

## "Normal" galaxy:

star-dominate emission.

↓ (atmosphere)

hydrodynamical, thermal equilibrium  $\Rightarrow \Sigma$  Planck spectrum

$$T: 3e3 K \sim 4e4 K \Rightarrow \lambda: 4e3 \text{ Å} \sim 2e4 \text{ Å}$$

if actively forming stars/dusts



young hot star  $\Rightarrow$  smaller  $\lambda$

larger  $\lambda \Rightarrow$  far-infrared

## "Active" galaxy

full wavelength emission: radio  $\sim$  X-ray

non-thermal emission.

strong, broad emission lines.

AGN

Table 14.1. Local number densities.

Type of object	Number density [Mpc <sup>-3</sup> ]
Field galaxies	$10^{-1}$
Luminous spirals	$10^{-2}$
Seyfert galaxies	$10^{-4}$
Radio galaxies	$10^{-6}$
QSOs	$10^{-7}$
Radio-loud quasars	$10^{-9}$

## How to define an AGN by observation?

- (i) a compact nuclear region much brighter than a region of the same size in a normal galaxy;
- (ii) non-stellar (non-thermal) continuum emission;
- (iii) strong emission lines;
- (iv) variability in continuum emission and/or in emission lines on relatively short time scales.

Population: Too boring, please see textbook, if you want details.

(a) Seyfert Galaxies: I, II, LINERs  $\Rightarrow$  difference: emission line.

(b) Radio Galaxies: strong radio emission

↳ subgroup: emission line  $\Rightarrow$  BLRGs/NLRGs

(broad/narrow line radio-galaxy)

radio morphology: FR I, FR II.

distance between the two most intense spots on either side of nucleus

V.S. half the overall source size.

radio spectral properties:

steep-spectrum, flat-spectrum

(c) Quasars and QSOs →  
↓  
Quasi-Stellar Object. → according to optical.

Quasi-Stellar Radio Source  
↓

now it's common to use them without distinction.

QSOs: most luminous AGNs, luminosity  $\sim 1000 L^*$  galaxy.

(d) BL Lac Objects and OVV<sub>s</sub> => grouped together in "Blazars"

OVVs: 1. optically violent variables

↓

strong and rapid

2. relatively strong polarization of optical

BL Lac Objects: strong radio emission

highly variable in optical and X-ray

strongly polarized radio and optical emission

How to explain AGN?

SMBH Paradigm:

some physical quantities: (simple spherical model)

1. Eddington luminosity of M<sub>BH</sub>

$$L_{\text{Edd}} = \frac{4\pi G c m_p}{\sigma_T} M_{\text{BH}} \approx 1.28 \times 10^{46} M_8 \text{ erg} \cdot \text{s}^{-1} \quad M_8 = M_{\text{BH}} / 10^8 M_\odot$$

2. for a given L, the minimum M<sub>BH</sub>:

$$M_{\text{BH}} = 8 \times 10^7 L_{46} M_\odot \quad L_{46} = L / 10^{46} \text{ erg} \cdot \text{s}^{-1}$$

3. accretion luminosity:

$$L = \frac{GM_{\text{BH}}}{r} \dot{m}_{\text{BH}}$$

4. rest mass of accrete material  $\Rightarrow$  radiation  $\sigma$  efficiency

$$\epsilon_r = \frac{L}{M_{\text{BH}} c^2} \quad \text{typical value: } 0.1$$

while  $H \rightarrow He : 0.007$

## Accretion disk:

a simplest model: axisymmetric, thin, optically thick, keplerian disk  
↓  
multi-temperature black-body spectrum.

mass and angular momentum conservation dominate evolution.

Undoubtedly, fact is more complicate.

## Continuum Emission:

from radio to  $\gamma$ -ray

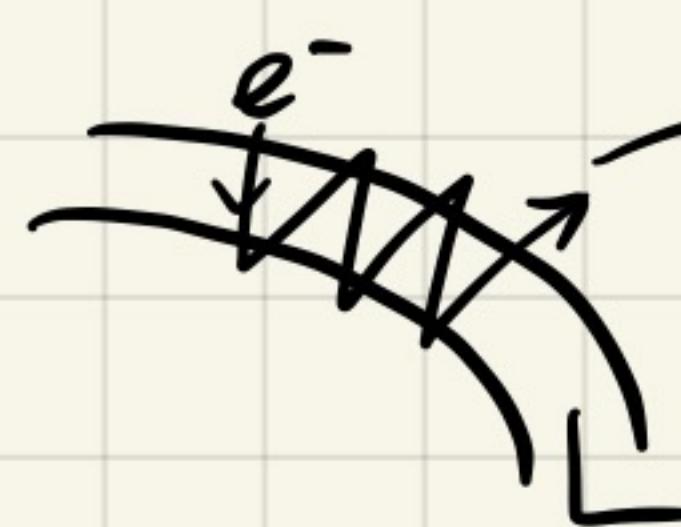
rough approximation: power law,  $F_\nu \propto \nu^{-\alpha} \quad 0 \leq \alpha \leq 1$

"brightness temperature"  $T_b \sim 10^{12} K$

↓  
if thermal emission  $\rightarrow$  gamma-ray  $\rightarrow$  observation defy  
so can't be thermal emission

## Relativistic electron

generation: 1st-order Fermi acceleration.



gain energy.

=> power-law

energy distribution for accelerated particle.

## Radiation Mechanism:

Synchrotron Emission.  $\Rightarrow$  radio emission  $\Rightarrow$  concentrated within a small beam

Inverse Compton process  $\Rightarrow$  energy > radio

S V.S. IC

$$\frac{\langle P_{IC} \rangle}{\langle P_s \rangle} \sim \left( \frac{T_b}{10^{12} K} \right)^5 \left( \frac{v_*}{1 \text{ GHz}} \right)$$

if  $T_b > 10^{12} K \Rightarrow \langle P_{IC} \rangle$  larger than  $\langle P_s \rangle$

cause: the cooling of the electrons by radiation is catastrophic

thus.  $T_b$  must  $< 10^{12} K$  to keep electrons relativistic.

Emission line

permitted line

forbidden line [ ] e.g. [O<sup>III</sup>]

semiforbidden line ] C<sup>II</sup> ]

typically in low density gas.

if gas is dense, relevant ions can be removed quickly from the excited state by collisions with electrons.

line luminosity volume due to collisional excitation:

$$\mathcal{L}_c = n_e A_{21} h_p v_{12} = \frac{n_e n_i P_{12} h_p v_{12}}{1 + n_e P_{21}/A_{21}}$$

spontaneous emission

$$\approx \begin{cases} n_e n_i P_{12} h_p v_{12} & (\text{if } A_{21} \gg n_e P_{21}) \\ n_i (P_{12}/P_{21}) A_{21} h_p v_{12} & (\text{if } A_{21} \ll n_e P_{21}) \end{cases}$$

$\rightarrow n_e - \frac{A_{21}}{P_{21}}$

collision-caused probability

low density:  $n_e \ll \frac{A_{21}}{P_{21}}$   $\Rightarrow \mathcal{L}_c$  independent of  $A_{21}$

$\hookrightarrow$  both p-line & f-line generate

high density:  $n_e \gg \frac{A_{21}}{P_{21}}$  for f-line but not for p-line

f-line is reduced by a factor of  $A_{21}/n_e P_{21}$

$\Rightarrow \frac{\text{f-line}}{\text{p-line}}$  as a tracer of emitting gas.

two important lines: [O<sup>III</sup>], C<sup>II</sup>]

critical electron density:  $\sim 10^6 \text{ cm}^{-3}$   $\sim 10^{10} \text{ cm}^{-3}$   $\rightarrow \frac{A_{21}}{P_{21}}$

broad lines  $\Leftrightarrow v \geq 10^3 \text{ km/s}$   $\Rightarrow$  only p-line  $\rightarrow$  high  $v$ , high  $P$

narrow lines  $\Leftrightarrow v \sim 10^2 \text{ km/s}$   $\Rightarrow$  p-line & f-line  $\rightarrow$  low  $v$ , low  $P$

How is the emitting gas ionized?

1. collisional process

2. photoionization.

get properties of source by fitting.

\* BPT diagram.

Line ratio to separate AGN, star-forming galaxies.

emission line due to HII regions generated by young massive star.

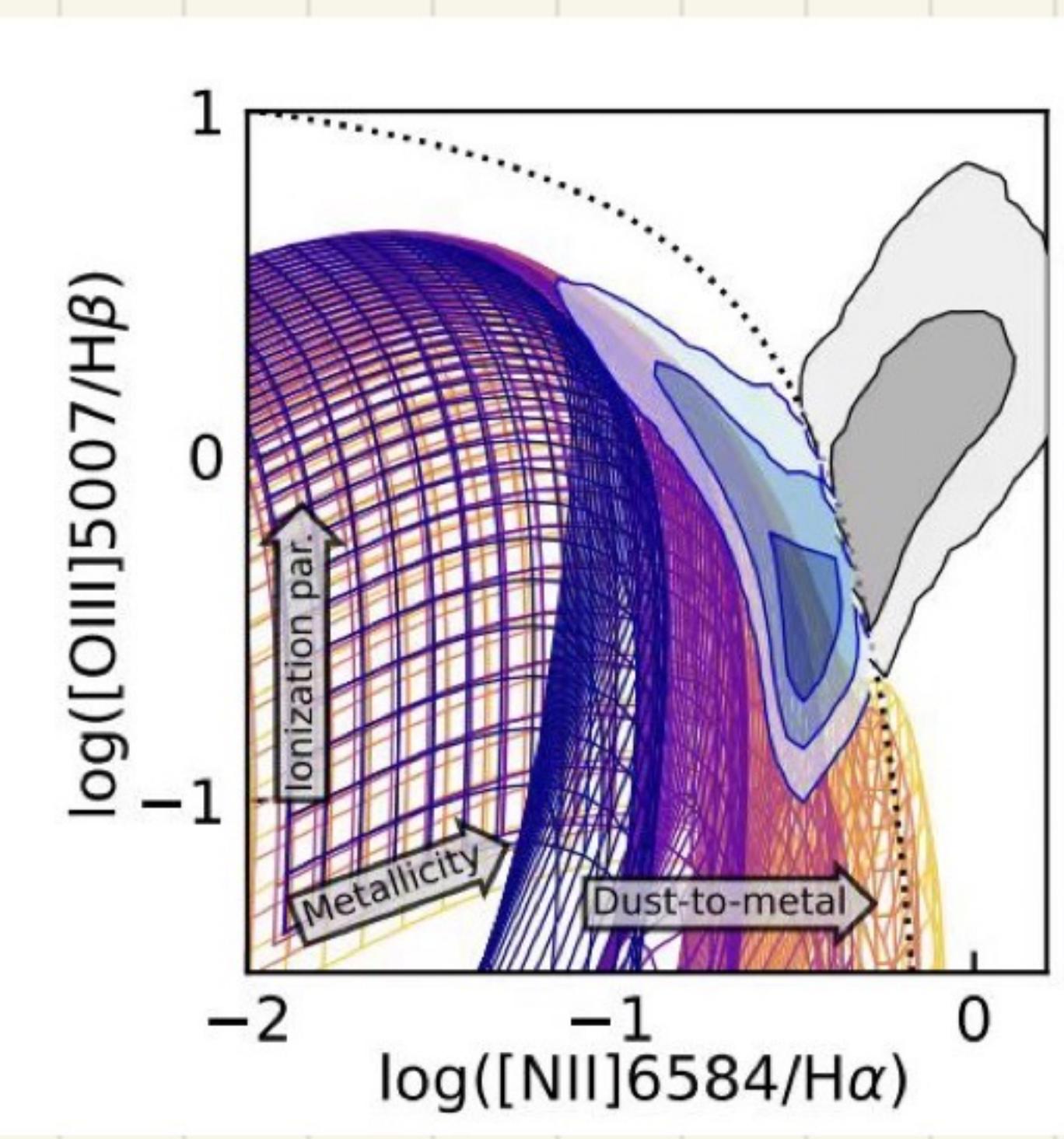
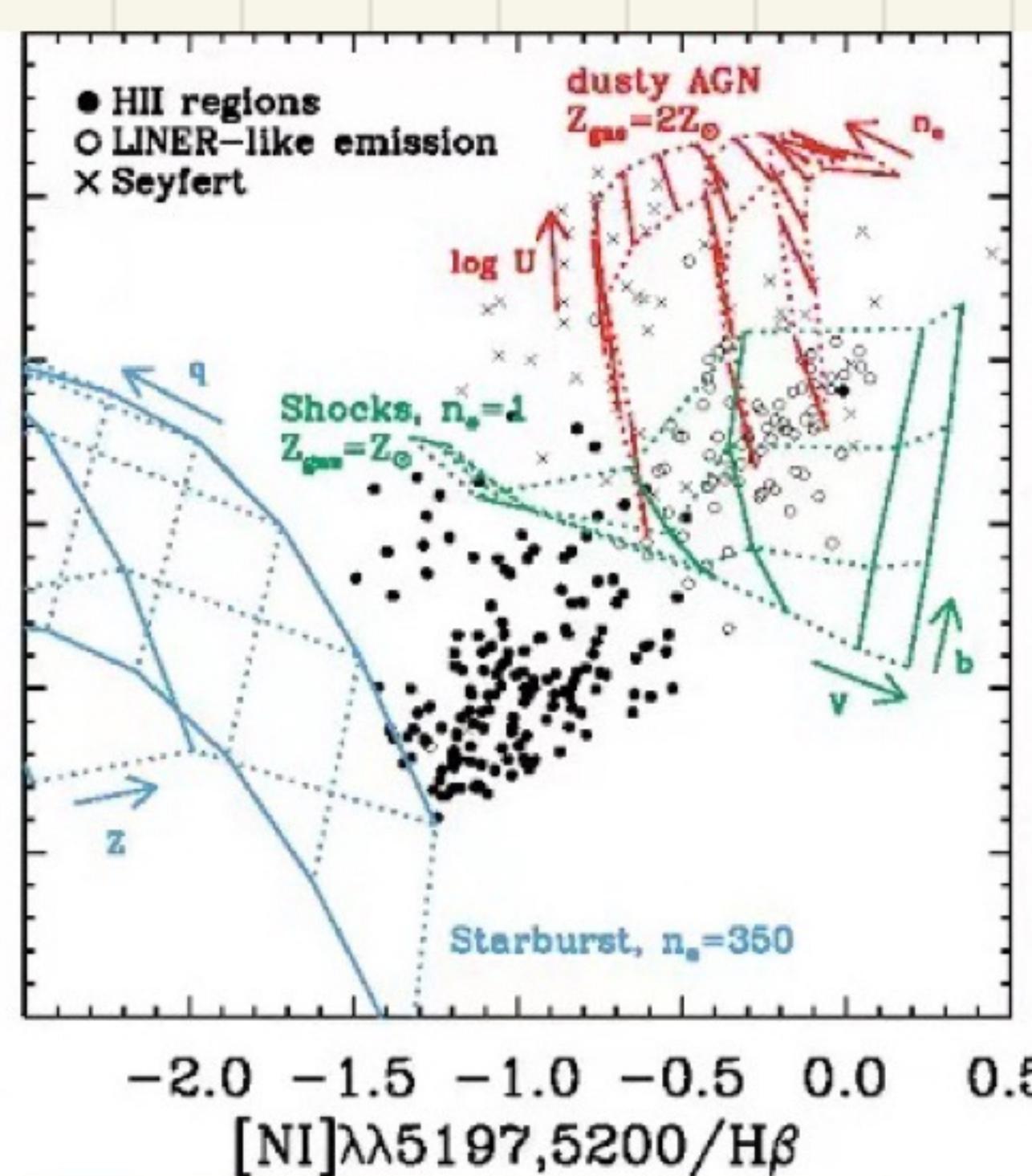
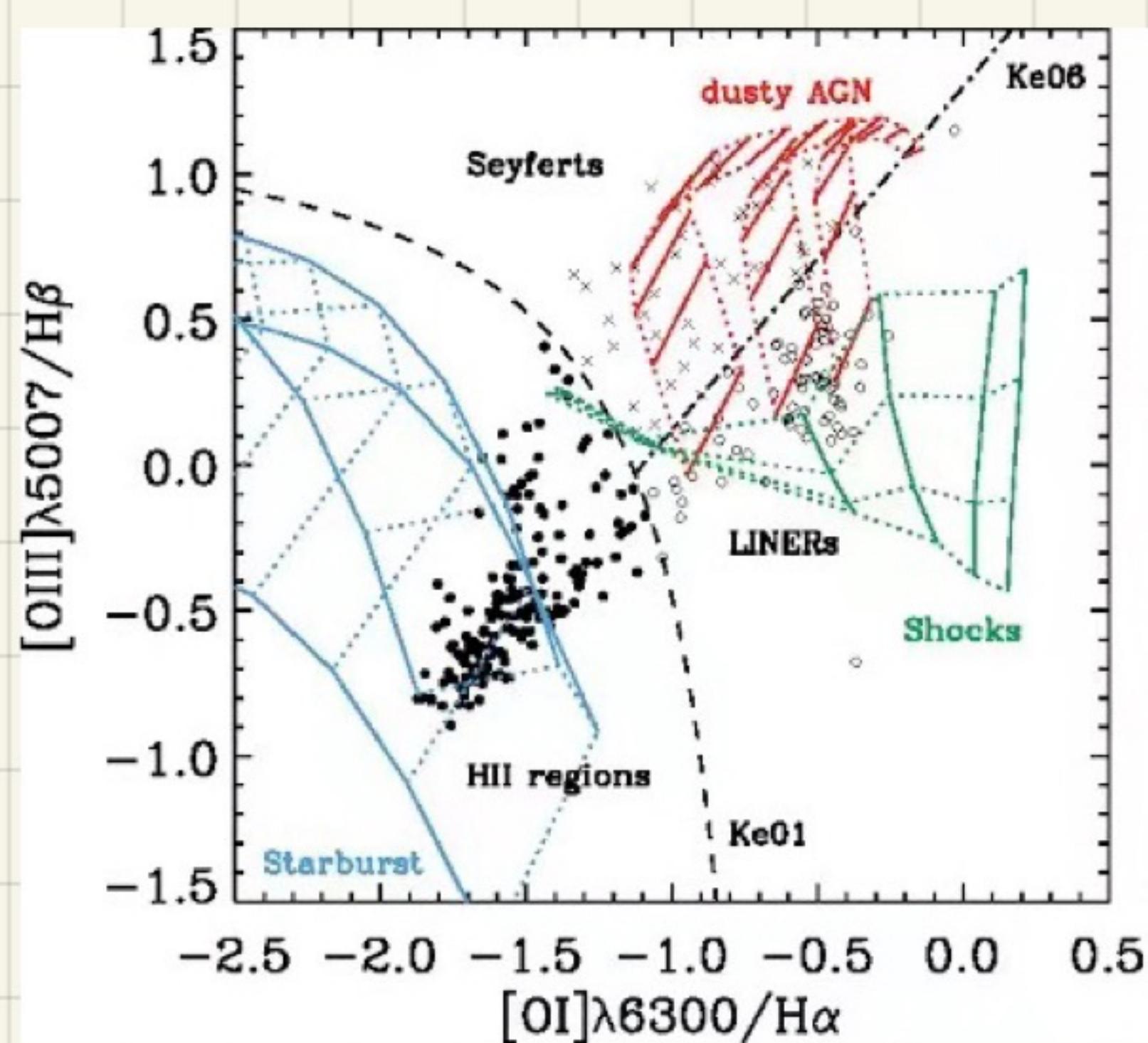
the level of ionization and temperature of emitting gas:

AGN > star-forming galaxy.

$[\text{OIII}]\lambda 5007/\text{H}\beta$  : mean level of ionization and T (temp)

$[\text{OI}]\lambda 6300/\text{H}\alpha$ ,  $[\text{SII}]\lambda\lambda 6717, 6731/\text{H}\alpha$ ,  $[\text{NII}]\lambda 6583/\text{H}\alpha$

: the relative importance of a large partially ionized zone produced by high-energy photoionization.



↳ from Xiaojing Lin ↳

## § 14.2.5 Jets, Superluminal Motion and Beaming.

collimation / due to supersonic motion

detailed / derivation see Page 634.

due to relativistic effect, simple math

two identical jets propagating in opposite directions,

$$\frac{F_{v,\text{in}}}{F_{v,\text{out}}} = \left( \frac{1 + \beta \cos\theta}{1 - \beta \cos\theta} \right)^{2+\alpha}$$

$$F_{v,\text{in}} \propto \frac{1}{\theta^{\alpha+2}}$$

if  $\beta \approx 1$ ,  $\theta \ll 1$   $F_{\text{in}} \gg F_{\text{out}}$

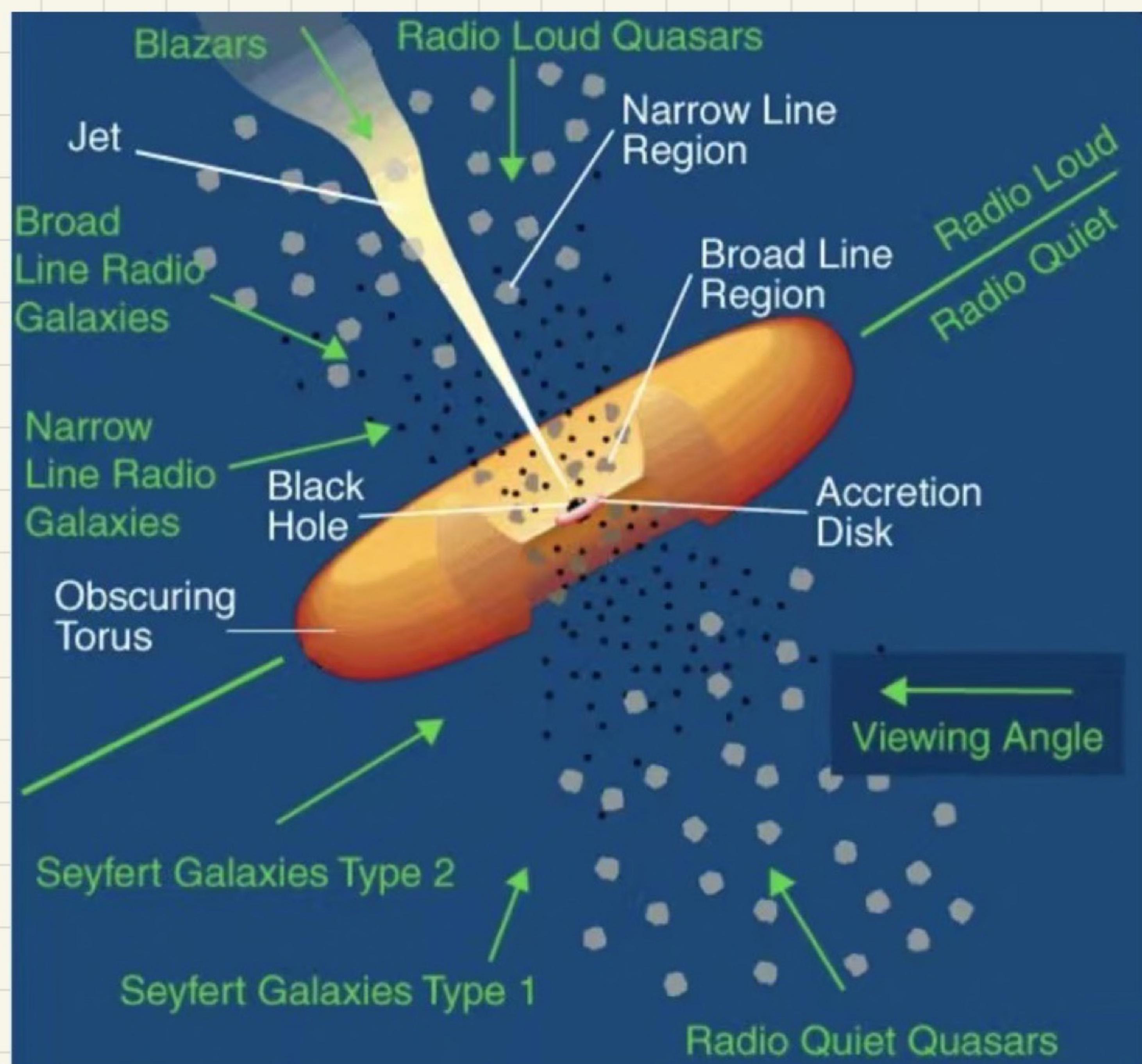
incoming outgoing

BLR: typical size of  $\sim 0.3$  pc  $\rho_{\text{gas}} \sim 10^{10} \text{ cm}^{-3}$   $T_{\text{gas}} \sim 10^4 \text{ K}$

NLR:  $\sim 50$  pc from SMBH  $\rho_{\text{gas}} \sim (10^4 - 10^6) \text{ cm}^{-3}$   $T_{\text{gas}} \sim (1-2) \times 10^4 \text{ K}$

Obscuring Torus: block BLR

## AGN Unification model



## Observational Test for SMBH

1. Milky way: radial velocities, proper motions, acceleration of stars
2. NGC 4258: water masers.
3. MCG -6-30-15: extreme broadness and asymmetry of fluorescent Fe K line. ( $\sim 6$  keV)
- 4: reverberation mapping

$\downarrow$

intrinsic flux variability of the UV/optical continuum generated in accretion disk.

& time delay  $\tau$  in response of the broad emission lines to the change in the continuum flux.

$\Rightarrow$  BLR size:  $\gamma \sim CC$

## §. 14.3

### §. 14.3.1. Growth of SMBH and fueling of AGN

observational fact: oldest AGN  $z = 7.6$  (2021)  $\sim 0.5 \text{ Gyr}$

free-fall time scale of a virialized halo  $\sim 0.05 \text{ Gyr}$  at  $z=7$

If growth through radiative accretion.

$$\text{mass accretion rate: } \dot{M}_{\text{BH}} = \frac{L}{\epsilon_r c^2} = \left( \frac{L}{L_{\text{Edd}}} \right) \frac{M_{\text{BH}}}{\epsilon_r t_{\text{Edd}}}$$

if  $L/L_{\text{Edd}}$  and  $\epsilon_r$  independent of time

$$M_{\text{BH}}(t) = M_{\text{BH},0} \exp\left(\frac{t}{t_{\text{BH}}}\right)$$

$$t_{\text{BH}} = \left( \frac{L}{L_{\text{Edd}}} \right)^{-1} \epsilon_r t_{\text{Edd}} \approx 4.4 \times 10^7 \left( \frac{\epsilon_r}{0.1} \right) \left( \frac{L}{L_{\text{Edd}}} \right)^{-1} \text{ yr.}$$

3 possibilities for BH generated.

1. collapse of an isolated massive star

2. merger and accretion of neutron stars.

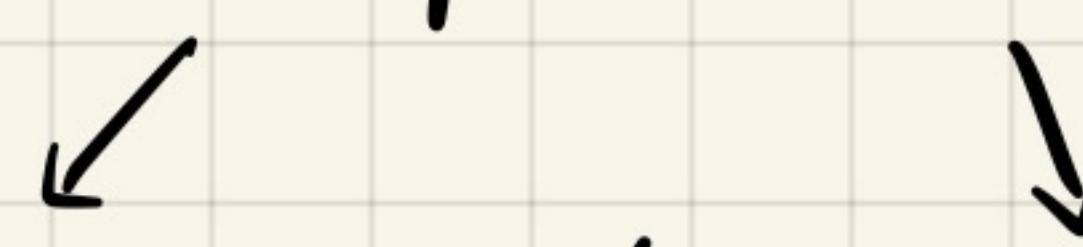
3. collapse of a gas cloud.  $\Rightarrow$  uncertain

$$\text{if } M_{\text{BH},0} = 100 M_\odot \quad M_{\text{BH}} = 10^8 M_\odot$$

$$t = 14 t_{\text{BH}} \approx 6 \times 10^8 \left( \frac{\epsilon_r}{0.1} \right) \left( \frac{L}{L_{\text{Edd}}} \right)^{-1} \text{ yr.}$$

$$\text{if } \epsilon_r \sim 1, \quad L \lesssim L_{\text{Edd}} \quad t \approx 6 \times 10^9 \text{ yr} = 6 \text{ Gyr} \gg 0.5 \text{ Gyr}$$

so, to reduce  $t$ ,  $L \uparrow$  or  $\epsilon_r \downarrow$



super-Eddington rate      quite low  $\ll 0.1$   
 anisotropic accretion      will not last long time.

a large amount of gas.



the formation of AGN is to identify the mechanism(s)

that can effectively bring gas into the center of host galaxy.

key point: how to concentrate the gas in a very small region.



gas lose its angular momentum very effectively.

Gravitational interaction with other galaxies.

e.g. merger, perturbated by satellite galaxies.  
there're indeed some observational evidences  
too faint for high-redshift case.)

$z = 2-3$  AGN peak.

total number density of SMBH are not expected to decrease with time.

$z < 2 \Rightarrow$  due to decline of systems where gas is sufficient.

$z > 3 \Rightarrow$  Because SMBH need time to grow.

- feed back  $\Rightarrow$  self-regulated SMBH  $\Rightarrow > 10^{9.5} M_\odot$  is rare.
- Most of SMBH at low- $z$  are observed to be hosted by early-type galaxies, e.g. ellipticals, bulges of early-type spirals.
- Disk may be geometrically thick and optically thin.

in ADAF (advection dominated accretion flow)

& ADIOS (advection dominated inflow-outflow solution)

$\Rightarrow \epsilon_r \ll 0.1$ , much of accretion energy is expected to be in strong gas outflow

↑

many massive ellipticals are radio sources that have low  $\epsilon_r$ , but radio jets and lobes.

### §. 14.3.2 AGN Demographics

Observed luminosity functions:

$$\phi(L, z) = \phi^*(z) \left\{ \left[ \frac{L}{L^*(z)} \right]^{\beta_1} + \left[ \frac{L}{L^*(z)} \right]^{\beta_2} \right\}^{-1} \frac{dL}{L^*(z)}$$

redshift functions:

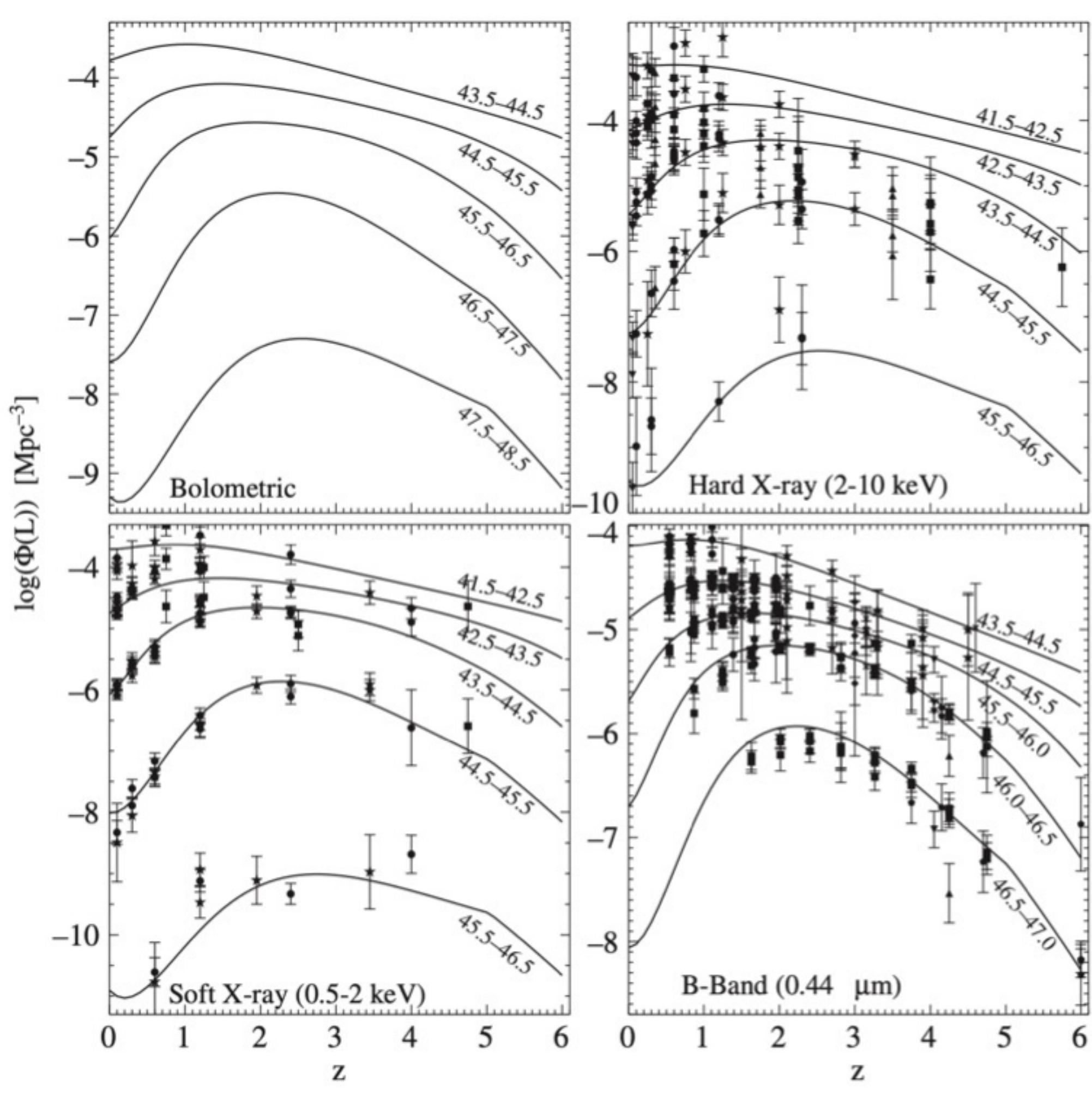


Fig. 14.9. The comoving space density as a function of redshift for QSOs of different luminosities.  
[Adapted from Hopkins et al. (2007) by permission of AAS]

Spatial clustering :

QSOs' spatial correlation function (CF)

2-point CF's amplitude increase with  $z$

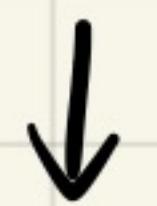
in  $\Lambda$ CDM, mass CF's amplitude decrease with  $z$



linear bias factor of QSOs :

$\frac{\text{CF's amp of QSOs}}{\text{CF's amp of mass}}$  ↑ with  $z$

if QSOs and DM halo one-to-one relation.



bias parameter reflect which DM halo QSOs reside.



result: host halo mass is roughly  $10^{12.5} - 10^{13} h^{-1} M_\odot$

duty cycle:

$t_Q$ : average duty time of a halo.

$t(z)$ :  $z \leftrightarrow$  age of universe.

$n_Q$ : number density of QSOs

$n_{\text{DM-Q}}$ : number density of DM halos capable of hosting QSOs

$$\Rightarrow \frac{t_Q}{t(z)} = \frac{n_Q}{n_{\text{DM-Q}}} \Rightarrow t_Q \sim 10^7 \sim 10^8 \text{ yr}$$

for the case of decrease at  $z < 3$ , possible reasons:

1. galaxies are less gas-rich at low- $z$
2. feedback is more effective at low- $z \Rightarrow p_{\text{gas}}$  is low
3. merger  $\Rightarrow$  gas is too hot to be accreted by SMBH.

### §. 14.3.3 Outstanding Questions,

1. what is so special about halos of  $10^{12.5} h^{-1} M_\odot$   
i.e. absent in lower mass halos.
  - ? too faint to be detected
  - ? don't host SMBH
  - ? have no sufficient gas
2. What determines AGN to be radio-quiet or radio-loud.
  - ? related to the environment where AGN is located
  - RL in local universe  $\Leftrightarrow$  elliptical galaxies
    - central galaxies of groups and clusters
  - triggering conditions different from optical AGN
    - a galaxy with a young stellar population.

At low- $z$ , most radio-galaxies  $\rightarrow$  low-power FRI in elliptical  
 $\downarrow$   
weak ongoing star formation, weak emission lines.

Difference between FRI and FRII is gas accretion mode.

..... too many conclusions.

### §. 14.4 AGN and Galaxy formation (AGN feedback)

$$\underbrace{\frac{dE}{dt}}_{\text{energy output}} = \epsilon \dot{M}_{\text{BH}} c^2 \quad \underbrace{\epsilon}_{\substack{\downarrow \\ \text{radiative}}} + \underbrace{\epsilon_m}_{\substack{\downarrow \\ \text{mechanical}}} \quad \begin{array}{l} \text{feedback efficiency} \\ \text{radiative} \quad \text{mechanical.} \end{array}$$

Three possible process.

1. Radiative process : radiation pressure and radiative heating

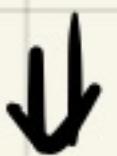
2. Mechanical process: jet, wind

3. Energetic particles (cosmic ray)

in principle contribute to the overall pressure of gas

Radiative feedback

1. UV and X-ray photon

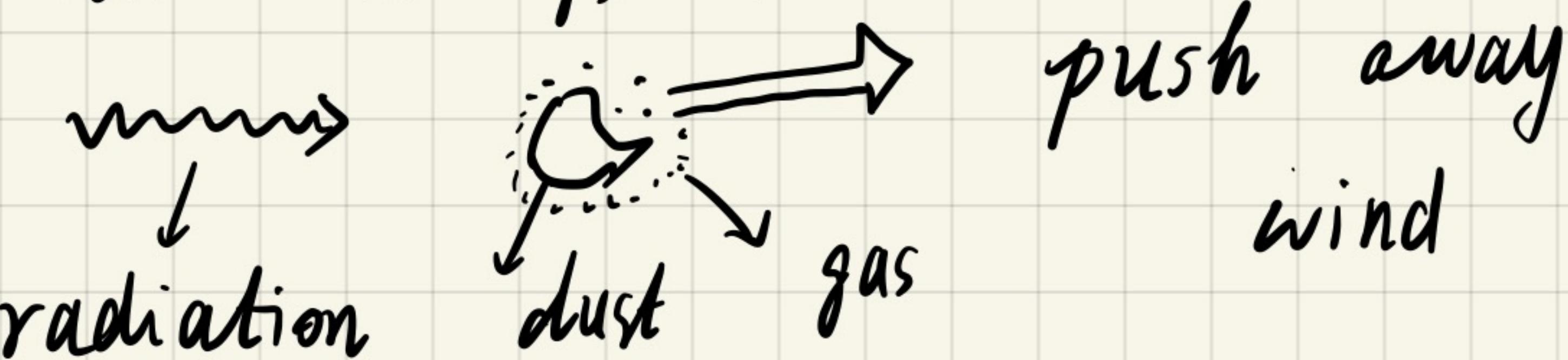


phot ionization  $\Rightarrow$  ionize neutral atoms

heating

$\Rightarrow$  IGM

2. dust absorption



3. Compton heating (energy transfer from photon to  $e^-$ )

Mechanical feedback

radio jets, lobes.

X-ray cavities filled with relativistic gas

$\hookrightarrow$  observational evidence in some

elliptical galaxies at the center of cluster.

jet-powered bubble  $\sim$  adiabatic stellar wind bubble.



low  $P_{\text{gas}}$ , high  $T_{\text{gas}}$



poor radiative cooling

supersonic expansion  $\Rightarrow$  shock sweep gas

subsonic expansion  $\Rightarrow$   $PdV$  form.  $\Rightarrow$  buoyancy

May appear in all early-type galaxies

$\hookrightarrow$  heat gas, quench radiative cooling / star formation.



reshape galaxy luminosity function  
color-magnitude relation of galaxies