

# Gavin S. Hartnett

MACHINE LEARNING RESEARCHER/THEORETICAL PHYSICIST

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| ✉ email

| 🔍 Google Scholar

| 🏠 RAND Profile Page

| 📁 Github

| 🔗 LinkedIn

| 🐦 Twitter

## Education

### University of California, Santa Barbara

*Santa Barbara, CA*

PHD AND MA IN PHYSICS

*Aug. 2009 - May 2015*

- Adviser: Prof. Gary Horowitz
- Dissertation: [Aspects of Black Holes in Higher Dimensions](#)

### Syracuse University

*Syracuse, NY*

BSC IN PHYSICS AND MATHEMATICS

*Sept. 2005 - May 2009*

- Summa Cum Laude
- Honors Thesis: Spiral Patterns in Liquid Crystals

## Research Experience

### The RAND Corporation (Current Position)

*Santa Monica, CA*

INFORMATION SCIENTIST

*Aug. 2017 - present*

- AI/ML Lablet Lead at the [Tech and Narrative Lab](#)
- Organizer of company-wide AI seminar series and study group
- Co-PI for project on generative modeling for networks
- Investigated the vulnerability of autonomous agents to adversarial examples
- Investigated domain adaptation for object detection using synthetic data sets
- Served as technical lead for a project investigating how COVID-19 spreads across real-world contact networks

### University of Southampton

*Southampton, United Kingdom*

POSTDOCTORAL RESEARCH FELLOW

*Sept. 2015 - Aug. 2017*

- Studied theoretical properties of black holes and quantum field theories
- Worked on multiple projects as part of an international collaboration
- Co-organized 3 seminar series
- Traveled extensively to present research and facilitate collaborations

### University of California, Santa Barbara

*Santa Barbara, CA*

GRADUATE STUDENT RESEARCHER

*May 2010 - Aug 2015*

- Researched black holes, string theory, and quantum field theory
- Played active role in department, serving as head TA and as the organizer of a weekly journal club

### Syracuse University

*Syracuse, NY*

UNDERGRADUATE RESEARCH ASSISTANT

*June 2006 - May 2009*

- Senior Thesis Project in Condensed Matter, with Prof.'s Mark Bowick and Cristina Marchetti
- Laser Interferometer Gravitational-Wave Observatory (LIGO) research, with Prof. Peter Saulson
- Cosmology research, with Prof.'s Mark Trodden and Christian Armendariz-Picon

### Cornell University

*Ithaca, NY*

REU SUMMER RESEARCH ASSISTANT

*Summer 2008*

- Investigated Modified Source Gravity Models, with Prof. Rachel Bean
- Studied cosmic string dynamics in expanding universe, with Prof. David Chernoff

## Teaching

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### Pardee RAND Graduate School

*Santa Monica, CA*

#### CORE FACULTY MEMBER/PROFESSOR

*2018-Present*

- Introduction to Modern AI
- Introduction to Blockchain Technology

### University of Southampton

*Southampton, UK*

#### LECTURER

*Sept. 2015 - May 2015*

- MATH1052 Differential Equations
- MATH1008 Mathematical Methods
- MATH3071 Light and Waves

### University of California, Santa Barbara

*Santa Barbara, CA*

#### HEAD TEACHING ASSISTANT

*Aug. 2010 - Aug. 2012*

- Managed team of 40+ TA's for the entire Physics Department
- Worked with faculty and staff to assign TA's to courses

#### TEACHING ASSISTANT

*Sept. 2009 - May 2015*

- PHYS6L Introductory Physics (3 quarters)
- PHYS21 General Physics
- PHYS105 Classical Mechanics
- PHYS115 Quantum Mechanics (2 quarters)
- PHYS219 Statistical Mechanics (graduate level)

## Professional Activities

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#### ORGANIZER OF THE COMPANY-WIDE AI SEMINAR SERIES AND STUDY GROUP AT THE RAND CORPORATION

*2018 - present*

#### ORGANIZER OF GRADUATE STUDENT HIGH-ENERGY JOURNAL CLUB

*2012 - 2014*

#### REFeree FOR

- *Ethics Reviewer for NeurIPS 2021*
- *ACM Conference on Fairness, Accountability, and Transparency (FAccT) 2020*
- *NeurIPS 2019 Workshop: Machine Learning and the Physical Sciences*
- *Journal of High Energy Physics (JHEP)*
- *Physical Letters B*
- *Classical and Quantum Gravity*
- *General Relativity and Gravitation*

## Awards

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2021	<b>RAND Spotlight Award</b> , awarded for a study assessing how AI could be used to improve the TSA baggage screening process	<i>Santa Monica, CA</i>
2020	<b>RAND Bronze Medal Award</b> , company-wide annual award, awarded for "vision, integrity, and leadership" in the course of a project on adversarial machine learning for cyber defense systems.	<i>Santa Monica, CA</i>
2019	<b>RAND Spotlight Award</b> , awarded for "developing a new game theoretic approach with Machine Learning techniques to assess cyber defense capabilities."	<i>Santa Monica, CA</i>
2019	<b>RAND Project Air Force Team Innovation Award</b> , awarded for our team's "high-risk/high-reward approach to solving a complex technical problem – understanding how machine learning-based algorithms might be vulnerable to cyber attack"	<i>Santa Monica, CA</i>
2014	<b>Dean's Fellowship</b> , Competitive University-wide fellowship	<i>Santa Barbara, CA</i>
2013	<b>James Hartle Award</b> , Best graduate student talk	<i>Warsaw, Poland</i>
2011	<b>Chairs Certificate of Appreciation</b> , Outstanding service as Head TA	<i>Santa Barbara, CA</i>
2009	<b>Syracuse University Scholar</b> , Highest undergraduate academic honor	<i>Syracuse, NY</i>
2008	<b>Barry Goldwater Scholarship</b> , Most prestigious undergraduate national science award	<i>Syracuse, NY</i>

## Technical Publications

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- **Modeling the Impact of Social Distancing and Targeted Vaccination on the Spread of COVID-19 through a Real City-Scale Contact Network**  
G. S. Hartnett, E. Parker, T. R. Gulden, R. Vardavas, D. Kravitz.  
[arXiv:2107.06213 \[physics.soc-ph\]](#)  
[Journal of Complex Networks 9.6 \(2021\): cnab042.](#)
- **Protecting the Most Vulnerable by Vaccinating the Most Active**  
T. R. Gulden, G. S. Hartnett, R. Vardavas, D. Kravitz.  
[RAND Perspective PE-A1068-1](#)
- **Deep Generative Modeling in Network Science with Applications to Public Policy Research**  
G. S. Hartnett, R. Vardavas, L. Baker, M. Chaykowsky, C. B. Gibson, F. Giroi, D. P. Kenedy, O. A. Osoba.  
[arXiv:2010.07870 \[cs.LG\]](#)  
[RAND Working Paper WRA843-1](#)
- **Self-Supervised Learning of Generative Spin-Glasses with Normalizing Flows**  
G. S. Hartnett, M. Mohseni.  
[arXiv:2001.00585 \[cs.LG\]](#)  
Preprint
- **A Probability Density Theory for Spin-Glass Systems**  
G. S. Hartnett, M. Mohseni.  
[arXiv:2001.00927 \[cond-mat.dis-nn\]](#)  
Preprint
- **Operationally Relevant Artificial Training for Machine Learning: Improving the Performance of Automated Target Recognition Systems**  
G. S. Hartnett, L. Menche, J. Léveillé, D. Baveye, L. Zhang, D. Gold, J. Hagen, J. Xu.  
[RAND Report RRA683-1 \(2020\)](#)
- **Covariant Noether charges for type IIB and 11-dimensional supergravities**  
O. J. C. Dias, G. S. Hartnett, J. E. Santos.  
[arXiv:1912.01030 \[hep-th\]](#)  
[Class. Quant. Grav. 31, no. 1, 015003 \(2021\)](#)
- **Adversarial Examples for Cost-Sensitive Classifiers**  
G. S. Hartnett, A. J. Lohn, A. P. Sedlack.  
[arXiv:1910.02095 \[stat-ML\]](#)  
[Workshop on Safety and Robustness in Decision Making, NeurIPS 2019](#)
- **Holographic dual of hot Polchinski-Strassler quark-gluon plasma**  
I. Bena, O. J. C. Dias, G. S. Hartnett, Benjamin. E. Niehoff, J. E. Santos.  
[arXiv:1805.06463 \[hep-th\]](#)  
[JHEP 9, 33 2019](#)
- **Replica Symmetry Breaking in Bipartite Spin Glasses and Neural Networks**  
G. S. Hartnett, E. Parker, E. Geist.  
[arXiv:1803.06442 \[cond-mat.dis-nn; cs.LG\]](#)  
[Phys. Rev. E 98, issue 2, 022116 \(2018\)](#)
- **Constraining the mass of dark photons and axion-like particles through black-hole superradiance**  
V. Cardoso, O. J. C. Dias, G. S. Hartnett, M. Middleton, P. Pani, J. E. Santos.  
[arXiv:1801.01420 \[gr-qc\]](#)  
[JCAP 1803, no.03, 043 \(2018\)](#)
- **Mass-deformed M2 branes in Stenzel space**  
O. J. C. Dias, G. S. Hartnett, B. E. Niehoff, J. E. Santos  
[arXiv:1704.02323 \[hep-th\]](#)  
[JHEP 1711, 105 \(2017\)](#)
- **Localised Anti-Branes in Flux Backgrounds**  
G. S. Hartnett.  
[arXiv:1501.06568 \[hep-th\]](#)  
[JHEP 1506, 007 \(2015\)](#)

- **A No Black Hole Theorem**  
G. S. Hartnett, G. T. Horowitz and K. Maeda.  
[arXiv:1410.1875 \[hep-th\]](#)  
Class. Quant. Grav. 32, no. 5, 055011 (2015)
- **Quasinormal modes of asymptotically flat rotating black holes**  
O. J. C. Dias, G. S. Hartnett and J. E. Santos.  
[arXiv:1402.7047 \[hep-th\]](#)  
Class. Quant. Grav. 31, no. 24, 245011 (2014)
- **Holographic thermalization, quasinormal modes and superradiance in Kerr-AdS**  
V. Cardoso, O. J. C. Dias, G. S. Hartnett, L. Lehner and J. E. Santos.  
[arXiv:1312.5323 \[hep-th\]](#)  
JHEP 1404, 183 (2014)
- **Non-Axisymmetric Instability of Rotating Black Holes in Higher Dimensions**  
G. S. Hartnett and J. E. Santos.  
[arXiv:1306.4318 \[gr-qc\]](#)  
Phys. Rev. D 88, 041505 (2013)
- **Geons and Spin-2 Condensates in the AdS Soliton**  
G. S. Hartnett and G. T. Horowitz  
[arXiv:1210.1606 \[hep-th\]](#)  
JHEP 1301, 010 (2013)

## Policy Publications

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- **Airline Security Through Artificial Intelligence**  
S. McKay, G. S. Hartnett, B. Held  
[RAND Report PEA731-1](#)
- **Maintaining the Competitive Advantage in Artificial Intelligence and Machine Learning**  
R. Waltzman, L. Ablon, C. Curriden, G. Hartnett, M. Holliday, L. Ma, B. Nichiporuk, A. Scobell, D. Tarraf  
[RAND Report RRA200](#)

## Invited Talks

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### MODELLING THE IMPACT OF SOCIAL DISTANCING AND TARGETED VACCINATION ON THE SPREAD OF COVID-19 THROUGH A REAL CITY-SCALE CONTACT NETWORK

- American Physical Society March Meeting 2022  
Chicago, IL  
March 2022

### SELF-SUPERVISED LEARNING OF GENERATIVE SPIN-GLASSES WITH NORMALIZING FLOWS

- Physics Meets ML Seminar Series  
Virtual  
November 2021

### NEURAL NETWORKS FOR NETWORKS

- LLNL Data Science Initiative Seminar Series  
Lawrence Livermore National Laboratory  
February 2, 2020

### REPLICA SYMMETRY BREAKING IN BIPARTITE SPIN GLASSES AND NEURAL NETWORKS

- Theoretical Physics for Machine Learning, Aspen Winter Conference  
Aspen Center of Physics  
Aspen, Colorado  
January 2019

#### **MACHINE LEARNING FOR CYBERSECURITY**

- Project Air Force, Force Modernization and Employment Seminar  
RAND Corporation  
Santa Monica, California  
November 2017  
(joint talk with A. Shah, O. Osoba, M. Lee, and C. Steiner)

#### **DEEP LEARNING AS RENORMALIZATION: USING TOOLS FROM PHYSICS TO BETTER UNDERSTAND NEURAL NETWORKS**

- RAND Corporation  
Santa Monica, California  
April 2017

#### **THINKING OUTSIDE THE TRUNCATION: NEW HAIR FOR HOLOGRAPHIC SUPERCONDUCTORS**

- 21st International Conference on General Relativity and Gravitation  
Columbia University, New York City  
July 2016

#### **LOCALISED ANTI-BRANES IN FLUX BACKGROUNDS**

- Weekly High Energy Seminar  
Institute for Theoretical Physics, Katholieke Universiteit Leuven, Belgium  
March 2016
- Friday Gravity Seminar  
Department of Applied Mathematics and Theoretical Physics, Cambridge University, United Kingdom  
February 2016
- Rencontres Théoriciennes Seminar: “Supergravité, théorie des cordes et théorie M”  
Institut Henri Poincaré, Paris, France  
December 2015

#### **A NO BLACK HOLE THEOREM**

- High Energy Physics Seminar  
CEA Saclay, Paris, France  
December 2015
- High Energy Physics Seminar  
University of California at Santa Barbara  
November 2014

#### **SPINNING OUT OF CONTROL: BLACK HOLE INSTABILITIES IN HIGHER DIMENSIONS**

- Gravity Seminar  
University of Southampton, United Kingdom  
October 2015

#### **SYMMETRY IN STRING THEORY**

- Invited Guest Lecture: Undergraduate Course CS 10: Symmetry and Aesthetics in Contemporary Physics  
University of California, Santa Barbara  
March 2016

#### **HOW HORIZONS SAVED THE LANDSCAPE**

- High Energy Physics Seminar  
University of Southern California  
February 2015

#### **INSTABILITIES OF ROTATING BLACK HOLES AND QUASINORMAL MODES IN THE LARGE D LIMIT**

- 30th Annual Pacific Coast Gravity Meeting  
University of California, San Diego  
March 2014

#### **GEONS AND SPIN-2 CONDENSATES IN THE AdS SOLITON**

- 20th International Conference on General Relativity and Gravitation/10th Edoardo Amaldi Conference on Gravitational Waves  
University of Warsaw, Warsaw, Poland  
July 2013 April
- APS Meeting  
Denver  
April 2013