# Accelerating sequence alignment using parallel prefix

**DS 202** 

### Agenda

- Preliminaries
- Prefix Sums
- Parallel Prefix Sums
- Sequence alignement with affine gap costs
- Parallel sequence alignment with prefix sums
- Implementation (OpenMP)
- Experiments

#### **Collective Communication Primitives**

- **Broadcast:** In a Broadcast operation, one processor has a message of size l to be sent to all other processors. This operation takes  $O((\tau + \mu)log(p))$  time.
- **Reduce:** Consider n data items  $x_0, x_1, \ldots, x_{n-1}$  and a binary associative operator  $\oplus$  that operates on these data items and produces a result of the same type. We want to compute  $s = x_0 \oplus x_1 \oplus \ldots \oplus x_{n-1}$ . This operation takes  $O(\frac{n}{p} + (\tau + \mu)log(p))$ .

#### Speedup

- Let  $T_1$  = running time using single processing elements
- Let  $T_p$  = running time using p identical processing elements

• Speedup 
$$S_p = \frac{T_1}{T_p}$$
, Theoritically,  $S_p \leq p$ 

• Here  $S_p = p$  (Perfect or linear or ideal speedup)

Efficiency(
$$\eta$$
) =  $\frac{T_1}{pT_p}$ 

#### Parallelism and span law

- We define  $T_p$  = runtime on p identical processing elements
- Then span,  $T_{\infty}$  = runtime on an infinite number of identical processing elements

• Parallelism: 
$$P=\frac{T_1}{T_\infty}$$

- Parallelism is an upper bound on speedup, i.e.,  $S_p \leq P$ 
  - Span Law:  $T_p \ge T_{\infty}$

#### Work Law & Work Optimality

- Cost of solving a problem:
  - $T_1$  (Sequentially)
  - $pT_p$  (Parallelly)
- . Then, Work Law:  $T_p \ge \frac{T_1}{p}$
- Let  $T_s$  = runtime of the optimal or fastest known serial algorithm.
- A Parallel algorithm is cost optimal or work optimal provided

• 
$$pT_p = \Theta(T_s)$$

#### Prefix Sums

• **Prefix Sums:** Prefix sum takes associated binary operator  $\oplus$  and an ordered set  $[a_1, ..., a_n]$  of n elements and returns ordered set.

• 
$$[a_1, (a_1 \oplus a_2), ..., (a_1 \oplus a_2 \oplus ... \oplus a_n)]$$

- Computing the scan of an n-element requires n-1 serial operations.
- Time Complexity: O(n), Space Complexity: O(n)

## Parallel prefix sums (Overview)

Now lets assume we have n processors each having one element of the array. To get a total sum of all the elements  $b_n$  can be computed efficiently in parallel.

- Recursively break the array in two halves, and add the sums of the two halves.
- Associated with computation is complete binary search tree with each internal node representing sum of the its descendent node.
- With p processors, this algorithm takes O(log(p)) steps. If we have only p < n processors then the total time will be O(n/p + log(p)) and communication will start from second step.
- With an architecture like Hypercube or fat-tree we can embed complete binary tree so that the communication is performed directly by communication links.

## Parallel Prefix Algorithm (Inclusive)

#### $scan([a_i])$ :

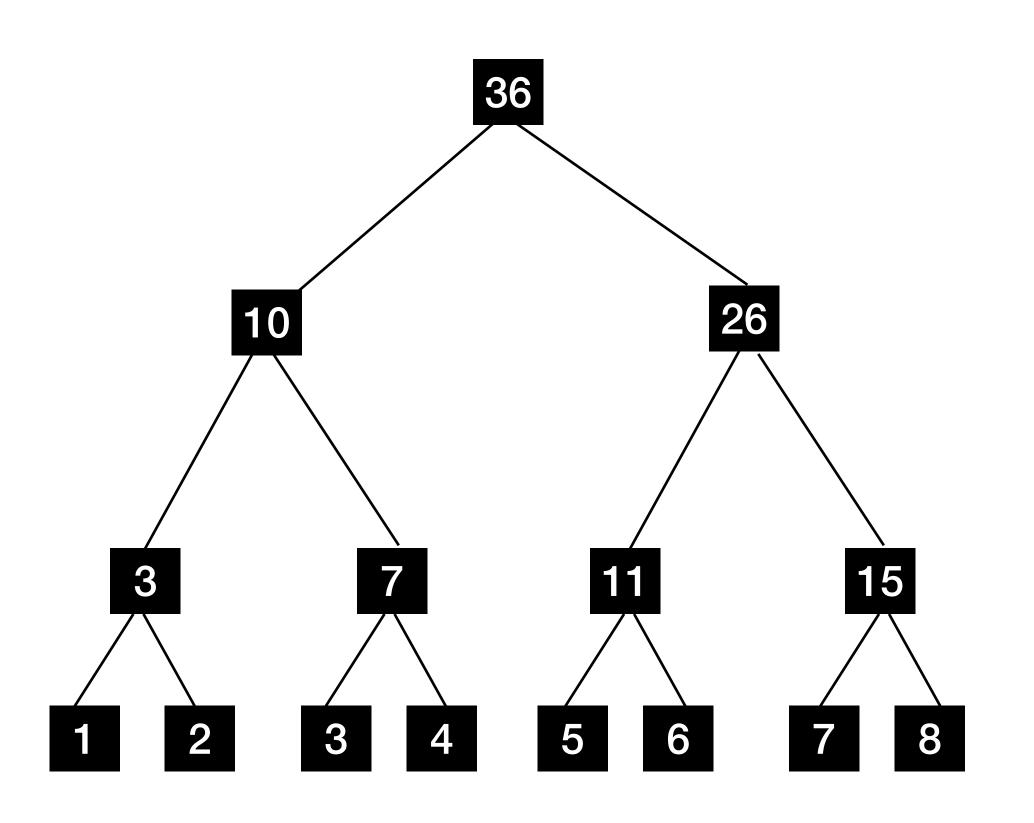
- 1) Compute Pairwise sums, communicating with adjacent processor
  - $c_i := a_{i-1} \oplus a_i$  (if i is even)
- 2) Compute the even entries of the output by recursing on the size  $\frac{n}{2}$  array of pairwise sums
  - $b_i := scan([c_i])$  (if i is even)
- 3) Fill in the odd entries of the output with a pairwise sum
  - $b_i := b_{i-1} \oplus a_i$  (if i is odd)

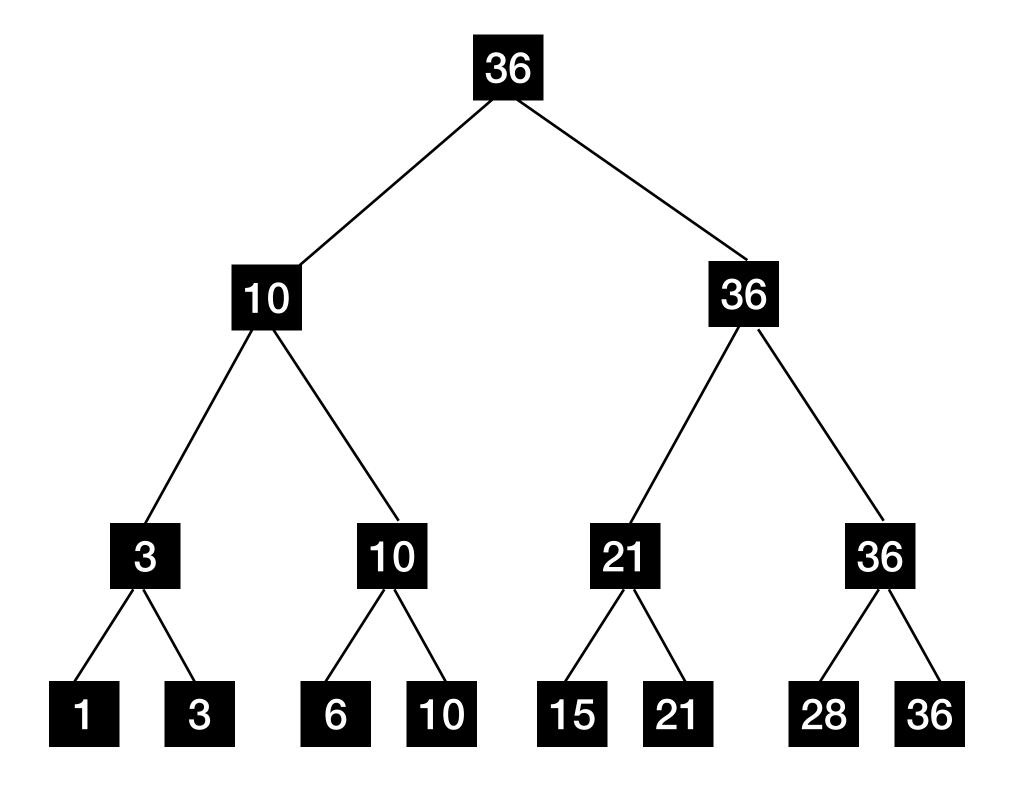
• return[ $b_i$ ]

#### Action of the Parallel Prefix algorithm

Up the tree

Down the tree (Step (2) & (3))





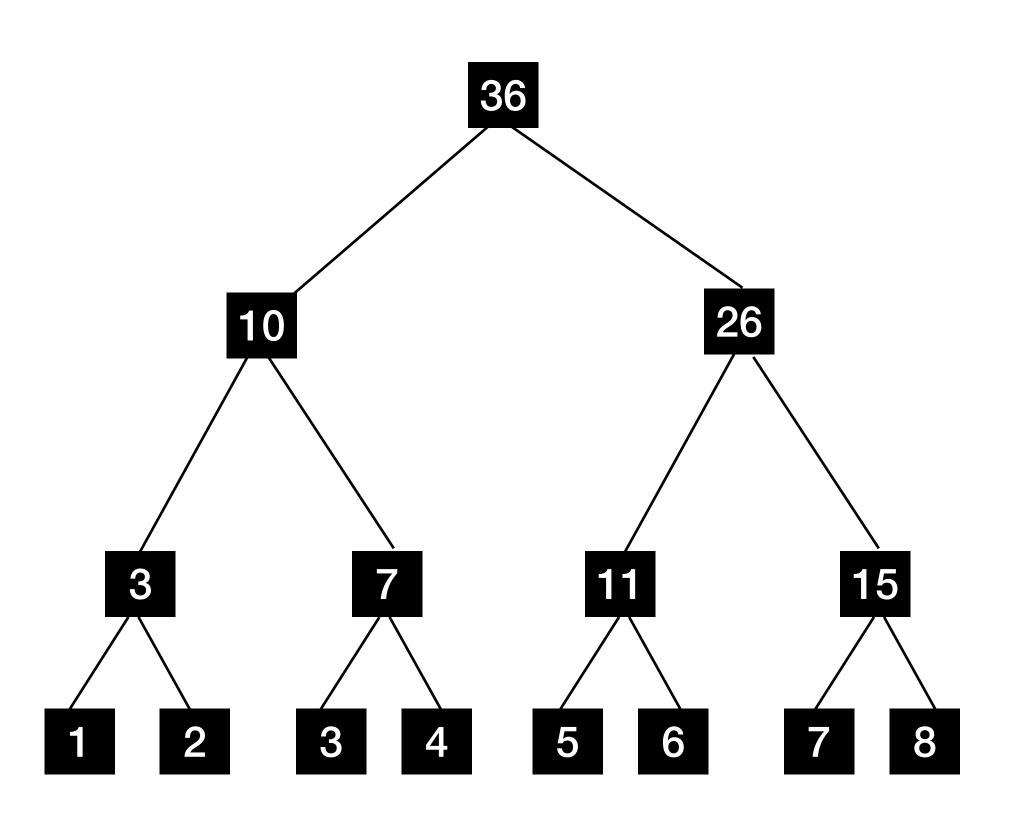
## Parallel Prefix Algorithm (Exclusive)

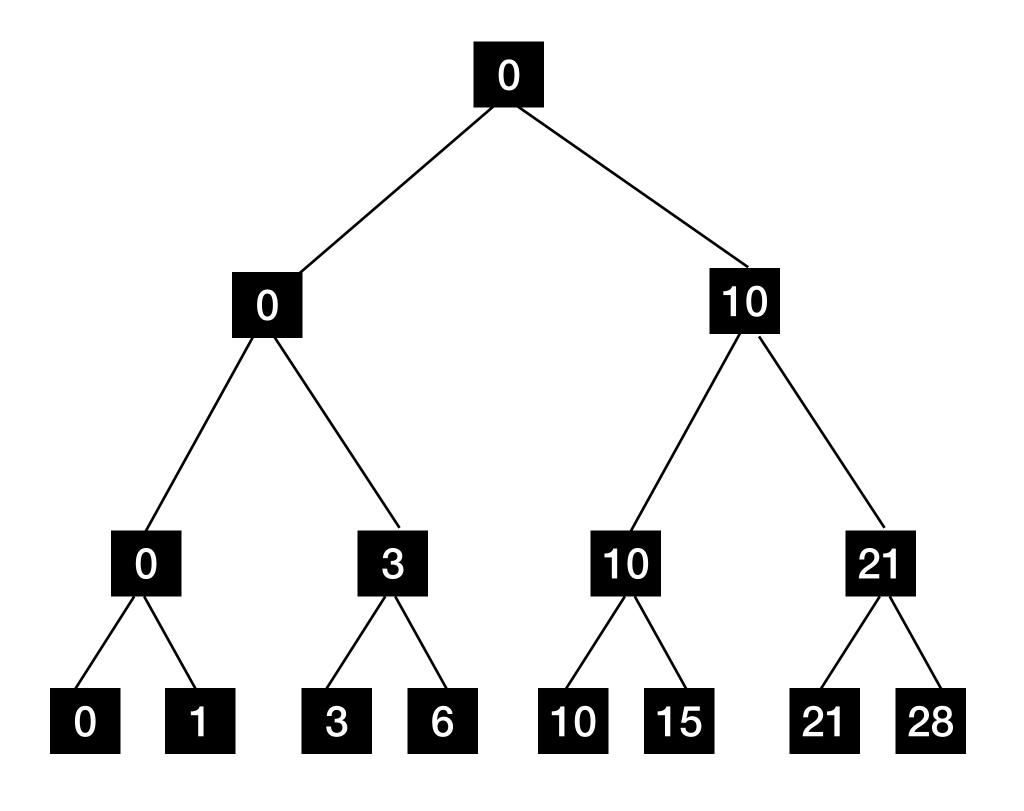
#### exclude\_scan([ $a_i$ ]):

$$[0,a_1,(a_1 \oplus a_2),...,(a_1 \oplus a_2 \oplus ... \oplus a_{n-1})]$$

- $b_i := excl\_scan([c_i])$  (if i is odd)
- $b_i := b_{i-1} \oplus a_{i-1}$  (if i is even)

## Action of the Parallel Prefix (Exclude) algorithm Up the tree Down the tree





#### Complexity Analysis:

Assumption:  $n = 2^k$  for some  $k \ge 0$ 

• Work: 
$$T_1(n) = \begin{cases} \Theta(1), & \text{if } n = 1, \\ T_1(\frac{n}{2}) + \Theta(n), & \text{otherwise.} \end{cases} = \Theta(n)$$

• Span : 
$$T_{\infty}(n)=\begin{cases} \Theta(1), & \text{if } n=1,\\ T_{\infty}(\frac{n}{2})+\Theta(1), & \text{otherwise}. \end{cases} =\Theta(\log(n))$$

Parallelism (P) : 
$$\frac{T_1(n)}{T_{\infty}(n)} = \Theta(\frac{n}{\log(n)})$$

## Complexity Analysis (realistic case):

Assuming each processors has  $\frac{n}{p}$  elements (n is multiple of p & n >> p)

- . 1) Each processor computes the prefix sums of the  $\left(\frac{n}{p}\right)$  elements it has locally.
- 2) Using the last prefix sum on each processor, run a p-element parallel prefix algorithm.
- 3) On each processor, combine the result obtained by the parallel prefix algorithm with each local prefix sum computed previously.
- Steps (1) and (3) involve local computation only and each has  $\left(\frac{n}{p}\right)$  run-time. Step (3) is the same as the parallel prefix algorithm where the number of elements equals the number of processors. Therefore, the run-time of the algorithm is:
  - . Computation Time:  $O(\frac{n}{p} + log(p))$
  - Communication Time:  $O((\tau + \mu)log(p))$

### Complexity Analysis (realistic case):

Assuming each processors has  $\frac{n}{p}$  elements (n is multiple of p & n >> p)

- How to compute optimal number of processors?
- We say, parallel algorithm is optimal iff the cost of the algorithm is same as sequential runtime

$$T_p = \Theta(\frac{n}{p} + log(p))$$

• Cost of parallel algorithm is  $pT_p=\Theta(n+plog(p))$  . As long as  $n=\Omega(plog(p))$ , the cost is  $\Theta(n)$ , which is the same as sequential runtime.

### Complexity Analysis (realistic case):

Assuming each processors has  $\frac{n}{p}$  elements (n is multiple of p & n >> p)

- How to compute optimal number of processors?
- We say Efficiency( $\eta$ ) = 1

$$\Theta(1) = \frac{\Theta(n)}{p\Theta(\frac{n}{p} + log(p))}$$

• 
$$\Longrightarrow plog(p) = O(n)$$

• Which gives optimal number of processor while still being efficient.

#### Complexity Analysis:

#### Computing upper bound on number of Processors.

• How to compute maximum number of processors, while still being efficient?

. Work Law: 
$$T_p \leq \frac{T_1}{p}$$
, since  $T_p = T_\infty$  for maximum Parallelism.

$$p \leq O\left(\frac{n}{\log(n)}\right)$$

.  $p = O\Big(\frac{n}{\log(n)}\Big)$  is upper bound on number of processors that can be utilised efficiently.

### Sequence alignment with affine gap costs (g + hk)

- . We define simple scoring function:  $f(c_1,c_2)=\begin{cases} 1, & c_1=c_2,\,c_1,c_2\in\Sigma\\ 0, & c_1\neq c_2,\,c_1,c_2\in\Sigma \end{cases}$
- Where  $\Sigma$  is the alphabet and  $\Sigma = \{A, C, G, T\}$
- To find optimal alignment of sequences A and B using affine gap penalty functions, we will use Dynamic Programming and will maintain three tables  $T_1, T_2, T_3$  each of size  $(m+1) \times (n+1)$  (given |A| = n, |B| = m, where m < n). In  $T_1$  we store score of match/mismatch of  $a_i$  with  $b_j$ , In  $T_2$  we store score of , '-' must be matched with  $b_j$  and in  $T_3$  we store score of,  $a_i$  must be matched to '-'.

#### Sequence alignment with affine gap costs (g + hk)

• The tables can be filled with following equations.

$$T_{1}[i,j] = f(a_{i},b_{j}) + max \begin{cases} T_{1}[i-1,j-1], \\ T_{2}[i-1,j-1], \\ T_{3}[i-1,j-1], \end{cases}$$

$$T_{2}[i,j] = max \begin{cases} T_{1}[i,j-1] - (g+h), \\ T_{2}[i,j-1] - g, \\ T_{3}[i,j-1] - (g+h), \end{cases}$$

$$T_{3}[i,j] = max \begin{cases} T_{1}[i-1,j] - (g+h), \\ T_{2}[i-1,j] - (g+h), \\ T_{3}[i-1,j] - g, \end{cases}$$

#### Sequence alignment with affine gap costs (g + hk)

• Initialisation: The first row and column of each table are initialized to  $-\infty$ , except in the following cases  $(1 \le i \le m, 1 \le j \le n)$ :

• 
$$T_1[0,0] = 0$$

• 
$$T_2[0,j] = h + gj$$

• 
$$T_3[i,0] = h + gi$$

### Parallel sequence alignment with prefix sums.

- Filling Three tables row by row parallely can be done with the help of prefix sums.
- Row i of  $T_1$  and  $T_3$  can be directly computed since it only depends upon the previous row information which has been precomputed.
- For  $T_2$  we need Information from the same row hence we will use prefix sums to compute entries in table  $T_2$ .

### Parallel sequence alignment with prefix sums.

• We define, 
$$w[j] = max \begin{cases} T_1[i,j-1] - (g+h) \\ T_3[i,j-1] - (g+h) \end{cases}$$

. Then, 
$$T_2[i,j] = max \begin{cases} w[j] \\ T_2[i,j-1] - g \end{cases}$$

• Let, 
$$x[j] = T_2[i,j] + jg$$

. We can rewrite, 
$$x[j] = max \begin{cases} w[j] + jg \\ T_2[i,j-1] + (j-1)g \end{cases}$$

### Parallel sequence alignment with prefix sums.

Now, 
$$x[j] = max$$
 
$$\begin{cases} w[j] + jg \\ x[j-1] \end{cases}$$

- Since w[j] + jg is known for all j, x[j]'s can be computed using parallel prefix with  $\max$  as the binary associative operator.
- Then,  $T_2[i,j]$ ,  $(1 \le j \le n)$  can be derived using

• 
$$T_2[i,j] = x[j] - jg$$

• Thus each row can be computed using parallel prefix.

## Parallel sequence alignment with prefix sums. Distributed memory model

- For simplicity, assume m and n are multiples of p. Processor i is responsible for computing columns  $i \binom{n}{p} + 1$  to  $(i+1) \binom{n}{p}$  of tables.
- Distribution of sequence B is trivial since  $b_j$  is needed only in computing column j. Therefore, processor i is given  $b_{i(\frac{n}{p})+1},\ldots,b_{(i+1)(\frac{n}{p})}$ .
- Each  $a_i$  is needed by all the processors at the same time when row i is being computed. We distribute sequence A among all the processors to reduce storage. Processor i stores  $a_{i(\frac{m}{p})+1},\ldots,a_{(i+1)(\frac{m}{p})}$  and broadcasts it to all processors when row  $i(\frac{m}{p})$  is about to be computed.
- If there is enough space, each processor can store a copy of A and broadcasting is eliminated.

## Parallel sequence alignment with prefix sums. Distributed memory model

- Computing  $T_1[i,j]$  needs  $T_1[i-1,j-1]$ ,  $T_2[i-1,j-1]$  and  $T_3[i-1,j-1]$  also computing w[j] requires  $T_1[i,j-1]$ ,  $T_3[i,j-1]$ , which may not be available locally (for extreme left columns on each processor). Each processor k can communicate and get these five entries from its preceding processor.
- The size of message is constant and independent of table sizes.
- . Computing each row takes,  $O(\frac{n}{p} + (\tau + \mu)log(p))$  time.
- Each of the p broadcasts for broadcasting portions of sequence A takes,  $O(\frac{m}{p} + (\tau + \mu(\frac{m}{p})log(p)))$  time.

## Parallel sequence alignment with prefix sums. Distributed memory model

- Computation time:  $O(\frac{mn}{p})$
- Communication time:  $O((\tau + \mu)mlog(p))$

## Experiments Execution Time (s)

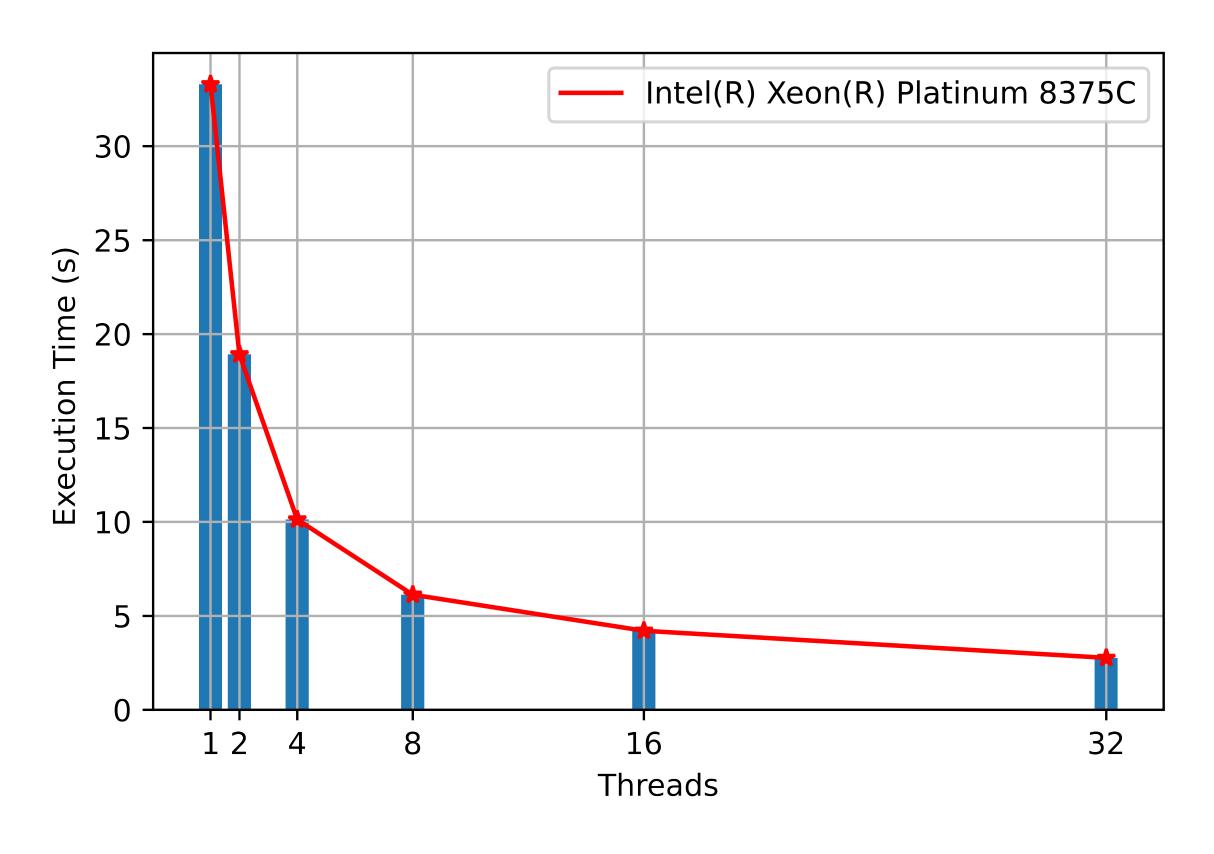


Figure (1): Execution Time (s) v/s Threads

## Experiments Speedup

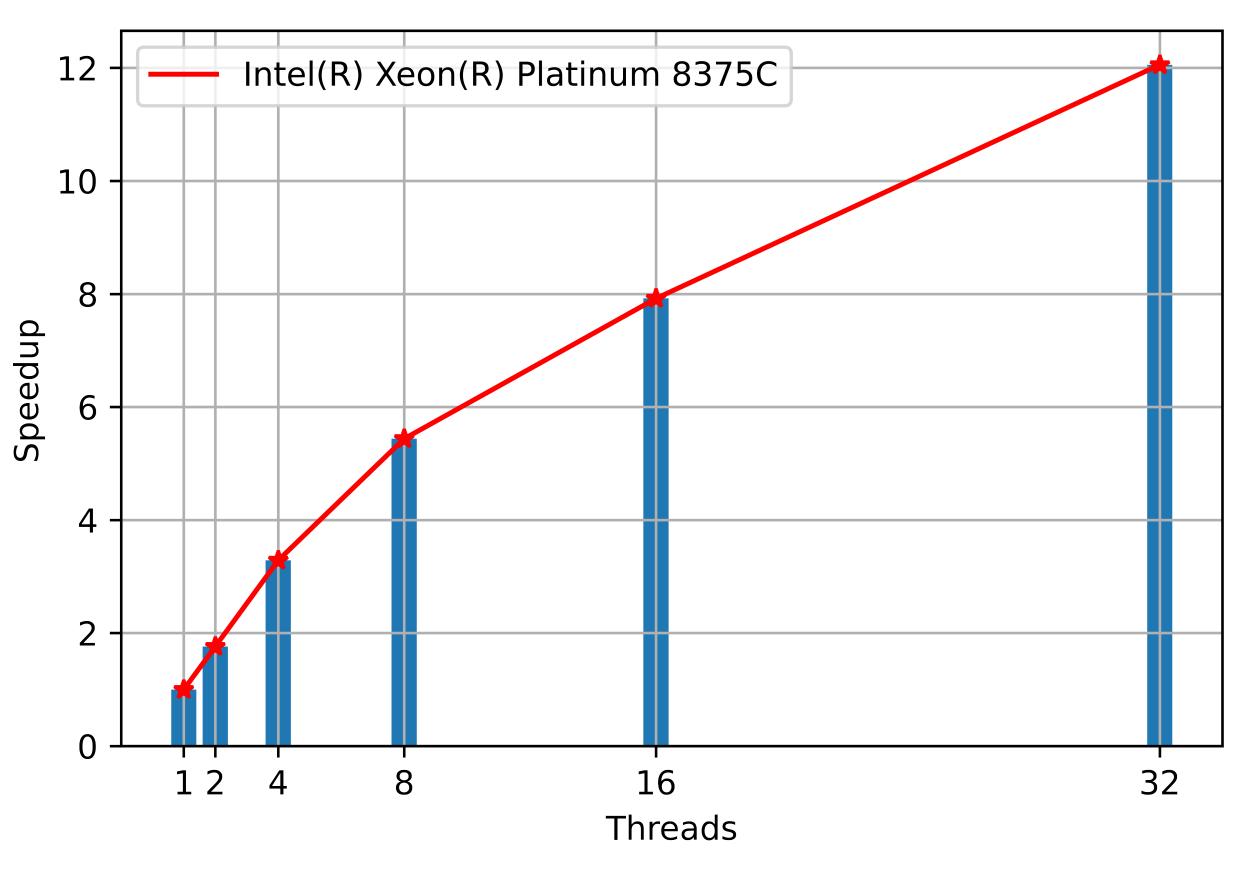


Figure (2): Speedup v/s Threads

## Thanks!

ghanshyamc@iisc.ac.in

ParSeqAI: https://github.com/gsc74/ParSeqAI