] are never read, since the leader does not send itself messages. It's still easier to include these in the functions.

*InitLeaderVars*  $\triangleq$   $\wedge \text{nextIndex} = [i \in \text{Servers} \mapsto [j \in \text{Servers} \mapsto 1]]$   
 $\wedge \text{matchIndex} = [i \in \text{Servers} \mapsto [j \in \text{Servers} \mapsto 0]]$

*InitLogVars*  $\triangleq$   $\wedge \text{log} = [i \in \text{Servers} \mapsto \langle \rangle]$   
 $\wedge \text{commitIndex} = [i \in \text{Servers} \mapsto 0]$

*Init*  $\triangleq$   
 $\wedge \text{messages} = [m \in \{\} \mapsto 0]$   
 $\wedge \text{switchIndex} = \text{CHOOSE } s \in \text{Server} : \text{TRUE}$  Pick any server as switch  
 $\wedge \text{netAggIndex} = \text{CHOOSE } n \in \text{Server} \setminus \{\text{switchIndex}\} : \text{TRUE}$  Pick another as *NetAgg*  
 $\wedge \text{Servers} = \text{Server} \setminus \{\text{switchIndex}, \text{netAggIndex}\}$  Remaining are Raft servers

Initialize all server state  
 $\wedge \text{currentTerm} = [i \in \text{Server} \mapsto 1]$   
 $\wedge \text{state} = [i \in \text{Server} \mapsto$   
    IF  $i = \text{switchIndex}$  THEN *Switch*  
    ELSE IF  $i = \text{netAggIndex}$  THEN *NetAgg*  
    ELSE *Follower*]  
 $\wedge \text{votedFor} = [i \in \text{Server} \mapsto \text{Nil}]$

Initialize empty logs and indices  
 $\wedge \text{log} = [i \in \text{Server} \mapsto \langle \rangle]$   
 $\wedge \text{commitIndex} = [i \in \text{Server} \mapsto 0]$   
 $\wedge \text{nextIndex} = [i \in \text{Server} \mapsto [j \in \text{Server} \mapsto 1]]$   
 $\wedge \text{matchIndex} = [i \in \text{Server} \mapsto [j \in \text{Server} \mapsto 0]]$

Initialize candidate variables  
 $\wedge \text{votesResponded} = [i \in \text{Server} \mapsto \{\}]$

$\wedge \text{votesGranted} = [i \in \text{Server} \mapsto \{\}]$   
 $\wedge \text{voterLog} = [i \in \text{Server} \mapsto [j \in \{\} \mapsto \langle \rangle]]$

Initialize *HoverCraft Switch* variables

$\wedge \text{switchBuffer} = [vt \in \{\} \mapsto \{\}]$   
 $\wedge \text{unorderedRequests} = [s \in \text{Server} \mapsto \{\}]$   
 $\wedge \text{switchSentRecord} = [s \in \text{Server} \mapsto \{\}]$

Initialize *HoverCraft NetAgg* variables

$\wedge \text{netAggCurrentLeaderTerm} = \text{Nil}$   
 $\wedge \text{netAggMatchIndex} = [s \in \{\} \mapsto 0]$   
 $\wedge \text{netAggPendingEntries} = \{\}$   
 $\wedge \text{netAggCommitIndex} = 0$

Initialize instrumentation

$\wedge \text{maxc} = 0$   
 $\wedge \text{leaderCount} = [i \in \text{Server} \mapsto 0]$   
 $\wedge \text{entryCommitStats} = [idx\_term \in \{\} \mapsto$   
 $\quad [sentCount \mapsto 0, ackCount \mapsto 0, committed \mapsto \text{FALSE}]]$

Used to start from a state with a *Leader*.

We may verify just the normal case excluding leader election and crashes.

$\text{MyInit} \triangleq$

LET  $\text{ServerSet5} \triangleq \text{CHOOSE } S \in \text{SUBSET } (\text{Server}) : \text{Cardinality}(S) = 5$   
 $\text{TheSwitchId} \triangleq \text{CHOOSE } s \in \text{ServerSet5} : \text{TRUE}$   
 $\text{TempSet} \triangleq \text{ServerSet5} \setminus \{\text{TheSwitchId}\}$   
 $\text{TheNetAggId} \triangleq \text{CHOOSE } n \in \text{TempSet} : \text{TRUE}$   
 $\text{TempSet2} \triangleq \text{TempSet} \setminus \{\text{TheNetAggId}\}$   
 $\text{TheLeaderId} \triangleq \text{CHOOSE } l \in \text{TempSet2} : \text{TRUE}$   
 $\text{FollowerIds} \triangleq \text{TempSet2} \setminus \{\text{TheLeaderId}\}$   
 $\text{TheState} \triangleq [s \in \text{Server} \mapsto$   
 $\quad \text{IF } s = \text{TheSwitchId} \text{ THEN } \text{Switch}$   
 $\quad \text{ELSE IF } s = \text{TheNetAggId} \text{ THEN } \text{NetAgg}$   
 $\quad \text{ELSE IF } s = \text{TheLeaderId} \text{ THEN } \text{Leader}$   
 $\quad \text{ELSE IF } s \in \text{FollowerIds} \text{ THEN } \text{Follower}$   
 $\quad \text{ELSE } \text{Follower}$   
 $\quad ]$   
 $\text{TheSwitchIndex} \triangleq \text{TheSwitchId}$   
 $\text{TheNetAggIndex} \triangleq \text{TheNetAggId}$   
 $\text{TheServersSet} \triangleq \text{Server} \setminus \{\text{TheSwitchIndex}, \text{TheNetAggIndex}\}$   
 $\text{Voters} \triangleq \text{TheServersSet} \setminus \{\text{TheLeaderId}\}$

IN

Constraint: Ensure *Server* has enough elements

$\wedge \text{Cardinality}(\text{Server}) \geq 5$   
 $\wedge \text{PrintT}(\text{"MyInit: switchIndex="} \circ \text{ToString}(\text{TheSwitchIndex}))$

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 $\wedge \text{PrintT}(\text{"MyInit: netAggIndex="} \circ \text{ToString}(\text{TheNetAggIndex}))$ 
 $\wedge \text{PrintT}(\text{"MyInit: Leader is="} \circ \text{ToString}(\text{TheLeaderId}))$ 
 $\wedge \text{PrintT}(\text{"MyInit: Servers="} \circ \text{ToString}(\text{TheServersSet}))$ 
 $\wedge \text{PrintT}(\text{"MyInit : state[switchIndex] = "} \circ \text{ToString}(\text{TheState}[\text{TheSwitchIndex}]))$ 
 $\wedge \text{PrintT}(\text{"MyInit : state[LeaderId] = "} \circ \text{ToString}(\text{TheState}[\text{TheLeaderId}]))$ 
 $\wedge \text{PrintT}(\text{"MyInit : switchBuffer Domain = "} \circ \text{ToString}(\text{DOMAIN } [vt \in \{\} \mapsto \{\}]))$ 

 $\wedge \text{commitIndex} = [s \in \text{Server} \mapsto 0]$ 
 $\wedge \text{currentTerm} = [s \in \text{Server} \mapsto 2]$ 
 $\wedge \text{leaderCount} = [s \in \text{Server} \mapsto \text{IF } s = \text{TheLeaderId} \text{ THEN } 1 \text{ ELSE } 0]$ 
 $\wedge \text{log} = [s \in \text{Server} \mapsto \langle \rangle]$ 
 $\wedge \text{matchIndex} = [s \in \text{Server} \mapsto [t \in \text{Server} \mapsto 0]]$ 
 $\wedge \text{maxc} = 0$ 
 $\wedge \text{messages} = [m \in \{\} \mapsto 0]$ 
 $\wedge \text{nextIndex} = [s \in \text{Server} \mapsto [t \in \text{Server} \mapsto 1]]$ 
 $\wedge \text{state} = \text{TheState}$ 
 $\wedge \text{votedFor} = [s \in \text{Server} \mapsto$ 
 $\quad \text{IF } s = \text{TheLeaderId} \text{ THEN Nil ELSE } \text{TheLeaderId}]$ 
 $\wedge \text{voterLog} = [s \in \text{Server} \mapsto$ 
 $\quad \text{IF } s = \text{TheLeaderId} \text{ THEN}$ 
 $\quad [v \in \text{Voters} \mapsto \langle \rangle] \text{ ELSE } [v \in \{\} \mapsto \langle \rangle]]$ 
 $\wedge \text{votesGranted} = [s \in \text{Server} \mapsto$ 
 $\quad \text{IF } s = \text{TheLeaderId} \text{ THEN Voters ELSE } \{\}]$ 
 $\wedge \text{votesResponded} = [s \in \text{Server} \mapsto$ 
 $\quad \text{IF } s = \text{TheLeaderId} \text{ THEN Voters ELSE } \{\}]$ 
 $\wedge \text{entryCommitStats} = [idx\_term \in \{\} \mapsto$ 
 $\quad [sentCount \mapsto 0,$ 
 $\quad \quad ackCount \mapsto 0,$ 
 $\quad \quad committed \mapsto \text{FALSE}]]$ 
 $\wedge \text{switchBuffer} = [vt \in \{\} \mapsto \{\}]$ 
 $\wedge \text{unorderedRequests} = [s \in \text{Server} \mapsto \{\}]$ 
 $\wedge \text{switchSentRecord} = [s \in \text{Server} \mapsto \{\}]$ 
 $\wedge \text{switchIndex} = \text{TheSwitchIndex}$ 
 $\wedge \text{netAggIndex} = \text{TheNetAggIndex}$ 
 $\wedge \text{netAggMatchIndex} = [s \in \text{TheServersSet} \mapsto 0]$ 
 $\wedge \text{netAggPendingEntries} = \{\}$ 
 $\wedge \text{netAggCommitIndex} = 0$ 
 $\wedge \text{netAggCurrentLeaderTerm} = [leader \mapsto \text{TheLeaderId}, term \mapsto 2]$ 
 $\wedge \text{Servers} = \text{TheServersSet}$ 

```

\*\*\*\*\* Actions \*\*\*\*\*

Modified to limit Restarts only for Leaders.

Server  $i$  restarts from stable storage.

It loses everything but its *currentTerm*, *votedFor*, and *log*.

Also persists messages, instrumentation and *Switch/NetAgg* variables.

$Restart(i) \triangleq$   
 $\wedge state[i] = Leader$   
 $\wedge (\forall srv \in Servers : leaderCount[srv] < MaxBecomeLeader)$   
 $\wedge state' = [state \text{ EXCEPT } ![i] = Follower]$   
 $\wedge votesResponded' = [votesResponded \text{ EXCEPT } ![i] = \{\}]$   
 $\wedge votesGranted' = [votesGranted \text{ EXCEPT } ![i] = \{\}]$   
 $\wedge voterLog' = [voterLog \text{ EXCEPT } ![i] = [j \in \{\} \mapsto \langle \rangle]]$   
 $\wedge nextIndex' = [nextIndex \text{ EXCEPT } ![i] = [j \in Server \mapsto 1]]$   
 $\wedge matchIndex' = [matchIndex \text{ EXCEPT } ![i] = [j \in Server \mapsto 0]]$   
 $\wedge commitIndex' = [commitIndex \text{ EXCEPT } ![i] = 0]$   
 $\wedge unorderedRequests' = [unorderedRequests \text{ EXCEPT } ![i] = \{\}]$   
 $\wedge switchSentRecord' = [switchSentRecord \text{ EXCEPT } ![i] = \{\}]$   
 $\wedge \text{IF } netAggCurrentLeaderTerm \neq Nil \wedge netAggCurrentLeaderTerm.leader = i$   
 $\quad \text{THEN } \wedge netAggCurrentLeaderTerm' = Nil \quad \text{Deactivate } NetAgg$   
 $\quad \wedge netAggPendingEntries' = \{\} \quad \text{Flush pending entries}$   
 $\quad \text{netAggCommitIndex and netAggMatchIndex could be left or reset;}$   
 $\quad \text{they become irrelevant until a new leader activates } NetAgg.$   
 $\quad \text{ELSE } \wedge \text{UNCHANGED } netAggCurrentLeaderTerm$   
 $\quad \wedge \text{UNCHANGED } netAggPendingEntries$   
 $\wedge \text{UNCHANGED } \langle messages, currentTerm, votedFor, log, instrumentationVars,$   
 $\quad switchIndex, switchBuffer, Servers,$   
 $\quad netAggIndex, netAggMatchIndex, netAggCommitIndex \rangle$

$\text{Server } i \text{ times out and starts a new election. } Follower \rightarrow Candidate$   
 $Timeout(i) \triangleq$   
 $\wedge state[i] \in \{Follower, Candidate\}$   
 $\wedge (\forall srv \in Servers : leaderCount[srv] < MaxBecomeLeader)$   
 $\wedge currentTerm[i] < MaxTerm$   
 $\wedge state' = [state \text{ EXCEPT } ![i] = Candidate]$   
 $\wedge currentTerm' = [currentTerm \text{ EXCEPT } ![i] = currentTerm[i] + 1]$   
 $\text{Most implementations would probably just set the local vote}$   
 $\text{atomically, but messaging localhost for it is weaker.}$   
 $\wedge votedFor' = [votedFor \text{ EXCEPT } ![i] = Nil]$   
 $\wedge votesResponded' = [votesResponded \text{ EXCEPT } ![i] = \{\}]$   
 $\wedge votesGranted' = [votesGranted \text{ EXCEPT } ![i] = \{\}]$   
 $\wedge voterLog' = [voterLog \text{ EXCEPT } ![i] = [j \in \{\} \mapsto \langle \rangle]]$   
 $\wedge \text{UNCHANGED } \langle messages, leaderVars, logVars,$   
 $\quad instrumentationVars, hovercraftVars,$   
 $\quad Servers, netAggVars \rangle$

$\text{Modified to restrict } Leader \text{ transitions, bounded by } MaxBecomeLeader$   
 $\text{Candidate } i \text{ transitions to leader. } Candidate \rightarrow Leader$

$BecomeLeader(i) \triangleq$   
 $\wedge state[i] = Candidate$   
 $\wedge votesGranted[i] \in Quorum$   
 $\wedge leaderCount[i] < MaxBecomeLeader$

$$\begin{aligned}
\wedge \text{state}' &= [\text{state} \text{ EXCEPT } ![i] = \text{Leader}] \\
\wedge \text{nextIndex}' &= [\text{nextIndex} \text{ EXCEPT } ![i] = \\
&\quad [j \in \text{Server} \mapsto \text{Len}(\log[i]) + 1]] \\
\wedge \text{matchIndex}' &= [\text{matchIndex} \text{ EXCEPT } ![i] = \\
&\quad [j \in \text{Server} \mapsto 0]] \\
\wedge \text{leaderCount}' &= [\text{leaderCount} \text{ EXCEPT } ![i] = \text{leaderCount}[i] + 1] \\
\wedge \text{netAggCurrentLeaderTerm}' &= [\text{leader} \mapsto i, \text{term} \mapsto \text{currentTerm}[i]] \\
\wedge \text{netAggPendingEntries}' &= \{\} \quad \text{Flush pending entries for the new leader} \\
\wedge \text{netAggCommitIndex}' &= \text{commitIndex}[i] \\
\wedge \text{netAggMatchIndex}' &= [s \in \text{Servers} \mapsto 0] \\
\wedge \text{UNCHANGED} &\langle \text{messages}, \text{currentTerm}, \text{votedFor}, \text{candidateVars}, \\
&\quad \text{logVars}, \text{maxc}, \text{entryCommitStats}, \text{hovercraftVars}, \\
&\quad \text{Servers}, \text{netAggIndex} \rangle
\end{aligned}$$

Modified up to *MaxTerm*; Back To *Follower*.

Any *RPC* with a newer term causes the recipient to advance its term first.

$$\begin{aligned}
\text{UpdateTerm}(i, j, m) &\triangleq \\
&\wedge \text{state}[i] \notin \{\text{Switch}, \text{NetAgg}\} \wedge \text{state}[j] \notin \{\text{Switch}, \text{NetAgg}\} \\
&\wedge m.\text{mterm} > \text{currentTerm}[i] \\
&\wedge m.\text{mterm} < \text{MaxTerm} \\
&\wedge \text{LET } \text{wasLeader} \triangleq \text{state}[i] = \text{Leader} \\
&\text{IN} \\
&\wedge \text{currentTerm}' = [\text{currentTerm} \text{ EXCEPT } ![i] = m.\text{mterm}] \\
&\wedge \text{state}' = [\text{state} \text{ EXCEPT } ![i] = \text{Follower}] \\
&\wedge \text{votedFor}' = [\text{votedFor} \text{ EXCEPT } ![i] = \text{Nil}] \\
&\wedge \text{IF } \text{wasLeader} \wedge \text{netAggCurrentLeaderTerm} \neq \text{Nil} \wedge \text{netAggCurrentLeaderTerm}. \text{leader} = i \\
&\quad \text{THEN } \wedge \text{netAggCurrentLeaderTerm}' = \text{Nil} \quad \text{Deactivate NetAgg} \\
&\quad \wedge \text{netAggPendingEntries}' = \{\} \quad \text{Flush pending entries} \\
&\quad \text{ELSE } \wedge \text{UNCHANGED } \text{netAggCurrentLeaderTerm} \\
&\quad \wedge \text{UNCHANGED } \text{netAggPendingEntries} \\
&\quad \text{messages is unchanged so } m \text{ can be processed further.} \\
&\wedge \text{UNCHANGED} \langle \text{messages}, \text{candidateVars}, \text{leaderVars}, \text{logVars}, \\
&\quad \text{instrumentationVars}, \text{hovercraftVars}, \text{Servers}, \\
&\quad \text{netAggIndex}, \text{netAggMatchIndex}, \text{netAggCommitIndex} \rangle
\end{aligned}$$

\*\*\*\*\* REQUEST VOTE \*\*\*\*\*

Message handlers

$i = \text{recipient}, j = \text{sender}, m = \text{message}$

Candidate  $i$  sends  $j$  a *RequestVote* request.

$$\begin{aligned}
\text{RequestVote}(i, j) &\triangleq \\
&\wedge \text{state}[i] = \text{Candidate} \\
&\wedge \text{state}[j] \notin \{\text{Switch}, \text{NetAgg}\} \\
&\wedge j \notin \text{votesResponded}[i] \\
&\wedge \text{Send}([mtype \mapsto \text{RequestVoteRequest},
\end{aligned}$$

$$\begin{aligned}
mterm &\mapsto \text{currentTerm}[i], \\
mlastLogTerm &\mapsto \text{LastTerm}(\log[i]), \\
mlastLogIndex &\mapsto \text{Len}(\log[i]), \\
msource &\mapsto i, \\
mdest &\mapsto j] \\
\wedge \text{UNCHANGED } \langle \text{serverVars}, \text{candidateVars}, \text{leaderVars}, \text{logVars}, \\
&\quad \text{instrumentationVars}, \text{hovercraftVars}, \text{Servers}, \text{netAggVars} \rangle
\end{aligned}$$

Server  $i$  receives a *RequestVote* request from server  $j$  with  
 $m.mterm \leq \text{currentTerm}[i]$ .

$$\begin{aligned}
&\text{HandleRequestVoteRequest}(i, j, m) \triangleq \\
&\quad \text{LET } \text{logOk} \triangleq \vee m.mlastLogTerm > \text{LastTerm}(\log[i]) \\
&\quad \quad \vee \wedge m.mlastLogTerm = \text{LastTerm}(\log[i]) \\
&\quad \quad \quad \wedge m.mlastLogIndex \geq \text{Len}(\log[i]) \\
&\quad \text{grant} \triangleq \wedge m.mterm = \text{currentTerm}[i] \\
&\quad \quad \wedge \text{logOk} \\
&\quad \quad \wedge \text{votedFor}[i] \in \{\text{Nil}, j\} \\
&\text{IN } \wedge m.mterm \leq \text{currentTerm}[i] \\
&\quad \wedge \vee \text{grant} \quad \wedge \text{votedFor}' = [\text{votedFor} \text{ EXCEPT } ![i] = j] \\
&\quad \quad \vee \neg \text{grant} \wedge \text{UNCHANGED } \text{votedFor} \\
&\quad \wedge \text{Reply}([mtype \mapsto \text{RequestVoteResponse}, \\
&\quad \quad mterm \mapsto \text{currentTerm}[i], \\
&\quad \quad mvoteGranted \mapsto \text{grant}, \\
&\quad \quad \text{mlog is used just for the elections history variable for} \\
&\quad \quad \text{the proof. It would not exist in a real implementation.} \\
&\quad \quad mlog \mapsto \log[i], \\
&\quad \quad msource \mapsto i, \\
&\quad \quad mdest \mapsto j], \\
&\quad \quad m) \\
&\quad \wedge \text{UNCHANGED } \langle \text{state}, \text{currentTerm}, \text{candidateVars}, \text{leaderVars}, \text{logVars}, \\
&\quad \quad \text{instrumentationVars}, \text{hovercraftVars}, \text{Servers}, \text{netAggVars} \rangle
\end{aligned}$$

Server  $i$  receives a *RequestVote* response from server  $j$  with  
 $m.mterm = \text{currentTerm}[i]$ .

$$\begin{aligned}
&\text{HandleRequestVoteResponse}(i, j, m) \triangleq \\
&\quad \text{This tallies votes even when the current state is not } \textit{Candidate}, \text{ but} \\
&\quad \text{they won't be looked at, so it doesn't matter.} \\
&\quad \wedge m.mterm = \text{currentTerm}[i] \\
&\quad \wedge \text{votesResponded}' = [\text{votesResponded} \text{ EXCEPT } ![i] = \\
&\quad \quad \text{votesResponded}[i] \cup \{j\}] \\
&\quad \wedge \vee \wedge m.mvoteGranted \\
&\quad \quad \wedge \text{votesGranted}' = [\text{votesGranted} \text{ EXCEPT } ![i] = \\
&\quad \quad \quad \text{votesGranted}[i] \cup \{j\}] \\
&\quad \wedge \text{voterLog}' = [\text{voterLog} \text{ EXCEPT } ![i] = \\
&\quad \quad \text{voterLog}[i] @@ (j :> m.mlog)]
\end{aligned}$$

$\vee \wedge \neg m.mvoteGranted$   
 $\wedge \text{UNCHANGED } \langle votesGranted, voterLog \rangle$   
 $\wedge \text{Discard}(m)$   
 $\wedge \text{UNCHANGED } \langle serverVars, votedFor, leaderVars, logVars,$   
 $\quad instrumentationVars, hovercraftVars, Servers, netAggVars \rangle$

Responses with stale terms are ignored.

$\text{DropStaleResponse}(i, j, m) \triangleq$   
 $\wedge m.mterm < currentTerm[i]$   
 $\wedge \text{Discard}(m)$   
 $\wedge \text{UNCHANGED } \langle serverVars, candidateVars, leaderVars, logVars,$   
 $\quad instrumentationVars, hovercraftVars, Servers, netAggVars \rangle$

\*\*\*\*\* AppendEntries \*\*\*\*\*

Leader  $i$  ingests a request  $v$  that has been replicated to its unordered set.

$\text{LeaderIngestHovercraftRequest}(i, vt) \triangleq$   
 $\wedge state[i] = Leader$   
 $\wedge vt \in unorderedRequests[i]$  Request ID is pending for the leader  
 $\wedge vt \in \text{DOMAIN } switchBuffer$  use switch buffer to reduce payload duplication  
 $\wedge maxc < MaxClientRequests$   
 $\wedge \text{LET } entryFromBuffer \triangleq switchBuffer[vt]$   
 $\quad v \triangleq vt[1]$  Extract value from  $\langle value, term \rangle$  pair  
 $\quad$  Use leader's current term, keep value and payload from buffer  
 $\quad newEntry \triangleq [term \mapsto currentTerm[i],$   
 $\quad \quad value \mapsto v,$   
 $\quad \quad payload \mapsto entryFromBuffer.payload]$   
 $\quad entryExists \triangleq \exists k \in \text{DOMAIN } log[i] :$   
 $\quad \quad log[i][k].value = v \wedge log[i][k].term = newEntry.term$   
 $\quad newLog \triangleq \text{IF } entryExists \text{ THEN } log[i] \text{ ELSE } Append(log[i], newEntry)$   
 $\quad newEntryIndex \triangleq Len(log[i]) + 1$   
 $\quad newEntryKey \triangleq \langle newEntryIndex, newEntry.term \rangle$   
 IN  
 $\wedge log' = [log \text{ EXCEPT } ![i] = newLog]$   
 $\wedge maxc' = \text{IF } entryExists \text{ THEN } maxc \text{ ELSE } maxc + 1$   
 $\wedge entryCommitStats' =$   
 $\quad \text{IF } \neg entryExists \wedge newEntryIndex > 0$   
 $\quad \quad \text{THEN } entryCommitStats @ @ (newEntryKey :> [sentCount \mapsto 0,$   
 $\quad \quad \quad ackCount \mapsto 0, committed \mapsto \text{FALSE}])$   
 $\quad \quad \text{ELSE } entryCommitStats$   
 $\wedge unorderedRequests' = [unorderedRequests \text{ EXCEPT } ![i] = @ \setminus \{vt\}]$   
 $\wedge \text{UNCHANGED } \langle messages, serverVars, candidateVars, leaderVars,$   
 $\quad commitIndex, leaderCount, switchIndex, switchBuffer,$   
 $\quad Servers, switchSentRecord, netAggVars \rangle$



Client sends a request to the *Switch*, which buffers it,  
not yet replicated to servers  
*s* is the *Switch*, *i* is the leader, *v* is the request value  
(along with term will represent a request *ID* in this model)

$$\begin{aligned}
& \text{SwitchClientRequest}(s, i, v) \triangleq \\
& \quad \wedge \text{state}[s] = \text{Switch} \quad \text{Only the switch server can process client requests} \\
& \quad \wedge \text{state}[i] = \text{Leader} \\
& \quad \wedge \text{LET } vt \triangleq \langle v, \text{currentTerm}[i] \rangle \quad \text{Create } \langle \text{value}, \text{term} \rangle \text{ pair} \\
& \quad \text{IN} \\
& \quad \quad \wedge vt \notin \text{DOMAIN } \text{switchBuffer} \quad \text{Only process new requests} \\
& \quad \quad \wedge \text{LET } \text{entryWithPayload} \triangleq [\text{term} \mapsto \text{currentTerm}[i], \\
& \quad \quad \quad \text{value} \mapsto v, \text{payload} \mapsto v] \\
& \quad \quad \text{IN} \\
& \quad \quad \quad \wedge \text{switchBuffer}' = \text{switchBuffer} @@ (vt :> \text{entryWithPayload}) \\
& \quad \quad \quad \wedge \text{unorderedRequests}' = \\
& \quad \quad \quad \quad [\text{unorderedRequests} \text{ EXCEPT } ![s] = \text{unorderedRequests}[s] \cup \{vt\}] \\
& \quad \wedge \text{UNCHANGED } \langle \text{messages}, \text{serverVars}, \text{candidateVars}, \text{leaderVars}, \text{logVars}, \\
& \quad \quad \text{leaderCount}, \text{entryCommitStats}, \text{switchIndex}, \text{maxc}, \\
& \quad \quad \text{Servers}, \text{switchSentRecord}, \text{netAggVars} \rangle
\end{aligned}$$

check that *Server i* is not a *Switch* or *NetAgg*  
 $\text{RaftState}(i) \triangleq \text{state}[i] \notin \{\text{Switch}, \text{NetAgg}\}$

The *Switch* replicates *vt* to ALL servers at once (except those that already have it).  
This reduces state space by avoiding intermediate states  
where only some servers have received the request.

$$\begin{aligned}
& \text{SwitchClientRequestReplicateAll}(s, vt) \triangleq \\
& \quad \wedge \text{state}[s] = \text{Switch} \quad \text{Only the switch server can replicate requests} \\
& \quad \wedge vt \in \text{unorderedRequests}[s] \quad \text{Request must be pending at the switch} \\
& \quad \wedge \text{LET} \quad \text{Find all servers that haven't received this } v/\text{term} \text{ pair yet} \\
& \quad \quad \text{targetServers} \triangleq \{i \in \text{Server} : \text{RaftState}(i) \wedge vt \notin \text{switchSentRecord}[i]\} \\
& \quad \text{IN} \\
& \quad \quad \wedge \text{targetServers} \neq \{\} \quad \text{At least one server needs the request} \\
& \quad \quad \wedge \text{unorderedRequests}' = [i \in \text{Server} \mapsto \\
& \quad \quad \quad \text{IF } i \in \text{targetServers} \\
& \quad \quad \quad \quad \text{THEN } \text{unorderedRequests}[i] \cup \{vt\} \\
& \quad \quad \quad \quad \text{ELSE } \text{unorderedRequests}[i]] \\
& \quad \quad \wedge \text{switchSentRecord}' = [i \in \text{Server} \mapsto \\
& \quad \quad \quad \text{IF } i \in \text{targetServers} \\
& \quad \quad \quad \quad \text{THEN } \text{switchSentRecord}[i] \cup \{vt\} \\
& \quad \quad \quad \quad \text{ELSE } \text{switchSentRecord}[i]] \\
& \quad \wedge \text{UNCHANGED } \langle \text{messages}, \text{serverVars}, \text{candidateVars}, \text{leaderVars}, \text{logVars}, \\
& \quad \quad \text{leaderCount}, \text{entryCommitStats}, \text{switchIndex}, \text{switchBuffer}, \\
& \quad \quad \text{maxc}, \text{Servers}, \text{netAggVars} \rangle
\end{aligned}$$

Follower  $i$  drops/loses one request  $vt$  from its unordered requests

This simulates network loss or follower crash scenarios

$FollowerDropRequest(i, vt) \triangleq$   
 $\wedge state[i] = Follower$  Only followers can drop requests  
 $\wedge vt \in unorderedRequests[i]$  Request must exist in follower's buffer  
 $\wedge vt \in DOMAIN\ switchBuffer$  Request must still exist in switch buffer  
 $\wedge unorderedRequests' = [unorderedRequests\ EXCEPT\ ![i] = @ \setminus \{vt\}]$   
 $\wedge UNCHANGED\ \langle messages, serverVars, candidateVars, leaderVars, logVars,$   
 $instrumentationVars, switchIndex, switchBuffer,$   
 $switchSentRecord, Servers, netAggVars \rangle$

Leader  $i$  sends  $AppendEntries$  to  $NetAgg$  instead of directly to followers

$AppendEntriesToNetAgg(i) \triangleq$   
 $\wedge state[i] = Leader$   
 $\wedge state[netAggIndex] = NetAgg$   
 $\wedge Len(log[i]) > 0$   
 $\wedge LET\ nextIndexMin \triangleq Min(\{nextIndex[i][j] : j \in Servers \setminus \{i\}\})$   
 $IN\ nextIndexMin \leq Len(log[i])$   
 $\wedge LET\ entryIndex \triangleq Min(\{nextIndex[i][j] : j \in Servers \setminus \{i\}\})$   
 $entry \triangleq log[i][entryIndex]$   
 $entryMetadata \triangleq [term \mapsto entry.term, value \mapsto entry.value]$   
 $entries \triangleq \langle entryMetadata \rangle$   
 $prevLogIndex \triangleq entryIndex - 1$   
 $prevLogTerm \triangleq IF\ prevLogIndex > 0\ THEN$   
 $log[i][prevLogIndex].term$   
 $ELSE\ 0$   
 $IN\ Send([mtype \mapsto AppendEntriesNetAggRequest,$   
 $mterm \mapsto currentTerm[i],$   
 $mprevLogIndex \mapsto prevLogIndex,$   
 $mprevLogTerm \mapsto prevLogTerm,$   
 $mentries \mapsto entries,$   
 $mentryIndex \mapsto entryIndex,$   
 $mlog \mapsto log[i],$   
 $mcommitIndex \mapsto Min(\{commitIndex[i], entryIndex\}),$   
 $msource \mapsto i,$   
 $mdest \mapsto netAggIndex])$   
 $\wedge UNCHANGED\ \langle serverVars, candidateVars, leaderVars, logVars,$   
 $instrumentationVars, hovercraftVars, netAggVars, Servers, netAggVars \rangle$

$NetAgg$  receives  $AppendEntries$  from leader and forwards to ALL followers atomically

$NetAggForwardAppendEntriesAll(m) \triangleq$   
 $\wedge m.mdest = netAggIndex$   
 $\wedge m.mtype = AppendEntriesNetAggRequest$   
 $\wedge netAggCurrentLeaderTerm \neq Nil$   $NetAgg$  must be active  
 $\wedge netAggCurrentLeaderTerm.leader = m.msource$  Request from current assigned leader

$\wedge \text{netAggCurrentLeaderTerm.term} = m.\text{mterm}$   
 $\wedge \text{LET } \text{leaderId} \triangleq m.\text{msource}$   
 $\text{followers} \triangleq \text{Servers} \setminus \{\text{leaderId}\}$  All servers except the leader  
 Create the set of messages to send to all followers  
 $\text{followerMessages} \triangleq \{[ \text{mtype} \mapsto \text{AppendEntriesRequest},$   
 $\text{mterm} \mapsto m.\text{mterm},$   
 $\text{mprevLogIndex} \mapsto m.\text{mprevLogIndex},$   
 $\text{mprevLogTerm} \mapsto m.\text{mprevLogTerm},$   
 $\text{mentries} \mapsto m.\text{mentries},$   
 $\text{mlog} \mapsto m.\text{mlog},$   
 $\text{mcommitIndex} \mapsto m.\text{mcommitIndex},$   
 $\text{msource} \mapsto \text{netAggIndex},$   
 $\text{mdest} \mapsto f,$   
 $\text{moriginalLeader} \mapsto \text{leaderId}]$   
 $: f \in \text{followers}\}$   
 Remove the processed message and add all new messages  
 $\text{RemainingActiveMessages} \triangleq \text{ValidMessage}(\text{WithoutMessage}(m, \text{messages}))$   
 Update *sentCount* for this entry  
 $\text{entryIndex} \triangleq m.\text{mentryIndex}$   
 $\text{entryTerm} \triangleq m.\text{mentries}[1].\text{term}$   
 $\text{entryKey} \triangleq \langle \text{entryIndex}, \text{entryTerm} \rangle$   
 IN  
 $\wedge \text{messages}' = [\text{msgRec} \in \text{RemainingActiveMessages} \cup \text{followerMessages} \mapsto 1]$   
 $\wedge \text{netAggPendingEntries}' = \text{netAggPendingEntries} \cup$   
 $\{[\text{entryIndex} \mapsto m.\text{mentryIndex},$   
 $\text{entryTerm} \mapsto m.\text{mentries}[1].\text{term},$   
 $\text{leaderId} \mapsto \text{leaderId},$   
 $\text{ackCount} \mapsto 0,$   
 $\text{acksFrom} \mapsto \{\}\}]$   
 $\wedge \text{entryCommitStats}' =$   
 IF  $\text{entryKey} \in \text{DOMAIN } \text{entryCommitStats} \wedge \neg \text{entryCommitStats}[\text{entryKey}].\text{committed}$   
 THEN  $[\text{entryCommitStats} \text{ EXCEPT } ![\text{entryKey}].\text{sentCount} = @ + \text{Cardinality}(\text{followers})]$   
 ELSE  $\text{entryCommitStats}$   
 $\wedge \text{UNCHANGED } \langle \text{serverVars}, \text{candidateVars}, \text{leaderVars}, \text{logVars},$   
 $\text{leaderCount}, \text{maxc}, \text{hovercraftVars},$   
 $\text{netAggIndex}, \text{netAggMatchIndex}, \text{netAggCommitIndex},$   
 $\text{netAggCurrentLeaderTerm}, \text{Servers} \rangle$   
 NetAgg receives *AppendEntries* response from follower  
 $\text{NetAggHandleAppendEntriesResponse}(m) \triangleq$   
 $\wedge m.\text{mtype} = \text{AppendEntriesResponse}$   
 $\wedge m.\text{mdest} = \text{netAggIndex}$  Message is for NetAgg  
 $\wedge \text{netAggCurrentLeaderTerm} \neq \text{Nil}$  NetAgg must be active  
 $\wedge m.\text{msuccess}$  Process successful ACKs, NACKs go to Leader for point to point recovery  
 $\wedge \exists \text{pending} \in \text{netAggPendingEntries} :$

```

 $\wedge m.msource \in (Servers \setminus \{pending.leaderId\})$ 
  Response is from a follower (in Servers) of the leader for this pending entry
 $\wedge m.mmatchIndex \geq pending.entryIndex$ 
  Follower acknowledged this entry (or beyond)
 $\wedge m.msource \notin pending.acksFrom$ 
  This is a new ACK from this follower for this item
 $\wedge$  (IF  $m.msource \notin \text{DOMAIN } netAggMatchIndex$ 
  THEN PrintT("DEBUG: m.msource NOT IN DOMAIN netAggMatchIndex")
    o "\n m.msource = "  $\circ ToString(m.msource)$ 
    o "\n m = "  $\circ ToString(m)$ 
    o "\n pending = "  $\circ ToString(pending)$ 
    o "\n netAggMatchIndex = "  $\circ ToString(netAggMatchIndex)$ 
    o "\n DOMAIN netAggMatchIndex = "  $\circ ToString(\text{DOMAIN } netAggMatchIndex)$ 
    o "\n Servers = "  $\circ ToString(Servers)$ 
    o "\n netAggCurrentLeaderTerm = "  $\circ ToString(netAggCurrentLeaderTerm)$ 
    o "\n netAggPendingEntries = "  $\circ ToString(netAggPendingEntries)$ 
    o "\n currentTerm = "  $\circ ToString(currentTerm)$ 
    o "\n state = "  $\circ ToString(state)$ 
    o "\n log length for m.msource = "  $\circ ToString(Len(log[m.msource]))$ 
    o "\n commitIndex for m.msource = "  $\circ ToString(commitIndex[m.msource])$ 
    o "\n All messages = "  $\circ ToString(messages)$  Might be very verbose
  )
  ELSE TRUE)
 $\wedge$  LET updatedPending  $\triangleq [pending \text{ EXCEPT } !.acksFrom = @ \cup \{m.msource\}]$ 
  RequiredFollowerAcks  $\triangleq Cardinality(Servers) \div 2$ 
  Leader has one, need this many more from followers
IN
 $\wedge m.msource \in \text{DOMAIN } netAggMatchIndex$ 
 $\wedge netAggMatchIndex' = [netAggMatchIndex \text{ EXCEPT } ![m.msource] = m.mmatchIndex]$ 
 $\wedge$  IF  $Cardinality(updatedPending.acksFrom) \geq RequiredFollowerAcks$ 
  THEN Majority reached, send AGG_COMMIT to all Raft Servers
  LET AggCommitMsgsSet  $\triangleq \{[mtype \mapsto AggCommit,$ 
     $mcommitIndex \mapsto pending.entryIndex,$ 
     $msource \mapsto netAggIndex,$ 
     $mdest \mapsto srv,$ 
     $mterm \mapsto pending.entryTerm]$ 
     $: srv \in Servers\}$  Send to all Raft servers
    Messages that were valid, excluding the one we just processed
  RemainingActiveMessages  $\triangleq ValidMessage(WithoutMessage(m, messages))$ 
IN
 $\wedge messages' = [msgRec \in RemainingActiveMessages \cup AggCommitMsgsSet \mapsto 1]$ 
This creates the new message bag:
- 'm' is effectively removed (as it's not in RemainingActiveMessages).
- All messages in AggCommitMsgsSet are added (or kept if already there by chance).
- All other previously active messages are preserved.

```

- All messages in the resulting bag have count 1, respecting *MyConstraint*.  
 $\wedge \text{netAggPendingEntries}' = \text{netAggPendingEntries} \setminus \{\text{pending}\}$   
 Remove committed entry from pending  
 $\wedge \text{netAggCommitIndex}' = \text{Max}(\{\text{netAggCommitIndex}, \text{pending.entryIndex}\})$   
 $\wedge \text{UNCHANGED } \langle \text{serverVars}, \text{candidateVars}, \text{leaderVars}, \text{logVars},$   
 $\text{instrumentationVars}, \text{hovercraftVars}, \text{netAggIndex},$   
 $\text{netAggCurrentLeaderTerm}, \text{Servers} \rangle$   
 ELSE Majority not yet reached  
 $\wedge \text{Discard}(m)$  This defines  $\text{messages}' = \text{WithoutMessage}(m, \text{messages})$   
 $\wedge \text{netAggPendingEntries}' = (\text{netAggPendingEntries} \setminus \{\text{pending}\}) \cup \{\text{updatedPending}\}$   
 $\wedge \text{UNCHANGED } \text{netAggCommitIndex}$   
 $\wedge \text{UNCHANGED } \langle \text{serverVars}, \text{candidateVars}, \text{leaderVars}, \text{logVars},$   
 $\text{instrumentationVars}, \text{hovercraftVars}, \text{netAggIndex}, \text{Servers},$   
 $\text{netAggCurrentLeaderTerm} \rangle$

Server receives *AGG\_COMMIT* from *NetAgg*  
 $\text{HandleAggCommit}(i, m) \triangleq$   
 $\wedge m.mtype = \text{AggCommit}$   
 $\wedge m.mdest = i$   
 $\wedge \text{state}[i] \in \{\text{Leader}, \text{Follower}\}$   
 $\wedge ((\text{state}[i] = \text{Leader} \wedge m.mterm = \text{currentTerm}[i]) \vee$   
 Leader: entry's term must match leader's current term  
 $(\text{state}[i] = \text{Follower} \wedge m.mterm \leq \text{currentTerm}[i]))$   
 Follower: entry's term can be current or older (but not newer)  
 $\wedge \text{LET } \text{receivedCommitIndex} \triangleq m.mcommitIndex$  Added for clarity  
 $\text{currentLogLen} \triangleq \text{Len}(\text{log}[i])$  Added: get current log length  
 $\text{newAdvancedCommitIndex} \triangleq \text{Max}(\{\text{commitIndex}[i], \text{receivedCommitIndex}\})$   
 Renamed & Logic: advance if  $m.mcommitIndex$  is higher  
 $\text{newCommitIndex} \triangleq \text{Min}(\{\text{newAdvancedCommitIndex}, \text{currentLogLen}\})$   
 Modified: cap at current log length  
 $\text{committedIndexes} \triangleq \{k \in \text{Nat} : \wedge k > \text{commitIndex}[i]$   
 $\wedge k \leq \text{newCommitIndex}\}$   
 $\text{keysToUpdate} \triangleq \text{IF } \text{state}[i] = \text{Leader}$   
 THEN  $\{key \in \text{DOMAIN } \text{entryCommitStats} :$   
 $\text{key}[1] \in \text{committedIndexes}\}$   
 ELSE  $\{\}$   
 IN  
 $\wedge \text{commitIndex}' = [\text{commitIndex} \text{ EXCEPT } ![i] = \text{newCommitIndex}]$   
 $\wedge \text{entryCommitStats}' = \text{IF } \text{state}[i] = \text{Leader}$   
 THEN  $[\text{key} \in \text{DOMAIN } \text{entryCommitStats} \mapsto$   
 IF  $\text{key} \in \text{keysToUpdate}$   
 THEN  $[\text{entryCommitStats}[\text{key}] \text{ EXCEPT } !.\text{committed} = \text{TRUE}]$   
 ELSE  $\text{entryCommitStats}[\text{key}]$   
 ELSE  $\text{entryCommitStats}$

$\wedge$  IF  $state[i] = Leader$   
 THEN  $\wedge nextIndex' = [nextIndex \text{ EXCEPT } ![i] =$   
 $[j \in Server \mapsto$   
 $\text{ IF } j \in Servers \setminus \{i\}$   
 $\text{ THEN } Max(\{nextIndex[i][j], newCommitIndex + 1\})$   
 $\text{ ELSE } nextIndex[i][j]]]$   
 $\wedge matchIndex' = [matchIndex \text{ EXCEPT } ![i] =$   
 $[j \in Server \mapsto$   
 $\text{ IF } j \in Servers \setminus \{i\}$   
 $\text{ THEN } Max(\{matchIndex[i][j], newCommitIndex\})$   
 $\text{ ELSE } matchIndex[i][j]]]$   
 ELSE UNCHANGED  $\langle nextIndex, matchIndex \rangle$   
 $\wedge Discard(m)$   
 $\wedge$  UNCHANGED  $\langle serverVars, candidateVars, log, maxc, leaderCount,$   
 $hovercraftVars, netAggVars, Servers, netAggVars \rangle$

Server  $i$  receives an *AppendEntries* request from server  $j$  with  
 $m.mterm \leq currentTerm[i]$ . This just handles  $m.entries$  of length 0 or 1, but  
 implementations could safely accept more by treating them the same as  
 multiple independent requests of 1 entry.

fails when *Leader* restarts

$HandleAppendEntriesRequest(i, j, m) \triangleq$   
 $LET \ logOk \triangleq \vee m.mprevLogIndex = 0$   
 $\vee \wedge m.mprevLogIndex > 0$   
 $\wedge m.mprevLogIndex \leq Len(log[i])$   
 $\wedge m.mprevLogTerm = log[i][m.mprevLogIndex].term$   
 $rejectHovercraftMismatchCondition \triangleq$   
 $\wedge m.mentries \neq \langle \rangle$   
 $\wedge LET \ entry \triangleq m.mentries[1]$   
 $\quad v \triangleq entry.value$   
 $\quad msgTerm \triangleq entry.term$   
 $IN \ \neg(\wedge \langle v, msgTerm \rangle \in unorderedRequests[i]$   
 $\quad \wedge \langle v, msgTerm \rangle \in DOMAIN \ switchBuffer$   
 $\quad \wedge switchBuffer[\langle v, msgTerm \rangle].term = msgTerm)$

Condition that triggers the CHOOSE for the leader; corner case *NACK*  
 $isReplyToLeaderCase \triangleq rejectHovercraftMismatchCondition \vee \neg logOk$

Check if a leader exists to be chosen for the reply  
 $canChooseLeaderForReply \triangleq \exists l\_exists \in Servers : state[l\_exists] = Leader$

$IN \ \wedge m.mterm \leq currentTerm[i]$   
 $\wedge \vee \wedge \text{ reject request branch}$   
 $\wedge ( \text{ conditions for rejecting the request}$   
 $\quad \vee m.mterm < currentTerm[i]$   
 $\quad \vee \wedge m.mterm = currentTerm[i]$

```

    ∧ state[i] = Follower
    ∧ ¬logOk
  ∨ ∧ m.mterm = currentTerm[i]
    ∧ state[i] = Follower
    ∧ rejectHovercraftMismatchCondition
)
∧ LET respondTo  $\triangleq$  IF isReplyToLeaderCase
    THEN CHOOSE  $l \in Servers$  : state[l] = Leader
    ELSE m.msource
IN  Reply([mtype       $\mapsto$  AppendEntriesResponse,
          mterm         $\mapsto$  currentTerm[i],
          msuccess      $\mapsto$  FALSE,
          mmatchIndex   $\mapsto$  0,
          msource       $\mapsto$  i,
          mdest         $\mapsto$  respondTo],
          m)
  ∧ UNCHANGED ⟨serverVars, logVars, unorderedRequests⟩

∨ return to follower state
  ∧ m.mterm = currentTerm[i]
  ∧ state[i] = Candidate
  ∧ state' = [state EXCEPT ![i] = Follower]
  ∧ UNCHANGED ⟨currentTerm, votedFor, logVars, messages,
               unorderedRequests⟩

∨ accept request
  ∧ m.mterm = currentTerm[i]
  ∧ state[i] = Follower
  ∧ logOk
  ∧ LET index  $\triangleq$  m.mprevLogIndex + 1
    respondToIfAccepted  $\triangleq$  m.msource respondTo will be m.msource here
  IN  ∨ already done with request or empty entries
    ∧ ∨ m.mentries = ⟨⟩
      ∨ ∧ m.mentries ≠ ⟨⟩
        ∧ Len(log[i]) ≥ index
        ∧ log[i][index].term = m.mentries[1].term
    ∧ commitIndex' = [commitIndex EXCEPT ![i] = m.mcommitIndex]
    ∧ Reply([mtype       $\mapsto$  AppendEntriesResponse,
          mterm         $\mapsto$  currentTerm[i],
          msuccess      $\mapsto$  TRUE,
          mmatchIndex   $\mapsto$  m.mprevLogIndex + Len(m.mentries),
          msource       $\mapsto$  i,
          mdest         $\mapsto$  respondToIfAccepted],
          m)
    ∧ UNCHANGED ⟨serverVars, log, unorderedRequests⟩

```

$\vee$  **conflict: remove 1 entry**  
 $\wedge m.mentries \neq \langle \rangle$   
 $\wedge \text{Len}(\log[i]) \geq \text{index}$   
 $\wedge \log[i][\text{index}].\text{term} \neq m.mentries[1].\text{term}$   
 $\wedge \text{LET } \text{newLog} \triangleq \text{SubSeq}(\log[i], 1, \text{index} - 1)$   
 $\text{IN } \log' = [\log \text{ EXCEPT } ![i] = \text{newLog}]$   
 $\wedge \text{UNCHANGED } \langle \text{serverVars}, \text{commitIndex}, \text{messages}, \text{unorderedRequests} \rangle$   
 $\vee$  **no conflict: append entry**  
 $\wedge m.mentries \neq \langle \rangle$   
 $\wedge \text{Len}(\log[i]) = m.mprevLogIndex$   
 $\wedge \neg \text{rejectHovercraftMismatchCondition}$   
 $\wedge \text{LET } \text{entryMetadata} \triangleq m.mentries[1]$   
 $\text{vt} \triangleq \langle \text{entryMetadata.value}, \text{entryMetadata.term} \rangle$   
 $\text{fullEntryFromCache} \triangleq \text{switchBuffer}[\text{vt}]$   
 $\text{entryForLocalLog} \triangleq [\text{term} \mapsto \text{entryMetadata.term},$   
 $\text{value} \mapsto \text{entryMetadata.value},$   
 $\text{payload} \mapsto \text{fullEntryFromCache.payload}]$   
 $\text{IN } \log' = [\log \text{ EXCEPT } ![i] = \text{Append}(\log[i], \text{entryForLocalLog})]$   
 $\wedge \text{unorderedRequests}' = [\text{unorderedRequests} \text{ EXCEPT } ![i] = @ \setminus \{\text{vt}\}]$   
 $\wedge \text{UNCHANGED } \langle \text{serverVars}, \text{commitIndex}, \text{messages} \rangle$   
 $\wedge \text{UNCHANGED } \langle \text{candidateVars}, \text{leaderVars}, \text{instrumentationVars},$   
 $\text{switchBuffer}, \text{switchIndex}, \text{switchSentRecord}, \text{Servers}, \text{netAggVars} \rangle$

Server  $i$  receives an *AppendEntries* response from server  $j$  with  
 $m.mterm = \text{currentTerm}[i]$ .

$\text{HandleAppendEntriesResponse}(i, j, m) \triangleq$   
 $\wedge m.mterm = \text{currentTerm}[i]$   
 $\wedge \vee \wedge m.msucccess \text{ **successful**}$   
 $\wedge \text{LET}$   
 $\text{newMatchIndex} \triangleq m.mmatchIndex$   
 $\text{entryKey} \triangleq \text{IF } \text{newMatchIndex} > 0 \wedge \text{newMatchIndex} \leq \text{Len}(\log[i])$   
 $\text{THEN } \langle \text{newMatchIndex}, \log[i][\text{newMatchIndex}].\text{term} \rangle$   
 $\text{ELSE } \langle 0, 0 \rangle \text{ **Invalid index or empty log**}$   
 $\text{IN } \wedge \text{nextIndex}' = [\text{nextIndex} \text{ EXCEPT } ![i][j] = m.mmatchIndex + 1]$   
 $\wedge \text{matchIndex}' = [\text{matchIndex} \text{ EXCEPT } ![i][j] = m.mmatchIndex]$   
 $\wedge \text{entryCommitStats}' =$   
 $\text{IF } \wedge \text{entryKey} \neq \langle 0, 0 \rangle$   
 $\wedge \text{entryKey} \in \text{DOMAIN } \text{entryCommitStats}$   
 $\wedge \neg \text{entryCommitStats}[\text{entryKey}].\text{committed}$   
 $\text{THEN } [\text{entryCommitStats} \text{ EXCEPT } ![\text{entryKey}].\text{ackCount} = @ + 1]$   
 $\text{ELSE } \text{entryCommitStats}$   
 $\vee \wedge \neg m.msucccess \text{ **not successful**}$   
 $\wedge \text{nextIndex}' = [\text{nextIndex} \text{ EXCEPT } ![i][j] =$   
 $\text{Max}(\{\text{nextIndex}[i][j] - 1, 1\})]$   
 $\wedge \text{UNCHANGED } \langle \text{matchIndex}, \text{entryCommitStats} \rangle$



$\wedge \text{Discard}(m)$   
 $\wedge \text{UNCHANGED } \langle \text{serverVars}, \text{candidateVars}, \text{logVars}, \text{maxc}, \text{leaderCount},$   
 $\text{hovercraftVars}, \text{Servers}, \text{netAggVars} \rangle$

Network state transitions

The network duplicates a message

$\text{DuplicateMessage}(m) \triangleq$   
 $\wedge \text{Send}(m)$   
 $\wedge \text{UNCHANGED } \langle \text{serverVars}, \text{candidateVars}, \text{leaderVars}, \text{logVars},$   
 $\text{instrumentationVars}, \text{hovercraftVars}, \text{Servers}, \text{netAggVars} \rangle$

The network drops a message

$\text{DropMessage}(m) \triangleq$   
 $\wedge \text{Discard}(m)$   
 $\wedge \text{UNCHANGED } \langle \text{serverVars}, \text{candidateVars}, \text{leaderVars}, \text{logVars},$   
 $\text{instrumentationVars}, \text{hovercraftVars}, \text{Servers}, \text{netAggVars} \rangle$

Receive a message.

$\text{Receive}(m) \triangleq$   
 $\text{LET } i \triangleq m.\text{mdest}$   
 $j \triangleq m.\text{msource}$   
 $\text{IN } \text{Any } \text{RPC with a newer term causes the recipient to advance}$   
 $\text{its term first. Responses with stale terms are ignored.}$   
 $\vee \text{UpdateTerm}(i, j, m)$   
 $\vee \wedge m.\text{mtype} = \text{RequestVoteRequest}$   
 $\wedge \text{HandleRequestVoteRequest}(i, j, m)$   
 $\vee \wedge m.\text{mtype} = \text{RequestVoteResponse}$   
 $\wedge \vee \text{DropStaleResponse}(i, j, m)$   
 $\vee \text{HandleRequestVoteResponse}(i, j, m)$   
 $\vee \wedge m.\text{mtype} = \text{AppendEntriesRequest}$   
 $\wedge \text{HandleAppendEntriesRequest}(i, j, m)$   
 $\vee \wedge m.\text{mtype} = \text{AppendEntriesResponse}$   
 $\wedge \vee \text{DropStaleResponse}(i, j, m)$   
 $\vee \text{HandleAppendEntriesResponse}(i, j, m)$

Modified. Leader  $i$  sends  $j$  an *AppendEntries* request containing exactly 1 entry.

While implementations may want to send more than 1 at a time, this spec uses just 1 because it minimizes atomic regions without loss of generality.

Sending empty entries is done for telling followers *Leader* is alive.

$\text{AppendEntries}(i, j) \triangleq$   
 $\wedge i \neq j$   
 $\wedge \text{state}[i] = \text{Leader}$   
 $\wedge \text{Len}(\text{log}[i]) > 0$   
 $\text{Only proceed if the leader has entries to send}$   
 $\wedge \text{nextIndex}[i][j] \leq \text{Len}(\text{log}[i])$

```

    Only proceed if there are entries to send to this follower
     $\wedge \text{matchIndex}[i][j] < \text{nextIndex}[i][j]$ 
    Only send if follower hasn't already acknowledged this index
     $\wedge \text{LET } \text{entryIndex} \triangleq \text{nextIndex}[i][j]$ 
       $\text{entry} \triangleq \text{log}[i][\text{entryIndex}]$ 
       $\text{entryMetadata} \triangleq [\text{term} \mapsto \text{entry.term}, \text{value} \mapsto \text{entry.value}]$ 
       $\text{entries} \triangleq \langle \text{entryMetadata} \rangle$ 
       $\text{entryKey} \triangleq \langle \text{entryIndex}, \text{entry.term} \rangle$ 
       $\text{prevLogIndex} \triangleq \text{entryIndex} - 1$ 
       $\text{prevLogTerm} \triangleq \text{IF } \text{prevLogIndex} > 0 \text{ THEN}$ 
         $\text{log}[i][\text{prevLogIndex}].\text{term}$ 
      ELSE
        0
    Send up to 1 entry, constrained by the end of the log.
     $\text{lastEntry} \triangleq \text{Min}(\{\text{Len}(\text{log}[i]), \text{nextIndex}[i][j]\})$ 
     $\text{entries} \triangleq \text{SubSeq}(\text{log}[i], \text{nextIndex}[i][j], \text{lastEntry})$ 

  IN   $\text{Send}([\text{mtype} \mapsto \text{AppendEntriesRequest},$ 
       $\text{mterm} \mapsto \text{currentTerm}[i],$ 
       $\text{mprevLogIndex} \mapsto \text{prevLogIndex},$ 
       $\text{mprevLogTerm} \mapsto \text{prevLogTerm},$ 
       $\text{mentries} \mapsto \text{entries},$ 
       $\text{mlog}$  is used as a history variable for the proof.
      It would not exist in a real implementation.
       $\text{mlog} \mapsto \text{log}[i],$ 
       $\text{mcommitIndex} \mapsto \text{Min}(\{\text{commitIndex}[i], \text{entryIndex}\}),$ 
       $\text{msource} \mapsto i,$ 
       $\text{mdest} \mapsto j])$ 
     $\wedge \text{entryCommitStats}' =$ 
      IF  $\text{entryKey} \in \text{DOMAIN } \text{entryCommitStats}$ 
         $\wedge \neg \text{entryCommitStats}[\text{entryKey}].\text{committed}$ 
        THEN  $[\text{entryCommitStats} \text{ EXCEPT } ![\text{entryKey}].\text{sentCount} = @ + 1]$ 
      ELSE  $\text{entryCommitStats}$ 
     $\wedge \text{UNCHANGED } \langle \text{serverVars}, \text{candidateVars}, \text{leaderVars}, \text{logVars}, \text{maxc},$ 
       $\text{leaderCount}, \text{hovercraftVars}, \text{Servers}, \text{netAggVars} \rangle$ 

  MySwitchPlusPlusNext  $\triangleq$ 
    Switch actions (client request handling)
     $\vee \exists i \in \text{Servers}, v \in \text{Value} :$ 
       $\text{state}[i] = \text{Leader} \wedge \text{SwitchClientRequest}(\text{switchIndex}, i, v)$ 
     $\vee \exists v \in \text{DOMAIN } \text{switchBuffer} :$ 
       $\text{SwitchClientRequestReplicateAll}(\text{switchIndex}, v)$ 
     $\vee \exists i \in \text{Servers}, v \in \text{DOMAIN } \text{switchBuffer} :$ 
       $\text{state}[i] = \text{Leader} \wedge \text{LeaderIngestHovercRaftRequest}(i, v)$ 

```

*NetAgg* path: *Leader* sends to *NetAgg* instead of direct *AppendEntries*

$\forall \exists i \in \text{Servers} :$

$\text{state}[i] = \text{Leader} \wedge \text{AppendEntriesToNetAgg}(i)$

$\forall \exists m \in \{msg \in \text{ValidMessage}(\text{messages}) :$

$msg.mtype = \text{AppendEntriesNetAggRequest}\} : \text{NetAggForwardAppendEntriesAll}(m)$

Regular message handling (for *AppendEntries* from *NetAgg* to followers)

Our spec assumes an aggregator *NetAgg* is available

$\forall \exists m \in \{msg \in \text{ValidMessage}(\text{messages}) :$

$msg.mtype \in \{\text{AppendEntriesRequest}\} :$

$\text{Receive}(m)$

$\forall \exists m \in \{msg \in \text{ValidMessage}(\text{messages}) :$

$msg.mtype = \text{AppendEntriesResponse} \wedge$

$msg.mdest = \text{netAggIndex}\} :$

$\text{NetAggHandleAppendEntriesResponse}(m)$

Handle *AGG\_COMMIT*

$\forall \exists i \in \text{Servers}, m \in \{msg \in \text{ValidMessage}(\text{messages}) :$

$msg.mtype = \text{AggCommit}\} : m.mdest = i \wedge \text{HandleAggCommit}(i, m)$

Handle *AppendEntriesResponse* failing messages that go to leader

to be enabled for point to point recovery *todo!*

$\forall \exists m \in \{msg \in \text{ValidMessage}(\text{messages}) :$

$msg.mtype = \text{AppendEntriesResponse} \wedge$

$msg.mdest \in \text{Servers} \wedge \text{state}[msg.mdest] = \text{Leader}\} :$

LET  $i \triangleq m.mdest$

$j \triangleq m.msource$

IN  $\text{AppendEntries}(i, j)$

Leader doesn't use *AdvanceCommitIndex* in *HovercRaft++*

Commit advancement happens via *AGG\_COMMIT*

Server crash and recovery actions for the bonus exercise

$\forall \exists i \in \text{Servers} : \text{Restart}(i)$

Allow servers to crash and restart

Leader election actions (optional for handling leader crashes)

$\forall \exists i \in \text{Servers} : \text{Timeout}(i)$

Allow followers to timeout and start elections

$\forall \exists i \in \text{Servers} : \text{BecomeLeader}(i)$

Allow candidates to become leaders

$\forall \exists i, j \in \text{Servers} : \text{RequestVote}(i, j)$

Allow vote requests

$\forall \exists m \in \{msg \in \text{ValidMessage}(\text{messages}) :$

$msg.mtype \in \{\text{RequestVoteRequest}, \text{RequestVoteResponse}\} :$

$\text{Receive}(m)$  Handle vote messages

Follower request dropping (for testing failure scenarios)

$\forall \exists i \in \text{Servers} : \exists vt \in \text{unorderedRequests}[i] :$

$\text{state}[i] = \text{Follower} \wedge \text{FollowerDropRequest}(i, vt)$

$$\begin{aligned} MySwitchPlusPlusSpec &\triangleq MyInit \wedge \Box[MySwitchPlusPlusNext]_{vars} \\ Spec &\triangleq Init \wedge \Box[MySwitchPlusPlusNext]_{vars} \end{aligned}$$

———— Invariants ————

$$\begin{aligned} MoreThanOneLeaderInv &\triangleq \\ \forall i, j \in Server : & \\ (\wedge currentTerm[i] = currentTerm[j] & \\ \wedge state[i] = Leader & \\ \wedge state[j] = Leader) & \\ \Rightarrow i = j & \end{aligned}$$

Every (index, term) pair determines a *log* prefix.

From page 8 of the Raft paper: “If two logs contain an entry with the same index and term, then the logs are identical in all preceding entries.”

$$\begin{aligned} LogMatchingInv &\triangleq \\ \forall i, j \in Server : i \neq j \Rightarrow & \\ \forall n \in 1 \dots \min(Len(log[i]), Len(log[j])) : & \\ log[i][n].term = log[j][n].term \Rightarrow & \\ SubSeq(log[i], 1, n) = SubSeq(log[j], 1, n) & \end{aligned}$$

The committed entries in every *log* are a prefix of the leader’s *log* up to the leader’s term (since a next *Leader* may already be elected without the old leader stepping down yet)

$$\begin{aligned} LeaderCompletenessInv &\triangleq \\ \forall i \in Server : & \\ state[i] = Leader \Rightarrow & \\ \forall j \in Server : i \neq j \Rightarrow & \\ CheckIsPrefix(CommittedTermPrefix(j, currentTerm[i]), log[i]) & \end{aligned}$$

Committed *log* entries should never conflict between servers

$$\begin{aligned} LogInv &\triangleq \\ \forall i, j \in Server : & \\ \vee CheckIsPrefix(Committed(i), Committed(j)) & \\ \vee CheckIsPrefix(Committed(j), Committed(i)) & \end{aligned}$$

Note that *LogInv* checks for safety violations across space

This is a key safety invariant and should always be checked

$$\begin{aligned} \text{THEOREM } MySwitchPlusPlusSpec \Rightarrow & (\Box LogInv \wedge \Box LeaderCompletenessInv \\ & \wedge \Box LogMatchingInv \wedge \Box MoreThanOneLeaderInv) \end{aligned}$$

fake inv to obtain a trace and observe progress for client requests advancing to committed.

$$\begin{aligned} LeaderCommitted &\triangleq \\ \exists i \in Servers : commitIndex[i] \neq 2 & \end{aligned}$$

## References

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- [3] Kogias, M., Prekas, G., Ghosn, A., Fietz, J., Bugnion, E.: R2p2: making rpcs first-class datacenter citizens. In: Proceedings of the 2019 USENIX Conference on Usenix Annual Technical Conference. USENIX ATC '19, pp. 863–879. USENIX Association, USA (2019)