

Sampling

- undersampling \rightarrow information lost
- \hookrightarrow cannot reconstruct, as multiple calculations \rightarrow "aliasing"
- "Nyquist-Frequency": $\frac{1}{2}$ sampling frequency \Rightarrow max frequency / bandwidth of original signal must be smaller
- \Rightarrow sample twice the highest frequency band in orig. signal

Quantization

- real value $\xrightarrow{\text{to}}$ integer value
- "lousy": use nearest integer value as new function value. Sampling without quantization is not lousy

Image Properties

- Resolution: Pixel \times Pixel
- Geometric Resolution: Pixel per Area
- Photometric Resolution: Bits per Pixel

Image Noise

- Additive Noise: $I(x,y) = f(x,y) + c$
- \rightarrow additive Gaussian: $c \sim N(\mu, \sigma^2)$
- $\Rightarrow p(c) = (2\pi\sigma^2)^{-1} \cdot \exp(-c-\mu)^2/2\sigma^2$
- \Rightarrow mostly $\mu=0$!
- Poisson noise (shot noise): $p(c) = \frac{c}{e} e^{-c}$
- Rician noise (MRI): $p(I) = \frac{I}{I_0 + \sigma^2} \exp(-\frac{(I-I_0)^2}{2\sigma^2}) I_0 (\frac{I}{\sigma^2})$
- Multiplicative Noise: $I(x,y) = I(x,y) + I(x,y) \cdot c$
- Quantization error
- "salt-and-pepper"
- Signal to noise ratio (SNR):
 $S = \frac{F_0}{F}, F = \sum_{i,j=1}^{H,W} f(x,y)$
 \uparrow noise variance $F = \sum_{i,j=1}^{H,W} f(x,y)^2$
- Peak SNR (PSNR): $s_p = \frac{F_{\max}}{o}$

Image Segmentation

- Find segments R_i in Image I :
 $I = \cup R_i, R_i \cap R_j = \emptyset \forall i \neq j$
- Thresholding: produces binary image
 $B(x,y) = 1$ if $I(x,y) \geq T$, else $B(x,y) = 0$
- $T \in$ Threshold value
- Chroma keying (green/blue... -screen)
Alpha mask $I_d := |I - g| > T$
e.g. green screen: $g = (0 \ 255 \ 0)$, $T \approx 20$
- $\rightarrow I = I_d \cdot I_a + (1 - I_d) \cdot I_b$
Foreground \hookrightarrow Background
- \hookrightarrow Gauss verwendet, da nicht perfekte Green haben werden
- Intensity: $i = R + G + B \rightarrow$
Normalized color: $(r,g,b) = (\frac{R}{i}, \frac{G}{i}, \frac{B}{i})$
- I_d should be grayscale, not binary: motion blur
 $\Rightarrow "0" \equiv "ignore" / "don't care"$

ROC Analysis

- "Receiver Operating Characteristics"
- TP: true positive TN: true negative
FP: false positive FN: false negative
- $P = TP + FN$ $N = TN + FP$
- ROC Plot: $y = \text{TP fraction}, x = \text{FP fraction}$
 \hookrightarrow TP fraction: $\frac{\#TP}{P}$ FP fraction: $\frac{\#FP}{N}$
sensitivity: 5 (1-specificity) \rightarrow

Erosion: $E = I \ominus S$, I : image, S : Str. Ele.

- \hookrightarrow if S fits at x/x and origin overlap, set $x=1$

Dilation: $D = I \oplus S$: set $x=1$, if S hits I at x

- Opening: $I \circ S = (I \ominus S) \oplus S$
- Closing: $I \bullet S = (I \oplus S) \ominus S$

\rightarrow opening: open gaps that are thin
 \rightarrow closing: close holes by maintaining original dimensions

Hit-and-Miss: $H = I \otimes S$: set $x=1$ (origin) if 1's and 0's (!) fit exactly into the image

Thinning: $I \oslash S = I \setminus (I \otimes S)$

Thickening: $I \odot S = I \cup (I \otimes S)$

$(I \otimes S)^c = I^c \oslash S$

$I \boxplus S = ((\dots (I \boxplus S_1) \boxplus S_2) \dots) \boxplus S_n$

Skeletonization:

- Structuring Element $\begin{bmatrix} 0 & 1 & 0 \\ 1 & 1 & 1 \\ 0 & 1 & 0 \end{bmatrix}$
- n -th Skeleton Subset \downarrow don't times
 $S_n(X) = (X \ominus_n B) \setminus [(X \ominus_n B) \oplus B]$
- Skeleton: $S(X) = \bigcup_{i=1}^n S_i(X)$
- Reconstruction: $X = \bigcup_{i=1}^n S_i(X) \oplus_i B$

Linear Shift-Invariant Filtering

- \rightarrow modify pixels based on neighbors
- \rightarrow linear combination of neighbors
- \rightarrow do the same for each pixel

Morphological Operators (Binary Images)

- 8-neighbor erode ("Minkowsky subtraction")
 \rightarrow remove (make background) foreground pixel if it has 8-neighbor background pixel
- 8-neighbor dilate ("Minkowsky addition")
 \rightarrow add (make foreground) background pixel if it has 8-neighbor foreground pixel.

\Rightarrow for smoothing, remove noise/artifacts

Structuring Elements

- has origin "as array": $\{1,1\}, \{2,2\}$
- \rightarrow at 1,1 its 1 and at 2,2.
- S fits I at x if
 $\{y: y=x+s, s \in S\} \subset I$
- S hits I at x if
 $\{y: y=x-s, s \in S\} \cap I \neq \emptyset$
- S misses I at x if
 $\{y: y=x-s, s \in S\} \cap I = \emptyset$
- \rightarrow fit: for every pixel set to 1 in Structuring Element, the corresponding Image pixel is also 1.
- \rightarrow hits: at least one pixel set to 1 "fits" image

Gaussian Smoothing Kernel:

$$k(x,y) = g(x,y) := \frac{1}{2\pi\sigma^2} \exp\left(-\frac{(x^2+y^2)}{2\sigma^2}\right)$$

$$= \frac{1}{2\pi\sigma^2} \exp\left(-\frac{x^2}{2\sigma^2}\right) \cdot \frac{1}{2\pi\sigma^2} \exp\left(-\frac{y^2}{2\sigma^2}\right)$$

$$= g(x) \cdot g(y) \quad (\text{separable})$$

Differential Filters:

- Prewitt operator: $\begin{bmatrix} 1 & 0 & -1 \\ 1 & 0 & -1 \\ 1 & 0 & -1 \end{bmatrix}$
- Sobel operator: $\begin{bmatrix} 1 & 0 & 0 \\ 2 & 0 & 0 \\ 1 & 0 & 0 \end{bmatrix}$

High-pass filters:

- Laplacian operator: $\begin{bmatrix} 0 & 1 & 0 \\ 1 & -4 & 1 \\ 0 & 1 & 0 \end{bmatrix}$
- High-pass filter: $\begin{bmatrix} -1 & -1 & -1 \\ -1 & 8 & -1 \\ -1 & -1 & -1 \end{bmatrix}$ \hookrightarrow "8" Emboss

Filters look like the effects they are intended to find

Image sharpening: $I' = I + \alpha k * I$
 $\alpha \in [0,1], k$: high-pass filter

Template Matching

- search for best match by minimizing mean-squared error
- $E(p,q) = \frac{1}{N} \sum_{x,y} (s(x,y) - t(x-p, y-q))^2$
- $= \sum_{x,y} (s(x,y))^2 + \sum_{x,y} (t(x,y))^2 - 2 \cdot \sum_{x,y} s(x,y) \cdot t(x-p, y-q)$
- or equivalently maximize area correlation $r(p,q) = \frac{1}{N} \sum_{x,y} s(x,y) \cdot t(x-p, y-q)$
- $= s(p,q) * t(-p,-q)$
- \Rightarrow area equivalent is convolution of image s and t .
- $s(x,y)$: original image
- $t(x,y)$: template (smaller than s)
- because of Cauchy-Schwarz:
 $r(p,q) \leq \sqrt{\sum_{x,y} (s(x,y))^2} \cdot \sqrt{\sum_{x,y} (t(x,y))^2}$
- \Rightarrow only equal iff:
 $s(x,y) = \alpha \cdot t(x-p, y-q)$ with $\alpha \geq 0$
- after calculating $E(p,q)$ or $r(p,q)$ search peaks \Rightarrow matches!
- remove mean before doing the matching as bright areas would attract high values (peaks)

Edge Detector

- Idea: detect local Gradient:
 $|\text{grad}(f(x,y))| = \sqrt{(\frac{\partial f}{\partial x})^2 + (\frac{\partial f}{\partial y})^2}$
- \rightarrow for digital images use kernels:
- "difference": $\begin{bmatrix} -1 & 1 \end{bmatrix}$
- "Canny difference": $\begin{bmatrix} -1 & 0 & 1 \end{bmatrix}$
- see above: "Prewitt" / "Sobel"
- Roberts: $\begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$
- if using Laplacian structure \rightarrow blur kernel as it equally responds to weak and strong edges.
- \hookrightarrow blur with Gauss results in L6 ("Laplacian of Gauss") operator A

Unitary Transform

- digital image can be viewed as a matrix:

$$f = \begin{bmatrix} f(0,0) & f(1,0) & \dots & f(N-1,0) \\ f(0,1) & f(1,1) & \dots & f(N-1,1) \\ \vdots & \vdots & \ddots & \vdots \\ f(0,N-1) & f(1,N-1) & \dots & f(N-1,N-1) \end{bmatrix}$$

where $f(x,y) \equiv f_{x,y}$ denotes the pixel value at (x,y) .

- The image f with $L \times N$ pixels can also be written as a vector for easier definition of some calculations:

$$f = [f(0,0) \ f(1,0) \ f(2,0) \dots \\ f(N-1,0) \ f(0,1) \ f(1,1) \dots \\ f(N-1,1) \dots \ f(N-1,L-1)]^T$$

- linear image processing:

$$\vec{g} = H f$$

↑ image vector
Operation, not needed to be square

- Unitary transform:

$$- \vec{c} = A \vec{f} \text{ in } \vec{c}$$

unitary $L \times L$
matrix A real complex image

$$- A \text{ unitary, iff: } A^{-1} = A^T \Leftrightarrow A^H$$

$$- \text{if } A \text{ real valued: transform is "orthonormal"}$$

$$- \text{Energy conservation: } \| \vec{c} \|^2 = \vec{c}^H \vec{c} = \vec{f}^H A^H A \vec{f} = \| \vec{f} \|^2$$

$$=) A \text{ is a rotation of the coordinate system (with sign flips maybe)}$$

$$=) \text{vector lengths ("Energy") is conserved}$$

Image collection

- f_i one image (row vector most likely)

$$F = [f_1 \ f_2 \ \dots \ f_n] \text{ image collection}$$

$$- R_{ff} = E[\vec{f}_i \cdot \vec{f}_i]^H = \frac{\vec{f}_i \vec{f}_i^H}{n} \text{ vector \cdot vector}^H$$

Energy distribution + Unitary Transf.

$$\cdot \text{Autocorrelation of } \vec{c} = A \vec{f}^H:$$

$$R_{cc} = E[\vec{c} \vec{c}^H] = E[A \vec{f}^H \cdot \vec{f}^H A^H] = A R_{ff} A^H$$

- mean squared value ("average energy") of the c_i are on the diagonal of R_{cc} . $\vec{c} = [c_0, c_1, \dots, c_N]$

$$E[c_i] = [R_{cc}]_{ii} = [A R_{ff} A^H]_{ii}$$

- Eigenmatrix Φ of autocorrelation matrix R_{ff} :

$$- \Phi \text{ is unitary (i.e. } U^H U = U U^H = I)$$

$$- \text{columns of } \Phi \text{ form the eigen-}$$

vectors of R_{ff} : $R_{ff} \Phi = \Phi \Lambda$
where Λ is diagonal matrix containing on the diagonal the eigenvalues $\lambda_0, \dots, \lambda_N$

$$- R_{ff} \text{ is symmetric nonnegative definite} \Rightarrow \forall i: \lambda_i \geq 0$$

$$- R_{ff} \text{ is normal matrix}$$

$$=) R_{ff}^H = R_{ff} R_{ff}^H$$

$$=) \text{unitary eigenmatrix } \Phi \text{ exists}$$

$$(or "PCA")$$

Kashperov - basis Transform ("KL")

Unitary transform where $A = \Phi^H$, where Φ 's columns are ordered according to decreasing eigenvalue

Transform coefficients are pairwise uncorrelated: $R_{cc} = A R_{ff} A^H = \Phi^H R_{ff} \Phi$

$$= \Phi^H \Phi \Lambda = \Lambda$$

Energy concentration property:

- no other unitary transform puts as much energy into the first γ coefficients, where γ is arbitrary

- Mean squared approximation error by choosing only first γ coefficients is minimized

Eigenimages (Basis images)

For any unitary transform, the inverse is: $\vec{f} = A^H \vec{c}$ and can be interpreted in terms of the superposition of "basis images" (columns of A^H) of size MN

For the KL transform the basis images, which are the eigenvectors of R_{ff} , are called "eigenimages"

If energy concentration works well, only the eigenimages of the γ dimensional subspace are needed to approximate images with a small error

=) sailor KL transform for image type at hand \rightarrow work only with γ dimensional subspace.

Application to faces (Eigenfaces)

Observation vector "x" (column vector) contains image of the face we want to find a match for in our database

Use 8-dimensional space of faces \rightarrow we can generate faces by changing 8 coefficients

Limitation: illumination creates great differences

PCA / KL / KLT Math

$I_i: i\text{-th image in DB}, I: image to find a match I_k for.$

$$I_i - I \approx E p_i - E p = E(p_i - p)$$

$$\|I_i - I\| \approx \|p_i - p\|$$

approximate arg min $D_i = \|I_i - I\|$ with arg min $\|p_i - p\|$

$$p = E^+ \tilde{I} = E^+ (\tilde{I} - \bar{I})$$

$\bar{I}: "mean image": \bar{I} = \frac{1}{n} \sum I_i$

$I_i - \bar{I}: \text{Image } I_i \text{ without the "mean" image}$

Eigenimage matching

JPEG2000 • use 8x8 image blocks and transform them using the discrete cosine transform (DCT)

DCT: only real numbers, fast implementations.

Block size: - small: faster and conclusion earlier between neighboring pixels

large blocks: better compression in smoother regions

Use Entropy Coding (Huayuan code) for optimal average code word length $H = -E(p(s)) \log_2(p(s))$

Image Pyramid

apply filters and scale down to get next pyramid level

top of pyramid is the smallest image

Each level can be used for easier edge detection, matching

Gaussian pyramid:

- apply gaussian sample to get next level

- Analysis done on top image e.g. 4 pixels combined are one pixel of next level

Displace Pyramid

for Level i : take image at level i of Gauss pyramid and subtract upsampled image of level $i+1$ in Gauss pyramid

Optical Flow

= apparent motion of brightness patterns. Ideally the projection of 3D velocity vectors on the image

$I(x, y, t):$ brightness at time t and position (x, y)

Brightness constancy assumption:

$$I(x + \frac{dx}{dt}, y + \frac{dy}{dt}, t + \delta t) = I(x, y, t)$$

Optical flow constraint equation:

$$\frac{dx}{dt} = \frac{\partial I_x}{\partial x} \frac{dx}{dt} + \frac{\partial I_y}{\partial y} \cdot \frac{dy}{dt} + \frac{\partial I_t}{\partial t} = 0$$

The aperture ("Blende") problem:

$$u = \frac{dx}{dt}, v = \frac{dy}{dt}, I_x = \frac{\partial I}{\partial x}, I_y = \dots, I_t = \dots$$

Horn + Schunk algorithm

additional smoothness constraint

$$e_s = \int S((u_x^2 + u_y^2) + (v_x^2 + v_y^2)) dx dy$$

beside our optical flow constraint?

$$e_c = \int S((I_x \cdot u + I_y \cdot v + I_t)^2) dx dy$$

minimize $e_s + \lambda e_c$

Euler - Lagrange equations:

$$F_u = \frac{\partial F}{\partial x} F_u = -\frac{\partial F}{\partial v} F_v = 0$$

$$F_v = \frac{\partial F}{\partial x} F_v = -\frac{\partial F}{\partial u} F_u = 0$$

In our case: $F = (u_x^2 + u_y^2) + (v_x^2 + v_y^2) + \lambda(I_x u + I_y v + I_t)^2$

Euler - Lagrange equations are:

$$\Delta u = \lambda(I_x u + I_y v + I_t) I_x \quad \text{where } \Delta =$$

$$\Delta v = \lambda(I_x u + I_y v + I_t) I_y \quad \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2}$$

example (the alpha) of regularization

solved iteratively (PDEs)

Errors at boundaries

Lukas - Kanade (integrate over a patch)

$$E(u, v) = E(I_x(x, y) \cdot u + I_y(x, y) \cdot v + I_t)^2$$

$$\frac{\partial E}{\partial u} = E \cdot I_x (I_x(x, y) \cdot u + I_t) = 0$$

$$\frac{\partial E}{\partial v} = E \cdot I_y (I_y(x, y) \cdot v + I_t) = 0$$

z-scale with:

$$\frac{\partial I_x^2}{\partial u} \quad \frac{\partial I_x I_y}{\partial u} \quad \frac{\partial I_x^2}{\partial v} = - \left(\frac{\partial I_x I_t}{\partial u} \right)$$

$$\frac{\partial I_x I_y}{\partial u} \quad \frac{\partial I_y^2}{\partial v} \quad \frac{\partial I_y^2}{\partial v} = - \left(\frac{\partial I_t}{\partial v} \right)$$

$$\left(\frac{\partial I_x I_t}{\partial v} \right) \left(\frac{\partial I_t}{\partial v} \right) = - \frac{\partial I_t}{\partial v}$$

At each pixel compute \vec{U} in $MU = b$

M singular if all gradient vectors point in same direction

only normal flow available

Video compression

- Humans sensitive to motion
- Visual (Human) perception limited to $\approx 29\text{Hz}$. Cinema: 24Hz , TV: $25/50\text{Hz}$
- Flicker can be perceived up to 60Hz .
- Interlaced video format: 2 (temporally) shifted Images \Rightarrow increase of frequency from $25 \rightarrow 50\text{Hz}$ (per Hertz 2 Images)
- Temporal processing (compression)
 - Transform / subband methods:
 - good for constant velocity global uniform motion \Rightarrow bad (inefficient) for real-world motion
 - Predictive methods: better for RL
 - 3 Types of frames:
 - I-frame: Intra coded frame, coded independently of all other frames
 - P-frame: Predictively coded frame, based on previous frame
 - B-frame: Bi-directionally predicted frame, coded based on both previous and future frames
 - Temporal redundancy reduction is effective if: (i) many scene changes (ii) High motion
- Use Motion-compensated prediction ("MC") for temporal processing:
 - partition frame in $N \times M$ Blocks
 - describe motion of block by finding the best matching block $f(n_1, n_2, k_{\text{ref}}) = f(n_1 - m_1, n_2 - m_2, k_{\text{ref}})$
 - motion vector (m_1, m_2)
 - MSE metric: $g(n_1, n_2) = f(n_1, n_2, k_{\text{ref}})$
 - $f(n_1 - m_1, n_2 - m_2, k_{\text{ref}})$
 - \Rightarrow motion vector (m_1, m_2)
 - MAE = $\frac{1}{N} \sum g(n_1, n_2)$
- Candidate blocks: all blocks in c.g. $(\pm 32, \pm 32)$ pixel area
- search strategies for matching blocks: (i) full search (examine all candidate blocks) (ii) partial (part) search: examine a carefully selected subset
- motion vector: estimate of motion for best matching block
- Block matching: (i) good robust performance for compression (ii) often produces blocking artifacts (here, if used with Block DCT)

- Scalable Video Coding:
 - Temporal scalability - Spatial scalability - SNR (quality) scalability
 - adapts to bandwidth, computational power, etc. \rightarrow defines important bits (base line) and less important
- Graphics Pipeline
 - CPU \rightarrow Vertex Processing \rightarrow Rasterization \rightarrow Fragment Processing \rightarrow Display
 - or in our case:
 - CPU \rightarrow Vertex Shader \rightarrow Interpolation \rightarrow Fragment Shader \rightarrow Display
 - shaders
 - Attributes \rightarrow Vertex Shader \rightarrow Varying (per Vertex) some code (per Vertex)

- Interpolation \rightarrow Varying \rightarrow Fragment Shader (per fragment) some code
- Fragment Color (per fragment)
- Uniforms (constants) available in both shaders!
- Fragment + Pixel (store additional values: color, window id, depth, pos, ...)

Light / Color

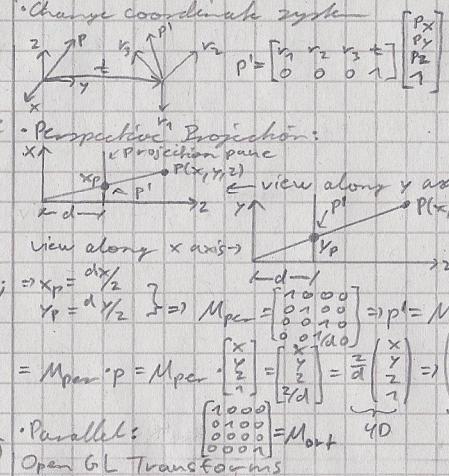
- can be a mixture of many wavelengths
- SPD: Spectral Power distribution: $P(\lambda)$: intensity of wavelength λ
- Metamers: Two different SPD result for us in same color. Reason: infinite space projected to 3D space \Rightarrow information lost!
- RGB Color Space (unit cube) \downarrow Blue (0,0,1); Cyan (0,1,1); Magenta (1,0,1); White (1,1,1); Black (0,0,0); Red (1,0,0); Yellow (1,1,0); Green (0,1,0)

- color matching as matrix $\begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$
- Color matching function in our RGB space

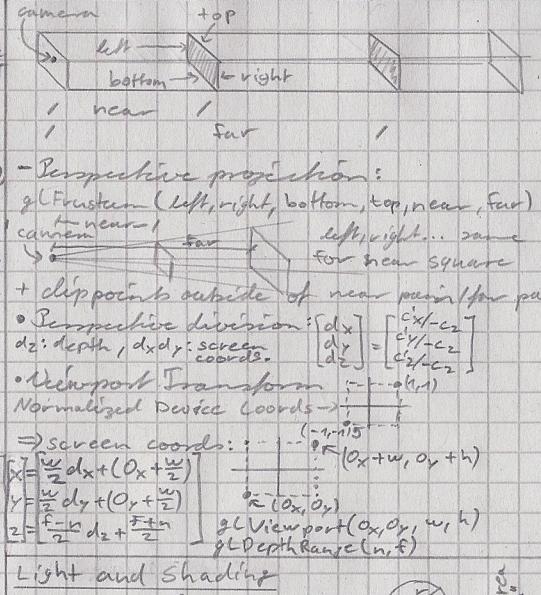
- Matrix maps the test light to RGB "XYZ Color Space": $X = \int_{\lambda}^{\infty} P(\lambda) X(\lambda) d\lambda$
- $Y = \int_{\lambda}^{\infty} P(\lambda) Y(\lambda) d\lambda$
- "xy" Colorspace: "Chromaticity(x,y)"
- use XYZ values: $x = \frac{X}{X+Y+Z}$ $y = \frac{Y}{X+Y+Z}$
- xy defines color, Z the brightness
- CIE Chromaticity Chart:

- Primary colors along curved boundary
- linear combination of two colors: line connecting the colors
- ... of 3 colors: triangle spanned for

- CMY color space: for passive color systems (printers): inverse of RGB: $[C \ M \ Y]^T = [1 \ 1 \ 1]^T - [R \ G \ B]^T$
- CMYk: add black as color (use formula above for unit cube)
- YIQ: Luminance Y, In-Phase I (orange=blue), Quadrature Q (purple=green)
- good for natural and skin colors
- HSV/HSL/HSB: user picking color spaces:
 - Hue: base color, Saturation: purity of color, Value/Lightness, Brightness
- all color spaces above: two colors near each other in space not necessarily similar! But important concept: "Perceptually-Uniform" Transformation Homogeneous coordinates
 - 2D Translation: $\begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} x \\ y \end{bmatrix} + \begin{bmatrix} t_x \\ t_y \end{bmatrix} = \begin{bmatrix} 0 & 0 & t_x \\ 0 & 1 & t_y \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$
 - 2D Scaling: $\begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} s_x & 0 \\ 0 & s_y \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$
 - 2D Rotation: $\begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} \cos \alpha & -\sin \alpha & 0 \\ \sin \alpha & \cos \alpha & 0 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} \cos \alpha & -\sin \alpha & 0 \\ \sin \alpha & \cos \alpha & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$
- Shear along x ("verzerrung"): $\begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & k & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$
- Combinining: Matrix multiplication in reversed order.



- Vertex $(x, y, z, 1)^T \rightarrow$ Model View Transform \rightarrow Eye Coordinates \rightarrow Projection \rightarrow Clip Coordinates \rightarrow Perspective Division \rightarrow Normalized Device Coord.
- Viewport Transform \rightarrow Window (Screen) coord.
- Model View transform: Model to world coordinates: $\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} w_x & 0 & 0 & 0 \\ 0 & w_y & 0 & 0 \\ 0 & 0 & w_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$
- Model View 2nd step: World to camera coordinates: $\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} w_x & 0 & 0 & 0 \\ 0 & w_y & 0 & 0 \\ 0 & 0 & w_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$
- w : world coord. m : model coord. r : rotation
- Model View 3rd step: World to camera coordinates: $\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} w_x & 0 & 0 & 0 \\ 0 & w_y & 0 & 0 \\ 0 & 0 & w_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$
- c : camera coord. w : world coord.
- Projection: - Parallel (Orthogonal): $\text{glOrtho}(\text{left}, \text{right}, \text{bottom}, \text{top}, \text{near}, \text{far})$



- Angle: $\theta = \frac{\pi}{4}$, circle = 2π rad
- Solid Angle (2D angle in 3D space). Sphere has 4π steradians
- Sphere: a point on the unit sphere ($r=1$) is parameterized by two angles: (θ, ϕ) , θ : "Zenith", ϕ : "azimuth" $w_x = \sin \theta \cos \phi$, $w_y = \sin \theta \sin \phi$, $w_z \cos \theta$
- θ : angle between z-axes and \vec{w} , ϕ : angle between x-axes and \vec{w} projected onto xy-plane $\rightarrow x \approx w_x$
- Latitude: $90^\circ - (\pi - \theta)$
- Longitude: $90^\circ - \phi$
- Differential of solid angle:
 - $dA = (r^2) \theta \cdot (r \cdot \sin(\theta)) d\phi$
 - $d\vec{w} = dA/r^2 = \sin \theta \cdot \theta \cdot d\phi$
 - $\Omega = \int dA = \int \int \sin(\theta) d\theta d\phi = 4\pi$
- Light consists of:
 - (i) x : position
 - (ii) \vec{w} : direction
 - (iii) λ : wavelength
- each photon has energy $h \cdot c / \lambda$ where h, c are const. Energy is $J = \text{kg} \cdot \text{m}^2/\text{s}^2$
- Flux $\Phi(A)$ [$\text{J/s}=\text{W}$] total energy passing through surface A per time unit
- Irradiance: flux per unit area: $E(x) = d\Phi(A)/dA(x)$ [W/m^2]
- Radiosity: flux per unit area leaving surface: $B(x) = d\Phi(A)/dA(x)$ [W/m^2]
- Radiance: $E = \frac{\Phi}{A}$
- Radiant intensity: Flux per solid angle: $I(\vec{w}) = \frac{\Phi}{4\pi r^2}$ [W/m^2], $\Phi = \int_{4\pi} I(\vec{w}) d\vec{w}$
- Radiance: Intensity per unit area $L(x, \vec{w}) = \frac{d\Phi}{dA(x)} = \dots = \frac{1}{4\pi r^2} d\Phi(A) \cos(\vec{w}, \vec{n}_{\text{sur}})$

Reflection Models

BRDF: Bidirectional Reflection Distribution Function
 $I(x, \vec{w}_i, \vec{w}_r) = d_{Lr}(x, \vec{w}_i) / dE(x, \vec{w}_i)$
 $= dL_r(x, \vec{w}_i) / v_i(x, \vec{w}_i) \cos(\theta_i) d\omega_i \cdot [I_s]$
 $\rightarrow \vec{w}_i$ (and other " \vec{v} ") : light source hitting surface
 \vec{w}_r (and other " \vec{v} ") : reflection from point of source hitting surface primitive

Reflection Equation:

$$f_{LR}(x, \vec{w}_i, \vec{w}_r) = dL_r(x, \vec{w}_i) / v_i(x, \vec{w}_i) \cos(\theta_i) d\omega_i$$
 $dL_r(x, \vec{w}_i) / v_i(x, \vec{w}_i) \cos(\theta_i) d\omega_i = dL_r(x, \vec{w}_i) / d\omega_i$
 $\frac{1}{4\pi} F(x, \vec{w}_i) L(x, \vec{w}_i) \cos(\theta_i) d\omega_i = L_r(x, \vec{w}_i)$

describes a local (!!) illumination model

Simpler models for reflection:

diffuse specular glossy

\rightarrow For diffuse BRDF is const: $L_r(x) = f_r E(x)$
 $= f_r S(x) L_i(x, \vec{w}_i) \cos(\theta_i) d\omega_i = \dots$

Ambient light: - scattered by environment, - from all directions
 independent of (i) camera pos.
 (ii) light position (iii) surface orient.

- reflected intensity: $I = I_a k_a$
 light source material parameter
 Diffuse reflection: - dependent on:
 (i) orientation of surface (ii) light source position - independent of camera position

$$I = I_p k_d \cos \theta$$

reflected intensity: $I = I_p k_d (N \cdot L)$
 L : light source pos. N : surface normal
 dot prod.

Simple Model: sum of ambient light and diffuse reflection.

Attenuation: $Fatt = 1/d^2$, model often used: $Fatt = \min(1/c_1 + c_2 d^2 + c_3 d_L^2, 1)$.
 \rightarrow add to our simple model sum:
 $I = I_a k_a + Fatt \cdot I_p k_d (N \cdot L)$

\rightarrow depends now also on the camera position!

- Ambient: 3D structure not visible (looks 2D), everywhere same color
- Diffuse: 3D structure visible, also light source direction
- Specular: camera more important, darker than diffuse

Phong Illumination Model:
 $I_x = I_a k_a + Fatt I_p k_d (k_d(N \cdot L) + k_s(R \cdot V)^n)$
 n : big $n \rightarrow$ small gloss $\cos \theta$ $\cos \alpha$
 small $n \rightarrow$ big gloss circle

H: middle of view direction and light source direction θ

$$\cos^n(\beta) = (N \cdot H)^n \quad H = \frac{L + V}{\|L + V\|}$$

addition specular colors:

$$I_x = I_a k_a Odn + Fatt I_p [k_d Odn (N \cdot L) + k_s (R \cdot V)^n]$$

Phong: the one generating a whitish circle

Flat shading: one color per primitive

Gouraud Shading: linear interpolation of vertex intensities

Phong Shading: linear interpolation of vertex normals

\rightarrow Gouraud Shading:

(i) calculate face normals
 (ii) calculate vertex normals by averaging
 (iii) evaluate illumination model for each vertex (v) interpolate vertex colors linearly on the current scan line:

$$\begin{array}{c} I_a \\ I_b \\ I_c \\ I_d \\ I_e \\ I_f \end{array} \quad \begin{array}{c} I_m \\ I_n \\ I_o \\ I_p \\ I_q \\ I_r \end{array} \quad \begin{array}{c} \text{scan line} \\ \text{I} \\ \text{I} \\ \text{I} \\ \text{I} \\ \text{I} \end{array} \quad \begin{array}{c} I_a = I_m - (I_n - I_o) \cdot \frac{y_1 - y_2}{y_1 - y_3} \\ I_b = I_m - (I_n - I_o) \cdot \frac{y_2 - y_3}{y_1 - y_3} \\ I_c = I_m - (I_n - I_o) \cdot \frac{y_3 - y_4}{y_1 - y_3} \\ I_d = I_m - (I_n - I_o) \cdot \frac{y_4 - y_5}{y_1 - y_3} \\ I_e = I_m - (I_n - I_o) \cdot \frac{y_5 - y_6}{y_1 - y_3} \\ I_f = I_m - (I_n - I_o) \cdot \frac{y_6 - y_7}{y_1 - y_3} \end{array}$$

Problems: perspective distortion • orientation dependence

• shared vertices
 Quality depends on size of primitives

\rightarrow Phong shading: Barycentric interpolation of normals on the triangles:

$$\begin{array}{c} n_a \\ n_b \\ n_c \end{array} \quad \begin{array}{c} a \\ b \\ c \end{array} \quad \begin{array}{c} A \\ A_1 \\ A_2 \\ A_3 \end{array} \quad \begin{array}{c} A = A_1 + A_2 + A_3 \\ \lambda_a = A_1/A \\ \lambda_b = A_2/A \\ \lambda_c = A_3/A \end{array}$$

$$n_x = \lambda_a n_a + \lambda_b n_b + \lambda_c n_c$$

$$\text{Properties: } x = a \Rightarrow n_x = n_a$$

$$\lambda_a + \lambda_b + \lambda_c = 1 \quad \lambda_a a + \lambda_b b + \lambda_c c = x$$

Problem: if normal not defined or representative.

Transparency

• RGB A : alpha channel
 • Alpha blending:

$$\begin{array}{c} I_A \\ I_R \\ I_G \\ I_B \end{array} \quad \begin{array}{c} A \\ A_1 \\ A_2 \\ A_3 \end{array} \quad I_x = I_A + I_R \cdot A_1 + I_G \cdot A_2 + I_B \cdot A_3$$

$$= I_A + I_R \cdot (1 - A_1) + I_G \cdot (1 - A_2) + I_B \cdot (1 - A_3)$$

\rightarrow linearization: $I_x = I_A + I_R \cdot (1 - A)$
 because: $I_A = I_A \cdot (1 - A \Delta t)$

Geometric representation

• subdivision surface, • Point set surface, • implicit surfaces

Polygon Meshes:

- Boundary of objects
- Geometry and connectivity
- fast to render because of optimized GPUs

Polygon

• Vertices: v_0, \dots, v_m

• Edges: $e(v_0, v_1), \dots, (v_m, v_0)$

• planar and non-self-intersecting

Polygon Mesh: set of connected polygons

$M = \{V, E, F\}$, V: Vertices,

E: Edges, F: Faces



Clip - Mapping

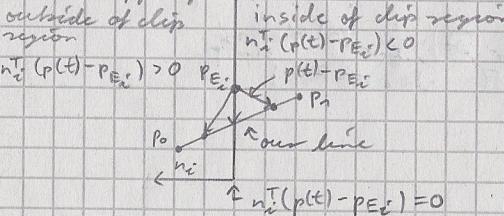
- store down - sampled versions & texture (multiple versions are stored)
- use low-res for far away objects
- interpolate for in-between depths
- avoids aliasing
- improves efficiency
- compute lower resolution by weighted averages:

Perspective Interpolation

- from texture coordinates of vertices to texture coordinates of pixels
- linear interpolation in screen-space.
- does not work: linear variation in world coordinates yields non-linear variation in screen-coordinates

Clipping

- removing parts of objects outside the viewing frustum
- saves computation, → memory consistency, → stability: no division by zero
- "Culling": rejects via bounding volumes, bounding volume hierarchies
- "Clipping": partially visible objects need to be clipped
- Line Clipping:



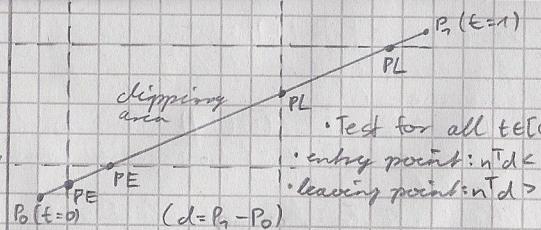
Idea: compute intersection in 1D parameter space of the line $p(t) = p_0 + (p_1 - p_0) \cdot t$, $t \in [0, 1]$

• parametric form of the line

• intersection point: $n^i(p(t) - PE_i) = 0$

$$t = \frac{n^i(p_0 - PE_i)}{n^i(p_1 - PE_i)}$$

• Classification of entry points ("PE") and leaving points ("PL")



- compute max/min:
 $t_E = \max(t_{E-1}, PE_i)$, $t_L = \min(t_{E+1}, PL_i)$
- if $t_E > t_L \Rightarrow$ no relevant intersection
- Lookup table:

edge i	normal n^i	PE_i	
$x=x_{\min}$	(-1, 0)	(x_{\min}, y)	left
$x=x_{\max}$	(1, 0)	(x_{\max}, y)	right
$y=y_{\min}$	(0, -1)	(x, y_{\min})	bottom
$y=y_{\max}$	(0, 1)	(x, y_{\max})	top

• extension of Mago for polygons:

- (i) walk around polygon
- (ii) for each edge output endpoints of visible part

- (iii) sometimes tunning needed
- 3D clipping: problem: polygon is not rectangular

• solution: clip after perspective division in homogeneous coordinates
then conversion (rasterization)

- generate discrete pixels
- approximate by finite number of pixels

- Bresenham line:
 - select closest pixel at each intersection

Pixel choices for current pixel:
 previous pixel (x_p, y_p)

implicit equation of straight line:
 $f(x, y) = ax + by + c = 0$

$$d = f(m) = f(x_p + 1, y_p + \frac{1}{2})$$

$$d > 0 \Rightarrow \text{select Pixel NE}, d < 0 \Rightarrow \text{Pixel E}$$

• Δx Δy ← update criterion

Pixel (x_p, y_p) Δx Δy next pixel choices

• Previous pixel

$$d_{old} = f(x_p + 1, y_p + \frac{1}{2}) = a(x_p + 1) + b(y_p + \frac{1}{2}) + c$$

$$d_{new} = f(x_p + 2, y_p + \frac{1}{2}) = a(x_p + 2) + b(y_p + \frac{1}{2}) + c$$

$$d_{new} - d_{old} = a + b = \Delta x - \Delta y$$

$$d_{old} = f(x_p + 1, y_p + \frac{1}{2})$$

$$d_{new} = f(x_p + 2, y_p + \frac{1}{2})$$

$$d_{new} - d_{old} = a + b = \Delta x - \Delta y$$

$$d_{old} = f(x_p + 1, y_p + \frac{1}{2})$$

$$d_{new} = f(x_p + 2, y_p + \frac{1}{2})$$

$$d_{new} - d_{old} = a + b = \Delta x - \Delta y$$

Visibility / Shadows

- simple solution: point from walked away to nearest (back to front): "Binkley's Algorithm"
- Problem: cyclic overlap and intersections

• Solution: 2-Buffering: Store depth to the nearest object for each pixel:

- (i) initialize all pixels with ∞
- (ii) for each polygon: if z value of a pixel for this polygon is smaller than stored z value, replace the stored value with new, smaller value

- problem: limited resolution
- resolution is not linear
- not near plane for poor cameras

• Shadows in portant for:
- depth cue, - scene lighting,
- realism

Basic Shadows:

- i) draw projection of the object as shadow on the ground

• limitations: self shadow, shadow on other objects, curved surfaces

- ii) projective texture shadow:

- (1) repeat obstacle (has a shadow) and receiver (of the shadow)

- (2) compute blw image of the obstacle from light

- (3) use image as projected texture

• limitations: need to specify obstacle + receiver, no self-shadows

Shadow maps (high-end production and games):

- compute depth from camera

- compute depth from light

• for each pixel in the camera plane:

- (1) compute the point in world coordinates

- (2) project point onto light plane

- (3) compare $d(x_c)$ (shadow map) and z_L

- (4) if $d(x_c) < z_L$, x is in shadow



- limitations: for visible point $d(x_c) < z_L$ would lead to self-shadowing
- solution: add bias: $d(x_c) + bias z_L$

- limitation: a point to shadow can be outside the field of view of shadow map → solution: use spherical shadow map or spot lights
- limitation: choosing good bias can be tricky

• limitation: undersampling the shadow map → aliasing

• solution: depth filtering is bad idea. instead filter the result of our test. $d(x_c) + bias < z_L$ by taking weighted average of comparisons

Shadow volumes:

- explicitly represent the volume in shadow → if polygon is inside, \Leftrightarrow polygon is in shadow

- algo: (1) shoot a ray from the eye (2) increase/decrease camera each time volume boundary is intersected

- if counter > 0 , primitive is in shadow, otherwise ($= 0$) primitive not in shadow

• optimization: use silhouette edges only

• limitation: introduces a lot of new geometry, expensive to rasterize long skinny triangles, - objects must be watertight to use the silhouette optimization,

- rasterization of polygons sharing an edge must not overlap and not have gap

Ray Tracing

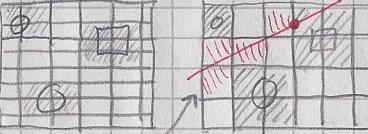
- Forward Ray Tracing: start from light source, until going through image plane / camera
- Backward Ray Tracing: start from eye, going through image plane into the scene
- Basic pipeline: generate ray
 - \rightarrow Intersection -> Shading
- Extensions: - Anti-Aliasing:
 - multiple rays per pixel (oversampling)
- Ray equation: $r(t) = o + t d$
- Sphere: Equation: $\|x + c\|^2 - r^2 = 0$
 - point of interest \rightarrow center \rightarrow radius
 - Linear ray equation \Rightarrow solve for t : $\|o + t d - c\|^2 - r^2 = 0$
- Triangle: Barycentric coordinates:

$$x = s_1 P_1 + s_2 P_2 + s_3 P_3$$

intersection of ray $M \rightarrow$ with triangle's plane:
 $(o + t d - p_i) \cdot n = 0$, $t = \frac{(o - p_i) \cdot n}{d \cdot n}$
 where $n = (p_2 - p_1) \times (p_3 - p_1)$
- \rightarrow compute s_1, s_2, s_3
- \rightarrow test $s_1 + s_2 + s_3 = 1$ ($0 \leq s_i \leq 1$)
- Shading: physically exact shading too costly. Simplify assumptions:
 - Surface reflectance: diffuse, specular, ambient, transparency terms
 - Shadows: shadow rays to determine if a hit point is in shadow or not
- Performance: complex scenes done with primitive algorithm costs $O(\# \text{rays} \times \# \text{objects})$
- \rightarrow solution: fewer intersections:
 - uniform grids
 - space partitioning trees (data structure)

Uniform grids:

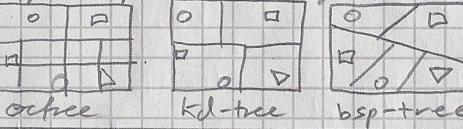
- Preprocessing:
 - compute bounding box
 - set grid resolution
 - nest objects
 - store references to original objects



Traversal:

- incrementally resize ray
- stop when intersection happens
- advantages:
 - + fast to build
 - + easy to code
- disadvantages: non-adaptive to scene geometry

Space partitioning trees



Geometry representations

Planar curve

$$f: X \rightarrow Y, X \subseteq \mathbb{R}^m, Y \subseteq \mathbb{R}^n, m=1, n=2$$

$t: 0 \rightarrow 1$

$$s(t) = (x(t), y(t))$$

Circle

$$p: \mathbb{R} \rightarrow \mathbb{R}^2$$

$$p(t) = (x(t), y(t)) = r \cdot (\cos(t), \sin(t)), t \in [0, 2\pi]$$

Bézier Curves

$$P_1, P_2, P_3$$

$$s(t) = \sum_{i=0}^n p_i B_i^n(t)$$

$$B_i^n(t) = \binom{n}{i} t^i (1-t)^{n-i}$$

Space curves in 3D:

$$f: X \rightarrow Y, X \subseteq \mathbb{R}, Y \subseteq \mathbb{R}^3$$

$$s(t) = (x(t), y(t), z(t))$$

$$\text{Surfaces: } f: X \rightarrow Y, X \subseteq \mathbb{R}^2, Y \subseteq \mathbb{R}^3$$

$$s(u, v) = (x(u, v), y(u, v), z(u, v))$$

Sphere:

$$s(u, v) = r \cdot (\cos(u) \cos(v), \sin(u) \cos(v), \sin(v)), (u, v) \in [0, 2\pi] \times [-\frac{\pi}{2}, \frac{\pi}{2}]$$

Bézier Surfaces:

$$s(u, v) = \sum_{i=0}^m \sum_{j=0}^n p_{ij} B_i^m(u) B_j^n(v)$$

normal and tangent plane

$$s_u = \frac{\partial s(u, v)}{\partial u}, s_v = \frac{\partial s(u, v)}{\partial v}$$

$$n = \frac{s_u \times s_v}{\|s_u \times s_v\|}$$

Parametric curves + surfaces:

- + easy to generate points on curve/surface
- + easy point-wise differential properties
- + easy to control by hand
- hard to determine inside/outside
- hard to determine if a point is on curve/surface
- hard to generate by reverse engineering

Implicit curves + surfaces:

- Planar curve: $S = \{x \in \mathbb{R}^2 | f(x) = 0\}$
- Surface in 3D: $S = \{x \in \mathbb{R}^3 | f(x) = 0\}$
- outside $\{x | f(x) > 0\}$
- inside $\{x | f(x) < 0\}$
- Circle: $f(x, y) = x^2 + y^2 - r^2$
- Sphere: $f(x, y, z) = x^2 + y^2 + z^2 - r^2$
- surface normal: $\nabla f(x, y, z) = (2x, 2y, 2z)^T$
- circle normal: $\nabla f(x, y, z) = (2x, 2y, 2z)^T$

- ↳ + easy to determine inside/outside

- + easy to determine if point is on curve/surface
- + easy to combine

- hard to generate points on curve/surface
- limited set of surfaces
- does not lend itself to (real-time) rendering

Point Set Surfaces:

- + robust to noise
- + easy to determine inside/outside
- + easy to determine if point is on curve/surface
- + easy to generate points on curve/surface

- + suitable for reconstruction from general data
- + direct real time rendering
- not efficient to use in some modeling tasks

Aliasing: sample frequency < target frequency / 2

- stationary wheel: sampling frequency \approx rotational speed modulo features of wheel
- wheel moving wrong direction: sampling frequency slightly smaller than rotational speed modulo features of wheel

Optical Flow: dues - Kanade also: assumptions:

- Brightness constancy: $I_x u + I_y v + I_t = 0$
- Single velocity (u, v) for all pixels in patch
- Movement is slow

Phong Reflection based on

- Ambient light
 - Diffuse
 - Specular
- Specular based on camera
 - ↳ no camera \rightarrow no specular

orthogonal

$$\vec{a} \cdot \vec{b} = [a_1 \ a_2 \ a_3] \begin{bmatrix} b_1 \\ b_2 \\ b_3 \end{bmatrix} = 0$$

$$u \times v = \vec{u} = \begin{bmatrix} u_2 v_3 - u_3 v_2 \\ u_3 v_1 - u_1 v_3 \\ u_1 v_2 - u_2 v_1 \end{bmatrix}$$

Quaternion multiplication table:

-1	i	j	k
1	-i	-j	-k
i	-1	k	-j
j	-k	-1	i
k	j	-i	-1

Perspective division after projection with A:

$$c' = A \cdot c$$

c' new coords. after projection

$$\begin{bmatrix} c'_1 \\ c'_2 \\ c'_3 \\ c'_4 \end{bmatrix} = \begin{bmatrix} c_1 \\ c_2 \\ c_3 \\ c_4 \end{bmatrix} \begin{cases} \text{perspective division done} \\ \text{original c after projection} \end{cases}$$

- I : image, h : filter, symmetric
 $\Rightarrow I \circ h = I * h$
 $h' = 2 - h \Rightarrow I * h' = 2(I * h)$