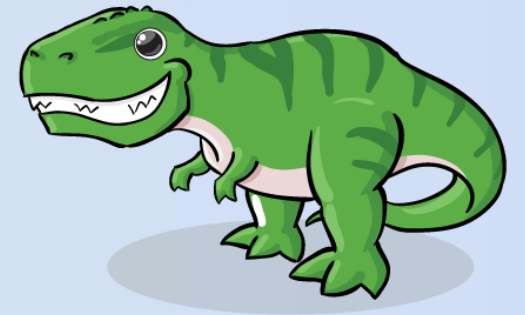
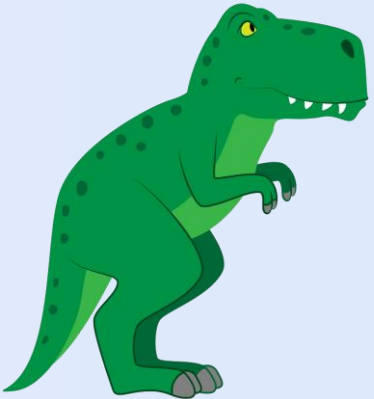


## Chapter 4.

# Threads & Concurrency

Operating System  
Concepts (10<sup>th</sup> Ed.)





## 4.1 Overview

- So far, we assumed that
  - a *process* was an executing program *with a single thread of control*.
  - however, *a process* is able to contain *multiple threads of control*,
  - isn't it?

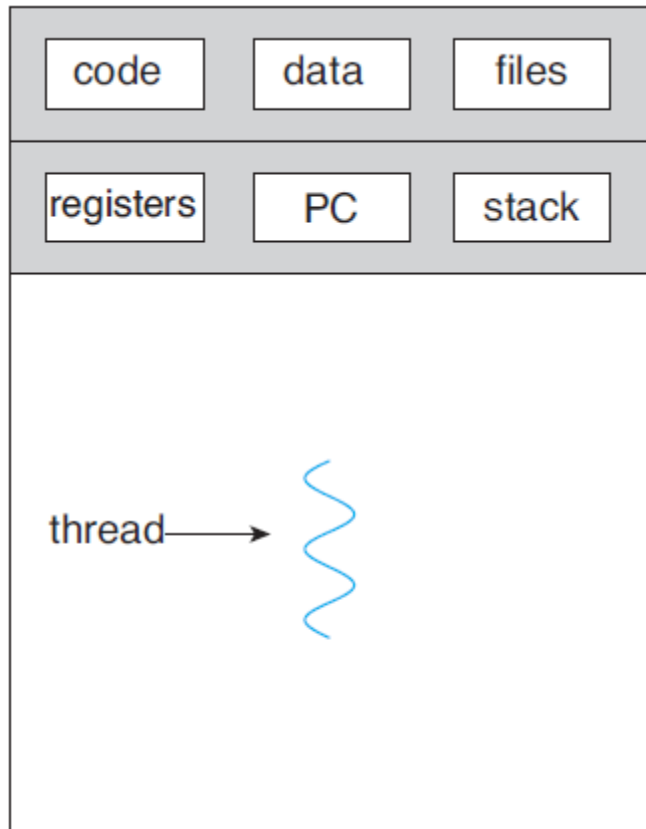


## 4.1 Overview

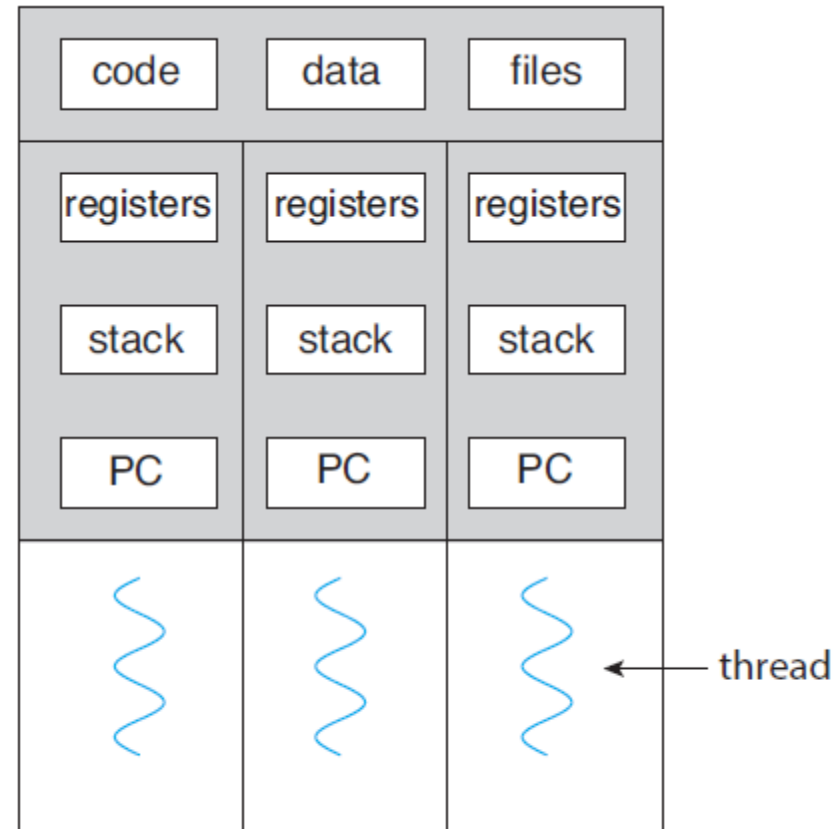
- A *thread* is
  - a lightweight process.
  - a basic unit of CPU utilization.
  - comprises a *thread ID*, a *program counter*, a *register set*, and a *stack*.



## 4.1 Overview



single-threaded process

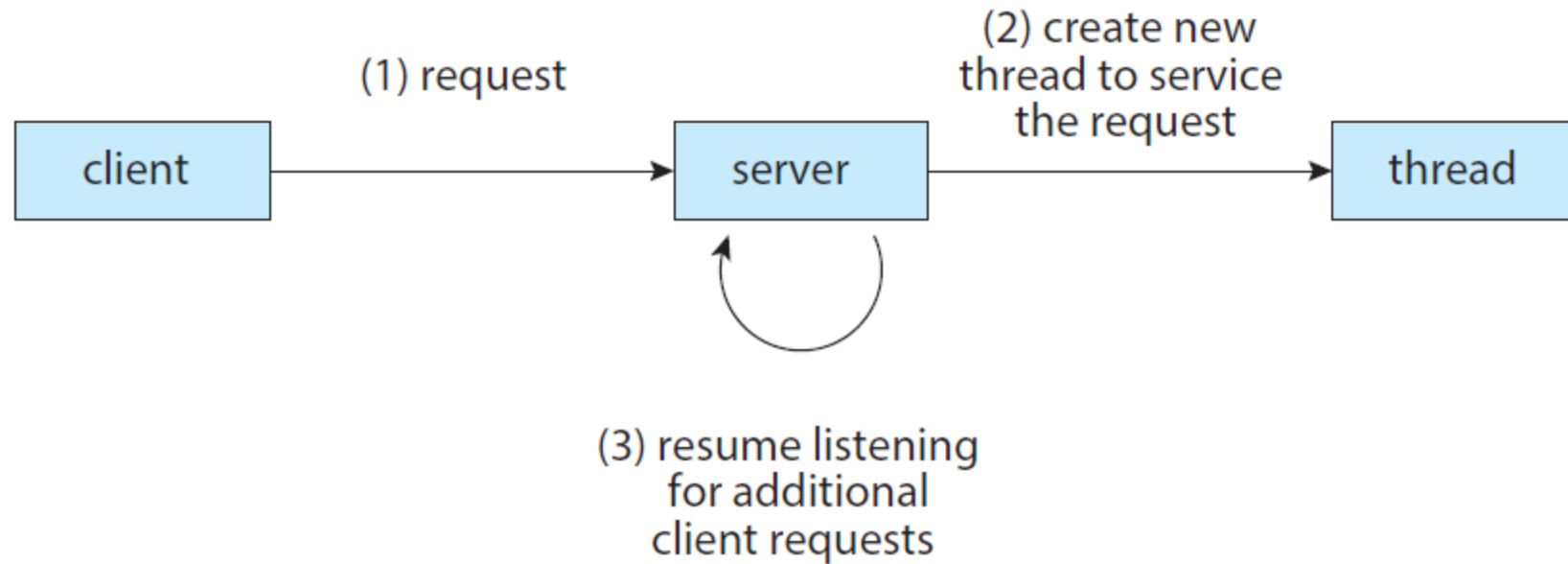


multithreaded process

**Figure 4.1** *Single-threaded and multithreaded processes.*

## 4.1 Overview

- Motivation for multithreading
  - Let us consider the case of client-server system, e.g., a *web server*.



**Figure 4.2** *Multithread server architecture.*



## 4.1 Overview

- The benefits of multithreaded programming:
  - *Responsiveness*: may allow continued execution
    - if part of process is blocked, especially important for user interfaces.
  - *Resource Sharing*: threads share resources of process,
    - easier than shared-memory or message-passing.
  - *Economy*: cheaper than process creation,
    - thread switching lower overhead than context switching.
  - *Scalability*: process can take advantage of multiprocessor architectures



## 4.4 Thread Library

- Threads in Java
  - In a Java program,
    - threads are the fundamental model of program execution.
  - Java provides a rich set of features
    - for the creation and management of threads



## 4.4 Thread Library

- Three techniques for explicitly creating threads in Java.
  - *Inheritance* from the Thread class
    - create a new class that is derived from the Thread class.
    - and override its `public void run()` method.
  - *Implementing* the Runnable interface.
    - define a new class that implements the Runnable interface.
    - and override its `public void run()` method.
  - Using the *Lambda* expression (beginning with Java Version 1.8)
    - rather than defining a new class,
    - use a *lambda expression* of Runnable instead.





## 4.4 Thread Library

### ■ 방법 1: Thread 클래스 상속받기

```
class MyThread1 extends Thread {  
    public void run() {  
        try {  
            while (true) {  
                System.out.println("Hello, Thread!");  
                Thread.sleep(500);  
            }  
        }  
        catch (InterruptedException ie) {  
            System.out.println("I'm interrupted");  
        }  
    }  
}
```



## 4.4 Thread Library

```
public class ThreadExample1 {  
    public static final void main(String[] args) {  
        MyThread1 thread = new MyThread1();  
        thread.start();  
        System.out.println("Hello, My Child!");  
    }  
}
```



## 4.4 Thread Library

### ■ 방법 2: Runnable 인터페이스 구현하기

```
class MyThread2 implements Runnable {  
    public void run() {  
        try {  
            while (true) {  
                System.out.println("Hello, Runnable!");  
                Thread.sleep(500);  
            }  
        }  
        catch (InterruptedException ie) {  
            System.out.println("I'm interrupted");  
        }  
    }  
}
```



## 4.4 Thread Library

```
public class ThreadExample2 {  
    public static final void main(String[] args) {  
        Thread thread = new Thread(new MyThread2());  
        thread.start();  
        System.out.println("Hello, My Runnable Child!");  
    }  
}
```



## 4.4 Thread Library

### ■ 방법 3: Runnable 랴다 표현식 사용하기

```
public class ThreadExample3 {  
    public static final void main(String[] args) {  
        Runnable task = () -> {  
            try {  
                while (true) {  
                    System.out.println("Hello, Lambda Runnable!");  
                    Thread.sleep(500);  
                }  
            }  
            catch (InterruptedException ie) {  
                System.out.println("I'm interrupted");  
            }  
        };  
        Thread thread = new Thread(task);  
        thread.start();  
        System.out.println("Hello, My Lambda Child!");  
    }  
}
```



## 4.4 Thread Library

### ■ 부모 쓰레드의 대기: wait? join!

```
public class ThreadExample4 {  
    public static final void main(String[] args) {  
        Runnable task = () -> {  
            for (int i = 0; i < 5; i++) {  
                System.out.println("Hello, Lambda Runnable!");  
            }  
        };  
        Thread thread = new Thread(task);  
        thread.start();  
        try {  
            thread.join();  
        }  
        catch (InterruptedException ie) {  
            System.out.println("Parent thread is interrupted");  
        }  
        System.out.println("Hello, My Joined Child!");  
    }  
}
```



## 4.4 Thread Library

### ■ 스레드의 종료: stop? interrupt!

```
public class ThreadExample5 {  
    public static final void main(String[] args) throws InterruptedException {  
        Runnable task = () -> {  
            try {  
                while (true) {  
                    System.out.println("Hello, Lambda Runnable!");  
                    Thread.sleep(100);  
                }  
            }  
            catch (InterruptedException ie) {  
                System.out.println("I'm interrupted");  
            }  
        };  
        Thread thread = new Thread(task);  
        thread.start();  
        Thread.sleep(500);  
        thread.interrupt();  
        System.out.println("Hello, My Interrupted Child!");  
    }  
}
```



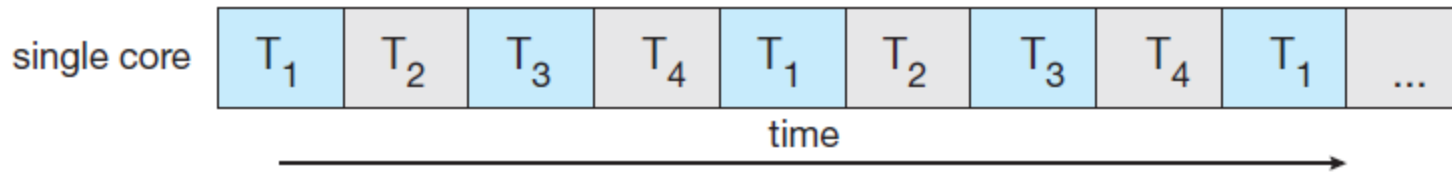
## 4.2 Multicore Programming

- Multithreading in a **Multicore** system.
  - more efficient use of multiple cores for improved concurrency.
  - Consider an application with four threads.
    - single-core: threads will be interleaved over time.
    - multiple-cores: some threads can run in parallel.

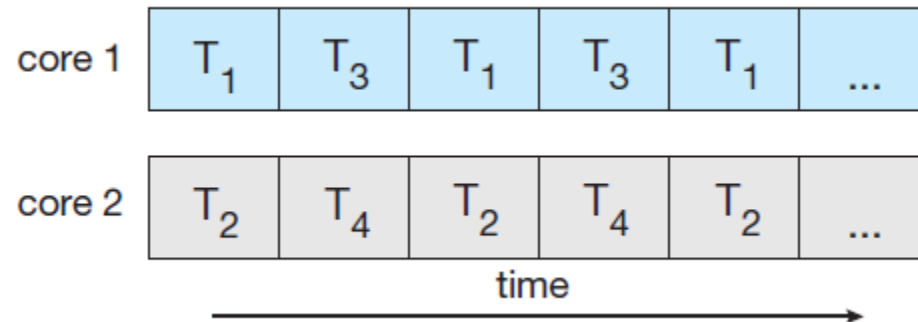




## 4.2 Multicore Programming



**Figure 4.3** *Concurrent execution on a single-core system.*



**Figure 4.4** *Parallel execution on a multicore system.*



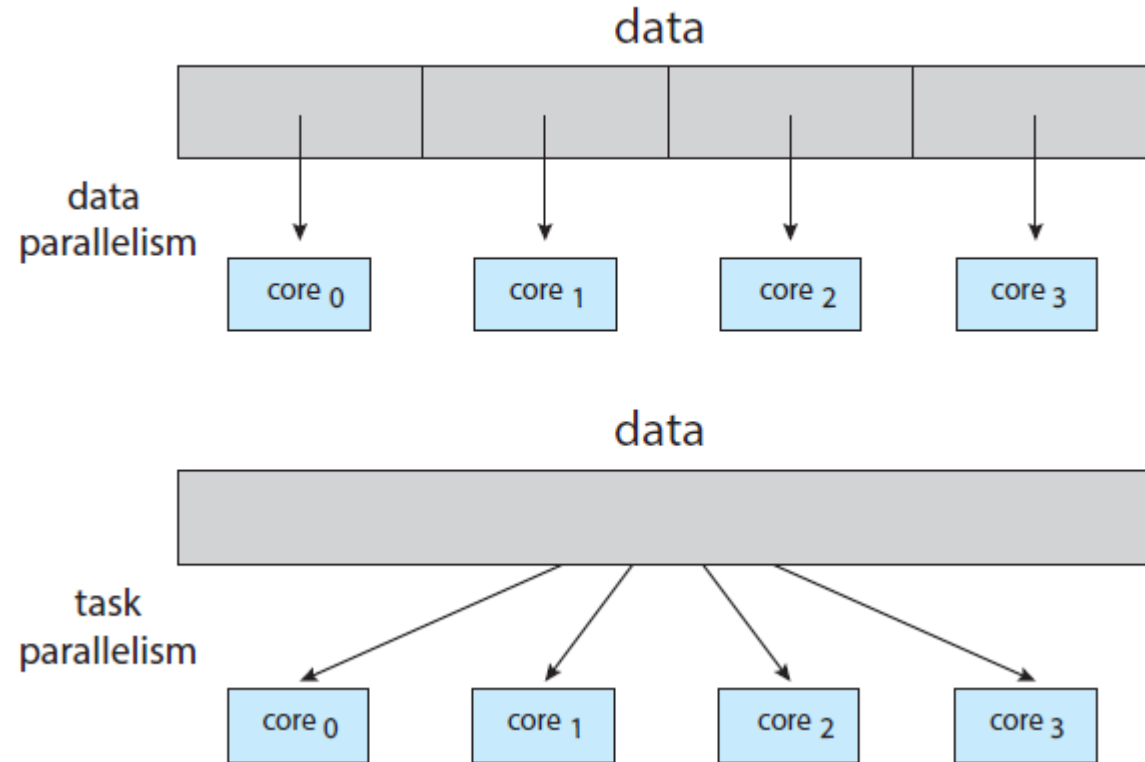
## 4.2 Multicore Programming

- Programming Challenges in Multicore systems.
  - *Identifying tasks*: find areas can be divided into separate tasks.
  - *Balance*: ensure the tasks to perform equal work of equal value.
  - *Data splitting*: data also must be divided to run on separate cores.
  - *Data dependency*: ensure that the execution of tasks is synchronized to accommodate the data dependency
  - *Testing and debugging*: more difficult than single-thread.



## 4.2 Multicore Programming

### ■ Types of parallelism:



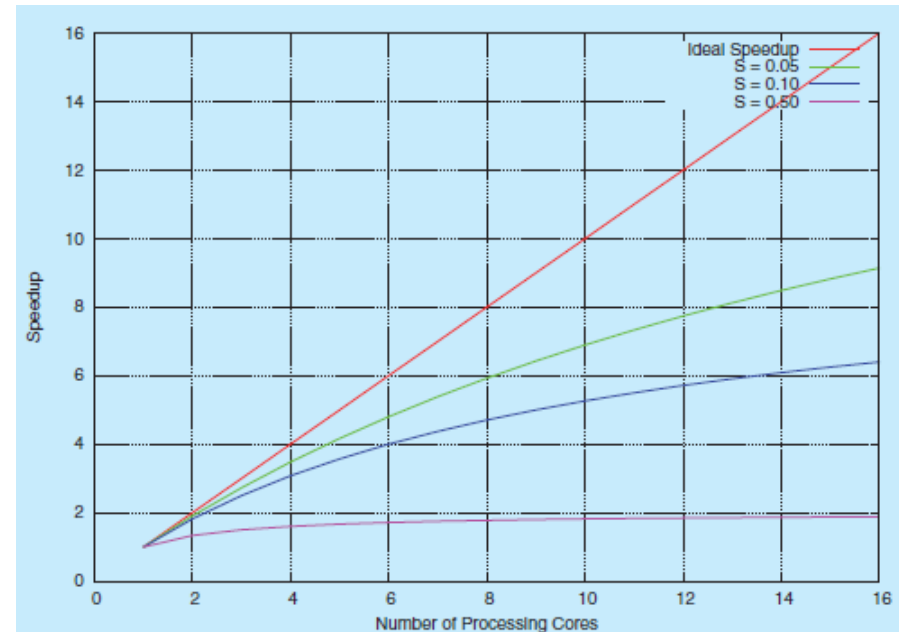
**Figure 4.5** *Data parallelism and task parallelism.*



## 4.2 Multicore Programming

### ■ Amdahl's Law:

- 코어는 무조건 많을수록 좋은가?
- *speedup*  $\leq \frac{1}{S + \frac{(1-S)}{N}}$ , where
  - *S*: the portion that must be performed serially on a system.
  - *N*: the number of processing cores
- For example,
  - *S*=0.25, *N*=2, speedup=1.6
  - *S*=0.25, *N*=4, speedup=2.28



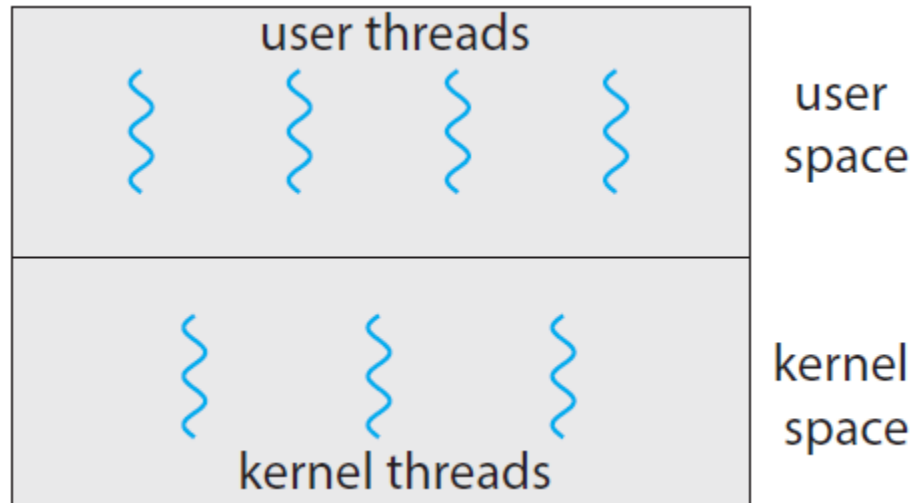


## 4.3 Multithreading Models

- Two types of threads:
  - *user* threads and *kernel* threads
  - User threads are
    - supported above the kernel, and
    - are managed *without kernel support*.
  - Kernel threads are
    - supported and managed directly *by the operating system*.



## 4.3 Multithreading Models

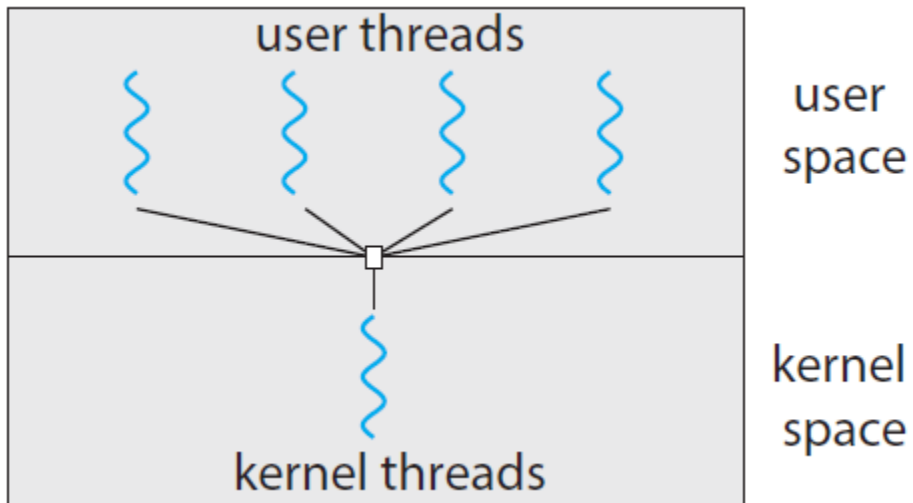


**Figure 4.6** *User threads and kernel threads.*



## 4.3 Multithreading Models

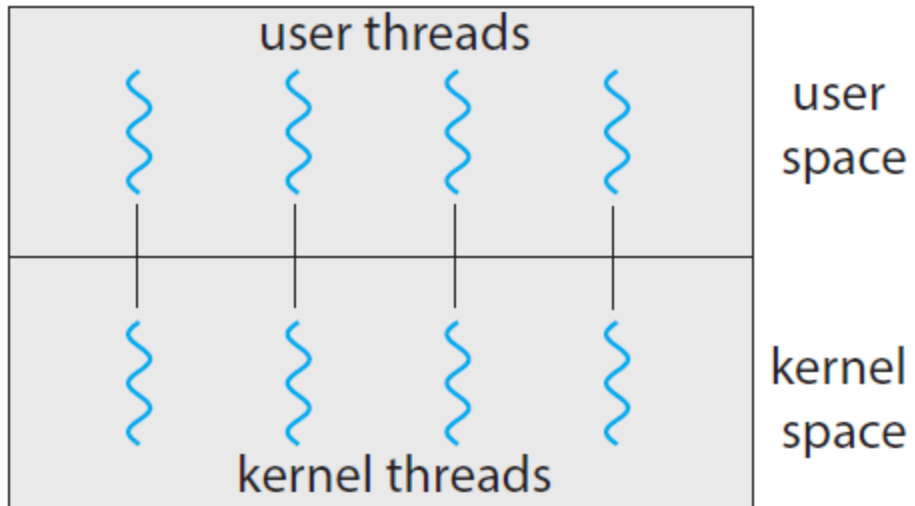
- Three relationships between user and kernel threads:
  - Many-to-One Model
  - One-to-One Model
  - Many-to-Many Model



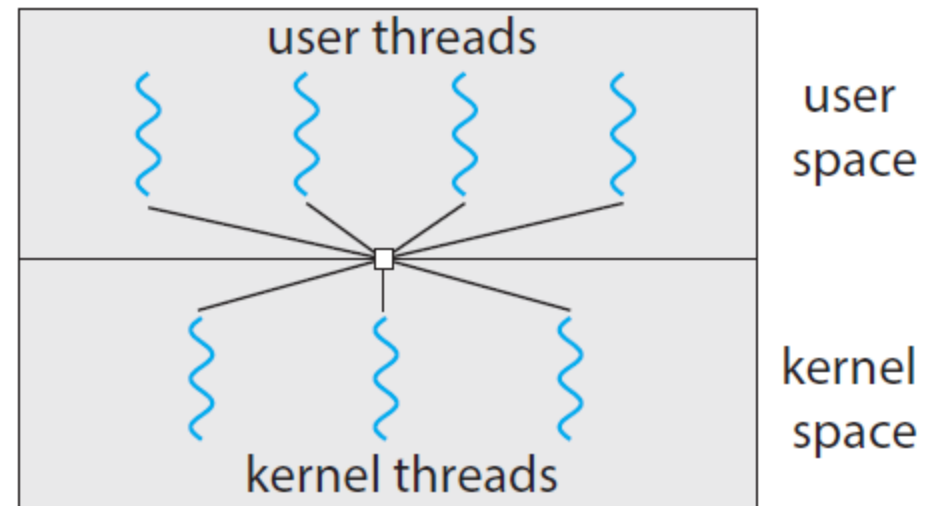
**Figure 4.7** *Many-to-one model.*



## 4.3 Multithreading Models



**Figure 4.8** *One-to-one model.*



**Figure 4.9** *Many-to-Many model.*





## 4.4 Thread Libraries

- A *thread library* provides
  - an API for *creating* and *managing* threads.
  
- Three main thread libraries are in use today:
  - POSIX **Pthreads**
  - **Windows** thread
  - **Java** thread.



## 4.4 Thread Libraries

### ■ *Pthreads*

- refers to the POSIX standard (IEEE 1003.1c)
- just a *specification* for thread behavior, not an implementation.

```
#include <stdio.h>
#include <stdlib.h>
#include <pthread.h>

/* the data shared by the threads */
int sum;
/* thread call this function */
void * runner(void *param);
```



## 4.4 Thread Libraries

```
int main(int argc, char *argv[])
{
    pthread_t tid;          // thread identifier
    pthread_attr_t attr;    // thread attributes

    pthread_attr_init(&attr);
    pthread_create(&tid, &attr, runner, argv[1]);
    pthread_join(tid, NULL);

    printf("sum = %d\n", sum);
}

void *runner(void *param)
{
    int i, upper = atoi(param);
    sum = 0;
    for (i = 0; i <= upper; i++)
        sum += i;
    pthread_exit(0);
}
```

\$gcc -pthread 4.11\_pthread.c

**Figure 4.11** Multithreaded C program using the Pthread API.



## 4.4 Thread Libraries

- Exercise 4.17 (p. 910)
  - Consider the following code segment:

```
pid_t pid;  
  
pid = fork();  
if (pid == 0) { /* child process */  
    fork();  
    thread_create( . . . );  
}  
fork();
```

- a. How many unique processes are created?
- b. How many unique threads are created?



## 4.4 Thread Libraries

### ■ Exercise 4.19 (p. 910)

```
#include <stdio.h>
#include <unistd.h>
#include <wait.h>
#include <pthread.h>

int value = 0;
void * runner(void *param);

int main(int argc, char *argv[])
{
    pid_t pid;
    pthread_t tid;
    pthread_attr_t attr;
```



## 4.4 Thread Libraries

```
pid = fork();

if (pid == 0) { // child process
    pthread_attr_init(&attr);
    pthread_create(&tid, &attr, runner, NULL);
    pthread_join(tid, NULL);
    printf("CHILD: value = %d\n", value); // LINE C
}
else if (pid > 0) { // parent process
    wait(NULL);
    printf("PARENT: value = %d\n", value); // LINE P
}
}

void *runner(void *param)
{
    value = 5;
    pthread_exit(0);
}
```



## 4.5 Implicit Threading

- The Strategy of **Implicit Threading**
  - The design of *concurrent* and *parallel* applications,
    - i.e., the design of *multithreading* in *multicore* systems,
    - is too difficult for application developers.
  - So, *transfer the difficulty* to compiler and run-time libraries.



## 4.5 Implicit Threading

- Four alternative approaches using implicit threading:
  - **Thread Pools**
    - create a number of threads in a pool where they await work.
  - **Fork & Join**
    - *explicit* threading, but an excellent candidate for *implicit* threading.
  - **OpenMP**
    - a set of compiler directives and an API for programs written in C/C++.
  - **Grand Central Dispatch (GCD)**
    - developed by Apple for its macOS and iOS operating system.





## 4.5 Implicit Threading

### ■ OpenMP

- identifies parallel regions as blocks of code that may run in parallel.
- insert compiler directives into source code at parallel regions.
- these directives instruct OpenMP runtime library to execute the region in parallel.



## 4.5 Implicit Threading

```
#include <stdio.h>
#include <omp.h>

int main(int argc, char *argv[])
{
    #pragma omp parallel // compiler directive
    {
        printf("I am a parallel region.\n");
    }

    return 0;
}
```

```
$gcc -fopenmp 4.20_OpenMP1.c
```



## 4.5 Implicit Threading

```
#include <stdio.h>
#include <omp.h>

int main(int argc, char *argv[])
{
    omp_set_num_threads(4);

    #pragma omp parallel
    {
        printf("OpenMP thread: %d\n", omp_get_thread_num());
    }

    return 0;
}
```



## 4.5 Implicit Threading

```
#include <stdio.h>
#include <omp.h>

#define SIZE 100000000

int a[SIZE], b[SIZE], c[SIZE];

int main(int argc, char *argv[])
{
    int i;
    for (i = 0; i < SIZE; i++)
        a[i] = b[i] = i;

    #pragma omp parallel for
    for (i = 0; i < SIZE; i++) {
        c[i] = a[i] + b[i];
    }

    return 0;
}
```



## 4.5 Implicit Threading

```
joonion@joonionpc:~/VSCode/OperatingSystemConcepts$ time ./sum_not_parallel
```

```
real    0m0.586s
user    0m0.364s
sys     0m0.223s
```

```
joonion@joonionpc:~/VSCode/OperatingSystemConcepts$ time ./sum_with_openmp
```

```
real    0m0.423s
user    0m1.091s
sys     0m0.441s
```

*Any Questions?*

