

Masters Thesis Exposé in Computer Science

# Extending Semantic Hypergraphs by Neural Embedding-based Semantic Similarity for Pattern Matching

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# 1 Introduction

A significant part of the social world is nowadays being represented by digitally manifested text. Examples for this range from instant messaging, social media and any form of collective web activity to encyclopaedic websites, digitized libraries and government intelligence. The amount and richness of available social text makes it a valuable data source for social science research while simultaneously creating an interest in automatic systems to analyze these texts on a large scale (Evans and Aceves 2016). Such research can be understood as part of the domain of *Computational Social Science* (CSS) (Lazer et al. 2009).

Systems based on techniques from the field of *Natural Language Processing* (NLP), as well as the interlinked fields of *Information Retrieval* (IR) and *Information Extraction* (IE), have demonstrated great success in a variety of task related to text analysis. This success is largely attributed to the advancements of applying of *Machine Learning* (ML) and especially *Deep Learning* (DL) methods to text (Hirschberg and Manning 2015) (Qiu et al. 2020). While being very effective at predicting or decision making, ML- and specifically DL-based systems generally do not deliver an explanation for their judgement and can mostly be viewed as "black box models" that are not transparent in their prediction or decision making process (Rudin 2019). Conversely this transparency and explainability is of high interest in CSS applications such as predicting political opinion based on social media activity (Wilkerson and Casas 2017).

The *Semantic Hypergraph* (SH) (Menezes and Roth 2021) is a framework for representing and analyzing Natural Language (NL). *NL* sentences can be modelled as an ordered, recursive hypergraph which can be represented in a formal language. The framework allows to specify semantic patterns in this formal language which can be matched against an existing SH. It aims to provide an *open* and *adaptive* system to analyse text corpora, especially in the domain of CSS. The framework is *open* in the sense that its representation formalism is inspectable and intelligible by humans and that the pattern matching follows explicit rules. The framework is *adaptive* in the sense that the parsing is built from adaptive, ML-based subsystems and therefore allows for an error-tolerant parsing from *NL* to *SH* in regards to grammatical and syntactical correctness.

## 2 Problem Statement

CSS researches may typically be interested in retrieving statements of specific kind from a text corpus, such as expressions of sentiment of an actor towards some entity or expressions of conflicts between different actors. One approach for performing the retrieval would be to use a system which allows to specify some form of pattern which abstractly represents the statements they are trying to capture. This requires the definition of some form of formal pattern language<sup>1</sup> and possibly the prior transformation of the text corpus into some form of structured format to match against. Another approach is to use a system, which accepts example statements concretely representing the statements that are desired to be retrieved. Those systems may require a large number of positive and negative examples to be able to perform the retrieval. The two types of retrieval systems described here are in tendency situated in the realms of symbolic IR/IE and probabilistic ML/DL respectively.

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<sup>1</sup>The *Google Search* query language can be seen as a simple example of such a pattern language, albeit with a different use case focus: <https://support.google.com/websearch/answer/2466433?hl=en>

The SH framework is more situated in the former symbolic realm. In SH text is represented in the form of *hyperedges* (in the following also referred to as *edges* only). These edges are either atomic or they consist of edges themselves, which essentially accounts for the recursive character of the SH. Each edge has a specific *type* from a set of eight different types of which the most trivial two types are probably *concept* (C) and *predicate* (P).

Users of the SH framework (e.g. CSS researchers) can define patterns in the SH formalism to match against a text corpus (e.g. a collection of news articles) that has previously been parsed as an SH. These patterns may among other things specify the structure of the edges that should match it as well as their type (and the types of possible sub-edges). Additionally the actual words that should match need to be specified i.e. the content to match against, if the structure of an edge matches the pattern. There are additional operators in the pattern language such as the wildcard operator \*, which can be used e.g. to match every atomic edge of a specific type and therefore discard content.

To better illustrate the problem Hyperedge 2 and Hyperedge 1 demonstrate how NL sentences are parsed to SH based on this simplified introduction the SH representation.

( likes/P ann/C apples/C )

Hyperedge 1: SH representation for the sentence "Ann likes apples"

( likes/P ann/C bananas/C )

Hyperedge 2: SH representation for the sentence "Ann likes bananas"

Hyperedge 1 and Hyperedge 2 both follow the same structure, but differ in the content of the last sub-edge. Both edges are hence matched by Pattern 1, which does not specify content for this sub-edge. The SH pattern language also allows to define a pattern that matches both Hyperedge 1 and Hyperedge 2 via a list of words as in Pattern 2. However is not possible define a pattern that matches based on some form of *Semantic Relatedness* (SR) or *Semantic Similarity* (SS) (Harispe et al. 2015) regarding content. Referring to the example above this means using the SH framework it is not directly possible to retrieve every sentences that declares that "Ann likes *some kind of fruit*" or that "Ann likes *fruits similar to apples*". This former would require to provide a comprehensive list of every fruit while the latter would require the user to specify all fruits he deems similar to apples.

( likes/P Ann/C \*/C )

Pattern 1: "Ann-likes-something" pattern

( likes/P ann/C [apples, bananas]/C )

Pattern 2: "Ann likes apples or bananas" pattern

Utilizing some form of SR/SS regarding to edge content for the matching step would allow users to define more generalising patterns. There exists a great variety of approaches for determining the SR/SS of text, which have been divided into categories differently in the literature. One popular categorisation is to divide into *Knowledge-based measures*, *Corpus-based Measures* and *Neural Network/DL-based Measures* (Harispe et al. 2015, Section 1.3.2) (Chandrasekaran and Mago 2021) (Han et al. 2021).

The explicitness and interpretability that is desired by CSS researchers is generally best provided by the first type of approaches and worst by the last type. However DL-based

approaches have been outperforming most other approaches (Chandrasekaran and Mago 2021). They generally rely on computing a vector space representation (or embedding) of texts which can then be used to calculate their similarity and will therefore be referred to as *Neural Embedding-based Semantic Similarity* (NESS) measures in the following.

Word semantics generally depend on textual context and hence does the SS between words (Harispe et al. 2015, Section 2.2.3). Incorporating contextuality when extending the SH pattern matching process by SS therefore poses a central challenge. Context-dependent SS would allow to specify matching edge content beyond isolated word semantics, although this may not always be desirable or necessary as in the example above.

As illustrated earlier, NESS measures principally do not provide the explicitness that is inherent to the pattern matching process of the SH framework. In the sense of the adaptive-open classification described above an integration of NESS would mean a shift from openness to adaptivity in this regard. While the SH framework generally can be situated in the realm of symbolic approaches, this integration would build a bridge between it and the realm of probabilistic approaches.

### 3 Research Questions

**R** Can neural embedding-based semantic similarity regarding edge content be integrated into the pattern matching of the Semantic Hypergraph framework to allow for more generalising patterns while providing control over the adaptiveness and therefore loss of explicitness in the matching process?

**R.1** What neural embeddings model would be the most suitable for accurately assessing semantic similarity within the Semantic Hypergraph pattern matching process while effectively addressing the challenges posed by contextuality?

**R.2** To what extent does incorporating neural embedding-based semantic similarity improve the generalization performance (recall) and how does it impact precision when matching a pattern against a set of known desired matching results?

**R.3** How can adaptiveness and explicitness of the matching process be effectively and transparently balanced and controlled?

### 4 Proposed Solution

The solution proposed by this thesis to answer the above mentioned research questions is to conceptualize and implement a proof-of-concept and subject it to a suitable evaluation.

The implementation should fulfill the following criteria:

- Efficiently integrate NESS into the SH frameworks pattern matching process while maintaining its original functionality.
- Allow for different NESS models to be used to compare their respective pattern matching results and enable integration of future developments in the field of NESS.
- Allow for the integration of context into the NESS for the pattern matching while providing an effective way for the user to provide the necessary context references.

- Enable the user of the SH framework to control the adaptiveness of the pattern matching through e.g. a parameter.

Additionally the evaluation should be performed on text corpus which is of typical research interest in CSS and solve a retrieval/extraction task that also is typical for CSS research.

The evaluation should fulfill the following criteria:

- Show the difference in matching results between adaptive and standard pattern matching, both qualitatively and quantitatively.
- Show the difference in matching results for different NESS models, both qualitatively and quantitatively.
- Show the difference in matching results in relation to the controlled adaptiveness of the matching process, both qualitatively and quantitatively.

## 5 Approach

In order to implement the suggested solution, the following steps will be undertaken:

1. **Research** A study of the different types of NESS models will be carried out, paying particular attention to how they represent context. Those offering state-of-the-art performance and are best suited for integration into the SH pattern matching will be identified.
2. **Conceptualization** The most opportune point for NESS integration within the SH framework's matching process will be located. The parts of the SH framework that need modification for NESS integration, as well as the missing subsystems for employing NESS in the SH pattern matching process will be determined. This will take into account the requirements of the NESS models selected in the previous step.
3. **Implementation** Necessary subsystems will be added to the SH framework to enable NESS usage in the SH pattern matching. Additionally, the relevant parts of the SH framework will be modified.
4. **Evaluation** An evaluation framework will be constructed to perform the evaluations outlined earlier. Following Menezes and Roth 2021 it will be partly based on extracting statements of inter-actor conflict from a corpus of news headers (see section 5.1). Additionally it will be investigated if the "Event Causality Identification with Causal News Corpus"<sup>2</sup> task can be used for further evaluation.

### 5.1 Examples for inter-actor conflict statements

These statements have been extracted by matching against Pattern 3, which is taken from Menezes and Roth 2021 and uses pattern language syntax not introduced here to keep this expose more concise (namely argument roles, variables and the lemma function).

- "Syrian troops arrested 4 Turkish fighter jet pilots in Aleppo"
- "Switzerland gunman kills three in Daillon Valais"

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<sup>2</sup><https://github.com/tanfiona/CausalNewsCorpus>

- "Bolivia accuses United States of plotting against its President"
- $$( \text{PRED/P.so,x SOURCE/C TARGET/C [against,for,of,over]/T TOPIC/[RS] } ) \wedge$$
- $$( \text{lemma/J >PRED/P [accuse,arrest,clash,condemn,kill,slam,warn]/P } )$$

Pattern 3: Inter-actor conflict pattern

## 6 Provisional Outline

### 1 Introduction

### 2 Fundamentals and Related Work

#### 2.1 Semantic Hypergraph

#### 2.2 Semantic Similarity

#### 2.3 Embedding-based Similarity

### 3 Problem Statement

#### 3.1 Research Questions

### 4 Solution Approach

#### 4.1 Integration into the Pattern Matching Process

#### 4.2 Fixed Word Embedding-based Matching

#### 4.3 Contextual Embedding-based Matching

#### 4.4 Similarity Threshold Control

### 5 Implementation

#### 5.1 Relevant external Software Libraries used

#### 5.2 Modules newly added to the SH Framework

#### 5.3 Modifications of the SH Pattern Matching

#### 5.4 Modifications to the Hypergraph database

### 6 Results and Evaluation

#### 6.1 Case Study: Conflicts

### 7 Conclusion

### 8 Future Work

#### 8.1 Implementation Improvements

#### 8.2 Further Evaluations

## 2

[illegible]

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