

# The surface Ekman layer

The interior flow:

$$-f\bar{v} = -\frac{1}{\rho_0} \frac{\partial \bar{p}}{\partial x}, \quad f\bar{u} = -\frac{1}{\rho_0} \frac{\partial \bar{p}}{\partial y}$$

Substitution into the SBL momentum equations:

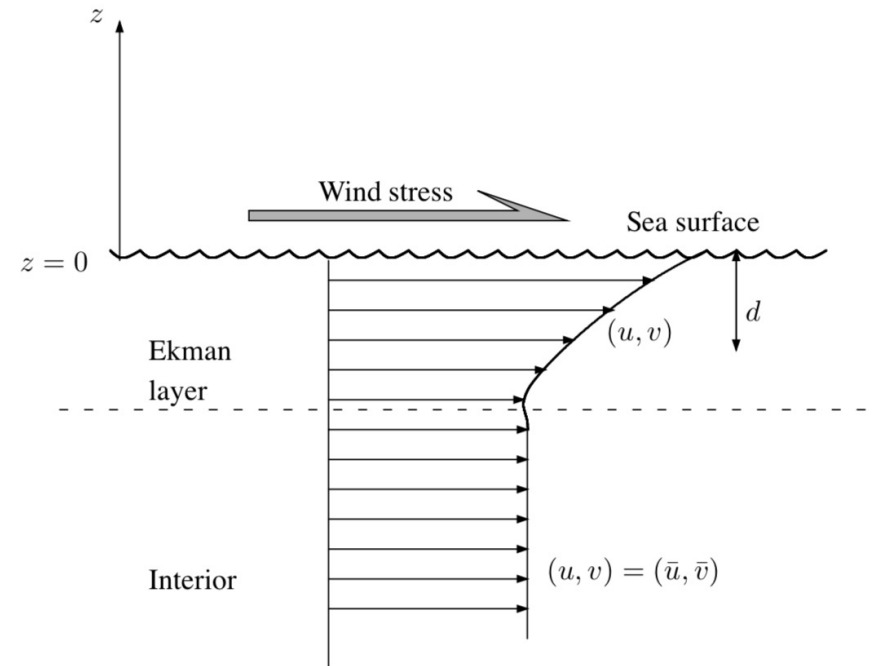
$$-f(v - \bar{v}) = \nu_E \frac{\partial^2 u}{\partial z^2}$$

$$f(u - \bar{u}) = \nu_E \frac{\partial^2 v}{\partial z^2}$$

Boundary conditions:

$$\text{Surface } (z = 0) : \quad \rho_0 \nu_E \frac{\partial u}{\partial z} = \tau^x, \quad \rho_0 \nu_E \frac{\partial v}{\partial z} = \tau^y$$

$$\text{Toward interior } (z \rightarrow -\infty) : \quad u = \bar{u}, \quad v = \bar{v}.$$



The solutions are:

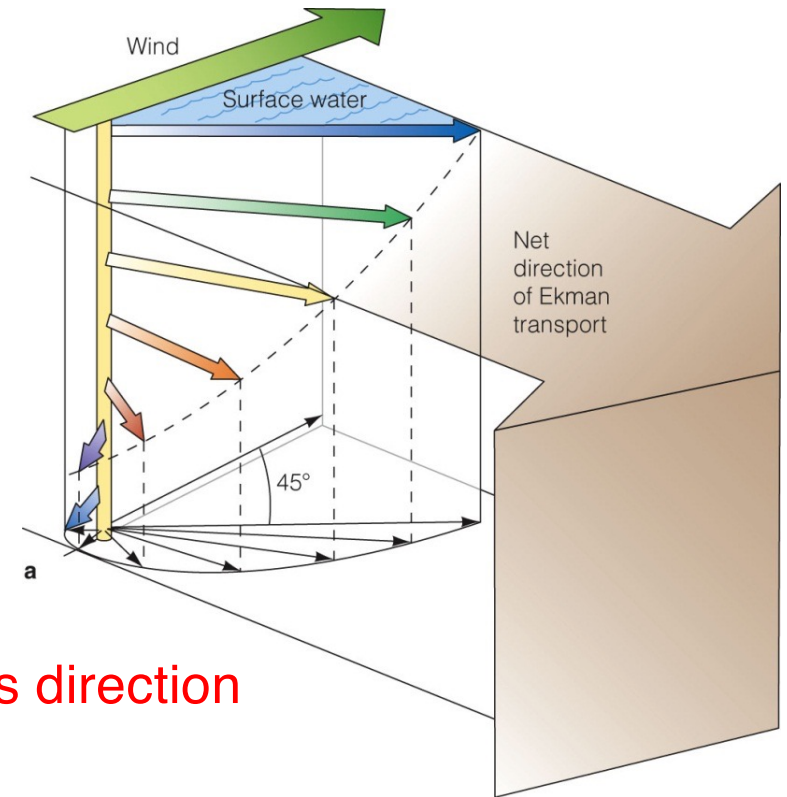
$$u = \bar{u} + \frac{\sqrt{2}}{\rho_0 f d} e^{z/d} \left[ \tau^x \cos \left( \frac{z}{d} - \frac{\pi}{4} \right) - \tau^y \sin \left( \frac{z}{d} - \frac{\pi}{4} \right) \right]$$
$$v = \bar{v} + \frac{\sqrt{2}}{\rho_0 f d} e^{z/d} \left[ \tau^x \sin \left( \frac{z}{d} - \frac{\pi}{4} \right) + \tau^y \cos \left( \frac{z}{d} - \frac{\pi}{4} \right) \right]$$

The surface Ekman transport:

$$U = \int_{-\infty}^0 (u - \bar{u}) dz = \frac{1}{\rho_0 f} \tau^y$$
$$V = \int_{-\infty}^0 (v - \bar{v}) dz = \frac{-1}{\rho_0 f} \tau^x$$

$$\mathbf{U} \cdot \boldsymbol{\tau} = 0$$

Ekman transport is perpendicular to the wind stress direction



$$U = \int_{-\infty}^0 (u - \bar{u}) dz = \frac{1}{\rho_0 f} \tau^y$$

$$V = \int_{-\infty}^0 (v - \bar{v}) dz = \frac{-1}{\rho_0 f} \tau^x.$$

The Ekman transport divergence (equal to the total transport divergence):

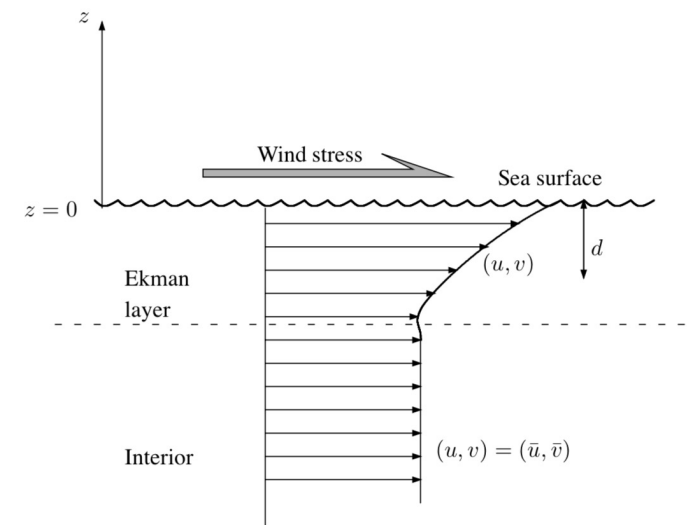
$$\frac{\partial U}{\partial x} + \frac{\partial V}{\partial y} = \int_{-\infty}^0 \left( \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} \right) dz = \frac{1}{\rho_0} \left[ \frac{\partial}{\partial x} \left( \frac{\tau^y}{f} \right) - \frac{\partial}{\partial y} \left( \frac{\tau^x}{f} \right) \right]$$

$$- \frac{\partial w}{\partial z}$$

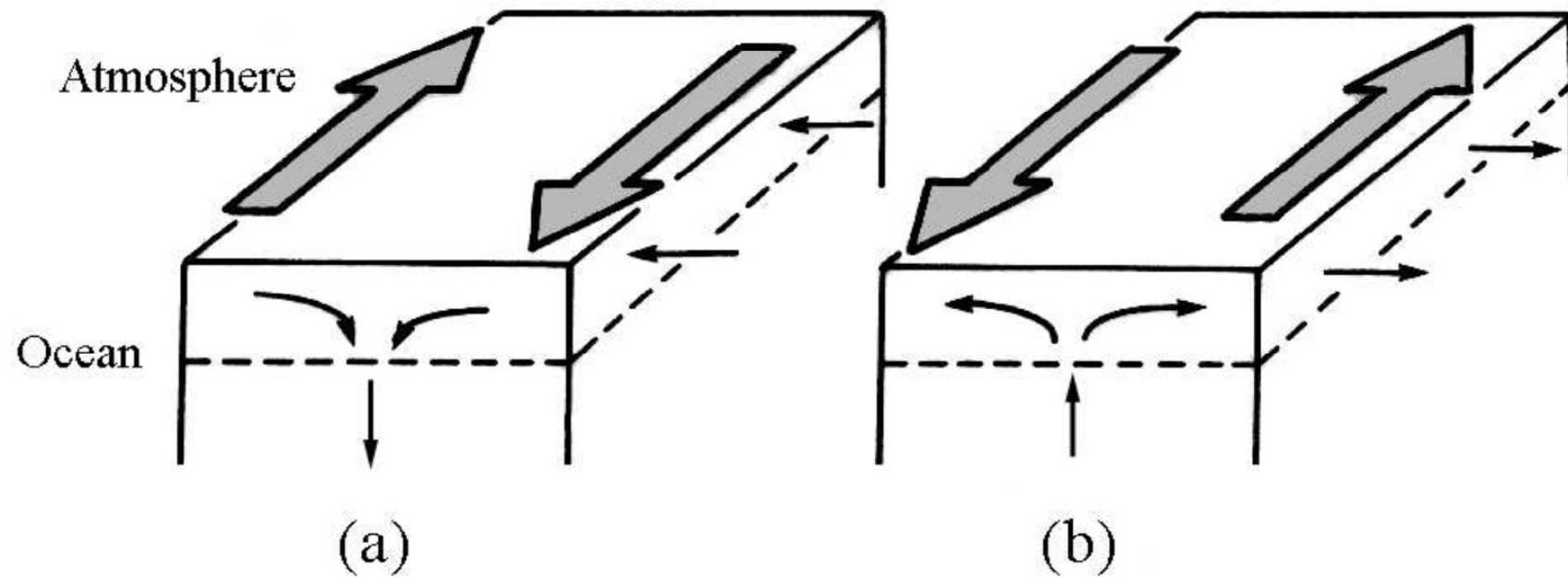
$$w|_{z=-\infty} - w|_{z=0}$$

Ekman pumping velocity:

$$\bar{w} = \frac{1}{\rho_0} \left[ \frac{\partial}{\partial x} \left( \frac{\tau^y}{f} \right) - \frac{\partial}{\partial y} \left( \frac{\tau^x}{f} \right) \right]$$

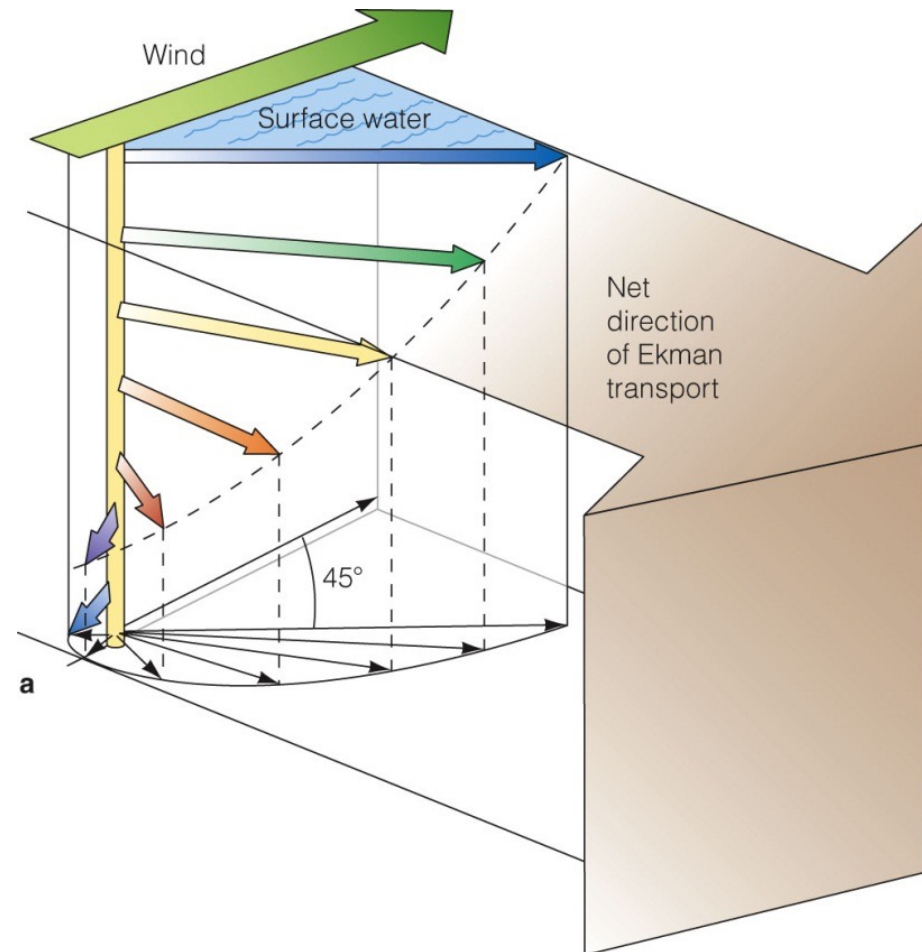


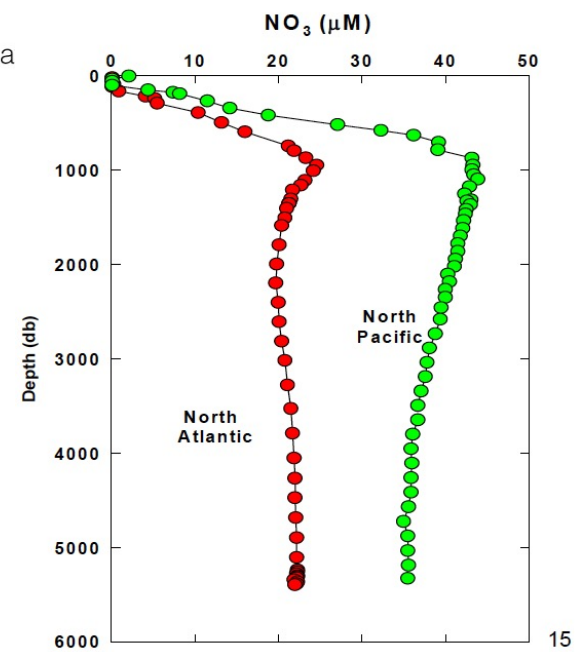
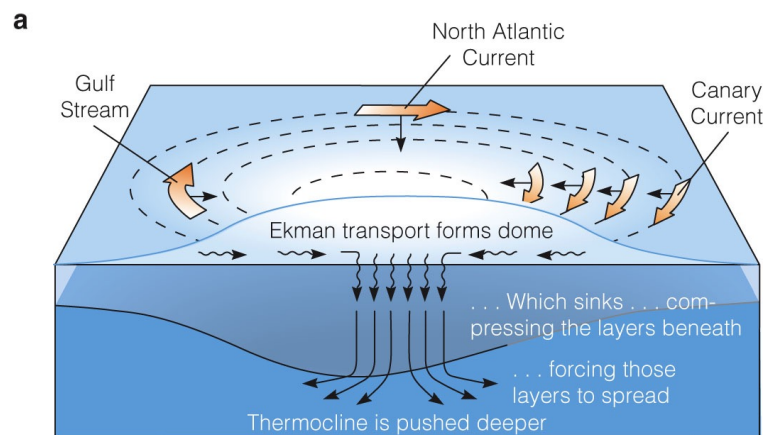
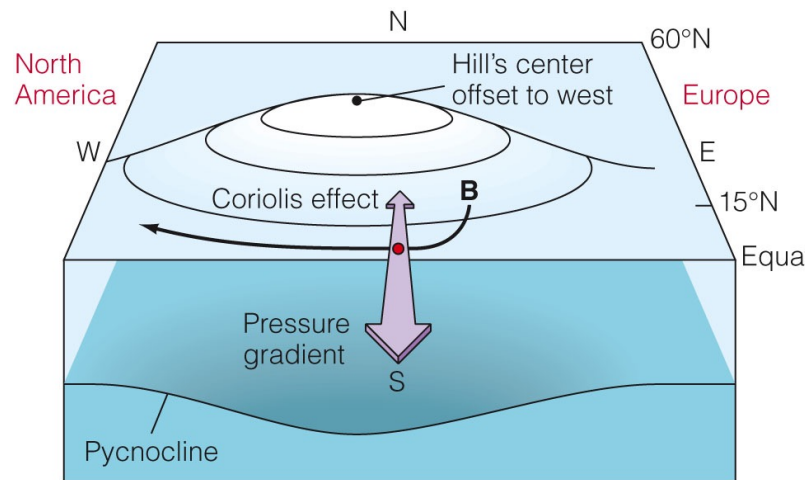
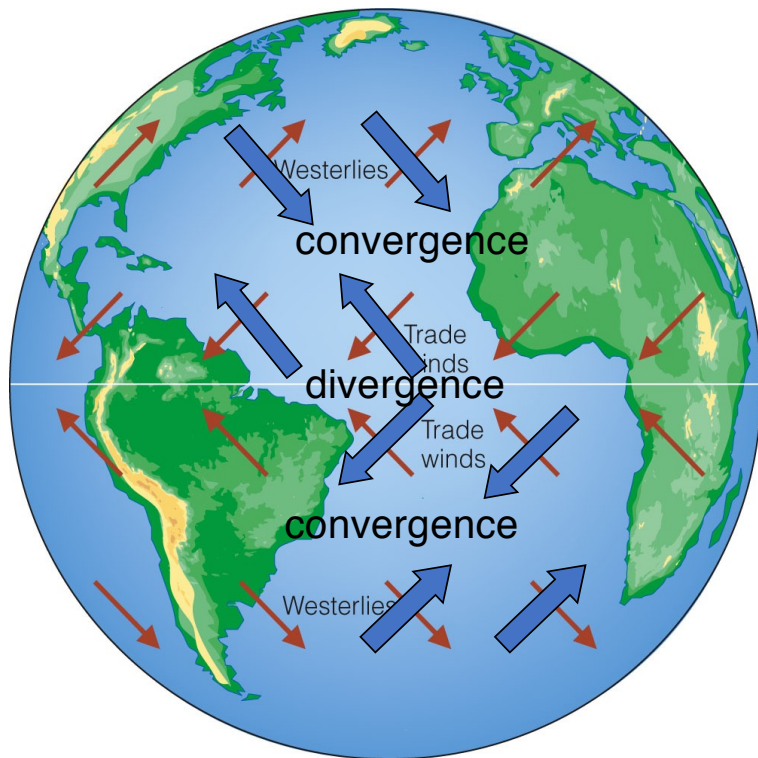
$$\bar{w} = \frac{1}{\rho_0} \left[ \frac{\partial}{\partial x} \left( \frac{\tau^y}{f} \right) - \frac{\partial}{\partial y} \left( \frac{\tau^x}{f} \right) \right]$$



**Figure 8-8** Ekman pumping in an ocean subject to sheared winds (case of Northern Hemisphere).

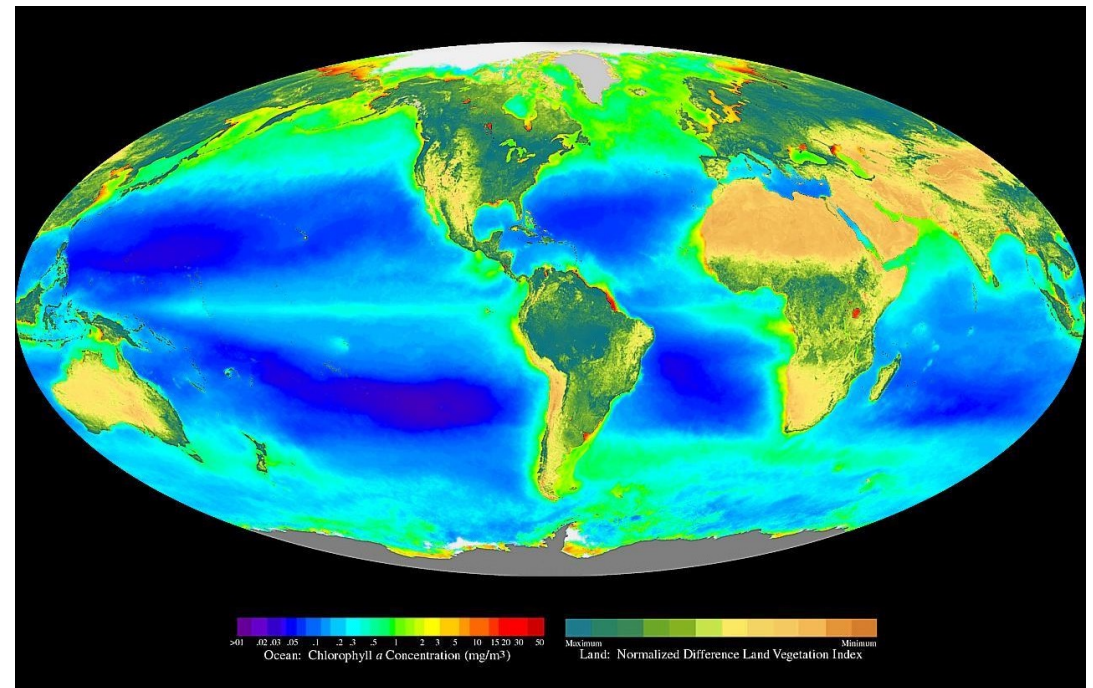
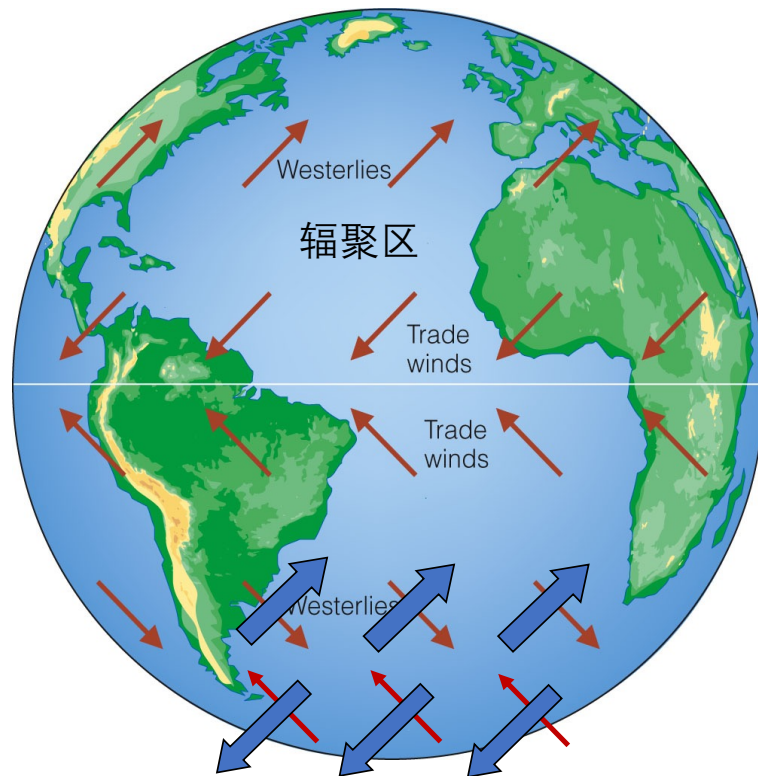
# Surface Ekman transport





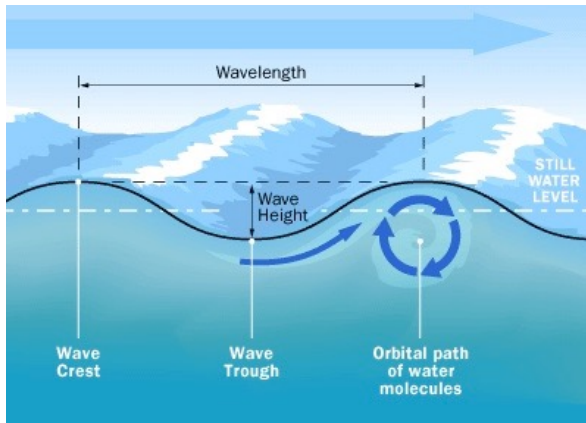


## global ocean surface chlorophyll concentration



# Surface gravity waves

Wave properties:



wavelength:  $\lambda$

wave number:  $K = 2\pi/\lambda$

wave period:  $T$

wave frequency:  $\omega = 2\pi/T$

wave speed:  $c = \frac{\lambda}{T} = \frac{\omega}{K}$

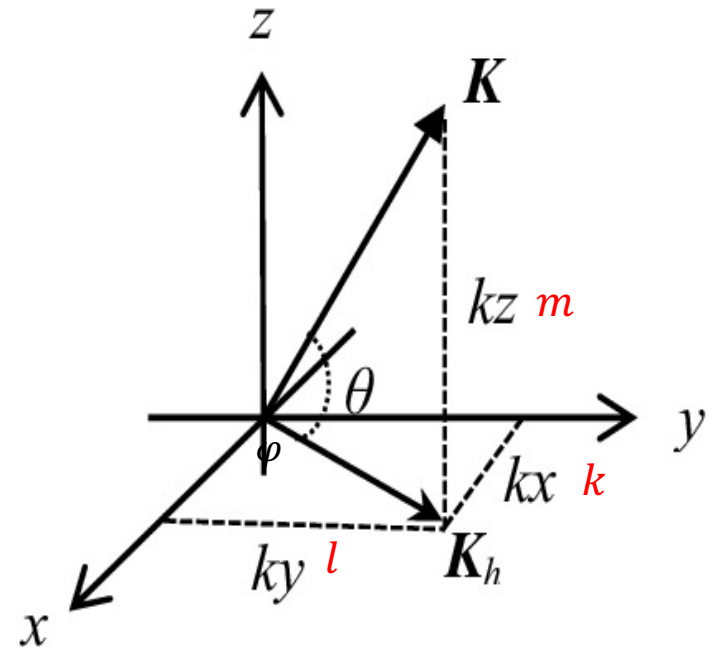


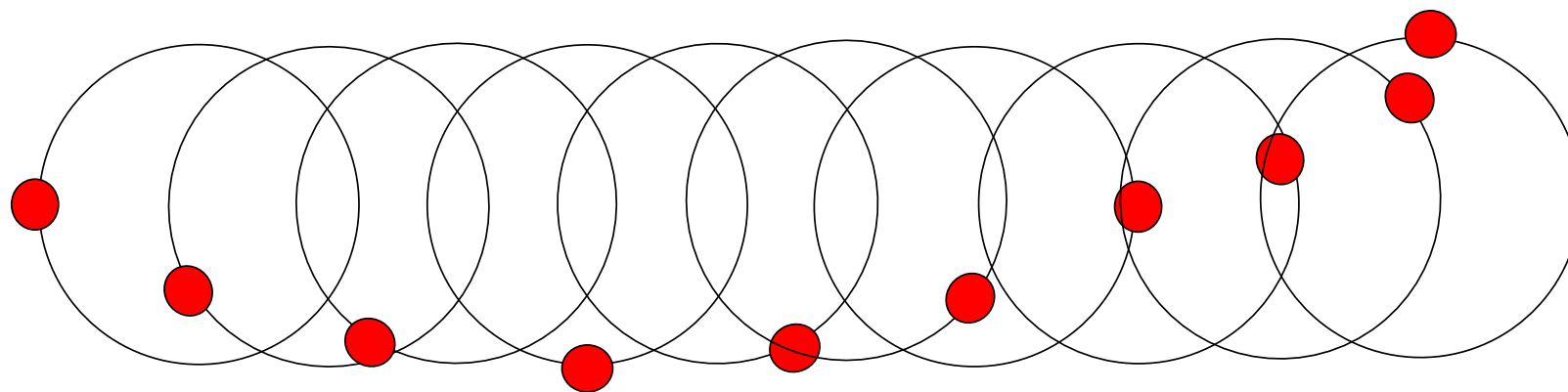
Fig. 1. Wave number vector and its components.

$$K = (k, l, m)$$

$$K^2 = k^2 + l^2 + m^2$$

The direction of wave number denotes the wave propagation direction





# Surface gravity waves

**Assumptions:** incompressible fluid, inviscid motion,  
small-scale motion ( $R_0 \gg 1$ ), linear motion (non-linear term neglected)

**Governing equation:**

$$\rho \frac{\partial \mathbf{u}}{\partial t} = -\nabla p - \rho \mathbf{g}$$

$$\nabla \cdot \mathbf{u} = 0$$

Take the curl of the momentum equation:

$$\frac{\partial \nabla \times \mathbf{u}}{\partial t} = 0$$

If the curl of velocity is initially 0, then it remains 0 for all time.

If  $\nabla \times \mathbf{u} = 0$ , the velocity can be represented by a velocity potential  $\phi$ :

$$\mathbf{u} = \nabla \phi$$

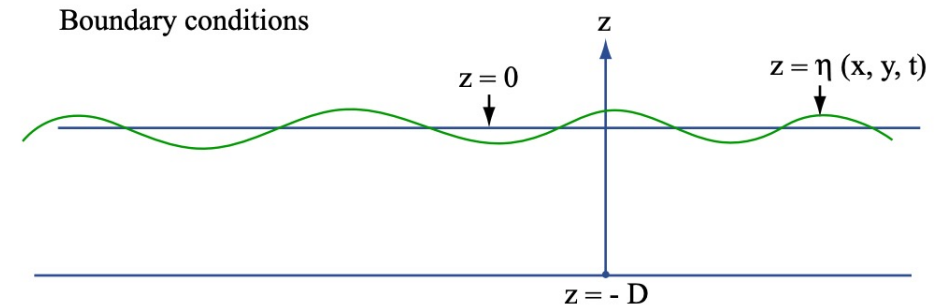
$$\nabla \cdot \mathbf{u} = \nabla \cdot \nabla \phi = \nabla^2 \phi = 0$$

Boundary conditions:

bottom boundary ( $z = -D$ ):  $w = \frac{\partial \phi}{\partial z} = 0$

surface boundary ( $z = \eta$ ):  $p(x, y, z, t) = p_a(x, y, t)$

$$w = \frac{\partial \phi}{\partial z} = \frac{d\eta}{dt} = \frac{\partial \eta}{\partial t}$$



$$\rho \frac{\partial \mathbf{u}}{\partial t} = \frac{\partial \nabla \phi}{\partial t} = -\nabla p - \rho g \nabla z$$

$$\nabla \left( \frac{\partial \phi}{\partial t} + \frac{p}{\rho} + gz \right) = 0$$

$$\frac{\partial \phi}{\partial t} + \frac{p}{\rho} + gz = \overset{0}{F(t)}$$

Apply the surface boundary condition ( $z = \eta$ ):  $\frac{\partial \phi}{\partial t} + \frac{p_a}{\rho} + g\eta = 0$

Take the time derivative:

$$\frac{\partial^2 \phi}{\partial t^2} + g \frac{\partial \eta}{\partial t} = -\frac{1}{\rho} \frac{\partial p_a}{\partial t}$$

$$w = \frac{\partial \phi}{\partial z} = \frac{d\eta}{dt} = \frac{\partial \eta}{\partial t}$$

$$g \frac{\partial \phi}{\partial z}$$

$$\frac{\partial^2 \phi}{\partial t^2} + g \frac{\partial \phi}{\partial z} = -\frac{1}{\rho} \frac{\partial p_a}{\partial t} \quad (z = \eta)$$

Can we change the surface boundary condition to  $z = 0$ ?

Given that  $\eta$  is small:

$$G(x, y, \eta) = G(x, y, 0) + \eta \frac{\partial G}{\partial z} \Big|_{z=0} + \cdots$$

*nonlinear term*

So the surface boundary condition turns to:

$$\frac{\partial^2 \phi}{\partial t^2} + g \frac{\partial \phi}{\partial z} = -\frac{1}{\rho} \frac{\partial p_a}{\partial t} \quad (z = 0) \quad \text{wave problem}$$

Bottom boundary condition ( $z = -D$ ):

$$w = \frac{\partial \phi}{\partial z} = 0$$

Can we apply a three-dimensional plane wave solution?

$$\phi = \text{Re} e^{i(kx+ly+mz-\omega t)}$$

At  $z = -D$ ,  $w = \frac{\partial \phi}{\partial z} \neq 0$ , bottom boundary condition cannot be satisfied

So we can only apply a **two-dimensional plane (x-y) wave solution**:

$$\phi = R(z) e^{i(kx+ly-\omega t)}$$

Plug the wave solution into the governing equation  $\nabla^2 \phi = 0$ :

$$-k^2 R - l^2 R + \frac{d^2 R}{dz^2} = 0$$

$$\frac{d^2 R}{dz^2} - K^2 R = 0 \quad (K^2 = k^2 + l^2)$$

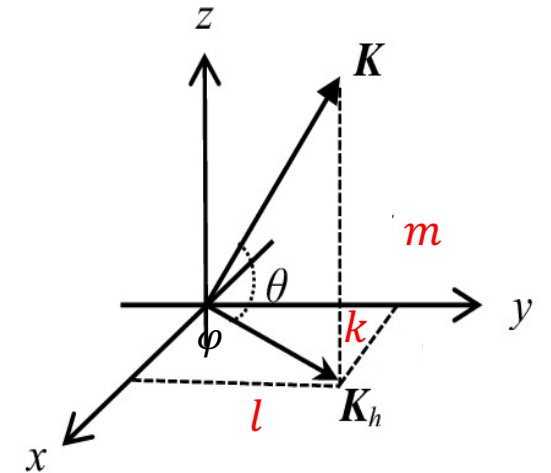


Fig. 1. Wave number vector and its components.



$$\phi = R(z)e^{ik(x+ly-\omega t)}$$

Bottom boundary condition ( $z = -D$ ):

$$w = \frac{\partial \phi}{\partial z} = 0 \Rightarrow \frac{dR}{dz} = 0$$

$$R = A \cosh K(z + D)$$

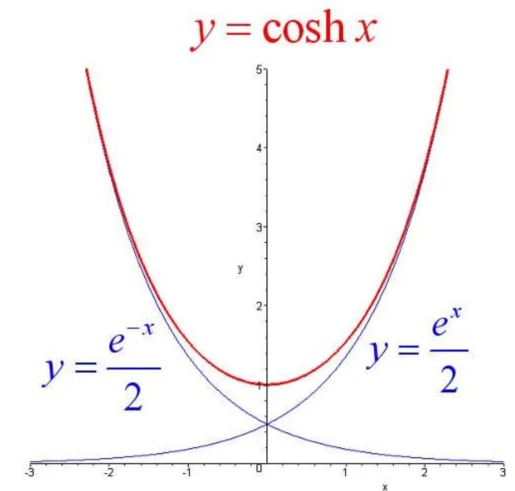
Apply the surface boundary condition ( $z = 0$ ):

$$\frac{\partial^2 \phi}{\partial t^2} + g \frac{\partial \phi}{\partial z} = -\frac{1}{\rho} \frac{\partial p_a}{\partial t}$$

Assume a constant  $p_a$ :

$$-\omega^2 R + g \frac{dR}{dz} = 0$$

$$-\omega^2 A \cosh KD + g K A \sinh KD = 0$$



$$\cosh x = \frac{e^x + e^{-x}}{2}$$

$$\sinh x = \frac{e^x - e^{-x}}{2}$$

$$\omega = \pm \sqrt{gK \tanh KD}$$

$$\omega = \pm \sqrt{gK \tanh KD} \quad \text{dispersion relation}$$

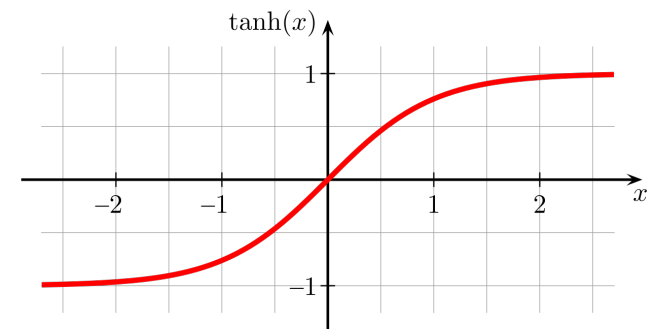
$$c = \frac{\omega}{K} = \pm \sqrt{gD} \left( \frac{\tanh KD}{KD} \right)^{1/2}$$

Plane waves with different wavelength have different wave speed, and the pattern will disperse

For  $KD \ll 1$  ( $\lambda \gg D$ , shallow water waves) (L'Hopital's rule)

$$\lim_{KD \rightarrow 0} \frac{\tanh KD}{KD} = \lim_{KD \rightarrow 0} \frac{(\tanh KD)'}{(KD)'} = \lim_{KD \rightarrow 0} \frac{1}{\cosh^2 KD} = 1$$

$$c = \sqrt{gD}$$



the wave speed does not depend on the wavelength, non-dispersive waves

For  $KD \gg 1$  ( $\lambda \ll D$ , deep water waves):  $\tanh KD \rightarrow 1$

$$c = \sqrt{g/K}$$

the wave speed depends on the wavelength, dispersive waves

$$\omega^2 = gK \tanh KD$$

Group velocity:

$$c_g = \frac{\partial \omega}{\partial K} \frac{K}{\omega}$$

$$2\omega \partial \omega = g \left[ \partial K \tanh KD + K \frac{1}{\cosh^2 KD} D \partial K \right]$$

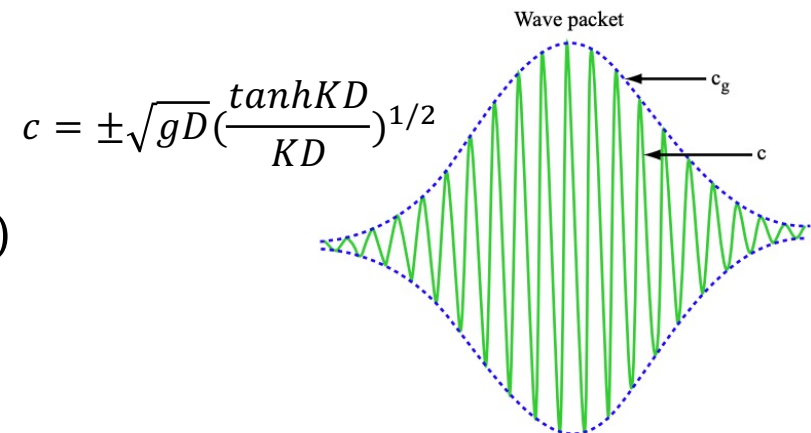
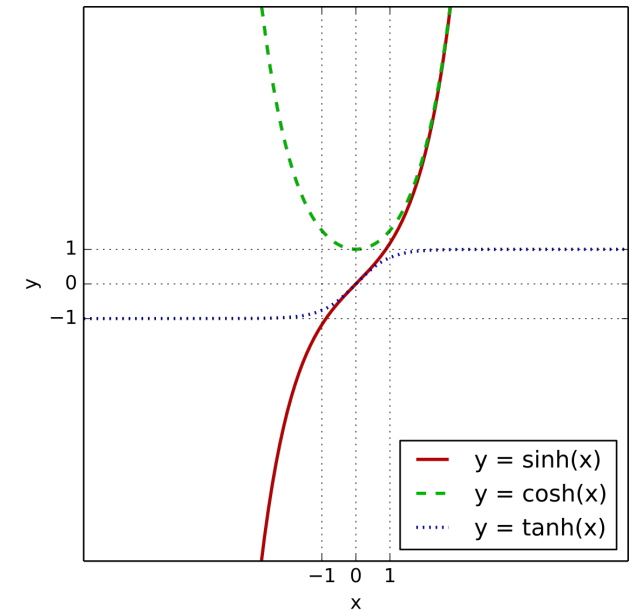
$$\frac{\omega}{K} \frac{\partial \omega}{\partial K} = \frac{1}{2} \frac{g}{K} \left[ \tanh KD + KD \frac{1}{\cosh^2 KD} \right]$$

$$c \cdot c_g = \frac{1}{2} \frac{g}{K} \left( \tanh KD + \frac{KD}{\cosh^2 KD} \right)$$

$$\frac{c_g}{c} = \frac{1}{2} \left( 1 + \frac{KD}{\sinh KD \cdot \cosh KD} \right)$$

For  $KD \ll 1$  (shallow water waves):  $c_g = c$

For  $KD \gg 1$  (deep water waves):  $c_g = \frac{1}{2} c$



A wave packet propagating with the group velocity carries a plane wave with crest moving with the phase speed