# String Matching



2023-Fall

국민대학교 소프트웨어학부 최준수

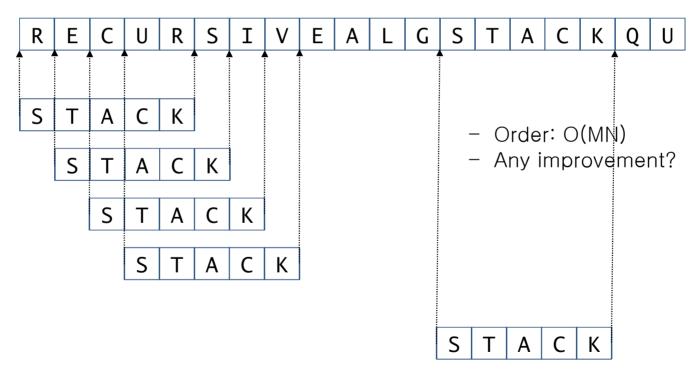
## String Matching

- Substring search
  - Find pattern of length M in a text of length N. (typically  $N \gg M$ )





- Naïve Algorithm 1
  - Check for pattern starting at each text position







- Naïve Algorithm 2
  - Check for pattern starting at each text position





- Naïve Algorithm 2
  - Check for pattern starting at each text position

```
i j i+j 0 1 2 3 4 5 6 7 8 9 10

txt → A B A C A D A B R A C

0 2 2 A B R A pat

1 0 1 A B R A entries in red are
2 1 3 A B R A entries in gray are
3 0 3 A B R A entries in gray are
4 1 5 entries in black A B R A

6 4 10

return i when j is M

A B R A

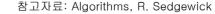
A B R A

A B R A

A B R A

A B R A

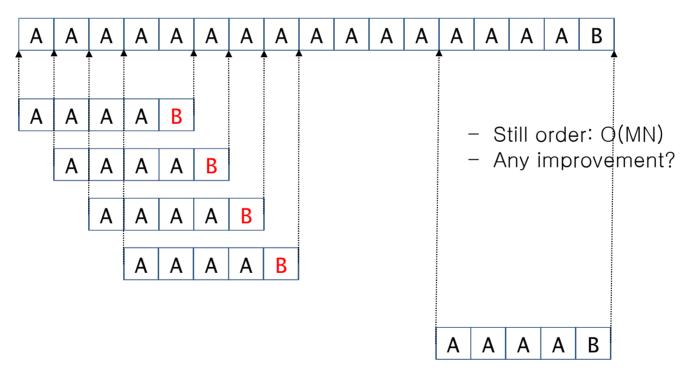
A B R A
```







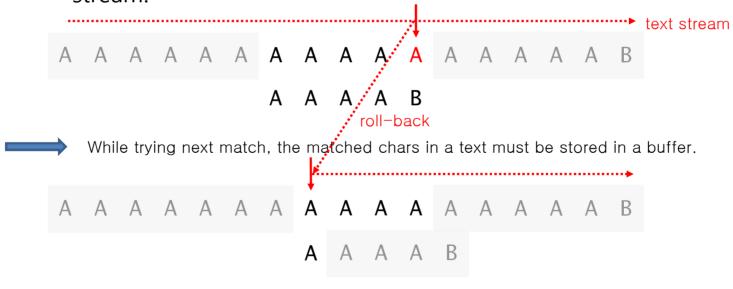
- Naïve Algorithm 2
  - Naïve algorithm can be slow if text and pattern are repetitive







- Improvement
  - Develop a linear time algorithm
  - Avoid backup
    - Naïve algorithm needs backup for every mismatch
    - Thus naïve algorithm cannot be used when input text is a stream.

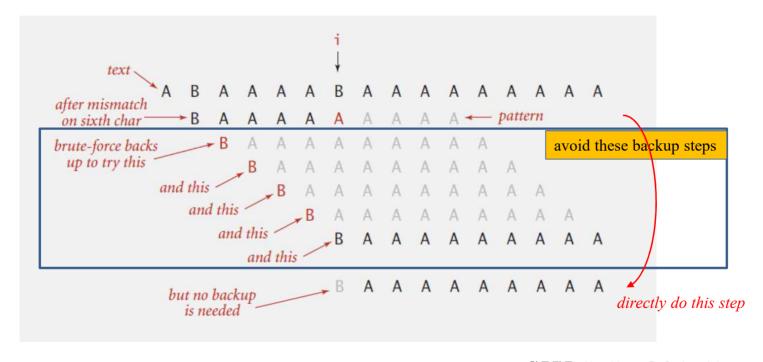






## Knuth-Morris-Pratt(KMP) Algorithm

- KMP algorithm
  - Clever method to always avoid backup problem.



참고자료: Algorithms, R. Sedgewick

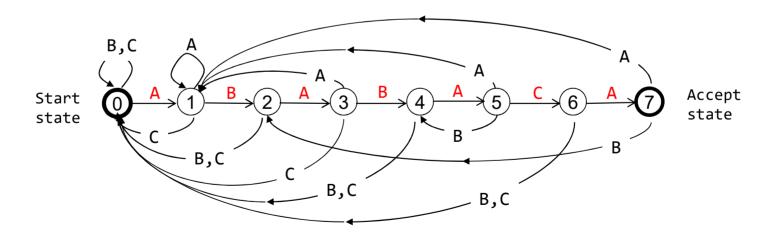




### Deterministic Finite Automaton

- DFA(Deterministic Finite State Automaton)
  - Finite number of states (including start and accept states)
  - Exactly one transition for each char
  - Accept if sequence of transitions leads to accept state

DFA for pattern ABABACA

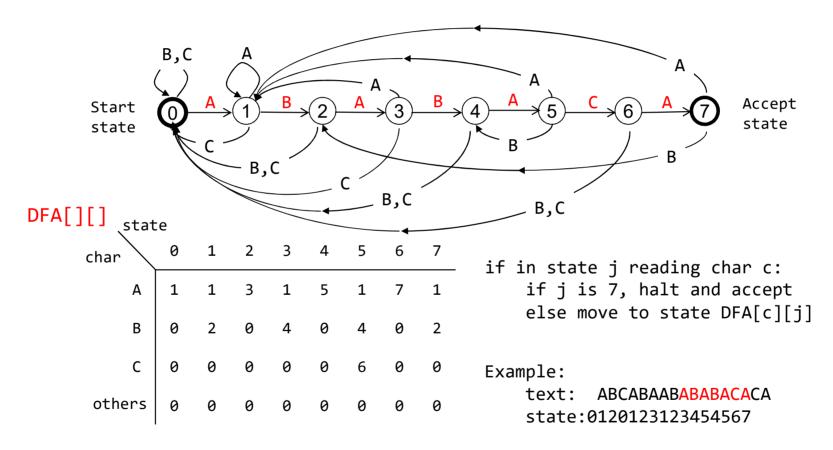






### DFA

#### DFA for pattern ABABACA

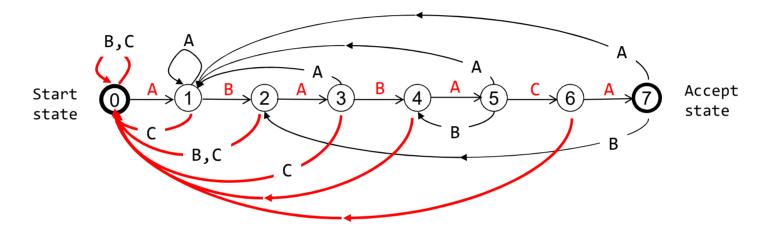




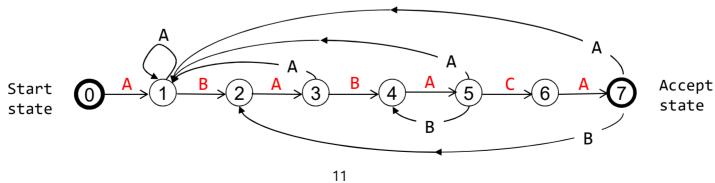


### DFA

#### DFA for pattern ABABACA



Simplified Diagram: remove transitions to state 0







### Algorithm with DFA

- Difference from naïve algorithm
  - Precomputation of DFA[][] from pattern
  - Text pointer i never decrements (no backup)

```
// patLength = strlen(pattern);
int matchingDFA(char text[])
{
   int i, j, txtLength;

   txtLength = strlen(text);

   for(i=0, j=0; i < txtLength && j < patLength; i++)
        j = DFA[text[i]][j]]; // text[i] to be modified

   if(j == patLength)
        return i - patLength;
   else
        return -1;
}</pre>
   Order: O(N)
```

simulation of DFA on text with no backup

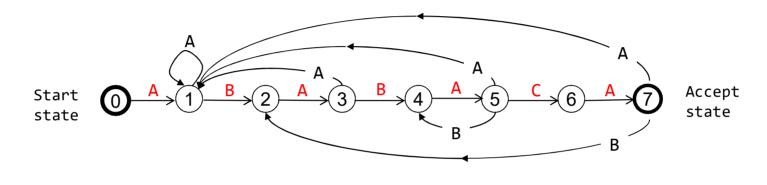
- How to build DFA efficiently?

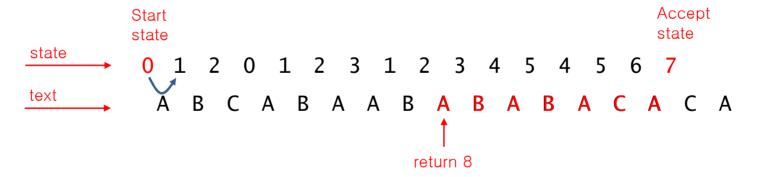




## Algorithm with DFA

DFA for pattern ABABACA



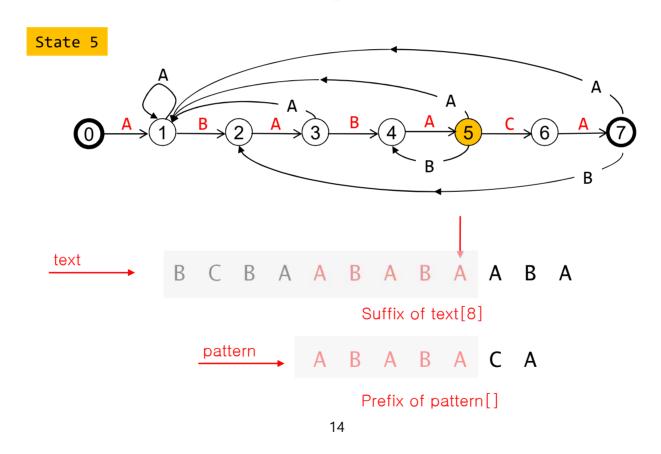






### Interpretation of DFA

- The state of DFA represents
  - the number of characters in pattern that have been matched



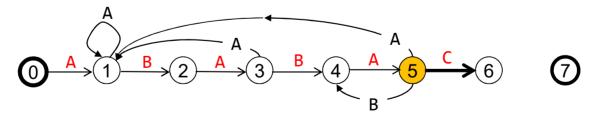




- DFA Construction:
  - Suppose that all transitions from state 0 to state j-1 are already computed
  - Match transition:
    - If in state j and next char c == pattern[j], then transit to state j+1.

Pattern: ABABACA

State 5



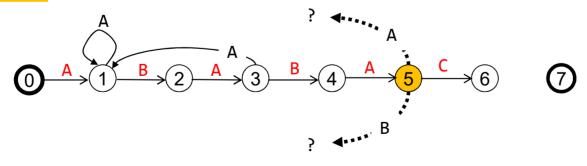




- DFA Construction:
  - Mismatch transition:
    - If in state j and next char c != pattern[j], then which state to transit?

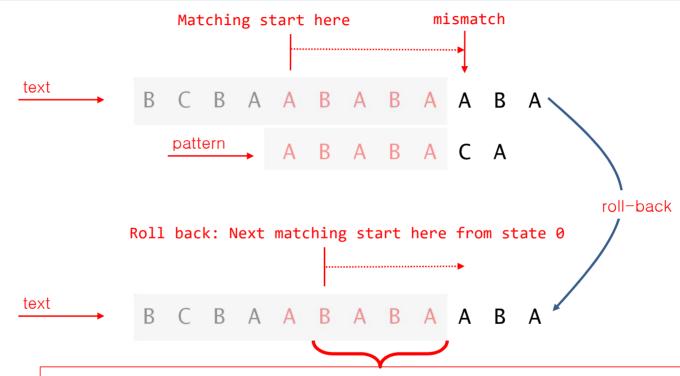
Pattern: ABABACA

State 5









- The same as pattern[1] ~ pattern[j-1]
- Roll-back and transit to some state X by matching
   pattern[1] ~ pattern[j-1] from state 0 on DFA.
- Transit to the next state DFA['A'][X] for the mismatched char 'A'.





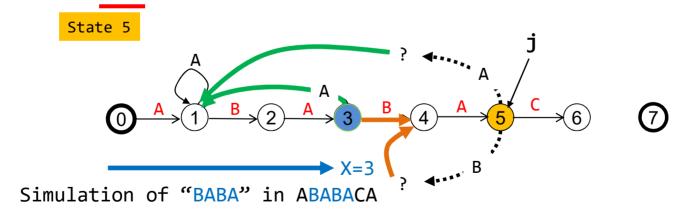
- DFA Construction:
  - Mismatch transition:
    - If in state j and next char c != pattern[j], then the last j-1 characters of input text are pattern[1] ~ pattern[j-1], followed by c.
  - Compute DFA[c][j]:
    - Simulate pattern[1] ~ pattern[j-1] on DFA from state 0 and let X be the current state
    - Then DFA[c][j] = DFA[c][X]





- DFA Construction:
  - Mismatch transition:
    - DFA[c][j] = DFA[c][X]

Pattern: ABABACA

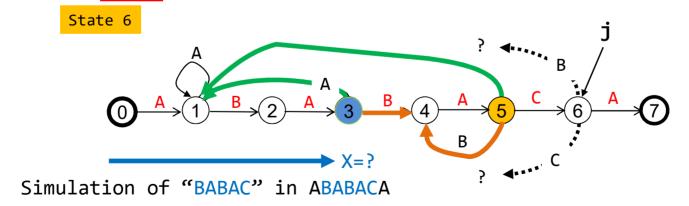






- DFA Construction:
  - Mismatch transition:
    - DFA[c][j] = DFA[c][X]

Pattern: ABABACA







#### • DFA Construction:

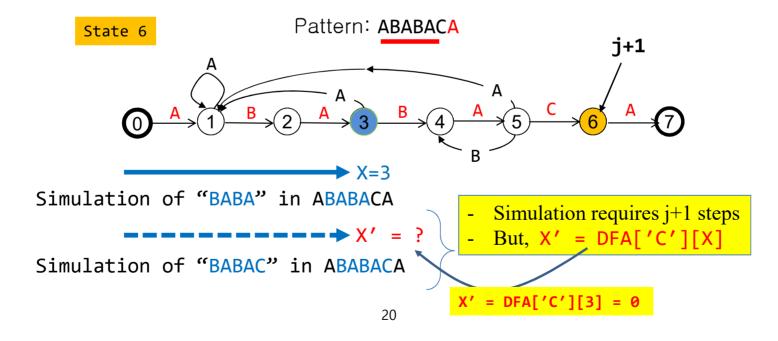
- Mismatch transition:
  - If in state j and next char c != pattern[j], then the last j-1 characters of input text are pattern[1] ~ pattern[j-1], followed by c.
- To compute DFA[c][j]:
  - Simulate pattern[1] ~ pattern[j-1] on DFA (*still under construction*) and let the current state X.
  - take a transition c from state X.
  - Running time : require j steps.
  - But, if we maintain state X, it takes only constant time!





#### • DFA Construction:

- Maintaining state X:
  - Finished computing transitions from state j.
  - Now, now move to next state j+1.
  - Then what the new state(X') of X be?







- DFA Construction: A Linear Time Algorithm
  - For each state **j**:
    - Match case: set DFA[pattern[j]][j]=j+1
    - Mismatch case: Copy DFA[][X] to DFA[][j]
    - Update X



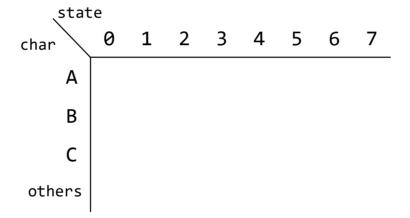


• DFA Construction: Example

DFA[][]

0123456

Pattern: ABABACA







(2)

3

**(4)** 

6

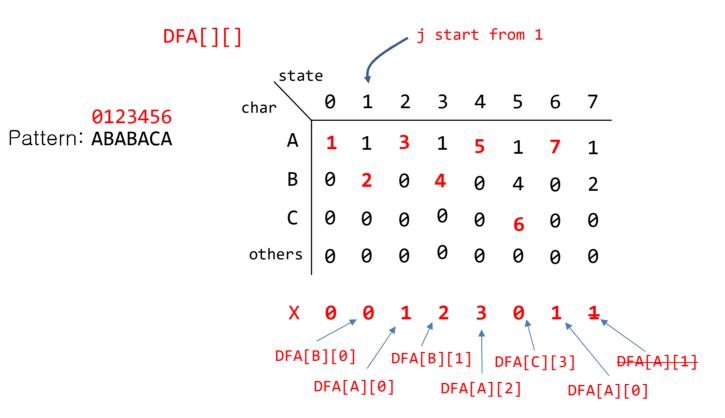
5







• DFA Construction: Example







## Algorithm with DFA

- String matching algorithm with DFA accesses no more than M+N chars to search for a pattern of length M in a text of length N.
- DFA[][] can be constructed in time and space of order O(RM), where R is the number of characters used in a text.





## Algorithm with DFA

### • Questions:

- Text에 나타나는 모든 pattern 을 찾을 수 있는가?

• Text: AAAAAAAA

• Pattern: AAAAA

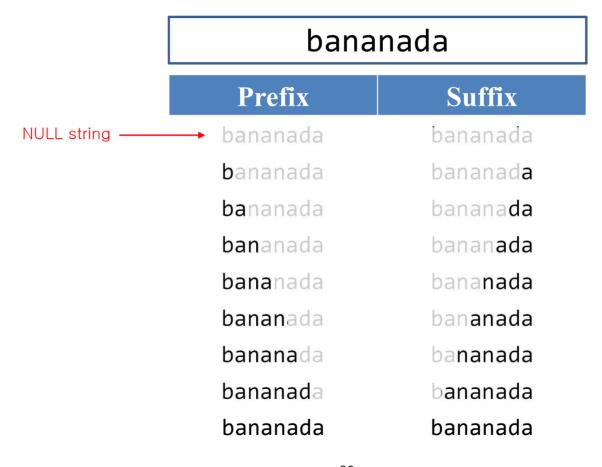
• 해답: 0, 1, 2, 3, 4





# Prefix/Suffix

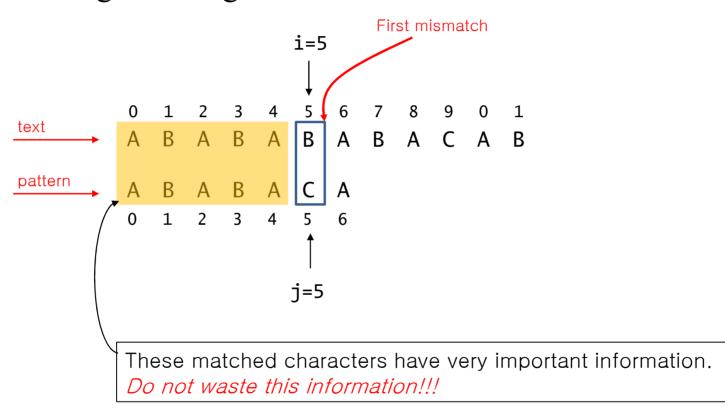
• Prefix / Suffix of a Text







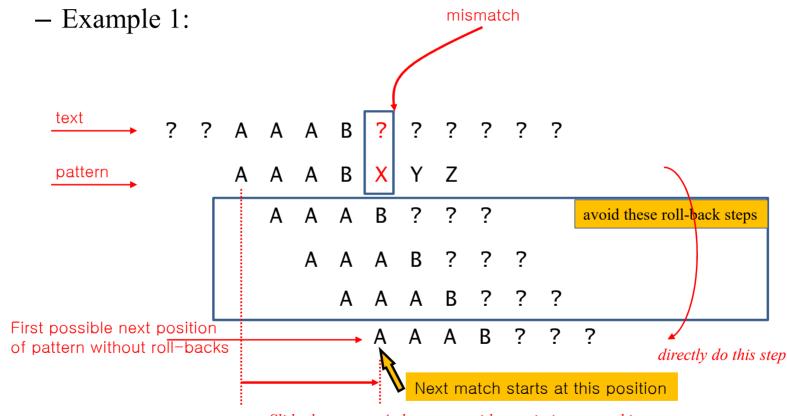
• Naïve algorithm again:







• Avoid roll-backs in naïve algorithm:

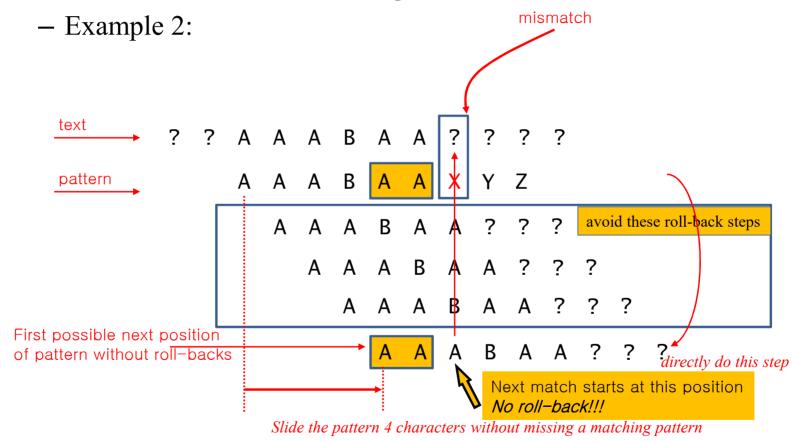


Slide the pattern 4 characters without missing a matching pattern





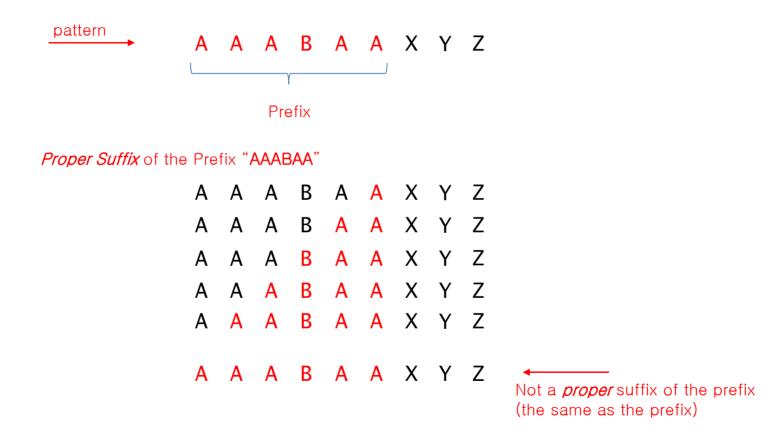
• Avoid roll-backs in naïve algorithm:







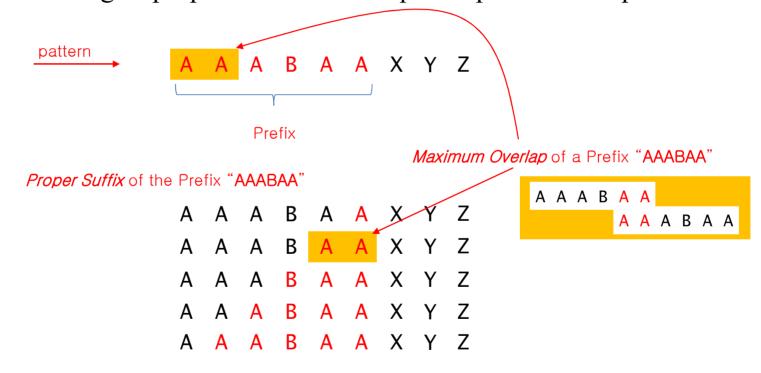
• Prefix and Proper Suffix of the Prefix







- Maximum Overlap of a Prefix
  - the longest proper suffix that is equal to prefix of the prefix







- Maximum Overlap of a Prefix
  - the longest proper suffix that is equal to prefix of the prefix

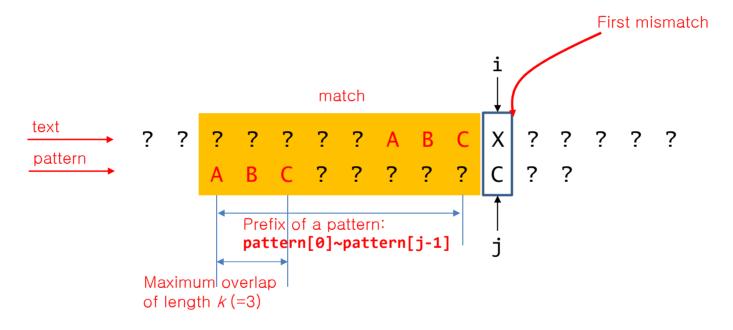
### • Example:

Prefix	Maximum Overlap	
AAAA	AAAA	not AAAAA
AABA	Α	
AAAB		NULL String
ABABABAB	ABABAB	





- Reuse of prefix information when there is a mismatch
  - Mismatch at text[i] and pattern[j]

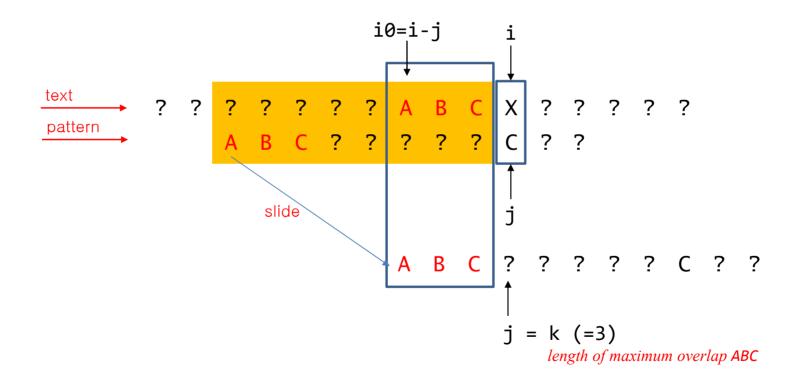


Note that if the mismatched *location* is pattern[j], then *prefix* is: pattern[0]~pattern[j-1]





- Then we can slide the pattern so that the *suffix and* prefix aligns without missing out on a match:







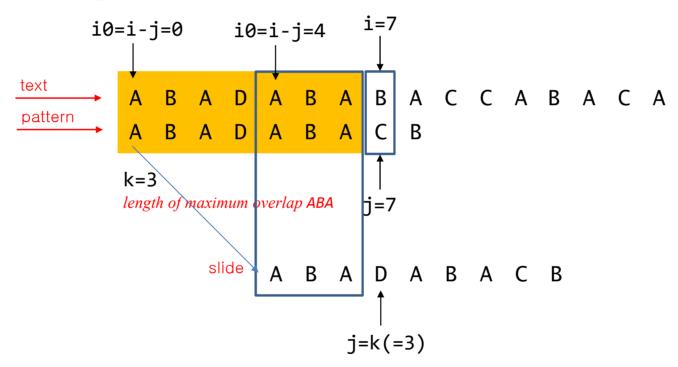
- Fast sliding algorithm:
  - Psuedo program:

```
// mismatch found at text[i], pattern[j]
prefix = pattern[0] ~ pattern[j-1];
k = Length of maximum overlap of prefix;
j = k;
// i is unchanged !
// Matched position i0 in text starts from (i - j);
i0 = i - j;
```





- Fast sliding algorithm:
  - Example:







- Failure function:
  - -M: the length of a pattern
  - For 0 < k < M, the failure function fail(k) is the length of maximum overlap of a prefix pattern[0] ~ pattern[k]
    - Note that fail(0) = 0

banabana	k	prefix	fail(k)
	0	b	0
	1	ba	0
	2	ban	0
	3	bana	0
	4	<mark>b</mark> ana <mark>b</mark>	1
	5	banaba	2
	6	banaban	3
	7	banabana	4





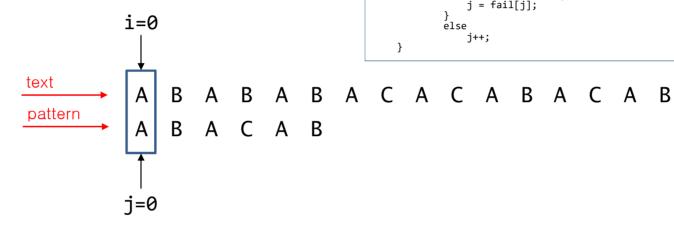
• Knuth-Morris-Pratt(KMP) Algorithm

```
vector<int> kmp(string text, string pattern)
   vector<int> ans;
   fail = getFail(pattern);  // failure function
   int n = (int) text.size(), m = (int) pattern.size();
   int j = 0;
                                   // j : index of pattern
   for(int i = 0; i < n; i++) // i: index of text
       while(j>0 && text[i] != pattern[j])
           j = fail[j-1];
        if(text[i] == pattern[j])
                                   // pattern matching is found
           if(j==m-1)
               ans.push back(i-j); // save the matched position
               j = fail[j];
           else
               j++;
   return ans;
```





#### – Example:

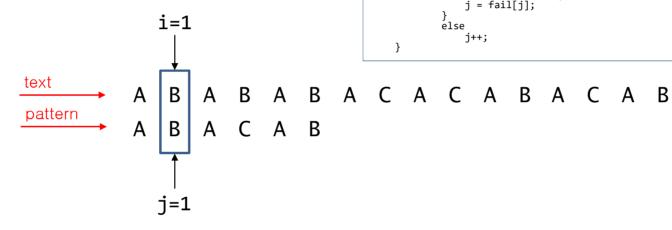


i	0	1	2	3	4	5
fail(i)	0	0	1	0	1	2





#### – Example:



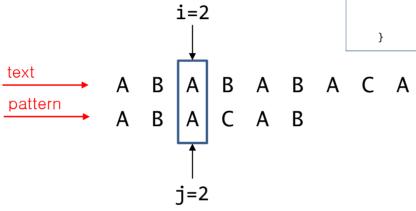
tex	kt[i]	==	<pre>pattern[j]</pre>
<b>→</b>	i++,	j++	<del> </del>

i	0	1	2	3	4	5
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#### - Example:



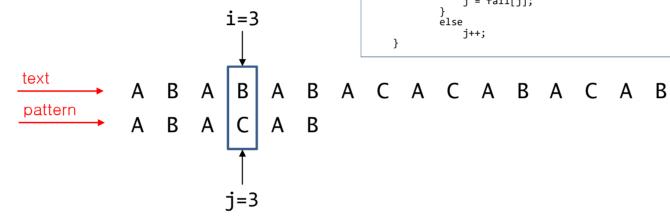
tex	kt[i]	==	<pre>pattern[j]</pre>
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#### - Example:







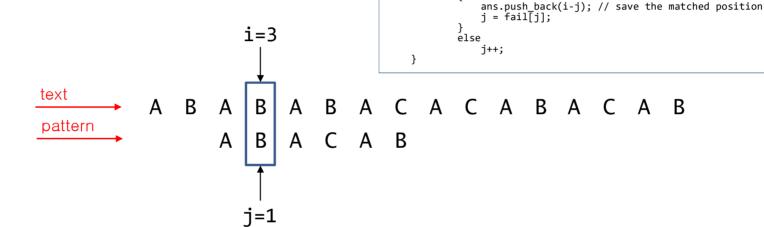


for(int i = 0; i < n; i++)

j = fail[j-1];
if(text[i] == pattern[j])
 if(j==m-1)

while(j>0 && text[i] != pattern[j])

#### - Example:



i	0	1	2	3	4	5
fail(i)	0	0	1	0	1	2

// i : index of text



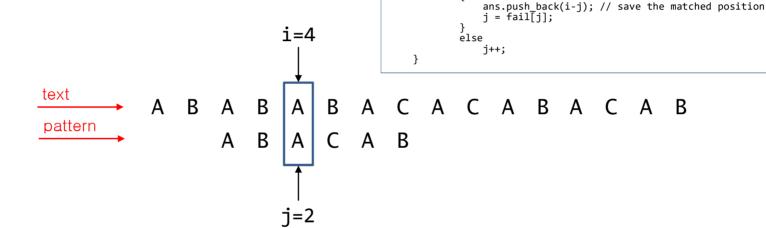


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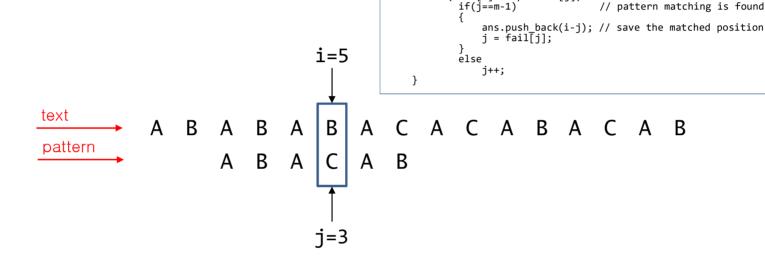


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#### - Example:



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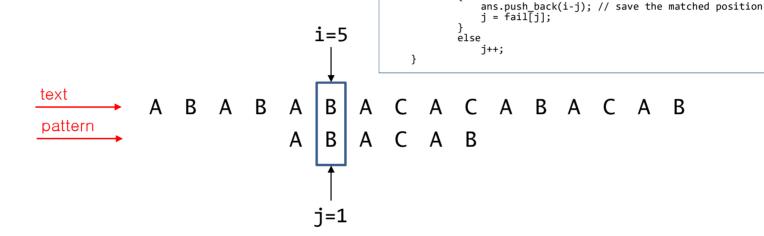


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#### - Example:



<b>→</b>	i++,	j++
	-	_

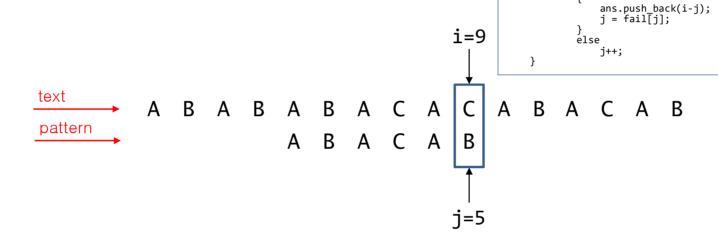
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// i : index of text





#### - Example:



$$\rightarrow$$
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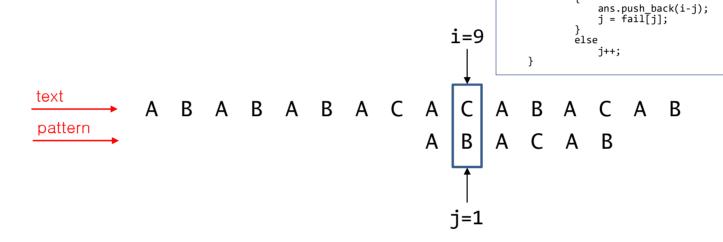
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for(int i = 0; i < n; i++)

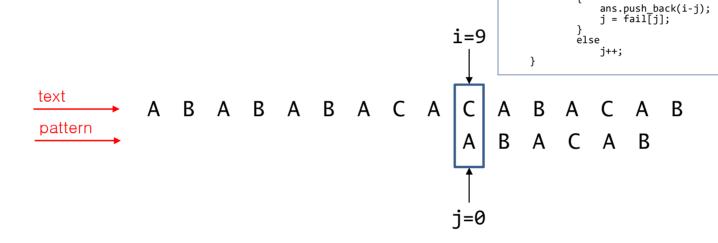
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#### - Example:



j==0 && text[i] != pattern[j]
→ i++

i	0	1	2	3	4	5
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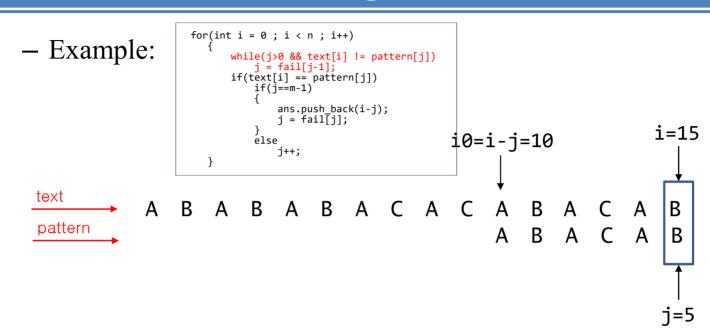


i	0	1	2	3	4	5
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j=0







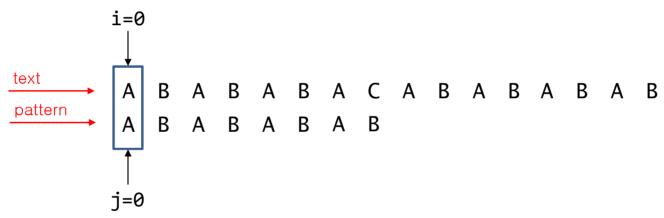
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- Knuth-Morris-Pratt(KMP) Algorithm
  - Why?





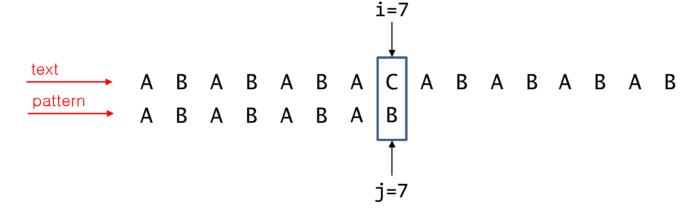
→ i++, j++

i	0	1	2	3	4	5	6	7
fail(i)	0	0	1	2	3	4	5	6





- Knuth-Morris-Pratt(KMP) Algorithm
  - Why?



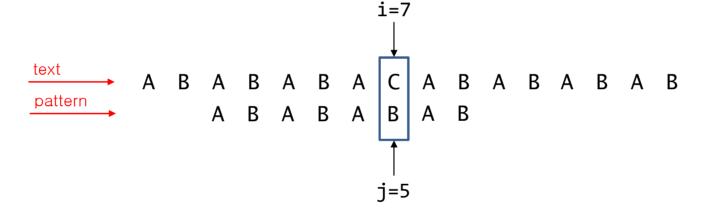
$$\rightarrow$$
 j = fail[j-1]

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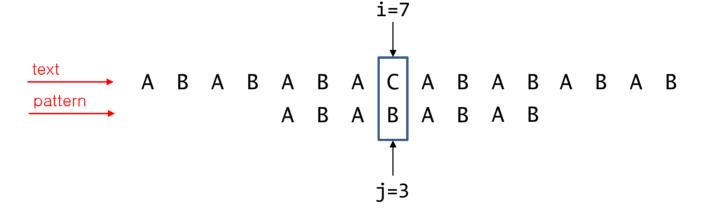
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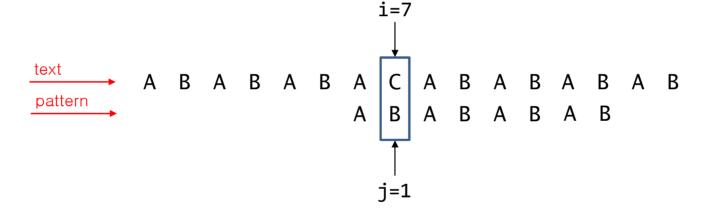
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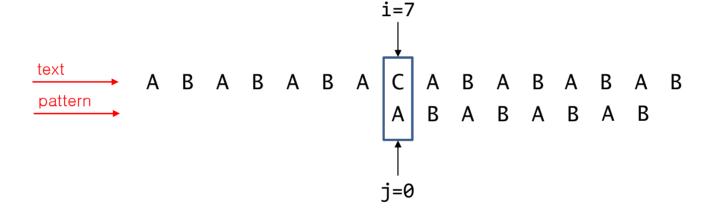
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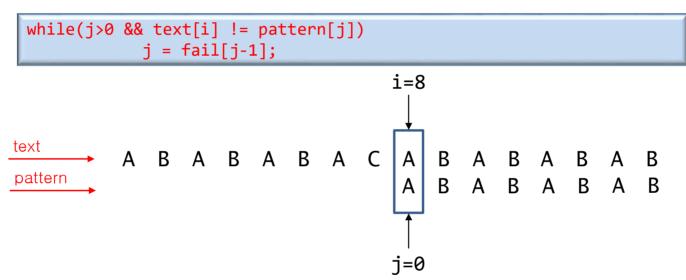
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i	0	1	2	3	4	5	6	7
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- Knuth-Morris-Pratt(KMP) Algorithm
  - Why?



j==0 && text[i] != pattern[j]



i	0	1	2	3	4	5	6	7
fail(i)	0	0	1	2	3	4	5	6





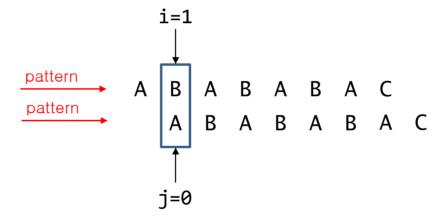
- getFail() function
  - Simple fail[] computation needs  $O(M^3)$  time.
  - -O(M) time algorithm: very similar to KMP algorithm itself





- getFail() function
  - Example:





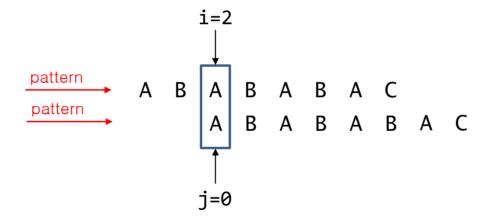
pattern[i] != pattern[j]





- getFail() function
  - Example:



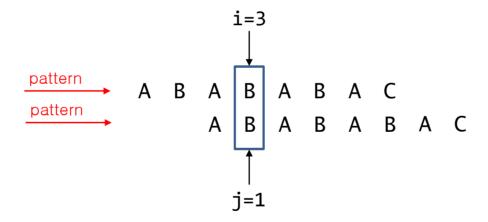






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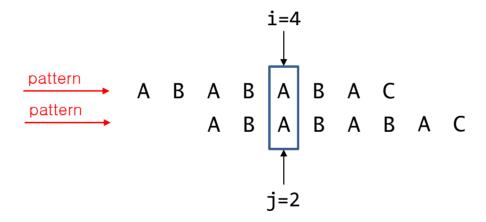






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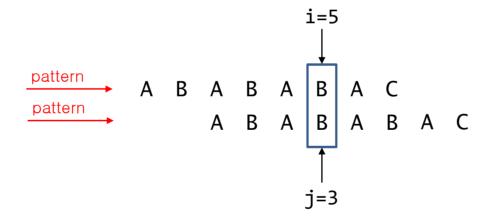






- getFail() function
  - Example:

i	0	1	2	3	4	5	6	7
fail[i]	0	0	1	2	3	4		

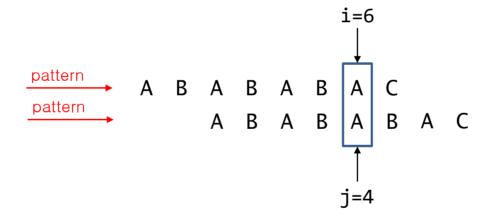






- getFail() function
  - Example:

i	0	1	2	З	4	5	6	7
fail[i]	0	0	1	2	3	4	5	

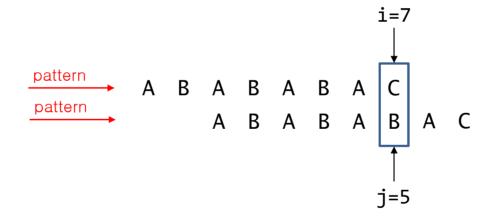






- getFail() function
  - Example:

i	0	1	2	З	4	5	6	7
fail[i]	0	0	1	2	3	4	5	



pattern[i] != pattern[j]

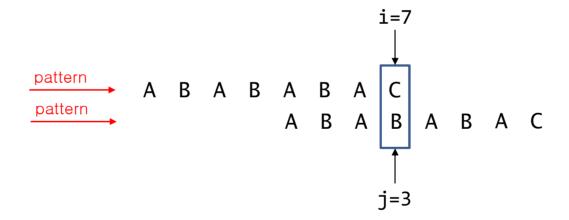
$$\rightarrow$$
 j = fail[j-1] (=3)





- getFail() function
  - Example:

i	0	1	2	ო	4	5	6	7
fail[i]	0	0	1	2	3	4	5	



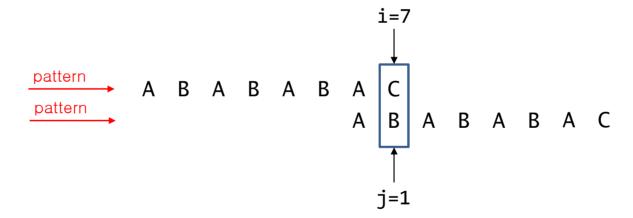
$$\rightarrow$$
 j = fail[j-1] (=1)





- getFail() function
  - Example:

i	0	1	2	3	4	5	6	7
fail[i]	0	0	1	2	3	4	5	



pattern[i] != pattern[j]

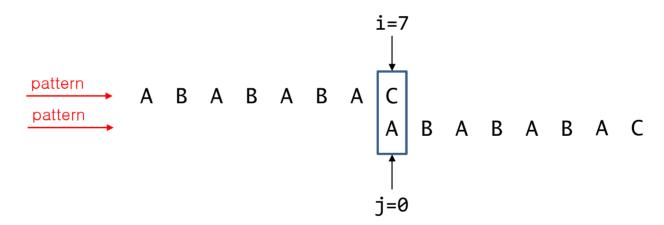
$$\rightarrow$$
 j = fail[j-1] (=1)





- getFail() function
  - Example:

i	0	1	2	3	4	5	6	7
fail[i]	0	0	1	2	3	4	5	0



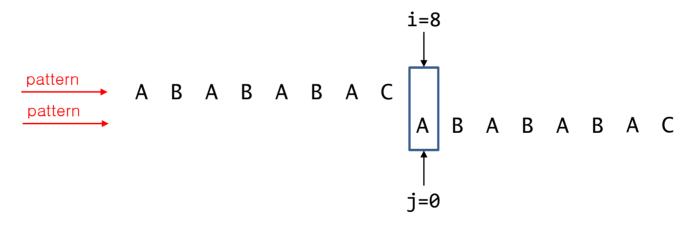
$$\rightarrow$$
 j = fail[j-1] (=0)





- getFail() function
  - Example:

i	0	1	2	3	4	5	6	7
fail[i]	0	0	1	2	3	4	5	0



i++





- getFail() function
  - Example: aabaabac

i	0	1	2	З	4	5	6	7
fail[i]	0	1	0	1	2	3	4	0





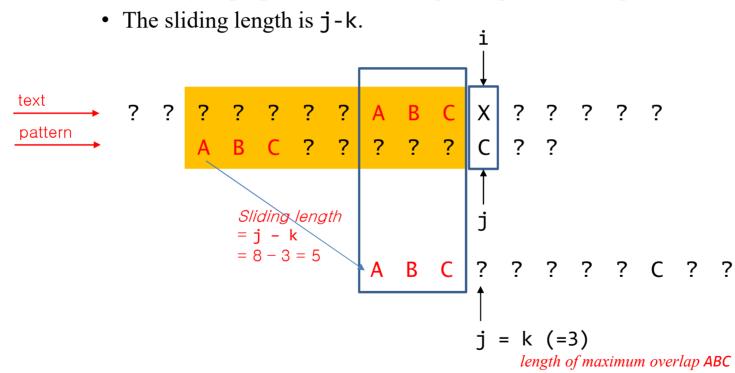
- getFail() function
  - Why O(M)?
    - Index i increases from 1 to M-1
    - Index j increases maximally as many as i increases
    - Also index j decreases maximally as many as j increases
- KMP algorithm
  - Why O(N) algorithm?
    - Similar logic to get the time complexity of getFail() function





#### Review

- The meaning of fail[j-1](=k):
  - The length of a maximum Overlap of a Prefix:
    - the longest proper suffix that is equal to prefix of the prefix

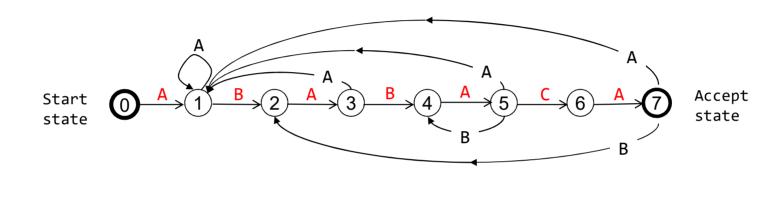


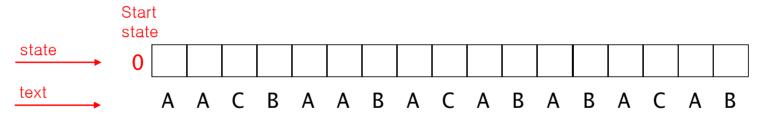




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1. 아래와 같은 DFA가 주어지고, 또한 text 가 주어졌을 때, 입력되는 각 text의 문자에 대하여 DFA의 state의 transition이 일어나는데, text의 각 문자를 입력한 후의 DFA state 번호를 나열하시오.



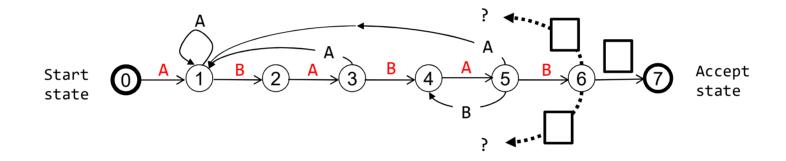






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2. Pattern "ABABABC"에 맞는 DFA를 만들고자 한다. 이 Pattern의 DFA를 0번 state 부터 5번 state 까지의 state transition을 모두 계산한 다음에 6번 state에서 문자 'A', 'B', 'C'에 대한 state transition을 계산하시오. 즉 6번 state에서 각각 문자 'A', 'B', 'C'가 입력되었을 때, 몇 번 state로 옮겨가는지를 계산하시오. (네모 빈칸에 각 문자를 넣고, 화살표가 몇 번 state를 향하는지를 표시하시오.



DFA['A'][6] = ? DFA['B'][6] = ? DFA['C'][6] = ?





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3. 다음과 같은 Pattern "AAAAB"에 맞는 DFA를 만들고, 이 DFA 에서 text "AAAAAAAAAAAAAAAA"에서 한 문자씩이 입력될때, DFA에서 변화되는 state를 계산하시오.

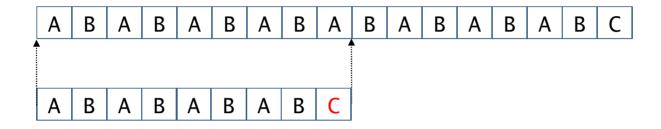
Α	Α	Α	Α	Α	Α	Α	Α	Α	Α	Α	Α	Α	Α	Α	Α	В
Α	Α	Α	Α	В												





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4. 다음과 같은 Pattern "ABABABABC"에 맞는 DFA를 만들고, 이 DFA 에서 text "ABABABABABABABABC"에서 한 문자씩이 입력될 때, DFA에서 변화되는 state를 계산하시오.



(4.1) DFA 알고리즘에서 state 'X'는 어떤 state를 의미하는 지를 설명하시오.



