

NOTES ON THE PROJECTIVE ORDINALS

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1. INTRODUCTION

Definition 1 (Projective ordinal). For each $n < \omega$ we set

$$\delta_n^1 = \{\alpha : \alpha \text{ is the length of a } \Delta_n^1\text{-prewellordering of } {}^\omega\omega\}$$

The main goal of these notes is to establish:

Fact 2. *For any $n < \omega$.*

- (1) δ_n^1 is a regular, measurable cardinal;
- (2) $\delta_{2n+2}^1 = (\delta_{2n+1}^1)^+$;
- (3) $\delta_{2n+1}^1 = \kappa_{2n+1}^+$, where κ_{2n+1} is a cardinal of cofinality ω ;

First we recall the Coding Lemma, which is one of the main instruments we employ here. We use the following useful statement as it was given in [Kec11], a more general statmement can be found in [Jac10].

Definition 3. Let \leq be a prewellordering of (a subset of) the reals with $|\leq| = \xi$ and the corresponding norm $\varphi : \text{Field}(\leq) \rightarrow \text{Ord}$ and let $f : \xi \rightarrow P({}^\omega\omega)$ be a function. Then

$$\text{Code}(f, \leq) = \{(a, b) \in {}^\omega\omega \times {}^\omega\omega : a \in \text{Field}(\leq) \wedge b \in f(\varphi(a))\}$$

Similarly, if $A \in {}^n\xi$, then

$$\text{Code}(A, \leq) = \{\vec{a} \in {}^n({}^\omega\omega) : (\varphi(a_0), \dots, \varphi(a_{n-1})) \in A\}$$

Fact 4 (The Coding Lemma; Moschovakis). *Let \leq be a δ_n^1 prewellordering of a subset of ${}^\omega\omega$ of length ξ , and let $f : \xi \rightarrow P({}^\omega\omega)$ be some function. Then there is a function $g : \xi \rightarrow P({}^\omega\omega)$ such that $\text{Code}(g, \leq)$ is Σ_n^1 such that $\forall \eta < \xi (g(\eta) \subset f(\eta))$ and $\forall \eta < \xi (f(\eta) \neq \emptyset \rightarrow g(\eta) \neq \emptyset)$, we call such function a choice subfunction.*

Proof. See [Kec11]. □

Corollary 5. *If \leq is a Δ_n^1 prewellordering of a subset of the reals with $|\leq| = \xi$ and the corresponding norm $\varphi : \text{Field}(\leq) \rightarrow \text{Ord}$. Then for any $A \subset {}^m\xi$, $\text{Code}(A, \leq)$ is Δ_n^1 .*

Proof. For simplicity assume $m = 1$. We pick two distinct reals a_0, a_1 and let

$$f : \xi \rightarrow P({}^\omega\omega) : \eta \mapsto \begin{cases} \{a_0\}, & \text{if } \eta \in A; \\ \{a_1\}, & \text{otherwise;} \end{cases}$$

By The Coding Lemma there is a choice subfunction $g : \xi \rightarrow P({}^\omega\omega)$ of f with $\text{Code}(g, \leq) \in \Sigma_n^1$, in fact the only choice subfunction of f is f and so $\text{Code}(f, \leq) \in \Sigma_n^1$. It follows that

$$\varphi(a) \in A \iff (a_0, a) \in \text{Code}(f, \leq) \iff a \in \text{Field}(\leq) \wedge (a_1, a) \notin \text{Code}(f, \leq).$$

□

Fact 6. *For each $n < \omega$, δ_n^1 is a cardinal.*

Proof. Assume there is a bijection $f : \xi \rightarrow \delta_n^1$. For $\alpha, \beta < \xi$ we let $\alpha <_* \beta$ if $f(\alpha) < f(\beta)$. Let \prec be a Δ_n^1 -prewellordering of ${}^\omega\omega$ of rank ξ . Let $F : {}^\omega\omega \rightarrow \xi$ be the corresponding norm. We let $P \subset {}^\omega\omega \times {}^\omega\omega$ be such that $P(x, y)$ if and only if $F(x) <_* F(y)$. Obviously P yields a prewellordering of the reals of length δ_n^1 . By the Coding Lemma there a Δ_n^1 choice subfunction Q that gives Δ_n^1 prewellordering of length δ_n^1 . A contradiction. □

1.1. Successors. The goal of this subsection is to prove the second clause of the theorem.

Definition 7. Let $A \subset {}^\omega\omega$, we call a map $\varphi : A \rightarrow \text{Ord}$ a *norm*. The length of φ is the length of the prewellordering on A induced by φ . We say that φ is a Γ -norm if \leq_φ^* and $<_\varphi^*$ are in Γ , i.e.

$$a \leq_\varphi^* b \iff a \in A \wedge [b \notin A \vee \varphi(a) \leq \varphi(b)]$$

$$a <_\varphi^* b \iff a \in A \wedge [b \notin A \vee \varphi(a) < \varphi(b)]$$

If any $A \in \Gamma$ has a Γ -norm, we say that Γ has the *prewellordering property*.

Fact 8 (Martin). *For all $n \geq 0$, $\Pi_{2n+1}^1, \Sigma_{2n+2}^1$ have the scale and the prewellordering property, whereas $\Pi_{2n+2}^1, \Sigma_{2n+1}^1$ do not.*

Definition 9. Let $A, B \subset {}^\omega\omega$, we say that $A \leq_W B$ if there is a continuous function $f : {}^\omega\omega \rightarrow {}^\omega\omega$, such that for all $x \in {}^\omega\omega$, $x \in A \leftrightarrow f(x) \in B$.

Fact 10 (AD). *For any $A, B \subset {}^\omega\omega$, either $A \leq_W B$ or $B \leq_B {}^\omega\omega \setminus A$.*

Proof. For $A, B \subset {}^\omega\omega$ we define a game $G_W(A, B)$, where Player I plays a real x , Player II plays a real y . II wins if $x \in A \leftrightarrow y \in B$. If II has a winning strategy τ , then we have $\tau(x) \in B \leftrightarrow x \in A$, and so $A \leq_W B$. Otherwise, let σ be a winning strategy for I, then $y \in B \leftrightarrow \sigma(x) \notin A$ and so $B \leq_W {}^\omega\omega \setminus A$. □

Corollary 11. *For any closed under continuous preimages Γ , any $A \in \Gamma \setminus \Delta$ is Γ -complete.*

Theorem 12 (Moschovakis). *If φ is a Π_{2n+1}^1 -norm on a Π_{2n+1} -set, then the length of φ is δ_{2n+1}^1 .*

Definition 13. A *scale* is on a set $A \subset {}^\omega\omega$ is a sequence of norms $\{\varphi_i\}_{i<\omega}$ on A such that for every sequence $\{a_i\}_{i<\omega} \in {}^\omega A$, if

- (1) $\lim_{i \rightarrow \infty} a_i = a$, and
- (2) for each n there is an ordinal λ_n such that $\varphi_n(a_i)$ is eventually λ_n .

Then $a \in A$ and for all n , $\varphi_n(a) \leq \lambda_n$. The scale $\{\varphi_i\}_{i<\omega}$ is a λ -scale if $|\varphi_i| < \lambda$ and it is a Γ -scale if $<_{\varphi_n}^*$ and $\leq_{\varphi_n}^*$ as predicates on $\omega \times {}^\omega\omega \times {}^\omega\omega$ are in Γ .

Definition 14. Let X be a set we say that $T \subset {}^{<\omega}X$ is a *tree* if it is closed under initial segments, namely $s \in T$ implies $s \upharpoonright n \in T$ for any $n < |s|$. We say that $b \in {}^\omega X$ is a branch through T , if for all $n < \omega$, $b \upharpoonright n \in T$, we denote by $[T]$ the set of all branches through T . If $[T]$ is empty, we say that T is wellfounded, we say that T is illfounded otherwise. Let T be a tree on $\omega \times X^1$, *projection of the tree T* is the set $p[T] = \{a \in {}^\omega\omega : \exists b \in {}^\omega X, (a, b) \in [T]\}$.

If $\{\varphi_i\}_{i<\omega}$ is a λ -scale on A , then we define the *tree assoated with the scale* is the tree on $\omega \times \lambda$ defined by

$$((k_0, \dots, k_n), (\xi_0, \dots, \xi_n)) \in T \iff \exists a \in A, \forall i \leq n (a(i) = k_i \wedge \phi_i(a) = \xi_i)$$

Fact 15. For A, T , as above $p[T] = A$.

Proof. Obviously, $A \subset p[T]$. Now for $a \in p[T]$ we let $f : \omega \rightarrow \lambda$ such that $(a, f) \in [T]$. Thence $\forall n, (a \upharpoonright n, f \upharpoonright n) \in T$, which is witnessed by a sequence a_n that witnesses $a \in A$. \square

Definition 16. $A \subset {}^\omega\omega$ is λ -Suslin, if there is T on $\omega \times \lambda$ such that $A = p[T]$

Note that if a set has a λ -scale, then it is λ -Suslin.

Fact 17. For each $n < \omega$,

- every Σ_{2n+2}^1 -set is δ_{2n+1}^1 -Suslin;
- every Σ_{2n+1}^1 -set is κ_{2n+1} -Suslin, where κ_{2n+1} is a cardinal below δ_{2n+1}^1 .

Proof. Let $\langle \cdot, \cdot \rangle : \omega \times \kappa \rightarrow \kappa$ be a bijective coding of pairs with decoding functions $(\cdot)_0$ and $(\cdot)_1$. Then if $B \subset {}^\omega\omega \times {}^\omega\omega$ is κ -Suslin, we have a tree T on $\omega \times \omega \times \omega \times \kappa$ such that $B = p[T]$ but, let $A = \{a : (a, b) \in B\}$ and let $(s, t) \in T'$ if and only if $(s, [t]_0, [t]_1) \in T$, then $A = p[T']$ and so A is κ -Suslin as well. Since Π_{2n+1}^1 has the scale property, it is δ_{2n+1}^1 -Suslin. Thus, by the previous observation, Σ_{2n+2}^1 is δ_{2n+1}^1 -Suslin.

For the second claim, we show that every Π_{2n}^1 -set is κ -Suslin for some fixed $\kappa < \delta_{2n+1}^1$. Take A to be a Π_{2n}^1 -complete and let $\{\varphi_n\}_{i<\omega}$ be a Π_{2n+1}^1 scale on A . $|\varphi_n| < \delta_{2n+1}^1$. Note that δ_{2n+1}^1 is of uncountable cofinality and so $\{\varphi_n\}_{n<\omega}$ is a $< \delta_{2n+1}^1$ scale, thus A is $< \delta_{2n+1}^1$ -Suslin. \square

Definition 18. We let \mathbf{B}_λ denote the set of sets of reals containing all open sets, closed under complements and closed under $< \lambda$ well-ordered unions. We say that A is λ -Borel, if $A \in \mathbf{B}_\lambda$.

Fact 19 (Separation of Suslin sets). *If $A, B \subset {}^\omega\omega$ are κ -Suslin and disjoint, then there is a κ^+ -Borel set C that separates them.*

¹We usually construe trees on the products as sets of pairs of tuples instead of tuples of pairs.

Proof. Let T, S be such that $A = p[T], B = p[S]$, we define the tree U by letting $(s, u, v) \in U$ if and only if $(s, u) \in T \wedge (s, v) \in S$. Since A and B were taken to be disjoint, U is well-founded. We define $C_{s,u,v}$ by induction as a set that separates $A_{s,u}$ and $B_{s,v}$, where

$$A_{s,u} = \{a \supset s : \exists f \supset u, (a, f) \in T\}$$

$$B_{s,v} = \{a \supset s : \exists f \supset v, (a, f) \in S\}$$

Note that $A_{s,u} = \bigcup_{n,\xi} A_{s \smallfrown n, u \smallfrown \xi}$ and $B_{s,v} = \bigcup_{n,\eta} B_{s \smallfrown n, v \smallfrown \eta}$ and obviously $A_{\emptyset, \emptyset} = A, B_{\emptyset, \emptyset} = B$. That is if we take $D_{n,m,\xi,\eta}$ to be a set that separates $A_{s \smallfrown n, u \smallfrown \xi}$ and $B_{s \smallfrown m, v \smallfrown \eta}$, Then, readily $C_{s,u,v} = \bigcup_{n,\xi} \bigcap_{m,\eta} D_{n,m,\xi,\eta}$ separating $A_{s,u}$ and $B_{s,v}$.

Assume now that we have defined $C_{s \smallfrown n, u \smallfrown \xi, v \smallfrown \eta}$, for each $(s \smallfrown n, u \smallfrown \xi, v \smallfrown \eta) \in U$. Note that U is well-founded, hence induction can be applied, where the base case is straightforward by the definition of U .

Case I: $n = m$ and $(s \smallfrown n, u \smallfrown \xi, v \smallfrown \eta) \in U$. Then, $D_{n,m,\xi,\eta} = C_{s \smallfrown n, u \smallfrown \xi, v \smallfrown \eta}$.

Case II: $n = m$ and $(s \smallfrown n, u \smallfrown \xi, v \smallfrown \eta) \notin U$, this means that $A_{s \smallfrown n, u \smallfrown \xi} = \emptyset$ or $B_{s \smallfrown n, v \smallfrown \eta} = \emptyset$, so they can be trivially separated.

Case III. $n \neq m$. In this case they can be separated by an open set $U_{s \smallfrown n}$.

That is, C is obtained by less than κ^+ intersections and unions of open sets. \square

Corollary 20. *If A and ${}^\omega\omega \setminus A$ are κ -Suslin, then $A \in \mathbf{B}_{\kappa^+}$.*

Fact 21. *For all $n < \omega$, $\mathbf{B}_{\delta_{2n+1}^1} = \Delta_{2n+1}^1$*

Proof. $\Delta_{2n+1}^1 \subset \mathbf{B}_{\delta_{2n+1}^1}$ follows from the fact that any Δ_{2n+1}^1 set is $\kappa_{2n+1}(< \delta_{2n+1}^1)$ -Suslin, thus it is enough to show that Δ_{2n+1}^1 is closed under $< \delta_{2n+1}^1$ unions. For the sake of contradiction we assume there is some sequence $\{A_\xi\}_{\xi < \theta}$ such that $A = \bigcup_{\xi < \theta} A_\xi \notin \Delta_{2n+1}^1$, where $\theta < \delta_{2n+1}^1$, thus there is a Δ_{2n+1}^1 prewellordering \leq of the reals with the corresponding norm φ . We may assume that the sequence is monotonous and continuous. Note that $a \in A$ if and only if there is $\xi < \theta$ such that $a \in A_\xi$. Let $f : \theta \rightarrow P({}^\omega\omega) : \xi \mapsto \{a \in {}^\omega\omega : a \text{ is a } \Delta_{2n+1}^1 \text{ code for } A_\xi\}$, which means $(a)_0$ and $(a)_1$ are Σ_{2n+1}^1 and Δ_{2n+1}^1 codes for A_ξ . Generally, if y is a Δ_{2n+1}^1 code for a set B , we write Δ_y for this B . Let g be a Σ_{2n+1}^1 choice subfunction of f . Now we have $a \in A \iff \exists x, \exists y((x, y) \in \text{Code}(g, \leq) \wedge a \in \Delta_y)$, thus A is Σ_{2n+1}^1 , indeed it is Σ_{2n+1}^1 complete, by the Wadge Lemma.

Let $\psi(a)$ be the unique ξ such that $a \in A_{\xi+1} \setminus A_\xi$. We claim that it is a Σ_{2n+1}^1 norm. Indeed, $a \leq_\psi^* b$ if and only if $(a, b) \in \bigcup_{\xi, \theta} (A_{\xi+1} \times A_{\xi+1} \setminus A_\xi) \iff \exists x, y((x, y))$ as well as $a <_\psi^* b$ if and only if $(a, b) \in \bigcup_{\xi, \theta} (A_\xi \times A_{\xi+1} \setminus A_\xi)$. Both definitions are Σ_{2n+1}^1 . Thus, we obtained a Σ_{2n+1}^1 norm on a Σ_{2n+1}^1 -complete set, which contradicts with the fact that Σ_{2n+1}^1 does not have the prewellordering property. \square

Definition 22. If T is a tree on a set X and $u \in X^{<\omega}$, then we let $T_u = \{v \in X^{<\omega} : u \smallfrown v \in T\}$. If T is a tree on $\omega \times X$ and $a \in {}^\omega\omega$, we let $T(a) = \{u \in {}^{<\omega}X : (a \restriction |u|, u) \in T\}$.

For a tree J , we write $|J| < \eta$ if J is well-founded and has rank $< \eta$.

Fact 23 (Sierpinski). *If $A \subset {}^\omega\omega$ is κ -Suslin then $A \in \mathbf{B}_{\kappa^{++}}$*

Proof. Let T be a tree on $\omega \times \kappa$ such that $A = p[T]$. For each $\xi < \kappa^+$ and $u \in \kappa^{<\omega}$ we let

$$A_u^\xi = \{a : |T(a)_u| < \xi\}$$

Now note that if $|u| = n$, then

$$A_u^0 = \{a : (a \restriction n, u) \notin T\}$$

$$A_u^{\xi+1} = A_u^\xi \cup \bigcup_{\eta < \kappa} A_{u \smallfrown \eta}^\xi$$

and

$$A_u^\lambda = \bigcup_{\xi < \lambda} A_u^\xi$$

Thus, each of these sets are in \mathbf{B}_{κ^+} and so

$$a \notin A \iff a \notin p[T] \iff \text{wf}(T(a)) \iff \exists \xi < \kappa^+ |T(a)| = \xi \iff \exists \xi < \kappa^+, a \in A_\emptyset^\xi$$

□

Fact 24. *If A is κ -Suslin and $\text{cof}(\kappa) > \omega$, then $A \in \mathbf{B}_{\kappa^+}$*

Proof. The proof is similar to the previous one. Note that $a \in A \iff \neg \text{wf}(T(a)) \iff \exists \xi < \kappa$ such that $T(a)^\xi$ is not well-founded. Where T^ξ is T restricted to ordinals $< \xi$. The one can apply the argument from the previous proof to T^ξ . □

Fact 25. *For all $n < \omega$, $\delta_{2n+1} = \kappa_{2n+1}^+$, where κ_{2n+1}^+ is a cardinal of countable cofinality.*

Proof. Let κ_{2n+1} be the least κ such that every Σ_{2n+1}^1 is κ -Suslin. Assume $(\kappa_{2n+1})^{++} \leq \delta_{2n+1}^1$, then every Σ_{2n+1}^1 set is $\mathbf{B}_{\delta_{2n+1}^1}$, then it is Δ_{2n+1}^1 , a contradiction. Assume now that the cofinality of κ_{2n+1} is uncountable, then by 24 we have every Σ_{2n+1}^1 set is $\mathbf{B}_{\kappa^+} = \Delta_{2n+1}^1$, a contradiction. □

Fact 26 (Kunen-Martin). *If $\prec \subset {}^\omega \omega \times {}^\omega \omega$ is wellfounded and admits a κ -scale, then $|\prec| < \kappa^+$.*

In fact this holds if we assume \prec is κ -Suslin, but we stick to the weaker statement fact since it is enough for our goals and the proof is a bit nicer in this case, for the more general version, see [Kec11].

Proof. First we define a tree on ${}^\omega \omega$ given by the set of all \prec descending sequences:

$$T_\prec = \{(a_0, \dots, a_n) : a_0 \succ \dots \succ a_n\}$$

One can see by induction that

$$|a|_\prec = |(a_0, \dots, a_n, a)|_{T_\prec}$$

this implies, $|\prec| \leq |T|$. We construct an order preserving embedding $f : T \rightarrow S$, where S is a well-founded tree on $\omega \times \omega \times \lambda$. This would be enough to see that $|\prec| \leq \kappa^+$ since so is $|S|$.

Let $\{\varphi_i\}_{i < \omega}$ be a κ -scale on \prec , for $a \succ b$ then we let

$$\psi_n(a, b) = \ulcorner a_0, b_0, \varphi_0(a, b), \dots, a_n, b_n, \varphi_n(a, b) \urcorner$$

Then if the sequences $\{a^i\}_{i < \omega}, \{b^i\}_{i < \omega}$, $a^i \succ b^i$ for each i and for all n , $\psi_n(a^i, b^i)$ is eventually constant, then the limit (a, b) of $\{(a_i, b_i)\}_{i < \omega}$ exists and $a \succ b$.

We let now

$$\begin{aligned}
f(\langle \rangle) &= \langle \rangle \\
f(\langle a^0 \rangle) &= \langle \rangle \\
f(\langle a^0, a^1 \rangle) &= \langle \psi_0(a^0, a^1) \rangle \\
f(\langle a_0, a_1, a_2 \rangle) &= \langle \psi_0(a_0, a_1), \psi_1(a_0, a_1), \psi_1(a_1, a_2), \psi_0(a_1, a_2) \rangle, \\
&\dots \\
f(\langle a_0, \dots, a_{n-1}, a_n \rangle) &= f(\langle a_0, \dots, a_{n-1} \rangle) \frown \\
&\frown \langle \psi_{n-1}(a_0, a_1), \psi_{n-1}(a_1, a_2), \dots, \psi_{n-1}(a_{n-1}, a_n) \rangle, \\
&\psi_{n-2}(a_{n-1}, a_n), \dots, \psi_0(a_{n-1}, a_n) \rangle.
\end{aligned}$$

Now letting S be the downward closure of the image of f , we have $f : T_{\prec} \rightarrow S$ is order preserving. It is left to show that S is well-founded. Assume not, then there is a sequence:

$$f(\langle a_0^0 \rangle) \sqsubset f(\langle a_0^1, a_1^1 \rangle) \sqsubset f(\langle a_0^2, a_1^2, a_2^2 \rangle) \sqsubset \dots$$

which means by the definition of f , that we have:

$$\begin{array}{ccccccc}
\psi_0(a_0^1, a_1^1) & & & & & & \\
\psi_0(a_0^2, a_1^2) & \psi_1(a_0^2, a_1^2) & \psi_1(a_1^2, a_2^2) & \psi_0(a_1^2, a_2^2) & & & \\
\psi_0(a_0^3, a_1^3) & \psi_1(a_0^3, a_1^3) & \psi_1(a_1^3, a_2^3) & \psi_0(a_1^3, a_2^3) & \psi_2(a_0^3, a_1^3) & \dots & \\
\vdots & \vdots & \vdots & \vdots & \vdots & &
\end{array}$$

Here, each column converges to a pair $a_i \succ a_{j+1}$, yielding an infinite \prec -descending sequence of reals.

Fact 27. For all $n < \omega$, $\delta_{2n+2}^1 = (\delta_{2n+1}^1)^+$

Proof. Take a Π_{2n+1}^1 -norm on a Π_{2n+1}^1 set φ , we have $lh(\varphi) = \delta_{2n+1}^1$ and since $\Pi_{2n+1}^1 \subset \Delta_{2n+1}^1$, we have $\delta_{2n+1}^1 < \delta_{2n+2}^1$ and $(\delta_{2n+1}^1)^+ \leq \delta_{2n+2}^1$. Since every Σ_{2n+2}^1 (in particular Δ_{2n+2}^1) relation is δ_{2n+1}^1 -Suslin, we have $(\delta_{2n+1}^1)^+ \geq \delta_{2n+2}^1$. \square

Corollary 28. For each $n < \omega$, $\delta_n^1 < \delta_{n+1}^1$.

Proof. If $n = 2n + 1$, then it follows from the previous fact. Otherwise we know that δ_{2n+1}^1 is a successor of a cardinal of cofinality ω , who cannot be a projective one. \square

Corollary 29. For all $n < \omega$,

$$\delta_n^1 = \{\xi : \xi \text{ is a } \Sigma_n^1 \text{ prewellordering}\}$$

Proof. For odd n , Σ_n^1 is κ_n -Suslin and for even it is δ_{n-1}^1 -Suslin, thus its length is below δ_n^1 by Kunen-Martin Theorem. \square

Corollary 30. $\delta_1^1 = \omega_1$ and $\delta_2^1 = \omega_2$.

2. REGULARITY OF THE PROJECTIVE ORDINALS

Fact 31. For each $n < \omega$, δ_n^1 is regular

Proof. Assume there is a cardinal $\lambda < \delta_n^1$ such that there is a cofinal in δ_n^1 sequence $\{\xi_i : i < \lambda\}$. Let \prec_i be a Δ_n^1 prewellordering of the reals of length ξ_i and \prec' of length λ and φ_i, φ' be the corresponding norms. We set:

$$f : \lambda \rightarrow P({}^\omega\omega) : i \mapsto \{a : a \text{ is a code for a well-founded relation of length } \xi_i\}$$

It follows from The Coding Lemma that there is a choice subfunction g of f with $\text{Code}(g, \prec')$ being Σ_n^1 . We let $<$ be a relation on ${}^\omega\omega \times {}^\omega\omega \times {}^\omega\omega$ defined by $(a, b, c) < (a', b', c')$ if $a = a'$, $b = b'$, $b = g(a)$ and $(c, c') \in W_b$. Thus, we obtained a Σ_n^1 prewellordering of length δ_n^1 which contradicts Corollary 29. \square

3. MEASURABILITY

In this section we give a full proof of measurability of ω_1 witnessed by the club filter, whereas for an arbitrary δ_n^1 , we define a filter and show that it is actually a $< \delta_n^1$ -complete non-principle ultrafilter, which is actually the ω -club filter, i.e. a set A is in the filter if and only if there is an unbounded ω -closed subset $C \subset A$. This section lacks a proof of normality of the measure. In the next section we show measurability of all regular cardinals under \aleph_{ϵ_0} using the fact that δ_{2n+1}^1 has the strong partition property.

Note that the facts in this section we cannot simply refer to the completeness and the normality of club filters, because those proofs employ AC to choose club subsets of sets in the filter.

3.1. The Case of ω_1 . First we outline a game on the countable ordinals to give intuition behind the proof. Fix $A \subset \omega_1$. We let I and II produce sequences of ordinals $\{\alpha_i : i < \omega\}$ and $\{\beta_i : i < \omega\}$ respectively with $\alpha_0 < \beta_0 < \dots < \alpha_i < \beta_i < \dots$ and if a player violates this monotonicity requirement, they instantly loose. Otherwise, we let II win if $\sup\{\alpha_i, \beta_i : i < \omega\} \in A$. Note that if A contains a club C , then II can simply play ordinals from C . If II has a winning strategy τ , then for each η we define $\xi_\eta = \sup\{\tau(\alpha_0, \beta_0, \dots, \alpha_i, \beta_i) : \alpha_0 < \beta_0 < \dots < \alpha_i < \beta_i < \eta\}$. Since ξ_η has countable cofinality, the function $f : \omega_1 \rightarrow \omega_1 : \eta \rightarrow \xi_\eta$ is well-defined and unbounded, and so the set $C = \{\alpha : \beta < \alpha \rightarrow f(\beta) < \alpha\}$ is a club and for each $\gamma \in C$, I can legitimately play a cofinal in γ sequence when II play according to τ , thus witnessing $\gamma \in A$ and so $C \subset A$. If the game was determined, it would simply follow that the club filter on ω_1 is a measure (using AC_ω). Now we have to encode this game to be a game on the natural numbers.

Definition 32. For $a \in {}^\omega\omega$, we let $R_a = \{(m, n) \in \omega \times \omega : a(\ulcorner m, n \urcorner) = 0\}$. Then $\text{WO} = \{a \in {}^\omega\omega : R_a \text{ is a wellordering}\}$, also for an $\alpha < \omega_1$, we let $\text{WO}_{\leq \alpha} = \{a \in {}^\omega\omega : R_a \text{ is a wellordering of length } \leq \alpha\}$.

Fact 33. WO is Π_1^1 -complete and $x \mapsto |R_x|$ is a Π_1^1 -norm (of length ω_1). And for each countable ordinal α , $\text{WO}_{\leq \alpha}$ is Borel.

Fact 34 (Boundedness lemma). *If $A \subset \text{WO}$ is analytic, then $A \subset \text{WO}_{\leq \alpha}$ for some countable α .*

Proof. A particular case of Corollary 29. \square

Fact 35 (Basic Coding Lemma; Solovay). *Assume $Z \subset \text{WO} \times {}^\omega\omega$, then there is a Σ_2^1 choice subset Z' of Z . Moreover, there is $X \subset {}^\omega\omega \times {}^\omega\omega$ which is Σ_1^1 and $Z' = X \cap (\text{WO} \times {}^\omega\omega)$.*

In the rest of the subsection we prove:

Fact 36 (Solovay). *AD implies that ω_1 is measurable as witnessed by the club filter.*

Definition 37. Fix $A \subset \omega_1$, we let $G(A)$ be the following game: I produces a real a which is construed as a sequence of reals $\{a_i\}_{i < \omega}$ and II plays a real which is construed as a sequence of reals $\{b_i\}_{i < \omega}$, we let II win if

- (1) $\exists i < \omega, a_i \notin \text{WO} \vee b_i \notin \text{WO}$ implies the smallest such i is such that $a_i \notin \text{WO}$, or
- (2) there is $i < \omega, |x_j| < |y_j| < |x_{j+1}|$ for all $j < i$ and $|x_{j+1}| \geq |y_{j+1}|$, or
- (3) $\forall i < \omega, a_i, b_i \in \text{WO}$ and $\sup\{|a_i|, |b_i|\}_{i < \omega} \in A$.

The first two conditions are needed to simply force the players to play an increasing sequence of ordinals.

Claim 38. *II has a winning strategy if and only if A contains a club as well as I has a winning strategy if and only if A is disjoint from a club. Consequently, the club filter on ω_1 is an ultrafilter.*

Proof. The 'as well as' part follows from the symmetry of the game. Assume now that II have a winning strategy τ , then we let

$$A_\alpha = \{\tau(a)_n : a \in {}^\omega\omega, \forall i < n, a_i \in \text{WO}_{<\alpha}\}$$

be a set of reals coding wellorderings occurring as a τ -response to a partial play where only reals coding ordinals $< \alpha$ were previously involved. Note that A_α is analytic. By the Boundedness Lemma, there is the smallest b_α such that for each $b \in A_\alpha$, $|b| < |b_\alpha|$, let $f : \omega_1 \rightarrow \omega_1 : \alpha \rightarrow |b_\alpha|$, it is obviously unbounded and hence the set of its fixed point C is a club. It follows if I plays any sequence from C the result end up in C , hence $C \subset A$. Similarly, if I has a winning strategy, there is a club disjoint from A . \square

Using AC_ω we can easily obtain the completeness of the filter.

Fact 39. *The club filter on ω_1 is normal.*

Proof. Let $f : \omega_1 \rightarrow \omega_1$ be a regressive function and assume that filter is not normal, thus there is a sequence of measure one sets $\{A_\xi : \xi < \omega_1\}$ with $A_\xi = \{\alpha < \omega_1 : f(\alpha) \neq \xi\}$ for each $\xi < \omega_1$. We aim to define a sequence of countable ordinals η_i for all $i < \omega$ such that $\sup_{i < \omega} \eta_i = \eta$, a sequence of collection of strategies $\{X_i : i < \omega\}$ such that X_i contains a strategy for $G(A_\xi)$ for each $\xi \in [\eta_{i-1}, \eta_i)$, where $\eta_{-1} = 0$ and a and $\alpha_i \in \bigcap_{\beta < \alpha_i} A_\beta$, thus $\alpha \in \bigcap A_\alpha$. Let

$$Z = \{(\sigma, x) : \sigma \text{ is a w.s. in the game } G(A_{|x|})\} \subset \text{WO} \times {}^\omega\omega$$

By The Coding Lemma, there is a choice subset $Z' \subset Z$ of complexity Δ_2^1 . In fact, by The Basic Coding lemma, there is $X \subset {}^\omega\omega \times {}^\omega\omega$ with is Σ_1^1 and $X \cap (\text{WO} \times {}^\omega\omega) = Z'$, note that $X_\alpha = X \cap (\text{WO}_{<\alpha} \times {}^\omega\omega)$ is Σ_1^1 as well. The following argument does not require AD anymore, but requires DC, thus we can pick a real t such that X is $\Sigma_1^1(t)$, then $X \cap L[t] = X' \in L[t]$ and by Σ_1^1 -correctness.

Let η_0 be some ordinal and let

$$Z_0 = \{\tau : \tau \text{ is a winning strategy against } A_{|x|}, x \in \text{WO}_{<|\eta|}\}$$

is Σ_1^1 as basically being the projection of $X_{|\eta_0|}$. Hence, there is a bound η_1 for the set $\{(\tau(a))_0 : \tau \in Z_0 \wedge a \in {}^\omega\omega\} \subset \text{WO}$, proceeding inductively we get a sequence

of η_i which played against II in the games $G(A_\beta)$ for $\beta < \eta$ witnesses that $\eta \in A_\beta$ for all $\beta < \eta$. We get:

$$\begin{aligned} \eta_0 < \sup\{|\tau_\xi(a)_0|\}_{a \in {}^\omega \omega, \xi < \eta_0} < \quad \eta_1 < \sup\{|\tau_\xi(a)_1|\}_{a \in {}^\omega \omega, \xi < \eta_0} < \quad \eta_2 < \sup\{|\tau_\xi(a)_2|\}_{a \in {}^\omega \omega, \xi < \eta_0} \cdots \\ \eta_1 < \sup\{|\tau_\xi(a)_0|\}_{a \in {}^\omega \omega, \eta_0 \leq \xi < \eta_1} < \quad \eta_2 < \sup\{|\tau_\xi(a)_1|\}_{a \in {}^\omega \omega, \eta_0 \leq \xi < \eta_1} \cdots \\ \eta_2 < \sup\{|\tau_\xi(a)_0|\}_{a \in {}^\omega \omega, \eta_1 \leq \xi < \eta_2} \cdots \\ \cdots \end{aligned}$$

Let $\{\eta_{i+1}, \eta_{i+2}, \dots\}$ be a play of I, then it is a legal play against τ_ξ for any $\eta_i < \xi < \eta_{i+1}$, hence $\eta = \sup\{\eta_i\}_{i < \omega} \in \bigcap_{\xi < \eta} A_\xi$. \square

3.2. The measurability of projective ordinals above ω_1 . In this section we are to show that there exists a δ_n^1 -complete non-principle ultrafilter on δ_n^1 for $n > 1$. We also show that it is normal in the next section using the fact that δ_{2n+1}^1 is a strong partition cardinal.

Definition 40. Let $W \subset ({}^\omega \omega)^3$ be Σ_n^1 universal and let $S = \{a : W_a \text{ is a wf binary relation}\}$. Let $|a| = lh(W_a)$. Thus, $\delta_n^1 = \sup\{|a| : a \in S\}$. For $A \subset \delta_n^1$ consider the game G^A . Player I plays a and II plays b (who are construed as sequences $\{a_i\}_{i < \omega}$ and $\{b_i\}_{i < \omega}$ respectively) and II wins if and only if:

$$[\exists i(\alpha_i \notin S \vee b_i \notin S) \wedge (i_0 \text{ is least such, then } a_{i_0} \notin S)]$$

or

$$[\forall i(a_i \in S \wedge b_i \in S) \wedge \sup\{a_0, b_0, a_1, b_1, \dots\} \in A]$$

We say that $A \in U$ iff II has a winning strategy in G^A .

One can easily verify that U is a non-principle ultrafilter. We are to prove:

Claim 41. U is δ_n^1 -additive.

Proof. Let $\{A_\xi : \xi < \eta\}$ be a sequence of $< \delta_n^1$ members of U , it suffices to show that the intersection is nonempty.

Let \leq be a Δ_n^1 prewellordering of ${}^\omega \omega$ of length η with associated norm ϕ for $\xi < \eta$ we let

$$f(\xi) = \{\tau : \tau \text{ is a winning strategy for } A_\xi\}$$

Let g be a choice subfunction with a $\Sigma_n^1(y)$ code.

Claim 42. For each $m < \omega$ there is a function $f_m : {}^{m+1}S \rightarrow S$ such that for all $a^0, \dots, a^m \in S$ for all a with $a_i = a^i$ if $i \leq m$, and for all $\tau \in \bigcup_{\xi < \eta} g(\xi)$

$$|f_m(a_0, \dots, a^m)| \geq |(\tau[a])_m|$$

Proof. Given $a^0, \dots, a^m \in S$, consider the following wf relation:

$$\langle a, x, \tau, z \rangle \prec \langle a', x', \tau', z' \rangle \iff a = a', x = x', \tau = \tau'$$

$$\forall i \leq m(a_i = a'_i) \wedge (x, \tau) \in \text{CODE}(g; \leq) \wedge (z, z') \in W_{\tau[a]_m}$$

informally:

$$a = a', \xi = \xi', \tau \in g(\xi), z <_{\tau[a]_{i_m}} z'$$

then we let $f_m(a^0, \dots, a^m) = \prec_{a^0, \dots, a^m}$. \square

Now for an $a^0 \in S$ we let $\theta = \sup\{a^0, f_0(a^0), f_1(a, f_0(a)) \dots\}$. We claim that θ is in the intersection. Indeed, let I play a with $a_i = a^i$ and let II play according to $\tau \in g(\xi)$, then the resulting real is $\theta \in A_\xi$. \square

□

Claim 43. *U is the ω -club filter on δ_n^1 .*

Proof. We show that if II has a winning strategy for G^A , then A contains an ω -club. By the symmetry of the game it would follow that I has a winning strategy if A is disjoint from a club. Similarly to the previous subsection, we define $X_\eta = \{ |(\tau * a)_n| : a \in {}^\omega\omega, \forall i < n, |a_i| < \eta \}$, each X_η is Δ_n^1 and thus X_η is bounded in δ_n^1 and so the function $f : \delta_n^1 \rightarrow \delta_n^1 : \eta \mapsto \sup X_\eta$ is welldefined and obviously unbounded, thus the set of limit points of its image is an ω -club and I can force II to end the game at any point of the ω -club. □

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