

Lie group notes

Giorgos

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Conventions

- By Lie group, we mean either real or complex.
- A closed Lie subgroup of a Lie group is a submanifold that is also a subgroup (see Theorem 3).
- A Lie subgroup is a subgroup that is also an immersed submanifold.

Chapter 1

Lie groups: basic definitions

We will have two notions of subgroups of Lie groups:

Definition 1.0.1. Let G be a complex or real Lie group and H be a subgroup of G .

- (i) H is a Lie subgroup if it is an immersed submanifold of G with the multiplication and inverse maps being smooth (or analytic).
- (ii) H is a closed Lie subgroup if it is an embedded submanifold of G .

Example 1.0.1. Any of the classical Lie groups are closed Lie subgroups of $\mathrm{GL}(n, \mathbb{K})$. For a Lie subgroup that is not closed, consider the irrational winding on the torus, that is $G = \mathbb{T}^2 = \mathbb{R}^2/\mathbb{Z}^2$, and $H = f(\mathbb{R})$ with $f(t, s) = (t \bmod \mathbb{Z}, \alpha s \bmod \mathbb{Z})$, where $\alpha \in \mathbb{R} \setminus \mathbb{Q}$.

1.1 Lie subgroups and quotients

The following theorem allows us to reduce the study of Lie groups to the study of finite groups and connected Lie groups, since G_0 is a normal subgroup of G and G/G_0 is a discrete group, where G^0 is the identity component of G .

Theorem 1 (Theorem 2.6, [Kir08]). *Let G be a real or complex Lie group and G^0 its identity component. Then G^0 is a normal subgroup of G and a Lie group itself, while G/G^0 is a discrete group.*

In fact, we can reduce the case of connected Lie groups to simply connected Lie groups:

Theorem 2 (Theorem 2.7, [Kir08]). *Let G be a connected Lie group. Then its universal cover \tilde{G} has a canonical structure of a Lie group such that the covering map $p : \tilde{G} \rightarrow G$ is a homomorphism of Lie groups whose kernel is isomorphic to the fundamental group of G . Moreover, in this case, $\ker p$ is a discrete central subgroup in \tilde{G} .*

Proof. The lifting property of the universal cover implies that \tilde{G} is a Lie group. The kernel is discrete, being the fiber of a covering map. The fact that it is central follows from the more general fact that every discrete normal subgroup of a connected Lie group is central. To show the latter, one considers the map $G \rightarrow N, g \rightarrow gng^{-1}$ where N is the normal subgroup and $n \in N$ is some fixed element. Then the inverse image of any element $n' \in N$ is closed and open in G , so it is all of G in the case where $n' = n$ and empty otherwise. \square

Example 1.1.1. In the case where $G = \mathbb{T}^2$ is the torus, the covering map $p : \mathbb{R}^2 \rightarrow \mathbb{T}^2$ is given by $p(t, s) = e^{2\pi it}, e^{2\pi is}$, and $\ker p = \mathbb{N}^2 \simeq \pi_1(\mathbb{T}^2)$.

We have the following connection between subgroups and Lie subgroups (i.e. subgroups that are also submanifolds):

Theorem 3 (Theorem 2.8, [Kir08]). *(i) Any Lie subgroup of a Lie group is closed in the topology of the ambient group.*

(ii) Any closed subgroup of a Lie group is a real Lie subgroup.

Proof. For the first part of the theorem, we note that \overline{H} is a subgroup of G as well. We claim that H (and thus Hx for every $x \in \overline{H}$) is open and dense in \overline{H} . To see this, note that $e \in \overline{H}$ implies that for every neighborhood $U \subseteq G$ of e in G , $U \cap H$ is nonempty. In particular there exists some open set U in G containing e such that $U \cap H \neq \emptyset$. Then $U \cap H$ will be a neighborhood of e in \overline{H} and H is open because it can be written as the union of all subsets of the form $h(U \cap H)$ for $h \in H$.

To conclude, note that for $x, y \in \overline{H}$, $Hx \cap Hy$ is dense in \overline{H} , so it is nonempty. This implies that $Hx = Hy = H$ and H thus $H = \overline{H}$. \square

1.2 Homogeneous spaces

We begin by describing coset spaces of Lie groups.

Theorem 4 (Theorem 2.11, [Kir08]). *Let G be a Lie group of dimension n , $H \leq G$ a closed Lie subgroup of dimension k .*

(i) The coset space G/H has a natural structure of a manifold of dimension $n - k$ such that the canonical map $p : G \rightarrow G/H$ is a fiber bundle, with fiber diffeomorphic to H . The tangent space at the identity is isomorphic to the quotient space $T_H G/H \simeq T_e G/T_e H$.

(ii) If H is a normal closed Lie subgroup then G/H has a canonical Lie group structure.

The following is the analog of the homomorphism theorem for Lie groups:

Theorem 5 (Theorem 2.5, [Kir08]). *Let $f : G_1 \rightarrow G_2$ be a Lie group morphism.*

(i) $H = \ker f$ is a normal closed Lie subgroup of G_1 and f induces an injective homomorphism $G_1/H \rightarrow G_2$ that is an immersion

(ii) If moreover $\Im f$ is an embedded submanifold, then it is a closed Lie subgroup of G_2 and f induces an isomorphism $G_1/H \rightarrow \Im f$.

Theorem 6 (Theorem 2.20, [Kir08]). *Let G be a Lie group acting on a manifold M , and $m \in M$.*

(i) The stabilizer G_m is a closed Lie subgroup of G , with Lie algebra

$$\mathfrak{h} = \{x \in \mathfrak{g} \mid \rho_*(x)(m) = 0\}.$$

where $\rho : G \rightarrow \text{Diff}(M)$ is the action of G on M .

(ii) The orbit map $g \mapsto g \cdot m$ induces an injective immersion $G/G_m \hookrightarrow \mathcal{O}_m$ whose image coincides with \mathcal{O}_m .

(iii) The orbit \mathcal{O}_m is an immersed submanifold with tangent space $T_m\mathcal{O}_m = \mathfrak{g}/\mathfrak{h}$.

(iv) If the orbit is a submanifold, then the orbit map is a diffeomorphism.

Proof. See [Kir08, Theorem 2.20, Theorem 3.29]. □

The case of one orbit gives rise to G -homogeneous spaces:

Theorem 7 (Theorem 2.22 [Kir08]). *Let M be a G -homogeneous space and $m \in M$. Then the orbit map $G \rightarrow M$ is a fiber bundle over M with fiber G_m .*

The following proposition gives us a way to check whether a Lie group morphism is a covering map:

Proposition 1.2.1 (Lie morphism covering map criterion). *Let $f : G_1 \rightarrow G_2$ be a Lie group morphism such that $f_* : \mathfrak{g}_1 \rightarrow \mathfrak{g}_2$ is an isomorphism. Then f is a covering map and $\ker f$ is a discrete central group.*

Proof. The inverse mapping theorem tells us that there exist neighborhoods U_1, U_2 of the identities of G_1 and G_2 respectively such that $f : U_1 \rightarrow U_2$ is a diffeomorphism. In particular, f is surjective since its image contains U_2 which generates G_2 , being a neighborhood of the identity. Moreover, for every $g \in G_1$ and $z_1, z_2 \in \ker f$:

$$gU_1z_1 \cap gU_2z_2 = \{e_1\} \text{ unless } z_1 = z_2.$$

Indeed, if $guz_1 = gvz_2$ for some $u, v \in U$, then $u = vz_2z_1^{-1}$, so $f(u) = f(v)$. But f being injective on U_1 , this implies $u = v$ and $z_1 = z_2$.

Thus $f(g)U_1$ is an evenly covered neighborhood for every $f(g) \in G_2$.

$$f^{-1}(f(g)U_2) = gU_1 \ker f = \bigsqcup_{z \in \ker f} gU_1z.$$

To see that $\ker f$ is discrete, note that the injectivity of f implies $U_1 \cap \ker f = \{e_1\}$. Being a discrete normal subgroup, it must also be central. □

1.3 Classical Lie groups

Definition 1.3.1. We define

$$Sp(n, \mathbb{K}) = \{A \in GL(2n, \mathbb{K}) \mid \omega(Ax, Ay) = \omega(x, y)\}.$$

where ω is the standard symplectic form on \mathbb{K}^{2n} , which is given by $\omega(x, y) = x^*Jy = \sum_{i=1}^n x_i y_{i+n} - x_{i+n} y_i$, and

$$J = \begin{pmatrix} 0 & -I_n \\ I_n & 0 \end{pmatrix}.$$

We also define the group of unitary quaternionic transformations by

$$Sp(n) = Sp(n, \mathbb{C}) \cap SU(2n).$$

The following theorem tells us that the logarithmic map behaves well when restricted to a neighborhood of the identity in each classical group.

G	$O(n, \mathbb{R})$	$SO(n, \mathbb{R})$	$U(n)$	$SU(n)$	$Sp(n)$
\mathfrak{g}	$x^t = -x$	$x^t = -x$	$x^* = -x$	$x^* = -x, \text{tr } x = 0$	$J^{-1}x^*J = -x, x^* = -x$
$\dim G$	$\frac{n(n-1)}{2}$	$\frac{n(n-1)}{2}$	n^2	$n^2 - 1$	$n(2n + 1)$
$\pi_0(G)$	\mathbb{Z}_2	$\{1\}$	$\{1\}$	$\{1\}$	$\{1\}$
$\pi_1(G)$	$\mathbb{Z}_2 \ (n \geq 3)$	$\mathbb{Z}_2 \ (n \geq 3)$	\mathbb{Z}	$\{1\}$	$\{1\}$
$\mathfrak{g}_{\mathbb{C}}$			$\mathfrak{gl}(n, \mathbb{C})$	$\mathfrak{sl}(n, \mathbb{C})$	

Table 1.1: Compact classical groups

G	$GL(n, \mathbb{R})$	$SL(n, \mathbb{R})$	$Sp(n, \mathbb{R})$
\mathfrak{g}	$\mathfrak{gl}(n, \mathbb{R})$	$\text{tr } x = 0$	$x + J^{-1}x'J = 0$
$\dim G$	n^2	$n^2 - 1$	$n(2n + 1)$
$\pi_0(G)$	\mathbb{Z}_2	$\{1\}$	$\{1\}$
$\pi_1(G)$	$\mathbb{Z}_2 \ (n \geq 3)$	$\mathbb{Z}_2 \ (n \geq 3)$	\mathbb{Z}
$\mathfrak{g}_{\mathbb{C}}$		$\mathfrak{sl}(n, \mathbb{C})$	

Table 1.2: Noncompact real classical groups.

G	$GL(n, \mathbb{C})$	$SL(n, \mathbb{C})$	$O(n, \mathbb{C})$	$SO(n, \mathbb{C})$
$\pi_0(G)$	$\{1\}$	$\{1\}$	\mathbb{Z}_2	$\{1\}$
$\pi_1(G)$	\mathbb{Z}	$\{1\}$	\mathbb{Z}_2	\mathbb{Z}_2

Table 1.3: Complex classical groups.

Chapter 2

Lie groups and Lie algebras

2.1 Exponential map

Definition 2.1.1 (Proposition 3.1, [Kir08]). Let G be a Lie group and $x \in \mathfrak{g}$. The one-parameter subgroup $\gamma_x : \mathbb{K} \rightarrow G$ is the unique Lie group morphism such that $\gamma'_x(0) = x$. We define the exponential map of G as

$$\exp(x) = \gamma_x(1)$$

Remark 2.1.1. By looking at the proof of the statements in the above definition, one can see that for $x \in \mathfrak{g}$, the curve

$$\exp(tx) = \gamma_x(t) = \gamma_{tx}(1).$$

integral curve of the left-invariant vector field $X \in \mathcal{X}(G)$ that satisfies

$$X_e = x.$$

The following are some properties of the exponential map:

Theorem 8 (Theorems 3.7 and 3.36, [Kir08]). *Let G be a Lie group.*

- (i) $d_e \exp = \text{id}_{\mathfrak{g}}$
- (ii) *The exponential map is a local diffeomorphism at 0.*
- (iii) *For any Lie group morphism $\phi : G_1 \rightarrow G_2$, we have $d_e \phi(\exp(x)) = \exp d_e(\phi(x))$ for all $x \in \mathfrak{g}$.*
- (iv) *For any $g \in G, x \in \mathfrak{g}$*
$$g \exp(x) g^{-1} = \exp(\text{Ad}_g x).$$
- (v) *For $x, y \in \mathfrak{g}$, we have:*

$$\text{If } [x, y] = 0 \text{ then } e^x e^y = e^y e^x = e^{x+y}.$$

Remark 2.1.2. The exponential map is not surjective in general. It is however for compact Lie groups.

Lemma 2.1.1. *The exponential map of a connected Lie group G sends generators of \mathfrak{g} to generators of G . That is, if $\{x_1, \dots, x_n\}$ is a basis of \mathfrak{g} , then $\{\exp(tx_i) : t \in \mathbb{R}, i \in \llbracket 1, n \rrbracket\}$ is a basis of G .*

Proof. Consider the map $f : \mathbb{R}^n \rightarrow G$ given by

$$f(t_1, \dots, t_n) = \exp(t_1 x_1) \cdots \exp(t_n x_n).$$

Then $d_0 f = I_n$ in the basis with respect to the standard basis for \mathbb{R}^n and $\{x_1, \dots, x_n\}$ for \mathfrak{g} . In particular it is surjective and by the constant rank theorem, $f(\mathbb{R}^n)$ contains a neighborhood of the identity of G . \square

Example 2.1.1. Let $G = SO(3, \mathbb{R})$. Then $\mathfrak{so}(3, \mathbb{R})$ consists of skew-symmetric matrices, with basis:

$$J_1 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -1 \\ 0 & 1 & 0 \end{pmatrix}, \quad J_2 = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ -1 & 0 & 0 \end{pmatrix}, \quad J_3 = \begin{pmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}.$$

The exponential matrix is given by

$$e^{tJ_1} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos(t) & -\sin(t) \\ 0 & \sin(t) & \cos(t) \end{pmatrix},$$

i.e. rotation around x-axis by angle t ; similarly, J_y, J_z generate rotations around y, z axes. Elements of the form $\exp(tJ_x), \exp(tJ_y), \exp(tJ_z)$ generate a neighborhood of identity in $SO(3, \mathbb{R})$. Since $SO(3, \mathbb{R})$ is connected, these elements generate the whole group. For this reason, it is common to refer to J_x, J_y, J_z as “infinitesimal generators” of $SO(3, \mathbb{R})$. Thus, in a certain sense $SO(3, \mathbb{R})$ is generated by three elements.

Remark 2.1.3. To motivate the term “infinitesimal generators”, one can think of them as directions in which one can move from the identity (using the exponential map) in order to generate the whole group.

2.2 The commutator

In literature, one has at least three (and four in the case of vector fields) ways to define the commutator of some Lie group, Namely

- (i) Using left-invariant vector fields (see [Lee18]).
- (ii) As the lowest order term in the logarithm of the multiplication of exponentials (see Lemma 2.2.1).
- (iii) As the differential of the adjoint representation.
- (iv) In the case of vector fields, as the differentiation of the second vector field along the first.

For completeness, we recall that in [Lee18], the commutator of a Lie group is defined as

$$[x, y] = [X, Y]_e \text{ for } x, y \in \mathfrak{g}$$

where X, Y are the left-invariant vector fields for which $X_e = x, Y_e = y$, and the bracket of two vector fields is defined as $[X, Y] = XY - YX$.

In [Kir08], the commutator is defined as the lowest order term in the logarithm of the multiplication of exponentials (see Lemma 2.2.1). We say that a map $Q : \mathfrak{g} \rightarrow \mathfrak{g}$ is of order k if $Q(tx) = t^k Q(X)$ for $t \in \mathbb{R}, x \in \mathfrak{g}$.

Lemma 2.2.1 (Lemma 3.11, [Kir08]). *Let G be a Lie group. Then there exists a neighborhood of $0 \in \mathfrak{g}$ and smooth (or analytic in the complex case) functions $[\cdot, \cdot], \mu : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathfrak{g}$ such that in that neighborhood:*

$$e^x e^y = e^{\mu(x, y)}$$

$$\mu(x, y) = x + y + \frac{1}{2}[x, y] + \cdots$$

with $[\cdot, \cdot]$ being a bilinear skew-symmetric form and the dots denoting terms of order higher than 3.

The commutator has the following properties:

Proposition 2.2.1 (Proposition 3.12, [Kir08]). *Let G, H be Lie groups and $\phi : G \rightarrow H$ a Lie group morphism. Then for $x, y \in \mathfrak{g}$ we have*

- (i) $\phi_*[x, y] = [\phi_*x, \phi_*y]$.
- (ii) $\text{Ad}_g[x, y] = [\text{Ad}_g x, \text{Ad}_g y]$.
- (iii) $e^x e^y e^{-x} e^{-y} = e^{[x, y] + \cdots}$, where dots stand for degrees higher than 2.
- (iv) $\text{ad}_x y = [x, y]$, where $\text{ad} = d_e \text{Ad} : \mathfrak{g} \rightarrow \mathfrak{gl}(\mathfrak{g})$.
- (v) $\text{Ad}_{e^x} = e^{\text{ad}_x} \in \mathfrak{gl}(\mathfrak{g})$

For instance when G is commutative, the commutator is zero (and the exponential map is a homomorphism).

Example 2.2.1. (i) For $\mathfrak{g} = \mathfrak{gl}(d, \mathbb{K})$, the commutator is given by

$$[x, y] = xy - yx.$$

- (ii) For a general associative algebra A , the commutator over \mathcal{K} , is given by the same formula.
- (iii) Any vector space can be made into a commutative Lie algebra by defining the commutator to be zero.

Theorem 9 (Jacobi identity). *Let G be a real or complex Lie group and $x, y, z \in \mathfrak{g}$. Then*

$$[x, [y, z]] + [y, [z, x]] + [z, [x, y]] = 0.$$

Proof. See [Kir08, Theorem 3.16]. □

Proposition 2.2.2. *Differentiation at the identity induces a map*

$$\text{hom}(G_1, G_2) \rightarrow \text{hom}(\mathfrak{g}_1, \mathfrak{g}_2)$$

which is injective when G_1 is connected.

2.3 Subalgebras, ideals and center

Definition 2.3.1. A subalgebra of a Lie algebra \mathfrak{g} is a subspace $\mathfrak{h} \subseteq \mathfrak{g}$ that is closed under the Lie bracket: $[x, y] \in \mathfrak{h}$ for all $x, y \in \mathfrak{h}$. An ideal is a subalgebra \mathfrak{h} such that $[x, y] \in \mathfrak{h}$ for all $x \in \mathfrak{h}, y \in \mathfrak{g}$.

It is easy to see that one can take quotients of Lie algebras by ideals and thus obtain Lie algebras.

The next theorem tells us that Lie subgroups correspond to subalgebras, and normal closed Lie subgroups correspond to ideals. In the case of the latter, a converse statement gives us a way to check if a subgroup is normal by checking whether the corresponding subalgebra is an ideal. In this way we obtain a link between an algebraic condition (being a normal subgroup) and a linear one (being an ideal).

Theorem 10 (Theorem 3.22, [Kir08]). *Let G be a real or complex Lie group with Lie algebra \mathfrak{g} . Then*

- (i) *If H is a Lie subgroup (not necessarily closed) of G , then \mathfrak{h} is a subalgebra of \mathfrak{g} .*
- (ii) *If H is a normal closed Lie subgroup of G , then \mathfrak{h} is an ideal of \mathfrak{g} .*
- (iii) *If H is a closed Lie subgroup of G , both G and H are connected, then \mathfrak{h} is an ideal of \mathfrak{g} if and only if H is a normal subgroup of G .*

2.4 Lie algebra of vector fields

For a manifold M , the space $\text{Vect}(M)$ can be made into a Lie algebra by directly defining the bracket of two vector fields and showing that it is skew-symmetric and bilinear. However, one can think of $\text{Diff}(M)$ as similar to a Lie group (but not quite, since it is infinite-dimensional), whose Lie algebra is $\text{Vect}(M)$.

Definition 2.4.1. Let M be a smooth manifold and G be a Lie group.

- (i) A smooth map $\rho : G \rightarrow \text{Diff}(M)$ is a map that arises from a smooth action of G on M .
- (ii) The Lie algebra of $\text{Diff}(M)$ is the space of vector fields $\text{Vect}(M)$.
- (iii) The exponential map of $\text{Diff}(M)$ is the flow (whenever well-defined) of a vector field: $(e^X)_m = \Phi_X^1(m)$.
- (iv) The differential of $\rho : G \rightarrow \text{Diff}(M)$ is the map $\rho_* : \mathfrak{g} \rightarrow \text{Vect}(M)$ given by

$$(\rho_*(x))_m = \left. \frac{d}{dt} \right|_{t=0} \rho(e^{tx})(m).$$

- (v) The commutator $[\xi, \eta]$ of two vector fields $\xi, \eta \in \text{Vect}(M)$ is the unique vector field that satisfies

$$\Phi_\xi^t \Phi_\eta^s \Phi_\xi^{-t} \Phi_\eta^{-s} = \Phi_{[\xi, \eta]}^{ts} + \dots$$

where the dots stand for terms of order 3 and higher in s, t .

Remark 2.4.1. To motivate why $\text{Vect}(M) = \mathfrak{diff}(M)$, we note that $\mathfrak{diff}(M)$ should be given by the derivatives of the one-parameter subgroups of $\text{Diff}(M)$. If $\phi^t \in \text{Diff}(M)$ is a one-parameter subgroup of M , then its derivative defines a vector field $\partial_{t=0}\phi^t \in \text{Vect}(M)$:

$$\left. \frac{d}{dt} \right|_{t=0} \phi^t(m) \in T_m(M), \text{ for } m \in M.$$

Similarly, to motivate the differential of $\rho : G \rightarrow \text{Diff}(M)$, we note that ρ maps one-parameter subgroups to one-parameter subgroups.

Proposition 2.4.1 (Proposition 3.23, [Kir08]). *Let M be a smooth manifold and G be a Lie group acting smoothly on M .*

- (i) *The commutator of vector fields makes $\text{Vect}(M)$ into a Lie algebra.*
- (ii) *The commutator can be also defined by the following formulas:*

$$\begin{aligned} [\xi, \eta] &= \frac{d}{dt} (\Phi_\xi^t)_* \eta \\ \partial_{[\xi, \eta]} f &= \partial_\eta \partial_\xi f - \partial_\xi \partial_\eta f \\ [f^i \partial_i, g^j \partial_j] &= (g^i \partial_i f^j - f^i \partial_i g^j) \partial_j \end{aligned}$$

Note that the first expression from the second point of the above theorem should be read as

$$[\xi, \eta]_p = \left. \frac{d}{dt} \right|_{t=0} d_{\Phi_\xi^{-t}(p)} \Phi_\xi^t(\eta_{\Phi_\xi^{-t}(p)})$$

which allows us to interpret the Lie bracket of two vector fields as differentiation of the one along (the integral curves of) the other.

Theorem 11 (Theorem 3.25, [Kir08]). *The transformation $\rho_* : \mathfrak{g} \rightarrow \text{Vect}(M)$ is a Lie algebra morphism.*

Example 2.4.1. (i) Considering the action of G on itself by left-multiplication $L : G \rightarrow \text{Diff}(G)$, the Lie algebra isomorphism

$$L_* : \mathfrak{g} \leftrightarrow \{\text{right invariant vector fields on } G\}$$

associates to $x \in \mathfrak{g}$ the right-invariant vector field X such that $X_e = x$.

2.5 Stabilizers and the center

Corollary 2.5.1. *Let $f : G_1 \rightarrow G_2$ be a morphism of real or complex Lie groups. Then*

- (i) *$\ker f$ is a closed Lie subgroup with Lie algebra $\ker f_*$.*
- (ii) *The map $G_1 / \ker f \rightarrow \text{Im} f$ is an immersion.*
- (iii) *When $\text{Im} f$ is a submanifold, the map is a $G_1 / \ker f \rightarrow \text{Im} f$ diffeomorphism.*

Example 2.5.1. (i) Let V be a vector space over \mathbb{K} with a bilinear form B . Then

$$\text{O}(V, B) = \{g \in \text{GL}(V) : B(gu, gv) = B(u, v) \text{ for all } u, v \in V\}$$

is a closed Lie group with Lie algebra:

$$\mathfrak{o}(V, B) = \{g \in \mathfrak{gl}(V) : B(xu, xv) = B(u, v) \text{ for all } u, v \in V\}.$$

Indeed, define an action of $\mathrm{GL}(V)$ on the space \mathcal{F} of bilinear forms on V by $gF(u, v) = F(g^{-1}u, g^{-1}v)$. This defines a representation $\rho : \mathrm{GL}(V) \rightarrow \mathrm{GL}(\mathcal{F})$ and $\mathrm{O}(V, B)$ is the stabilizer of B under this action. The space \mathcal{F} is a vector space, so $\mathrm{Vect}(\mathcal{F})$ can be identified with the space smooth maps from \mathcal{F} to itself. Then for $x \in \mathfrak{gl}(V)$

$$\begin{aligned} (\rho_*(x))_B(u, v) &= \left(\frac{d}{dt} \Big|_{t=0} \rho(e^{tx})B \right)(u, v) = \left(\frac{d}{dt} \Big|_{t=0} B(e^{-tx}u, e^{-tx}v) \right) \\ &= -B(u, xv) - B(xu, v). \end{aligned}$$

- (ii) Let A be a finite-dimensional associative algebra over \mathbb{K} . Then the group of all automorphisms of A

$$\mathrm{Aut}(A) = \{g \in \mathrm{GL}(A) \mid (ga) \cdot (gb) = g(a \cdot b) \text{ for all } a, b \in A\}$$

is a Lie group with Lie algebra

$$\mathrm{Der}(A) = \{x \in \mathfrak{gl}(A) \mid (xa)b + a(xb) = x(ab) \text{ for all } a, b \in A\}$$

(this Lie algebra is called the *algebra of derivations of A*).

Indeed, if we consider the space W of all linear maps $A \otimes A \rightarrow A$ and define the action of G by $(gf)(a \otimes b) = gf(g^{-1}a \otimes g^{-1}b)$ then $\mathrm{Aut} A = G_\mu$, where $\mu : A \otimes A \rightarrow A$ is the multiplication. So $\mathrm{Aut}(A)$ is a Lie group with Lie algebra $\mathrm{Der}(A)$.

- (iii) The same argument also shows that for a finite-dimensional Lie algebra \mathfrak{g} , the group

$$\mathrm{Aut}(\mathfrak{g}) = \{g \in \mathrm{GL}(\mathfrak{g}) \mid [ga, gb] = g[a, b] \text{ for all } a, b \in \mathfrak{g}\}$$

is a Lie group with Lie algebra

$$\mathrm{Der}(\mathfrak{g}) = \{x \in \mathfrak{gl}(\mathfrak{g}) \mid [x.a, b] + [a, x.b] = x.[a, b] \text{ for all } a, b \in \mathfrak{g}\}$$

called the Lie algebra of derivations of \mathfrak{g} .

Finally, we can show that the center of G is a closed Lie subgroup.

Theorem 12. *Let G be a connected Lie group. Then its center $Z(G)$ is a closed Lie subgroup with Lie algebra the ideal*

$$\mathfrak{z}(\mathfrak{g}) = \{x \in \mathfrak{g} \mid [x, y] = 0 \ \forall y \in \mathfrak{g}\}.$$

The quotient group $G/Z(G)$ is usually called the *adjoint group* associated with G and denoted $\mathrm{Ad} G$:

$$\mathrm{Ad} G = G/Z(G) = \mathrm{Im}(\mathrm{Ad} : G \rightarrow \mathrm{GL}(\mathfrak{g})) \quad (\text{for connected } G).$$

The corresponding Lie algebra is

$$\mathrm{ad} \mathfrak{g} = \mathfrak{g}/\mathfrak{z}(\mathfrak{g}) = \mathrm{Im}(\mathrm{ad} : \mathfrak{g} \rightarrow \mathfrak{gl}(\mathfrak{g})).$$

2.6 Campbell–Hausdorff formula

So far, we have shown that the multiplication in a Lie group G defines the commutator in $\mathfrak{g} = T_1G$. However, the definition of commutator only used the lowest non-trivial term of the group law in logarithmic coordinates. Thus, it might be expected that higher terms give more operations on \mathfrak{g} . However, it turns out that it is not so: the whole group law is completely determined by the lowest term, i.e. by the commutator, as follows from the Campbell–Hausdorff formula:

Theorem 13 (Campbell–Hausdorff Formula). *For small enough $x, y \in \mathfrak{g}$ one has*

$$\exp(x)\exp(y) = \exp(\mu(x, y))$$

for some \mathfrak{g} -valued function $\mu(x, y)$ which is given by the following series convergent in some neighborhood of $(0, 0)$:

$$\mu(x, y) = x + y + \sum_{n \geq 2} \mu_n(x, y),$$

where $\mu_n(x, y)$ is a Lie polynomial in x, y of degree n , i.e. an expression consisting of commutators of x, y , their commutators, etc., of total degree n in x, y . This expression is universal: it does not depend on the Lie algebra \mathfrak{g} or on the choice of x, y . In particular, the group operation in a connected Lie group G can be recovered from the commutator in \mathfrak{g} .

It is possible to write the expression for μ explicitly. However, this is rarely useful, so we will only write the first few terms:

$$\mu(x, y) = x + y + \frac{1}{2}[x, y] + \frac{1}{12}([x, [x, y]] + [y, [y, x]]) + \cdots$$

Although it follows from the Campbell–Hausdorff formula, the commutation criterion of the exponential can be also proven directly:

Theorem 14 (Theorem 3.36, [Kir08]). *Let $x, y \in \mathfrak{g}$. Then $e^x e^y = e^y e^x$ if and only if $[x, y] = 0$. In that case $e^x e^y = e^y e^x = e^{x+y}$.*

Proof. The if direction follows from the definition of the commutator via Taylor series. For the other direction we follow the method suggested in Exercise 3.11 of [Kir08]:

$$\mathrm{Ad}(e^x)y = e^{\mathrm{ad} x}y = \sum_{n \geq 0} \frac{(\mathrm{ad} x)^n}{n!}y = y$$

But $C_g e^z = e^{\mathrm{Ad}_g z}$, so the above implies that $e^x e^y e^{-x} = C_{e^x} e^y = e^{\mathrm{Ad}(e^x)y} = e^y$. \square

2.7 Fundamental theorems of Lie theory

Let us summarize the results we have so far about the relation between Lie groups and Lie algebras.

1. Every real or complex Lie group G defines a Lie algebra $\mathfrak{g} = T_1G$ (respectively, real or complex), with commutator defined by (3.2); we will write $\mathfrak{g} = \mathrm{Lie}(G)$. Every morphism of Lie groups $\varphi : G_1 \rightarrow G_2$ defines a morphism of Lie algebras $\varphi_* : \mathfrak{g}_1 \rightarrow \mathfrak{g}_2$. For connected G_1 , the map

$$\mathrm{Hom}(G_1, G_2) \rightarrow \mathrm{Hom}(\mathfrak{g}_1, \mathfrak{g}_2)$$

$$\varphi \mapsto \varphi_*$$

is injective. (Here $\mathrm{Hom}(\mathfrak{g}_1, \mathfrak{g}_2)$ is the set of Lie algebra morphisms.)

2. As a special case of the previous, every Lie subgroup $H \subset G$ defines a Lie subalgebra $\mathfrak{h} \subset \mathfrak{g}$.
3. The group law in a connected Lie group G can be recovered from the commutator in \mathfrak{g} ; however, we do not yet know whether we can also recover the topology of G from \mathfrak{g} .

However, this still leaves a number of questions.

Question 1. Given a morphism of Lie algebras $\mathfrak{g}_1 \rightarrow \mathfrak{g}_2$, where $\mathfrak{g}_1 = \text{Lie}(G_1)$, $\mathfrak{g}_2 = \text{Lie}(G_2)$, can this morphism always be lifted to a morphism of the Lie groups?

Question 2. Given a Lie subalgebra $\mathfrak{h} \subset \mathfrak{g} = \text{Lie}(G)$, does there always exist a corresponding Lie subgroup $H \subset G$?

Question 3. Can every Lie algebra be obtained as a Lie algebra of a Lie group?

As the following example shows, in this form the answer to question 1 is negative.

Example 2.7.1. Let $G_1 = S^1 = \mathbb{R}/\mathbb{Z}$, $G_2 = \mathbb{R}$. Then the Lie algebras are $\mathfrak{g}_1 = \mathfrak{g}_2 = \mathbb{R}$ with zero commutator. Consider the identity map $\mathfrak{g}_1 \rightarrow \mathfrak{g}_2 : a \mapsto a$. Then the lift $\tilde{f} : \mathbb{R} \rightarrow \mathbb{R}$ of the corresponding morphism to the universal covering group (provided that the underlying morphism $f : S^1 \rightarrow \mathbb{R}$ exists), should be given by $\tilde{f}(\theta) = \theta + c$; on the other hand, it must also satisfy $\tilde{f}(\mathbb{Z}) = \{0\}$. Thus, this morphism of Lie algebras can not be lifted to a morphism of Lie groups.

In this example the difficulty arose because G_1 was not simply-connected. It turns out that this is the only difficulty: after taking care of this, the answers to all the questions posed above are positive. The following theorems give precise statements.

Theorem 15 (First fundamental theorem of Lie theory). *For any real or complex Lie group G , there is a bijection between connected Lie subgroups $H \subset G$ and Lie subalgebras $\mathfrak{h} \subset \mathfrak{g}$, given by $H \mapsto \mathfrak{h} = \text{Lie}(H) = T_1 H$.*

Theorem 16 (Second fundamental theorem of Lie theory). *If G_1, G_2 are Lie groups (real or complex) and G_1 is connected and simply connected, then $\text{Hom}(G_1, G_2) = \text{Hom}(\mathfrak{g}_1, \mathfrak{g}_2)$, where $\mathfrak{g}_1, \mathfrak{g}_2$ are Lie algebras of G_1, G_2 respectively.*

Theorem 17 (Lie's third theorem). *Any finite-dimensional real or complex Lie algebra is isomorphic to a Lie algebra of a Lie group (respectively, real or complex).*

These are the fundamental theorems of Lie theory and combining these with the previous results, we get the following important corollary.

Corollary 2.7.1 (Lie group and algebra equivalence). *The categories of finite-dimensional Lie algebras and connected, simply-connected Lie groups are equivalent. In other words, for any real or complex finite-dimensional Lie algebra \mathfrak{g} , there is a unique (up to isomorphism) connected simply-connected Lie group G (respectively, real or complex) with $\text{Lie}(G) = \mathfrak{g}$. Any other connected Lie group G' with Lie algebra \mathfrak{g} must be of the form G/Z for some discrete central subgroup $Z \subset G$.*

2.8 Complex and real forms

The operation of complexification, which is trivial at the level of Lie algebras, is highly non-trivial at the level of Lie groups. Real forms and their complexifications can be topologically quite different: for example, $SU(n)$ is compact while $SL(n, \mathbb{C})$ is not. On the other hand, it is natural to expect that their algebras share many algebraic properties, such as semisimplicity. Thus we may use the properties of the complex Lie algebra to study the real Lie algebra, and vice versa, or use the properties of one real form to study another real form. Thus, we may use, for example, use the compact group $SU(n)$ to prove some results about the non-compact group $SL(n, \mathbb{C})$. Moreover, since $\mathfrak{sl}(n, \mathbb{R})\mathbb{C} = \mathfrak{sl}(n, \mathbb{C})$, this will also give us results about the non-compact real group $SL(n, \mathbb{R})$. We will give an application of this to the study of representations of Lie groups in the next chapter.

Definition 2.8.1. (i) The complexification $\mathfrak{g}_{\mathbb{C}}$ of a real Lie algebra \mathfrak{g} is the complex Lie algebra

$$\mathfrak{g}_{\mathbb{C}} \stackrel{\text{def}}{=} \mathfrak{g} \otimes_{\mathbb{R}} \mathbb{C} = \mathfrak{g} \oplus i\mathfrak{g}.$$

We say that \mathfrak{g} is a real form of $\mathfrak{g}_{\mathbb{C}}$.

(ii) Let G be a connected complex Lie group with Lie algebra \mathfrak{g} . A closed real Lie subgroup $K \leq G$ is a real form of G if its Lie algebra \mathfrak{k} is a real form of \mathfrak{g} .

In some cases, complexification is obvious, as in $\mathfrak{g} = \mathfrak{sl}(n, \mathbb{R})$. Others however, are less obvious, as $\mathfrak{g} = \mathfrak{u}(n)$. Finding a real form for a complex group is can be often direct:

Proposition 2.8.1. *Let G be a connected simply-connected complex Lie group with Lie algebra \mathfrak{g} . Then every real form \mathfrak{k} of \mathfrak{g} is realised as the Lie algebra of a real form K of G .*

Proof. Considering $\mathfrak{g} = \mathfrak{k} \oplus i\mathfrak{k}$, we define the real Lie algebra automorphism

$$\theta : \mathfrak{g} \rightarrow \mathfrak{g}, \quad x + iy \mapsto x - iy.$$

Since G is connected and simply connected, the second fundamental theorem of Lie groups tells us that θ can be lifted to a Lie group automorphism $\Theta : G \rightarrow G$. Then $K = G^{\Theta}$ is a real form of G with Lie algebra $\ker \theta = \mathfrak{k}$. \square

Going in the opposite direction, from a real Lie group to a complex one, is more subtle: there are real Lie groups that can not be obtained as real forms of a complex Lie group (for example, it is known that the universal cover of $SL(2, \mathbb{R})$ is not a real form of any complex Lie group). It is still possible to define a complexification for any real Lie group G ; however, in general the former does not admit the latter as a subgroup.

Appendix A

Covering theory reminder

In this chapter we recall certain facts and definitions from basic covering theory. A nice reference for these is the Chapter 2 from [Hat02]. We begin by defining covering spaces.

Definition A.0.1. A covering map is a continuous surjective map $p : \tilde{X} \rightarrow X$ such that for every $x \in X$ there exists an open neighborhood U of x such that $p^{-1}(U)$ is a disjoint union of open sets in \tilde{X} , each of which is mapped homeomorphically onto U by p .

The prototypical example of a covering map is $p : \mathbb{S}^1 \rightarrow \mathbb{S}^1, p(z) = z^n$.

A.1 Lifting properties

One of the particular characteristics of covering spaces are their lifting properties, that we will recall below.

Proposition A.1.1 (Homotopy lifting property). *Let $p : \tilde{X} \rightarrow X$ be a covering space and a homotopy $f_t : Y \rightarrow X$. Then every lift $\tilde{f}_0 : Y \rightarrow \tilde{X}$ of f_0 extends to a unique homotopy \tilde{f}_t lifting f_t .*

Proof. See [Hat02, Proposition 1.30]. □

This in particular implies the path lifting property of covering spaces:

Corollary A.1.1. *Let $p : \tilde{X} \rightarrow X$ be a covering space. Then for every path $\gamma : I \rightarrow X$ and every lift \tilde{x}_0 of some point $x_0 \in X$ admits a unique lift $\tilde{\gamma} : I \rightarrow \tilde{X}$ of γ starting at \tilde{x}_0 .*

Both of the results above imply that path-homotopies lift to pathhomotopies, where we require for a path homotopy to keep the endpoints of paths fixed. We also have the following corollary that is useful in proving the lifting criterion below.

Corollary A.1.2. *The image subgroup $p_*(\pi_1(\tilde{X}, \tilde{x}_0))$ consists of the homotopy classes of loops in X based at x_0 whose lifts to \tilde{X} starting at \tilde{x}_0 are loops.*

Proof. See [Hat02, Corollary 1.31]. □

If we care about lifting maps and not homotopies, we have the following criterion that tells us when a lift exists. Namely when f sends loops to loops that lift to loops.

Proposition A.1.2 (Lifting criterion). *Let $p : (\tilde{X}, \tilde{x}_0) \rightarrow (X, x_0)$ be a covering space and $f : (Y, y_0) \rightarrow (X, x_0)$ with Y being path-connected and locally path-connected. Then a lift $\tilde{f} : (Y, y_0) \rightarrow (\tilde{X}, \tilde{x}_0)$ exists if and only if $f_*(\pi_1(Y, y_0)) \subset p_*(\pi_1(\tilde{X}, \tilde{x}_0))$.*

Proof. See [Hat02, Proposition 1.33]. □

And regarding uniqueness of lifts:

Proposition A.1.3. *Let $p : \tilde{X} \rightarrow X$ be a covering space and $f : Y \rightarrow X$ be a map. If Y is connected, then any two lifts $\tilde{f}_1, \tilde{f}_2 : Y \rightarrow \tilde{X}$ of f that coincide at one point will coincide everywhere on Y .*

Proof. See [Hat02, Proposition 1.34]. □

A.2 Universal covering

In this section we will be concerned with proving that under mild conditions, a space has a universal covering, i.e. a simply connected covering space.

Assumption 1. We consider a topological space X that will be path-connected, locally connected and semi-locally simply connected.

While the first two assumptions may seem rather natural, we will now explain the third one.

Definition A.2.1. A space X is semi-locally simply connected if for every $x \in X$ there exists an open neighborhood U of x such that every loop in U based at x is homotopic in X to a constant loop in U . In other words, the homomorphism

$$\pi_1(U, x) \rightarrow \pi_1(X, x)$$

induced by the inclusion is trivial.

To motivate this assumption, we note that it is necessary for the existence of a universal covering. Indeed, if $p : \tilde{X} \rightarrow X$ is a universal covering, $x_0 \in X$ and U an evenly covered neighborhood of x_0 , then any loop in U based at x lifts to a loop in \tilde{X} based at some \tilde{x}_0 . Since \tilde{X} is simply connected, this loop is homotopic to a constant loop in \tilde{X} and the homotopy projects down to a homotopy in X .

Before constructing the universal cover, we remark that every universal cover \tilde{X} can be thought of as homotopy classes of paths in X starting at some fixed point x_0 .

Remark A.2.1. Let $p : \tilde{X} \rightarrow X$ be a universal covering and $x_0 \in X$. Then the set of homotopy classes of paths in X starting at x_0 is in bijection with \tilde{X} . Indeed, given a path $\gamma : I \rightarrow X$ starting at x_0 , we associate it to its endpoint, which defines a map $\tilde{\gamma} : I \rightarrow \tilde{X}$. This map is well-defined since homotopic paths have the same endpoint. It is surjective, because X is path-connected, while injectivity follows from the fact that \tilde{X} is simply connected.

We now proceed with the construction of the universal cover by fixing some $x_0 \in X$ and letting

$$\tilde{X} \stackrel{\text{def}}{=} \{[\gamma] \mid \gamma \text{ is a path in } X \text{ starting at } x_0\},$$

and defining the projection map $p : \tilde{X} \rightarrow X$ by $p([\gamma]) = \gamma(1)$.

To define a topology on \tilde{X} , we first consider a convenient basis of open sets for X :

$$\mathcal{U} \stackrel{\text{def}}{=} \{U \subseteq X \text{ open and path-connected} \mid \pi_1(U, x) \rightarrow \pi_1(X, x) \text{ is trivial for some } x \in U\}.$$

Note that the above set is well-defined since if there exists some $x \in U$ such that $\pi_1(U, x) \rightarrow \pi_1(X, x)$ is trivial, then it is trivial for all $x' \in U$ because U is path-connected. To see that it is a basis for X , we note that $V \in \mathcal{U}$ for every a path-connected open subset $V \subseteq U$.

To each homotopy class $[\gamma] \in \tilde{X}$ and $U \in \mathcal{U}$ we associate the set

$$U_{[\gamma]} \stackrel{\text{def}}{=} \left\{ [\gamma \cdot \eta] \in \tilde{X} \mid \eta \text{ is a path in } U \text{ such that } \eta(0) = \gamma(1) \right\}.$$

Then, given $x \in X$ and a neighborhood U of x , the collection

$$U_{[\gamma]} \text{ for paths } \gamma \text{ going from } x_0 \text{ to } x$$

will be the sheets of U . The following property is crucial into showing that the topology defined by the above basis is well-defined:

$$U_{[\gamma]} = U_{[\gamma']} \text{ for all } [\gamma'] \in U_{[\gamma]}.$$

The proofs that $p : U_{[\gamma]} \rightarrow U$ is a homeomorphism for all $U \in \mathcal{U}$ and $[\gamma] \in \tilde{X}$ and that \tilde{X} is simply connected can be found in [Hat02].

An elementary example is the torus:

Example A.2.1. For $X = \mathbb{T}^2$ being the torus, the universal covering $p : \mathbb{R}^2 \rightarrow \mathbb{T}^2$ and given by $p(t, s) = e^{2\pi i t}, e^{2\pi i s}$. Under the identification $\pi_1(\mathbb{T}^2) \simeq \mathbb{N}^2$, which acts on \mathbb{R}^2 by translations, we have $\mathbb{T}^2 \simeq \mathbb{R}^2 / \mathbb{N}^2$.

Having now constructed the universal cover, we now move on to discussing deck transformations.

Definition A.2.2. A deck transformation of a covering space $p : \tilde{X} \rightarrow X$ is a homeomorphism $\phi : \tilde{X} \rightarrow \tilde{X}$ such that $p \circ \phi = p$. In other words, the following triangular diagram commutes:

$$\begin{array}{ccc} \tilde{X} & \xrightarrow{\phi} & \tilde{X} \\ & \searrow p & \swarrow p \\ & X & \end{array}$$

For instance, the fundamental group $\pi_1(X, x_0)$ of X acts by deck transformations on the universal cover \tilde{X} . To each $[\alpha] \in \pi_1(X, x_0)$ we associate the deck transformation $\phi_{[\alpha]}$ defined by

$$\phi_{[\alpha]}([\eta]) = [\alpha \cdot \eta].$$

Considering the quotient space $\tilde{X} / \pi_1(X, x_0)$, we have the following result:

Theorem 18. *The quotient space $\tilde{X} / \pi_1(X, x_0)$ is homeomorphic to X where the homeomorphism is induced by the projection map $p : \tilde{X} \rightarrow X$ as in the following diagram*

$$\begin{array}{ccc} \tilde{X} & \xrightarrow{p} & X \\ \downarrow & \nearrow \simeq & \\ \tilde{X} / \pi_1(X, x_0) & & \end{array}$$

Appendix B

Exercises

In this chapter, we have solutions for certain exercises of [Kir08].

Exercise 1 (Exercise 3.12). Let $x, y \in \mathfrak{g}$. Then $e^x e^y = e^y e^x$ if and only if $[x, y] = 0$. In that case $e^x e^y = e^y e^x = e^{x+y}$.

Solution. The if direction follows from the definition of the commutator via Taylor series. For the other direction we follow the method suggested in Exercise 3.11 of [Kir08]:

$$\mathrm{Ad}(e^x)y = e^{\mathrm{ad} x}y = \sum_{n \geq 0} \frac{(\mathrm{ad} x)^n}{n!}y = y$$

But $C_g e^z = e^{\mathrm{Ad}_g z}$, so the above implies that $e^x e^y e^{-x} = C_{e^x} e^y = e^{\mathrm{Ad}(e^x)y} = e^y$. \square

Exercise 2 (Exercise 3.15). Let G be a connected simply-connected complex Lie group with Lie algebra \mathfrak{g} . Then every real form \mathfrak{k} of \mathfrak{g} is realised as the Lie algebra of a real form K of G .

Solution. Considering $\mathfrak{g} = \mathfrak{k} \oplus i\mathfrak{k}$, we define the real Lie algebra automorphism

$$\theta : \mathfrak{g} \rightarrow \mathfrak{g}, \quad x + iy \mapsto x - iy.$$

Since G is connected and simply connected, the second fundamental theorem of Lie groups tells us that θ can be lifted to a Lie group automorphism $\Theta : G \rightarrow G$. Then $K = G^\Theta$ is a real form of G with Lie algebra $\ker \theta = \mathfrak{k}$. \square

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