

# CS371N: Natural Language Processing

## Lecture 17: Parsing II

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# Announcements

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- ▶ A4 due today
- ▶ Midterm Thursday:
  - ▶ One 8.5"x11" notesheet, double-sided
  - ▶ No calculators
  - ▶ See past exams for format



# Recap: PCFGs

## Grammar (CFG)

ROOT $\rightarrow$ S	1.0	NP $\rightarrow$ NP PP	0.3
S $\rightarrow$ NP VP	1.0	VP $\rightarrow$ VBP NP	0.7
NP $\rightarrow$ DT NN	0.2	VP $\rightarrow$ VBP NP PP	0.3
NP $\rightarrow$ NN NNS	0.5	PP $\rightarrow$ IN NP	1.0

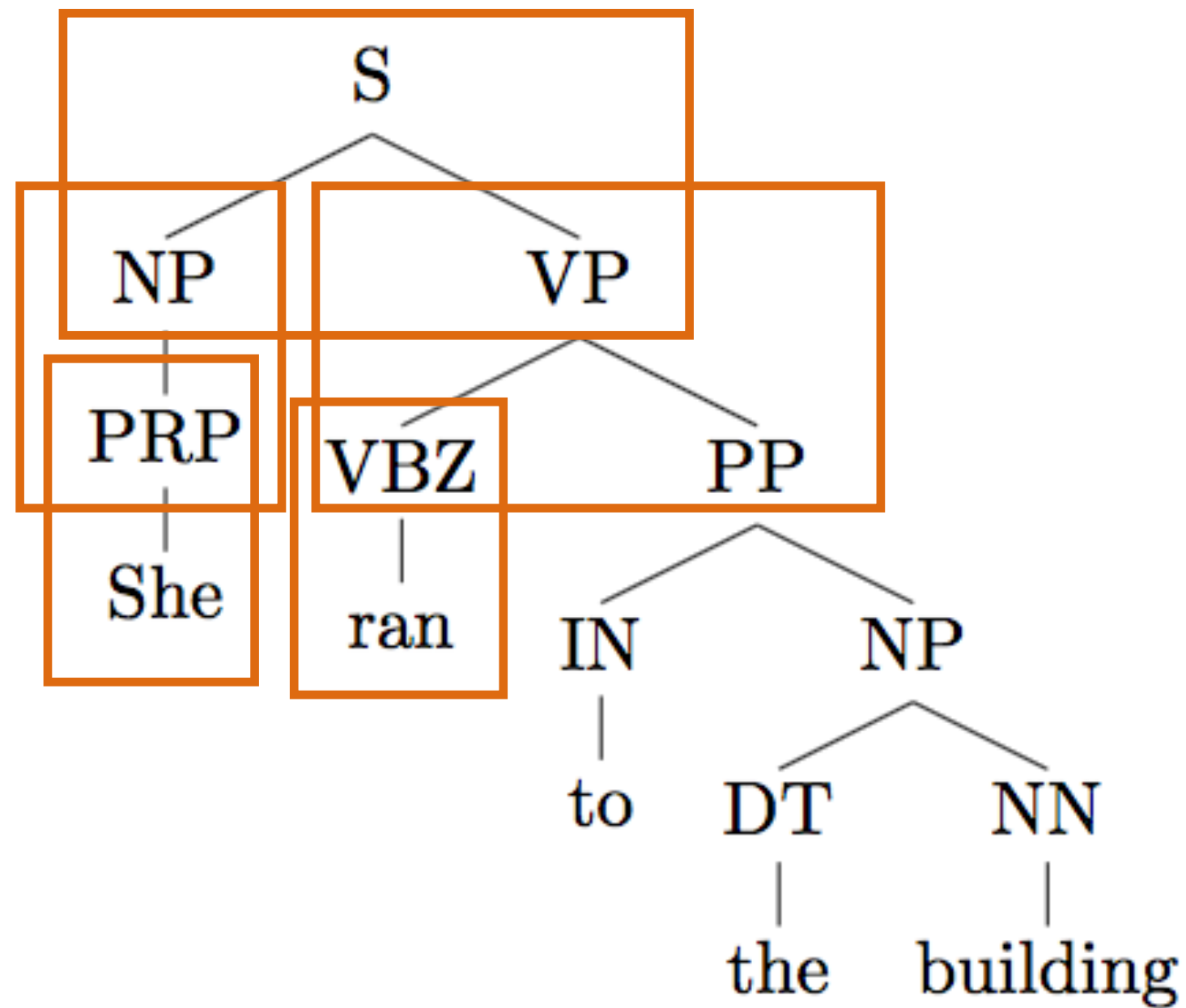
## Lexicon

NN $\rightarrow$ interest	1.0
NNS $\rightarrow$ raises	1.0
VBP $\rightarrow$ interest	1.0
VBZ $\rightarrow$ raises	1.0

- ▶ Context-free grammar: symbols which rewrite as one or more symbols
- ▶ Lexicon consists of “preterminals” (POS tags) rewriting as terminals (words)
- ▶ CFG is a tuple (N, T, S, R): N = nonterminals, T = terminals, S = start symbol (generally a special ROOT symbol), R = rules
- ▶ PCFG: probabilities associated with rewrites, normalize by source symbol



# Recap: Learning PCFGs



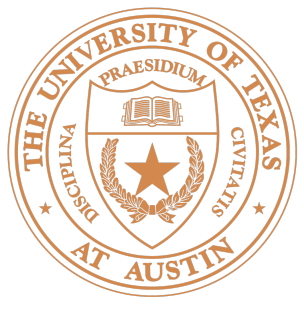
$S \rightarrow NP VP$  1.0

$NP \rightarrow PRP$  0.5

$NP \rightarrow DT NN$  0.5

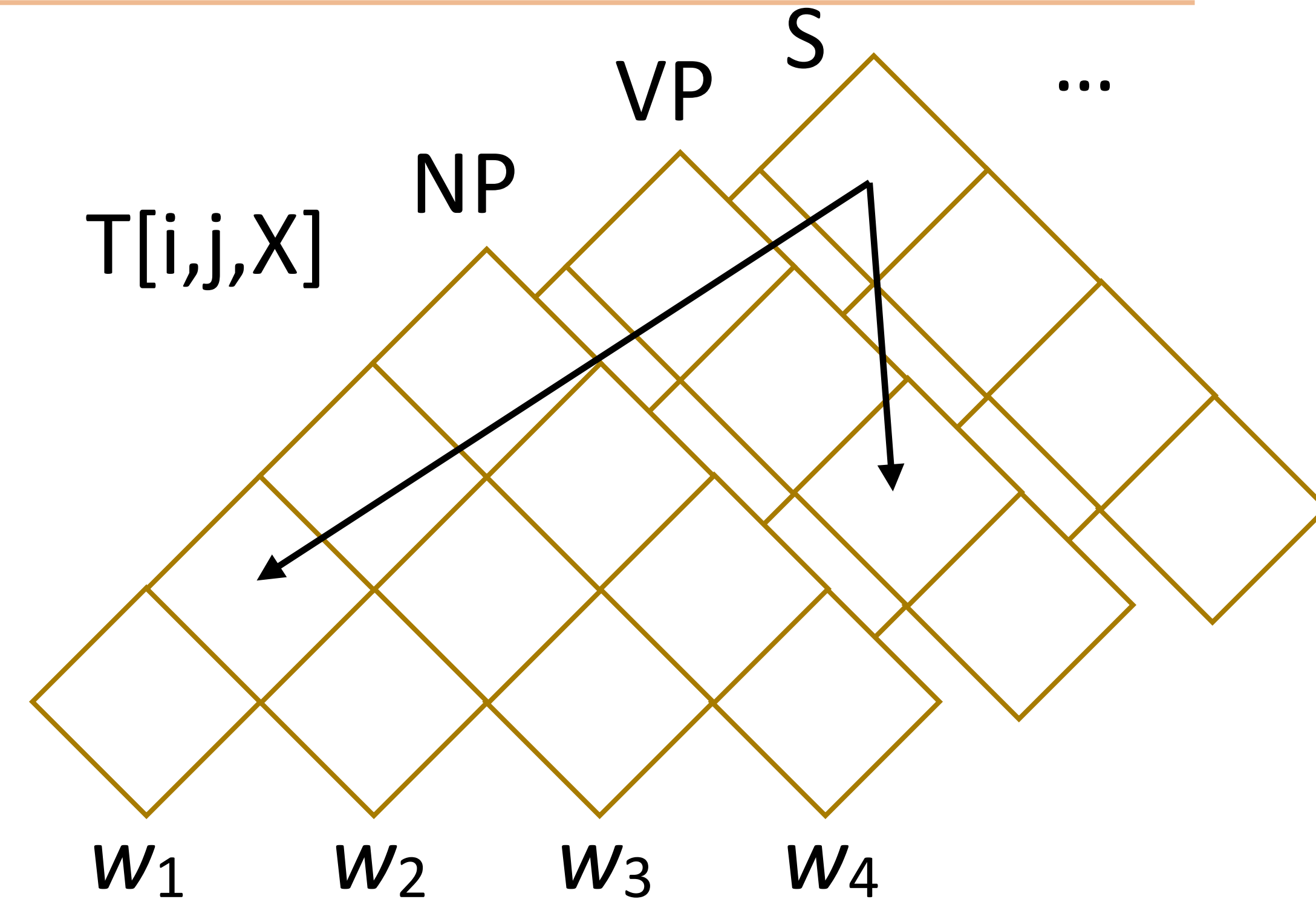
...

- ▶ Maximum likelihood PCFG for a set of labeled trees: count and normalize!  
Same as HMMs / Naive Bayes



# Recap: CKY

- ▶ Chart:  $T[i,j,X]$  = best score for  $X$  over  $(i, j)$
- ▶ Base:  $T[i,i+1,X] = \log P(X \rightarrow w_i)$
- ▶ Loop over all split points  $k$ , apply rules  $X \rightarrow Y Z$  to build  $X$  in every possible way
- ▶ Recurrence:  
$$T[i,j,X] = \max_k \max_{r: X \rightarrow X1 X2} T[i,k,X1] + T[k,j,X2] + \log P(X \rightarrow X1 X2)$$



$S[0,4] \Rightarrow NP[0,2] VP[2,4]$

# Parser Evaluation



# Parser Evaluation

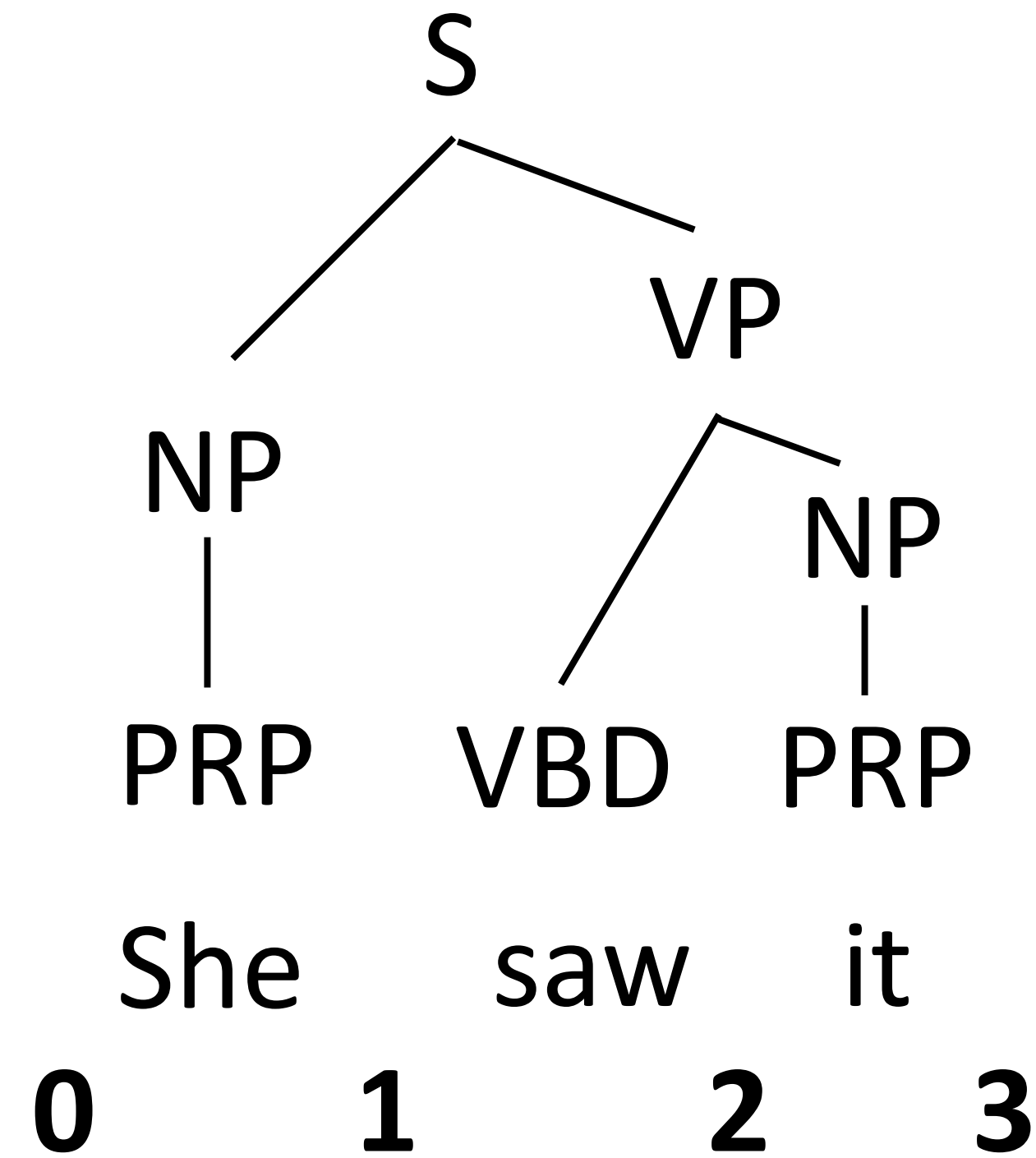
- View a parse as a set of labeled *brackets / constituents*

S(0,3)

NP(0,1)

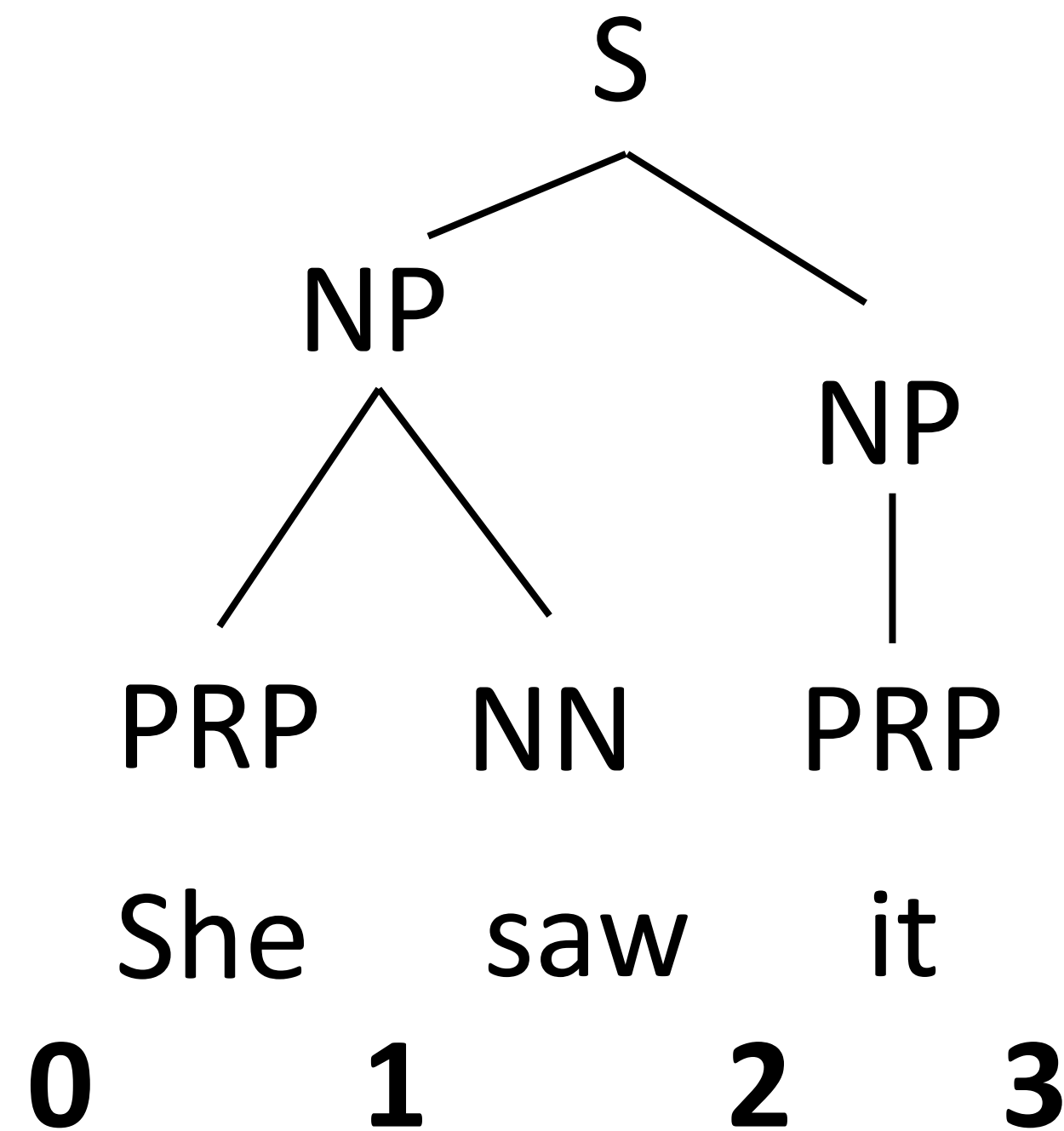
PRP(0,1) (but standard evaluation  
*does not count POS tags*)

VP(1,3), VBD(1,2), NP(2,3), PRP(2,3)

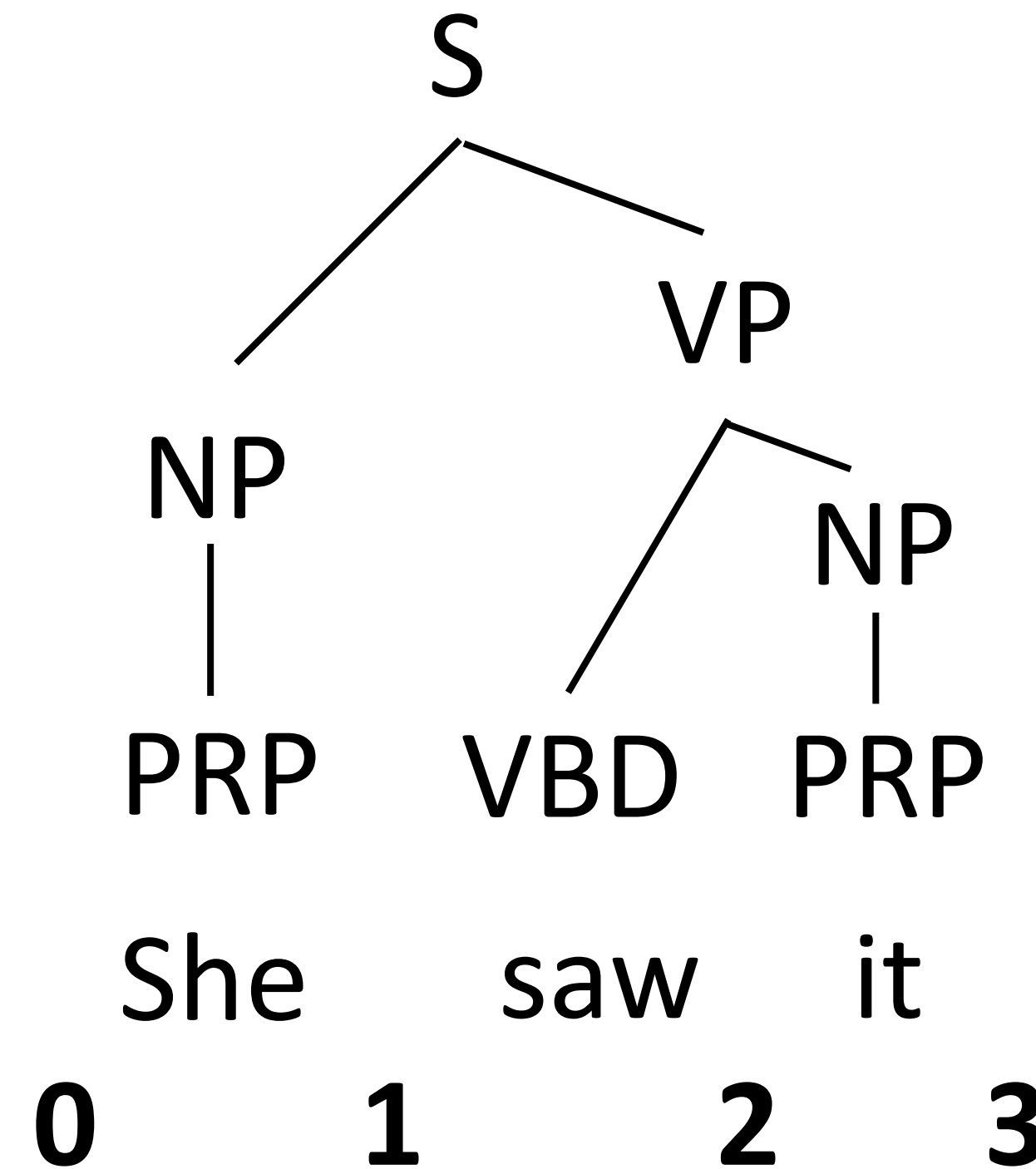




# Parser Evaluation



**S(0,3),**  
**NP(0,2),**  
**NP(2,3),**  
~~PRP(0,1),~~  
~~NN(1,2),~~  
~~PRP(2,3)~~



**S(0,3),**  
**NP(0,1),**  
**VP(1,3),**  
**NP(2,3),**  
~~PRP(0,1),~~  
~~VBD(1,2),~~  
~~PRP(2,3)~~

- Precision: number of correct predictions / number of predictions = 2/3
- Recall: number of correct predictions / number of golds = 2/4
- F1: harmonic mean of precision and recall =  $(1/2 * ((2/4)^{-1} + (2/3)^{-1}))^{-1}$   
= 0.57 (closer to min)



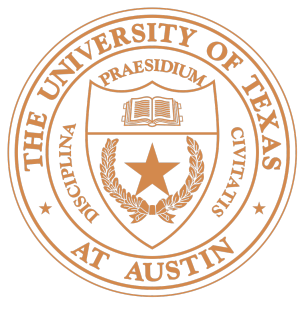


# Results

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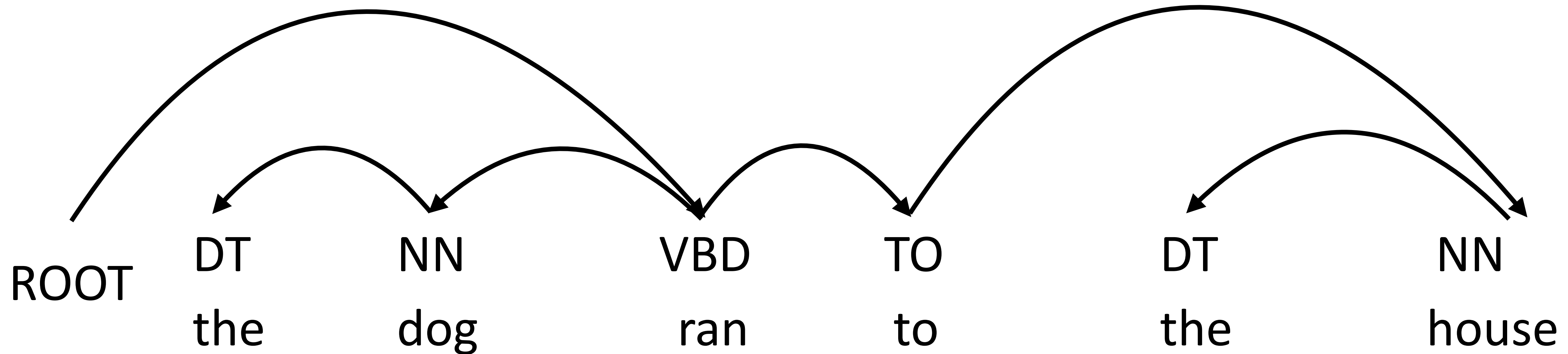
- ▶ Standard dataset for English: Penn Treebank (Marcus et al., 1993)
- ▶ “Vanilla” PCFG: ~71 F1
- ▶ Best PCFGs for English: ~90 F1
- ▶ State-of-the-art discriminative models (using unlabeled data): 95 F1
- ▶ Other languages: results vary widely depending on annotation + complexity of the grammar

# Dependency Parsing



# Dependency Parsing

- ▶ Dependencies: syntactic structure is defined by relations between words
  - ▶ Head (parent, governor) connected to dependent (child, modifier)
  - ▶ Each word has exactly one parent except for the ROOT symbol, dependencies must form a directed acyclic graph



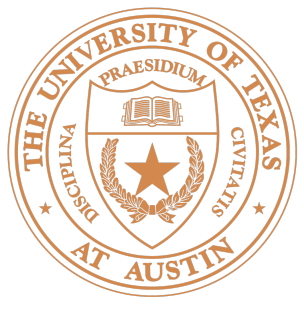
- ▶ POS tags same as before, usually run a tagger first as preprocessing



# Why are they defined this way?

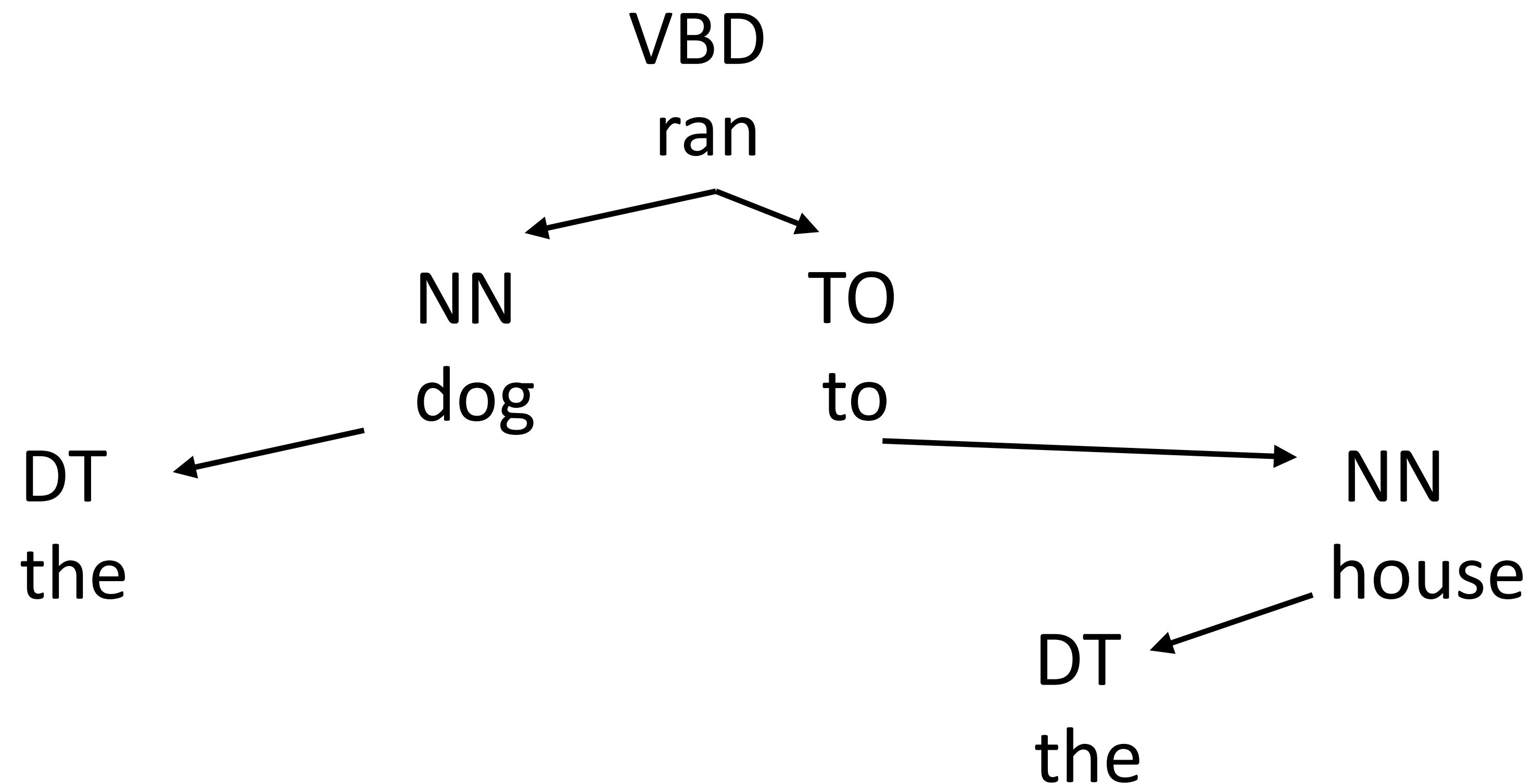
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- ▶ Constituency tests:
  - ▶ Substitution by *proform*: the dog *did so* [*ran to the house*],  
*he* [*the dog*] ran to the house
  - ▶ Clefting (*It was* [*to the house*] *that the dog ran...*)
- ▶ Dependency: verb is the root of the clause, everything else follows from that
  - ▶ No notion of a VP!



# Dependency Parsing

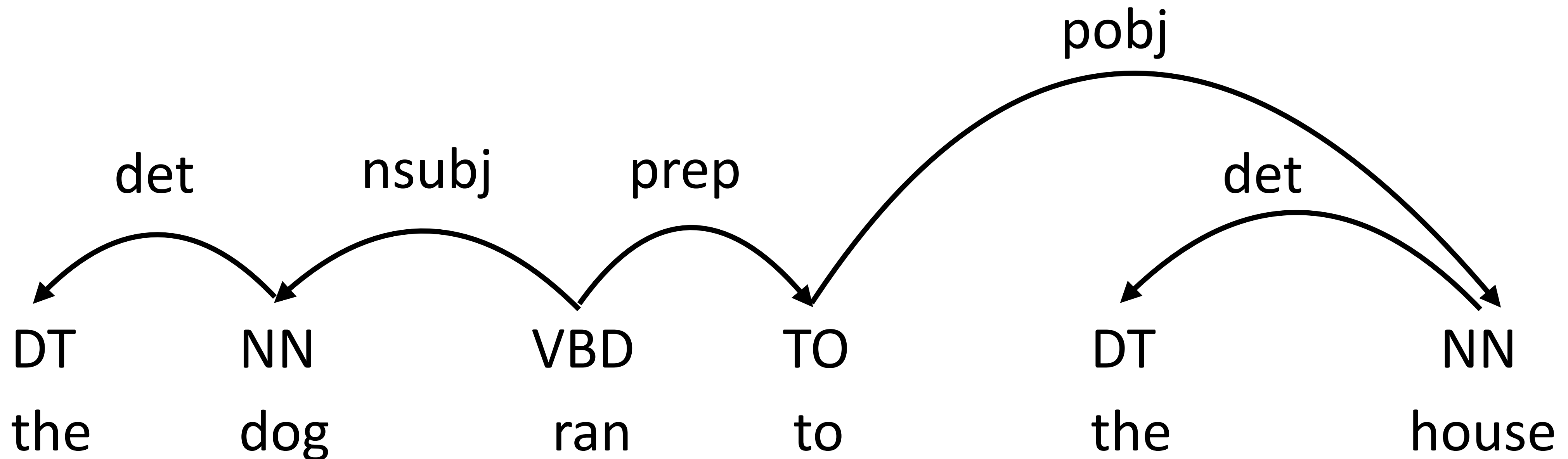
- ▶ Still a notion of hierarchy! Subtrees often align with constituents

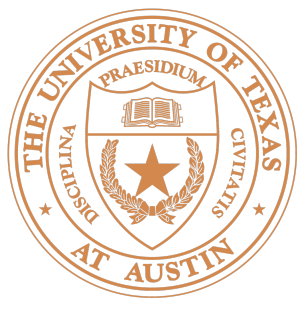




# Dependency Parsing

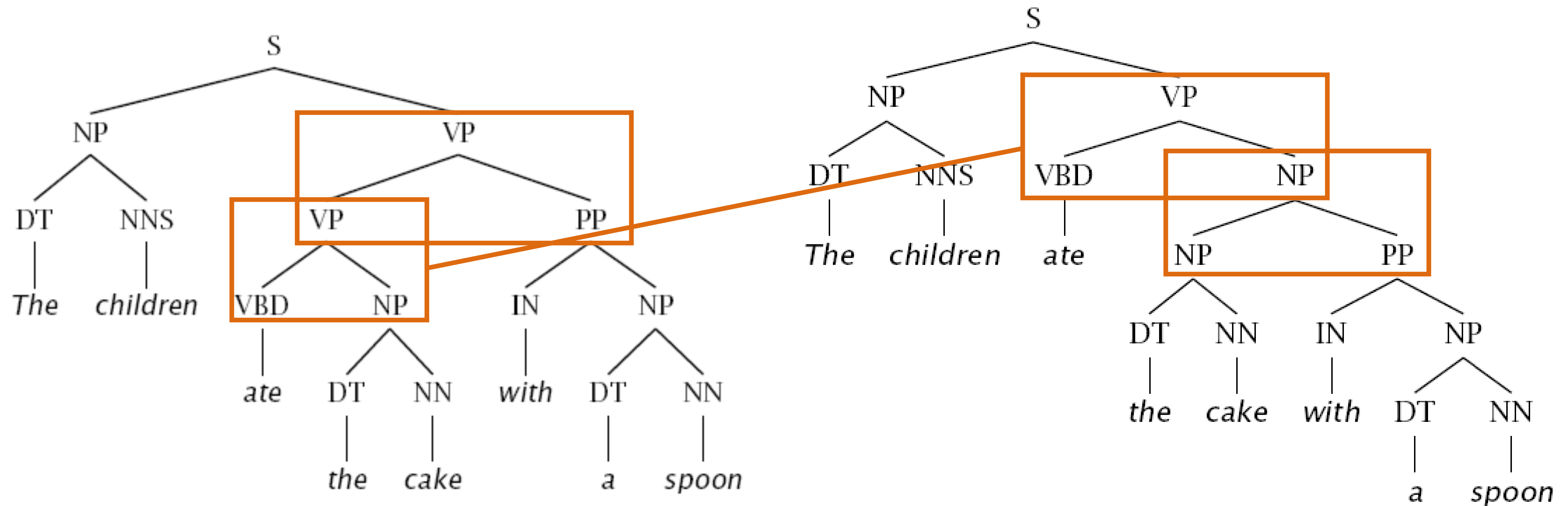
- ▶ Can label dependencies according to syntactic function
- ▶ Major source of ambiguity is in the structure, so we focus on that more (labeling separately with a classifier works pretty well)





# Dependency vs. Constituency: PP Attachment

- Constituency: several rule productions need to change

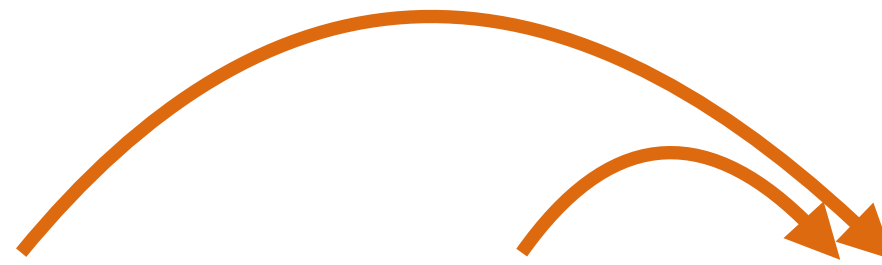




# Dependency vs. Constituency: PP Attachment

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- ▶ Dependency: one word (*with*) assigned a different parent



the children ate the cake with a spoon

- ▶ corenlp.run: *spoon* is child instead of *with*. This is just a different formalism
- ▶ More predicate-argument focused view of syntax
- ▶ “What’s the main verb of the sentence? What is its subject and object?”  
— easier to answer under dependency parsing

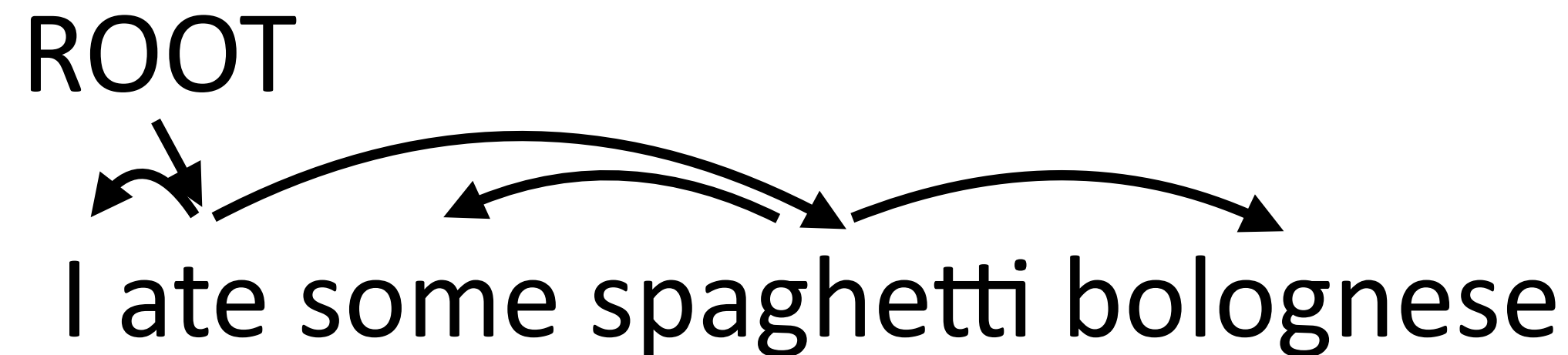


# Parsers Today



# Modern Parsers

- ▶ Shift-reduce parsers: parsers that construct a tree from a sentence via a greedy sequence of operations. similar to parsing algorithms for compilers:



Shift, Shift, Left-arc, Shift, Shift, Left-arc, Shift, Right-arc, Right-arc, Right-arc

I <- ate

some <- spaghetti

spaghetti ->  
bolognese

ate ->  
spaghetti

ROOT ->  
ate

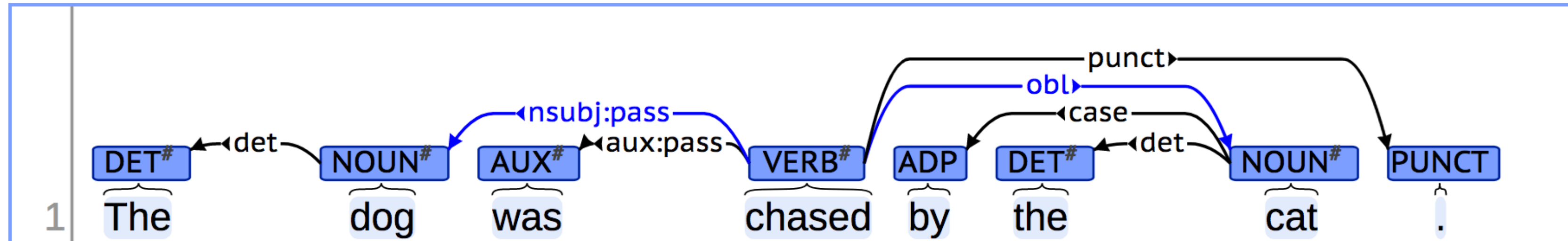
- ▶ These parsers historically worked less well. But with neural networks, they're pretty good and **very** fast!



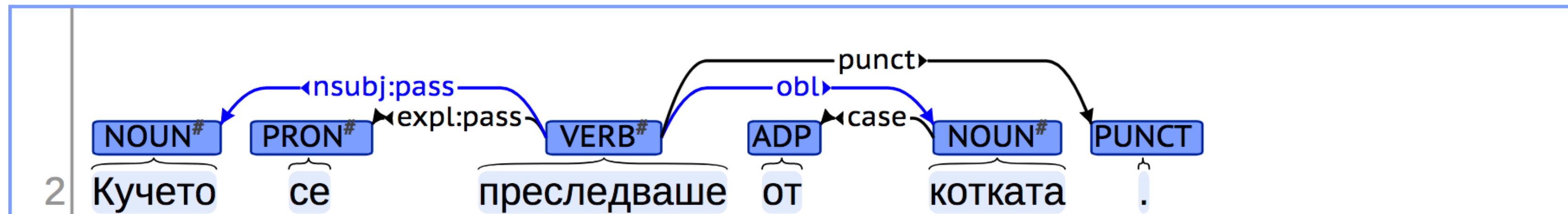
# Universal Dependencies

- Annotate dependencies with the same representation in many languages

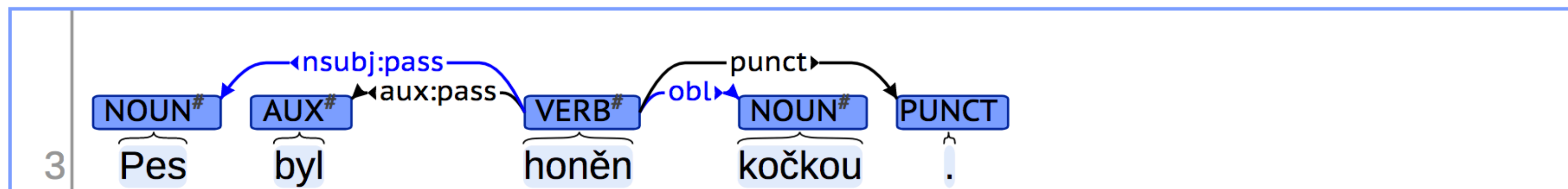
English



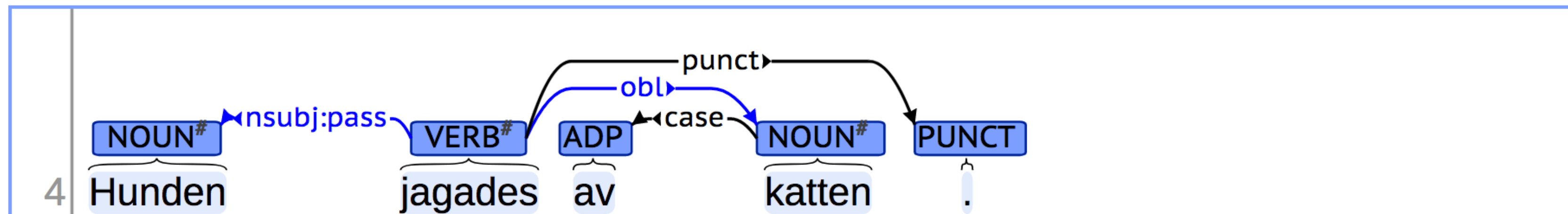
Bulgarian



Czech



Swiss





# Reflections on Structure

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- ▶ What is the role of it now?
- ▶ Systems still make these kinds of judgments, just not explicitly
- ▶ To improve systems, do we need to understand what they do?