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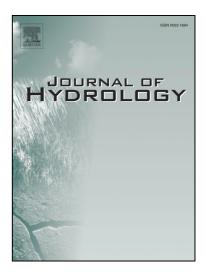
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1 Determination of vertical hydraulic conductivity of aquitards in a multilayered leaky 2 system using water-level signals in adjacent aquifers 3 Zhenjiao Jiang ^{a,*}, Gregoire Mariethoz ^b, Mauricio Taulis ^a, Malcolm Cox ^a 4 5 ^a School of Earth, Environmental & Biological Sciences, Queensland University of 6 7 Technology, GP campus, 4001, Brisbane, Australia. ^b School of Civil and Environmental Engineering, University of New South Wales, UNSW 8 9 2052, Sydney, Australia 10 11 Zhenjiao Jiang (corresponding author) 12 Email: z1.jiang@qut.edu.au 13 14 **Abstract** This paper presents a methodology for determining the vertical hydraulic conductivity (K_{ν}) of 15 16 an aquitard, in a multilayered leaky system, based on the harmonic analysis of arbitrary 17 water-level fluctuations in aquifers. As a result, K_{ν} of the aquitard is expressed as a function 18 of the phase-shift of water-level signals measured in the two adjacent aquifers. Based on this 19 expression, we propose a robust method to calculate K_{ν} by employing linear regression 20 analysis of logarithm transformed frequencies and phases. The frequencies, where the K_{ν} is 21 calculated, are identified by coherence analysis. The proposed methods are validated by a 22 synthetic case study and are then applied to the Westbourne and Birkhead aguitards, which

form part of a five-layered leaky system in the Eromanga Basin, Australia.

Keywords

25 Hydraulic conductivity; aquitard; harmonic analysis approach; coherence; Great Artesian

26 Basin.

24

27

1.Introduction

28 Determination of the vertical hydraulic conductivity (K_v) of aquitards is an important task for 29 understanding hydraulic connection of an aquifer-aquitard systems (Eaton and Bradbury, 30 2003) and protecting groundwater from contamination (Hart et al., 2005; Remenda and van 31 der Kamp, 1997). The K_{ν} of an aquitard can be measured with laboratory tests (e.g. Arns et 32 al., 2001; Timms and Hendry, 2008). However, these results may be several orders of 33 magnitude different to the K_{ν} required in the real-world study, because aquitards are generally 34 heterogeneous and rock structures are disrupted during the sampling (Clauser, 1992; Schulze-35 Makuch et al., 1999). In contrast, in situ approaches are generally preferred as they can yield 36 directly field-related values. 37 Commonly used in situ methods include pumping tests and slug tests (van der Kamp, 38 2001). During these tests, drawdowns are measured and plotted against elapsed time to 39 produce an experimental curve. Hydraulic parameters of the aquifer and aquitard can be 40 estimated by matching the experimental curve with a theoretical model. The theory 41 supporting the analysis of K_{ν} of the aquitard in a leaky aquifer system was developed by 42. Hantush and Jacob (1955) and Hantush (1960). Neuman and Witherspoon (1969a; 1969b) 43 improved the Hantush-Jacob solution by considering the storage ability of the aquitard and 44 water-level responses in the unpumped aquifer. However, in a two-aquifer-one-aquitard leaky 45 system, the drawdown in each aquifer depends on five dimensionless hydraulic parameters. 46 In order to establish theoretical curves to cover the entire range of values necessary for the 47 analysis of K_{ν} , the ratio method is used (Neuman and Witherspoon, 1972; Wolff, 1970).

The ratio method, however, required drawdowns either increase or decrease regularly relating to the determined extraction/injection stresses. The current interest is to estimate the K_{ν} of an aquitard based on arbitrary water-level fluctuations, which are caused by multiple underdetermined stresses. The deconvolution method was applied to such situation because water-level fluctuations induced by leakage via the aquitard follow the convolution relation (Neuman and Witherspoon, 1968):

$$s_2(t) = h(t) \otimes s_1(t) = \int_0^t s_1(\tau)h(t-\tau)d\tau,$$
 (1)

- 54 where $s_1(t)$ and $s_2(t)$ represent water-level fluctuations measured at different depths in one
- aguitard, and h(t) is a loss function expressed by means of Duhamel's function (Neuman and
- 56 Gardner, 1989; Neuman and Witherspoon, 1968).
- 57 The deconvolution approach proposed by Neuman and Gardner (1989) was carried out by
- 58 minimizing differences between measured and theoretical drawdown. Those differences were
- a function of hydraulic diffusivity and background water-level fluctuations in the aguifer.
- An alternative deconvolution method is based on the Fourier transform, and referred to as
- 61 harmonic analysis method (Boldt-Leppin and Hendry, 2003). In this method, water-level
- 62 fluctuations, measured at different depths in the aguitard, are decomposed into a sum of
- 63 trigonometric components of different frequencies. These trigonometric components are
- defined as harmonic signals. The hydraulic diffusivity is expressed analytically either based
- on the amplitude or phase shift of harmonic signals. However, the harmonic analysis
- approach, by now, assumes that the thickness of the aquitard is half infinite, which limits its
- 67 application.
- In this study, we apply the harmonic analysis method in a multilayered leaky system where
- 69 the thickness of the aquitard is finite and both the top and bottom of the aquitard is bounded
- 70 by aquifers. The aim is to calculate K_{ν} of the aquitard based on a pair of water-level signals
- 71 measured in the two adjacent aquifers. The water-level fluctuations in the aquifers may be

| 72 | induced by many factors (e.g. pumping, recharge, leakage or earthquake). However, only |
|----|--|
| 73 | leakage-induced water-level fluctuations can represent the properties of the aquitard and so |
| 74 | can be used to infer the K_{ν} of the aquitard. Therefore, it is desirable to find a method to |
| 75 | identify the leakage-induced water-level fluctuations in the aquifers. Coherence analysis is |
| 76 | proposed for this purpose. |
| 77 | Coherence was originally defined and used in signal processing, which analyses the cross |
| 78 | correlation between two signals in the frequency domain (Carter, 1987). It was used in |
| 79 | hydrogeology to understand the hydraulic connection in karstic aquifer systems (Larocque et |
| 80 | al., 1998; Padilla and Pulido-Bosch, 1995). The coherence varies from 0 to 1.0 depending on |
| 81 | the degree to which the convolution relationship in Eq. (1) is satisfied. In this study, its value |
| 82 | is determined by the degree to which leakage-induced water-level signals are interrupted by |
| 83 | other factors. A weak interruption corresponds to a large coherence value. |
| 84 | In this study, we first derive analytical expression for K_{ν} by using the harmonic analysis |
| 85 | method in the three-layered leaky system. Following this, the method to calculate phases and |
| 86 | the definition of coherence are introduced briefly and a robust method to estimate K_{ν} is |
| 87 | proposed. As support, the methods are validated in a simulated case and are applied to the |
| 88 | eastern Eromanga Basin, Queensland, Australia. |
| 89 | 2. Methods |
| 90 | 2.1 Harmonic analysis of water-level signals |
| 91 | The harmonic analysis method was used to analyse K_{ν} in aquitards of infinite thickness |
| 92 | (Boldt-Leppin and Hendry, 2003), and here is applied to a three-layered leaky system, where |
| 93 | the aquitard is bounded by two aquifers (Fig. 1a). The derivation is based on an analysis of |
| 94 | water-level signal processes in the aquifers and aquitard, with the following assumptions: |
| 95 | (1) aquifers and aquitards have a homogeneous hydraulic conductivity; |

- 96 (2) groundwater flow direction is vertical in the aquitard. This assumption is realistic when
- 97 the permeability- contrast between the aquifer and aquitard exceeds a factor of 100 (Neuman
- 98 and Witherspoon, 1969a);
- 99 (3) water-level changes in one aquifer cause detectable responses in its adjacent aquifer due
- to the leakage via the aquitard. In other words, there is a causal relationship for groundwater-
- level variations at different aquifers due to the leakage;
- 102 (4) water-level changes induced by the leakage at a small element are considered to propagate
- half-spherically in the aquifer (Fig. 1b-3), and we assume that the top of the upper aquifer and
- the bottom of the lower aquifer do not affect the propagation significantly;
- 105 (5) water levels propagate in the aquifers instantaneously, and time lags between stresses and
- observation wells in the aquifer are ignored.
- Assumptions (1) (2) are widely used in the analytical estimates of K_v by in situ methods.
- The discussions about their effects can be found in Neuman and Witherspoon (1969a; 1969b).
- The effects of assumptions (3) (5) will be examined in the synthetic case study of section
- 110 3.1.
- Derivation of K_{ν} starts by adding an arbitrary water-level signal (s_0) at the top of the
- aquitard within a small area of dA (Fig. 1b-1 and 1c). The vertical transport of signal s_0 in the
- aquitard is described mathematically as:

$$\frac{\partial^2 s}{\partial z^2} = \frac{1}{\eta} \frac{\partial s}{\partial t},\tag{2}$$

- 114 where 1 is the vertical hydraulic diffusivity of the aquitard which is defined as
- 115 $t = K_v / S_s$ (m²/d), K_v is the vertical hydraulic conductivity in the aquitard (m/d) and S_s is
- 116 the specific storage (m⁻¹).

- Before the signal reaches the lower aquifer (Fig. 1b-2), the aquitard behaves as if infinitely
- thick (Herrera and Figueroa V, 1969; Neuman and Witherspoon, 1972). At this stage, the
- water levels in the aquitard can be written in the frequency domain as:

$$s(f,\phi,z) = s_0(f,\phi)e^{(-Dz)},$$
(3)

- where $D = \sqrt{\frac{\pi f}{\eta}}(1+i)$, $i = \sqrt{-1}$, f is the frequency, φ is the phase, z is the depth in the
- aquitard, z=0 and z=b at the top and bottom of the aquitard, respectively, and b is thickness of
- the aquitard. Hereafter, the term $s(f, \phi, z)$ is denoted as s for convenience.
- Since the aquitard is bounded by both aquifers, the signal expressed in Eq. (3) can reach
- the aquifer and induce the water-level changes in the aquifer; inversely, the situation in the
- aquifer will also affect the water-level signal in the aquitard. The aim of this study is to
- calculate the K_{ν} using leakage-induced water-level changes in the aquifers. This requires that
- effective energy of water-level signal can penetrate the aquitard. Eq. (3) indicates that the
- energy of the signal occurring on the top of the aquitard (s_0) decays by a coefficient of $e^{-\sqrt{\frac{nf}{\eta}}z}$.
- We define an energy effectiveness (E), and that the decay coefficient satisfies $e^{-\sqrt{\frac{M}{\eta}}b} \ge E$.
- This leads to the definition of a maximum frequency that allows the calculation of K_{ν} :

$$f_{\text{max}} = \frac{i d}{b^2},\tag{4}$$

- where d is the characteristic coefficient which can be expressed as: $d = \frac{[\ln(E)]^2}{\pi}$. The
- selection of both *E* and *d* will be discussed in section 3.1.3.
- Once the signal s reaches the lower aquifer, water-level changes are induced by the leakage
- and propagate instantaneously in this aquifer (Dagan, 1989). The propagation of water levels
- in a half spherical space (Fig. 1b-3) can be described by:

$$Q^{-} = -2\pi r^2 K^{-} \frac{ds_1^{-}}{dr},\tag{5}$$

- where the subscript '-' represents the quantities in the lower aquifer (and hereafter subscript
- 137 '+' represents the upper aquifer), Q is the flow rate induced by the leakage through the
- aguitard, r is the distance between observation well and source/sink point (Fig. 1c), K is the
- radial hydraulic conductivity and s_1 is the water-level changes in the observation well induced
- by leakage through an area of dA, which can be given as:

$$dA = r_o dr_o d\theta \,, \tag{6}$$

- where r_o and θ are the polar coordinates centred by the observation well (Fig. 1c).
- The leakage from the aquitard at dA is considered as a point source or sink for the aquifers,
- the flow rate of which is described by Darcy's Law:

$$Q^{-} = -K_{\nu} \frac{ds}{dz} \cdot dA . \tag{7}$$

Making use of Eq. (3) in (7), at the bottom of the aquitard, yields,

$$Q^{-} = K_{\nu} D e^{-Db} s_0 \cdot dA . \tag{8}$$

Equating Eqs. (5) and (8) leads to,

$$s_1^-(r^-, f) = \int_{r^-}^{R'} \frac{K_{\nu} D e^{-Db} s_0 \cdot dA}{2\pi K^-} \frac{1}{r^2} dr, \qquad (9)$$

- where R' is the influence radius of the leakage occurring at dA, and $s_1^-(R', f) = 0$. In general,
- 147 $R' >> r^{-}$. Note that r in Eq. (9) is centred at dA, which is different with the coordinate
- 148 system in Eq. (6) where r_o is centred at the observation well. Hence, dA in this integral (Eq. 9)
- is treated as constant. Consequently, we obtain:

$$s_1^- = \frac{1}{r^-} \frac{K_{\nu} D}{2\pi K^-} s_0 e^{-Db} \cdot dA = \frac{1}{r^-} \xi_1 s_0 e^{-Db} \cdot dA.$$
 (10)

Eq. (10) represents the water-level responses to signal s_0 in the lower aquifer induced by the leakage through the aquitard. Similarly, we can also write the water-level changes induced by the leakage in the upper aquifer as:

$$s_1^+ = -\frac{1}{r^+} \frac{K_{\nu} D}{2\pi K^+} s_0 dA = -\frac{1}{r^+} \xi_2 s_0 dA, \qquad (11)$$

- 153 where $\xi_1 = \frac{K_v D}{2\pi K^-}$ and $\xi_2 = \frac{K_v D}{2\pi K^+}$.
- Eqs. (10) and (11) express the first responses of aquifers to the initial signal s_0 . According 154 155 to the continuity condition, both water level and flux at the interface between aquitard and 156 aquifer need to be balanced. In the above derivation, the flux balance is satisfied by equating 157 Eqs. (5) and (8). However, water-level balance may not be satisfied after only one response to 158 initial signal s_0 . In this case, due to the instability on the interface, the water-level changes in 159 the aquifer feedback on the aquitard and transport via the aquitard to affect opposite aquifers, 160 and induce the second water-level responses in both aquifers. Subsequently, water levels in lower and upper aquifers are revised as: 161

$$s_{2}^{-} = \frac{1}{r^{-}} \xi_{1} (1 - \xi_{2}) e^{-Db} s_{0} dA, \qquad (12)$$

162 and

$$s_2^+ = -\frac{1}{r^+} \xi_2 (1 - \xi_1 e^{-2Db}) s_0 dA.$$
 (13)

This iterative process is repeated *n* times until the water-level balance is satisfied on the aquifer-aquitard interfaces. Because the propagation velocity of the pressure wave is infinitely large, the iteration processes is achieved instantly (Detournay, 1993). After *n*th iteration, the water-level fluctuation, induced by the leakage, in both aquifers can be expressed as:

$$s_n^- = \xi_1 s_0 e^{(-Db)} \mathbf{W}^- \mathbf{U} \frac{1}{r^-} dA, \qquad (14)$$

$$s_n^+ = -\xi_2 s_0 \mathbf{W}^+ \mathbf{U} \frac{1}{r^+} dA$$
, (15)

168 where,
$$\mathbf{W}^- = [1, \xi_2, \xi_1 \xi_2 e^{-2Db}, \xi_1 \xi_2^2 e^{-2Db}, ..., \xi_1^{floor(\frac{n-1}{2})} \xi_2^{floor(\frac{n}{2})} e^{-2floor(\frac{n-1}{2})Db}],$$

169
$$\mathbf{W}^{+} = [1, \xi_{1}e^{-2Db}, \xi_{1}\xi_{2}e^{-2Db}, ..., \xi_{1}^{floor(\frac{n}{2})}\xi_{2}^{floor(\frac{n-1}{2})}e^{-2floor(\frac{n}{2})Db}],$$

170
$$\mathbf{U} = \left[1, (-1)(n-1), \binom{n-1}{2}, \dots (-1)^{n-1} \binom{n-1}{n-1}\right]^{T}, \ n \ge 1 \text{ and } \binom{n-1}{m} = \frac{(n-1)(n-2)\dots(n-m)}{m!}$$

- 171 $_T$ is the transpose operator and floor() rounds the value to the smaller nearest integer.
- Eqs. (14) and (15) are the general solution for leakage-induced water-level fluctuations in
- the lower and upper aquifer, respectively. If water level at the interface reaches the balance
- after the first response, no feedback processes occur. Therefore, at n=1, Eqs. (14) and (15) is
- the same as Eqs. (10) and (11), respectively.
- 176 Defining that:

$$\lambda_{1} = \sum_{m=0}^{floor(\frac{n-1}{2})} {n-1 \choose 2m} \xi_{1} \xi_{2} e^{-2Db})^{m},$$

$$\lambda_{2} = \sum_{m=0}^{floor(\frac{n-2}{2})} {n-1 \choose 2m+1} (\xi_{1} \xi_{2} e^{-2Db})^{m},$$
(16)

the terms of **WU** in Eqs. (14) and (15) can be rewritten as:

$$\mathbf{W}^{-}\mathbf{U} = \lambda_{1} + \xi_{2}\lambda_{2},$$

$$\mathbf{W}^{+}\mathbf{U} = \lambda_{1} + \xi_{1}e^{-2Db}\lambda_{2}.$$
(17)

For the aquitard, both ξ_2 and ξ_1 are far less than 1.0. Due to this, first, both λ_1 and λ_2 can converge to zero under a small n and then the water-level balance on the interfaces are reached; second,

$$\mathbf{W}^{-}\mathbf{U} \approx \mathbf{W}^{+}\mathbf{U} = \lambda_{1}. \tag{18}$$

- 181 Eqs. (14) and (15) express water-level fluctuations in each aquifer induced by an original
- stress s_0 at the small area of dA. A typically real case with respect to this result is that the
- water-level changes in both aquifers are induced by a single pumping well in one aquifer.
- More generally, the arbitrary water-level fluctuations in one aquifer can be induced by
- multiple point stresses or planar stresses. Define that,

$$s_0(r, \theta, f) = 0$$
 at the locations without original stresses,

 $s_0(r, \theta, f) \neq 0$ at the locations that original stresses occurs.

Water-level fluctuations in both aquifers induced by the leakage can be obtained by the

(19)

- integral of Eq. (14) and (15), respectively, over an area of πR^2 . Considering Eqs. (6, 18 and
- 188 19) leads to:

$$s^{-} = \xi_{1} e^{(-Db)} \lambda_{1} \int_{0}^{2\pi} \int_{0}^{R^{-}} s_{0}(r^{-}, \theta, f) dr^{-} d\theta, \qquad (20)$$

$$s^{+} = -\xi_{2}\lambda_{1} \int_{0}^{2\pi} \int_{0}^{R^{+}} s_{0}(r^{+}, \theta, f) dr^{+} d\theta.$$
 (21)

- The water-level fluctuations in the aquifer are commonly measured by observation wells. r
- and θ in Eqs. (19-21) are polar coordinates centred on an observation well (Fig. 1c), s^- and s^+
- are the water-level fluctuations in the observation wells in the lower and upper aquifers,
- respectively; R and R⁺ are the influence radius, over which the stresses can contribute to the
- water-level fluctuations in observation wells.
- 194 If observation wells in both aquifers are located close to each other so that their water-
- 195 level changes are induced by the same original stresses, then the following equation is
- 196 satisfied:

$$\int_0^{2\pi} \int_0^{R^-} s_0(r^-, \theta) dr^- d\theta = \int_0^{2\pi} \int_0^{R^+} s_0(r^+, \theta) dr^+ d\theta.$$
 (22)

197 Therefore, taking the ratio of s^- and s^+ gives:

$$s^{-} = -\frac{K^{+}}{K^{-}} e^{-Db} s^{+}. {(23)}$$

Expressing Eq. (23) in the form of amplitude and phase, gives,

$$A^{-}(f)\exp[i\phi^{-}(f)] = -\frac{K^{+}}{K^{-}}e^{-Db}A^{+}(f)\exp[i\phi^{+}(f)],$$
(24)

- where $A^+(f)$ and $A^-(f)$ are the amplitudes, $\phi^+(f)$ and $\phi^-(f)$ are the phases of leakage-
- induced water-level signal at frequency f in the upper and lower aquifers, respectively.
- By operating the natural logarithm to both sides of Eq. (24) and equating real and
- 202 imaginary parts, respectively, the quantitative expressions of the hydraulic diffusivity in a
- three-layered system are:

$$\frac{K_{\nu}}{S_s} = \pi f b^2 \Lambda(f)^{-2}, \tag{25}$$

204 and

$$\frac{K_{\nu}}{S_{s}} = \pi f b^{2} \varphi(f)^{-2} \,. \tag{26}$$

- where $\Lambda(f) = \ln(\frac{A^+(f)K^+}{A^-(f)K^-})$ and $\varphi(f) = (\phi^+ + \pi \phi^-)$ stand for the amplitude and phase shift
- of leakage induced water-level signals in the upper and lower aquifers. The hydraulic
- 207 conductivities (K^{\dagger} and K) of the aquifers appear in the expression of hydraulic diffusivity of
- 208 the aquitard (Eq. 25), because the water-level fluctuations in the aquifers are used to infer
- 209 properties of the aquitard.
- 210 The derivation above started from a signal s_0 , which represents the original stresses
- 211 employed in the top aquifer. Alternatively, when an original stress starts in the bottom aquifer,
- the same expressions for hydraulic diffusivity of the aguitard can be derived.
- 213 The derivation assumes that water level propagates instantaneously in the aquifer. Under
- 214 this assumption, the water-level build-up processes affected by the storage properties of
- aquifers are ignored. Appendix A assesses impacts of such water-level built-up processes on

- 216 the expressions of the hydraulic diffusivity, which starts the derivation assuming a uniform
- 217 plane-wave on the top of the aquitard. The result suggests that the amplitude-based method
- 218 (Eq. 25) requires the hydraulic parameters in the aquifers explicitly, but this can be escaped
- in the phase-based method. As fewer parameters are required, the phase-based method (Eq.
- 220 26) is proposed in this study as a mean to calculate the hydraulic diffusivity of the aquitard.
- 221 **2.2 Calculation of phases**
- 222 The phases under different frequencies are calculated according to the definition of Fourier
- 223 transform, where the water-level signals in one aquifer are considered as a sum of
- 224 trigonometric components of different amplitudes, phase shifts and frequencies.
- 225 Mathematically, this can be expressed as:

$$s(t) = c_0 + \sum_{j=1}^{\infty} \left[a(f_j) \cos(2\pi f_j t) + b(f_j) \sin(2\pi f_j t) \right]. \tag{27}$$

An approximate estimates of $a(f_i)$ and $b(f_i)$ are given as (Boldt-Leppin and Hendry, 2003):

$$a(f_j) = f_0 \sum_{i=0}^{M-1} \left\{ \frac{1}{\pi f_j} \frac{s(t_{i+1}) + s(t_i)}{2} \left[\sin(2\pi f_j t_{i+1}) - \sin(2\pi f_j t_i) \right] \right\}, \tag{28}$$

$$b(f_j) = f_0 \sum_{i=0}^{M-1} \left\{ \frac{1}{\pi f_j} \frac{s(t_{i+1}) + s(t_i)}{2} \left[\cos(2\pi f_j t_i) - \cos(2\pi f_j t_{i+1}) \right] \right\}, \tag{29}$$

- where f_0 is a basic frequency, M is the number of sampling points, and f_j is the frequency
- considered. f_0 is optimised by comparing observed values of s(t) versus back-calculated s(t),
- which are calculated by using $a(f_i)$ and $b(f_i)$ resulting from Eqs. (28) and (29) in Eq. (27).
- Consequently, the phase at f_i can be calculated by,

$$\phi(f_j) = \arctan\left[\frac{a(f_j)}{b(f_j)}\right]. \tag{30}$$

- 231 **2.3 Selection of frequencies**
- 232 The solution of hydraulic diffusivity in Eq. (26) is based on the phase-shift of leakage-
- 233 induced water-level fluctuations. However, in an aquifer, the water-level fluctuations can be

- influenced by multiple factors (e.g. artificial extraction/injection, barometric pressure, and external recharge). Coherence analysis offers the possibility to identify the frequencies where the leakage- induced signals are dominant.
- The coherence can vary from 0 to 1.0, depending on the convolution relationship between the two signals, and is defined as:

$$\kappa_{12}(f) = \frac{\sum_{n=1}^{N} S_{1n}(f) S_{2n}^{*}(f)}{\sqrt{\sum_{n=1}^{N} S_{1n}(f) S_{1n}^{*}(f)} \sqrt{\sum_{n=1}^{N} S_{2n}(f) S_{2n}^{*}(f)}},$$
(31)

- where κ is the coherence, * denotes the complex conjugate, N is the number of segments selected in signals $s_1(t)$ and $s_2(t)$. $S_{1n}(f)$ and $S_{1n}(f)$ is the Fourier transform of time series $s_1(t)$ and $s_2(t)$. The coherence is generally calculated using Welch's method (Welch, 1967), and N is selected to be eight in this study, with respect to the default value of the *mscohere* function in the Matlab Signal Processing Toolbox.
- The leakage-induced water-level fluctuations in two adjacent aquifers satisfy a convolution relationship (Eq. 1). Hence, the theoretical coherence (Eq. 31) between the leakage-induced water-level fluctuations is 1.0 (Carter, 1987; Padilla and Pulido-Bosch, 1995). However, we could not always find a frequency where the water-level signal is only induced by leakage with a coherence value of exactly 1.0, because the water-level changes induced by different factors interact both linearly or nonlinearly so that leakage-induced signal is possibly interrupted at all frequencies. Hence, it is more realistic to use relatively large coherence values (e.g. larger than 0.6) to identify the frequencies where the hydraulic diffusivity should be calculated. At these frequencies, although the signals are not unambiguously caused by the leakage, it is still reasonable to consider that the leakage is the main factor. The coherence value affects the selection of frequencies, but does not affect the results of hydraulic diffusivity significantly. This will be shown in section 3.1.

2.4 Estimation of K_{ν}

256

- 257 In most field situations, the causal relationship between water-level fluctuations in two 258 aquifers can only be caused by the leakage via their interbedded aquitard. Hence, larger 259 coherence is induced by leakage. However, we cannot rule out that special cases may also 260 lead to a high coherence at certain frequencies. This may occur, for example, when 261 groundwater is extracted in both aquifers with a single pumping well; when the water-level 262 changes in aquifers are induced by tidal or barometric loading; or when there is a common 263 regional groundwater flow affecting both aquifers. In such cases, using the large coherence 264 value to identify the leakage-induced water-level fluctuations is not sufficient. The identified 265 frequencies need to be further checked in order to uniquely estimate K_{ν} .
- In addition, the phases calculated by the arctangent function (Eq. 30) range from $\pi/2$ to $\pi/2$. However, the phases of $\phi(f_j)$, $\phi(f_j) + \pi$ and $\phi(f_j) \pi$ give the same value in tangent function. This promotes the ambiguities on K_{ν} estimation based on Eq. (26).
- A simple method to reduce ambiguity is to pre-estimate K_{ν} of the aquitard according to its lithology and thus producing an approximate K_{ν} range. The impossible values beyond this range are excluded. For example, if large coherences are induced by pumping water in both aquifers, the estimated K_{ν} at these identified frequencies are much higher than the real K_{ν} for the aquitard, because the water-level variations in both aquifers occur almost without time lags.
- Furthermore, the ambiguities can be clarified according to the tendency between phases and frequency (Padilla and Pulido-Bosch, 1995). Recalling Eq. (26), in a deterministic aquitard, the phases and frequencies should satisfy a linear log-log relationship:

$$\log \varphi^2 = -(-\log f) + C_0, \tag{32}$$

where C_0 is a constant related to the specific storage and hydraulic conductivity of the aquitard, which can be expressed as:

$$C_0 = -\log(\frac{K_v}{\pi b^2 S_s}),\tag{33}$$

- where log represents the logarithm to base 10.
- According to Eq. (32), the slope of the linear correlation of $\log \varphi^2$ and $-\log f$ is fixed as
- 282 1.0. C_0 is equal to its intercept which can be found by the least square method. Consequently,
- 283 the value of K_{ν} can be calculated from C_0 :

$$K_{v} = \pi b^{2} S_{s} 10^{-C_{0}}. {34}$$

- 284 3. Case studies
- Based on the previous discussion, the steps for estimating K_{ν} can be outlined as:
- 286 (1) carrying out coherence analysis of water-level time series measured in the two aquifers
- 287 adjacent to the aquitard, in order to select the frequencies where K_{ν} should be calculated;
- 288 (2) calculation of the phases of water-level time series at the selected frequencies using Eqs.
- 289 (28-30);
- 290 (3) linear regression analysis of the relationship between $-\log f$ and $\log \varphi^2$ to determine C_0
- 291 according to Eqs. (32) and (33);
- 292 (4) calculation of K_{ν} according to Eq. (34);
- 293 (5) use K_{ν} in Eq. (4) to calculate the maximum frequency. The frequencies (selected by
- 294 coherence analysis) exceeding the maximum frequency should be filtered, and the K_{ν} is
- recalculated based on the new set of frequencies.
- A synthetic case study, in which water-level fluctuations are generated by FELOW model,
- 297 is used to validate the proposal approach, mainly to investigate the dependence of results on
- 298 the thickness of the aquifer (with respect to Assumption 4, water-level fluctuations
- 299 propagating half-spherically in the aquifer), distance of observation wells (with respect to
- 300 Assumption 5, water-level changes propagating instantaneously in the aquifer), and the

domain of applicability of the proposed methodology. Following validation, the methods are applied to a real case in the eastern Eromanga Basin, Australia.

3.1 Simulated example

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The numerical modeling software FEFLOW is used to produce synthetic water-level fluctuations in a two-aquifer-one aquitard system within an arbitrary-shaped study area (Fig. 2). The top, bottom, and margins of the system are considered as no-flow boundaries. The hydraulic parameters of each layer in the system are assigned deterministically; hence, the estimates of K_{ν} can be validated. The hydraulic conductivities of the upper and lower aquifer are given as 2 m/d and 5 m/d, respectively, and the specific storage of both aquifers is assigned as 10^{-5} m⁻¹. The hydraulic conductivity of the aquitard is 10^{-4} m/d, and the specific storage is 10⁻⁴ m⁻¹. Random water-level fluctuations taken in a uniform distribution, varying from -5 to 5 m are input into FEFLOW as a hydraulic-head boundary at point p in the upper aquifer (Fig. 2b). These water-level fluctuations are treated as an arbitrary stress occurring in the upper aquifer, and the water-level responses are measured in the observation wells at different locations (Fig. 2b). The time interval of both input and observed water-level time series is one day; hence, K_{ν} is calculated at frequencies less than 1.0 per day, with respect to the period larger than one day. The thicknesses of the lower aquifer and aquitard are fixed as 5 m and 10 m, respectively. In order to describe the flow processes in the aquitard accurately, the aquitard is divided into ten layers and each layer is 1 m.

3.1.1 Influence of aquifer thickness on K_v estimation

Water-level fluctuations in observation wells a_1 and b were generated by numerical simulation under different upper aquifer thicknesses (1, 10, 20 and 50 m). Fig. 3a shows the input water-level signal at p and water-level fluctuations at b induced by the stress at p, which indicates that the stress occurring in the upper aquifer induces the water-level changes in the lower aquifer. Hence, there is a causal relationship between water levels in upper and lower

| 326 | aquifer via leakage. Fig. 3b and 3c illustrate the effects of phase calculation using the |
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| 327 | methodology in section 2.2 for the water-level fluctuations at a_1 and b , respectively. The |
| 328 | results show that when the basic frequency (f_0 in Eqs. 28 and 29) is given as 5×10^{-4} per day, |
| 329 | the back-calculated water levels compare well with the observed ones. Therefore, phases can |
| 330 | be calculated effectively using Eqs. (28-30). |
| 331 | The coherences between water-level fluctuations in the upper and lower aquifer are |
| 332 | calculated using the <i>mscohere</i> function in Matlab at frequencies smaller than 1.0 per day with |
| 333 | an interval of 0.002 per day. As shown in Fig. 4, the coherence increases with the thickness |
| 334 | of the upper aquifer, but quickly stabilise. |
| 335 | The value of C_0 is calculated according to Eqs. (32) and (33), and the results are illustrated |
| 336 | in Fig. 5. The scatter plots of - $\log f$ and $\log \varphi^2$ are fitted linearly by the least square method, |
| 337 | and the slope of the trend line is fixed to -1.0 according to Eq. (32). Fig. 5a-5d demonstrate |
| 338 | that the square correlation coefficient (R ²) between predicted and output $\log \varphi^2$ increase with |
| 339 | the thickness of the upper aquifer, but the value of C_0 calculated under different aquifer |
| 340 | thicknesses does not vary significantly and compares well with the theoretical value (2.4972, |
| 341 | which is calculated for $K_v=10^{-4}$ m/d according to Eq. 33). |
| 342 | In the above cases, the impact of aquifer-thickness on coherence comes from the top |
| 343 | boundary of the upper aquifer. When this aquifer is thin, the top boundary is close to the |
| 344 | aquitard and produces stronger interruption on the leakage-induced water-level signals. |
| 345 | Therefore, coherence presents a smaller value than 1.0. Relating the coherence with R ² |
| 346 | indicates that the small coherence results in a weak linear correlation between - $\log f$ and |
| 347 | $\log arphi^2$. However, since a linear trend between frequencies and phases can be found at |
| 348 | relatively higher coherences, the results of C_0 and K_{ν} do not change significantly due to the |
| 349 | thickness of the aquifer. Therefore, it is safe to ignore the influence of aquifer-thickness on |
| 350 | propagation in assumption 4, as long as the sufficient number of large coherences can be |

| 351 | presented to identify the frequencies, and the linear trend between - $\log f$ and $\log \varphi$ can be |
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| 352 | determined by the least square method. |
| 353 | 3.1.2 Influence of observation-well distances |
| 354 | Another assumption used in the derivation ignores the time lags for water-level signals |
| 355 | transferring in the aquifer. In order to validate this assumption, we calculate the coherence |
| 356 | based on the water-level changes in observation wells of different distances: a_1 and b , 0 m; a_2 |
| 357 | and b , 10 m; a_3 and b , 20 m; a_4 and b , 90 m; a_5 and b , 190 m (Fig. 2b). Fig. 6 shows that the |
| 358 | coherence cannot reach 1.0, because the leakage-induced water-level signals are interrupted |
| 359 | by the other factors (artificial stress and boundary conditions). Fig. 6b and 6c indicates that |
| 360 | coherence increases slightly when the distance between observation wells increases. This is |
| 361 | because a_1 is closer to the imposed stress, the leakage-induced water-level signal is more |
| 362 | strongly interrupted and the coherence between a_1 and b is lower than the coherence |
| 363 | calculated on the basis of the other water-level pairs. |
| 364 | Fig. 7 shows the C_0 and K_{ν} calculated from different pairs of water-level measurements. |
| 365 | The result suggests that K_{ν} increases with the distance between observation wells (Fig. 7b), |
| 366 | because the water-level in these synthetic cases is originally induced by the artificial stress at |
| 367 | p (Fig. 2). There is a time lag for the signal transferring from p to the observation well. The |
| 368 | time lag between, for example, b and a_5 is smaller than the one between b and a_1 . Therefore, |
| 369 | the K_{ν} estimated by water levels measured at b and a_5 is larger than b and a_1 . The differences |
| 370 | between the estimations of K_v based on water-level measurement at a_{1-5} and b represent the |
| 371 | errors introduced by assuming that the water-level propagate instantaneously in the aquifer. |
| 372 | Fig. 7b examines these errors graphically, and the results indicate that within a distance of |
| 373 | 200 m, the hydraulic conductivity is not altered significantly (from 0.9900 to 1.0735 $\times 10^{-4}$ |
| 374 | m/d) and approaches a constant when the distance keeps increasing. Hence, it is realistic to |
| 375 | assume that the water level propagates instantaneously in the aquifers, and the observation |

| 376 | wells are allowed to be separated by a horizontal distance. But the two observation wells |
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| 377 | should not be so far away from each other that they are affected by completely different |
| 378 | stresses, otherwise, the relationship shown in Eq. (22) does not firmly stand. |
| 379 | Figs. 4-7 suggest that both thickness of the aquifers and the distance of observation wells |
| 380 | affect the coherence value. The coherence variation affects the correlation coefficients |
| 381 | between the predicted and output $\log \varphi^2$. In general, low coherence values lead to more |
| 382 | scattered plots of $-\log f$ versus $\log \varphi^2$ with low correlation coefficients. However, since a |
| 383 | linear trend line can be found based on these scatter plots, the results of C_0 and K_v are not |
| 384 | impacted significantly, and can compare very well with the theoretical value. Hence, both |
| 385 | assumptions 4 and 5 are realistic, and the proposal methods can calculate the vertical |
| 386 | hydraulic conductivity of the aquitard. |
| 387 | 3.1.3 Casual relationship |
| 388 | The proposed method presumes that there is a casual relationship between water-level |
| 389 | fluctuations in two adjacent aquifers (Assumption 3). This assumption requires that the water- |
| 390 | level signal can penetrate the aquitard effectively. Fig. 8 illustrates two factors that can affect |
| 391 | this causal relationship: the energy of water-level signal in the aquifers and the decay rate in |
| 392 | the aquitard. |
| 393 | Fig. 8a repeats the results for the synthetic case that the thickness of the upper aquifer is 20 |
| 394 | m, the aquitard thickness is 10m, the distance between two observation wells is zero and the |
| 395 | input signal at p is the same as in Fig. 3a. The case in Fig. 8b exaggerates the frequencies and |
| 396 | decreases the amplitude of input signal used in the case of Fig. 8a. Such frequency and |
| 397 | amplitude changes can reduce the energy of the input water-level signal. The result of |
| 398 | coherence analysis of water-level changes at a_1 and b is shown in Fig. 8b ₂ . As shown, smaller |
| 399 | coherences between the frequencies of 0- 0.15 are presented, that only 27 frequencies having |
| 400 | the coherences larger than 0.70 when compared to 38 frequencies corresponding the |

| coherences larger than 0.80 in Fig. 8a ₂ . Calculating the phases at these 27 frequencies, and |
|---|
| plotting the logarithm transformed phases and frequencies in Fig. 8b3, yield a linear |
| relationship with R^2 of 0.6289, and a K_{ν} near to 10^{-4} m/d. Though the result of K_{ν} does not |
| change significantly, both the low coherence and small R2 indicate that weak water-level |
| fluctuations in one aquifer result in the weak leakage-induced water-level variations, which |
| can be easily interrupted by other factors (such as the top or bottom boundary of the aquifer |
| in this case). If the water-level fluctuations are too weak, it is possible that no large coherence |
| can be found or no linear relationship between frequencies and phases can be established at |
| the selected frequencies. In this situation, the C_0 and K_v cannot be calculated. |
| The case in Fig. 8c uses the same input signal as the one in Fig. 8a, but the thickness of the |
| aquitard is given as 20 m. Fig. $8c_1$ shows that the output signal (observed at b) is flatter than |
| that in Fig. 8a ₁ due to the stronger decay during the signal penetrating the aquitard. As a |
| consequence, lower coherences and very small R^2 (0.0858) are shown in Fig. 8c ₂ and c ₃ , |
| respectively. The small R ² indicates that linear correlation between phase and frequencies in |
| Fig. 8c ₃ may induce large errors to estimate K_{ν} . If the aquitard is too thick, no signal can |
| penetrate the aquitard effectively and the causal relationship between aquifers cannot be |
| satisfied. Therefore, the proposed method cannot work. |
| Eq. (4) provides a theoretical view of the causal relationship, which leads to an expression |
| of the maximum frequency (f_{max}) allowing the calculation of K_{ν} . Prior to estimation of f_{max} , |
| we use the synthetic case in Fig. 8a to select the energy effectiveness (E) and characteristic |
| coefficient (d) (Eq. 4), because Fig. 8a has shown that our proposed approach works well in |
| this synthetic case. As both E and d are used as variables independent to the aquitard |
| properties (thickness and hydraulic diffusivity), the results from such a specific case can be |
| applied to the other cases. |

| 425 | E is selected according to the coherence distribution in Fig. 8a ₂ . For the signals at the low |
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| 426 | frequencies (<0.03 in this synthetic case), the water-level fluctuation is unclear. Although the |
| 427 | water-level signal can penetrate the aquitard effectively, the signal energy before decaying in |
| 428 | the aquitard is too weak to enable the causal relationship to be detected and the coherence |
| 429 | will be low. In contrast, at the large frequencies (e.g. > 0.12 herein), though the signal energy |
| 430 | in the aquifer can be very large, it diverges too much in the aquitard and cannot penetrate the |
| 431 | aquitard effectively. As a result, the large coherence and detectable causal relationship |
| 432 | between water levels in two aquifers appear in a frequency range of 0.03- 0.13. |
| 433 | As shown in Fig. 9a and 9b, both d and f_{max} reduce with increases of E . If E is larger than |
| 434 | 3%, f_{max} is smaller than 0.03. But all the large coherences (>0.8) in Fig. 8a ₂ are not within the |
| 435 | frequencies lower than this f_{max} . Hence, E should be selected as a value smaller than 3%. |
| 436 | E reducing from 3% to 0.2% corresponds to $f_{\rm max}$ increases from 0.03 to 0.12. K_{ν} gets close |
| 437 | to the theoretical value (10^{-4} m/d), and R^2 increases from 0.032 to a value around 0.83. If E |
| 438 | becomes lower than 0.2%, $f_{\rm max}$ becomes larger than 0.12, but both K_{ν} and R^2 do not change |
| 439 | with E . Although all the large coherences locate at the frequencies lower than this f_{\max} , f_{\max} is |
| 440 | overestimated which cannot play a role to restrict the frequencies for the calculation of K_{ν} . |
| 441 | Hence, we select E to be 0.2%, and d is estimated as 12. Once E and d are determined, the |
| 442 | $f_{ m max}$ can be calculated to examine the frequencies used in the calculation of $K_{ m v}$. For the |
| 443 | harmonic signals at those frequencies larger than $f_{\rm max}$, only less than 0.2% of its energy can |
| 444 | penetrate the aquitard. At these frequencies, even though some large coherences are presented, |
| 445 | they are more likely induced by the unexpected noisy in this signal rather than the leakage. |
| 446 | Hence, K_{ν} should not be calculated at these frequencies. Because $f_{ m max}$ is determined by |
| 447 | hydraulic diffusivity, which is a variable resulting from the calculations, $f_{\rm max}$ can only be used |
| 448 | as a posteriori validation. |

| Furthermore, the discussion of $f_{\rm max}$ can suggest the domain of applicability of the proposed |
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| method. For example, according to Eq. (4), the $f_{\rm max}$ would change from 12 to 0.0012 if the |
| thickness of the aquitard increases from 1 to 100 m in the synthetic case. When the aquitard |
| thickness is 20 m, the f_{max} is 0.03. This indicates that in the case of Fig. 8c, K_{ν} can only be |
| calculated at the frequencies lower than 0.03. However, as shown in Fig. 8c ₂ , no large |
| coherences are shown for the frequencies in this range, hence, the proposed method cannot |
| work. As to the high coherences in Fig. 8c ₂ at the frequency larger than 0.03, it is likely to be |
| induced by the noisy, and so a very weak linear correlation between frequencies and phases is |
| shown in Fig. 8c ₃ . |
| The proposed method may not work when the aquitard thickness exceeds certain upper |
| limit. In this synthetic case, the value of this upper limit is 20 m. But upper limit of aquitard |
| thickness also depends on the hydraulic diffusivity of the aquitard. In the situation of higher |
| hydraulic diffusivity, the proposed method can be applied to a thicker aquitard. |
| In another two cases in Fig. 8, the thickness of the aquitard is 10 m, and the $f_{\rm max}$ is |
| estimated to be 0.12. Hence, the K_{ν} should be calculated at frequencies lower than 0.12, with |
| respect to a $-\log(f)$ larger than 0.92. All the frequencies used in K_{ν} calculation in Fig. 8b ₃ are |
| within this range, but in Fig. 8a ₃ , one frequency exceeds f_{max} (where $-\log(f)=0.88$). After |
| removing this frequency, K_v is recalculated; however, the result does not change significantly. |
| 3.2 Real case study |
| 3.2.1 Materials |
| The proposed methods are applied to the multilayered leaky aquifer system of the eastern |
| Eromanga Basin, which forms part of the Great Artesian Basin (Fig. 10). The fluvial and |
| lacustrine sediments of the Eromanga Basin (Hutton to Hooray aquifer) were deposited in the |
| Early Jurassic and Late Cretaceous period, and are covered by a thick sequence of the |

| 473 | Cretaceous shallow marine sediments of the Rolling Downs Group (Habermehl, 1980; |
|-----|--|
| 474 | Idnurm and Senoir, 1978). |
| 475 | The aquifers and aquitards are defined based on lithology, hydraulic properties and |
| 476 | thickness of the formations, and are summarized in Table 1. This test case focuses on a five- |
| 477 | layered leaky system composed of the Hooray, Adori and Hutton aquifers separated by the |
| 478 | Westbourne and Birkhead aquitards (Fig. 10). |
| 479 | The aim of this study is to estimate the K_{ν} for the Westbourne and Birkhead aquitards |
| 480 | based on water-level fluctuations in three adjacent aquifers. Water-level measurements in the |
| 481 | three aquifers were extracted from the groundwater database of the Department of |
| 482 | Environment and Resource Management Queensland (DERM). Three observation wells with |
| 483 | water-level measurements from 01/01/1919 to 2/10/1992 are selected in the Hooray, Adori |
| 484 | and Hutton aquifers. The observation wells are approximately at the same location (Fig. 10). |
| 485 | Water levels are normalized so that they have a zero mean and a unit standard deviation (Fig. |
| 486 | 11). Because the time intervals at each time series are greater than 10 days, K_v is calculated at |
| 487 | frequencies less than 0.1 per day, with respect to a period larger than 10 days. In addition, the |
| 488 | water-level time series in three wells are modified into the same sampling resolution of 10 |
| 489 | days. The missing data are interpolated linearly, for the purpose that the Fourier transforms of |
| 490 | these modified time series are still controlled by the data that are actually measured. |
| 491 | 3.2.2 Estimates of hydraulic conductivity |
| 492 | Before calculating K_{ν} , the specific storage of the aquitards is estimated based on the |
| 493 | downhole sonic and density log data in Bonnie and Milo drillholes (Fig. 10). The |
| 494 | methodology is presented in appendix B. As a result, the specific storage for the Westbourne |
| 495 | and Birkhead aquitard is 5.95 and 5.8×10^{-7} m ⁻¹ , respectively. |
| 496 | In order to select the frequencies for the calculation of K_{ν} , coherences are calculated at 100 |
| 497 | frequencies between 0 and 0.1 with an interval of 0.001 per day. Fig. 12 displays the |

- frequencies where large coherences (>0.6) are presented. According to Fig. 12, K_v in both 498 499 Westbourne and Birkhead aguitards are calculated at fourteen frequencies. 500 Based on the frequencies identified by the coherence analysis, the relationship between frequencies and phase shift are investigated according to Eq. (32), and the C_0 values are 501 502 displayed in Fig. 13. Recalling Eq. (34), K_{ν} of the Westbourne and Birkhead aquitards are estimated to be 2.23×10^{-5} m/d and 4.65×10^{-5} m/d, respectively. 503 504 Making use the resulted hydraulic conductivity and specific storage in Eq. (4) leads to the 505 maximum frequency for the Westbourne and Birkhead aquitards, which are roughly 0.059 506 and 0.075 per day, respectively. Recalling the frequencies used in calculation (Fig. 12), there 507 are three frequencies (0.0889, 0.0619, 0.0618 and 0.0944, 0.082, 0.0795) exceeding the 508 maximum frequency in the Westbourne and Birkhead aquitards, respectively. After removing 509 these frequencies, the K_{ν} is recalculated in both aquifers; as a result, K_{ν} is revised as 2.17×10^{-5} ⁵ m/d and 4.31×10⁻⁵ m/d, respectively. 510 To our knowledge, there are no studies which have been carried out to estimate K_{ν} in the 511 512 Westbourne and Birkhead aquitards in the Eromanga Basin. Some results were reported 513 during petroleum exploration and hydrogeological studies in the adjacent Surat Basin (Fig. 514 10), which was deposited in the similar paleo-environment as the Eromanga Basin. The value of K_v for the Westbourne aguitard in the Surat Basin were reported to be 2.0×10^{-6} - 2.0×10^{-5} 515 516 m/d in a numerical groundwater flow model (USQ, 2011). The harmonic hydraulic conductivity of the Birkhead aquitard was estimated to be 2.04×10⁻⁴ m/d according to 517 permeability- measurements of 119 cores by Alexander and Boult (2011). The K_v estimated 518 519 in this study are comparable to those reported values. 520 5. Summary and conclusion
- The major contributions of this paper are summarized as follows.

| 522 | 1. The harmonic analysis approach to estimate the vertical hydraulic conductivity (K_{ν}) of |
|-----|--|
| 523 | an aquitard was developed in a multilayered leaky system. $K_{\rm v}$ can be calculated based on |
| 524 | arbitrary water-level fluctuations measured in the aquifers. Both the amplitude- and phase- |
| 525 | based expression of K_{ν} were given analytically. Because the phase-based method does not |
| 526 | require the hydraulic parameters within the aquifers explicitly, it is proposed as a more |
| 527 | convenience method to determine K_{ν} than the amplitude-based method. |
| 528 | 2. The arbitrary water-level fluctuations in the aquifer maybe caused by multiple factors. |
| 529 | The condition for application of harmonic analysis method is that the aquitard is leaky and |
| 530 | leakage causes measurable water-level changes in the two adjacent aquifers. The coherence |
| 531 | function was employed to identify the frequencies where the leakage-induced water-level |
| 532 | fluctuations dominate, because the convolution correlation between leakage-induced water- |
| 533 | level changes leads to a coherence approaching 1.0. K_{ν} were then calculated at these |
| 534 | frequencies. |
| 535 | 3. A robust method to calculates K_{ν} used the intercept of the linear logarithm correlation |
| 536 | between phases (φ) and frequencies (f) . The slope of the linear relationship was fixed as -1. |
| 537 | The intercept was estimated based on the least square method. |
| 538 | 4. A synthetic case was used to validate the proposed methods. The results indicated that |
| 539 | both the distance of observation wells and thickness of the aquifer affect the coherence value. |
| 540 | However, the coherence value can only impact the correlation coefficient between predicated |
| 541 | and output $\log \varphi^2$. The intercept (C_0) , and so the K_v , did not change with variations of |
| 542 | coherences. Therefore, it is allowed that the coherences cannot reach 1.0, as long as the |
| 543 | relatively large coherences can be presented and the linear correlation between phases and |
| 544 | frequencies can be determined. |
| 545 | 5. The proposed method was applied to calculate K_{ν} of the Westbourne and Birkhead |
| 546 | aquitards in Eromanga Basin, Australia. The results are comparable with the reported values. |

- The proposal methods can estimate K_{ν} , however, with certain limitations:
- 548 (1) A causal relationship must exist between water-level fluctuations in both adjacent aquifers,
- 549 which is caused by the leakage via the interbedded aquitard. If this is not satisfied, at least
- one set of water-level measurements in the aquitard is required.
- 551 (2) A significant permeability-contrast (> 100) between aquifers and aquitard are required to
- enable the assumption that the groundwater flows vertically in the aquitard;
- 553 (3) Aquifers and aquitard can be approximated as homogeneous, otherwise, the resulted K_{ν}
- represents an average property of the aquitard.
- 555 Appendix A
- The derivation of hydraulic diffusivity in section 2.1 ignored the influences of the water-level
- build-up process relating to storage properties of the aquifer. In order to investigate the
- impact of such a process on the quantitative expression of the hydraulic diffusivity, we
- illustrate a case where the initial signal s_0 is added uniformly on top of the aquitard, which
- 560 can be considered as a plane source. Hence, no lateral propagation would occur when the
- signal reaches the aquifers.
- The signal s_0 transfers through the aquitard and before it reaches the lower aquifer, the
- signal in the aquitard can be expressed by,

$$s(f) = s_0(f)e^{(-Dz)}$$
. A-1

- Once the signal from the aquitard reaches the lower aquifer, the water-level built-up
- process in lower aquifer can be derived from,

$$-\frac{ds}{dz} = \frac{1}{\eta^{-}} \frac{\partial s_{1}^{-}}{\partial t},$$
 A-2

- where $\eta^- = \frac{K_{\nu}}{\mu^-}$ and μ^- is storage coefficient of the lower aquifer.
- As a result, in frequency domain,

$$s_1^- = \frac{D}{(D^-)^2} s_0 e^{(-Db)},$$
 A-3

- 568 where $D^{-} = \sqrt{\frac{\pi f}{\eta^{-}}} (1+i)$.
- Similarly, water-level build-up in the upper aquifer with respect to s_0 can be expressed as,

$$s_1^+ = -\frac{D}{(D^+)^2} s_0,$$
 A-4

- where $D^+ = \sqrt{\frac{\pi f}{\eta^+}} (1+i)$, $\eta^+ = \frac{K_{\nu}}{\mu^+}$, μ^+ is the storage coefficient within the upper aquifer.
- After defining $\xi_1 = \frac{D}{(D^-)^2}$ and $\xi_2 = \frac{D}{(D^+)^2}$, the water levels in each aquifer can be
- expressed by the same formulae as Eqs. (14) and (15) and the relationship between leakage-
- induced water levels satisfy:

$$A^{-}(f)\exp[i\phi^{-}(f)] = -\frac{\mu^{+}}{\mu^{-}}e^{-Db}A^{+}(f)\exp[i\phi^{+}(f)],$$
 A-5

- Operating the logarithm on both sides of Eq. (A-5) and equating real and image parts
- 575 respectively, gives,

$$\frac{K_{v}}{S_{s}} = \pi f b^{2} \left[\ln(\frac{A^{+}\mu^{+}}{A^{-}\mu^{-}}) \right]^{-2},$$
 A-6

$$\frac{K_{v}}{S_{s}} = \pi f b^{2} (\phi^{+} + \pi - \phi^{-})^{-2}.$$
 A-7

- Recalling the results (Eq. 25) in section 2.1, the amplitude-based equation is affected
- 577 explicitly by both the storage coefficient and hydraulic conductivity of the aquifers.
- 578 Appendix B
- 579 The specific storage can be inferred from geophysical log data, including sonic and density
- logging data, based on the definition (Freeze and Cherry, 1979):

$$S_{s} = \rho_{w}g(\alpha + \psi f) = \rho_{w}gM$$
 B-1

- where ρ_w is the density of the water(1000kg/m³), g is the acceleration of gravity
- 582 (9.8m/s²), a is the matrix compressibility, ψ is the porosity, β is the fluid compressibility
- and *M* is the bulk compressibility of the aquitards.
- M can be estimated from the sonic wave velocity by (Berryman, 2000; Morin, 2005):

$$M = \frac{3}{\rho_b (3V_p^2 - 4V_s^2)},$$
 B-2

- where ρ_b is the bulk density which can be obtained from the density log data, V_p is the
- compressional wave velocity recorded during the sonic $\log_{s} V_{s}$ is the shear wave velocity,
- 587 which is difficult to measure directly from sonic log, but commonly estimated (Castagna et
- 588 al., 1985) by:

$$V_p = 1.16V_s + 1.36$$
,

- Substituting Eqs. (B-2) and (B-3) into (B-1), the specific storage can be estimated.
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- anonymous reviewers for their helpful comments.
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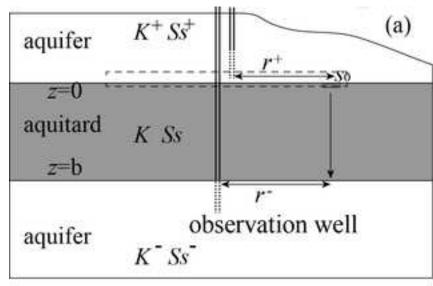
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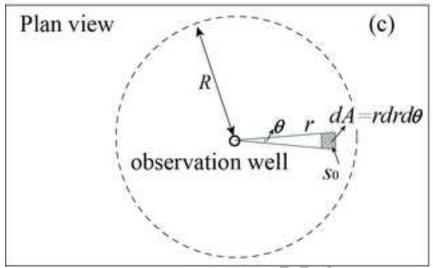
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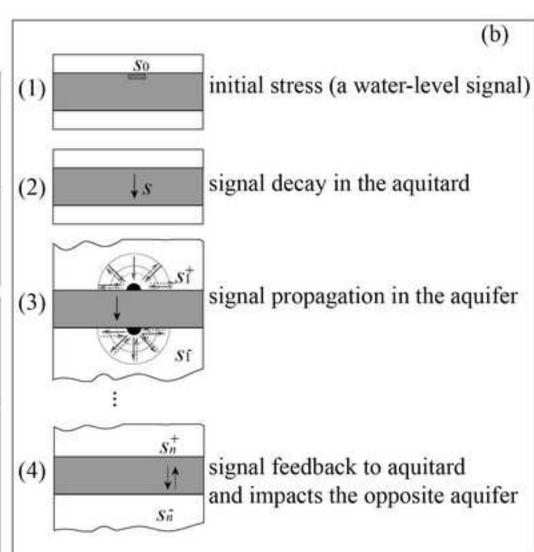
667 Captions

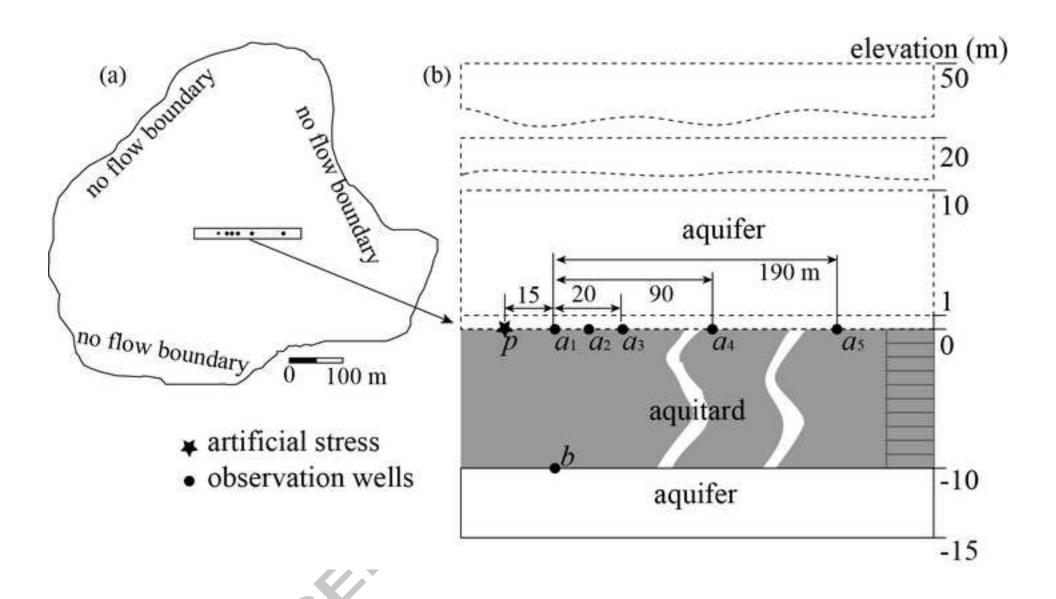
- 668 Fig. 1. Schematic map showing (a) a three-layered leaky aguifer system, (b) the signal
- processes in the leaky system, and (c) plan view of a source s_0 occurring at an area dA and
- 670 contributing to water-level fluctuation in observation well. The total water-level fluctuation in
- the observation well can be calculated using the integral of the contribution of s_0 at the area
- of πR^2 , where R represents the influence radius. Of note, the polar coordinate system in (b)
- centred at original stress, whereas in (c) centred at observation well.
- Fig. 2. (a) Plan view of the study area and boundary conditions, and (b) cross-section view of
- 675 the leaky system and locations of artificial stress and observation wells. The thickness of
- upper aquifer varies at 1, 10, 20 and 50 m in order to assess the influence of the aquifer
- thickness on the estimation of K_z .
- **Fig. 3.** (a) The arbitrary water-level fluctuations at p and water-level responses in the lower
- aquifer at b; (b) and (c) comparing the observed water levels at a_1 and b, respectively, versus
- 680 the back-calculated water levels by methodology in section 2.2. Thickness of the upper
- aquifer is 50 m.
- **Fig. 4.** (a) Coherences between water-level signals measured in observation wells a_1 and b_2 ,
- and (b) blow-up of the high coherences (> 0.8) at frequencies between 0 0.15 d⁻¹.
- **Fig. 5.** Estimates of C_0 based on water-level fluctuations within the system of different
- aguifer thicknesses: (a) 1m, (b) 10 m, (c) 20m and (d) 50 m. The theoretical value of
- 686 $C_0=2.4972$ with respect to $K_z=0.0001$ m/d, and the square of correlation coefficient (R²) are
- also indicated.
- **Fig. 6.** Coherence between water levels measured in the lower (at b) and upper aquifer (at a_1 ,
- a_2, a_3, a_4, a_5). The distance between observation wells in the two aquifers is 0, 10, 20, 90 and
- 690 190 m.

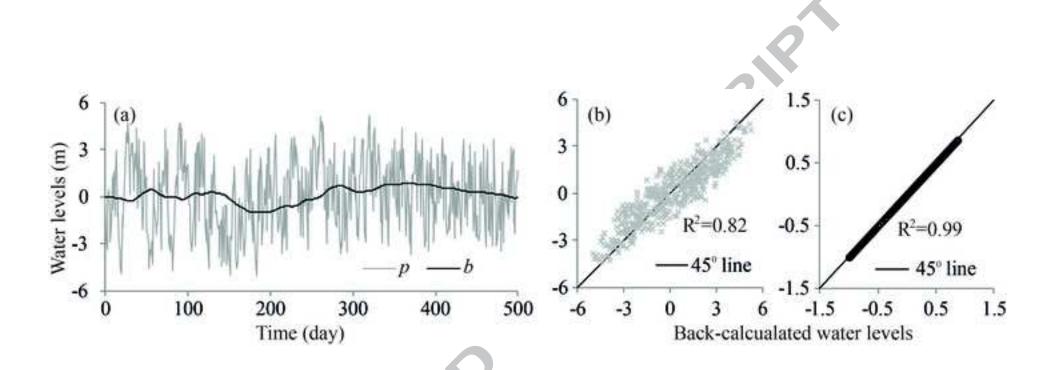
- **Fig. 7.** (a) Linear correlation between frequency and phase shift, (b) values of C_0 reducing
- 692 with increasing distance between observation wells and (c) the correlation coefficients of the
- 693 linear regression model. The vertical hydraulic conductivities are also marked in (b).
- **Fig. 8.** Calculation of K_{ν} for different cases: (a) the aquitard thickness is 10 m, (b) the
- aguitard thickness is unchanged, but the energy of input signal at p is reduced, (c) the energy
- of input signal is unchanged, but the thickness of the aquitard is enlarged to be 20 m. f is the
- frequencies of the arbitrary water-level signal input at p, A is the amplitudes of this signal.
- 698 Unit of K_v is 10^{-4} m/d.
- 699 Fig. 9. Large energy effectiveness leads to (a) small maximum frequency, (b) small
- 700 characteristic coefficient, (c) large error in K_{ν} calculation, and (d) small correlation
- 701 coefficient,
- Fig. 10. Location of the study area and the structure of the multilayered leaky system that is
- being investigated.
- Fig. 11. Water level data plotted against duration from 01/01/1919 to 2/10/1992 for (a) the
- Hooray aquifer, (b) Adori aquifer and (c) Hutton aquifer.
- 706 Fig. 12. Plots of the frequencies with higher coherence values for (a) Westbourne aquitard
- and (b) Birkhead aquitard.
- 708 **Fig. 13.** Plots of the log-log relationship between frequency and phase shift for (a)
- Westbourne aguitard and (b) the Birkhead aguitard.

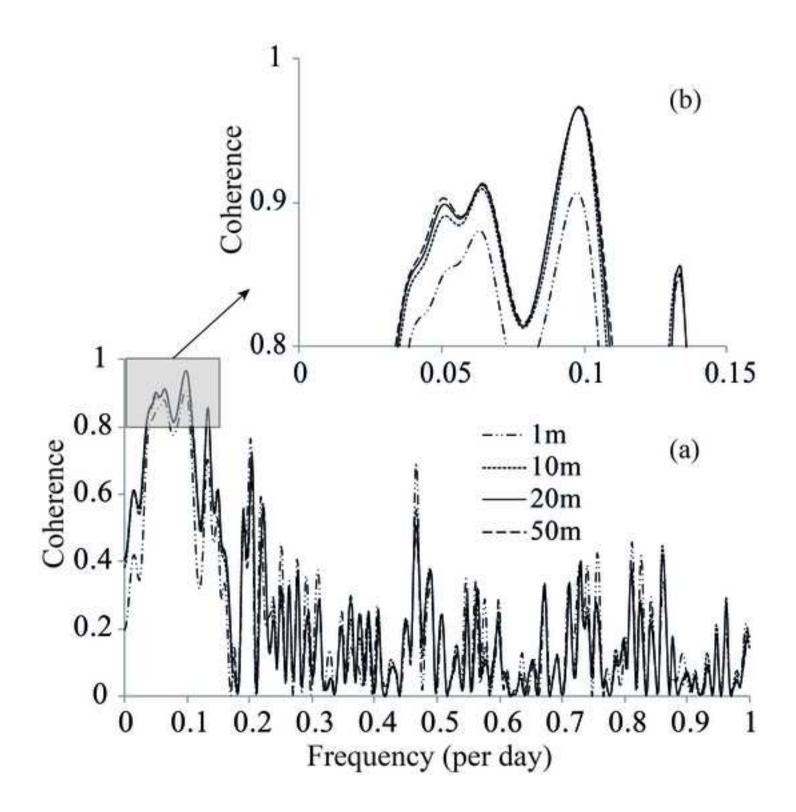


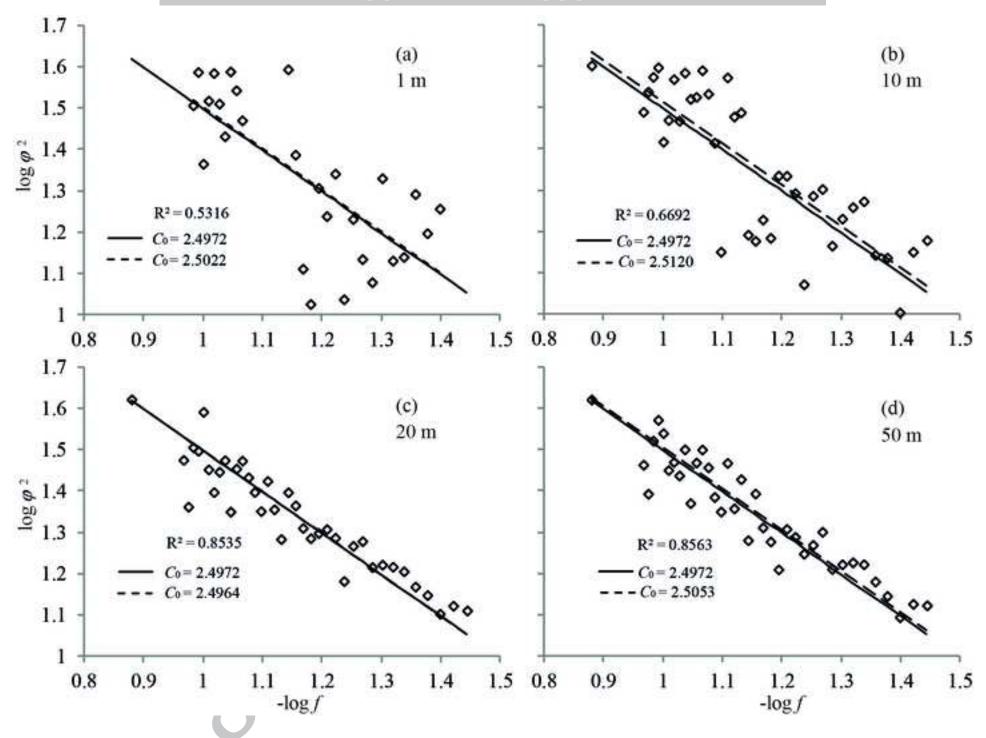


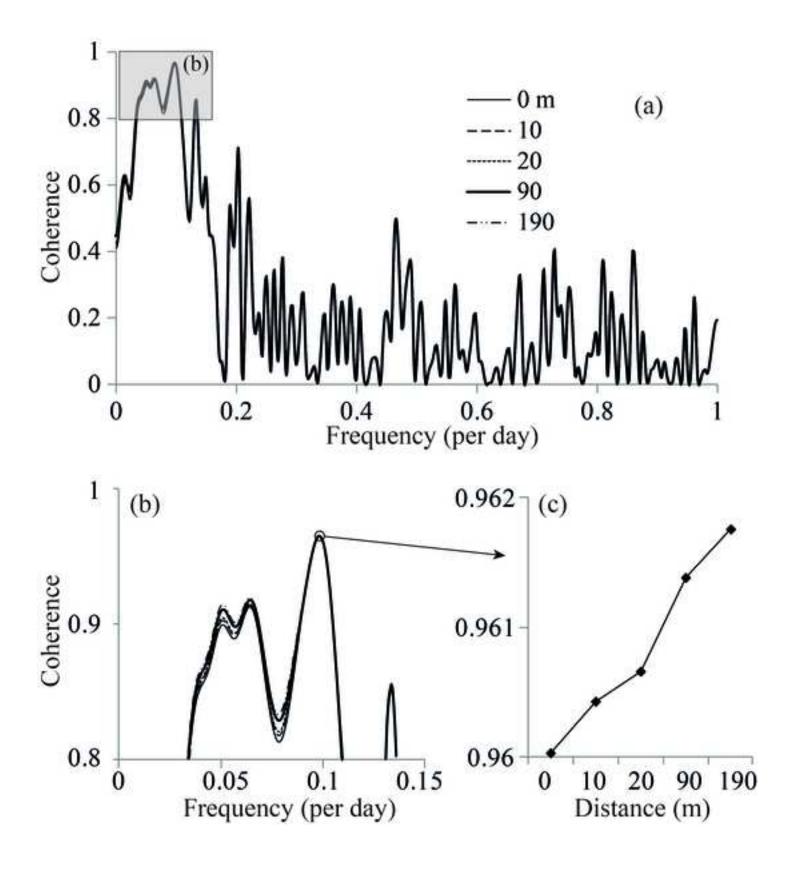


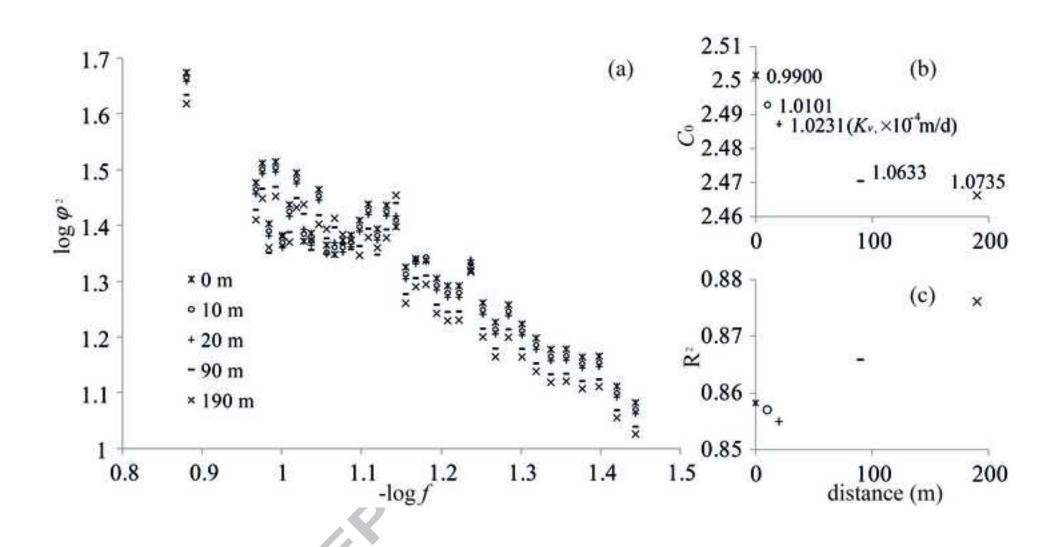


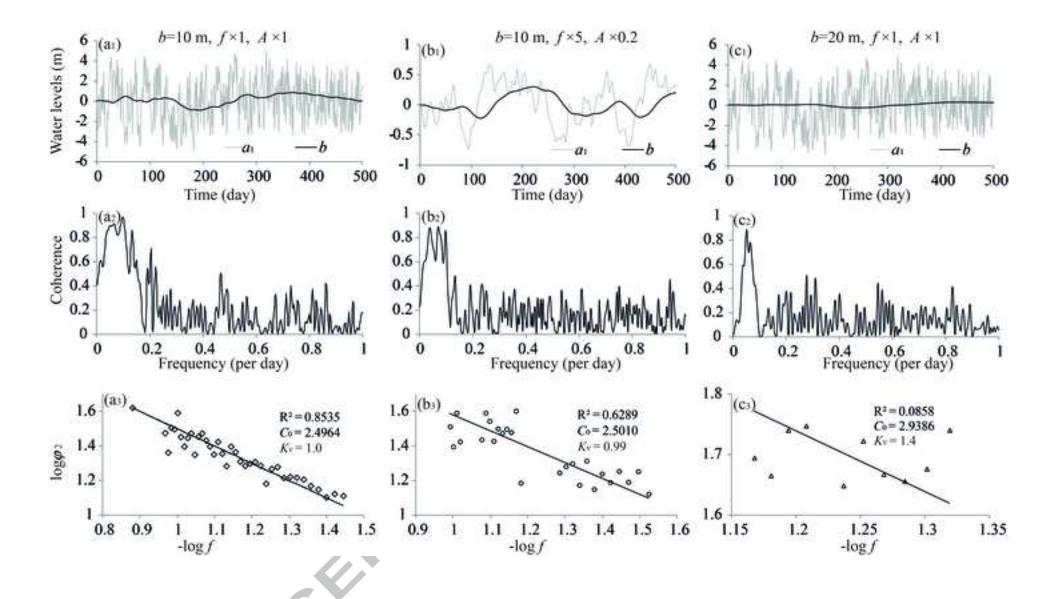


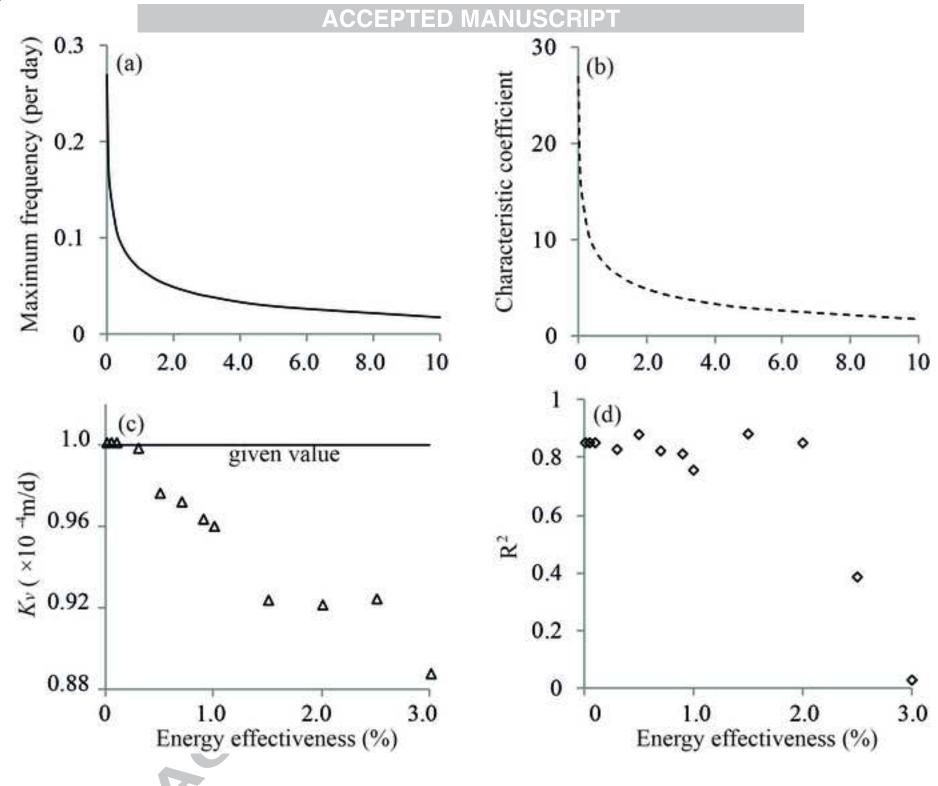


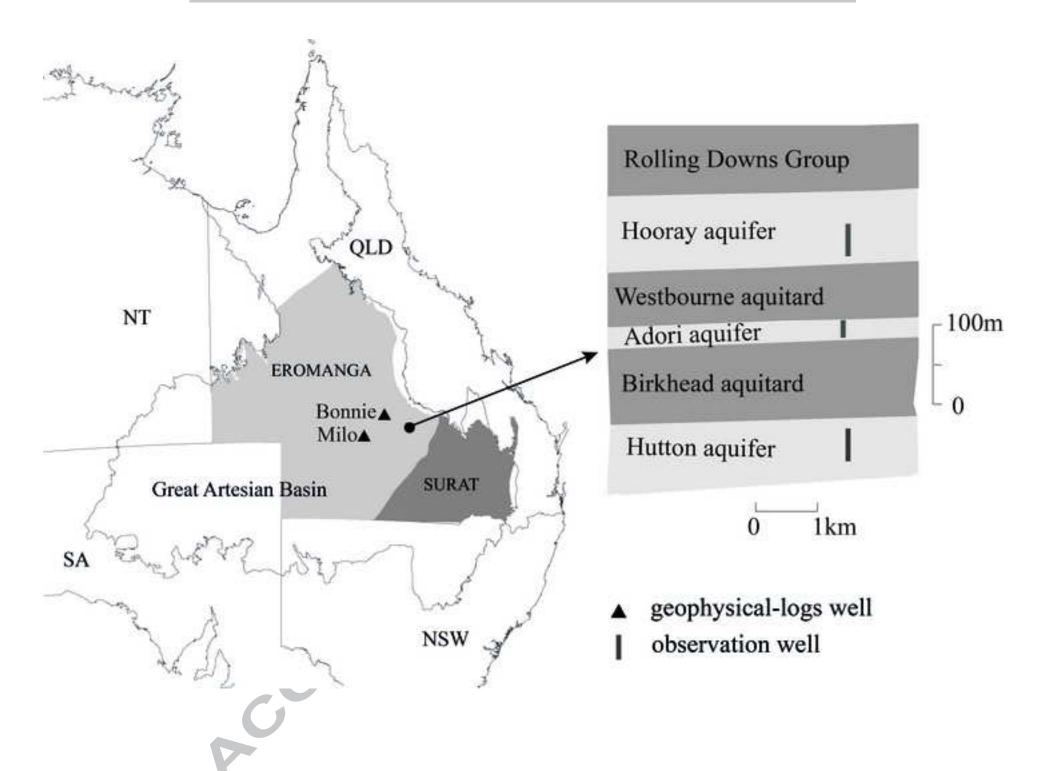


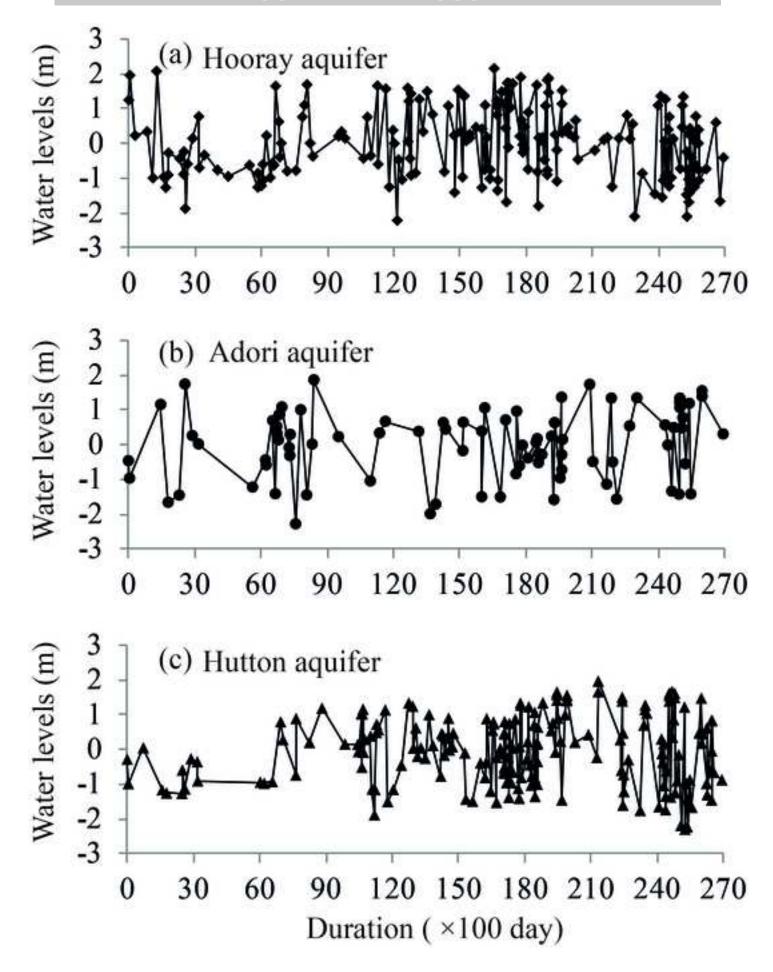


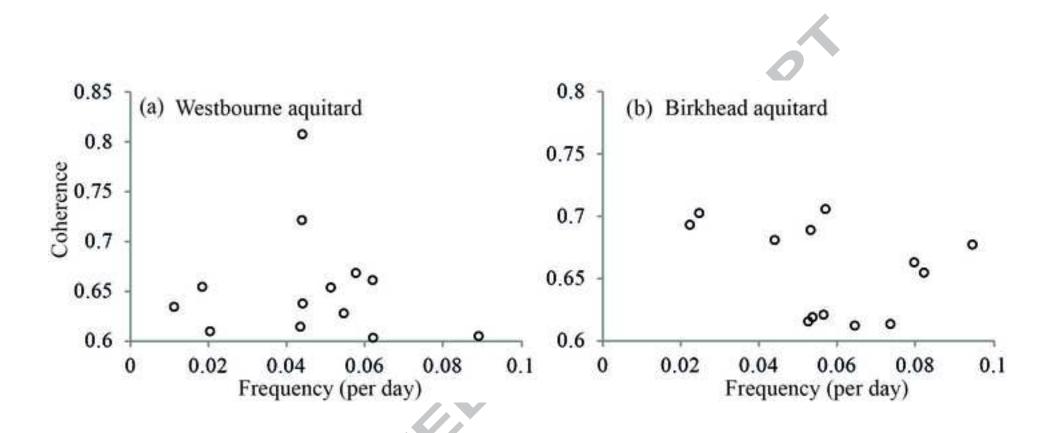


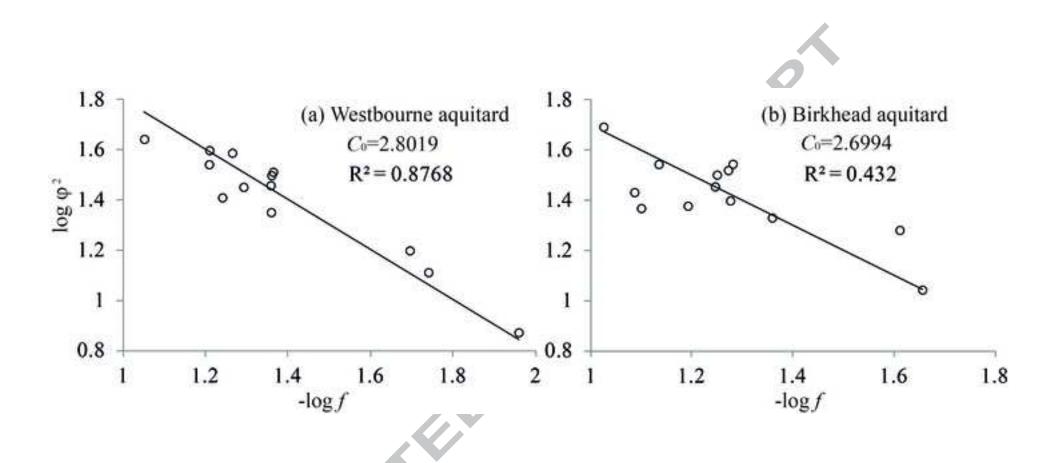












710 **Table 1** Hydrogeological units in the Eastern Eromanga Basin

| Formations | Lithology | K (m/d) | Thickness (m) | Hydrogeological unit |
|------------------------|---------------------------------|--------------------|---------------|----------------------|
| Rolling Downs Group | shale claystone siltstone | < 10 ⁻⁵ | 400 (mean) | RDG aquitard |
| Cadna-Owie | sandstone | 1.6-18.7 | 130 (mean) | Hooray aquifer |
| Hooray | | | | |
| Westbourne | shale, siltstone, sandstone | unknown | 87 (mean) | Westbourne aquitard |
| Adori | sandstone | 10 (mean) | 50 (mean) | Adori aquifer |
| Birkhead | shale, siltstone, sandstone | 10^{-6} - 0.1 | 113 (mean) | Birkhead aquitard |
| Hutton | sandstone | | | |
| Evergreen | siltstone, sandstone, shale | 0.1 -170 | 300 (mean) | Hutton aquifer |
| Precipice | sandstone | | | |

K: the horizontal hydraulic conductivity in the aquifer and vertical hydraulic conductivity in the aquitard.

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| 712 | Research Highlights |
|-----|--|
| 713 | 1. We apply harmonic analysis approach in a multilayered leaky system; |
| 714 | 2. We apply coherence analysis to identify leakage-induced water-level changes; |
| 715 | 3. K_{ν} of aquitard is calculated using water-level fluctuations in the aquifers; |
| 716 | 4. Correlation between frequencies and phases support robust estimate of K_{ν} . |
| 717 | |
| 718 | |
| 719 | |
| | |