# Progress Report: Genome-wide hypothesis generation for single-cell expression via latent spaces of deep neural networks

This manuscript was automatically generated from greenelab/czi-hca-report@455ee04 on July 3, 2018.

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## **Abstract**

We wrote an application for the Chan Zuckerberg Initiative's Collaborative Computational Tools RFA. Our application was recommended for funding. We are writing our progress report as we go. This repository contains the report. Please feel free to file a GitHub Issue to ask a question. Some elements of this report are expected to also be written up via a published manuscript. In the event that we write a manuscript, we will begin from this report. Authorship will be determined in accordance with ICMJE guidelines.

## Introduction

Currently this contains text describing our project from our initial proposal.

The Human Cell Atlas (HCA) aims to provide a comprehensive map of all types of human cells. Connecting that map to disease states, which will be key to the CZI's mission of curing or managing all diseases in the next eighty years, will require us to see how these cell types change during aging, during disease processes, or in the presence of drugs. Ideally, we'd be able to apply a transformation to the HCA's reference map to predict and study these states.

Certain types of deep neural networks can generate hypothetical data by learning and decoding a lower dimensional latent space. An ideal latent space enables arithmetic operations that use data to produce realistic output for novel transformations. For example, FaceApp [1] can modify a picture of an individual to produce an image of the subject at an older age, with a different expression, or of a different gender.

The overall objective of this proposal is to determine how unsupervised deep neural network models can best be trained on single cell expression data from the HCA and the extent to which such models define biological latent spaces that capture disease states and targeted perturbations. The rationale is that latent space arithmetic for single cell transcriptomes would enable researchers to use predict how the expression of every gene would change in each HCA-identified cell type in numerous conditions including after drug treatment, in the context of a specific genetic variant, with a specific disease, or a combination of these and other factors.

## **Summary**

Certain deep neural networks can generate hypothetical data by learning and decoding a lower dimensional latent space. This latent space enables arithmetic operations that produce realistic output for novel transformations. This allows users to generate hypothetical images [2] and to interpolate protein localizations through the cell-cycle [3]. An accessible example of latent space transformations comes from FaceApp [1], which modifies a picture of an individual to produce an image of the subject at an older age, with a different expression, or of a different genders.

Our *overall objective* is to determine how unsupervised deep neural network models can best be trained on single cell expression data from the Human Cell Atlas (HCA) and the extent to which such models define biological latent spaces that capture disease states and targeted perturbations. The *rationale* is that latent space arithmetic for genomic data would enable researchers to predict how the expression of every gene would change in each HCA-identified cell type after drug treatment, in the context of a specific genetic variant, with a specific disease, or a combination of these and other factors.

### Prior Contributions / Preliminary Results

We previously developed neural-network based methods for unsupervised integration of transcriptomic data [4]. We now build to Generative Adversarial Networks (GANs) and Variational Autoencoders (VAEs) which have a track record of defining meaningful latent spaces for images. We adapted GANs to generate realistic individuals under a differential privacy framework [7] and built VAEs over bulk transcriptomic data with the goal of describing a biologically-relevant latent space [8]. Here, we will apply these unsupervised deep learning methods to single cell transcriptomic data and incorporate novel data augmentation approaches for genomics. We also bring workflow automation experience to the HCA community [9].

# Aim 1: Develop proof-of-concept unsupervised deep learning methods for single cell transcriptomic data from the HCA.

## **Proposed work**

The *objective of this aim* is to implement and test approaches to build deep generative models, such as VAEs [10] and GANs [11], from HCA single cell RNA-seg data.

Single cell data pose unique opportunities, but also challenges, for deep neural network algorithms. Many cells are often assayed, and many observations are needed to use deep learning effectively. However, transcript abundance estimates for each cell are generally subject to more error than bulk samples.

In our experience with generative deep learning [7] it can be difficult to predict optimal parameters in advance. We will perform a grid search over VAE architectures and hyperparameters to identify suitable options. We will evaluate zero-inflated loss among more traditional loss functions, as Chris Probert noted potential benefits on our proposal's GitHub repository [12] [13] [14] [15]]. This process will identify a subset of parameters and architectures that are worth exploring further for single cells.

We will also develop data augmentation for single cell RNA-seq data, as no such approaches exist yet for transcriptomes. To understand data augmentation, imagine scanned pathology slides. Each slide may be prepared and scanned with a subtly different orientation or magnification. A deep learning method may identify these measurement differences, or there may be too few slides to train a good model. Applying arbitrary rotations, zooms, and other irrelevant transformations increases the effective amount of training data and reduces the model's propensity to learn such noise.

We plan to use fast abundance estimates for RNA-seq [16] to perform data augmentation for transcriptomes. Multiple resamples or subsamples of reads during transcript abundance estimation can capture uncertainty in the data, akin to arbitrary rotations. Therefore, we plan to collaborate with Rob Patro's laboratory (Collaborative Network) to implement these and related approaches. We posit that genomic data augmentation will improve latent feature generalization by separating biological from technical features and increasing the effective sample size during training.

We will select high-quality models by choosing those that minimize both reconstruction loss and KL divergence [10]. We will evaluate resulting models for their applicability to rheumatic disease and their suitability for latent space arithmetic (see: Evaluation).

#### Results

#### VAE test on simulated single cell datasets

#### 1. Simulation data generation:

Simulated single cell data was generated by splatter [18].

Parameters used: \* nCells - The number of cells to simulate: 500 - 5000 \* nGenes - The number of genes to simulate: 20000 - 60000 \* nGroups - The number of cell types: 5 - 15 \* outlier - probability of a gene that is an expression outlier: 0.1 - 0.5 \* default parameter is ncells = 600, nGenes = 20000, cell types = 5, batchsize = 1

Simulation statistics under different parameters:

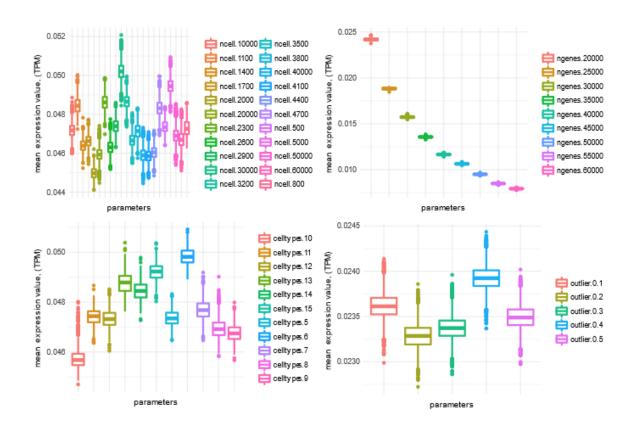


Figure 1: Distribution of mean expression level of simulated genes across samples under different simulated parameters.

# 2. Visualization of simulated single cell data using VAE\_depth2 (2 hidden layer), VAE\_depth1 (1 hidden layer), t-SNE and PCA under different parameters

To see if different methods can recover cell types, we compared the 2D visualizations on simulated datasets. 2-layer VAE performs much better to differentiate different cell types when comparing with 1-layer VAE. The performance of VAE and t-SNE is similar and much better than PCA, but with the increase of outlier genes, 2-layer VAE is more resistant to noise.

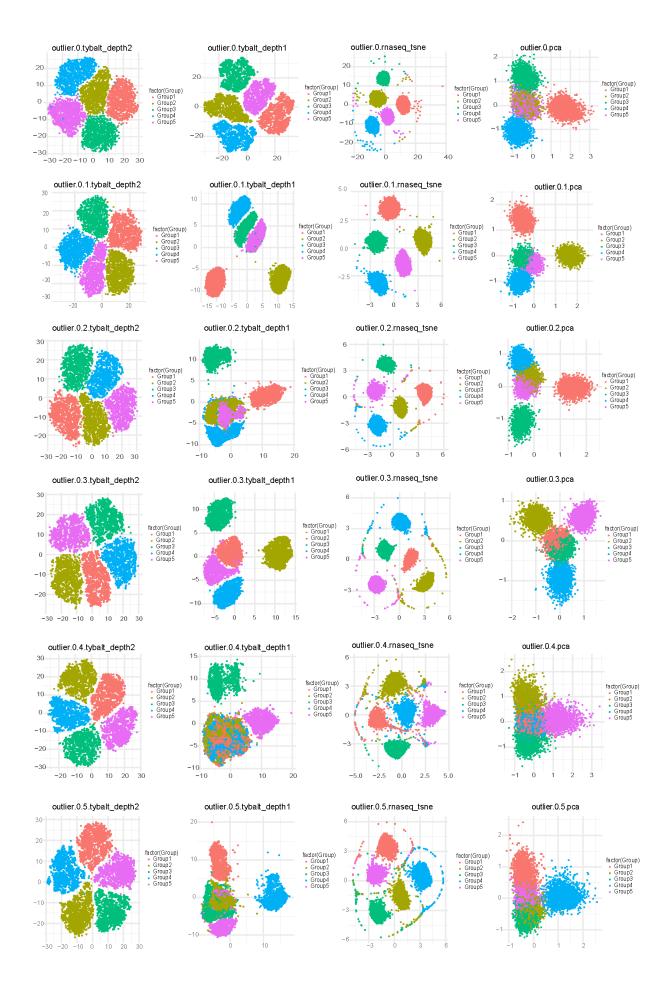


Figure 2: 2 - layer VAE is more resistant to outlier. 2D visualization of simulated single cell data from different outlier parameters (0 - 0.5).

#### 3. Performance evaluation of different simulation parameters

Clustering performance was measured by normalized mutual information (NMI). NMI is an normalization of the Mutual Information (MI, measures the dependence of two random variables). It is a measurement to determine the quality of clustering, which is between 0 (no mutual information) and 1 (perfect correlation).

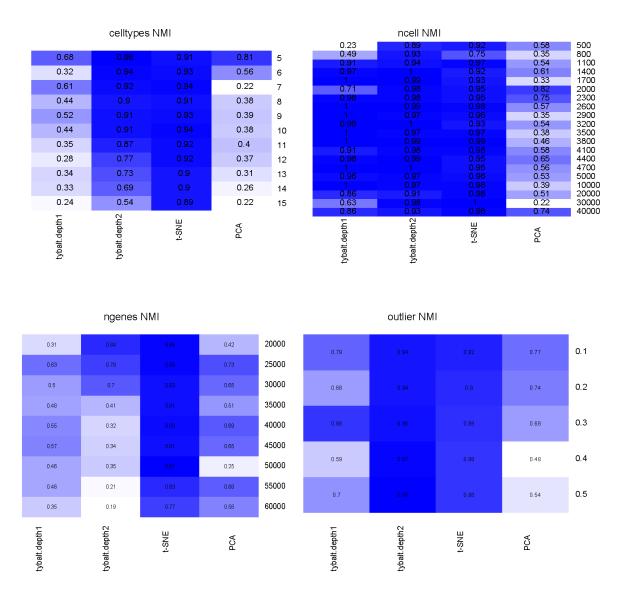


Figure 3: Performance comparison among VAE, t-SNE and PCA under different simulation parameters.

## VAE performance on real single cell datasets

## 1. real single cell datasets:

To evaluate the performance of VAE and the other dimension reduction approaches, we selected 8 real single cell datasets with true cell type labels.

dataset	ncells	ngenes	tissue	organism	cell types	Accesion number	Platform	c a
yan	90	20214	Embryo Devel	human	6	GSE36552	Illumina HiSeq 2000	fr e d ti
goolam	124	41428	Embryo Devel	mouse	5	E- MTAB-3321		fr e d ti
pollen	301	23730	Developing Cerebral Cortex	human	11	SRP041736	Illumina HiSeq 2000	fr
patel	430	5948	Glioblastoma	human	12	GSE57249	Illumina HiSeq 2000	fr p g
nestorowa	1656	4773	Glioblastoma	human	12	GSE81682	Illumina HiSeq 2500	d s
Petropoulos	1529	26178	Embryo Devel	human	6	E- MTAB-3929	Illumina HiSeq 2000	d d s
HCA melanoma data	6639	22489	Lymph node	mouse	9	HCA release	Smart- seq2	
baron- human	8569	20125	Pancreas	human	14	GSE84133	Illumina HiSeq 2500	fr e p

2. 2-dimensional projection of real single cell data based on differnt structure of VAE: VAE\_depth3 (3 hidden layer), VAE\_depth2 (2 hidden layer), VAE\_depth1 (1 hidden layer), t-SNE, ZIFA, UMAP and PCA

We tested the performance of VAE and another 4 methods (t-SNE [26], ZIFA [13], UMAP [27] and PCA [29]) on these real datasets with different number of cells, genes and cell types. Figure 4 shows the 2-D visulization of the real single cell datasets. PCA and ZIFA generally perform better when number of cells is small, but with the increase of number of cells, VAE better differentiates different cell types. Increase of VAE depth does not significantly change the performance for real single cell datasets, but for the simulated data we analyzed above, VAE with higher depth is more resistant to noise and performs better with small number of cells.

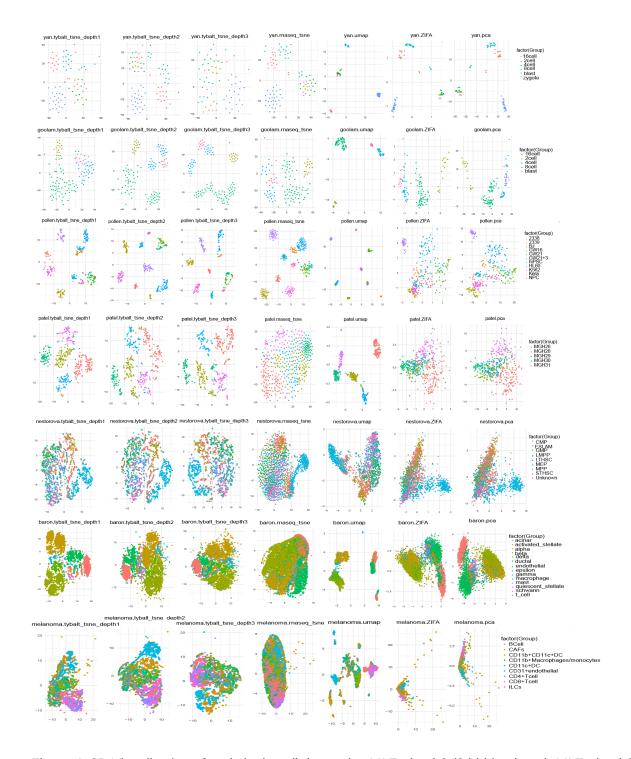


Figure 4: 2D Visualization of real single cell data using VAE\_depth3 (3 hidden layer), VAE\_depth2 (2 hidden layer), VAE\_depth1 (1 hidden layer), t-SNE, ZIFA, UMAP and PCA.

#### 3. Performance evaluation

Evaluation of model performance is based on three different types of metrics: clustering based, average silhouette score and knn-based.

Clustering based approach: k-means clustering was performed based on the latent space, the model performance was measured by NMI and ARI.

MNI

0.6	0.65	0.47	0.72	0.72	0.72	0.76	yan
0.58	0.69	0.68	0.6	0.81	0.59	0.72	goolam
0.83	0.88		0.87		0.58	0.6	pollen
0.74	0.65	0.75	0.41	0.8	0.4	0.35	patel
0.35	0.35	0.35	0.31	0.34	0.27	0.28	nestorowa
0.68	0.57	0.56	0.34	0.59	0.42	0.42	baron-human
0.35	0.35	0.33	0.25	0.54	0.3	0.24	Petropoulos
0.31	0.28	0.29	0.17	0.41	0.15	0.15	melanoma
tybalt.depth1	tybalt.depth2	tybalt.depth3	tnse	umap	ZIFA	g	
	- ₹	-\$-	ARI				
0.52	0.52	0.47	0.58	0.55	0.57	0.61	yan
0.41	0.48	0.68	0.44	0.66	0.47	0.59	goolam
0.68	0.74		0.74	0.66	0.36	0.49	pollen
0.74	0.56	0.75	0.36	0.73	0.3	0.27	patel
0.23	0.23	0.35	0.22	0.23	0.16	0.17	nestorowa
0.22	0.21	0.2	0.14	0.38	0.17	0.1	Petropoulos
0.41	0.33	0.56	0.18	0.38	0.21	0.21	baron-human
0.18	0.17	0.29	0.09	0.27	0.08	0.08	melanoma
tybalt.depth1	tybalt.depth2	tybalt.depth3	tnse	nmap	ZIFA	bca	

Figure 5: Performance comparison among VAE, t-SNE, ZIFA, UMAP and PCA based on k-means. For dataset with fewer cells, the performance of t-SNE, umap, ZIFA and PCA is better than VAE. VAE and umap outperforms t-SNE, ZIFA and PCA whith increase number of cells.

Average silhouette score: average silhouette score measures how well each points lies with its own cluster, which indicates the separability of each inidividual cluster. The value of average silluetee score is between -1 to 1, with 1 means the cluster is far away from its neighboring clusters.

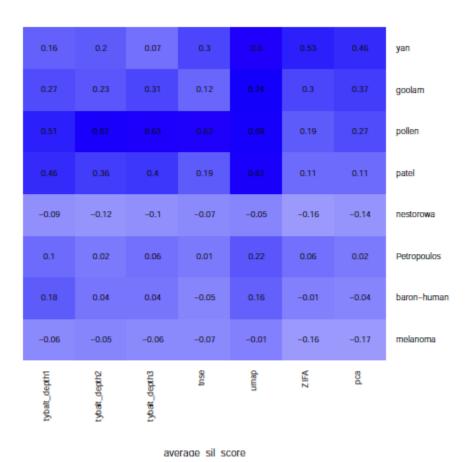


Figure 6: Performance comparison among VAE, t-SNE, ZIFA, UMAP and PCA based on Average silhouette score. The average silluetee score does not differ too much for different approaches. The performance of UMAP is generally better than the other approaches.

*knn-based*: for knn-based approach, we used k-nearest neighbor algorithm to learn the pattern based on the low dimensional latent space, then the performance of classifier was measured by 5-fold cross validation. The performance of VAE is generally better than the other approaches for majority of the real datasets.

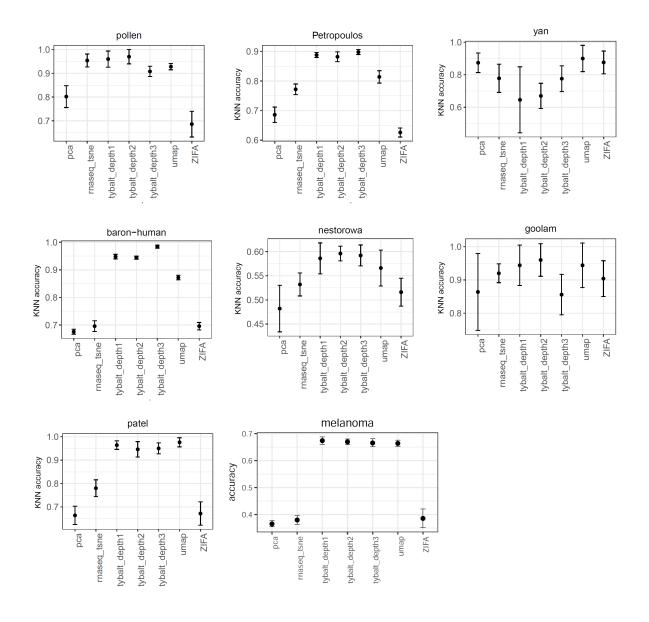


Figure 7: Performance comparison VAE, t-SNE, ZIFA, UMAP and PCA based on k-nearest neighbor algorithm.

# Aim 2: Generate a benchmark dataset of harmonized public data to evaluate the extent to which HCA cell types capture rheumatic disease biology.

The HCA's partnership with the Immunological Genome Project (immgenH) will provide single-cell gene expression-based immunocyte phenotyping at an unprecedented resolution. A compendium comprised of bulk gene expression data from autoimmune/rheumatic diseases is exceptionally well-suited to evaluating the disease relevance of these immunocyte data. The *objective of this aim* is to build and share real and simulated benchmark datasets to evaluate the quality of the cell-type

signatures. This will allow CZI to evaluate techniques, including VAEs and other methods, for defining cell-type-specific expression signatures from the HCA's single-cell datasets by measuring their ability to decompose bulk, whole-tissue autoimmune/rheumatic disease data.

We will generate simulated bulk datasets drawn from HCA-identified cell types by combining their expression profiles at different proportions. We will also build a multi-tissue autoimmune/rheumatic disease compendium from existing public datasets that we have curated (currently more than 12,000 samples). This compendium includes samples from patients with systemic lupus erythematosus (SLE), sarcoidosis, and inflammatory bowel disorders among many other diseases. Such a compendium lets us determine the extent to which HCA-derived cell type signatures capture disease-relevant information in a way that matches previous literature. For instance, we expect to detect higher proportions of activated macrophages in lupus nephritis samples than controls [30].

These bulk compendia (simulated and real data) will enable HCA participants (computational-method and molecular-assay developers) to directly compare approaches where we expect their most immediate translational impact: application to existing datasets to explain disease-relevant phenomena via a single-cell perspective.

#### Results

TBD.

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