



## **TUTUSFX COMPANY INFORMATION**

**Last updated on June 26, 2019**

### **1. The Group**

Tutusfx Group (“the Group”) is a deregulated group that consists of three (3) teams; Development Team, Marketing Team and Legal Team. The Teams are drawn from envisioned professionals who have the motivation to continue to grow and expand incentivised Financial Instruments trade. As an open-source financial project, it also allows its team members to be drawn out of members of the Community, to assure community members of transparency and security of data and transactions.

1.1. Development Team: are responsible for design and development of platform.

1.2. Marketing Team: are responsible for advertisement and promotion of platform, and the regulation of its financial services.

1.3. Legal Team: are responsible for legal counsels and representation. They oversee agreements and other documentations of the platform.

### **2. Investment Services**

2.1. As part of its operations, the Group may offer the following Investment Services:

(b) Execution of orders on behalf of Clients.

(c) Portfolio Management

(d) Dealing on Own Account

### **3. Ancillary Services**

3.1. As part of its operations, the Group may offer the following Ancillary Services: (a)

Safekeeping and administration of Financial Instruments for the account of Clients, including custodianship and related services such as cash/collateral management.

(b) Granting credits or loans to an investor to allow him to carry out a transaction in one or more Financial Instruments where the Group is involved in the transaction.



- (c) Foreign exchange services where these services are connected to the provision of investment services.
- (d) Investment research and financial analysis or other forms of general recommendation relating to transactions in financial instruments.

#### **4. Financial Instruments**

4.1. As part of its operations, the Group may offer the above investment services in relation to certain Financial Instruments, which have the meaning given to it in paragraph 2 of the Law:

- (a) Transferable Securities.
- (b) Money-market instruments.
- (c) Units in collective investment undertakings.
- (d) Options, futures, swaps, forward rate agreements and any other derivative contracts relating to securities, currencies, interest rates or yields, or other derivatives instruments, financial indices or financial measures which may be settled physically or in cash.
- (e) Options, futures, swaps, forward rate agreements and any other derivative contracts relating to commodities that must be settled in cash or may be settled in cash at the option of one of the parties (otherwise than by reason of a default or other termination event).
- (f) Options, futures, swaps, and any other derivative contract relating to commodities that can be physically settled provided that they are traded on a regulated market and/or a MTF.
- (g) Options, futures, swaps, forwards and any other derivative contracts relating to commodities, that can be physically settled not otherwise mentioned in the paragraph above and not being for commercial purposes, which have the characteristics of other derivative financial instruments, having regard to whether, inter alia, they are cleared and settled through recognised clearing houses or are subject to regular margin calls.
- (h) Derivative instruments for the transfer of credit risk.
- (i) Financial contracts for differences.



- (j) Options, futures, swaps, forward rate agreements and any other derivative contracts relating to climatic variables, freight rates, emission allowances or inflation rates or other official economic statistics that must be settled in cash or may be settled in cash at the option of one of the parties (otherwise than by reason of a default or other termination event), as well as any other derivative contract relating to assets, rights, obligations, indices and measures not otherwise mentioned in this Part, which have the characteristics of other derivative financial instruments, having regard to whether, inter alia, they are traded on a regulated market or an MTF, are cleared and settled through recognised clearing houses or are subject to regular margin calls.

It is understood that the Group may not necessarily offer all the Services and/or Financial Instruments which appear above but, only those marketed on its Website and/or Legal Documents from time to time.