

From: Grey Monroe greymonroe@gmail.com
Subject: Fwd: PCIEvolBiol: Decision concerning your submission
Date: June 4, 2020 at 8:52 AM
To: Dave Des Marais dldesmar@mit.edu
Cc: Haoran Cai hrcai@mit.edu

Dear Dave and Haoran,

Hope you are both well.

We finally heard back from PCI Evol biol. See below.

I am moving to USA this month so unfortunately don't have time to make changes but will get to this in July along with the other suggestions we have received. Open to your feedback as well!

Best,
Grey

Begin forwarded message:

From: "PCI EvolBiol Contact" <contact@evolbiol.peercommunityin.org>
Subject: PCIEvolBiol: Decision concerning your submission
Date: June 4, 2020 at 2:41:12 PM GMT+2
To: greymonroe@gmail.com

Dear J. Grey Monroe,

Your article, entitled **Trait plasticity and covariance along a continuous soil moisture gradient**, has now been reviewed. The referees' comments and the recommender's decision are shown below. As you can see, the recommender found your article very interesting, but suggests certain revisions.

We shall, in principle, be happy to recommend your article as soon as it has been revised in response to the points raised by the referees.

When revising your article, we remind you that:

- 1) Data must be available to readers, either in the text or through an open data repository such as Zenodo (free), Dryad (to pay) or some other institutional repository. Data must be reusable, thus metadata or accompanying text must carefully describe the data;
- 2) Details on quantitative analyses (e.g., data treatment and statistical scripts in R, bioinformatic pipeline scripts, etc.) and details concerning simulations (scripts, codes) must be available to readers in the text, as appendices, or through an open data repository, such as Zenodo, Dryad or some other institutional repository. The scripts or codes must be carefully described so that they can be reused;
- 3) Details on experimental procedures must be available to readers in the text or as appendices;
- 4) Authors must have no financial conflict of interest relating to the article. The article must contain a "Conflict of interest disclosure" paragraph before the reference section containing this sentence: "The authors of this article declare that they have no financial conflict of interest with the content of this article.";
- 5) This disclosure has to be completed by a sentence indicating, if appropriate, that some of the authors are PCI recommenders: "XY is one of the *PCIEvolBiol* recommenders.".

When your revised article is ready, please:

- 1) Upload the new version of your manuscript onto your favorite open archive;
- 2) Follow this link https://evolbiol.peercommunityin.org/user/my_articles or logging onto the *PCIEvolBiol* website and go to 'your contributions' section and then to 'Your submitted preprints' subsection in the top menu;
- 3) Make your changes to the title, summary, link to the article (or its DOI) and keywords if necessary by clicking on the 'Edit Article' button. If not already done, you also need to upload a picture or an illustration for which you own the rights – this picture will be used to illustrate your article, if recommended;
- 4) Write, copy/paste or upload (as a PDF file) your reply to the recommender's and reviewers' comments by clicking on the 'Write, edit or upload your reply to recommender' button. You can also upload (as a PDF file) a revised version of your article, with the modifications indicated in TrackChanges mode;
- 5) When you are ready to submit your new version, click on the 'Save & submit your reply' button.

Once the recommender has read the revised version, he/she may decide to recommend it directly, in which case the editorial correspondence (reviews, recommender's decisions, authors' replies) and a recommendation text will be published by *PCIEvolBiol*

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Alternatively, other rounds of reviews may be needed before the recommender reaches a favorable conclusion. He/she may also reject your article, in which case the reviews and decision will be sent to you, but they will not be published or publicly released by *PCIEvolBiol*. They will be safely stored in our database, to which only the Managing Board has access. You will be notified by e-mail at each stage in the procedure.

We thank you in advance for submitting your revised version.

Yours sincerely,

The Managing Board of *PCIEvolBiol*

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In case of any questions or queries, please use the following e-mail: contact@evolbiol.peercommunityin.org.

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Trait plasticity and covariance along a continuous soil moisture gradient

J Grey Monroe, Haoran Cai, David L Des Marais

10.1101/2020.02.17.952853 version 1

Submitted by J. Grey Monroe 2020-02-20 16:34

Abstract

Water is perhaps the greatest environmental determinant of plant yield and fitness, yet our understanding of plant-water relations is limited because it is primarily informed by experiments that have treated soil moisture variability as two simple discrete levels - wet and dry - rather than a continually varying environmental gradient. Here we used experimental and statistical methods based on function-valued traits to study responses to continuously varying soil moisture gradient in a suite of physiological and morphological traits in two species and multiple genotypes of the model grass *Brachypodium*. We find that the majority of traits exhibit non-linear responses to soil moisture variability. We also observe differences in the shape of these non-linear responses between traits, species, and genotypes. Emergent phenomena arise from this variation including changes in trait correlations and evolutionary constraints as a function of soil moisture. These results point to the importance of considering non-linearity in plant-water relations to predict outcomes of adaptation to changing climates.

Keywords: drought, function-valued traits, Brachypodium, evolutionary constraint, phenotypic plasticity, non-linearity

Round #1

Author's Reply:

by Benoit Pujol and Rodrigo Medel, 2020-06-04 13:55

Manuscript: [10.1101/2020.02.17.952853](https://doi.org/10.1101/2020.02.17.952853)

PCI Evol Biol Preprint revision needed

Dear J Grey Monroe and collaborators,

Thank you for submitting your preprint to PCI Evolutionary Biology for recommendation. Two anonymous reviewers, Rodrigo Medel (co-recommender) and I, carefully read your preprint. We found your text was elegantly written. You present an interesting perspective on the way "function-valued traits" influence the response to selection through genetic correlations and plasticity. We think that the main framework needs to be emphasized earlier in the introduction section. In its present form, most of the general context relies on particular issues related with the study system, plant variables, and soil moisture gradients. This is fine, but expanding the context/implication presented in the second paragraph throughout the paper would add substantially to the scope of this contribution. We found the experts' reviews very thorough and thoughtful and agree with their comments. We all agree that your manuscript addresses an important question: considering the continuity and non-linearity of soil moisture effects on plant morpho-physiological traits. We also found this manuscript quite valuable and would be inclined towards recommending it after consideration of comments by the authors. We hope that you will find our feedback useful to revise your manuscript.

Here are additional points that we identified.

1. One motivation of the paper is to assess the benefit of considering the continuous variation of soil moisture when evaluating the environmental response of the phenotype. Your point is that it brings a formally neglected layer of information that brings us one step closer to a more realistic assessment. I fully agree with this but I'm concerned about the presentation of the contextual

step closer to a more realistic assessment. I fully agree with this but I'm concerned about the presentation of the contextual background and the presentation of the implications of your work in the discussion. My concern comes from the fact that you do not "add up" a layer of detailed information to an otherwise realistic background. You rather proof test whether adding this environment as a continuous variable brings up useful information and you do this in an experimental simplified biological set up in order to properly proof test your hypothesis. Indeed, you use an experiment, a limited number of genetic lineages and summarise the continuous variation of the soil moisture to 6 modalities. This is not independent from another concern: It is sometimes clear, and sometimes less clear throughout the manuscript whether your contribution is specifically about "soil moisture" or whether you address a general issue that concerns all environmental variables. You want to revisit the text of the paper to clarify these aspects throughout the preprint so that no confusion is possible. It will bring value to the paper because your valuable contribution will be more clearly identified by readers as a result (and referred to).

2. An important implication of your work is that using environmental variables that are not continuous does not allow to observe nonlinear phenotypic responses. Missing such exponential or logarithmic responses has implications when predicting plant responses, in particular in the current context of climate changes. I totally agree with this and you could actually elaborate a bit more on this aspect and acknowledge more precisely similar work claiming the same message (eg work by Nussey, Wilson, Brommer who you cite, in wild populations, etc.).

3. You use quantitative genetic approaches and that brings value to this paper. However, the paper lacks contextual background about this aspect. You do not clearly state what are the methods used to assess continuous environmental effects (eg random regression quantitative genetic models) and whether they were used to assess environmental effects on plants. What are the differences and similarities with your approach (the aim is clearly the same)?

We would ultimately accept to recommend this preprint provided that you address these concerns and the reviewers' comments appropriately.

4. The contrasting patterns of evolutionary constraints between species are quite impressive. I wonder to what extent this contrasting pattern associates to the contrasting life-history strategies as the authors assume, or rather represent non-random phylogenetic signals unrelated to life-history strategies. While this is clearly beyond the focus of the manuscript it would be nice to have additional information on the strategies followed by other populations or sister species to give stronger support to the idea of life-history related genetic constraints.

Regards,
Benoit Pujol and Rodrigo Medel

Reviews

Reviewed by anonymous reviewer, 2020-04-28 12:13

Review of Monroe, Cai and Des Marais " Trait plasticity and covariance along a continuous soil moisture gradient"- PCI 2020

This manuscript analyses the response (variance and covariance) of 12 traits to continuously varying soil moisture gradient in five genotypes of two *Brachypodium* species (one annual and one perennial). The key findings are that i) most traits exhibit a non-linear response to drought leading to changes in trait correlations, and ii) the shape of the response varied between species, genotype and traits suggesting a context dependent response.

I really enjoyed reading this article. I agreed with the motivation of the article, the design, the code/data provided and the conclusion. Yet, I have to admit I am not a specialist of all the methods the authors used in this manuscript. I still have some suggestions about the manuscript in its current form.

Introduction

Part of the discussion is about the difference between perennial and annual plants. It would be interesting to bring this subject in the introduction first. I haven't notice that one sp was annual and the other perennial before the discussion (while it is clearly mentioned by the authors in the material and methods). It would be interesting to get a paragraph in the end of introduction with some hypotheses about difference in traits variance, correlation and evolvability etc. between perennial and annual plants facing drought stress.

Material & Methods

Plasticity through multidimensional trait space. - You specified that the traits are centered, but it is not clear to me if they are also reduced? I guess they are. Please clarify, this information impacts our interpretation of fig3.

Results

Non-linearity in trait responses to soil moisture. I would be interested to see the R2 of the best (final) model in Table 1, to have an idea of the part of the variance explained by each model. For each trait, if the R2 (or AIC) of the best models are very closed I would advise the author to use a model averaging approach (Grueber et al 2011) to get averaged estimates, and then predicted values based on these averaged estimates.

I guess the authors plotted in Fig 2 the predicted value for the traits at each soil moisture level, rather than the observed values. Please specify.

In the same line of thought, I think it would be interesting to provide the confident interval around the values (whenever they are predicted or fitted values) plotted in fig 2. Maybe all the differences observed have overlapping CI, and are not significantly different all along the moisture gradient.

Cohort effect- I would be interested to see some discussion or interpretation of the significance of cohort effect. Indeed in Table1, the harvesting day (H) which is the cohort effect is significant in 18 models on 24. Do you have any explanation? Is it due to a change in trait value with plant age? Or is it an artefact of the experimental design? As you already know, plant traits can shift with plant ontogeny. In one hand, we can think that it is unlikely to see this kind of effect between 5days-difference *Brachypodium* plants. On the other hand, five days differences for a plant of 43 or 56 days is not negligible (9-11% of its lifetime). Please discuss this

result.

I found the Fig3 b and c complicated to understand, and I am not sure they provide a lot of information to the reader. In my understanding the authors only get one point from them: phenotypes were similar between extreme dry and extreme wet soil moisture contents for *B. sylvaticum*. I have to admit that this result was not particularly obvious for me on fig3b and c. Maybe the authors should consider dropping Fig3 part b and c into appendix to simplify their ms.

Fig4- So each point is a correlation coefficient calculated across 5 genotypes, right? If yes please specify this in the legend. If No, please add the info about the sample size used to drawn each correlation as different point size in the plot.

Discussion

Just a general comment/question to the authors. I agree that the next step would be to search for general patterns of variation and covariation across species (which is a goal of functional ecology) along discrete environmental variables. As you specified, the best approach is to reproduce in an analogous fashion the same experiment on more genotypes or other species. Yet, do you think we could get some insights by compiling individual studies focusing on discrete soil water levels? Like a meta-analyses or a trait database with information on the soil water content (and type of soil)?

Minor comments:

- In Abstract please replace "multiple genotypes" by "five genotypes"
- In Material & Methods section- Genotypes and species: "For each species, these genotypes represent a range of geographical origin and phenotypic diversity" Please specify into bracket the name of two most distant locations. It will give some clue to the reader without having to go through your previous work.
- In fig 3, please add in legend "*B. sylvaticum* is colored in orange and *B. distachyon* blue".
- In section Non-linearity in trait responses to soil moisture is pervasive. "In contrast, SLA, total biomass and shoot mass did not include environmental predictor" please replace "predictor" by "predictor"
- In discussion please check for references in the text. For instance you did not provide the year of publication for Lundgren and Des Marais (current biology).
- In appendix, I would be useful to provide a schema of the experimental design, with information about the number of genotypes, cohort, traits measurement along the five days etc.

Grueber et al 2011 – Methods in Ecology and Evolution. Multimodel inference in ecology and evolution: challenges and solutions
<https://doi.org/10.1111/j.1420-9101.2010.02210.x>

Reviewed by anonymous reviewer, 2020-05-19 10:36

The scope of the manuscript is to understand the phenotypic response of genotypes to an environmental gradient of drought, with the goal to better predict the shape and form of phenotypes and response to selection. The authors argue that so far, we have studied phenotypic responses (to abiotic factors) in discrete experimental setups, and it is about time to integrate the complexity of continuous environmental variation in experimental designs so that we can predict phenotypic responses and selection in a more "realistic" way, as opposed to a set of fixed levels. I agree with the authors that experiments under controlled conditions often use a number of fixed levels and measure the response of phenotypes, and we need to understand better the covariation between phenotypes and environmental gradients to estimate selection and the response to selection more accurately. But I disagree with the statement that we have not studied much continuous variation, or that we don't have tools for that. This has been done quite extensively on phenotypic selection studies. The work of Lande & Arnold (1983) *Evolution* 37: 1210-1226 and subsequent methodological papers in the context of phenotypic selection have provided the methods to understand the covariation between traits and fitness to measure selection on phenotypic traits while controlling by genetic correlations (or phenotypic as a proxy of genetic correlations), including non-linear relationships. Furthermore, the approach to model phenotypic traits as a function of environmental traits through model selection has been already used in phenotypic selection studies (e.g. Bolstad et al (2010) *New Phytologist* 188:370-384, use of model selection to creating a fitness function based on functional traits). Perhaps we have simply failed to quantify environmental factors in natural conditions to better predict the correlation between traits and continuous environmental factors. But after reading the manuscript, I am left with mixed feelings about the adequacy of their methods. Yes, with their experiment the authors create environmental gradients to measure the reaction norm of genotypes to soil moisture, but this is done in only 5 genotypes per species. And I am not surprised: the experimental design is way too complicated and large when one adds more genotypes. I guess this is why there's been a tendency of using modest and simple experimental approaches where we can increase our sample size of genotypes per species to study the evolution of phenotypes and what constrains a phenotypic response to selection. While the authors used a smart experimental and sophisticated statistical way to investigate the relationship between continuous environmental variation and phenotypes, they are constrained by the scale of the experiment. So in summary, either we make a simple design (fewer levels) and increase the range of genotypes (and potentially phenotypes), or we focused on a subset of genotypes and we get to understand well reaction norms.

I like how the authors approach and recognized the importance of studying specific traits, the structure of genetic correlations and covariation between traits, but also the general multivariate perspective. I admit that the phenotypic plasticity in a multivariate fashion is hard to grasp but necessary. But I was somewhat surprised to see that, while they emphasize the importance of the multivariate approach, little was brought into the discussion, and the focused was on specific traits that somehow "made sense" in the context of life-history traits. So perhaps the authors should think of improving the alignment between the introduction and discussion. Furthermore, I missed a more ecological and biological context in the introduction to predict responses of the species under study, which is possible since this is a model organism. The life history trait argument and adaptation to specific environments in the context of the geographic range of the species is overlooked in the introduction, and yet it plays an important role to explain plasticity and evolvability in the two species. This is simply discussed in the context of annual and perennial life history strategy and adaptation to drought. But the results are important to predict how will the two species respond to environmental stress through drought and drought tolerance. This is where their experimental manipulation is useful and it could enrich the discussion: what are the implications of their estimates to predict an evolutionary response to an increase in drought? What species will be more constrained and how from a multivariate and single trait approach? What would be the line of least resistance for phenotypic

constrained and how from a multivariate and single trait approach: what would be the line of least resistance for phenotypic evolution to occur in both species? These seem to me the interesting questions, particularly in the context of their 4th paragraph in the introduction (see below). However, the study includes only 5 genotypes per species and I would assume that this represents only a small fraction of the genetic and phenotypic variation of the two species, and a small fraction of the phenotypic responses if local adaptation and population differentiation is important. This should be, at the very least, acknowledged in the discussion.

The 4th paragraph of the introduction is beautifully presented and argued, and I feel that the authors have put forward an interesting theoretical context as to why it is important to investigate the structure of variance and covariance of traits in environmental gradient to predict phenotypic responses and how traits evolve. I think it is important to return to these ideas in the discussion and use their results to solve the conundrum presented (see above).

From a methodological perspective, the study is well executed and the authors displayed a wide collection of statistical tools to investigate phenotypic responses from a multivariate fashion. However, there are a few flaws in the Methods making necessary much reading between the lines. More detailed is needed to add clarity so that the authors can reach a wider audience, for example:

- In the experiment, the authors stated "For each genotype, 1200 were planted..." but the experimental setting of Figure S2 doesn't match this. Based on that figure, it looks like the authors have a block design where they used all genotypes x 6 irrigation treatments x 5 replicates, replicating each genotype per block to ensure they can measure all traits. It took a while to figure this out. So there are a total of 10 plants per genotype and treatment, not 100 as it sounded from the initial description. Unless I'm wrong. Please clarify.
- I am confused with the soil moisture estimate, as it appears to be a function of multiple measures (pot weight in day d, weighed dry soil, and field capacity). But if pot weight is used to estimate soil moisture, moisture is somehow influenced by how genotypes used hydric resources right? In other words, they predict traits as a function of how hydric resources are consumed under different drought regimes right?
- Use of model selection to predict traits as a function of genotype, linear, and non-linear soil moisture. It would be good to provide more details on model selection. In general, people usually report AIC values of models to show what's the best model. It is then confusing to also add p values, as opposed to the coefficients used to build the function to predict the trait, which by the way would be useful for other authors. Those coefficients are actually more informative than p values. I suppose that it would be impractical in terms of an appendix to add details of model selection for every single trait.
- The methods on plasticity through multidimensional trait space are quite obscure, please provide more details as much reading between the lines is necessary to follow this section.

The results are not easy to follow so it would be good to add clarity. I have picked up a few issues:

2nd paragraph: Be consistent with the use of acronyms in the text. For example, (...) in leaf relative water content (RWC). This will facilitate your readership to follow the storyline.

Figure 2 represents variation in phenotypic responses ranging between 25% to 100%, and the 10% tail is not represented. Also, make sure that you specify the color code in all your figures

3rd paragraph, description of non-linearity in trait responses: for a few traits, the best model based on AIC does not include the E term, although not all the traits in that situation are included in the description of the results, and it is unclear why do you add the E term in models when it does not seem to be important to predict some traits. I am also confused with the results when looking at Table 1 and Figure 2, e.g. C content in *B. sylvaticum* does not seem to be predicted by any non-linear term, and yet figure 2h shows a non-linear quadratic response, stronger than other traits with a detected quadratic response according to model selection, or even for *B. distachyon* with a model with quadratic E terms. This does not make sense and perhaps the authors should revise their models. This happens for several other traits. It is also unclear how you used model selection. You seem to use both AIC of the model and p values per term in your model, some of which are not statistically significant ($p > 0.05$) and I would imagine that in those cases including or including not those terms would not make any difference (as based on AIC values). So please clarify the logic to create the traits as a function of parameters, because not always you seem to use the best model as defined by model selection.

4th paragraph, PCA results. I am somewhat confused here. Looking at figures 3b and 3c it does not look as if *B. sylvaticum* (yellow) displayed similar phenotypes at the extreme of the gradients but in *B. distachyon* (blue). For the species coded in blue, it appears that response presents more variation in PC2 and 3 within genotype. So perhaps the authors need to improve the narrative of the explanation to better reflect the results of the PCA. It's unclear whether they refer to within genotypes or, in general, among genotypes.

5th paragraphs, results on significant interaction between genotype and non-linear predictors. It's confusing to use p values and model selection at the same time. In essence, with model selection you look at what model explains best the data collected, and so in some cases, the interaction term is important as it gives the model with the lowest AIC value. But most of the traits did not show that interaction effect term between G x E (be E either linear, quadratic or second degree natural spline parameter). I wonder whether that's a limitation of your experiment: you simply screen 5 genotypes per species.

I find that the discussion does not really answer the questions of the manuscript. The scope is to study plasticity and covariance along an environmental gradient, but this does not seem to be discussed well. The core of the manuscript is paragraph 4th of the introduction, and I was expecting a discussion around this. So yes, we learn that we can predict a trait as a function of environmental factors (with some limitations as to how many genotypes can we include), and that non-linear relationships are important. But the implications to predict how traits evolve and how phenotypes evolved are poorly discussed. The authors identified really interesting trends on plasticity and evolvability, with the two species showing opposite response to soil moisture, which somewhat seems to reflect life-history traits and the geographic and climatic conditions in which the two species evolved. So while the authors looked at specific traits, the discussion needs to develop more in the context of how phenotypes respond to the environmental gradient, from individual traits (somehow done) but also as complex phenotypes, otherwise the multivariate methods seem pointless.

The paragraph on implications for breeding drought adaptation was not expected. I grant you that your work is important for crop

The paragraph on implications for breeding drought adaptation was not expected. I grant you that your work is important for crop breeding, but I don't think it deserves a paragraph. After all, no mention of crop breeding was done in your aims.

There are a few typos in the text (e.g. it's when it should be its, changes in verb tense) but I guess the authors can fix this in the new version. A few more comments have been added to the text. I might sound very critical in my review, but I really enjoyed your manuscript and it is inspirational.

End of review

[Download the review \(PDF file\)](#)

