Reversible Arithmetic on Collections

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Remark This is a literate program. ¹ Source code and PDF documentation spring from the same, plain-text source files.

1 Introduction

We often encounter data records or rows as hash-maps, lists, vectors (also called *arrays*). In our financial calculations, we often want to add up a collection of such things, where adding two rows means adding the corresponding elements and creating a new virtual row from the result. We also

¹http://en.wikipedia.org/wiki/Literate_programming.

want to un-add so we can undo a mistake, roll back a provisional result, perform a backfill or allocation: in short, get back the original inputs. This paper presents a library supporting reversible on a large class of collections in Clojure.²

2 Mathematical Background

Think of computer lists and vectors as *mathematical vectors* familiar from linear algebra:³ ordered sequences of numerical *components* or *elements*. Think of hash-maps, which are equivalent to *objects* in object-oriented programming,⁴ as sparse vectors⁵ of *named* elements.

Mathematically, arithmetic on vectors is straightforward: to add them, just add the corresponding elements, first-with-first, second-with-second, and so on. Here's an example in two dimensions:

$$[1,2] + [3,4] = [4,6]$$

Clojure's map function does mathematical vector addition straight out of the box on Clojure vectors and lists. (We don't need to write the commas, but we can if we want – they're just whitespace in Clojure):

==> [4 6]

With Clojure hash-maps, add corresponding elements via merge-with:

The same idea works in any number of dimensions and with any kind of elements that can be added (any *mathematical field*:⁶ integers, complex numbers, quaternions – many more.

²http://clojure.org

³http://en.wikipedia.org/wiki/Linear_algebra

 $^{^4}$ http://en.wikipedia.org/wiki/Object-oriented_programming

⁵http://en.wikipedia.org/wiki/Sparse_vector

⁶http://en.wikipedia.org/wiki/Field_(mathematics)

Now, suppose you want to *un-add* the result, [4 6]? There is no unique answer. All the following are mathematically correct:

$$[-1, 2] + [5, 4] = [4, 6]$$
$$[0, 2] + [4, 4] = [4, 6]$$
$$[1, 2] + [3, 4] = [4, 6]$$
$$[2, 2] + [2, 4] = [4, 6]$$
$$[3, 2] + [1, 4] = [4, 6]$$

and a large infinity of more answers.

3 A Protocol for Reversible Arithmetic

Let's define a protocol for reversible arithmetic in vector spaces that captures the desired functionality. We want a protocol – Clojure's word for interface,⁷ because we want several implementations with the same reversible arithmetic: one implementation for vectors and lists, another implementation for hash-maps. Protocols let us ignore inessential differences: the protocol for reversible arithmetic is the same for all compatible collection types.⁸

Name our objects of interest algebraic vectors to distinguish them from Clojure's existing vector type. Borrowing an idiom from C# and .NET, name our protocol with an initial I and with camelback casing. Don't misread IReversibleAlgebraicVector as "irreversible algebraic vector;" rather read it as "I Reversible Algebraic Vector", i.e., "Interface to Reversible Algebraic Vector," where the "I" abbreviates "Interface."

We want to add, subtract, and scale our reversible vectors, just as we can do with mathematical vectors. Add should be multiary, because that's intuitive. Multiary functions are written with ampersands before all the optional parameters, which Clojure will package in a sequence. Unfortunately, protocol functions don't support "rest" arguments, 10 so we'll go with binary add for now, and built up multiarity through functional programming mojo.

Sub should be binary, because multiary sub is ambiguous.

Include inner product, because it is likely to be useful.

⁷http://en.wikipedia.org/wiki/Interface_(computing)

⁸including streams over time! Don't forget Rx and SRS.

⁹http://en.wikipedia.org/wiki/CamelCase

¹⁰http://bit.ly/18kecbJ

Though we don't have immediate scenarios for subtraction, scaling, and inner product, the mathematics tells us they're fundamental. Putting them in our design now affords two benefits:

- 1. when the need arises, we won't have to change the code
- 2. their existence in the library may inspire usage scenarios

Remark The choice to include operations in a library in the absense of scenarios is philosophical, ¹¹ perhaps more akin to Action-Centric design or proactive design as opposed to Rationalist or minimalist design. The former philosophy promotes early inclusion of facilities likely to be useful or inspirational, whereas the latter philosophy demands ruthless rejection of facilities not known to be needed. Both require removing facilities known to be not needed, of course. The former philosophy relies on intuition, taste, judgment, and experience; and the latter philosophy embraces ignorance of the future as a design principle. We thus prefer the former.

Finally, we need *undo* and *redo*, the differentiating features of reversible algebraic vectors. Unlike object-oriented programming, there is no implicit *this* instance. Here is our protocol design:

```
(defprotocol IReversibleAlgebraicVector
```

```
;; binary operators
(add [this that])
(sub [this that])
(inner [this that])
;; unary operators
(scale [this scalar])
;; reverse any operation
(undo [this])
(redo [this])
)
```

¹¹http://en.wikipedia.org/wiki/Design_philosophy

4 Implementing the Protocol

4.1 Defining r-vectors and a-vectors

What things represent algebraic vectors? Things we can operate on with map or merge-with to perform basic vector-space operations. Therefore, they must be Clojure vectors, lists, or hash-maps.

The higher-level case wraps reversing information in a hash-map along with base-case algebraic vector data. The base data will belong to the :a-vector key, by convention.

Definition 4.1 (Algebraic Vector (a-vector)) An a-vector is a Clojure vector, a list, or a hash-map that does not contain an :a-vector attribute.

Definition 4.2 (Reversible Algebraic Vector (r-vector)) A reversible algebraic vector or r-vector is a hash-map containing an :a-vector attribute. The value of that attribute must be an a-vector.

4.2 Checking the Definition

Here is a type-checking function for *a-vector*. This function is private to the namespace (that's what the '-' in *defn-* means). It takes a single parameter named *that*. It promotes *fluent* or function-chaining style by being, semantically, the identity function. It either returns its input or throws an exception if something is wrong.

4.3 Fetching a-vector Data

We need a way to get a-vector data out of any r-vector.

```
(defn get-a-vector [that]
  (if (not (map? that))
        (throw (IllegalArgumentException. (str that)))
        (check-a-vector (:a-vector that))))
```

$4.4 \quad \text{Unit-Testing } \textit{get-a-vector}$

We require IllegalArgumentExceptions for inputs that are not a-vectors and for r-vectors that contain r-vectors: our design does not nest r-vectors.

Let's make test sets for data that should be accepted and rejected immediately. Creating new tests is as easy as adding new instances to these sets. Include some types that may not be acceptable for arithmetic; we are just testing structure here.

```
(def ^:private atoms
  '(42\ 42.0\ 42.0M\ 42N\ 'a:a"a"\ \a
   #inst "2012Z"
   #{} #{0} nil true false))
(def ^:private vectors
  (concat [[]] (map vector atoms)))
(def ^:private lists
  (concat [()] (map list atoms)))
(def ^:private maps
  (concat [{}] (map (fn [a] {:a a}) atoms)))
(def ^:private a-vectors
  (concat (map (fn [a] {:a-vector a}) vectors)
          (map (fn [a] {:a-vector a}) lists)
          (map (fn [a] {:a-vector a}) maps)))
(def ^:private good-ish-test-collection
 a-vectors)
(def ^:private bad-ish-test-collection
  (concat maps
          (map (fn [a] {:a-vector a}) atoms)
          (map (fn [a] {:a-vector a}) a-vectors)))
```

We cannot just map or iterate get-a-vector over bad inputs because Clojure evaluates arguments eagerly. ¹² The first exception will terminate the entire map operation, but we want to test that they all throw exceptions.

One way to defeat eager evaluation is with a higher-order function.
¹³ Pass *get-a-vector* as a function to another function that wraps it in a *try* that converts an exception into a string. Collect all bad-ish strings into a hash-set and test that the hash-set contain just the string "*java.lang.IllegalArgumentException*." For the *good-ish* test set, map the

 $^{^{12} \}verb|http://en.wikipedia.org/wiki/Evaluation_strategy \#Applicative_order$

 $^{^{13}}$ another, more complicated way is with a macro, which rewrites expressions at compile time. Macros should be avoided when functional alternatives exist because they are hard to develop and debug.

values into a sequence that should match the inputs in order.

4.5 Dispatching Operations by Collection Type

To implement the protocol, we need multimethods that dispatch on the collection types of the a-vectors. Lists and Clojure vectors should be treated the same: as sequences. Let's call them *seq-ish*. Hash-maps should be treated as *map-ish*. All other types are illegal.

To dispatch on collection type, test the types of all inputs. Here, again, we see ampersands before a parameter representing a sequence of all optional arguments.

```
(defn- all-types [& exprs] (set (map one-type exprs)))
(defmulti add-a-vectors all-types)
(defmethod add-a-vectors #{'seq-ish} [& those]
   (apply map + those))
(defmethod add-a-vectors #{'map-ish} [& those]
   (apply merge-with + those))
(defmethod add-a-vectors :default [& those]
   (throw (IllegalArgumentException.
        (str "; Illegal type combination: " (map type those)))))
```

At this point, it is worth noting that *static typing* – types tested by a compiler – would save us the work of writing run-time type tests, but at the expense of the build-time and run-time complexity of introducing another language into our data-processing pipeline. This complexity tradeoff – coding versus building and running – is a judgment call. We stick with dynamic type-checking, the only option available in Clojure, for now.

Our *add-a-vectors* function is loose: it will add one or more a-vectors, where our protocol will only accept two or more. This is fine: it only means that we unit test a few more cases for *add-a-vectors* than for our protocol.

Regarding the underlying arithmetic: if we attempt to add values that cannot be added via the + operator, we do not interfere with the underlying exceptions that Clojure and Java may throw. Therefore, we do not need to test such cases here.

```
(deftest add-a-vectors-test
  (testing "add-a-vectors")
  (is (= #{"java.lang.IllegalArgumentException"}
         (value-set add-a-vectors atoms)))
  (are [expr] (thrown? java.lang.IllegalArgumentException expr)
       (add-a-vectors '() {})
       (add-a-vectors []
       (add-a-vectors {} '())
       (add-a-vectors {} [])
       (add-a-vectors))
  (are [x y] (= x y)
    (add-a-vectors [])
                           (add-a-vectors [1])
                          [1]
    (add-a-vectors [1 1]) [1 1]
    (add-a-vectors '())
                           <sup>'</sup>()
    (add-a-vectors '(1))
                           '(1)
    (add-a-vectors '(1 1)) '(1 1)
    (add-a-vectors [1]
                         [2])
                                [3]
    (add-a-vectors [1 2] [3 4]) [4 6]
                        '(2))
    (add-a-vectors '(1)
    (add-a-vectors '(1 2) '(3 4)) '(4 6)
    (add-a-vectors '(1)
                          [2])
    (add-a-vectors '(1 2) [3 4]) [4 6]
    (add-a-vectors [1]
                        (2))
                                  [3]
    (add-a-vectors [1 2] '(3 4)) [4 6]
    (add-a-vectors [1]
                         [2])
                                '(3)
    (add-a-vectors [1 2] [3 4]) '(4 6)
    (add-a-vectors '(1)
                          [2])
                                 '(3)
    (add-a-vectors '(1 2) [3 4]) '(4 6)
    (add-a-vectors [1] '(2))
    (add-a-vectors [1 2] '(3 4)) '(4 6)
    (add-a-vectors [1]
                         [2 3])
    (add-a-vectors [1 2] [3 4 5]) [4 6]
    (add-a-vectors {})
                                {}
    (add-a-vectors {:a 1})
    (add-a-vectors {:a 1 :b 2}) {:a 1 :b 2}
    (add-a-vectors {:a 1} {})
                                    {:a 1}
```

4.6 The Reversible Vector Type

We now have enough to implement the add method of the protocol.

```
(defrecord ReversibleVector [my-r-vector]
 IReversibleAlgebraicVector
  (add
         [this that]
         (let [priors [(get-a-vector (.my-r-vector this))
                       (get-a-vector (.my-r-vector that))]]
           (->ReversibleVector
             {:priors
                         priors
              :operation 'add,
              :a-vector
                         (apply add-a-vectors priors)})))
  (sub
         [this that] nil)
  (inner [this that] nil)
  (scale [this scalar] nil)
  (undo [this] nil)
  (redo [this] nil))
```

5 Unit-Tests

6 REPLing

To run the REPL for interactive programming and testing in org-mode, take the following steps:

- 1. Set up emacs and nRepl (TODO: explain; automate)
- 2. Edit your init.el file as follows (TODO: details)
- 3. Start nRepl while visiting the actual project-clj file.
- 4. Run code in the org-mode buffer with C-c C-c; results of evaluation are placed right in the buffer for inspection; they are not copied out to the PDF file.