# Deciding to Disclose: Pregnancy and Alcohol Misuse

Jonathan Gray Jakub Bijak Seth Bullock University of Southampton, Southampton, SO17 1BJ, United Kingdom E-mail: j.gray@soton.ac.uk

### Abstract

### Background

We draw together methodologies from game theory, agent based modelling, and decision theory to explore the process of decision making around disclosure. This is framed in the context of pregnant women disclosing their drinking behaviour to their midwives.

### Objective

The primary purpose it to demonstrate the potential utility of an approach which it is hoped goes some way towards addressing concerns about the ad hoc character of ABM<sup>1</sup>, by providing a strong theoretical grounding for the reasoning processes of individual agents. To this end we hope to show that these simple rules, operating in an inescapably artificial scenario are nonetheless capable of producing trends from the literature. We also seek to demonstrate the significance of precisely how the decision making process is formulated, by contrasting four distinct decision rules against one another and exploring a simple form of information sharing, supported by the use of statistical emulators for a full exploration of the parameter space.

### Methods

We employ game theory to define a signalling game representative of a scenario where pregnant women decide how far to disclose their drinking behaviours to their midwives, and midwives employ the information provided to decide whether a costly referral should be made. This game is then recast as two games taking play against nature, to permit the use of a decision theoretic approach where both classes of agent use simple rules to decide their moves. Four decision rules are explored - a lexicographic heuristic which considers only the link between moves and payoffs, a Bayesian risk minimisation agent that uses the same information, a more complex Bayesian risk minimiser, and a CPT<sup>2</sup> type.

Using a simulator we have developed in Python, we recreate two key qualitative trends described in the Midwifery literature for all the decision models, and investigate the impact of introducing a simple form of information sharing within agent groups. Finally a global sensitivity analysis using GEMs<sup>3</sup> was conducted, to compare the response surfaces of the different decision rules in the game.

#### Results

Selected results showing the ability of all decision rules to reproduce qualitative trends noted in the literature are provided, together with a sensitivity analysis, and comparitive heat maps produced using GEMs demonstrating the significance of the precise implementation of the decision making.

### Conclusions

The ability of all the decision rules to show the qualitative trends suggests that there is some utility associated with this approach.

#### Comments

We note that the scenario omits the overwhelming complexity of the reality, and is presented largely in the spirit of a convenient demonstration of the methodology. Clearly a domain where there is sufficient data to permit a more comprehensive approach to validation of model outcomes is desirable, and will form the basis of our future work.

<sup>&</sup>lt;sup>1</sup>Agent Based Modelling

<sup>&</sup>lt;sup>2</sup>Cumulative Prospect Theory

<sup>&</sup>lt;sup>3</sup>Gaussian Emulation Machines

To aid in replication and extension, the model has been implemented as a Python module, and is freely available under the Mozilla Public License from https://github.com/greenape/disclosure-game, to-gether with full parameter sets, raw data, and all other code used in producing this paper.

### 1 Introduction

The case in favour of ABM as an approach has been made numerously, and elegantly REFS. As such we will not belabour the point, and instead turn to addressing some of the concerns often expressed about the method. In this instance we focus on the perception of ABM as ad hoc in nature, tending to be a reflection of the assumptions of the modeller rather than empirically or theoretically grounded.

The remainder of this paper proceeds to provide brief reviews of alcohol and disclosure in the maternity setting (2.1), and the methodological context (2.2), before outlining the model (3), and experiments (4), with selected results (??), then closing with a discussion contrasting the decision models (??).

# 2 Background

This section presents a brief overview of literature focusing on the impact of drinking behaviour in pregnancy, and factors affecting disclosure behaviour in the midwifery context. This is followed by a review of literature supporting the theoretical underpinning of the modelling approach, with particular reference to statistical decision theory.

### 2.1 Alcohol, and Disclosure in the Maternity Setting

#### 2.1.1 Impact of Alcohol

Distinct from stigma attached to alcohol consumption in pregnancy, is the question of the real impact on woman and baby both in the antenatal period, and beyond. While the canonical example of alcohol linked disorders is FAS<sup>4</sup>, and others on that spectrum, heavy drinking during pregnancy has been mooted as a factor in a variety of negative health outcomes.

The impact of moderate alcohol consumption in pregnancy is more contested. For example, Andersen et al. (2012) examined moderate drinking in a large Danish cohort study, finding a significant increase in the risk of spontaneous abortion at low levels of consumption early in pregnancy. Savitz (2012) questioned the extent to which this can be interpreted as a causal connection, noting that there is a known relationship between absence of morning sickness, and spontaneous abortion, and suggesting that this may explain much of the difference in risk. Kesmodel et al. (2002) examined the relationship between alcohol consumption and still-birth, finding that increased consumption lead to an increase in risk to the baby, but in contrast to Andersen et al. (2012) this was significant at term.

Considering longer term negative outcomes, a metastudy by Latino-Martel et al. (2010) examined the potential for maternal alcohol consumption in pregnancy to feature as a risk factor for onset of childhood leukaemia, finding that any alcohol consumption was associated with an increased risk of childhood AML<sup>5</sup>, but note the rarity of the condition as a limitation.

Huizink and Mulder (2006) reviewed literature looking at the impact of moderate consumption on neurodevelopmental and cognitive outcomes, concluding that maternal consumption can be a contributing factor to ADHD<sup>6</sup>, and impairments to learning and memory. They subsequently suggest that the underlying mechanism is not specific to alcohol consumption, but a more general phenomena arising from perturbations to foetal conditions (Huizink 2009), but caution that methodological issues in many of the studies reviewed may undermine this hypothesis.

<sup>&</sup>lt;sup>4</sup>Foetal Alcohol Syndrome

<sup>&</sup>lt;sup>5</sup>acute myeloid leukemia

<sup>&</sup>lt;sup>6</sup>Attention Defecit Hyperactivity Disorder

Contrary to this, a meta-study by Gray and Henderson (2006) found there was insufficient evidence to suggest any harm arising from moderate (under 1.5 UK units per day) alcohol consumption. This ties to the current guidance from the NICE<sup>7</sup> (National Institute for Health and Care Excellence 2010a), advising that women should avoid drinking at all in at least the first three months of pregnancy, and no more than 1-2 units once or twice a week if they do. In giving this advice, NICE acknowledge that the risks to the foetus from alcohol are a somewhat contentious subject, concluding that the evidence of harm is inconclusive, but that this is not sufficient to rule out the risk of negative outcomes. This tension is reflected by earlier guidance from the RCOG<sup>8</sup> (Royal College of Obstetricians and Gynecologists 1996) suggesting no evidence of harm below 15 units per week, and subsequent criticism by Guerri et al. (1999), who suggest that this might be interpreted as legitimising binge drinking, while noting several studies indicating adverse affects linked to even a single drink per day (e.g. Day et al. (1990)). A subsequent RCOG statement (Royal College of Obstetricians and Gynaecologists 2006) revised the recommendations to incorporate newer findings, advising that there is no known safe threshold for drinking in pregnancy, and highlighting binge drinking as of particular concern.

There has recently been an increased interest in the impact of binge drinking, as a distinct pattern of consumption, with a wide variety of negative outcomes reported by Maier and West (2001), although a significant portion of their evidence base is drawn from animal studies which augers for caution in generalising findings to humans. Strandberg-larsen et al. (2008) explored links between binge drinking, and stillbirth, reporting a statistically significant increase in risk associated with more than three antenatal binge episodes. Sun et al. (2009) looked at seizure disorders in children whose mothers binged during pregnancy. They reported significantly greater risk of both neonatal seizures (~3 fold) and epilepsy (1.81 fold) associated with binge drinking between 11 and 16 weeks, but emphasised the exploratory nature of the results, and need for replication. In terms of neurodevelopmental outcomes, Streissguth et al. (1994) found a dose dependent association with scores on timed word, and arithmetic tests in fourteen year olds with a stronger association where bingeing occurred. A review by Henderson et al. (2007) cautiously supports the contention that binge drinking has a neurodevelopmental impact, but found no consistent support for adverse outcomes in pregnancy (e.g. stillbirth, miscarriage, etc.) and note a paucity of studies in the area. Meyer-Leu et al. (2011) considered the neonatal period, finding that both moderate and binge drinking were associated with an increased trend towards neonatal asphyxia. They also noted a large number of contradictory findings and raising methodological concerns about the studies reviewed by Henderson et al.. Barr et al. (2006) contend that binge drinking may also contribute to psychiatric issues in the later life of offspring, although in this case their findings are confined to individuals with FAS, which may in itself be a confounding factor, rather than indicating a directly causative relationship between antenatal binge drinking and subsequent psychiatric disorder in offspring.

Overall, there is a distinct lack of consensus on what, and how extensive, the effects of drinking on the immediate and long term health outcomes are for the child.

#### 2.1.2 Disclosure

The issue of disclosure is central to the model presented here, in particular self-report by women of information that might disadvantage them, or be expected to do so in the immediate term. In general, the consensus is that alcohol self-reports have acceptable validity in the research context (Del Boca and Noll 2000), but do not correspond perfectly to alternative methods. Del Boca and Darkes (2003) claim that the validity is generally accepted, and suggest that the current focus lies on what factors and processes underlie the discrepancies rather than questioning determining their existence. In this instance, the conjecture is that the information is in some way stigmatising; that, following Goffman (1990), disclosure equates to revelation of the mark. This is not immediately contentious, for example Gomberg (1988) identified stigma surrounding alcohol abusing women in particular, an issue also highlighted by IAPT<sup>9</sup> guidance (Improving Access to Psychological Therapies et al. 2012), as well as a number of other studies relating response effects to perceived negative consequences (Langenbucher and Merrill 2001; Del Boca and Noll 2000; Blair et al. 1977). In

<sup>&</sup>lt;sup>7</sup>National Institute for Health and Care Excellence

<sup>&</sup>lt;sup>8</sup>Royal College of Obstetricians and Gynecologists

<sup>&</sup>lt;sup>9</sup>Improving Access to Psychological Therapies

the maternity context, Radcliffe (2011) identifies stigma pertaining to substance misusing women amongst staff, and suggests that this may represent a barrier to appropriate treatment; similarly, NICE guidance on pregnancy and complex social factors (National Institute for Health and Clinical Excellence 2010) recognises concern about the attitude of staff as a source of anxiety in pregnant women who misuse substances.

Stigma, or fear of a judgemental response on the part of the practitioner should not however be taken uncritically to explain inaccurate reporting by patients. While recent NICE public health guidance advocates routine alcohol misuse screening as a part of all practice (National Institute for Health and Care Excellence 2010b), there is no specific policy for routine antenatal care beyond providing information on possible impacts of alcohol consumption (National Institute for Health and Care Excellence 2010a). NICE guidance on pregnancy and complex social factors (National Institute for Health and Clinical Excellence 2010) does specifically address women who misuse alcohol, but presupposes knowledge of the problem through medical history, or via other services. Taken in concert with the potential for harm from even moderate alcohol use (section 2.1.1), this suggests that much of the onus is on the patient to volunteer information.

Where screening is used, Kaskutas and Graves (2000) note that the most basic method, i.e. number of standard drinks consumed, can lead to inaccurate estimates of consumption arising from inability to relate the concept of a standard drink, to actual consumption. This is compounded by the impact of memory effects on recall over a number of days (Stockwell et al. 2004), and a lack of consistency in the standard drink measure (Turner 1990). Alternative screening tools, for example AUDIT, and T-ACE are available and have been shown to perform well in identifying problematic levels of drinking (Piccinelli and Tessari 1997; Bradley et al. 1998; Russell 1994; Russell and Martier 1996), although the emphasis in these cases is on consumption at disordered levels.

Prior et al. (2003) considered a different health arena (mental health problems and GPs), with similar characteristics in terms of concealment of medically relevant information. The central finding in this case is that non-disclosure is not a result of stigma, but of mismatched ontologies surrounding mental illness. Work by Alvik et al. (2005), where the relationship between anonymity and reporting of alcohol consumption by pregnant women was investigated, found no significant relationship, suggesting that a fear of social judgement may not be a dominant factor. This draws an interesting contrast with a study by Alvik et al. (2006), which found that contemporaneous reports of consumption were significantly lower than those postpartum. Logistic regression results suggest that this trend is amplified by a number of factors, including level of alcohol consumption preceding conception, while anxiety about foetal wellbeing during pregnancy was associated with lower retrospective reports. Taken together with (Alvik et al. 2005), these results could be seen as conflicting, but may suggest self-stigmatisation (Watson et al. 2007), or reflect a lack of distinction between anonymity, and confidentiality (Malvin and Moskowitz 1983).

In summation then, there is a consensus that alcohol consumption is generally underreported in the pregnant population, with some support for the idea that concern about social judgement associated with stigmatisation may be a contributing factor. Of particular interest in the wider context of this work, is the relationship between underreporting and consumption, i.e. that heavier drinking is associated with a greater tendency to understate intake.

#### 2.1.3 Practice Implications

Given that alcohol consumption is thought to be underreported some consideration must be given to the implications for midwifery practice, in terms of eliciting more accurate self-reporting. Phillips et al. (2007) present a qualitative account of factors influencing the disclosure of substance misuse to midwives, identifying particularly the need to build up a rapport, potentially over a number of appointments. This was related to continuity of care, seen as necessary by both midwives and women for building up a trust relationship, itself a key component of facilitating disclosure. Stevens and McCourt (2002) looked specifically at the process of transitioning to a caseloading model of care provision in one midwifery practice, reporting that both practitioners and women felt that this offered advantages in terms of long term relationship building. Relationship building was also highlighted by Kennedy et al. (2004) in a narrative investigation of midwifery practice, where the subjects interpreted the midwife-woman dynamic as about mutuality. Kennedy et al. suggest that this arises from a recognition that interactions in this context are about information exchange, with

the knowledge base of the woman as significant as that of the midwife, rather than simply a unidirectionally didactic relationship.

Hunter (2006) also focuses on much of midwifery as about relationship building, suggesting that there is an insufficiently recognised emotional labour component to practice. Observation and interview of a number of midwives as they practiced suggested that many midwives effectively took a mother type role to their patients, with implications around the nature of information exchange that was able to take place. The emotional labour component was also reported by Stevens and McCourt, who suggest that this is more evident under a caseloading system, particularly with challenging patients with complex needs. Todd et al. (1998) surveyed midwives working in a hospital environment, as well as those working in the community in a caseloading context, finding that community practice appeared to provide more job satisfaction, but was challenging to implement effectively because of limited resources. Community midwives suggested that larger team sizes, and smaller caseloads would contribute to a better realisation of the model. Farquhar et al. (2000) approached the same question from the perspective of women, also finding that faulty implementation hampered the expected benefits. They found that those cared for under a team scheme, with much higher continuity of care, reported that they had a better relationship with their midwives, but were not more satisfied in general with their care. In contrast, Biró et al. (2003) looked at a RCT<sup>10</sup> of team midwifery care versus hospital care in Australia, finding a significantly higher level of satisfaction under the team model, the distinction in this case may lie in the different balance of team size to caseload size.

In terms of the impact of continuity of care on health, rather than experiential outcomes, research is relatively sparse. Marks et al. (2003) examined the impact of continuity of care on PND<sup>11</sup>, which has similar features to alcohol in that it carries an associated stigma that can act as a barrier to help seeking (Dennis and Chung-Lee 2006). Based on the results of a RCT, they conclude that continuity of care is not protective, in the sense of reducing rates or impacting onset, but was very successful in supporting engagement with treatment. Echoing this, a 2009 Cochrane Review by Hatem et al. (2009) found no significant difference in incidence of PND, but reported benefits in terms of lower rates of episiotomies, anaesthesia, and shorter hospital stays, with higher satisfaction as found by Biró et al.. While research in this area does not specifically pertain to disclosure, the general trend in results are suggestive when taken in concert with studies emphasising the importance of relationship building as key in fostering a disclosure friendly environment. Continuity of care is generally regarded as improving patient experience, and leading to better health outcomes in the wider medical arena (Van Walraven et al. 2010), but is clearly not a cost free endeavour, with particular concern arising from the emotional cost (Todd et al. 1998), and increased rates of 'burnout' in practitioners (Sandall 1997).

#### 2.2 Games, Signals, and Decisions

#### 2.2.1 Signalling Games

Game theory generally deals with strategic decision making in the unusual circumstance of complete information, that is, every player has at least complete knowledge of all possible outcomes, who their opponents are, and so forth. Arguably more generally applicable is the incomplete information scenario, where players lack information about the rules of play in some fashion. Harsanyi (1967) proposed a method for effectively transforming such games into games of complete information by treating the possible variations on the rules as subgames. To determine which subgame is to be played, an additional player - nature - is introduced to make the first move, where nature conducts a lottery according to some probability distribution. If it is assumed that the underlying probability distribution is known to all players, the game is then one of complete information.

Perhaps the best known example of Bayesian games, are the signalling games codified by Kreps and Cho (1987), after initially being framed by Spence (1973) in the context of employment markets. The general form of such a game is that one player holds information known only to them, on the basis of which they send a signal to the other player(s), which the other player(s) then act upon. Much of the interest in signalling

 $<sup>^{10}</sup>$ randomised control trial

 $<sup>^{11}</sup>$ Postnatal Depression

games turns on what conditions are necessary for honest signalling to be a Nash equilibrium, or in the context of evolutionary game theory, an  $\mathrm{ESS^{12}}$ .

One approach to this requires that signalling is a costly exercise, as proposed by Grafen (1990) in examining biological signals (for example, the eye-catching but unwieldy peacock tail). Grafen demonstrated that an earlier suggestion by Zahavi (1975), who proposed that such signals were in effect a handicap demonstrating fitness, would lead to an ESS because of the costly nature of the signalling. This solution is also noted by Spence (1973), who showed that a separating equilibrium exists<sup>13</sup> contingent on signals being more costly for some types.

Costly signalling has been applied to explain a variety of apparently contradictory behaviours, for example Godfray (1991) in the context of offspring soliciting food from parents, where the key question is why a behaviour with potentially very high costs (namely, being eaten) would be preferred to a less risky method. In a social context, costly signalling has been proposed as an explanation for religion in human societies. Sosis (2003) developed a model of religious ritual as an exercise in costly signalling, showing that higher costs to engagement in rituals for skeptics maintains the stability of religious groups and the presumed benefits that membership confers. Henrich (2009) extended this idea, and developed an evolutionary model combining cultural transmission with costly signalling in a population, finding that for even modest costs the system moved towards universal belief. Wildman and Sosis (2011) subsequently extended the model, to address the fact that both stable equilibria are binary states, finding that the incorporation of group differentiation allowed subgroups to persist.

Signalling games have also been extended to provide models of other observed human behaviour, for example Austen-Smith and Fryer Jr. (2005) attempted to explain the observed poor academic attainment of some social groups by positing a multiple audience signalling game. They found that the introduction of a secondary signalling game with a peer audience, alongside the prototypical Spence model introduced a pooling equilibrium. Subsequent empirical work by Fryer Jr. and Torelli (2010) has provided some support for this idea. Along similar lines, Feltovich et al. (2002) examine an observed failure by high quality types to signal as would be anticipated, introducing the concept of countersignaling in scenarios where there is noisy leakage of type information. They found that where there is added noisy type information available, separating equilibriums exist where high quality senders signal either as low quality, or not at all.

#### 2.2.2 Bayesian Decision Theory and Expected Utility

Decision theory is the theory of rational decision making (Peterson 2009), this contrasts with game theory which is concerned with strategic decision making. In the broadest sense, the field can be divided into two types of theories: normative, and descriptive. Normative theories are those which attempt to give the rational answer to a decision problem, descriptive or behavioural theories focus instead on characterising the process of human decision making. In this instance, the particular concern is with theories of decision making under uncertainty.

Underpinning almost all theories of decision making, and much of economic theory in general is the concept of expected utility, originally proposed by Bernoulli (1954). This casts decisions as choices between lotteries or gambles, with differing payoffs and probabilities.

Under this model, the expected utility of any gamble is a function of the probability of the outcomes, their utility to the gambler, and the gambler's risk aversion. Essentially this is an extension of the expected value criterion, which assumes that the expected value is based only on the probability and objective value of outcomes. By contrast, the utility framing is a subjective measure, allowing differing preferences between gamblers. Von Neumann and Morgenstern (1953) later formalised the theory, defining rational decision as acting to maximise expected utility, where an individual's preferences are shown to fulfil four axioms, namely completeness, transitivity, independence, and continuity. Completeness requires that for any two lotteries A and B, the decision maker prefers one to the other, or is indifferent. Transitivity requires that if A is preferred to B, and B is preferred to C, then A is also preferred to C. Continuity states that given a scenario

 $<sup>^{12}{\</sup>rm Evolutionarily~Stable~Strategy}$ 

<sup>&</sup>lt;sup>13</sup>In fact, an infinite number of them.

as in the transitivity axiom, there is some combination of lotteries A and C where the decision maker is indifferent between that combined lottery and B. Finally, independence maintains that if one were to prefer gamble A to B, that preference holds if both are combined with lottery C.

While vastly influential, the expected utility theory has been substantially criticised, generally for failing to predict real behaviour. Allais (1953) attacked the independence axiom in particular, suggesting that in some scenarios people's choices would be inconsistent where expected utility implies otherwise. A number of studies (e.g. (Oliver 2003; Burke et al. 1996)) have since supported the intuition to some extent.

More recently, support for some aspects of the expected utility theory, particularly the concept of utility as a common currency for comparison, has come from neurology, for example following work by Platt and Glimcher (1999), Padoa-Schioppa and Assad (2006, 2008) report neuronal firing corresponding to economic value in decision making tasks undertaken by monkeys, while Christopoulos et al. (2009) found similarly indicative results for risk aversion. The suggestion implicit in the model proposed here, that this also applies to social judgements, is less investigated, although both Watson and Platt (2012), and Willis et al. (2010) found that lesions in the brain area<sup>14</sup> identified by Padoa-Schioppa and Assad lead to abnormal social judgements in humans and primates.

Bayesian decision theory, as expounded by Robbins (1964) applies Bayesian inference to the process of decision making under some degree of uncertainty, where decisions may be one-shot, or repeated. The central idea is relatively straightforward, and assumes that the loss or gain of some action to resolve a decision is contingent on an unknown parameter. To solve the problem, the decision maker chooses whichever action will minimise the risk, where the risk of an action is  $\sum_{i} \lambda(a_j|w_i)P(w_i|x)$ , i.e. the loss incurred for taking action  $a_j$  given that the true state of the world is  $w_i$ , multiplied by the belief that this is the true state of world given evidence x, summed across all possible worlds. Essentially this is identical with expected value, with Bayesian style probabilities. This allows an additional process of inference to progressively update the distribution from which  $P(w_i|x)$  derives, as new evidence is obtained after each decision.

This approach has been used in a wide variety of scenarios, for example McNamara and Houston (1980) have applied statistical decision theory as a framework for understanding animal learning <sup>15</sup>, while Harsanyi (1978) has derived an ethical framework from the principles. Less controversially, in contexts where optimality is desirable as an outcome, Dorazio and Johnson (2003) have used Bayesian decision methods in combination with MCMC<sup>16</sup> to solve complex waterfowl habitat management problems, and Kristensen (1997) has developed robots which utilise Bayesian decision analysis to plan sensor operations.

As with standard expected utility, the Bayesian approach can be criticised, in this case on the grounds of plausibility. The question of plausibility arises from the suggestion that Bayesian inference is in some way a model of human inductive reasoning, as argued by some branches of cognitive science. For example, Tenenbaum et al. argue for the Bayesian approach as a top-down model of inductive reasoning in humans (Tenenbaum et al. 2006; Griffiths et al. 2010), a general approach criticised by Bowers and Davis (2012) as unfalsifiable, overcomplicated, and relying on an unrealistic conceptualisation of the brain as optimal. Miller (2012) also applied similar criticism to claims by Gallistel (2012) that Bayesian inference better characterises learning as opposed to associative conditioning type models, suggesting that this relies on an assumption of optimality which is unfounded.

#### 2.2.3 Descriptive Decision Theory

Arguably the most significant criticism of theories of decision making, is their failure to correspond to empirically observed decision making <sup>17</sup>. This was probably first raised by Simon (1956), who proposed that the apparent divergence derived from a tendency to satisfice, rather than optimise. This suggestion rests on the not unreasonable assumption that people do not have unlimited cognitive capacity (i.e. bounded rationality (Simon 2000)), and hence use heuristic means to make decisions, namely by choosing the first

 $<sup>^{14}</sup>$ The orbitofrontal cortex.

<sup>&</sup>lt;sup>15</sup>Although they note that this is in the sense of how animals 'should' learn, rather than how they do learn

<sup>&</sup>lt;sup>16</sup>Markov chain monte carlo methods

<sup>&</sup>lt;sup>17</sup>This critique is not unique to decision theory, and has also been levelled at game theory (e.g. Fehr and Fischbacher (2003) on the irrational altruism of humans playing the prisoners' dilemma).

'good enough' option. Simon suggests that this process nevertheless leads to the optimal solution is most cases.

Subsequent work on descriptive theories largely follows the same framework in assuming that in reality, human decision making is a heuristic process. Tversky and Kahneman (1974) developed three heuristics to explain observed systematic errors in reasoning - representativeness, availability, and anchoring. Representativeness suggests that when asked to judge how related one object or event is to another, they do this based on the extent to which they resemble one another - crucially they will ignore additional, better information when available. Availability claims that when tasked with estimating probabilities, people will rely on the ease with which they can call examples to mind (note that this might be considered an example of satisficing). Finally, anchoring proposes that when estimating, people start with some initial value and progressively update from there, i.e. they will tend to overweight prior evidence at the expense of new information.

Subsequently, Kahneman and Tversky (1984); Tversky and Kahneman (1986) also identified framing effects, which imply that the decisions people make are impacted by the fashion in which the problem is presented. The essential outcome from these findings is that people are risk seeking when faced with outcomes framed as losses, but risk averse towards gains, and regard any loss as greater than an equivalent gain. The impact of framing in itself has been shown to be significant, for example Toll et al. (2007) found improved abstinence rates in smoking cessation where quitting was framed as a gain, and NICE recommend considering the framing of treatment outcomes when presenting options to patients (National Institute for Health and Care Excellence 2007).

PT<sup>18</sup> (Kahneman and Tversky 1979) attempts to provide a decision rule accounting for the heuristic nature of decision making and incorporate framing effects, which successfully explains many perceived failures of rationality. A revised version, CPT (Tversky and Kahneman 1992) addressing a violation of first order stochastic dominance possible under the original formulation, extends the theory to allow decisions with more than two options, but sacrifices the editing phase. Camerer (2004) reviews a number of successes in explaining apparent anomalies with CPT, and argues that should replace expected utility in general usage. Thaler (2000) regards the theory as promising, but points out that it is in many ways incomplete, citing the lack of explanation as to how people construct frames as an example of this.

A significant weakness of CPT as a general theory of decision making is that it fails to account for behaviour under intertemporal choice, or rather does not attempt to address it. Generally, intertemporal choice is assumed to be underpinned by the DU<sup>19</sup> model of Samuelson (1937), which proposes that the value of a thing right now is greater than the value of it at some point in the future (jam today has more utility than jam tomorrow), following an exponential relationship. A more nuanced view of this has been proposed by Ainslie (1991), suggesting that the relationship is hyperbolic rather than exponential. Both models however fail to explain several inconsistencies, for example Thaler (1981) found that discounting rates were different between gains and losses. Loewenstein and Prelec (1992) report a number of additional inconsistencies that are not adequately resolved by DU models, and propose an alternative along the lines of CPT to resolve them while retaining the capabilities of Kahneman and Tversky's model in immediate term choices.

### 3 Model

In this section we outline the disclosure game model, and give details of the four decision rules, but begin with a brief sketch of a pregnancy in terms of encounters between a woman and a midwife. Typically women will have 12 appointments with a midwife during the antenatal period. Outside of caseloading teams, a woman does not generally have a named midwife, and may see a different practitioner at each appointment. In the UK, and unlike most healthcare scenarios, maternity notes are patient held, so midwives do not have extensive information prior to an appointment unless they have encountered the woman previously. Maternity notes are not generally linked to extra-departmental records, meaning that a history of alcohol related admissions to another service may remain unknown unless revealed by the woman.

 $<sup>^{18}</sup>$ Prospect Theory

<sup>&</sup>lt;sup>19</sup>Discounted Utility

According to NICE guidance (National Institute for Health and Care Excellence 2010a; National Institute for Health and Clinical Excellence 2010) substance misuse should be raised at the initial booking appointment, and subsequent action if a concern is raised is at the discretion of the midwife. This may take the form of specific guidance to reduce intake, or if deemed necessary a referral to a specialist midwife and relevant interdisciplinary team. On alcohol consumption, policy regarding how to determine the level of consumption is generally at the trust level, or according to the best judgement of the individual midwife, with no guidance provided by NICE. This commonly takes the form of average units per week, but may include T-ACE<sup>20</sup> and similar measures.

Beyond the booking appointment, the onus is on women to raise concerns about their drinking behaviour, or the midwife to probe further if they feel it is warranted. In either case, once a concern has been raised the midwife must respond clinically, and inevitably personally, to the information.

In an ideal world, all interactions with healthcare providers would be immediately and fully disclosive, with no repercussions for the patient. However even when considering less emotive topics, this is not the case.

#### 3.1 Disclosure Game

In order to translate the scenario sketched above into a more abstract, tractable form, we cast it as a signalling game, and assume that women's disclosures (or not), are signals. We also make the simplifying assumption that a woman may have one of only three drinking patterns - light, moderate, or heavy. Correspondingly, they are limited in what signals they may send to claiming to be one of these three types.

Midwives are treated in a similar fashion, where their type corresponds to how negatively they regard a drinking pattern - non-judgemental, moderately judgemental, and harshly judgemental. The expression of this judgement is not a matter of choice on their part, and is assumed to have no impact on their response, which is to either refer the woman for specialist treatment, or do nothing.

At the end of a game, each player receives a payoff dependent on the actions and types of both players, which has a partially common interest component. Women receive a payoff based on the health of their eventual baby, with a social cost dependent on the signal they sent and the midwife's reaction to it. Midwives receive the same health payoff as the women, but pay a cost for referring to a specialist, mirroring the organisational cost of non-routine care (table 1).

Taken together, this leads to a game tree that is relatively complex even at the subgame level (figure ?? shows the extensive form for a subgame, with information sets). Rather than attempt to solve for equilibria, agents treat this two player game as taking place against nature, along the lines of adversial risk analysis (Insua et al. 2009). This effectively translates the game to a pair of decision problems, which agents attempt to resolve at each turn using a simple decision rule, given their prior beliefs and experience of play.

Women are drawn in order from a queue, and play against a midwife chosen at random. They play for a maximum of 12 rounds (following the routine number of ante-natal appointments in the UK (National Institute for Health and Care Excellence 2010a)) or until they are referred. At which point a new player is drawn from the same distribution that produced the original players to replace them. If they are not referred, they rejoin the back of the queue after their appointment. In either case, they are informed of their payoff after each round and update their beliefs accordingly.

Midwives play for k rounds (k = 1000 in all experiments), and conduct appointments in parallel, i.e. if there are 5 midwives, then five women are drawn from the queue and assigned at random to the midwives. Unlike women, midwives are only informed of their payoff if they choose to make a referral. Both groups of agents have perfect recall, and midwives are assumed to retrospectively update their observations if they make a referral after a number of appointments.

<sup>&</sup>lt;sup>20</sup>Tolerance, Annoyance, Cut down, Eye-opener

		Woman			
Midwife		Heavy	Moderate	Light	
	Harsh	0, -2	0, -1	0, 0	
	Medium	0, -1	0, 0	0, 0	
	Low	0, 0	0, 0	0, 0	

(a) Social cost

		Woman		
Midwife		Heavy	Moderate	Light
	Refer	10, 10	10, 10	10, 10
	Don't refer	-2, -2	-1, -1	10, 10

(b) Health outcome

		Woman		
Midwife		Heavy	Moderate	Light
	Refer	-9, 0	-9, 0	-9, 0
	Don't refer	0, 0	0, 0	0, 0

(c) Referral cost

Table 1: Payoff matrices

### 3.2 Agent Models

While in principle a wide variety of agent models are possible, given that decision rules operate on essentially the same information, and produce the same outputs, we limit ourselves here to four. The simplest is a lexicographic rule (1), motivated as in the spirit of a FFH<sup>21</sup> (Gigerenzer 2004) which uses only information about payoffs given actions; a Bayesian risk minimisation rule using the same information (2); a second Bayesian risk rule (3) which uses information about the underlying lottery; and a two-stage CPT Hau et al. (2008) agent (4) which is identical with 3, but uses the CPT decision rule from Tversky and Kahneman (1992). Hence, each successive decision model adds a layer of sophistication to the problem representation while retaining the same input-ouput characteristics.

As noted in section 3.1, agents have perfect recall, and recognise individual opponents if they encounter them subsequently. While agents recall perfectly and make use of the new information for retrospective updates, all four agent models make decisions 'as-if' they were always facing a new opponent.

A simplifying assumption is made that all midwives have just qualified, with identical training and as a result have entirely homogenous beliefs about their women. It is assumed in general that they have not been trained by Dr. House, and as a result have been taught that people will generally tell the truth. Women are heterogenous in their prior observations, which are assigned stochastically and constrained such that they have encountered each scenario possible at least once, with exactly k encounters overall.

#### 3.2.1 Payoff Reasoning

Both payoff reasoners assume a simplified decision problem, as in figure ??, where an action is a choice between lotteries. The lexicographic heuristic (algorithm ??) maintains a count of the number of times that each action was followed by a payoff, and chooses the action which most commonly has the best payoff, i.e. one reason decision making. The Bayesian risk minimisation agent uses the same subset of information, but updates beliefs on the link between actions and payoffs using Bayes rule, and attempts to choose the action which minimises risk.

<sup>&</sup>lt;sup>21</sup>Fast and Frugal Heuristic

#### 3.2.2 Bayesian Risk Minimisation

Aside from the heuristic agent, the remaining three agent types use Bayesian updating to attempt to improve the accuracy of their beliefs. Given the discrete nature of agent types, and actions, coupled with a desire for tractability of the simulation, the Dirichlet distribution is employed to represent these beliefs. The probability density function takes the form -

$$D(\Theta|\alpha) = \frac{\Gamma(\sum_{i=1}^{k} \alpha_i)}{\prod_{i=1}^{k} \Gamma(\alpha_i)} \prod_{i=1}^{k} \Theta_i^{\alpha_{i-1}}$$

Where  $\alpha = \{\alpha_1 \dots \alpha_k\}$ , k is the number of discrete types,  $\Theta = \{x_1, \dots, x_{k-1}\}$  all more than zero and summing to less than 1, and  $\alpha_i$  is the integer psuedo-count of prior observations for type i.

The distribution is particularly convenient, in that to infer the probability of a new observation being of some particular type becomes simply -

$$P(x=j|D,\alpha) = \frac{\alpha_j + n_j}{\sum_j (\alpha_j + n_j)}$$
 (1)

Where  $n_j$  is simply the count of occurrences of type j, so that the belief that a new observation is of type j the number of times that type has been observed (including the pseudo-count), over the total number of observations thus far. This makes computation of beliefs fast and simple, since all that must be maintained is a count of observations with no particular concern as to their order.

#### 3.2.3 Descriptive Decision Theory

The most complex decision rule used is CPT, which attempts to reproduce a number of systematic deviations from rationality observed in humans. While CPT has primarily been applied in the context of decisions from description, it has been modified to deal with decisions from experience by incorporating a first stage where probabilities are estimates from observations as in Hau et al. (2008). In this instance we replace the frequentist first stage with the equivalent Bayesian inference process.

Rather than the psychologically more interesting PT, the CPT decision rule is used in this instance, because of the requirement for women to evaluate more than two 'prospects'. <sup>22</sup> CPT introduces the concept of a probability weighting function, which underweights small probabilities, and overweights large ones in an effort to capture the tendency of humans to treat high probability events as sure things, and small probabilities as 'never going to happen'. A number of different weighting functions have been proposed, but in this instance the original formulation by Tversky and Kahneman (1992) is used. This distinguishes between weighting for gains, and losses -

$$w^{+}(p) = \frac{p^{\gamma}}{(p^{\gamma} + (1-p)^{\gamma})^{\frac{1}{\gamma}}}$$
$$w^{-}(p) = \frac{p^{\delta}}{(p^{\delta} + (1-p)^{\delta})^{\frac{1}{\delta}}}$$

Where p is the unweighted probability, and  $\gamma$  and  $\delta$  are the weights for gain and loss probabilities respectively. Humans have also been observed to value gains and losses differently, with a loss being 'worse' than the equivalent gain is 'good'. This entails a transformed value function -

$$v(x) = \begin{cases} f(x) & if \ x > 0 \\ 0 & if \ x = 0 \\ g(x) & if \ x < 0 \end{cases}$$

<sup>&</sup>lt;sup>22</sup>A prospect in this instance is a paired outcome and probability, and the set of prospects for an action hence define the outcome space.

Where,

$$f(x) = \begin{cases} x^{\alpha} & if \alpha > 0\\ ln(x) & if \alpha = 0\\ 1 - (1+x)^{\alpha} & if \alpha < 0 \end{cases}$$
$$g(x) = \begin{cases} -(-x)^{\beta} & if \beta > 0\\ -ln(-x) & if \beta = 0\\ (1-x)^{\beta} - 1 & if \beta < 0 \end{cases}$$

And  $\alpha$ , and  $\beta$  are respectively the power of a gain, and a loss. The CPT value of outcome x is  $v(x)w^+(x)$  if  $x \geq 0$ , and  $v(x)w^-(x)$  otherwise. For an action the CPT value is the sum of the value of the prospects of that action, as in the Bayesian risk model. The decision rule then requires the agent to choose the action which maximises the prospect theory value.

### 3.3 Information Sharing

It would seem unreasonable to suppose that neither party recounts their experiences to their peers, and to explore the impact of this we also modify the game to introduce a simple form of information sharing within agent groups. This takes the form of having each agent share their memories with their colleagues with some probability p. Individuals then incorporate shared information into their beliefs using weighted updates, such that a shared observation of a low type signal contributes to their beliefs by q, and  $0 \le q \le 1$  (i.e.  $n_j = n_j + q$ ). Women share only when they have finished play, and provide their complete history of games, because they have accurate information about the outcomes. By the same rationale, midwives share only their history with the most recent woman they referred. Sharing occurs simultaneously for all players at the end of each round, and all memories are either shared immediately or discarded.<sup>23</sup>

Because of their differing problem representations, the simple payoff reasoners and their more complex counterparts incorporate this exogenous information differently. The simple payoff based rule relys on a belief structure relating actions directly to rewards. Because payoffs differ by the agent's private type, the information shared may not correspond to the experience of the listening agent in the same scenario. As a result, payoff reasoners have a belief bias towards the most common player type, and can believe in outcomes that are, for them, impossible.

By contrast, representing the problem in terms of the probabilities of the individual lotteries yields a structure that abstracts the new information from payoffs, and allows the agent discount implausible outcomes.

### 4 Method

This section provides details of experiments conducted to examine the ability of the model to reproduce qualitative trends reported in the midwifery literature by Alvik et al. (2006), and Phillips et al. (2007); as well as a global sensitivity analysis and construction of statistical emulators to explore, and contrast the response surfaces of the four decision rules.

#### 4.1 Qualitative Trends

Throughout this paper, parameters for the CPT model were as used in Tversky and Kahneman (1992) (table ??). While there has been significant work on determining appropriate parameterisation for the model (e.g. Neilson and Stowe (2002), Glöckner and Pachur (2012), Nilsson et al. (2011)), a full exploration of the impact of these parameters, or heterogeneous values within populations is beyond the scope of this work.

<sup>&</sup>lt;sup>23</sup>Memories of games remain, but it is assumed that only current news is relevant.

Name | Value

Table 2: Model parameters.

Name | Value

Table 3: Parameter ranges.

Two key measures were used - the fraction of the subpopulation who had ever signalled honestly, and the proportion referred. Both measures were taken after every round of play, and were taken relative to the agent's position in their sequence of appointments giving the probability of signalling honestly, or being referred having had a given number of appointments.

### 4.2 Global Sensitivity Analysis

Bijak et al. (2013)

Parameters for training were generated in R (R Core Team 2014) using Latin Hypercube Sampling (Carnell 2012) over the space of inputs given in table ??. Initially a unit hypercube was generated, then the margins transformed appropriately to cover those regions where the inputs are not bounded between 0 and 1, and for proportions of agent types which necessarily sum to one across the three parameters. Given the limitation of 400 design points for the GEM-SA<sup>24</sup> software, we produced exactly that many parameter combinations and collected results for 100 runs of each. A fixed set of 100 random seeds was used, such that each parameter set was run once with each seed, for every decision rule.

To better capture the response characteristics for the model, we employ three - (1) the interquartile range of the average signal sent by each type of agent in a run, (2) the average signal of moderate drinking agents in a run, and (3) the standard deviation of that average signal between simulation runs. Together these three metrics give an indication of how far women are separable by their signalling behaviour (1), the behaviour of the at risk drinking groups<sup>25</sup> (2), and finally the stability of the system in the face of the stochastic elements.

Measurements were taken at the end of 1000 rounds of play, and for 1 and 2, 400 results were selected covering the full hypercube with each chosen randomly from the runs for that design point. This approach, rather than averaging across runs, was taken to avoid obscuring the high degree of variability evident in the output of the payoff reasoning agents in some areas of the parameter space.

Twelve emulators were built, covering each of the three output on all four decision models. These emulators were used to conduct a probabalistic sensitivity analysis using GEM-SA to assess the impact of parameters individually, and in combination. In addition, the trained emulators were used to explore novel areas of the parameter space.????????????

### 5 Results

LOOK AT MAH GRAFS!

#### 5.1 Qualitative Trends

All four decision rules were able to reproduce both qualitative trends, although the

Name | Value

Table 4: Output measures.

<sup>&</sup>lt;sup>24</sup>Gaussian Emulation Machine for Sensitivity Analysis

<sup>&</sup>lt;sup>25</sup>Under most conditions, the behaviour of heavy drinkers tracks closely with their moderate counterparts.

### 5.2 Sensitivity Analysis

### 6 Discussion and Conclusions

## Acknowledgements

This work was supported by the Engineering and Physical Sciences Research Council (EPSRC) grant EP/H021698/1 Care Life Cycle, funded within the Complexity Science in the Real World theme. The authors also gratefully acknowledge the use of the IRIDIS High Performance Computing Facility, and associated support services at the University of Southampton, in the completion of this work.

### References

- Ainslie, G. Derivation of "Rational" Economic Behavior from Hyperbolic Discount Curves. *The American Economic Review*, 81(2):334-340, 1991. URL http://www.jstor.org/stable/10.2307/2006881.
- Allais, M. Le Comportement de l'Homme Rationnel devant le Risque : Critique des Postulats et Axiomes de l'Ecole Americaine. *Econometrica*, 21(4):503–546, 1953.
- Alvik, A., Haldorsen, T., and Lindemann, R. Consistency of Reported Alcohol Use by Pregnant Women: Anonymous Versus Confidential Questionnaires With Item Nonresponse Differences. *Alcoholism: Clinical & Experimental Research*, 29(8):1444–1449, August 2005. ISSN 0145-6008. doi: 10.1097/01.alc.0000175014. 31463.9a.
- Alvik, A., Haldorsen, T., Groholt, B., and Lindemann, R. Alcohol consumption before and during pregnancy comparing concurrent and retrospective reports. *Alcoholism: Clinical & Experimental Research*, 30(3): 510–5, March 2006. ISSN 0145-6008. doi: 10.1111/j.1530-0277.2006.00055.x.
- Andersen, A.-M. N., Andersen, P. K., Olsen, J. r., Grø nbæk, M., and Strandberg-Larsen, K. Moderate alcohol intake during pregnancy and risk of fetal death. *International Journal of Epidemiology*, 41(2): 405–13, April 2012. ISSN 1464-3685. doi: 10.1093/ije/dyr189. URL http://www.ncbi.nlm.nih.gov/pubmed/22253313.
- Austen-Smith, D. and Fryer Jr., R. G. An economic analysis of "acting white". Quarterly Journal of Economics, (May):551-583, 2005. URL http://qje.oxfordjournals.org/content/120/2/551.short.
- Barr, H. M., Bookstein, F. L., O'Malley, K. D., Connor, P. D., Huggins, J. E., and Streissguth, A. P. Binge drinking during pregnancy as a predictor of psychiatric disorders on the Structured Clinical Interview for DSM-IV in young adult offspring. *The American Journal of Psychiatry*, 163(6):1061–5, June 2006. ISSN 0002-953X. doi: 10.1176/appi.ajp.163.6.1061. URL http://www.ncbi.nlm.nih.gov/pubmed/16741207.
- Bernoulli, D. Exposition of a New Theory on the Measurement of Risk. *Econometrica*, 22(1):23-36, 1954. URL http://www.jstor.org/stable/10.2307/1909829.
- Bijak, J., Hilton, J., Silverman, E., and Cao, V. D. Reforging the Wedding Ring:. *Demographic Research*, 29(October):729-766, October 2013. ISSN 1435-9871. doi: 10.4054/DemRes.2013.29.27. URL http://www.demographic-research.org/volumes/vol29/27/.
- Biró, M. A., Waldenström, U., Brown, S., and Pannifex, J. H. Satisfaction with team midwifery care for low- and high-risk women: a randomized controlled trial. *Birth*, 30(1):1–10, March 2003. ISSN 0730-7659. URL http://www.ncbi.nlm.nih.gov/pubmed/12581034.
- Blair, E., Sudman, S., Bradburn, N., and Stocking, C. How to Ask Questions About Drinking and Sex: Response Effects in Measuring Consumer Behaviour. *Journal of Marketing Research*, 14(3):316-321, 1977. URL http://www.jstor.org/stable/10.2307/3150769.

- Bowers, J. S. and Davis, C. J. Bayesian just-so stories in psychology and neuroscience. *Psychological Bulletin*, 138(3):389–414, May 2012. ISSN 1939-1455. doi: 10.1037/a0026450. URL http://www.ncbi.nlm.nih.gov/pubmed/22545686.
- Bradley, K. A., Boyd-Wickizer, J., Powell, S. H., and Burman, M. L. Alcohol screening questionnaires in women: a critical review. *JAMA: the Journal of the American Medical Association*, 280(2):166–71, July 1998. ISSN 0098-7484. URL http://www.ncbi.nlm.nih.gov/pubmed/9669791.
- Burke, M. S., Carter, J. R., Gominiak, R. D., and Ohl, D. F. An Experimental Note on the Allais Paradox and Monetary Incentives. *Empirical Economics*, 21(4):617–632, 1996. URL http://link.springer.com/article/10.1007/BF01180705.
- Camerer, C. F. Prospect theory in the wild: Evidence from the field. 2004. URL http://books.google.com/books?hl=en&lr=&id=sA4jJ0jwCW4C&oi=fnd&pg=PA148&dq=Prospect+Theory+in+the+Wild: +Evidence+From+the+Field&ots=tTEZYdFitq&sig=uJi1Tlzl9grxRp703EJLcSXQNG0http://books.google.com/books?hl=en&lr=&id=sA4jJ0jwCW4C&oi=fnd&pg=PA148&dq=Prospect+Theory+in+the+Wild:+Evidence+From+the+Field&ots=tTE1XdJfyv&sig=Njm\_rhqiDNaE4\_Z\_3NF3k5GjW1E.
- Carnell, R. LHS: Latin Hypercube Samples, 2012. R package version 0.10.
- Christopoulos, G. I., Tobler, P. N., Bossaerts, P., Dolan, R. J., and Schultz, W. Neural correlates of value, risk, and risk aversion contributing to decision making under risk. *The Journal of Neuroscience*, 29(40):12574-83, October 2009. ISSN 1529-2401. doi: 10.1523/JNEUROSCI. 2614-09.2009. URL http://www.pubmedcentral.nih.gov/articlerender.fcgi?artid=2794196&tool=pmcentrez&rendertype=abstract.
- Day, N. L., Richardson, G., Robles, N., Sambamoorthi, U., Taylor, P., Scher, M., Stoffer, D., Jasperse, D., and Cornelius, M. Effect of prenatal alcohol exposure on growth and morphology of offspring at 8 months of age. *Pediatrics*, 85(5):748–52, May 1990. ISSN 0031-4005. URL http://www.ncbi.nlm.nih.gov/pubmed/2330235.
- Del Boca, F. K. and Darkes, J. The validity of self-reports of alcohol consumption: state of the science and challenges for research. *Addiction*, 98 Suppl 2:1-12, December 2003. ISSN 0965-2140. URL http://www.ncbi.nlm.nih.gov/pubmed/14984237.
- Del Boca, F. K. and Noll, J. A. Truth or consequences: the validity of self-report data in health services research on addictions. *Addiction*, 95(11):347–360, November 2000. ISSN 13600443. doi: 10.1080/09652140020004278. URL http://doi.wiley.com/10.1080/09652140020004278.
- Dennis, C.-L. and Chung-Lee, L. Postpartum depression help-seeking barriers and maternal treatment preferences: a qualitative systematic review. *Birth*, 33(4):323–31, December 2006. ISSN 0730-7659. doi: 10.1111/j.1523-536X.2006.00130.x. URL http://www.ncbi.nlm.nih.gov/pubmed/17150072.
- Dorazio, R. M. and Johnson, F. A. Bayesian inference and decision theory-a framework for decision making in natural resource management. *Ecological Applications*, 13(2):556-563, 2003. URL http://www.esajournals.org/doi/abs/10.1890/1051-0761(2003)013[0556:BIADTA]2.0.CO;2.
- Farquhar, M., Camilleri-Ferrante, C., and Todd, C. Continuity of care in maternity services: women's views of one team midwifery scheme. *Midwifery*, 16(1):35–47, March 2000. ISSN 0266-6138. doi: 10.1054/midw. 1999.0189. URL http://www.ncbi.nlm.nih.gov/pubmed/11139860.
- Fehr, E. and Fischbacher, U. The nature of human altruism. *Nature*, 425(6960):785–91, October 2003. ISSN 1476-4687. doi: 10.1038/nature02043.
- Feltovich, N., Harbaugh, R., and To, T. Too cool for school? Signalling and countersignalling. *RAND Journal of Economics*, 33(4):630-649, 2002. URL http://www.jstor.org/stable/10.2307/3087478.

- Fryer Jr., R. G. and Torelli, P. An empirical analysis of 'acting white'. *Journal of Public Economics*, 94(5): 380–396, 2010. URL http://www.sciencedirect.com/science/article/pii/S0047272709001406.
- Gallistel, C. R. Extinction from a rationalist perspective. Behavioural Processes, 90(1):66-80, May 2012. ISSN 1872-8308. doi: 10.1016/j.beproc.2012.02.008. URL http://www.pubmedcentral.nih.gov/articlerender.fcgi?artid=3350810&tool=pmcentrez&rendertype=abstract.
- Gigerenzer, G. Fast and frugal heuristics: The tools of bounded rationality. In Koehler, D. J. and Harvey, N., editors, *Blackwell handbook of judgment and decision making*, pages 62–88. Blackwell Publishing, Oxford, 2004. URL http://wxy.seu.edu.cn/humanities/sociology/htmledit/uploadfile/system/20100501/20100501223054244.pdf#page=77.
- Glöckner, A. and Pachur, T. Cognitive models of risky choice: parameter stability and predictive accuracy of prospect theory. *Cognition*, 123(1):21–32, April 2012. ISSN 1873-7838. doi: 10.1016/j.cognition.2011. 12.002. URL http://www.ncbi.nlm.nih.gov/pubmed/22226615.
- Godfray, H. C. J. Signalling of Need by Offspring to their Parents. *Nature*, 352:328-330, 1991. URL http://www.nature.com/nature/journal/v352/n6333/abs/352328a0.html.
- Goffman, E. Stigma: Notes on the Management of Spoiled Identity. Penguin Books, London, 1990.
- Gomberg, E. S. Alcoholic women in treatment: the question of stigma and age. *Alcohol and Alcoholism*, 23 (6):507-14, January 1988. ISSN 0735-0414. URL http://www.ncbi.nlm.nih.gov/pubmed/3245868.
- Grafen, A. Biological Signals as Handicaps. *Journal of Theoretical Biology*, 144:517–546, 1990. URL http://www.sciencedirect.com/science/article/pii/S0022519305800888.
- Gray, R. and Henderson, J. Review of the fetal effects of prenatal alcohol exposure. Technical Report May, 2006.
- Griffiths, T. L., Chater, N., Kemp, C., Tenenbaum, J. B., and Perfors, A. Probabilistic Modelf of Cognition: Exploring Representations and Inductive Biases. *Trends in Cognitive Sciences*, 14(8):357–364, 2010. URL http://www.sciencedirect.com/science/article/pii/S1364661310001129.
- Guerri, C., Riley, E., and Strömland, K. Commentary on the recommendations of the Royal College of Obstetricians and Gynaecologists concerning alcohol consumption in pregnancy. *Alcohol and Alcoholism*, 34(4):497–501, 1999. ISSN 0735-0414.
- Harsanyi, J. C. Games with Incomplete Information Played by "Bayesian" Players, I-III. Management Science, 14(3):159–182, 1967.
- Harsanyi, J. C. Bayesian Decision Theory and Utilitarian Ethics. *The American Economic Review*, 68(2): 223–228, 1978. URL http://www.jstor.org/stable/10.2307/1816692.
- Hatem, M., Sandall, J., Devane, D., Soltani, H., and Gates, S. Midwife-led versus other models of care for childbearing women (Review). *Cochrane Database of Systematic Reviews*, (3), 2009. URL http://www.who.int/entity/rhl/reviews/CD004667.pdf.
- Hau, R., Pleskac, T. J., Kiefer, J., and Hertwig, R. The description-experience gap in risky choice: the role of sample size and experienced probabilities. *Journal of Behavioral Decision Making*, 21(5):493–518, December 2008. ISSN 08943257. doi: 10.1002/bdm.598. URL http://onlinelibrary.wiley.com/doi/10.1002/bdm.598/abstracthttp://doi.wiley.com/10.1002/bdm.598.
- Henderson, J., Kesmodel, U., and Gray, R. Systematic review of the fetal effects of prenatal binge-drinking. *Journal of Epidemiology and Community Health*, 61(12):1069-73, December 2007. ISSN 0143-005X. doi: 10.1136/jech.2006.054213. URL http://www.pubmedcentral.nih.gov/articlerender.fcgi?artid=2465662&tool=pmcentrez&rendertype=abstract.

- Henrich, J. The evolution of costly displays, cooperation and religion. *Evolution and Human Behavior*, 30(4): 244–260, July 2009. ISSN 10905138. doi: 10.1016/j.evolhumbehav.2009.03.005. URL http://linkinghub.elsevier.com/retrieve/pii/S1090513809000245.
- Huizink, A. C. Moderate use of alcohol, tobacco and cannabis during pregnancy: new approaches and update on research findings. *Reproductive Toxicology*, 28(2):143–51, September 2009. ISSN 1873-1708. doi: 10.1016/j.reprotox.2009.04.010. URL http://www.ncbi.nlm.nih.gov/pubmed/19394419.
- Huizink, A. C. and Mulder, E. J. H. Maternal smoking, drinking or cannabis use during pregnancy and neurobehavioral and cognitive functioning in human offspring. *Neuroscience and Biobehavioral Reviews*, 30(1):24–41, January 2006. ISSN 0149-7634. doi: 10.1016/j.neubiorev.2005.04.005. URL http://www.ncbi.nlm.nih.gov/pubmed/16095697.
- Hunter, B. The importance of reciprocity in relationships between community-based midwives and mothers. Midwifery, 22(4):308–22, December 2006. ISSN 0266-6138. doi: 10.1016/j.midw.2005.11.002. URL http://www.ncbi.nlm.nih.gov/pubmed/16616398.
- Improving Access to Psychological Therapies, DrugScope, and National Treatment Agency for Substance Misuse. IAPT positive practice guide for working with people who use drugs and alcohol, 2012.
- Insua, D. R., Rios, J., and Banks, D. Adversarial Risk Analysis. *Journal of the American Statistical Association*, 104(486):841-854, June 2009. ISSN 0162-1459. doi: 10.1198/jasa.2009.0155. URL http://www.tandfonline.com/doi/abs/10.1198/jasa.2009.0155.
- Kahneman, D. and Tversky, A. Prospect Theory: An Analysis of Decision Under Risk. *Econometrica*, 47 (March):263-291, 1979. URL http://www.jstor.org/stable/10.2307/1914185.
- Kahneman, D. and Tversky, A. Choices, values, and frames. *American Psychologist*, 39(4):341-350, 1984. URL http://psycnet.apa.org/journals/amp/39/4/341/.
- Kaskutas, L. A. and Graves, K. An alternative to standard drinks as a measure of alcohol consumption. Journal of Substance Abuse, 12(1-2):67-78, January 2000. ISSN 0899-3289. URL http://www.ncbi.nlm.nih.gov/pubmed/11288475.
- Kennedy, H. P., Shannon, M. T., Chuahorm, U., and Kravetz, M. K. The landscape of caring for women: A narrative study of midwifery practice. *Journal of Midwifery & Women's Health*, 49(1):14-23, 2004. doi: 10.1016/j.jmwh.2003.09.015. URL http://onlinelibrary.wiley.com/doi/10.1111/j.1542-2011. 2004.tb04403.x/full.
- Kesmodel, U., Wisborg, K., Olsen, S. F., Henriksen, T. B., and Secher, N. J. r. Moderate alcohol intake during pregnancy and the risk of stillbirth and death in the first year of life. *American Journal of Epidemiology*, 155 (4):305–12, February 2002. ISSN 0002-9262. URL http://www.ncbi.nlm.nih.gov/pubmed/11836194.
- Kreps, D. M. and Cho, I. Signalling Games and Stable Equilibria. *The Quarterly Journal of Economics*, 102(2):179-221, 1987. URL http://scholar.google.com/scholar?hl=en&btnG=Search&q=intitle: Signalling+Games+and+Stable+Equilibria#0.
- Kristensen, S. Sensor planning with Bayesian decision theory. *Robotics and Autonomous Systems*, 19(3-4): 273-286, March 1997. ISSN 09218890. doi: 10.1016/S0921-8890(96)00056-5. URL http://linkinghub.elsevier.com/retrieve/pii/S0921889096000565.
- Langenbucher, J. and Merrill, J. The Validity of Self-Reported Cost Events by Substance Abusers: Limits, Liabilities, and Future Directions. *Evaluation Review*, 25(2):184–210, April 2001. ISSN 0193-841X. doi: 10. 1177/0193841X0102500204. URL http://erx.sagepub.com/cgi/doi/10.1177/0193841X0102500204.

- Latino-Martel, P., Chan, D. S. M., Druesne-Pecollo, N., Barrandon, E., Hercberg, S., and Norat, T. Maternal alcohol consumption during pregnancy and risk of childhood leukemia: systematic review and meta-analysis. *Cancer Epidemiology, Biomarkers & Prevention*, 19(5):1238–60, May 2010. ISSN 1538-7755. doi: 10.1158/1055-9965.EPI-09-1110. URL http://www.ncbi.nlm.nih.gov/pubmed/20447918.
- Loewenstein, G. and Prelec, D. Anomalies in intertemporal choice: Evidence and an interpretation. *The Quarterly Journal of Economics*, 107(2):573-597, 1992. URL http://qje.oxfordjournals.org/content/107/2/573.short.
- Maier, S. E. and West, J. R. Drinking Patterns and Alcohol-Related Birth Defects. *Alcohol Research & Health*, 25(3):168−174, 2001.
- Malvin, J. H. and Moskowitz, J. M. Anonymous Versus Identifiable Self-Reports of Adolescent Drug Attitudes, Intentions, and Use. *Public Opinion Quarterly*, 47(4):557, 1983. ISSN 0033362X. doi: 10.1086/268812. URL http://poq.oxfordjournals.org/cgi/doi/10.1086/268812.
- Marks, M. N., Siddle, K., and Warwick, C. Can we prevent postnatal depression? A randomized controlled trial to assess the effect of continuity of midwifery care on rates of postnatal depression in high-risk women. The Journal of Maternal-fetal & Neonatal Medicine, 13(2):119–27, February 2003. ISSN 1476-7058. doi: 10.1080/jmf.13.2.119.127. URL http://www.ncbi.nlm.nih.gov/pubmed/12735413.
- McNamara, J. and Houston, A. The application of statistical decision theory to animal behaviour. *Journal of Theoretical Biology*, 85(4):673-90, August 1980. ISSN 0022-5193. URL http://www.ncbi.nlm.nih.gov/pubmed/7442286.
- Meyer-Leu, Y., Lemola, S., Daeppen, J.-B., Deriaz, O., and Gerber, S. Association of moderate alcohol use and binge drinking during pregnancy with neonatal health. *Alcoholism: Clinical & Experimental Research*, 35(9):1669–77, September 2011. ISSN 1530-0277. doi: 10.1111/j.1530-0277.2011.01513.x. URL http://www.ncbi.nlm.nih.gov/pubmed/21554334.
- Miller, R. R. An assessment of Gallistel's (2012) rationalistic account of extinction phenomena. *Behavioural Processes*, 90(1):81–3; discussion 87–8, May 2012. ISSN 1872-8308. doi: 10.1016/j.beproc.2012.02.005. URL http://www.ncbi.nlm.nih.gov/pubmed/22421221.
- National Institute for Health and Care Excellence. Antenatal and Postnatal Mental Health. CG45. NICE, London, 2007. ISBN 9781854334541.
- National Institute for Health and Care Excellence. Antenatal care. CG62. NICE, London, 2010a. ISBN 9781904752462.
- National Institute for Health and Care Excellence. Alcohol-use disorders: preventing harmful drinking. PH24. NICE, London, 2010b.
- National Institute for Health and Clinical Excellence. *Pregnancy and complex social factors. CG110.* NICE, Manchester, 2010.
- Neilson, W. and Stowe, J. A further examination of cumulative prospect theory parameterizations. *Journal of risk and uncertainty*, 24(1):31-47, 2002. URL http://link.springer.com/article/10.1023/A: 1013225326328.
- Nilsson, H. k., Rieskamp, J., and Wagenmakers, E.-J. Hierarchical Bayesian parameter estimation for cumulative prospect theory. *Journal of Mathematical Psychology*, 55(1):84-93, February 2011. ISSN 00222496. doi: 10.1016/j.jmp.2010.08.006. URL http://linkinghub.elsevier.com/retrieve/pii/S0022249610001070.

- Oliver, A. A quantitative and qualitative test of the Allais paradox using health outcomes. *Journal of Economic Psychology*, 24(1):35-48, 2003. URL http://www.sciencedirect.com/science/article/pii/S0167487002001538.
- Padoa-Schioppa, C. and Assad, J. A. Neurons in the orbitofrontal cortex encode economic value. *Nature*, 441 (7090):223-6, May 2006. ISSN 1476-4687. doi: 10.1038/nature04676. URL http://www.pubmedcentral.nih.gov/articlerender.fcgi?artid=2630027&tool=pmcentrez&rendertype=abstract.
- Padoa-Schioppa, C. and Assad, J. A. The representation of economic value in the orbitofrontal cortex is invariant for changes of menu. *Nature neuroscience*, 11(1):95–102, January 2008. ISSN 1097-6256. doi: 10. 1038/nn2020. URL http://www.pubmedcentral.nih.gov/articlerender.fcgi?artid=2646102&tool=pmcentrez&rendertype=abstract.
- Peterson, M. An introduction to decision theory. Cambridge University Press, Cambridge, UK, 2009.
- Phillips, D., Thomas, K., Cox, H., Ricciardelli, L. A., Ogle, J., Love, V., and Steele, A. Factors that Influence Women's Disclosures of Substance Use During Pregnancy: A Qualitative Study of Ten Midwives and Ten Pregnant Women. *The Journal of Drug Issues*, 37(2):357–376, 2007.
- Piccinelli, M. and Tessari, E. Efficacy of the alcohol use disorders identification test as a screening tool for hazardous alcohol intake and related disorders in primary care: a validity study. *British Medical Journal*, 314(February):420-424, 1997. URL http://www.ncbi.nlm.nih.gov/pmc/articles/PMC2125904/.
- Platt, M. L. and Glimcher, P. W. Neural correlates of decision variables in parietal cortex. *Nature*, 400 (6741):233-8, July 1999. ISSN 0028-0836. doi: 10.1038/22268. URL http://www.ncbi.nlm.nih.gov/pubmed/10421364.
- Prior, L., Wood, F., Lewis, G., and Pill, R. Stigma revisited, disclosure of emotional problems in primary care consultations in Wales. *Social Science & Medicine*, 56(10):2191-200, May 2003. ISSN 0277-9536. URL http://www.ncbi.nlm.nih.gov/pubmed/12697207.
- R Core Team. R: A Language and Environment for Statistical Computing. R Foundation for Statistical Computing, Vienna, Austria, 2014.
- Radcliffe, P. Substance-misusing women: Stigma in the maternity setting. British Journal of Midwifery, 19 (8):497–506, 2011. URL http://www.intermid.co.uk/cgi-bin/go.pl/library/abstract.html?uid=85563.
- Robbins, H. The Empirical Bayes Approach to Statistical Decision Problems. The Annals of Mathematical Statistics, 35(1):1-20, March 1964. ISSN 0003-4851. doi: 10.1214/aoms/1177703729. URL http://link.springer.com/chapter/10.1007/978-1-4612-5110-1\_4http://projecteuclid.org/euclid.aoms/1177703729.
- Royal College of Obstetricians and Gynaecologists. Alcohol Consumption and the Outcomes of Pregnancy. In *RCOG Statement No. 5*, number 5, pages 1–10. RCOG, London, 2006.
- Royal College of Obstetricians and Gynecologists. Alcohol Consumption in Pregnancy. In *RCOG Guideline* No. 9. RCOG, London, 1996.
- Russell, M. New assessment tools for risk drinking during pregnancy. Alcohol Health and Research World, 18 (1):55-67, 1994. URL ftp://senfiles.healthystartfv.org/SortLiteratureReview1990-1999.Data/ProQuest\_15022621994Russell-4113017088/ProQuest\_15022621994Russell.pdf.
- Russell, M. and Martier, S. S. Detecting risk drinking during pregnancy: a comparison of four screening questionnaires. *American Journal of Public Health*, 86(10):1435–1439, 1996. URL http://ajph.aphapublications.org/doi/abs/10.2105/AJPH.86.10.1435?view=long&pmid=8876514.

- Samuelson, P. A. Note on Measurement of Utility. The Review of Economic Studies, 4(2):155–161, 1937.
- Sandall, J. Midwives' burnout and continuity of care. *British Journal of Midwifery*, 5(2):106-111, 1997. URL http://www.intermid.co.uk/cgi-bin/go.pl/library/article.cgi?uid=8720;article=BJM\_5\_2\_106\_111;format=html.
- Savitz, D. A. Re: Moderate alcohol intake during pregnancy and risk of fetal death. *International Journal of Epidemiology*, 41(6):1847; author reply 1848, December 2012. ISSN 1464-3685. doi: 10.1093/ije/dys152. URL http://www.ncbi.nlm.nih.gov/pubmed/23064503.
- Simon, H. A. Rational choice and the structure of the environment. *Psychological Review*, 63(2):129–138, 1956. URL http://psycnet.apa.org/journals/rev/63/2/129/.
- Simon, H. A. Bounded Rationality in Social Science: Today and Tomorrow. *Mind & Society*, 1(1):25-39, 2000. URL http://link.springer.com/article/10.1007/BF02512227.
- Sosis, R. Why aren't we all hutterites? *Human Nature*, 14(2):91-127, June 2003. ISSN 1045-6767. doi: 10.1007/s12110-003-1000-6. URL http://link.springer.com/10.1007/s12110-003-1000-6.
- Spence, M. Job Market Signaling. The Quarterly Journal of Economics, 87(3):355-374, 1973. URL http://qje.oxfordjournals.org/content/87/3/355.short.
- Stevens, T. and McCourt, C. One-to-one Midwifery Practice Part 2: the Transition Period. British Journal of Midwifery, 10(1):45-50, 2002. URL http://www.intermid.co.uk/cgi-bin/go.pl/library/abstract.html?uid=10051.
- Stockwell, T., Donath, S., Cooper-Stanbury, M., Chikritzhs, T., Catalano, P., and Mateo, C. Under-reporting of alcohol consumption in household surveys: a comparison of quantity-frequency, graduated-frequency and recent recall. *Addiction*, 99(8):1024–33, August 2004. ISSN 0965-2140. doi: 10.1111/j.1360-0443.2004. 00815.x. URL http://www.ncbi.nlm.nih.gov/pubmed/15265099.
- Strandberg-larsen, K., Nielsen, N. R., Andersen, P. K., and Andersen, A.-m. N. Binge Drinking in Pregnancy and Risk of Fetal Death. *Obstetrics & Gynecology*, 111(3):602–609, 2008.
- Streissguth, A. P., Barr, H. M., Olson, H. C., Sampson, P. D., Bookstein, F. L., and Burgess, D. M. Drinking during pregnancy decreases word attack and arithmetic scores on standardized tests: adolescent data from a population-based prospective study. *Alcoholism: Clinical & Experimental Research*, 18(2):248–54, April 1994. ISSN 0145-6008. URL http://www.ncbi.nlm.nih.gov/pubmed/8048722.
- Sun, Y., Strandberg-Larsen, K., Vestergaard, M., Christensen, J., Nybo Andersen, A.-M., Grø nbaek, M., and Olsen, J. r. Binge drinking during pregnancy and risk of seizures in childhood: a study based on the Danish National Birth Cohort. *American Journal of Epidemiology*, 169(3):313–22, February 2009. ISSN 1476-6256. doi: 10.1093/aje/kwn334. URL http://www.ncbi.nlm.nih.gov/pubmed/19064645.
- Tenenbaum, J. B., Griffiths, T. L., and Kemp, C. Theory-based Bayesian models of inductive learning and reasoning. *Trends in cognitive sciences*, 10(7):309–18, July 2006. ISSN 1364-6613. doi: 10.1016/j.tics. 2006.05.009. URL http://www.ncbi.nlm.nih.gov/pubmed/16797219.
- Thaler, R. Some Empirical Evidence on Dynamic Inconsistency. *Economics Letters*, 8:201–207, 1981. URL http://www.sciencedirect.com/science/article/pii/0165176581900677.
- Thaler, R. From homo economicus to homo sapiens. *The Journal of Economic Perspectives*, 14(1):133–141, 2000. URL http://www.jstor.org/stable/10.2307/2647056.
- Todd, C. J., Farquhar, M. C., and Camilleri-Ferrante, C. Team midwifery: the views and job satisfaction of midwives. *Midwifery*, 14(4):214-24, December 1998. ISSN 0266-6138. URL http://www.ncbi.nlm.nih.gov/pubmed/10076316.