

## Internal waves – without rotation

**Assumptions:** stratified, non-rotational, inviscid, incompressible, small perturbation

The density in a **stratified system** with **wave perturbation** is:

$$\rho = \rho_0 + \bar{\rho}(z) + \rho'(x, y, z, t) \quad \rho' \ll \bar{\rho}(z) \ll \rho_0$$

The governing equations are:

$$\frac{\partial u}{\partial t} = -\frac{1}{\rho_0} \frac{\partial p}{\partial x}$$

$$\frac{\partial v}{\partial t} = -\frac{1}{\rho_0} \frac{\partial p}{\partial y}$$

$$\frac{\partial w}{\partial t} = -\frac{1}{\rho_0} \frac{\partial p}{\partial z} - \frac{\rho}{\rho_0} g$$

$$\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} + \frac{\partial w}{\partial z} = 0$$

$$\frac{\partial \rho}{\partial t} + w \frac{d\bar{\rho}}{dz} = 0$$

incompressible fluid:

$$\frac{d\rho}{dt} = 0$$

$$\frac{\partial \rho}{\partial t} + u \frac{\partial \rho}{\partial x} + v \frac{\partial \rho}{\partial y} + w \frac{\partial \rho}{\partial z} = 0$$

$$\frac{\partial \rho}{\partial t} + u \cancel{\frac{\partial \rho'}{\partial x}} + v \cancel{\frac{\partial \rho'}{\partial y}} + w \frac{\partial \bar{\rho}}{\partial z} + w \cancel{\frac{\partial \rho'}{\partial z}} = 0$$

From the horizontal momentum equations:

$$\frac{\partial u}{\partial t} = -\frac{1}{\rho_0} \frac{\partial p}{\partial x}$$

$$\frac{\partial v}{\partial t} = -\frac{1}{\rho_0} \frac{\partial p}{\partial y}$$

$$\frac{\partial}{\partial t} \left( \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} \right) = -\frac{1}{\rho_0} \nabla_h^2 p = -\frac{\partial^2 w}{\partial z \partial t} \quad (1)$$

From the vertical momentum equation:

$$\frac{\partial w}{\partial t} = -\frac{1}{\rho_0} \frac{\partial p}{\partial z} - \frac{\rho}{\rho_0} g$$

$$\frac{\partial^2 w}{\partial t^2} = -\frac{1}{\rho_0} \frac{\partial^2 p}{\partial z \partial t} - \frac{g}{\rho_0} \frac{\partial \rho}{\partial t}$$

$$\frac{\partial \rho}{\partial t} + w \frac{d\bar{\rho}}{dz} = 0$$

$$= -\frac{1}{\rho_0} \frac{\partial^2 p}{\partial z \partial t} + \frac{g}{\rho_0} w \frac{d\bar{\rho}}{dz}$$

$$= -\frac{1}{\rho_0} \frac{\partial^2 p}{\partial z \partial t} - N^2 w \quad (2)$$

From (1):

$$-\frac{1}{\rho_0} \frac{\partial^2}{\partial z \partial t} \nabla_h^2 p = -\frac{\partial^4 w}{\partial z^2 \partial t^2}$$

From (2):

$$\frac{\partial^2}{\partial t^2} \nabla_h^2 w = -\frac{\partial^4 w}{\partial z^2 \partial t^2} - N^2 \nabla_h^2 w$$

$$\frac{\partial^2}{\partial t^2} \nabla^2 w + N^2 \nabla_h^2 w = 0$$

$$\frac{\partial^2}{\partial t^2} \nabla^2 w + N^2 \nabla_h^2 w = 0$$

Assume **constant**  $N^2$ , and apply a wavelike solution:

$$w = w_0 e^{i(kx+ly+mz-\omega t)}$$

$$(-i\omega)^2 [(ik)^2 + (il)^2 + (im)^2] + N^2 [(ik)^2 + (il)^2] = 0$$

$$\omega^2 (k^2 + l^2 + m^2) - N^2 (k^2 + l^2) = 0$$

$$\begin{aligned} \omega^2 &= \frac{N^2 (k^2 + l^2)}{k^2 + l^2 + m^2} = N^2 \frac{K_h^2}{K^2} \\ &= N^2 \cos^2 \theta \end{aligned}$$

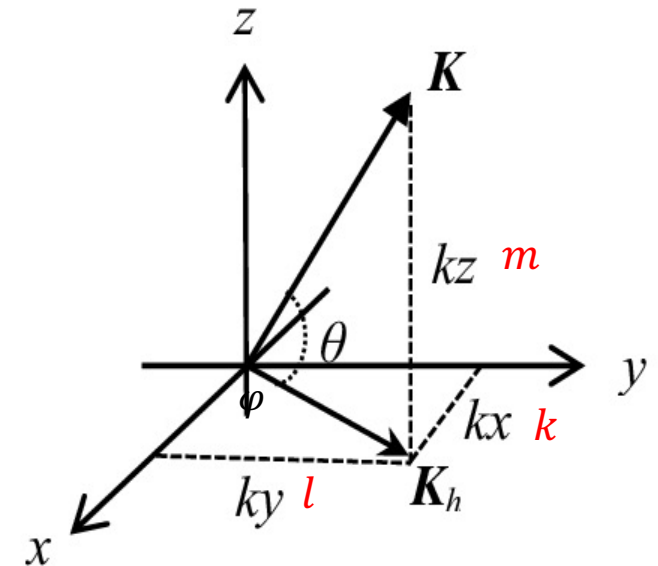


Fig. 1. Wave number vector and its components.

### Properties of internal waves:

- the propagation of internal waves are not restricted to the horizontal plane
- $\omega \leq N$ ,  $\omega = N$  when waves propagate in the horizontal direction

- $\omega$  is independent of the wave number magnitude

$(u, v, w) = (u_0, v_0, w_0)e^{i(kx+ly+mz-\omega t)}$ , and from the continuity equation:

$$\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} + \frac{\partial w}{\partial z} = 0$$

$$(iku_0 + ilv_0 + imw_0)e^{i(kx+ly+mz-\omega t)} = 0 \quad \mathbf{K \cdot u = 0}$$

- the flow direction is perpendicular to the wave propagation

The group speed:

$$c_{gx} = \frac{\partial \omega}{\partial k} = \frac{N}{K} \sin^2 \theta \cos \varphi$$

$$c_{gy} = \frac{\partial \omega}{\partial l} = \frac{N}{K} \sin^2 \theta \sin \varphi$$

$$c_{gz} = \frac{\partial \omega}{\partial m} = -\frac{N}{K} \cos \theta \sin \theta$$

$$\omega^2 = \frac{N^2(k^2 + l^2)}{k^2 + l^2 + m^2}$$

$$c_z \cdot c_{gz} = \frac{\omega}{m} \cdot \frac{\partial \omega}{\partial m} = \frac{N \cos \theta}{K \sin \theta} \cdot -\frac{N}{K} \cos \theta \sin \theta = -\frac{N^2}{K^2} \cos^2 \theta < 0$$

- vertical phase speed is opposite to the vertical group speed

$$\mathbf{K} \cdot \mathbf{c}_g = k \frac{\partial \omega}{\partial k} + l \frac{\partial \omega}{\partial l} + m \frac{\partial \omega}{\partial m}$$

$$= K \cos \theta \cos \varphi \frac{N}{K} \sin^2 \theta \cos \varphi + K \cos \theta \sin \varphi \frac{N}{K} \sin^2 \theta \sin \varphi + K \sin \theta \left( -\frac{N}{K} \cos \theta \sin \theta \right)$$

$$= N \cos \theta \sin^2 \theta \cos^2 \varphi + N \cos \theta \sin^2 \theta \sin^2 \varphi - N \cos \theta \sin^2 \theta$$

$$= 0$$

- group speed is perpendicular to the wave propagation direction

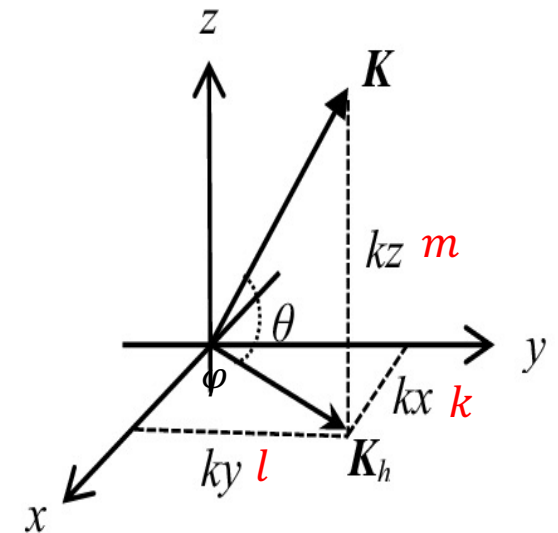
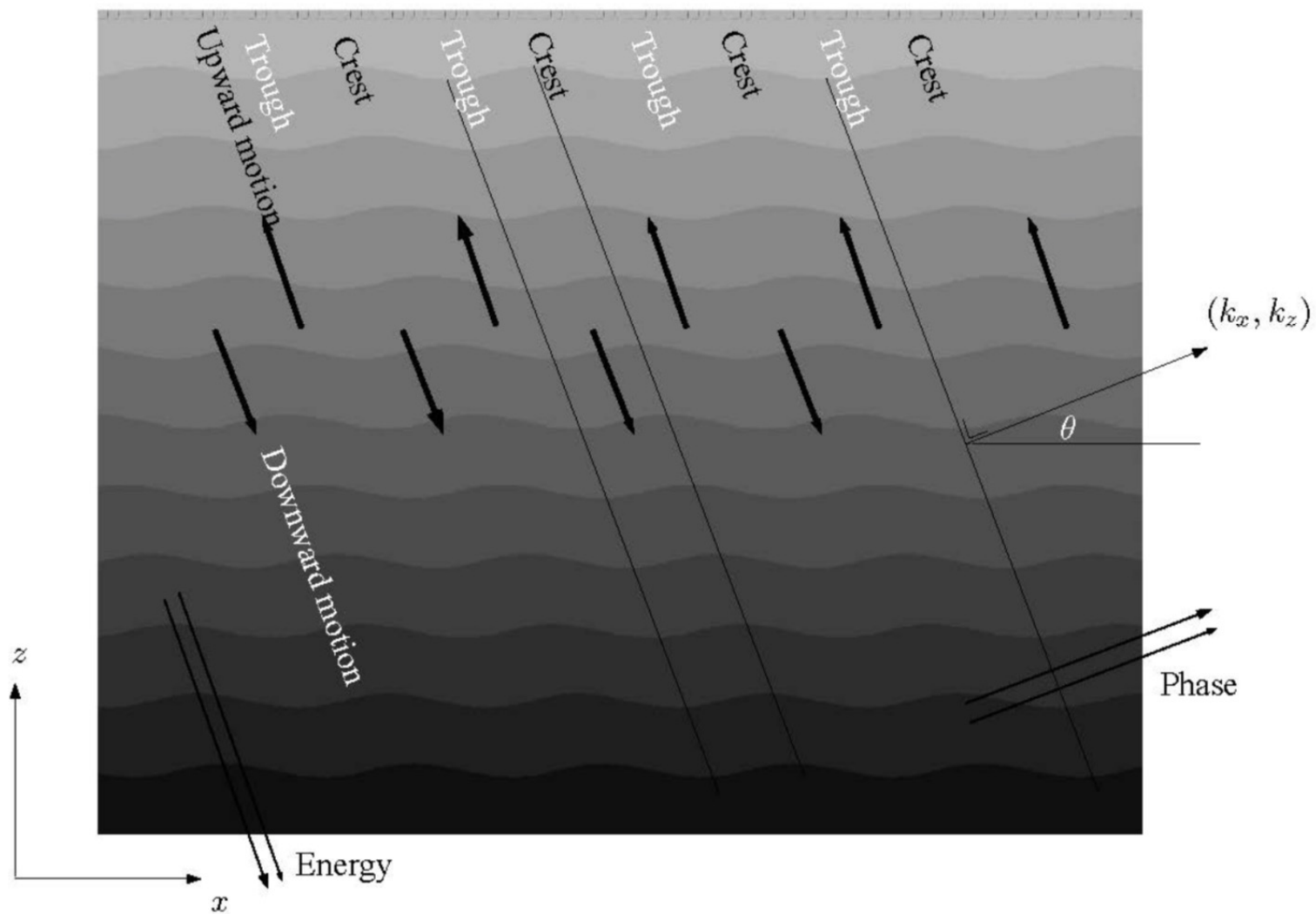


Fig. 1. Wave number vector and its components.

$$k = K \cos \theta \cos \varphi$$

$$l = K \cos \theta \sin \varphi$$

$$m = K \sin \theta$$



**Figure 13-3** Vertical structure of an internal wave.

## Internal waves – with rotation

**Assumptions:** stratified, rotational, inviscid, incompressible, small perturbation

The governing equations are:

$$\frac{\partial u}{\partial t} - fv = -\frac{1}{\rho_0} \frac{\partial p}{\partial x}$$

$$\frac{\partial v}{\partial t} + fu = -\frac{1}{\rho_0} \frac{\partial p}{\partial y}$$

$$\frac{\partial w}{\partial t} = -\frac{1}{\rho_0} \frac{\partial p}{\partial z} - \frac{\rho}{\rho_0} g$$

$$\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} + \frac{\partial w}{\partial z} = 0$$

$$\frac{\partial \rho}{\partial t} + w \frac{d\bar{\rho}}{dz} = 0$$

From the horizontal momentum equations:

$$\frac{\partial u}{\partial t} - fv = -\frac{1}{\rho_0} \frac{\partial p}{\partial x} \quad (1)$$

$$\frac{\partial v}{\partial t} + fu = -\frac{1}{\rho_0} \frac{\partial p}{\partial y} \quad (2)$$

$$\frac{\partial}{\partial x}(1) + \frac{\partial}{\partial y}(2):$$

$$\begin{aligned} \frac{\partial}{\partial t} \left( \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} \right) - f\zeta &= -\frac{1}{\rho_0} \nabla_h^2 p \\ -\frac{\partial^2 w}{\partial z \partial t} - f\zeta &= -\frac{1}{\rho_0} \nabla_h^2 p \\ -\frac{\partial^3 w}{\partial z \partial t^2} - f \frac{\partial \zeta}{\partial t} &= -\frac{1}{\rho_0} \frac{\partial}{\partial t} \nabla_h^2 p \end{aligned} \quad (3)$$

$$\frac{\partial}{\partial x}(2) - \frac{\partial}{\partial y}(1):$$

$$\begin{aligned} \frac{\partial \zeta}{\partial t} + f \left( \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} \right) &= 0 \\ \frac{\partial \zeta}{\partial t} &= f \frac{\partial w}{\partial z} \end{aligned} \quad (4)$$

Combine (3) and (4), and eliminate  $\zeta$ :

$$\frac{\partial^2}{\partial t^2} \left( \frac{\partial w}{\partial z} \right) + f^2 \frac{\partial w}{\partial z} = \frac{1}{\rho_0} \frac{\partial}{\partial t} \nabla_h^2 p \quad (5)$$

From the vertical momentum equation and the density equation:

$$\frac{\partial w}{\partial t} = -\frac{1}{\rho_0} \frac{\partial p}{\partial z} - \frac{\rho}{\rho_0} g$$

$$\frac{\partial \rho}{\partial t} + w \frac{d\bar{\rho}}{dz} = 0$$

$$\frac{\partial^2 w}{\partial t^2} = -\frac{1}{\rho_0} \frac{\partial^2 p}{\partial z \partial t} - N^2 w \quad (6)$$

Combine (5) and (6), and eliminate  $p$ :

$$\frac{\partial^2}{\partial t^2} \nabla^2 w + f^2 \frac{\partial^2 w}{\partial z^2} + N^2 \nabla_h^2 w = 0$$



$$\frac{\partial^2}{\partial t^2} \nabla^2 w + f^2 \frac{\partial^2 w}{\partial z^2} + N^2 \nabla_h^2 w = 0$$

Assume constant  $N^2$ , and apply a wavelike solution  $w = w_0 e^{i(kx+ly+mz-\omega t)}$  :

$$(-i\omega)^2 [(ik)^2 + (il)^2 + (im)^2] + f^2 (im)^2 + N^2 [(ik)^2 + (il)^2] = 0$$

$$\omega^2 (k^2 + l^2 + m^2) - f^2 m^2 - N^2 (k^2 + l^2) = 0$$

$$\begin{aligned} \omega^2 &= \frac{N^2 (k^2 + l^2) + f^2 m^2}{k^2 + l^2 + m^2} \\ &= \frac{N^2 K_h^2 + f^2 m^2}{K^2} \\ &= N^2 \cos^2 \theta + f^2 \sin^2 \theta \end{aligned}$$

What if  $\theta = 0$ ?

$$\omega^2 - N^2 = (f^2 - N^2) \sin^2 \theta \leq 0$$

$$\omega^2 - f^2 = (N^2 - f^2) \cos^2 \theta \geq 0 \quad f^2 \leq \omega^2 \leq N^2$$

$$f^2 < N^2$$

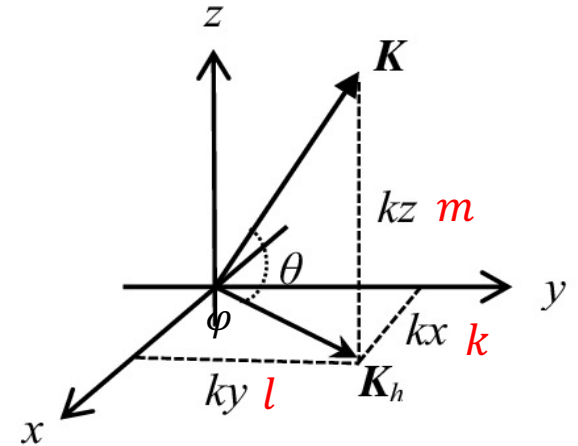
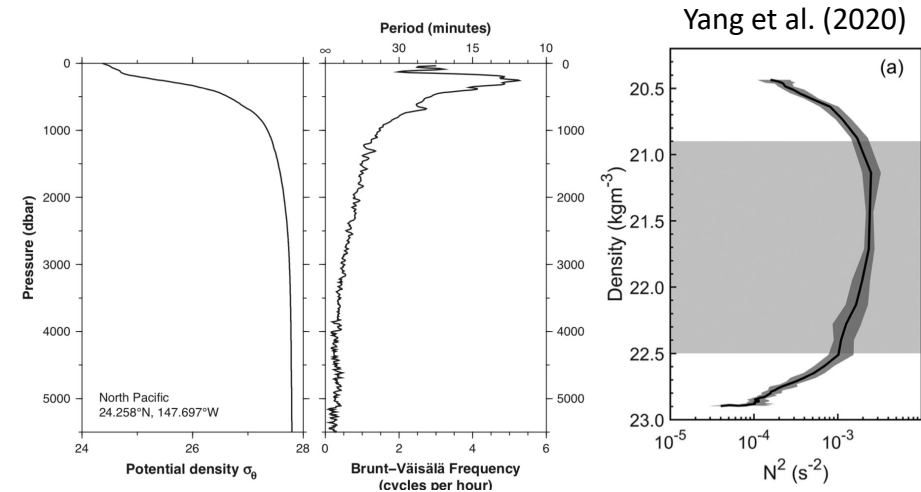


Fig. 1. Wave number vector and its components.



Yang et al. (2020)

$(u, v, w) = (u_0, v_0, w_0)e^{i(kx+ly+mz-\omega t)}$ , and from the continuity equation:

$$\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} + \frac{\partial w}{\partial z} = 0$$

$$(iku_0 + ilv_0 + imw_0)e^{i(kx+ly+mz-\omega t)} = 0 \quad \mathbf{K} \cdot \mathbf{u} = 0$$

The flow direction is perpendicular to the wave propagation

Consider  $w = w_0e^{i(kx+mz-\omega t)}$ :

$$\omega^2 = N^2 \frac{k^2}{k^2 + m^2} + f^2 \frac{m^2}{k^2 + m^2}$$

$$\omega^2 = \frac{N^2(k^2 + l^2) + f^2m^2}{k^2 + l^2 + m^2}$$

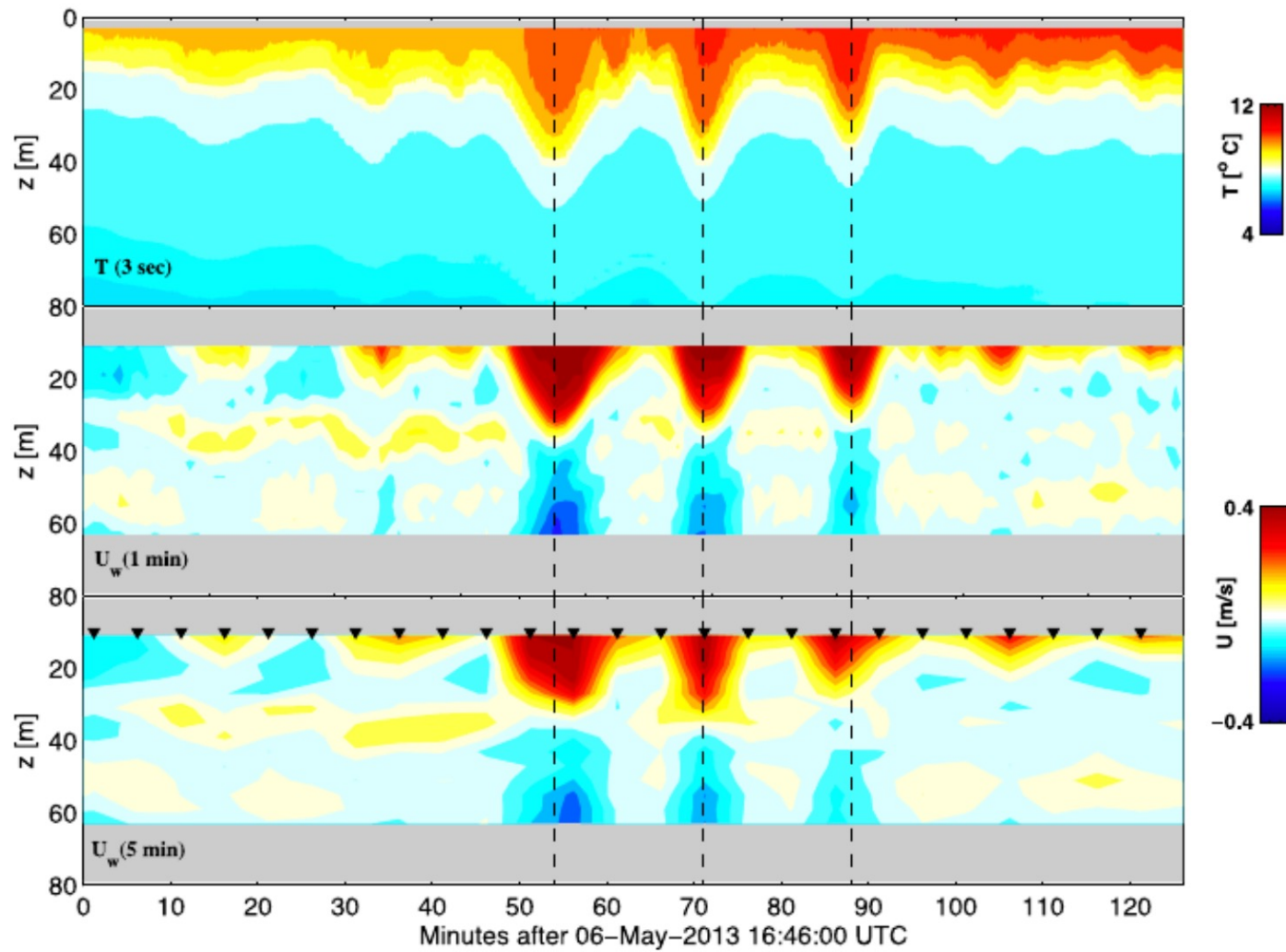
$$c_{gx} = \frac{\partial \omega}{\partial k} = (N^2 - f^2) \frac{mk^2}{\omega K^4}$$

$$c_{gz} = \frac{\partial \omega}{\partial m} = -(N^2 - f^2) \frac{mk^2}{\omega K^4}$$

$$\mathbf{c}_z \cdot \mathbf{c}_{gz} = -\frac{\omega}{m} \cdot (N^2 - f^2) \frac{mk^2}{\omega K^4} < 0$$

$$\mathbf{K} \cdot \mathbf{c}_g = kc_{gx} + mc_{gz} = (N^2 - f^2) \frac{m^2k^2}{\omega K^4} - (N^2 - f^2) \frac{m^2k^2}{\omega K^4} = 0$$

The energy propagation direction is perpendicular to the wave propagation direction

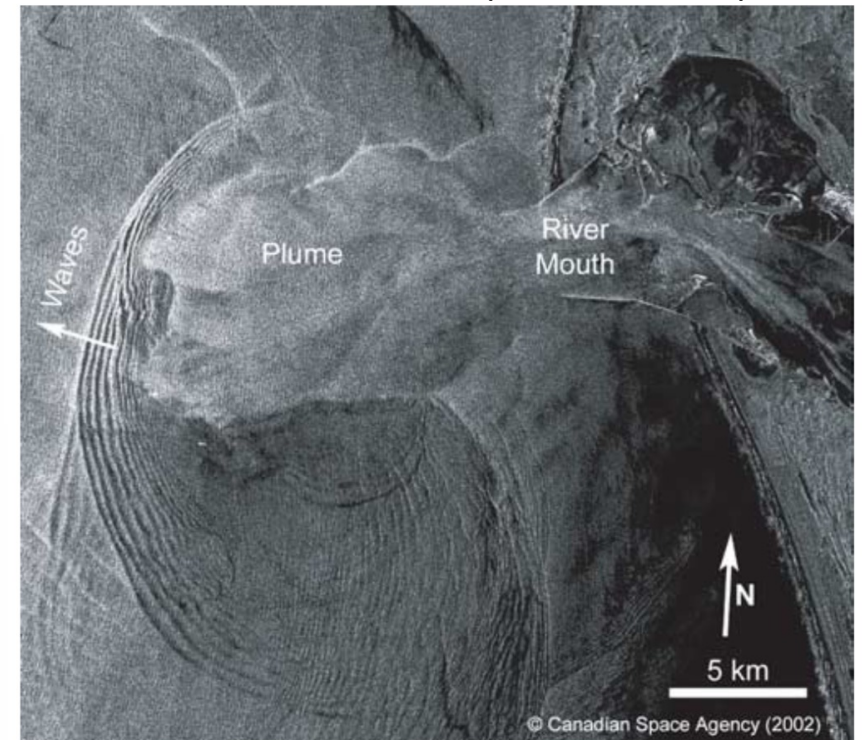
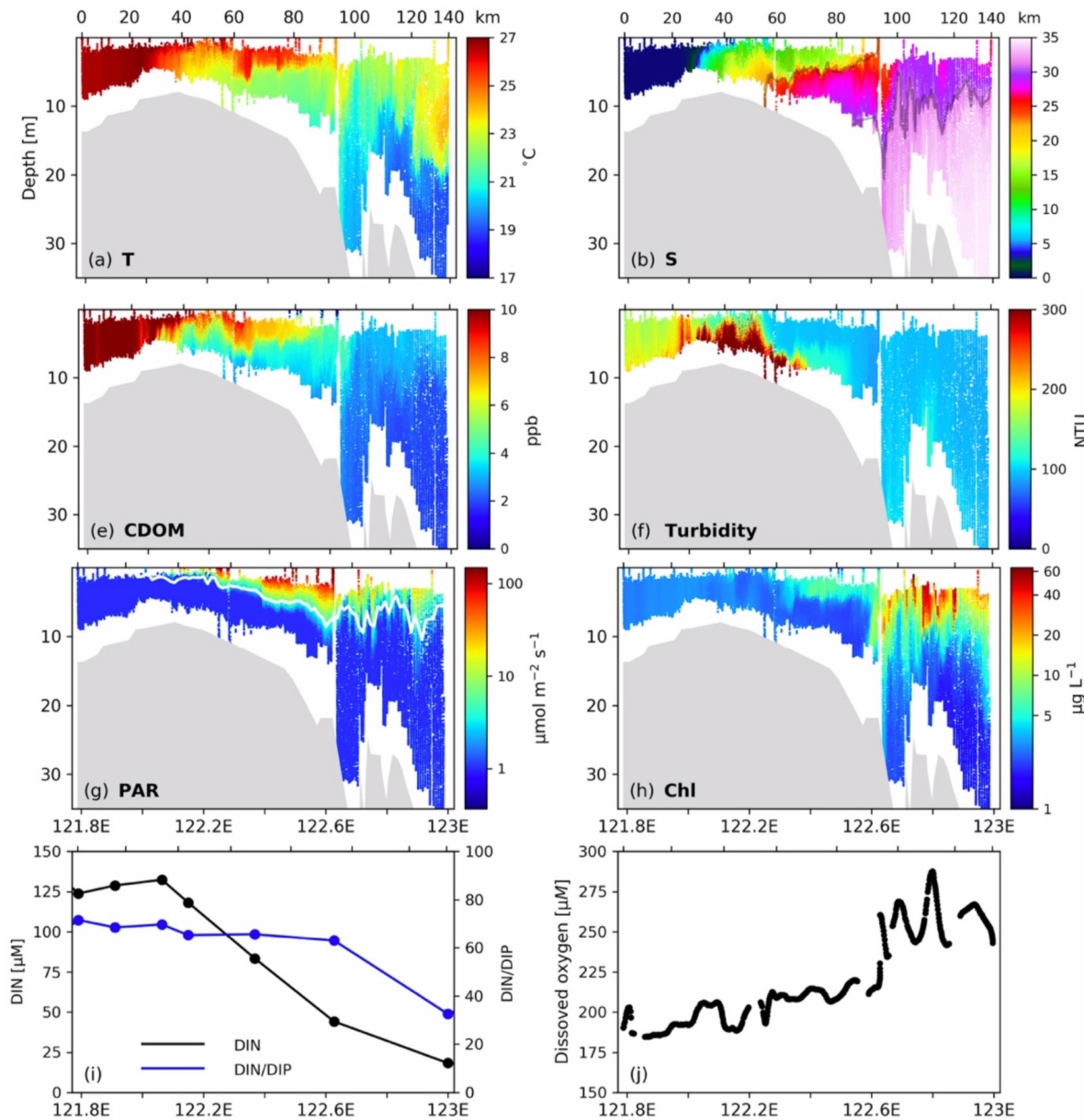


**Figure 6.** Temperature, 1 min velocity and subsampled 5 min velocity for a wave detected on 6 May 2013. Vertical dashed lines denote detected wave troughs. Black triangles denote the 5 min subsampling time.

Zhang et al. (2014, JGR)

# Internal waves generated by plume front

Nash and Moum (2005, Nature)



**Figure 1 | Synthetic aperture radar (SAR) image of the Columbia River plume on 9 August 2002.** Image indicates regions of enhanced surface roughness associated with plume-front and internal wave velocity convergences. Similar features appear in images during all summertime months (April–October; see <http://oceanweb.ocean.washington.edu/rise/data.htm> for more Columbia River plume images) and from other regions<sup>1,2</sup>. SAR image courtesy of P. Orton, T. Sanders and D. Jay; image was processed at the Alaska Satellite Facility, and is copyright Canadian Space Agency.