

All rings are commutative with unity.

## Rings and Ideals

Def'n: An integral domain (or domain) is a ring with no zero divisors.

A domain  $R$  equipped with a size function  $\sigma: R \setminus \{0\} \rightarrow \mathbb{Z}_{\geq 0}$  is called

a Euclidean domain if for every  $a, b \in R$  there are  $q, r \in R$  such that

$$b = qa + r \text{ and } r = 0 \text{ or } \sigma(r) < \sigma(a).$$

Def'n: Let  $R$  be a ring. A subset  $I \subseteq R$  is an ideal if:

- $0 \in I$ ;
- $a - b \in I$  whenever  $a, b \in I$ ;
- $ar \in I$  whenever  $a \in I, r \in R$ .

Remark: If  $a_1, \dots, a_n \in R$ , then  $(a_1, \dots, a_n) := \{r_1a_1 + \dots + r_na_n \mid (r_1, \dots, r_n) \in \mathbb{R}^n\}$

is an ideal. We call  $(a_1, \dots, a_n)$  the ideal generated by  $\{a_1, \dots, a_n\}$ .

More generally, if  $S \subseteq R$  is a set,  $(S) = \{r_1s_1 + \dots + r_ns_n \mid r_i \in R, n \in \mathbb{N}\}$  is an ideal.

Def'n: A principal ideal is an ideal that can be generated by a single element.

We call a domain a principal ideal domain (PID) if all its ideals are principal.

Theorem: Any Euclidean domain is a PID.

Proof: Let  $R$  be a Euclidean domain with size function  $\sigma$ .

Let  $I \subseteq R$  be an ideal. Assume  $I \neq (0)$ .

Choose  $a \in I$  such that  $\sigma(a) \leq \sigma(b)$  for all  $b \in I$ .

We claim that  $I = (a)$ . The reverse inclusion is immediate.

Let  $b \in I$ . Then  $b = qa + r$  for some  $q, r \in R$ ,  $r = 0$  or  $\sigma(r) < \sigma(a)$ .

But  $\sigma(a)$  is minimal, so  $r = 0$ . Hence  $b \in (a)$ . □

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(a)  $a, b \in R$  are associates if there is a unit  $u \in R$  such that  $a = ub$ .

(b)  $a \in R$  is irreducible if  $a$  is not a unit and  $a = bc$  for some  $b, c \in R$

implies  $b$  or  $c$  is a unit.

(c)  $a \in R$  is prime if  $a = bc$  implies  $a \mid b$  or  $a \mid c$ .

(d) A proper ideal  $P \subseteq R$  is prime if  $ab \in P$  implies  $a \in P$  or  $b \in P$ .

(e) A proper ideal  $M \subseteq R$  is maximal if for any ideal  $M \subseteq I \subseteq R$ , then  $I = M$  or  $I = R$ .

Proposition: Let  $R$  be a domain and  $I \subseteq R$  an ideal.

(a)  $I$  is prime if and only if  $R/I$  is a domain.

(b)  $I$  is maximal if and only if  $R/I$  is a field.

Proof:

(a) Suppose  $I$  is prime.

Suppose  $ab = 0$  for some  $a, b \in R/I$ . Then  $a \in I$  or  $b \in I$ .

Hence,  $R/I$  is a domain. The converse is similar.

(b) Suppose  $I$  is maximal. We have that  $R/I$  is a field if and only if its only

non-zero ideal is  $R/I$ . Let  $\tilde{J} \subseteq R/I$  be an ideal. Then we can lift

$\tilde{J}$  to an ideal  $J \subseteq R$  containing  $I$ . If  $I$  is maximal, then  $J = I$  or  $J = R$ .

If  $R/I$  is a field, then we can start with  $J$  to get  $\tilde{J} = (0) = I$  or  $\tilde{J} = R/I$ .

Hence  $I$  is maximal. □

Corollary: Any maximal ideal is prime.

Def'n: Let  $R$  be a ring. The spectrum of  $R$  is  $\text{Spec}(R) = \{P \subseteq R \mid P \text{ is prime}\}$ .

The underlying set forms a topology, which we call the Zariski topology.

Multivariate Polynomials

Let  $K$  be a field.

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$x_1^{\alpha_1} \cdots x_n^{\alpha_n}$  for some  $\alpha = (\alpha_1, \dots, \alpha_n) \in \mathbb{Z}_{\geq 0}^n$ .

The total degree of  $x^\alpha$  is  $|\alpha| = \alpha_1 + \dots + \alpha_n$ .

The degree of a polynomial  $p(x) = \sum_{i=1}^m x^{\alpha_i}$  is  $\deg(p) = \max_{i=1 \dots m}(|\alpha_i|)$ .

Def'n: A monomial ordering on  $K[x_1, \dots, x_n]$  is a total ordering of monomials so that

(a)  $<$  is well-ordered;

(b) if  $x^\alpha < x^\beta$ , then  $x^\alpha x^r < x^\beta x^r$  for all  $r \in \mathbb{Z}_{\geq 0}$ .

Examples:

(1) Lexicographic Ordering:  $x^\alpha <_{lex} x^\beta$  if and only if the first non-zero entry of  $\beta - \alpha$  is positive.

(2) Graded Lexicographic Ordering:  $x^\alpha <_{grlex} x^\beta$  if and only if  $|\alpha| < |\beta|$  or  $|\alpha| = |\beta|$  and  $x^\alpha <_{lex} x^\beta$ .

(3) Reverse Graded Lex.:  $x^\alpha < x^\beta$  if and only if  $|\alpha| < |\beta|$  or  $|\alpha| = |\beta|$  and the rightmost non-zero entry of  $\beta - \alpha$  is positive.

Def'n: Let  $p \in K[x_1, \dots, x_n]$  and fix a monomial order  $<$ .

The multidegree of  $p$  is  $\text{mdeg}(p)$ , the largest exponent of the monomials in  $p$ .

Define the leading monomial of  $p$ ,  $\text{LM}(p)$ , as the corresponding monomial.

If  $c \in K$  is the coefficient of this monomial, the leading term of  $p$  is  $\text{LT}(p) = c \text{LM}(p)$ .

### Gröbner Bases and the Division Algorithm

The division algorithm for multivariate polynomials is dividing the leading monomials and

subtracting: let  $f, g \in K[x_1, \dots, x_n]$ . Set  $g_0 = g$ , and  $g_n = g_{n-1} - \frac{\text{LT}(g)}{\text{LT}(f)} f$ .

Def'n: A monomial ideal is an ideal generated by monomials

Given an ideal  $I \subseteq K[x_1, \dots, x_n]$ , the leading term ideal of  $I$  is  $\text{LT}(I) := (x^\alpha \mid x^\alpha = \text{LM}(f) \text{ for some } f \in I)$ .

We say that  $f_1, \dots, f_r$  is a Gröbner basis of  $I$  if  $\text{LT}(I) = (\text{LT}(f_1), \dots, \text{LT}(f_r))$ .

Key Lemma: Let  $I = (x^\alpha)_{\alpha \in \mathbb{N}^n}$  be a monomial ideal. If  $x^\beta \in (x^\alpha)_{\alpha \in \mathbb{N}^n}$ , then  $x^\alpha \mid x^\beta$  for some  $\alpha \in \mathbb{N}^n$ .

**Key Lemma:** Let  $I = (x^\alpha)_{\alpha \in \Gamma}$  be a monomial ideal. If  $x^\beta \in (x^\alpha)_{\alpha \in \Gamma}$ , then  $x^\alpha | x^\beta$  for some  $\alpha \in \Gamma$ .

**Proof:** Write  $x^\beta = \sum_{i=1}^n x^{k_i} p_i$  for some  $k_i \in \mathbb{Z}_{\geq 0}^n$ ,  $p_i \in k[x_1, \dots, x_n]$ .

Then  $x^\beta$  occurs in some  $x^{k_i} p_i$ , so  $x^\alpha | x^\beta$ .  $\square$

**Proposition:** Let  $\prec$  be a monomial order and  $I \subseteq k[x_1, \dots, x_n]$  an ideal.

Suppose  $f_1, \dots, f_r$  is a Gröbner basis of  $I$ . If  $LT(g)$  is not divisible by  $LT(f_1), \dots, LT(f_r)$ ,

then  $LT(g) \notin LT(I)$ .

**Proof:** If  $LT(g) \in LT(I)$ , then by the key lemma  $LT(f_i) | LT(g)$  for some  $i = 1, \dots, r$ .  $\square$

**Remark:** This proposition implies  $g \notin I$ .

**Lemma:** Let  $I \subseteq k[x_1, \dots, x_n]$  be an ideal. If  $x^\beta \in LT(I)$ , then there is  $f \in I$  such that  $x^\beta = LM(f)$ .

**Proof:** By the key lemma,  $x^\beta \in LT(I)$  gives the existence of  $x^\alpha \in \{x^\alpha \mid \exists g \in I, x^\alpha = LM(g)\}$

such that  $x^\beta = x^\alpha x^r$  for some  $y \in \mathbb{Z}_{\geq 0}^n$ . Then  $x^\beta = LM(x^r g)$ .  $\square$

**Theorem:** Let  $f_1, \dots, f_r \in k[x_1, \dots, x_n]$  and fix a monomial order  $\prec$ . Suppose  $I \subseteq k[x_1, \dots, x_n]$  is an ideal.

Then  $f_1, \dots, f_r$  is a Gröbner basis for  $I$  if and only if for all  $g \in I$ , dividing  $g$  by  $f_1, \dots, f_r$  returns zero.

**Proof:** " $\Rightarrow$ " Suppose  $g \in I$  does not return  $0$  when divided by  $f_1, \dots, f_r$ .

Let  $r \in k[x_1, \dots, x_n]$  be the remainder. Then  $r \in I$  by the division algorithm, but  $LT(r)$  is not divisible by each  $LT(f_i)$ . By the proposition,  $r \notin I$ , a contradiction.

" $\Leftarrow$ " Suppose instead  $f_1, \dots, f_r$  is not a Gröbner basis of  $I$ .

Then we may choose  $g \in LT(I) \setminus (LT(f_1), \dots, LT(f_r))$  a monomial. By the lemma, there is  $h \in I$

so that  $g = LT(h)$ . By assumption, dividing  $h$  by  $f_1, \dots, f_r$  gives  $0$  remainder, a contradiction.  $\square$

## Normal Forms: Uniqueness of the Remainder

Theorem: Let  $I \subseteq k[x_1, \dots, x_n]$  be an ideal and fix a monomial ordering  $\prec$ .

Suppose  $I$  has a Gröbner basis. Then for every  $g \in k[x_1, \dots, x_n]$  there is

a unique finite sum  $\sum_{i=1}^n c_i x^{a_i}$ , where  $c_i \in k$ ,  $x^{a_i} \notin LT(I)$ , such that  $g \equiv \sum_{i=1}^n c_i x^{a_i} \pmod{I}$ .

This finite sum is called the normal form of  $g$ .

Proof:

Existence: Suppose  $g \in I$  has no normal form. Then the set  $S = \{LM(h) \mid h \text{ has no normal form}\}$

is non-empty. Choose  $x^\beta = LM(h) \in S$  minimal. Either  $x^\beta \in LT(I)$  or  $x^\beta \notin LT(I)$ .

In the first case, we may choose  $\tilde{h} \in I$  so that  $x^\beta = LT(\tilde{h})$ .

Then  $\tilde{h} = h - \frac{LT(h)}{LT(\tilde{h})} \tilde{h}$  has multidegree strictly less than  $h$  and  $h \equiv \tilde{h} \pmod{I}$ .

The former fact gives that  $\tilde{h}$  must have a normal form. But then  $h \equiv \tilde{h} \pmod{I}$

gives that  $h$  has a normal form, a contradiction.

Assume now that  $x^\beta \notin LT(I)$ . Let  $h_* = h - LT(h)$ . Then  $h_*$  has a normal form.

Let  $\sum_{i=1}^n c_i x^{a_i}$  be a normal form of  $h_*$ . Then  $LT(h) + \sum_{i=1}^n c_i x^{a_i}$  is a normal form

of  $g$ , a contradiction. Hence,  $g$  has a normal form.

Uniqueness: Let  $\sum_a c_a x^a$ ,  $\sum_d d_d x^d$  be normal forms of  $g$ .

If some  $c_a - d_d \neq 0$ , then  $x^a \in LT(I)$ , which is impossible.  $\square$

## Noetherian Rings: Existence of a Gröbner basis.

Def'n: A ring  $R$  is Noetherian if it satisfies the ascending chain condition (ACC).

Theorem: Let  $R$  be a ring. Then  $R$  is Noetherian if and only if each ideal in  $R$  is finitely generated.

Proof: " $\Rightarrow$ " Suppose  $R$  is Noetherian and let  $I \subseteq R$  be an ideal.

Proof: "⇒" Suppose  $R$  is Noetherian and let  $I \subseteq R$  be an ideal.

Write  $I = (f_\alpha)_{\alpha \in I}$  for some set of generators. Assume  $I$  is not finitely generated. Then given finitely many  $f_\alpha$ , say  $(f_{\alpha_1}, \dots, f_{\alpha_n})$ , we can find  $f_{\alpha_{n+1}}$  so that  $(f_{\alpha_1}, \dots, f_{\alpha_n}) \subsetneq (f_{\alpha_1}, \dots, f_{\alpha_n}, f_{\alpha_{n+1}})$ , as if not, then  $I = (f_{\alpha_1}, \dots, f_{\alpha_n})$ .

But  $R$  is Noetherian, so this process terminates.

$\Leftarrow$  Let  $I_1 \subseteq \dots \subseteq I_n \subseteq \dots$  be an increasing chain of ideals in  $R$ .

Then  $I = \bigcup_{i=1}^{\infty} I_i$  is an ideal. Hence  $I = (a_1, \dots, a_k)$  for some  $a_i \in R$ .

Hence  $R$  is Noetherian.

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Theorem (Hilbert's Basis Theorem): If  $R$  is Noetherian, then so is  $R[X]$ .

The proof is omitted.

**Proposition:** Let  $I \subseteq k[x_1, \dots, x_n]$  be an ideal with Gröbner basis  $f_1, \dots, f_r$ . Then  $I = (f_1, \dots, f_r)$ .

Proof: This is immediate by running the division algorithm on  $g \in I$  using  $f_1, \dots, f_r$ .  $\square$

Theorem (Dickson's Lemma): Every monomial ideal in  $k[x_1, \dots, x_n]$  has a finite set of monomial generators.

The proof is omitted. We immediately get the following corollary:

**Corollary:** Every ideal  $I \subseteq k[x_1, \dots, x_n]$  has a Gröbner basis.

**Proof:** By Dickson's Lemma, we can write  $I = (x^{a_1}, \dots, x^{a_k})$ .

Lifting these generators to  $f_i \in I$  such that  $LM(f_i) = x^{k_i}$  completes the proof.  $\square$

## Buchberger's Criterion

Def'n: The least common multiple of monomials  $x^a \cdot x^b$  is  $\text{LCM}(x^a, x^b) = x^{\max(a, b)} \dots x^{\max(an, bn)}$ .

For  $f_1, f_2 \in K[x_1, \dots, x_n]$ , we define the S-polynomial of  $f_1$  and  $f_2$  as

$$S(f_1, f_2) = \frac{x^r}{LM(f_1)} f_1 - \frac{x^r}{LM(f_2)} f_2, \text{ where } x^r = LCM(LM(f_1), LM(f_2)).$$

Theorem (Buchberger's Criterion): Let  $I \subseteq K[x_1, \dots, x_n]$  be an ideal. Write  $I = (f_1, \dots, f_r)$ . Then

Theorem (Buchberger's Criterion): Let  $I \subseteq K[x_1, \dots, x_n]$  be an ideal. Write  $I = (f_1, \dots, f_r)$ . Then  $f_1, \dots, f_r$  is a Gröbner basis of  $I$  if and only if  $S(f_i, f_j)$  is divisible by  $f_i, f_j$  with zero remainder.

In particular, we need not check divisibility of all polynomials in  $I$ .

We omit the proof.

Buchberger's Algorithm comes from this theorem.

To construct a Gröbner basis, we may repeatedly add  $S$ -polynomials of the generators until it forms a Gröbner basis. This procedure terminates since  $K[x_1, \dots, x_n]$  is Noetherian.

### Spec and Vanishing Sets

Let  $A$  be a ring. We note that  $\text{Spec}(A)$  is a topological space.

Def'n: Let  $X$  be a non-empty set. We call  $\mathcal{T}$  a topology on  $X$  if

$$(1) \emptyset, X \in \mathcal{T},$$

$$(2) \bigcap_{i=1}^n A_i \in \mathcal{T} \quad \forall A_i \in \mathcal{T}, N \in \mathbb{N},$$

$$(3) \bigcup_{\alpha \in \Gamma} A_\alpha \in \mathcal{T} \quad \forall \{A_\alpha\}_{\alpha \in \Gamma} \subseteq \mathcal{T}.$$

A set in  $\mathcal{T}$  is called open.

The complement of an open set is called closed.

The standard topology on  $\text{Spec}(A)$  is called the Zariski topology.

$$\text{where closed sets are of the form } V(S) = \{P \in \text{Spec}(A) \mid S \subseteq P\}$$

for any set  $S \subseteq P$ . We call  $V(S)$  the vanishing set of  $S$ .

Def'n: Any topological space of the form  $\text{Spec}(A)$  is called an affine scheme

with coordinate ring  $A$ . For  $A = k[x_1, \dots, x_n]$ , we call  $\text{Spec}(A) = \mathbb{A}_k^n$  affine  $n$ -space.

More generally, if  $I \subseteq A$  is an ideal, we call  $\text{Spec}(A/I)$  an affine variety.

Remark: Let  $f_1, \dots, f_k \in k[x_1, \dots, x_n]$ . Then  $V(f_1, \dots, f_k) = V(f_1) \cap \dots \cap V(f_k)$ .

Proof: Let  $P \in V(f_1, \dots, f_k)$ . Then  $(f_1, \dots, f_k) \subseteq P$ . Immediately,  $(f_i) \subseteq P$  for all  $i=1, \dots, k$ .

Conversely, if  $P \in V(f_1) \cap \dots \cap V(f_k)$ , then  $(f_i) \subseteq P$  for each  $i=1, \dots, k$ .

Then  $(f_1, \dots, f_k) \subseteq P$ , so  $P \in V(f_1, \dots, f_k)$ .  $\square$

Def'n: Let  $m \in \text{Spec}(A)$  be maximal. We call  $A/m$  the residue field of  $m$ .

Remark: Evaluating polynomials in  $A = k[x_1, \dots, x_n]$  can be thought of as looking at the image of the polynomial in the coefficient ring.

Theorem: The Zariski topology is a topology, where the vanishings are the closed sets.

Proof: We show three things:

(1)  $\emptyset, \text{Spec}(A)$  are closed: We have  $V(A) = \emptyset$ , so  $\emptyset$  is closed.

Also,  $\text{Spec}(A) = V(\emptyset)$ , so  $\text{Spec}(A)$  is closed.

(2)  $\text{Spec}(A)$  is closed under intersections: Let  $\{V(S_\alpha)\}_{\alpha \in I} \subseteq \text{Spec}(A)$  be closed.

Then  $\bigcap_{\alpha \in I} V(S_\alpha) = V\left(\bigcup_{\alpha \in I} S_\alpha\right)$ , so  $\bigcap_{\alpha \in I} V(S_\alpha)$  is closed.

(3)  $\text{Spec}(A)$  is closed under finite unions: Let  $V(A_1), \dots, V(A_n) \in \text{Spec}(A)$ .

Then  $\bigcup_{i=1}^n V(A_i) = V\left(\bigcap_{i=1}^n A_i\right)$ .  $\square$

Remark: (a) Let  $S \subseteq A$  and  $I = (S)$ . Then  $V(S) = V(I)$ .

(b) Let  $I, J \subseteq A$  be ideals. Then  $V(I+J) = V(I) \cap V(J)$ .

(c)  $V(I) \cup V(J) = V(I \cap J) = V(IJ)$ .

Proof: (a) is immediate.

(b) follows from noticing that  $I \subseteq I+J \subseteq P$ .

(c) We have that  $I \cap J \supseteq IJ$ , so  $V(I \cap J) \subseteq V(IJ)$ .

Assume  $P \in V(IJ)$  but  $P \notin V(I \cap J)$ . Then there is  $a \in (I \cap J) \setminus P$ .

But  $a^2 \in P$ , so  $a \in P$ , a contradiction.  $\square$

## Zariski Closure

Let  $A$  be a ring.

Def'n: The closure of a set  $S$  in a topological space is  $\bar{S}$ :

- ▷ the smallest closed set containing  $S$ .
- ▷ the intersection of all closed supersets of it.

Def'n: The Zariski closure of  $Z \subseteq \text{Spec}(A)$  is  $\bar{Z} = \bigcap_{\substack{J \in V(Z) \\ J \in A \text{ ideal}}} V(J)$ .

We have:  $Z \subseteq V(J) \iff P \in V(J) \text{ for all } P \in Z$

$$\iff J \subseteq P \text{ for all } P \in Z$$

$$\iff J \subseteq \bigcap_{P \in Z} P.$$

Thus,  $\bar{Z} = \bigcap_{\substack{J \in \bigcap_{P \in Z} P \\ J \in A \text{ ideal}}} V(J)$ . But  $\bigcap_{P \in Z} P$  is an ideal, so  $V(\bigcap_{P \in Z} P) \subseteq V(J)$ .

Hence,  $\bar{Z} = V(\bigcap_{P \in Z} P)$ .

Def'n: For any  $Z \subseteq \text{Spec}(A)$ , define  $I(Z) = \bigcap_{P \in Z} P$ . so that  $\bar{Z} = V(I(Z))$ .

## Vanishings and Affine n-space

Consider  $A = \mathbb{C}[x_1, \dots, x_n]$ .

Let  $S \subseteq A$ . Define  $V(S) = \{(a_1, \dots, a_n) \in \mathbb{C}^n \mid f(a_1, \dots, a_n) = 0 \text{ for all } f \in S\}$ .

We take the following as a fact:

Fact: The maximal ideals of  $\mathbb{C}[x_1, \dots, x_n]$  are of the form  $(x_1 - a_1, \dots, x_n - a_n)$ ,  $a_i \in \mathbb{C}$ .

Then  $(a_1, \dots, a_n) \in V(S) \iff f(a_1, \dots, a_n) = 0 \text{ for all } f \in S$

$$\iff f \in (x_1 - a_1, \dots, x_n - a_n) \text{ for all } f \in S$$

$$\iff (x_1 - a_1, \dots, x_n - a_n) \in V(S).$$

Hence, we get a one-to-one correspondence between points in  $\mathbb{C}^n$  and

Hence, we get a one-to-one correspondence between points in  $\mathbb{C}^n$  and maximal ideals in  $(\mathbb{C}[x_1, \dots, x_n])$ .

In this context,  $I(E) = \{f \in (\mathbb{C}[x_1, \dots, x_n]) \mid f \in \mathfrak{m}_s \text{ for each maximal ideal}$

corresponding to a point  $s \in E\}$ , where  $E \subseteq \mathbb{C}^n$ . This set is equivalent to the set  $\bigcap_{s \in E} \mathfrak{m}_s$ . i.e. points can be thought of as maximal ideals.

### The Affine Plane $\mathbb{A}_{\mathbb{C}}^2$

We completely classify the prime ideals of  $(\mathbb{C}[x, y])$ .

**Proposition:** Each element of  $\mathbb{A}_{\mathbb{C}}^2$  is of one of the following forms:

(a)  $(0)$ ,

(b)  $(x-a, y-b)$  for every  $a, b \in \mathbb{C}$ ,

(c)  $(f)$  for any irreducible  $f \in (\mathbb{C}[x_1, \dots, x_n])$ .

Before proving this, we need unique factorization domains (UFDs).

**Def'n:** A domain  $R$  is a UFD if: (a) Factoring of any  $r \in R \setminus \{0\}$  terminates.

(b) Any factorization of  $R$  into irreducibles is unique up to associates.

**Proposition:** Let  $R$  be a UFD. If  $r \in R$  is irreducible, then  $r$  is prime.

**Proof:** Let  $r$  be irreducible and suppose  $r \mid ab$  for some  $a, b \in R$ .

Write  $rs = ab$  for some  $s \in R$ . Since  $r$  is its own factorization, it must

appear in either  $a$  or  $b$ . □

**Remark:** Any PID is a UFD, and any Euclidean domain is a PID.

To summarize, we have:

(a) In a domain, prime implies irreducible.

(b) In a UFD, irreducible is equivalent to prime.

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(c) In a PID, prime ideals are maximal.

(d) In any domain, maximal ideals are prime.

(e) In any domain, irreducible is equivalent to maximal among principal ideals.

Def'n: Let  $k$  be a field. We call  $k(x) = \text{Frac}(k) = \left\{ \frac{a(x)}{b(x)} \mid a, b \in k[x], b \neq 0 \right\}$

the field of rational functions or fraction field over  $k$ .

Theorem (Gauss's Lemma):  $f \in k[x_1, \dots, x_n]$  is irreducible if and only if all its coefficients in  $k[x_1, \dots, x_{n-1}]$  do not share a common factor and  $f$  is irreducible in  $k(x_1, \dots, x_{n-1})[x_n]$ .

Theorem:  $\mathbb{A}_c^2 = \{(0)\} \cup \{(x-a, y-b) \mid a, b \in \mathbb{C}\} \cup \{(f) \mid f \in \mathbb{C}[x_1, \dots, x_n] \text{ is irreducible}\}$ .

Proof: We proceed in 2 steps.

1. We show each of these are prime.

Notice that  $\mathbb{C}[x, y]/(0) \cong \mathbb{C}[x, y]$  and  $\mathbb{C}[x, y]/(x-a, y-b) \cong \mathbb{C}$ . So these cases are done.

Let  $f \in \mathbb{C}[x, y]$  be irreducible. Then  $(f)$  is prime since  $\mathbb{C}[x, y]$  is a UFD.

2. These are the only prime ideals.

Let  $P \subseteq \mathbb{C}[x, y]$  be a non-trivial prime ideal.

If  $P$  is principal, then we are done. Assume  $P$  is not principal.

Since  $\mathbb{C}[x, y]$  is Noetherian, we may write  $P = (f_1, \dots, f_r)$  for some  $f_i \in P$ .

Moreover, we may choose the  $f_i$  to be irreducible. Let  $h = \gcd(f_1, f_2) \in \mathbb{C}(x)[y]$ .

Since  $f_1, f_2$  are irreducible, Gauss's Lemma gives that  $h \in \mathbb{C}(x)$  as  $f_1, f_2$  are irreducible in  $\mathbb{C}(x)[y]$ .

Choose  $p_1, p_2 \in \mathbb{C}(x)[y]$  so that  $h = p_1 f_1 + p_2 f_2$ .

Clearing denominators, we have a new equation  $\tilde{h} = \tilde{p}_1 f_1 + \tilde{p}_2 f_2 \in P$ .

Since  $P$  is prime, some divisor  $x-a$  of  $\tilde{h}$  is in  $P$ .

Since  $P$  is prime, some divisor  $x-a$  of  $h$  is in  $P$ .

Repeating this argument,  $y-b \in P$  for some  $b \in \mathbb{C}$ . But  $(x-a, y-b)$  is maximal, so  $P = (x-a, y-b)$ .  $\square$

## Localization

Def'n: Let  $R$  be a ring and  $S \subseteq R$  a multiplicative set containing unity.

The localization of  $R$  at  $S$  is  $R[S^{-1}] := \left\{ \frac{r}{s} \mid r \in R, s \in S \right\} / \sim$ , where

$\sim$  is the equivalence relation  $\frac{r}{s} \sim \frac{r'}{s'}$  if and only if there is a  $u \in S$

such that  $u(rs' - r's) = 0$ .

Proposition:  $\sim$  is an equivalence relation.

Proof: Reflexivity and symmetry are immediate.

Let  $\frac{r_1}{s_1} \sim \frac{r_2}{s_2}$  and  $\frac{r_2}{s_2} \sim \frac{r_3}{s_3}$ . Then there is  $u, v \in S$  so that

$$u(r_1s_2 - r_2s_1) = v(r_2s_3 - r_3s_2) = 0, \text{ hence } uv(r_1s_2 - r_2s_1) = s_1uv(r_2s_3 - r_3s_2) = 0.$$

Thus, subtracting these gives  $uv(r_1s_2s_3 - s_1r_2s_3 - s_1r_2s_3 + s_1r_3s_2) = uv(s_2(r_1s_3 - r_3s_1)) = 0$ .

Since  $uv \in S$ , this gives  $\frac{r_1}{s_1} \sim \frac{r_3}{s_3}$ .

We define  $\frac{r_1}{s_1} + \frac{r_2}{s_2} = \frac{r_1s_2 + r_2s_1}{s_1s_2}$  and  $\frac{r_1}{s_1} \cdot \frac{r_2}{s_2} = \frac{r_1r_2}{s_1s_2}$ , so that  $R[S^{-1}]$  is a ring. □

Notation:

(a) For  $x \in R$  and  $S = \{1, x, x^2, \dots\}$ , we let  $R_x := R[S^{-1}] = \left\{ \frac{r}{x^k} \mid r \in R, k \in \mathbb{Z}_{\geq 0} \right\}$ .

(b) For  $P \subseteq R$  a prime ideal,  $S = R \setminus P$  is multiplicative. Denote  $R_P := R[S^{-1}]$ .

(c) If  $R$  is a domain,  $R_{(0)} = \text{Frac}(R)$  is the field of fractions of  $R$ .

(d) For  $K[x]$ , we have  $K(x) := K[x]_{(0)}$ .

We define a ring homomorphism  $\Psi: R \rightarrow R[S^{-1}]$  by  $\Psi(r) = \frac{r}{1}$  for all  $r \in R$ .

Proposition:  $\Psi$  is injective if and only if  $S$  has no zero divisors.

Proof: " $\Rightarrow$ " Let  $s \in S$  be a zero divisor, with  $rs = 0$  for some  $r \in R \setminus \{0\}$ .

Then  $\ker(\varphi) = \{0, r\}$ , so  $\varphi$  is not injective.

" $\Leftarrow$ " If  $\varphi$  is not injective, then  $\varphi(r) = 0$  for some  $r \in R \setminus \{0\}$ .

Hence  $S$  has a zero divisor.  $\square$

**Theorem (Universal Property of Localization):** Let  $R$  be a ring and  $S \subseteq R$

a multiplicative set with unity. Let  $\varphi: R \rightarrow R[S^{-1}]$ . Let  $A$  be any ring

and suppose  $f: R \rightarrow A$  is a ring homomorphism such that  $f(S) \subseteq R^*$ .

Then there is a unique homomorphism  $g: R[S^{-1}] \rightarrow A$  such that

the diagram  $\begin{array}{ccc} R & \xrightarrow{\varphi} & R[S^{-1}] \\ \downarrow f & \nearrow g & \downarrow \\ A & \xrightarrow{\quad} & A \end{array}$  commutes. i.e.  $f = g \circ \varphi$ .

**Proof:** For each  $\frac{r}{s} \in R[S^{-1}]$ , define  $g\left(\frac{r}{s}\right) = \frac{f(r)}{f(s)}$ .

Well-defined: Let  $\frac{r_1}{s_1} \sim \frac{r_2}{s_2}$ . We show  $f(r_1 s_2 - r_2 s_1) = 0$ . Choose  $u \in S$  so that  $u(r_1 s_2 - r_2 s_1) = 0$ .

Applying  $f$  gives  $f(r_1 s_2 - r_2 s_1) = 0$ .

**Homomorphism:** Let  $\frac{r_1}{s_1}, \frac{r_2}{s_2} \in R[S^{-1}]$ . Then  $g\left(\frac{r_1}{s_1} + \frac{r_2}{s_2}\right) = \frac{f(r_1 s_2 + r_2 s_1)}{f(s_1 s_2)} = \frac{f(r_1)}{f(s_1)} + \frac{f(r_2)}{f(s_2)} = g\left(\frac{r_1}{s_1}\right) + g\left(\frac{r_2}{s_2}\right)$ .

Similarly,  $g\left(\frac{r_1}{s_1} \cdot \frac{r_2}{s_2}\right) = g\left(\frac{r_1}{s_1}\right)g\left(\frac{r_2}{s_2}\right)$ .

**Uniqueness:** The definition of  $g$  is forced by commutativity.  $\square$

**Theorem:** Let  $\beta \in R$ . Then  $R_\beta \cong R[x]/(x\beta - 1)$ .

**Proof:** Define a ring homomorphism  $f: R \rightarrow R[x]/(xf - 1)$  by  $f(r) = [r]$ . Then  $f(\beta)$  is a unit

(and all its powers too). By the universal property, there is a unique  $g: R_\beta \rightarrow R[x]/(x\beta - 1)$

so that  $f = g \circ \varphi$ . We can also define a homomorphism  $\tilde{g}: R[x]/(x\beta - 1) \rightarrow R_\beta$ , as

any ring homomorphism  $R[x] \rightarrow R_\beta$  is determined by a homomorphism  $R \rightarrow R_f$  (say  $\varphi$ )

and a choice of where  $x$  is mapped. i.e.  $\tilde{g}|_R = \varphi$  and  $\tilde{g}(x) = \frac{1}{\beta}$  defines a homomorphism.

Then we can factor  $\tilde{g}$  through the quotient  $R[x] \xrightarrow{\pi} R[x]/(x\beta - 1) \rightarrow R_\beta$ .

$R[x]/(x\beta - 1) \hookrightarrow R[x]$  under the map  $x \mapsto \tilde{x} = \frac{1}{\beta}$ .

Then we can factor  $g$  through the quotient  $\mathbb{N} \times \mathbb{N} \xrightarrow{\text{id} \times \text{id}} \mathbb{N} \times \mathbb{N} / (\alpha\beta - 1) \xrightarrow{\pi_\beta} \mathbb{N}_\beta$ .

But  $\alpha\beta - 1 \mapsto 0$  under these maps, so we have  $g \circ \tilde{g} = \tilde{g} \circ g = \text{id}$ .  $\square$

Theorem: Let  $R$  be a ring and  $S \subseteq R$  a multiplicative set.

Then there is a bijection between elements of  $\text{Spec}(R[S^{-1}])$  and prime ideals of  $R$  that are disjoint from  $S$ .

Proof: Let  $E = \{P \subseteq R \mid P \text{ is prime}, P \cap S = \emptyset\}$ . We show  $\text{Spec}(R[S^{-1}]) \longleftrightarrow E$ .

" $\rightarrow$ " We take  $p \mapsto \Psi(p)$ . Since preimages of prime ideals are prime,  $\Psi(p)$  is prime.

Let  $s \in S \cap \Psi(p)$ . Then  $\Psi(s)$  is a unit in  $p$ , a contradiction.

" $\leftarrow$ " We take  $P \mapsto (\Psi(P))$ . Notice that each element of  $(\Psi(P))$  is of the form

$\frac{a}{b}$  for some  $a \in P, b \in S$ . Take  $\frac{a}{b} \cdot \frac{c}{d} \in (\Psi(P))$  for some  $a, c \in R, b, d \in S$ .

Then  $\frac{ac}{bd} = \frac{e}{f}$  for some  $e \in P, f \in S$ . Then there is  $u \in S$  so that  $u(acf - bde) = 0$ .

Hence  $ac(uf) = bdu \in P$ . Since  $u, f \notin P$ , we have  $ac \in P$ . But  $P$  is prime, so at least one of  $a$  or  $b$  is in  $P$ .

Inverses: Notice,  $(\Psi(\Psi^{-1}(p))) = (p) = p$ .

Conversely, we show  $\Psi^{-1}((\Psi(P))) = P$ . The " $\supseteq$ " direction is immediate.

Let  $a \in \Psi^{-1}((\Psi(P)))$ . Then  $\Psi(a) = \frac{a}{1} = \frac{b}{c}$  for some  $b \in P, c \in S$ .

Choose  $u \in S$  so that  $a(uc) = bu \in P$ . Since  $u, c \notin P, a \in P$ .  $\square$

## Elimination Theory

Def'n: A monomial order on  $k[x_1, \dots, x_n, y_1, \dots, y_m]$  is an elimination order for  $x_1, \dots, x_n$  if each polynomial with leading monomial in  $k[y_1, \dots, y_m]$  is in  $k[y_1, \dots, y_m]$ .

Theorem (Elimination Theorem): Let  $J \subseteq k[x_1, \dots, x_n, y_1, \dots, y_m]$  be an ideal with Gröbner basis  $f_1, \dots, f_r$  with respect to an elimination order  $\prec$ . Then  $J \cap k[y_1, \dots, y_m] = (f_i \mid f_i \in k[y_1, \dots, y_m])$ .

basis  $t_1, \dots, t_r$  with respect to an elimination order  $\prec$ . Then  $\cup \{1K[y_1, \dots, y_m]\} = \{t_i \mid f_i \in K[y_1, \dots, y_m]\}$ .

Proof: Assume instead there is  $g \in J \cap K[y_1, \dots, y_m] \setminus \{f_i \mid f_i \in K[y_1, \dots, y_m]\}$  with  $LM(g)$  minimal.

Then  $LM(g)$  is divisible by  $LM(f_i)$  for some  $f_i$ . Since  $LM(g)$  is minimal,  $LM(g) \in K[y_1, \dots, y_m]$ .

Since  $\prec$  is an elimination order,  $f_i \in K[y_1, \dots, y_m]$ .

Then  $\hat{g} = g - \frac{LT(g)}{LT(f_i)} f_i$  contradicts minimality of  $g$ . □

This helps us find solutions to systems of polynomial equations, as we can isolate variables when computing a Gröbner basis of a given ideal.

## Morphisms of Schemes

Def'n: Let  $A$  be a ring,  $K$  a field, and  $I \subseteq K[x_1, \dots, x_n]$  an ideal. We define an

(i) affine scheme as  $\text{Spec } A$ ,

(ii) affine  $n$ -space as  $\text{Spec}(K[x_1, \dots, x_n])$ ,

(iii) affine variety as  $\text{Spec}(K[x_1, \dots, x_n]/I)$ .

Def'n: A morphism of schemes  $\text{Spec } A \rightarrow \text{Spec } B$  consists of:

(i) a ring homomorphism  $\phi^*: B \rightarrow A$  - the pullback map, and

(ii) a continuous (with respect to the Zariski topology) map  $\phi: \text{Spec } B \xrightarrow{\phi^*(P)} \text{Spec } A$ .

Remark:  $\phi$  is induced by  $\phi^*$  and is necessarily continuous.

Proof: Let  $V(I)$  be closed in  $\text{Spec}(B)$ , where  $I \subseteq B$  is an ideal. Then

$$\phi^{-1}(V(I)) = \{P \in \text{Spec}(A) \mid I \subseteq \phi^{*-1}(P)\} = \{P \in \text{Spec}(A) \mid \phi^*(I) \subseteq P\} = V(\phi^*(I)).$$

Thus,  $\phi$  is continuous. □

We restrict our attention to affine space.

We consider pullback maps  $\phi^*: K[y_1, \dots, y_m] \rightarrow K[x_1, \dots, x_n]$  that fix  $K$ , so that  $\phi$  is entirely determined by its action on the  $y_i$ . Let  $y_i \mapsto \phi_i(x_1, \dots, x_n)$  for some  $\phi_1, \dots, \phi_m \in K[x_1, \dots, x_n]$ .

Lemma: The morphism  $\phi: \mathbb{A}^n \rightarrow \mathbb{A}^m$  induced by  $\phi^*$  is given by  $\phi(a_1, \dots, a_n) = (\phi_1(a_1, \dots, a_n), \dots, \phi_m(a_1, \dots, a_n))$ .

Likewise, any  $\phi$  defined in this way induces a pullback map  $\phi^*: K[y_1, \dots, y_m] \rightarrow K[x_1, \dots, x_n]$  given by  $\phi^*(y_i) = \phi_i(x_1, \dots, x_n)$ . i.e., morphisms are in a one-to-one correspondence with homomorphisms of this type.

Proof: We show that  $(\phi_1(x_1, \dots, x_n) - \phi_1(a_1, \dots, a_n), \dots, \phi_m(x_1, \dots, x_n) - \phi_m(a_1, \dots, a_n)) \in (x_1 - a_1, \dots, x_n - a_n)$ .

Consider the homomorphism  $\pi: (K[x_1, \dots, x_n]) \rightarrow (K[x_1, \dots, x_n])_{(x_1 - a_1, \dots, x_n - a_n)} \cong K$ . Then  $\phi_1(x_1, \dots, x_n) - \phi_1(a_1, \dots, a_n) \in \ker(\pi)$ ,

proving the claim. Thus,  $(y_1 - \phi_1(a_1, \dots, a_n), \dots, y_m - \phi_m(a_1, \dots, a_n)) = \phi((\phi_1(x_1, \dots, x_n) - \phi_1(a_1, \dots, a_n), \dots, \phi_m(x_1, \dots, x_n) - \phi_m(a_1, \dots, a_n)))$ . □

Note: We can think of  $\mathbb{A}^n$  as points since  $\sqrt{J} = \bigcap_{m \in M} J^m$  for  $m$  maximal.

In the case of  $K[x_1, \dots, x_n]$  for  $K$  algebraically closed, we have  $m = (x_1 - a_1, \dots, x_n - a_n)$  for some  $a \in K$ .

## Morphisms of Varieties

Let  $V \subseteq \mathbb{A}^n$  be a variety, i.e.,  $V = \text{Spec}(K[x_1, \dots, x_n]/I)$  for some ideal  $I$ . The pullback of a morphism

$\phi: V \rightarrow \mathbb{A}^m$  is a ring homomorphism  $\phi^*: K[y_1, \dots, y_m] \rightarrow K[x_1, \dots, x_n]/I$ .

$\phi: V \rightarrow \mathbb{A}^m$  is a ring homomorphism  $\phi^*: k[y_1, \dots, y_m] \rightarrow k[x_1, \dots, x_n]/I$ .

We can view the pullback as a composition  $k[y_1, \dots, y_m] \xrightarrow{\phi_i^*} k[x_1, \dots, x_n] \xrightarrow{\pi} k[x_1, \dots, x_n]/I$ .

Let  $W = \text{Spec}(k[y_1, \dots, y_m]/J) \subseteq \mathbb{A}^m$  be another variety.

How can we restrict a morphism  $\phi: V \rightarrow \mathbb{A}^m$  to  $\tilde{\phi}: V \rightarrow W$ ? Consider the following diagram:

$$\begin{array}{ccc} k[y_1, \dots, y_m] & \xrightarrow{\phi^*} & k[x_1, \dots, x_n]/I \\ \pi \downarrow & \nearrow \tilde{\phi}^* & \\ k[y_1, \dots, y_m]/J & & \end{array}$$

The map  $\tilde{\phi}^*$  exists if  $J \subseteq \ker(\phi^*)$ , giving a criterion for the existence of morphisms  $V \rightarrow W$ .

### Rational Maps

Def'n: A rational map consists of a map  $\rho: \mathbb{A}^n \dashrightarrow \mathbb{A}^m$  and a pullback  $\rho^*: k[y_1, \dots, y_m] \rightarrow k(x_1, \dots, x_n)$

where  $\rho^*(y_i) = \phi_i \in k(x_1, \dots, x_n)$  for each  $i = 1, \dots, m$ .

This can also be extended to varieties as in the case of morphisms.

Def'n: The resolution of a rational map  $\rho: \mathbb{A}^n \dashrightarrow \mathbb{A}^m$  is the morphism  $\eta: \mathbb{A}^n \rightarrow \mathbb{A}^m$  induced by the homomorphism  $\eta^*: k[y_1, \dots, y_m] \rightarrow k[x_1, \dots, x_n]_g$ , where  $g$  is the least common multiple of the denominators of the  $\phi_i$ . We call  $V(g)$  the indeterminacy locus of  $\rho$ .

Remark: We have that  $k[x_1, \dots, x_n]_g \cong k[x_1, \dots, x_n, z]/(1-zg)$ , so  $\eta^*$  uses  $z$  to eliminate the denominators.

### Dominant Maps

Def'n: A morphism (rational map) of varieties  $\phi: V \rightarrow W$  is dominant if  $\phi(V)$  is dense in  $W$ .

Def'n: Let  $J$  be an ideal of  $k[x_1, \dots, x_n]$ . Define  $V(J) = \{(a_1, \dots, a_n) \in \mathbb{A}^n \mid f(a_1, \dots, a_n) = 0 \ \forall f \in J\}$ .

Let  $V \subseteq \mathbb{A}^n$  be a variety. Define  $I(V) = \{f \in k[x_1, \dots, x_n] \mid f(a_1, \dots, a_n) = 0 \ \forall (a_1, \dots, a_n) \in V\}$ .

Proposition:  $\phi$  is dominant if and only if  $\phi^*$  is injective.

Proof: First assume  $\phi$  is not dominant. Then there is  $Z$  closed so that  $\phi(V) \subseteq Z \not\subseteq W$ .

In particular,  $I(W) \not\subseteq I(Z)$ , so we may choose  $f \in I(Z) \setminus I(W)$ . Then  $f \in k[y_1, \dots, y_m]/I(W)$  is non-zero.

Observe that  $\phi(V) \subseteq Z \subseteq W$  if and only if  $\phi^*(I(Z)) \subseteq I(V)$ .

Hence,  $f \in \ker(\phi^*)$ .

Conversely, if  $\phi^*$  is not injective, then there is  $g \in I(W)$  with  $\phi^*(g) \in I(V)$ .

Then  $\phi^*(I(W) + (g)) \subseteq I(V)$ . By the observation,  $\phi(V) \subseteq W \cap \{a \in W \mid g(a) = 0\} \not\subseteq W$ .  $\square$

Def'n: Two varieties  $V, W$  are birational if there are dominant rational maps  $\rho: V \dashrightarrow W, \eta: W \dashrightarrow V$

Def'n: Two varieties  $V, W$  are birational if there are dominant rational maps  $\rho: V \dashrightarrow W, \eta: W \dashrightarrow V$  so that  $\eta \circ \rho = \text{id}_V$  and  $\rho \circ \eta = \text{id}_W$ . □

Proposition:  $V$  and  $W$  are birational if and only if their field of fractions are isomorphic.

Implitization

Theorem: Let  $\pi: \mathbb{A}^{n+m} \rightarrow \mathbb{A}^m$  be the projection morphism. Let  $V \subseteq \mathbb{A}^{n+m}$  be a variety.

Then  $\overline{\pi(V)} = V(I(V) \cap K[y_1, \dots, y_m])$ .

Proof: " $\subseteq$ " Let  $f \in I(V) \cap K[y_1, \dots, y_m]$  and  $(b_1, \dots, b_m) \in \overline{\pi(V)}$ . Then

There is  $(a_1, \dots, a_n, b_1, \dots, b_m) \xrightarrow{\pi} (b_1, \dots, b_m)$ . We have  $f(b_1, \dots, b_m) = f(a_1, \dots, a_n, b_1, \dots, b_m) = 0$ .

" $\supseteq$ " We show  $I(\overline{\pi(V)}) \subseteq I(V) \cap K[y_1, \dots, y_m]$ . If  $g \in I(\overline{\pi(V)})$ , then  $g \in K[y_1, \dots, y_m]$ , or else  $g$  does not vanish everywhere on  $\overline{\pi(V)}$ . Thus,  $g$  vanishes on  $V$ . □

Def'n: Let  $\phi: V \rightarrow W$  be a morphism of affine varieties. The graph of  $\phi$  is  $\Gamma_\phi = \{(a, \phi(a)) \mid a \in V\} \subseteq V \times W \subseteq \mathbb{A}^n \times \mathbb{A}^m \cong \mathbb{A}^{n+m}$ .

To find  $\overline{\phi(V)}$ , we observe that  $\overline{\phi(V)}$  is the projection of  $\Gamma_\phi$  onto  $\mathbb{A}^m$ , namely,  $I(\Gamma_\phi) \cap K[y_1, \dots, y_m]$ .

Theorem: Let  $\phi$  be as above. Then  $I(\Gamma_\phi) \cong (I(V)) + (y_1 - \phi_1, \dots, y_m - \phi_m)$ .

Proof: For any  $f \in I(V)$ , we immediately have  $f \in I(\Gamma_\phi)$ . Moreover, each  $y_i - \phi_i$  vanishes on  $\Gamma_\phi$  by definition.

Hence, the " $\supseteq$ " direction is shown.

For the converse, let  $f \in I(\Gamma_\phi)$ . Consider the quotient maps

$$K[x_1, \dots, x_n, y_1, \dots, y_m] \rightarrow K[x_1, \dots, x_n, y_1, \dots, y_m] / (y_1 - \phi_1, \dots, y_m - \phi_m) \rightarrow K[x_1, \dots, x_n, y_1, \dots, y_m] / (I(V) + (y_i - \phi_i)_{i=1}^m).$$

Then  $f \mapsto f(x_1, \dots, x_n, \phi_1, \dots, \phi_m) \mapsto 0$ . □

Applying elimination theory lets us compute the image of morphisms.

## Nullstellensatz

Theorem (Weak Nullstellensatz 1): The maximal ideals in  $\mathbb{C}[x_1, \dots, x_n]$  are precisely of the form  $(x_1 - a_1, \dots, x_n - a_n)$ , where  $a_1, \dots, a_n \in \mathbb{C}$ .

Proof: Since  $(x_1, \dots, x_n) /_{(x_1-a, \dots, x_n-a)} \cong \mathbb{C}$ , we have that  $(x_1-a, \dots, x_n-a)$  is maximal.

Let  $M \subseteq \mathbb{C}[x_1, \dots, x_n]$  be another maximal ideal. Then  $F = (\mathbb{C}[x_1, \dots, x_n])/M$  is a field, and there is a surjective homomorphism  $\pi: \mathbb{C}[x_1, \dots, x_n] \rightarrow F$  with  $\ker(\pi) = M$ . Let  $\pi_i = \pi|_{\mathbb{C}[x_i]}$ .

Then  $\text{im } \pi_i$  is a domain, since  $F$  is a field. Hence,  $\ker \pi_i$  is prime, thus maximal in  $(\mathbb{C}[x])$ .

Write  $\ker \pi_i = (x_i - a_i)$  for some  $a_i \in \mathbb{C}$ . Likewise,  $\ker \pi_j = (x_j - a_j)$  for some  $a_j \in \mathbb{C}$ . Thus,

$(x_1 - a_1, \dots, x_n - a_n) \subseteq \ker \pi = M$ , so the ideals are equal.

**Theorem (Weak Version II):** Let  $J \subseteq \mathbb{C}[x_1, \dots, x_n]$  be an ideal. If  $V(J) = \emptyset$ , then  $J = \mathbb{C}[x_1, \dots, x_n]$ .

Theorem (Strong Nullstellensatz): Let  $J \subseteq \mathbb{C}[x_1, \dots, x_n]$  be an ideal. Then  $I(V(J)) = \sqrt{J}$ .

Proposition: TFAE: (a) Weak Version 1,

### (b) Weak Version II.

(c) Strong Version.

Proof:

(a)  $\Rightarrow$  (b)

Suppose  $J \subsetneq \mathbb{C}[x_1, \dots, x_n]$ . By v1 and Zorn's Lemma, there is a maximal ideal  $(x_1 - a_1, \dots, x_n - a_n)$  containing  $J$ . In particular,  $(a_1, \dots, a_n) \in V(J)$ .

(b)  $\Rightarrow$  (a) Let  $M \subsetneq \mathbb{C}[x_1, \dots, x_n]$  be another maximal ideal. Then  $v_M$  gives us that  $V(M) \neq \emptyset$ .

Let  $(a_1, \dots, a_n) \in V(M)$ . Then  $M \subseteq (x_1 - a_1, \dots, x_n - a_n)$ . But  $M$  is maximal, so equality holds. //

(b)  $\Rightarrow$  (c) Let  $f \in \overline{J}$ . Then  $f^m \in J$  for some  $m \in \mathbb{N}$ . But  $\mathbb{C}$  is a domain, so  $f \in I(\mathcal{V}(J))$ .

Conversely, let  $g \in I(V(J))$  be non-zero. Since  $\mathbb{C}[x_1, \dots, x_n]$  is Noetherian, we may choose  $f \in J$  such that

$J = (f_1, \dots, f_r)$ . Define  $\tilde{J} := (f_1, \dots, f_r, 1-zg) \subseteq \mathbb{C}[x_1, \dots, x_n, z]$ . We claim that  $V(\tilde{J}) = \emptyset$ .

$J = (f_1, \dots, f_r)$ . Define  $\tilde{J} = (f_1, \dots, f_r, 1-zg) \subseteq \mathbb{C}[x_1, \dots, x_n, z]$ . We claim that  $V(J) = \emptyset$ .

Let  $(a_1, \dots, a_n, a_{n+1}) \in \mathbb{A}_{\mathbb{C}}^{n+1}$ . If  $(a_1, \dots, a_n) \notin V(J)$ , then  $(a_1, \dots, a_n, a_{n+1}) \notin V(\tilde{J})$ .

Suppose  $(a_1, \dots, a_n) \in V(J)$ . Then  $1 - a_{n+1}g(a_1, \dots, a_n) = 1 \neq 0$ , so  $(a_1, \dots, a_n, a_{n+1}) \notin V(\tilde{J})$ .

Thus,  $V(\tilde{J}) = \emptyset$ , so  $\tilde{J} = \mathbb{C}[x_1, \dots, x_n, z]$ . Hence, there are  $c_1, \dots, c_r, c \in \mathbb{C}[x_1, \dots, x_n, z]$  such that  $cf_1 + \dots + c_rf_r + c(1-zg) = 1$ , and evaluating  $z$  at  $\frac{1}{g}$  gives  $\sum_{i=1}^r c_i(x_1, \dots, x_n, \frac{1}{g})f_i = 1$ .

Clearing denominators, we have  $g^m \sum_{i=1}^r \tilde{c}_i(x_1, \dots, x_n)f_i \in J$  for some  $m \in \mathbb{N}$ . //

(c)  $\Rightarrow$  (b) We have  $V(J) = \emptyset$ , so  $\sqrt{J} = I(V(J)) = \mathbb{C}[x_1, \dots, x_n]$ , by definition of  $I(\emptyset)$ .

In particular,  $1 \in \sqrt{J}$ , so  $1 \in J$ . Hence,  $J = \mathbb{C}[x_1, \dots, x_n]$ . □

Essentially, Nullstellensatz is a way to go back and forth between ideals and their vanishings.

### Irreducible Varieties

Def'n: A variety is reducible if it is the union of two smaller varieties.

A variety that is not reducible is called irreducible.

Proposition: Any variety is the union of finitely many irreducible varieties.

Proof: Let  $V$  be a reducible variety. Suppose  $V = V_1 \cup V_2$  for some varieties  $V_1, V_2 \subseteq V$ .

If  $V_1, V_2$  are irreducible, then we are done. Suppose, without loss, that  $V_1$  is reducible.

Continuing in this way, we find a decreasing sequence of varieties  $V_1 \supseteq W_1 \supseteq W_2 \supseteq \dots$ .

Thus,  $I(W_1) \subseteq I(W_2) \subseteq \dots$  is an ascending chain of ideals. Since  $\mathbb{C}[x_1, \dots, x_n]$  is

Noetherian, we are done. □

Proposition: An affine variety  $W$  is irreducible if and only if  $I(W)$  is prime.

That is,  $W$  is irreducible if and only if  $\mathbb{C}[x_1, \dots, x_n]/I(W)$  is an integral domain.

Proof: " $\Rightarrow$ " Let  $f, g \in I(W)$ . Then  $W = I(V(W)) \subseteq V(fg) = V(f) \cup V(g)$ .

Then  $W = W \cap (V(f) \cup V(g)) = (W \cap V(f)) \cup (W \cap V(g))$ . But  $W$  is irreducible, so

$V(f) \subseteq W$  or  $V(g) \subseteq W$ .

" $\Leftarrow$ " Let  $I(W)$  be prime and write  $W = V_1 \cup V_2$  for some varieties  $V_1, V_2 \subseteq W$ ,  $V_1 \neq W$ .

Then  $T_{V_1} \cap T_{V_2} = \emptyset$  (by definition of varieties).  $T_{V_1} \cap T_{V_2} = T_{V_1} \cap T_{V_2} = \emptyset$  (by definition of varieties).

$\Leftarrow$  Let  $I(W)$  be prime and write  $W = V_1 \cup V_2$  for some varieties  $V_1, V_2 \subseteq W$ ,  $V_i \neq W$ .

Then  $I(W) \not\subseteq I(V_i)$ . Let  $f \in I(V_i) \setminus I(W)$  and  $g \in I(V_2)$ . Then  $fg$  vanishes on  $W$ .

Hence,  $fg \in I(W)$ . But  $I(W)$  is prime and  $fg \notin I(W)$ , so  $g \in I(W)$ . Hence,  $V_2 = W$ .  $\square$

### Projective Space

Def'n: Projective  $n$ -space,  $\mathbb{P}^n$ , is in bijection with  $(\mathbb{A}^{n+1} \setminus \{0\})/\sim$ , where  $[a_1, \dots, a_{n+1}] \sim [b_1, \dots, b_{n+1}]$  if there is  $\lambda \in \mathbb{C}^*$  such that  $[a_1, \dots, a_{n+1}] = \lambda [b_1, \dots, b_{n+1}]$ .

In particular,  $\mathbb{P}^n$  consists of lines in  $(n+1)$ -space intersecting but not containing the origin.

Remark: Projective space is compact, whereas affine space is not.

We define the canonical injection of  $\mathbb{A}^n$  into  $\mathbb{P}^n$  by  $(a_1, \dots, a_n) \mapsto [a_1, \dots, a_n, 1]$ .

Def'n: The extension of a polynomial  $f \in \mathbb{C}[x_1, \dots, x_n]$  to a polynomial with solutions in  $\mathbb{P}^n$  is

the homogenization of  $f$ ,  $\tilde{f} \in \mathbb{C}[x_1, \dots, x_n, z]$ , where  $\tilde{f}$  is the homogeneous polynomial formed by multiplying each monomial in  $f$  by suitable powers of  $z$ . i.e.  $\tilde{f}|_{z=1} = f$ .

Remark: Homogenization solves the issue of equivalence classes.

Def'n: The intersection multiplicity of  $f, g \in \mathbb{C}[x, y]$  at a common zero  $(a, b) \in \mathbb{C}^2$  is the dimension of the vector space  $(\mathbb{C}[x, y]/(f, g))_{(x-a, y-b)}$  over  $\mathbb{C}$ .

Remark: Localization "remembers" tangent information.

Theorem (Bézout): Let  $V, W$  be irreducible curves cut out by  $f, g \in \mathbb{C}[x, y]$  respectively.

i.e.  $V = V(f)$ ,  $W = V(g)$  in  $\mathbb{P}^2$ . Then, counting with multiplicity, the number of intersection points of  $f$  and  $g$  in  $\mathbb{P}^2$  is  $\deg(f) \cdot \deg(g)$ .

Remark:  $\mathbb{P}^2$  gives more solutions than in  $\mathbb{A}^2$ .