

Parameterized Algorithms

*A Project Report Submitted
in Partial Fulfillment of the Requirements
for the Degree of*

Bachelor of Technology

by

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CERTIFICATE

*This is to certify that the work contained in this thesis entitled “**Parameterized Algorithms**” is a bonafide work of **Sriharsha Gaddipati (Roll No. 111601005)**, carried out in the Department of Computer Science and Engineering, Indian Institute of Technology Palakkad under my supervision and that it has not been submitted elsewhere for a degree.*

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Acknowledgements

I would like to thank Krithika Ramaswamy for mentoring and helping me in successfully doing this project.

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Chapter 1

Vertex Cover

Definition : Vertex cover of a graph is a set of vertices such that each edge of the graph is incident to at least one vertex of the set.

1.1 Branching Vertex Cover

Instance : A graph G on n vertices, m edges and integer k .

Question : Does G have a vertex cover of size atmost k ?

Parameter : k

Reduction Rule 1 : Delete isolated vertex v

Resulting instance : $(G-v, k)$

(G, k) is an yes-instance iff $(G-v, k)$ is an yes-instance

(G, k) is an yes-instance if $(G-v, k)$ is an yes-instance

Adding a isolated vertex v to graph $G-v$ does not change the size of vertex cover as it does not have any edge with other vertices.

$(G-v, k)$ is an yes-instance if (G, k) is an yes-instance

Removing a isolated vertex v to graph G does not change the size of vertex cover as it does not have any edge with other vertices.

Reduction Rule 2 : Delete high degree vertices($\geq k$)

Resulting instance : $(G-v, k-1)$

(G,k) is an yes-instance iff $(G-v,k-1)$ is an yes-instance

$(G-v,k-1)$ is an yes-instance if (G,k) is an yes-instance

If G contains a vertex v of degree more than k , then v should be in every vertex cover of size at most k . So we can remove vertex v and decrease the parameter by 1.

(G,k) is an yes-instance if $(G-v,k-1)$ is an yes-instance

If we add a vertex of degree $\geq k$ to graph, then either this vertex should be in solution or all the neighbours of v which are greater than k should be in solution. The later case is not possible. So this vertex should definitely in vertex cover. So adding a vertex of degree $\geq k$ leads to increase of parameter by 1.

Reduction Rule 3: Add neighbour of degree-1 vertices to solution

Degree-1 vertex will only have an edge with one other vertex. Either it or its neighbour needs to be in solution. Adding the neighbour to solution will be more useful as it may cover some more edges in the graph.

Reduction Rule 4 : For degree-2 vertices

Case 1 : If neighbours of degree-2 vertex has an edge between them

Let the degree-2 vertex be v and its neighbour be x and y .

Any vertex cover has at least 2 from v,x,y .

If v is included, then v covers 2 edges and to cover edge between x and y we require one of them.

If v is not included, then both of its neighbours should be included.

Resulting instance : $(G-\{v,x,y\}, k-2)$

Case 2 : If neighbours of degree-2 vertex does not has an edge between them

(G,k) is an yes-instance iff $(G',k-1)$ is an yes-instance

$(G',k-1)$ is an yes-instance iff (G,k) is an yes-instance

Either v is in minimum vertex cover or x and y are in minimum vertex cover.

If v is in minimum vertex cover

Both x and y will not be in minimum vertex cover. As we are not including x and y , all the neighbours of x and y will be in minimum vertex cover. So we can delete this vertex from the graph and reduce the parameter by 1.

If x and y are in minimum vertex cover

If x and y are included, then it will cover the edges of v . So now we need to cover only neighbours of x and y apart from v . To cover these edges we can remove x and y from the graph and add a vertex z and edges from z to neighbours of x and y apart from v .

(G, k) is a yes-instance if $(G, k-1)$ is a yes-instance

Either z in T or z is not in T .

If z is not in minimum vertex cover, then neighbours of x and y will be in solution and edges of vertex needs to be covered. So include v to solution, this will increase the parameter by only 1.

If z is in minimum vertex cover, then we can delete this vertex and include x and y to the solution. As x and y will cover all the neighbours of z and also v .

Let the final reduced instance be (G, k) and G has a minimum degree-3 vertex.

Recursive Branching Algorithm

Find a vertex $v \in V(G)$ of maximum degree in G .

Either v or $N(v)$ in the vertex cover.

2 branches of (G, k) are $(G-v, k-1)$ and $(G-N(v), k-|N(v)|)$.

Apply preprocessing reduction rules to the new reduced instance and continue the same process.

Running time = (number of nodes in the search tree) * (time taken at each node)

Time taken at each node is in order of n .

Number of nodes in the search tree = 2^{l-1} , where l is the number of leaves.

So Running time is bounded by the number of leaves in the tree.

Let $T(k)$ denote the no. of leaves in the tree rooted at instance with parameter k

$$T(k) \leq T(k-1) + T(k-3) \text{ if } k \geq 3$$

$$1 \text{ otherwise}$$

Total running time of the algorithm is $O(1.465^k)$.

Chapter 2

Feedback Vertex Set

2.1 Branching Feedback Vertex Set

Definition : Feedback Vertex Set of a graph is a set of vertices that has at least one vertex of every cycle

Instance: An undirected graph G and an integer k

Question: Does there exist a feedback vertex set of G of size at most k ? Parameter: k

Reduction Rule 1 : Delete isolated vertex v

Resulting instance : $(G-v, k)$

(G, k) is a yes-instance iff $(G-v, k)$ is a yes-instance

(G, k) is a yes-instance if $(G-v, k)$ is a yes-instance

Adding an isolated vertex v to graph $G-v$ does not change the size of feedback vertex set as it does not form any cycle.

$(G-v, k)$ is a yes-instance if (G, k) is a yes-instance

Removing an isolated vertex v from graph G does not change the size of vertex cover as it won't be in any cycle of graph.

Reduction Rule 2 : Delete degree-1 vertex

Resulting instance : $(G-v, k)$

(G, k) is a yes-instance iff $(G-v, k)$ is a yes-instance

$(G-v, k)$ is a yes-instance if (G, k) is a yes-instance

Removing a degree-1 vertex does not change the size of feedback vertex set as it won't be part of any cycle

(G, k) is a yes-instance if $(G-v, k)$ is a yes-instance

Adding a degree-1 vertex does not change the size of feedback vertex set as it won't be part of any cycle

Reduction Rule 3: If there is a loop at a vertex v , delete v from the graph and reduce the parameter by 1

Reduction Rule 4: If there is an edge with multiplicity ≥ 2 , reduce it to 2

Reduction Rule 5: Short circuit degree-2 vertices

Reduction instance : (G', k)

(G, k) is a yes-instance iff (G', k) is a yes-instance

Let v be the degree-2 vertex and x, y be its neighbours.

(G, k) is a yes-instance iff (G', k) is a yes-instance

Introducing an vertex v between x and y ($v-x$ edge and $v-y$ edge), does not change the size of the feedback vertex set.

(G', k) is a yes-instance iff (G, k) is a yes-instance

Any cycle through v also goes through x and y .

So removing vertex v does not change the size of the feedback vertex set.

Now after applying these reduction rules, graph contains minimum degree 3.

Every FVS of size $\leq k$ contains at least one vertex from the top $3k$ vertices after sorting the vertices according to their degree.

Let v_1, v_2, \dots, v_n be the descending order of vertices according to their degree.

$V_h = \{v_1, v_2, \dots, v_{3k}\}$

Let us assume that there is a feedback vertex set X of size at most k such that $X \cap V_h = \emptyset$.

Graph $F = G - X$ is a forest

Number of edges in F is atmost $|V(G)| - |X| - 1$.

Every edge of $E(G) - E(F)$ is incident to a vertex of X.

$$\sum_{v \in X} d(v) + |V(G)| - |X| - 1 \geq |E(G)|$$

$$\sum_{v \in X} (d(v) - 1) \geq |E(G)| - |V(G)| + 1$$

For every $v \in X$, $d(v)$ is at most the minimum of vertex degrees from v_h

$$\sum_{i=1}^{3k} (d(v_i) - 1) \geq 3 * (\sum_{v \in X} (d(v) - 1) \geq 3 * (|E(G)| - |V(G)| + 1))$$

$$\sum_{i > 3k} (d(v_i) - 1) \geq \sum_{v \in x}$$

$$\sum_{i=1}^n (d(v_i) - 1) \geq 4 * (|E(G)| - |V(G)| + 1)$$

$$\sum_{i=1}^n (d(v_i)) = 2|E(G)|$$

$$2|E(G)| - |V(G)| \geq 4 * (|E(G)| - |V(G)| + 1)$$

$$2|E(G)| < 3|V(G)|$$

This contradicts the fact that every vertex of G is of minimum degree 3.

From these $3k$ vertices, at least one will be in solution. So we have $3k$ branches each branch choosing one vertex from the v_h .

Reduced instance : $(G-v, k-1)$

If the reduced instance graph is empty and still $k \geq 0$, then we return as yes-instance. If the $k < 0$, then we return as no-instance.

Total running time of the algorithm is $O((3k)^k)$

2.2 Iterative Compression of Feedback Vertex Set

Iterative compression is an algorithmic technique for the design of fixed-parameter tractable algorithms, in which one element (such as a vertex of a graph) is added to the problem in each step, and a small solution for the problem prior to the addition is used to help find a small solution to the problem after the step.

1. Start with a subgraph induced by a vertex set S of size k , and a solution X that equals S itself.
2. While $S \neq V$, perform the following steps:
 - Let v be any vertex of $V \setminus S$, and add v to S
 - Test whether the $(k + 1)$ -vertex solution $Y = X \cup v$ to S can be compressed to a k -vertex solution.
 - If it cannot be compressed, abort the algorithm: the input graph has no k -vertex solution.
 - Otherwise, set X to the new compressed solution and continue the loop.

Instance : Given a graph G and $K+1$ size solution S

Question: Does there exist a feedback vertex set of G of size at most k ?

Let the solution set of size atmost k be R .

Divide the set s into 2 parts. One part which contains the vertices from R and other part X which does contain vertices from R .

Total 2^{k+1} choices of $S \cap R$

Let r number of vertices be in X .

Now our aim is to find a set of at most $r-1$ vertices from X and Y (i.e. $G-S$).

Reduction : Delete all the vertices of degree at most 1 in G

Reduction : If there exists any vertex v in Y such that $G(X \cup v)$ contains a cycle, then include this vertex in the solution, remove this vertex and decrease the parameter by 1.

Reduction : If there is a vertex v in Y of degree 2 in G such that at least one neighbor of v in G is from Y , then delete this vertex and make its neighbors adjacent

Since Y is a forest, Y has a vertex v of degree at most 1.

v has ≥ 2 neighbours in Y , otherwise above reduction rules would have been applied. And also those two neighbours will be in different components of Y , otherwise above reduction rule would have been applied.

Here we have two possibilities. Either v is in solution or v is not in solution. Branch into these possibilities.

If v is in solution, then the reduced instance is $(X, Y - \{v\}, r-2)$

If v is not in solution, then the reduced instance is $(X \cup \{v\}, Y - \{v\}, r-1)$

In one branch, r decreases by 1 and in another branch connected components of x decrease by 1.

Let $I = r + \#C(X)$, where $\#C(X)$ is the number of connected components of X .

$$I \leq k+1+k \leq 2k+1$$

Let $T(I)$ denote the number of leaves in the tree rooted at instance with measure I .

$$T(I) \leq 2T(I-1) \text{ if } I \geq 1$$

$$1 \text{ otherwise}$$

Total running time for disjoint compression algorithm is $O(2^{2k})$

If there exists an algorithm solving Disjoint Feedback Vertex Set in Tournaments in time $g(k)n$, then there exists an algorithm solving Feedback Vertex Set in Tournaments Compression in time $\sum_{i=0}^k k \binom{k+1}{i} g(k-i)n^{O(1)}$

If $g(k) = 4^k$, then the running time of the algorithm is $O(5^k)$

2.3 Randomized Feedback Vertex Set

Instance : Given a graph G and a integer k

Question: Does there exist a feedback vertex set of G of size at most k ?

Parameter: k

Reduction Rule 1: Delete isolated vertices

Reduction Rule 2: Delete degree-1 vertices

Reduction Rule 3: If there is a loop at a vertex v , delete v from the graph and reduce the parameter by 1

Reduction Rule 4: If there is an edge with multiplicity > 2 , reduce it to 2

Reduction Rule 5: Short circuit degree-2 vertices

After applying the reduction rules, graph will have minimum degree 3.

If G is graph with minimum degree ≥ 3 , then number of edges incident to any feedback vertex set S is $> |E(G)|/2$

Let feedback vertex set of G be S and $H = G - S$

Let $E(X)$ be edges incident to S , $E(G)$ be edges in graph G , $E(H)$ be edges in subgraph H , $E(H, S)$ be edges between H and S .

We need to prove that $|E(X)| \geq |E(G)|/2$

$|E(X)| > |E(G)|/2$ is equivalent to $|E(X)| > |V(H)|$

$$2|E(X)| > |E(G)|$$

$$2|E(X)| > |E(X)| + |E(H)|$$

$$|E(X)| > |E(H)|$$

$$|E(X)| > |V(H)|$$

Let $V_{\leq 1}$, V_2 and $V_{\geq 3}$ denote the set of vertices in $V(H)$ such that they have degree at most 1, exactly 2, and at least 3 in H respectively.

$$|E(X)| \geq |E(H, S)|$$

$$|E(X)| \geq 2|V_{\leq 1}| + |V_2|$$

$$|E(X)| > |V_{\leq 1}| + |V_2| + |V_{\geq 3}|$$

$$|E(X)| > |V(H)|$$

Feedback Vertex Set can be solved in randomized polynomial time, with success probability at least 4^{-k}

Run the following algorithm 4^k times. If none of the executions return yes, then declare that (G,k) is a no-instance. Other wise, declare that (G,k) is an yes-instance.

Initialize the solution set to empty. Then apply the above mentioned reduction rules to the graph. Now graph will have minimum degree 3. Pick a edge uniformly at random from the edges in the reduced instance. Now, pick a endpoint from the edge picked previously uniformly at random. Add this endpoint to the solution set and delete that from the reduced instance. And continue the process till our parameter is positive. Now check if the solution set obtained from the algorithm is a feedback vertex set or not. If yes, we return it as solution.

Total running time of the algorithm is $O(4^k)$

Proof of correctness :

If (G,k) is a no-instance then algorithm always outputs no. Suppose (G,k) is an yes-instance and F is a $\leq k$ feedback vertex set. Let $H=G-F$

$\Pr(\text{an edge in } E(F) \cup E(H,F) \text{ is chosen}) > 1/2$

$\Pr(\text{a vertex from } F \text{ is chosen}) > 1/2 * 1/2 = 1/4$

$\Pr(S=F) > (1/4)^k$

$\Pr(\text{Algorithm says no}) < (1 - (1/4)^k)^{4^k} \leq 1/e$

$\Pr(\text{Algorithm says yes}) > 1 - 1/e \geq 1/2$

Chapter 3

Tree Decomposition

A tree decomposition of a graph G is a pair (T, B) where T is a tree and $B : V(T) \rightarrow 2^{V(G)}$ satisfies the following

For each vertex v in G , there is a node x in $V(T)$ such that v is in $B(x)$

For each edge $e = \{u, v\}$ in G , there is a node x in $V(T)$ such that u and v are in $B(x)$

For each vertex v in G , the set $\{x \in V(T) : v \in B(x)\}$ induces a connected graph

3.1 Checking Tree Decomposition

Question : Given a graph G and a pair (T, B) where T is a tree and $B : V(T) \rightarrow 2^{V(G)}$, check if (T, B) is a tree decomposition of G

First, we will check whether for every vertex in graph is there a bag that contains the vertex.

Thereafter, we will check for each edge whether both the end points of the edge are in the same bag.

To verify the third rule, for every vertex v , we do the following checking. First we mark all the bags that contains v . Then we will start a modified breadth first search from any arbitrary node, which will only explore the children which contains v and mark these

vertices as visited. Otherwise we ignore the vertex. Once the search is finished, if the visited vertices are same as the vertices which we marked initially then we say that the rule 3 is followed.

3.2 Simple Tree Decomposition

A simple tree decomposition (T, B) is one where there is no pair of distinct nodes x and y in T such that $B(x) \subseteq B(y)$

Question : Given a graph G and a tree decomposition (T, B) of G , check if (T, B) is a simple tree decomposition.

First we will verify whether (T, B) is a tree decomposition or not. If yes, then we will try to see if there are any bags such that one is the subset of the another.

3.3 Convert to Simple Tree Decomposition

Question : Given a graph G and a tree decomposition (T, B) of G , find a simple tree decomposition (T', B') of G such that $w(T') \leq w(T)$.

To convert a tree decomposition in to simple tree decomposition, we need to remove all the nodes of the tree which are subsets of other nodes and connected to the superset node directly by an edge.

Find out the bag x which is subset of bag y . Then check if there is edge between x and y in the tree. If yes, then remove the node containing bag x from the tree and add the connections between the y and children of x .

3.4 Optimum Tree Decomposition

Width of a tree decomposition $T = w(T) = \max \{ |B(x)| : x \in V(T) \} - 1$

Treewidth of G , $tw(G) = \min \{ w(T) : T \text{ is a tree decomposition of } G \}$

Definition : An optimal tree decomposition of G is a tree decomposition of G of width $tw(G)$

Question : Given a graph G , compute an optimum tree decomposition of G

To find the optimum tree decomposition, we enumerate on the all the possible tree decomposition pairs and find the minimum width.

We start the size of the tree T from 1, and increase till $V(G)-1$. For a particular size n of the tree, a tree consists of $n-1$ edges. We need to pick $n-1$ edges which forms a tree from the $n*(n-1)/2$ edges of the complete graph consisting of n vertices. So total atmost $\binom{n*(n-1)/2}{n-1}$ number of tree combinations are possible.

For a fixed tree, we have at most $2^{(n^2)}$ choices of B .

After choosing a pair of (T,B) , we verify whether it is a tree decomposition. If yes, then we will update minimum treewidth if the new width value is minimum.

3.5 Dominating set

3.5.1 Definition

A dominating set in Graph G is a set S of vertices such that $N(S)=V(G)$ or every vertex not in S is adjacent to at least one member of S .

3.5.2 Dominating set on Trees

Problem Statement

Instance : A tree T and an integer K

Question : Does there exist a dominating set of T of size at most K ?

To solve this problem we use bottom up approach of dynamic programming. We solve the subparts of the problem and use them to get the final solution.

Root T at an arbitrary vertex. Let that root vertex be **root**

For a vertex v , let T_v denote the subtree of T rooted at v

Let $\Gamma(v)$ denote the minimum possible size of a dominating set in T_v

Let $\Lambda(v)$ denote the minimum possible size of a dominating set in T_v that dominates every vertex in $T_v - v$

Let $\Delta(v)$ denote the minimum possible size of a dominating set in T_v that contains v

$\Gamma(\text{root})$ is the final solution which we need. To get this value, we will start solving for the above values from the leaf nodes, which will be used further as we go till root of the tree.

If v is a leaf, then $\Gamma(v) = 1, \Lambda(v) = 0, \Delta(v) = 1$.

If v has v_1, v_2, \dots, v_q as its children, then

$$\Delta(v) = 1 + \Lambda(v_1) + \Lambda(v_2) + \dots + \Lambda(v_q)$$

$\Delta(v)$ will definitely contain v in its dominating set, so we need not cover children of v . But we should cover all the grand children of v .

$$\Lambda(v) = \min\{ \Gamma(v_1) + \Gamma(v_2) + \dots + \Gamma(v_q), 1 + \Lambda(v_1) + \Lambda(v_2) + \dots + \Lambda(v_q) \}$$

$$\Gamma(v) = \min\{ 1 + \Lambda(v_1) + \Lambda(v_2) + \dots + \Lambda(v_q), \min\{ \Delta(v_i) + \sum_{i \neq j} \Gamma(v_j) : i \in [q] \} \}$$

Chapter 4

Conclusion and Future Work

write results of your thesis and future work.

References

- [1] *Parameterized Algorithms by Cygan et al. and Kernelization by Fomin et al.*