



Customer Account Transfer Form

Complete if you will be transferring funds or securities from another account. Transfers between joint and individual accounts are only allowed if the individual account owner is also one of the joint account holders.

☐ Full Transfer ☐ Partial T	ransfer		
Information About Custome Name/Acct. Title	er's TradeStation Securities Accoun		ial Security or Tay ID No
Name/Acct. Title		Customer Social Security or Tax ID No.	
TradeStation Securities Account No.		Second SS#	
Information About The Acc	ount To Be Transferred >>> Please		ppy of your current account statement <<<
Title of Account		Broker Clearing No.	
Account Number		Name of Firm	
Address of Firm		l	
Complete This Section If Tra	insferring From A Bank, Mutual Fund, or Cro	edit Union — DOES NOT APPLY T	O BROKERAGE FIRMS
You are hereby requested to:	☐ Transfer proceeds from Certificates of Dep		dge the penalty I will incur from an early withdrawal.
Complete This Section — To	Effect a Partial Transfer (additional assets r	must be listed on a separate page	e with your signature)
Quantity Description of Asset			Cash Balance (indicate credit or debit)
transfer all assets in my account to Trade within the timeframes required by NYSE. Unless otherwise indicated in the inst to the successor custodian. I authorize yo is insufficient to satisfy any outstanding fin your physical possession, I instruct you sale, when and as directed by me. I under I affirm that I have destroyed or return	Station Securities, Inc. I understand that to the extent Rule 412 or similar rule of the FINRA or other designar ruction below, I authorize you to liquidate any nontrar ou to deduct any outstanding fees due you from the c ees due you, I authorize you to liquidate the assets in	any assets in my account are not readily ted examining authority. asferable proprietary money market fund redit balance in my account. If my account or my account to the extent necessary to saffixing any necessary tax waivers, to enarction, you will cancel all open orders fo ssued to me in connection with my secur	rities account.
•	e following restrictions apply to a retirement		
If I am over 70 1/2, I attest that none of th	e amount to be transferred will include the required r	minimum distribution for the current yea	ar pursuant to Section 401(a)(9) of the Internal Revenue Code.
			account transfer is between joint and individual account, I ount holders will have exhaustive rights to the assets.
Customer's Signature		SIGN Date (must be	completed) Signature Guaranteed By
x		HERE	
Joint Account Holders Signature / F	Plan Administrator	SI	IGN If laint
x		H	If Joint Account Medallion Signature Guarantee Program (if applicable)
Letter of Acceptance — To t	the egies Trustee: Please be advised that Tra	deStation Socurities Inc. door ho	roby accort appointment as successor sustadian
Letter of Acceptance — To the prior Trustee: Please be advised that Trade Successor Custodian Signature (TSS completes)		Date	Contact TradeStation Securities, Inc., ACATS Telephone No. (954) 652-7920 Fax No. (954) 652-5949
Mutual Fund Registration Instructions (TSS completes)	Name and Address:	TradeStation Securities 8050 S.W. 10th Street, S Plantation, Florida 33324	suite 2000
<u> </u>	tions: Retain Current Dividends / Capital Gain	s Option	
DELIVERING FIRM Subject to the b	v-laws and rules of the N.S.C.C.		

IMPORTANT INFORMATION REGARDING THE TRANSFER OF MONEY MARKET MUTUAL FUNDS

On September 19, 2008, the U.S. Treasury announced the establishment of a temporary guarantee program ("Program") to protect shareholders of certain money market mutual funds. Under the Program, the U.S. Treasury will guarantee the stable net asset value of any publicly offered eligible money market mutual fund that applies for and pays a fee to participate in the Program. The Program is not automatic. The Program provides coverage to beneficial shareholders for amounts that they held in participating money market mutual funds as of the close of business on September 19, 2008.

If you held participating money market mutual funds shares on September 19, 2008, you could lose the benefit of the guarantee upon closure of the account from which you are transferring. To find out if the money market mutual fund you are transferring is in the Program, please contact the fund directly or check their Web sites. If you have any questions about a potential loss of coverage under the Program, we recommend that you contact your carrying Firm before closing your account.