

Todo list

Outline proof steps for counterexample to Labourie’s lemma	23
Is it not the case $d = 4$ that is of importance in physics?	28
Type up the proof of the quadratic form reduction that I have already handwritten. . .	29
inline	29
Is $\text{Ad}_{\text{SU}(2,1)}$ Zariski-dense in $\text{SO}(\mathfrak{su}(2,1), K)$? If yes, then a generalisation of Theorem 3	
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Limit sets of Anosov representations

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Abstract

For a projective Anosov subgroup $\rho(\Gamma)$ of $\mathrm{SL}(d, \mathbb{R})$ whose limit set is a Lipschitz submanifold of $\mathbb{P}(\mathbb{R}^d)$, based on [PSW23], we show that the Hausdorff dimension of the limit set is equal to the critical exponent of the Falconer functional when the Zariski-closure of $\rho(\Gamma)$ is $\mathrm{SL}(d, \mathbb{R})$ or $\mathrm{SO}(2, d - 2)$ for $d \neq 4$.

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Chapter 1

Introduction

Let $X = \mathbb{H}_{\mathbb{R}}^n$ be the real hyperbolic space and $\Gamma \leq \mathrm{SO}(1, n)$ be a discrete convex-cocompact subgroup of isometries. Sullivan [Sul79] proved that the exponential growth of the orbits of the action $\Gamma \curvearrowright X$ is equal to the Hausdorff dimension of the limit set Λ_Γ of Γ , providing a stunning connection between the geometry of the limit set and the dynamics of the group action. Since then, there have been numerous generalizations of this result to other settings, such as the action of other kinds of discrete groups acting on hyperbolic spaces (see for instance [Rob03; DOP00; Coo93]), or to other kinds of spaces (for instance [Coo93] for the case of X being a Gromov hyperbolic space). For our part, we will be interested in the generalization of Sullivan's result to higher-rank by considering Anosov subgroups of $\mathrm{SL}(d, \mathbb{R})$ that are not necessarily convex-cocompact and whose limit set is a Lipschitz submanifold of the real projective space $\mathbb{P}(\mathbb{R}^d)$, as was done in [GMT23; PSW23]. This direction is motivated by the fact that Anosov subgroups are considered to be a generalization of convex co-compact subgroups in rank one symmetric spaces to ones of higher rank.

The method that was used in [Sul79] to prove the above result was to construct a Patterson-Sullivan measure over the limit set of Γ . However, in the higher-rank case, more work was needed for Patterson-Sullivan theory to be developed by Quint [Qui02] and further elaborated in [PSW23] to be able to construct such a measure over limit sets living in flag varieties. Moreover, a substantial contribution of [PSW23] was to consider the unstable Jacobian, whose critical exponent carries the geometric information of the limit-set. However, we noticed a gap in the proof of the main result of [PSW23] which we filled in this thesis by adding certain assumptions on the Zariski-closure of the subgroup considered, and which we hope can be further generalised providing further directions to investigate.

1.1 Limit sets and critical exponents

Definition 1.1.1. Let Γ be a discrete group of isometries of a metric space (X, d) . We define the limit set of Γ to be the set of accumulation points of the orbit of some fixed $x \in X$:

$$\Lambda_\Gamma = \overline{\Gamma \cdot x} - \Gamma \cdot x.$$

Remark 1.1.1. One can show that the definition of the limit set is independent of the choice of x . When moreover Γ is nonelementary (i.e. it does not admit any finite invariant subset of $X \cup \partial X$), it is easy to see that $\Lambda_\Gamma \subseteq \partial X$ and one can show that it is the minimal closed Γ -invariant subset of ∂X (see for example [Qui06, Proposition 4.7]).

Definition 1.1.2. Let Γ be a discrete group of isometries of a metric space (X, d) . We define the critical exponent of Γ to be the asymptotic exponential growth of its orbits, i.e. the following limit:

$$\delta_\Gamma = \limsup_{n \rightarrow \infty} \frac{\log \#\{\gamma \in \Gamma : d(x, \gamma x) \leq n\}}{n}$$

for some fixed $x \in X$.

Remark 1.1.2. It is not hard to show that the critical exponent is independent of the choice of x .

Theorem 1 ([Sul79]). *Let $\Gamma \leq \text{PSO}(1, n)$ be convex co-compact. Then the critical exponent of Γ is equal to the Hausdorff dimension of its limit set:*

$$\dim_{\mathcal{H}}(\Lambda_\Gamma) = \delta_\Gamma.$$

There have been numerous generalizations of the above result (see for example [GMT23; LL23; PSW23; Lin04; Qui02; Coo93]). In this thesis, we will focus on the case of Anosov representations of discrete groups into $\text{SL}(d, \mathbb{R})$, mainly based on [PSW23]. A reason to consider Anosov subgroups for this purpose is that they are considered to be a generalization of convex co-compact subgroups in rank one symmetric spaces to ones of higher rank. In particular, their Gromov boundary is realised as a subset of the real projective space, which will replace the role of the limit set Λ_Γ in the higher rank case. More concretely, the main goal of this thesis is to present a proof of the following result, found in [PSW23]:

Theorem 2. Let $\rho : \Gamma \rightarrow \text{SL}(d, \mathbb{R})$ be a Zariski-dense, projective Anosov representation in $\text{SL}(d, \mathbb{R})$ such that $\xi_\rho^1(\partial\Gamma)$ is a Lipschitz submanifold of $\mathbb{P}(\mathbb{R}^d)$. Then

$$\dim(\xi_\rho^1(\partial\Gamma)) = h_\rho(F),$$

where $h_\rho(F)$ is the critical exponent of the Falconer functional F .

As can be seen from the statement of the theorem, the main challenge is to find an appropriate functional whose critical exponent carries the geometric information of the limit set.

The upper bound of the Hausdorff dimension (see Chapter 2) follows a classical recipe of finding an appropriate covering of the limit set $\xi_\rho^1(\partial\Gamma)$, for which the Hausdorff content is dominated by the Dirichlet series of the functional F . In particular, the only part of the hypothesis that is utilized is that the representation is projective Anosov, meaning that it still holds without requiring that Λ_Γ is a Lipschitz submanifold of $\mathbb{P}(\mathbb{R}^d)$ or that $\rho(\Gamma)$ is Zariski-dense.

On the other hand, to establish the upper bound of the critical exponent one uses (in Chapter 3) the more elaborate machinery of Patterson-Sullivan measures as generalised into higher rank by [Qui02] and further elaborated in [PSW23]. For this part of the proof, the hypothesis that $\xi_\rho^1(\partial\Gamma)$ is a Lipschitz submanifold will be used (in Proposition 3.3.1) to construct a Patterson-Sullivan measure μ over $\mathcal{F}_{\{1, d_\Gamma+1\}}(\mathbb{R}^d)$, while the Zariski-density of $\rho(\Gamma)$ is necessary (see Example 3.3.1) to show that it is μ -irreducible and obtain estimates (in Lemma 3.3.2) that will be used to prove that the sum defining the critical exponent is finite.

1.2 Lie groups and Anosov representations

Throughout the thesis, we consider the usual Cartan subalgebra \mathfrak{a} of $\text{SL}(d, \mathbb{R})$:

$$\mathfrak{a} = \{\text{diag}(\alpha_1, \dots, \alpha_d) : \alpha_1 + \dots + \alpha_d = 0\}$$

and its Weyl chamber

$$\mathfrak{a}^+ = \{\text{diag}(\alpha_1, \dots, \alpha_d) : \alpha_1 \geq \dots \geq \alpha_d\}.$$

Denoting with $K = \text{SO}(d, \mathbb{R})$, $A^+ = e^{\mathfrak{a}^+}$, we have the Cartan decomposition:

$$\begin{aligned} \mathfrak{sl}(d, \mathbb{R}) &\rightarrow K \times A^+ \times K \\ g &\mapsto (k_g, a_g, l_g) \end{aligned}$$

such that $g = k_g a_g l_g$. In particular $a_g = \text{diag}(\sigma_1(g), \dots, \sigma_d(g))$ with $\sigma_1 \geq \dots \geq \sigma_d(g)$, where $\sigma_i(g)$ is the i -th singular value of g , i.e. eigenvalue of $g^t \cdot g$. We denote with

$$\begin{aligned} a : \text{SL}(d, \mathbb{R}) &\rightarrow \mathfrak{a} \\ g &\mapsto \text{diag}(a_1(g), \dots, a_d(g)) \end{aligned}$$

the Cartan projection and with $\mathfrak{a}_{ij} = a_i - a_j \in \mathfrak{a}^*$ the roots of \mathfrak{a} .

We will use the spaces

$$U_p(g) = \mathbb{R}u_1(g) \oplus \dots \oplus \mathbb{R}u_p(g)$$

where $u_i(g) = k_g \cdot e_i$. One can easily show that the decomposition

$$g^{-1} \cdot U_p(g) \oplus U_{d-p}(g^{-1})$$

is orthogonal with respect to the standard inner product and that $u_p(g^{-1}) = l_g^{-1} e_{d-p+1}(g)$.

Definition 1.2.1. Let $\rho : \Gamma \rightarrow \text{SL}(d, \mathbb{R})$ be a linear representation and $p \in \llbracket 1, d-1 \rrbracket$. We say that ρ is p -Anosov if there exist constants $\mu, C > 0$ such that for all $\gamma \in \Gamma$:

$$\frac{\sigma_{p+1}}{\sigma_p}(\rho(\gamma)) \leq C e^{-\mu|\gamma|}.$$

One can show that in that case there exists an equivariant continuous map $\xi : \hat{\Gamma} \rightarrow \mathcal{F}_{p,d-p}(\mathbb{R}^d)$ that restricts to

$$\begin{aligned} \xi^p(\gamma) &= U_p(\rho(\gamma)) = k \cdot \mathbb{R}e_1 \oplus \dots \oplus \mathbb{R}e_p \\ \xi^{d-p}(\gamma) &= U_{d-p}(\rho(\gamma)) = k \cdot \mathbb{R}e_1 \oplus \dots \oplus \mathbb{R}e_{d-p}, \end{aligned}$$

for $\gamma \in \Gamma$, $\rho(\gamma) = k e^a l$. Moreover, it has the following transversality property on the boudnary: for every $x, y \in \partial\Gamma$, the flags $xi_\rho(x), \xi_\rho(y)$ are transverse unless $x = y$.

1.3 Important functionals and definitions

Definition 1.3.1. For $p \in \llbracket 2, \dots, d \rrbracket$, $s \in \mathbb{R}$ we define the functionals $\Psi_s^p : \mathfrak{a} \rightarrow \mathbb{R}$, the Falconer functional $F_s : \mathfrak{a} \rightarrow \mathbb{R}$, and the unstable Jacobian $J_p^u : \mathfrak{a} \rightarrow \mathbb{R}$ as follows:

$$\begin{aligned} \Psi_s^p &= \mathfrak{a}_{12} + \dots + \mathfrak{a}_{1(p-1)} + (s - (p-2))\mathfrak{a}_{1p} \\ F_s(g) &= \min \left\{ \sum_{j=2}^d s_j \alpha_{1j}(a(g)) : s_j \in (0, 1], \sum_{j=2}^d s_j = s \right\} \\ J_p^u(g) &= (p+1)\omega_1 - \omega_{p+1} = \mathfrak{a}_{12} + \dots + \mathfrak{a}_{1(p+1)}. \end{aligned}$$

Remark 1.3.1. The functionals defined above are related by the following relation:

$$F_s(g) = \max_{p \in \llbracket 2, d \rrbracket} \Psi_s^p(g)$$

Moreover for any $a \in \mathfrak{a}$,

$$F_s(a) = \Psi_s^{p_0}(a) \text{ for } s \in [p_0 - 2, p_0 - 1].$$

Indeed, a quick calculation shows that for $s \geq 0$ and $p \in \llbracket 2, d \rrbracket$:

$$\Psi_s^p(g) \leq \Psi_s^p(g) \text{ if and only if } s \geq p - 1.$$

and that equality holds in the case $s = p - 1$. Thus for $s \in [p - 2, p - 1]$ we have that

$$s \geq p - 2, \dots, 1 \text{ implies that } \Psi_s^p(g) \geq \dots \geq \Psi_s^2(g)$$

$$s \leq p, \dots, d - 1 \text{ implies that } \Psi_s^p(g) \leq \dots \leq \Psi_s^d(g)$$

Another way to see this (refer to Figure 1.1) is to note that $\Psi_s^2(g), \dots, \Psi_s^d(g)$ is a sequence of functions that are affine in s , with slopes $\alpha_{12}(g) \leq \dots \leq \alpha_{1d}(g)$ and that they satisfy $\Psi_1^2(g) = \Psi_2^2(g), \Psi_2^3(g) = \Psi_3^3(g) \dots, \Psi_{d-2}^{d-1}(g) = \Psi_{d-2}^d(g)$.

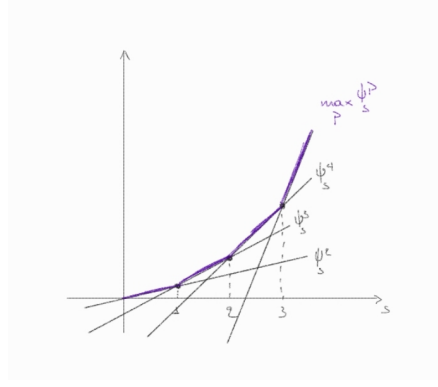


Figure 1.1: Visual illustration that $\max_p \Psi_s^p(g) = \Psi_s^{p_0}(g)$ for $s \in [p_0 - 2, p_0 - 1]$.

Remark 1.3.2. The reason to consider the functional Ψ_s^p, F_s will be apparent in Chapter 2, where we prove that the Hausdorff dimension of the limit set is bounded above by the critical exponent of the Falconer functional. There, we will see that the sums in the left-hand-side of the equality

$$\min_{p \in \llbracket 2, d \rrbracket} \left\{ \sum_{|\gamma|=T} \frac{\sigma_2}{\sigma_1} \dots \frac{\sigma_{p-1}}{\sigma_1}(g) \left(\frac{\sigma_{p-1}}{\sigma_1}(g) \right)^{s-(p-2)} \right\} = \sum_{|\gamma|=T} e^{-F_s(a(g))}$$

correspond to the Hausdorff content of respective coverings of $\xi_\rho^1(\partial\Gamma)$ by projective ellipses of different lengths. For the use of the unstable Jacobian J_p^u we refer to Section 3.2.

As described in the begining of this section, some of the core objects that we will be working with are critical exponents and Hausdorff dimensions, which we recall below:

Definition 1.3.2. Let $\phi \in \mathfrak{a}^*$ be a functional over the Cartan subalgebra. We define its critical exponent to be

$$h_\rho(\phi) = \inf \left\{ s > 0 : \sum_{\gamma \in \Gamma} e^{-\phi(\rho(\gamma))} < \infty \right\}.$$

When $\phi = F$ is the Falconer functional, we obtain the following special case (of projective Anosov representations, i.e. $P = 1$) of a definition from [LL23]:

Definition 1.3.3. We define the Falconer dimension $\dim_F(\rho)$ of ρ to be the critical exponent of the Falconer functional:

$$\dim_F(\rho) = \inf \left\{ s > 0 : \sum_{\gamma \in \Gamma} e^{-F_s(\rho(\gamma))} < \infty \right\}.$$

Lastly, we recall the definition of the Hausdorff dimension:

Definition 1.3.4. Let (X, d) be a metric space and $A \subseteq X$ be a subset. We define the Hausdorff dimension of A to be

$$\dim_{\mathcal{H}}(A) = \inf \{ s > 0 : \mathcal{H}_\infty^s(A) = 0 \},$$

where \mathcal{H}_∞^s is the s -dimensional Hausdorff content of A , defined as

$$\mathcal{H}_\infty^s(A) = \liminf_{\delta \rightarrow 0} \left\{ \sum_{i=1}^{\infty} \text{diam}(U_i)^s : A \subseteq \bigcup_{i=1}^{\infty} U_i \right\}.$$

Chapter 2

Upper bound

In this section we prove the upper bound of the Hausdorff dimension, namely that for a projective Anosov representation in $\mathrm{SL}(d, \mathbb{R})$, the Hausdorff dimension of the limit set is bounded above by the Falconer dimension:

$$\dim_{\mathcal{H}}(\xi^1_\rho(\partial\Gamma)) \leq h_\rho(F).$$

The idea of the proof of Lemma 2.2.1 is to find a covering whose Hausdorff content is dominated by the Dirichlet series of some functional Ψ_s^p , which will in turn imply that $\dim_H(\xi^1(\partial\Gamma)) \leq h_\rho(\Psi^p)$. Choosing then the most "effective" cover (i.e. the one which yields the smallest Hausdorff content up to a constant) we obtain that

$$\dim_H(\xi^1(\partial\Gamma)) \leq h_\rho(F) = h_\rho\left(\max_p \Psi^p\right)$$

To obtain this we first cover $\xi^1(\partial\Gamma)$ by the bassins of attraction $\rho(\gamma) \cdot B_{\alpha_1, \alpha}(\rho(\gamma))$ for $\gamma \in \Gamma$ satisfying $|\gamma| = T$. Then we cover each bassin by an ellipsoid of axes lengths

$$\frac{1}{\sin(\alpha)} \frac{\sigma_2}{\sigma_1}(\rho(\gamma)), \dots, \frac{1}{\sin(\alpha)} \frac{\sigma_d}{\sigma_1}(\rho(\gamma)).$$

Finally we cover each ellipsoid by balls of some fixed radius $r > 0$. It can be shown by comparing the series appearing in the Hausdorff content of each resulting cover that the most "effective" choice of r depends only on the Hausdorff exponent $s > 0$ and in any case will be to have r equal (up to a constant) to the length of an axis of the ellipsoid, i.e.

$$r \in \left\{ \frac{1}{\sin(\alpha)} \frac{\sigma_2}{\sigma_1}(\rho(\gamma)), \dots, \frac{1}{\sin(\alpha)} \frac{\sigma_d}{\sigma_1}(\rho(\gamma)) \right\}$$

In particular, when $s \in [p-2, p-1]$, the most effective choice is $r = \sigma_p(\rho(\gamma))/\sigma_1(\rho(\gamma))$, whose Hausdorff content is dominated by the Dirichlet series of Ψ_s^p .

2.1 Lemmata

Definition 2.1.1. Let V be a finite-dimensional \mathbb{R} -vector space. We consider a decomposition

$$V = \mathbb{R}u_1 \oplus \dots \oplus \mathbb{R}u_d$$

be a direct decomposition that is orthogonal with respect to a fixed inner-product over V . Given $\beta_2 \geq \dots \beta_d > 0$, we define an ellipsoid with axes $u_1 \oplus u_p(g)$ and lengths β_p to be the image of

$$\left\{ v = \sum_1^d v_i u_i \in V : \sum_2^d \left(\frac{v_j}{\beta_j} \right)^2 \leq 1 \right\}$$

through the projection $V \rightarrow \mathbb{P}(V)$.

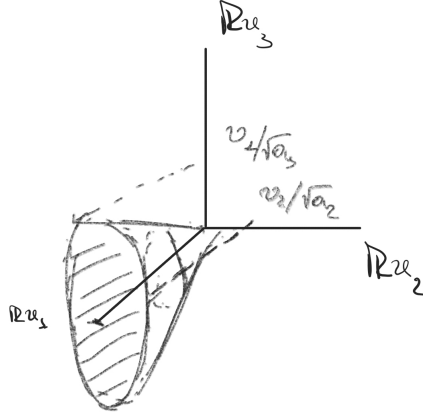


Figure 2.1: Depiction in \mathbb{R}^3 of an ellipsoid of $\mathbb{P}(\mathbb{R}^2)$

The following aims to be something along the lines of [PSW23, Lemma 2.4]:

Lemma 2.1.1. *Let $\rho : \Gamma \rightarrow \text{SL}(d, \mathbb{R})$ be a projective Anosov representation. For $\alpha > 0$ small enough, there exists $L > 0$ such that for any geodesic ray $(a_j)_j$ through e we have:*

$$\angle(U_1(\rho(a_i)), U_{d-1}(\rho(a_0))) > \alpha$$

when $|a_i|, |a_0| > T$.

Proof. Assume the contrary for the sake of contradiction. Then (see Figure 2.2) for each $n > 0$ there exists a geodesic ray a^n through e such that

$$|a^n|, |a_0^n| > n \text{ and } \angle(U_1(\rho(a^n)), U_{d-1}(\rho(a_0^n))) < \frac{1}{n}.$$

Due to compactness of $\Gamma \cup \partial\Gamma$ we can find some subsequence k_n and $x, y \in \partial\Gamma$ such that $a_{k_n}^{k_n} \rightarrow x$, $a_0^{k_n} \rightarrow y$. Since there exists a geodesic joining $a_{k_n}^{k_n}, a_0^{k_n}$ passing from e , we also know that $x \neq y$. Also, the limit map being dynamics preserving, we have that

$$\angle(\xi^1(x), \xi^{d-1}(y)) = 0,$$

which contradicts its transversality property. □

The following is [PSW23, Proposition 3.5].

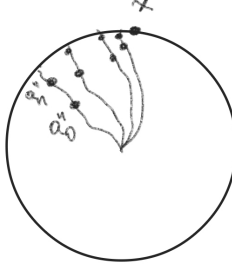


Figure 2.2: Situation in Lemma 2.1.1

Lemma 2.1.2. *Let $\rho : \Gamma \rightarrow SL(d, \mathbb{R})$ be projective Anosov. Then for $\alpha > 0$ small enough, there exists some $T_0 > 0$ such that for all $T \geq T_0$ the family*

$$\mathcal{U}_T = \{\rho(\gamma)B_{\alpha_1, \alpha}(\rho(\gamma)) : |\gamma| = T\}$$

is an open covering of $\xi^1(\partial\Gamma)$.

Proof. Let $\alpha, T > 0$ be as in the statement of Lemma 2.1.1 and $x \in \partial\Gamma$ be represented by a geodesic ray $(\gamma_j)_{j \geq 0}$ starting from e . Then $(\gamma_T^{-1}\gamma_j)_j$ is a geodesic ray starting from $(\gamma_T)^{-1}$ that passes through e , so

$$\angle(U_1(\rho(\gamma_T^{-1}\gamma_j)), U_{d-1}(\rho(\gamma_T^{-1}))) > \alpha$$

as implied by Lemma 2.1.1. Taking the limit $j \rightarrow \infty$ and using the equivariance of the limit map, we obtain

$$\angle(\rho(\gamma_T^{-1})\xi^1(x), U_{d-1}(\rho(\gamma_T^{-1}))) > \alpha$$

and thus $\xi^1(x) \in \rho(\gamma_T) \cdot B_{\alpha_1, \alpha}(\rho(\gamma_T))$. □

The following is [PSW23, Proposition 3.8].

Proposition 2.1.1. *For each $g \in SL(d, \mathbb{R}), \alpha > 0$, the basin of attraction $g \cdot B_{\alpha_1, \alpha}(g)$ lies in the ellipsoid with axes $u_1(g) \oplus u_p(g)$ with lengths*

$$\frac{1}{\sin \alpha} \cdot \frac{\sigma_p(g)}{\sigma_1(g)}$$

Proof. Using the definition of the basin of attraction (see Figure 2.3), we have that $w = w_1u_1(g^{-1}) + \dots + w_du_d(g^{-1}) \in B_{\alpha_1, \alpha}(g)$ if and only if

$$w_d^2 \geq (\sin \alpha)^2 \sum_{i=1}^d w_i^2.$$

Considering now some $v = v_1u_1(g) + \dots + v_du_d(g) \in g \cdot B_{\alpha_1, \alpha}(g)$ we have that

$$\begin{aligned} w &= g^{-1}v = v_1\sigma_1(g)^{-1}l_g^{-1}e_1(g) + \dots + v_d\sigma_d(g)^{-1}l_g^{-1}e_d(g) \\ &= v_1\sigma_1(g)^{-1}u_d(g^{-1}) + \dots + v_d\sigma_d(g)^{-1}u_1(g^{-1}) \end{aligned}$$

where we used that $u_p(g^{-1}) = l_g^{-1}e_{d+1-p}$. Hence

$$\sigma_1(g)^{-2} \cdot v_1^2 \geq (\sin \alpha)^2 \sum_{i=1}^d \sigma_i(g)^{-2} v_i^2.$$

□

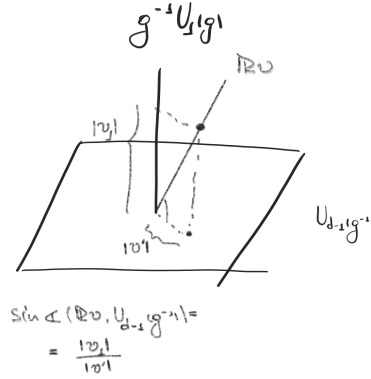


Figure 2.3: Aid for Proposition 2.1.1

The following is [PSW23, Lemma 3.7]:

Lemma 2.1.3. *For any $p \in \llbracket 2, d \rrbracket$, an ellipsoid in $\mathbb{P}(\mathbb{R}^d)$ of axes lengths β_2, \dots, β_d is covered by*

$$2^{p-2} \frac{\beta_2 \cdots \beta_{p-1}}{\beta_p^{p-2}}$$

many (projected) balls of radius $\sqrt{d-1}\beta_p$.

Proof. We assume that E is an ellipsoid about $\mathbb{R}e_1$, so it suffice to cover its intersection $E_1 = E \cap U_1 \subseteq \mathbb{R}^{d-1}$ with the affine chart $U_1 = \{[x_1 : \dots, x_d] \in \mathbb{P}(\mathbb{R}^d) : x_1 \neq 0\}$. Clearly $E_1 \subseteq [-\beta_2, \beta_2] \times \dots \times [-\beta_d, \beta_d]$, so we proceed by covering the rectangle with side-lengths $2\beta_2, \dots, 2\beta_d$. Clearly each interval $(-\beta_j, \beta_j)$ is contained in the union of $\lceil \beta_j / \beta_p \rceil$ intervals of length $2\beta_p$, thus E_1 is contained in the union of

$$\left\lceil \frac{\beta_2}{\beta_p} \right\rceil \cdots \left\lceil \frac{\beta_{p-1}}{\beta_p} \right\rceil = \left\lceil \frac{\beta_2}{\beta_p} \right\rceil \cdots \left\lceil \frac{\beta_d}{\beta_p} \right\rceil$$

many squares of side-length $2\beta_p$. Since each such product is contained in a $(d-1)$ -ball of radius $\sqrt{d-1}\beta_p$ we may use at most

$$\left\lceil \frac{\beta_2}{\beta_p} \right\rceil \cdots \left\lceil \frac{\beta_{p-1}}{\beta_p} \right\rceil \leq \sum_{i \in \{0,1\}^{p-2}} \prod_{j=2}^{p-1} \left(\frac{\beta_j}{\beta_p} \right)^{i_j} \leq 2^{p-2} \frac{\beta_2}{\beta_p} \cdots \frac{\beta_{p-1}}{\beta_p}$$

many $(d-1)$ -balls of radius $\sqrt{d-1}\beta_p$ to cover E_1 . □

The following can be found in [PSW23, Proposition 3.3]:

Proposition 2.1.2. *Let $\rho : \Gamma \rightarrow SL(d, \mathbb{R})$ be projective Anosov and $\alpha > 0$. Then there exist $c_0, c_1 > 0$ that depends only on α and ρ such that for all $\gamma \in \Gamma$:*

$$(\xi^1)^{-1}(B_{\alpha_1, \alpha}(\rho(\gamma))) \subseteq C_{c_0, c_1}^\infty(\gamma)$$

Proof. We begin by noting that it suffices to show this for all but finitely many $\gamma \in \Gamma$, since then we may alter the constants to satisfy the wanted inclusion for the finitely many remaining $\gamma \in \Gamma$ as well. Hence, we may assume that $|\gamma| \geq l_0$ where $l_0 > 0$ is such that

$$Ce^{-\mu l_0} < 1 \text{ and } \mathbf{a}_1(\gamma) \geq C|\gamma| - L.$$

Suppose $x \in \partial\Gamma$ such that $\xi^1(x) \in B_{\alpha_1, \alpha}(\rho(\gamma))$, and consider a geodesic ray $a_j \rightarrow x$ starting from $a_0 = e$. To prove the result, it suffices to find constants c_0, c_1 independent of γ and for which there exists a (c_0, c_1) -quasi-geodesic from γ^{-1} to x that passes through e and stays at a bounded distance from $(a_j)_{j=0}^\infty$.

Using the exponential convergence rate of $\xi^1(a_j) \rightarrow \xi^1(x)$ and the definition of $B_{\alpha_1, \alpha}(\rho(\gamma))$ we have that:

$$\begin{aligned} d(\xi^1(a_j), \xi^1(\gamma)) &\geq d(\xi^1(x), U_1(\rho(\gamma^{-1})) - d(\xi^1(a_j), \xi^1(x))) \geq \\ &\geq d(\xi^1(x), U_{d-1}(\rho(\gamma^{-1})) - d(\xi^1(a_j), \xi^1(x))) \geq \sin \alpha - Ce^{-\mu j} \end{aligned}$$

which along with the uniform continuity of $\xi^1 : \Gamma \cup \partial\Gamma \rightarrow \mathbb{P}(\mathbb{R}^d)$ this implies there exists some $\alpha' > 0$ and $L > 0$ such that for all $j \geq L$:

$$d(a_j, \gamma^{-1}) \geq \alpha'.$$

Upon considering a large L , we may also assume that $|a_L| = L > l_0$. Note that both α' and L do not depend on each γ but only on ρ and α .

Using a coarse geometric argument, we can show that for all $j \geq L$

$$d(\gamma^{-1}, a_j) > \alpha' \Rightarrow d([\gamma^{-1}, a_j], e) < \alpha''$$

for some α'' that depends only on Γ and α' , where $[a_j, \gamma^{-1}]$ denotes any geodesic segment connecting γ^{-1} and a_j . Indeed, [GH13, Lemme 2.17] states that $d([\gamma^{-1}, a_j]) \leq (\gamma_j^{-1}, a_j)_e + \delta$ where δ is the hyperbolicity constant of Γ . Thus

$$d([\gamma^{-1}, a_j]) \leq \delta + \frac{d(a_j, e) + d(\gamma^{-1}, e) + d(a_j, \gamma^{-1})}{2} \leq \delta + \frac{L + d(\gamma^{-1}, e) + \alpha'}{2}.$$

Consider the concatenation $(a'_j)_{j=L-K}^\infty$ of $[\gamma^{-1}, a_L]$ and $[a_L, x]$. To find quasi-geodesic-constants that are uniform in γ , we note that for any $c_0 \geq 1, c_1 \geq 0$:

$$c_0^{-1}|i-j| - c_1 \leq d(a'_i, a'_j) = d(a_i, a_j) \leq c_0|i-j| + c_1 \text{ when } i, j \geq L \text{ or } i, j \leq L$$

and that the upper bound follows trivially by the triangle inequality.

For the lower bound we proceed in two steps. First we bound the distance of $\gamma^{-1} = a'_{L-K}$ to a_{L+j} for $j \geq 0$:

$$\begin{aligned} d(a'_{L-K}, a'_{L+j}) &\geq \nu(|a_{L+j}| - |\gamma^{-1}|) - c'_0 - c'_1 |\log(d(U_1(\rho(a_{L+j})), U_1(\rho(\gamma^{-1}))))| \geq \\ &\geq \nu((L+j) + (K-L)) - c'_0 - c'_1 |\log(\sin a)| \geq \\ &= c_0^{-1}(j+K) - c_1 \end{aligned}$$

for $c_0 = \nu^{-1}, c_1 = c'_0 + c'_1 |\log(\sin a)|$. The first inequality comes from [PSW23, Lemma 3.9]. For the second inequality we estimate $|\gamma^{-1}|$ from below using the triangle inequality. We are now ready to show that the concatenation $(a'_j)_j$ is indeed a (c_0, c_1) -geodesic:

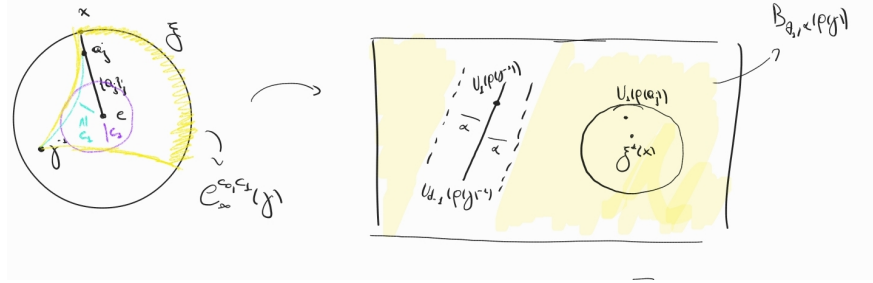
$$\begin{aligned} d(a_{L+j}, a_{L-i}) &\geq d(a_{L+j}, a_{L_K}) - d(a_{L_K}, a_{L-i}) \geq c_0^{-1}(j+K) - c_1 - (K-i) \geq \\ &\geq c_0^{-1}(j+i) - c_1. \end{aligned}$$

Note however that (a'_j) does not necessarily lie in $C_\infty^{c_0, c_1}$ since it may not pass through e . For this reason we some $L-K \leq i_0 \leq L$ such that $|a_{i_0}| < \alpha''$, the existence of which is guaranteed

by the fact that $d([\gamma^{-1}, a_L], e) < \alpha''$. We then consider alter (a'_j) at i_0 so that it passes through e to obtain

$$a''_j = \begin{cases} a_j & \text{for } j \neq i_0 \\ e & \text{for } j = i_0 \end{cases}$$

which is a $(c_0, c_1 + \alpha'')$ -quasigeodesic passing from e and converging to x . □



2.2 Proof of bound

We are now ready to prove the upper bound of the Hausdorff dimension of the limit set by formalizing the proof strategy outlined in the beginning of this chapter.

Lemma 2.2.1 (Upper bound for dimension). *Let $\rho : \Gamma \rightarrow \text{SL}(d, \mathbb{R})$ be a projective Anosov representation. Then:*

$$\dim_{\mathcal{H}}(\xi^1(\partial\Gamma)) \leq \dim_F(\rho).$$

Proof of Lemma 2.2.1. Let $p \in \llbracket 2, d \rrbracket$. Then using Proposition 2.1.1, Lemma 2.1.2, and Lemma 2.1.3 we have that for $T > 0$ large enough, $\xi^1(\partial\Gamma)$ is covered by the family

$$\mathcal{U}_T = \{\rho(\gamma)B_{\alpha_1, \alpha}(\rho(\gamma)) : |\gamma| = T\},$$

and that each basin $\rho(\gamma)B_{\alpha_1, \alpha}(\rho(\gamma))$ is in turn covered by

$$2^{p-2} \cdot \frac{\sigma_p(g)^{p-2}}{\sigma_2(g) \cdots \sigma_{p-1}(g)}$$

many balls of radius

$$\sqrt{d-1} \frac{1}{\sin \alpha} \frac{\sigma_p(g)}{\sigma_1(g)}.$$

By the definition of the Hausdorff measure, for $s \geq 0$:

$$\begin{aligned}
\mathcal{H}^s(\xi^1(\partial\Gamma)) &\leq \sum_{|\gamma|=T} 2^{2p+1} \cdot \frac{\sigma_2(\rho(\gamma))}{\sigma_1(\rho(\gamma))} \dots \frac{\sigma_{p-1}(\rho(\gamma))}{\sigma_1(\rho(\gamma))} \left(\frac{\sigma_p(\rho(\gamma))}{\sigma_1(\rho(\gamma))} \right)^{-(p-2)} \left(\sqrt{d-1} \frac{1}{\sin \alpha} \frac{\sigma_p(\rho(\gamma))}{\sigma_1(\rho(\gamma))} \right)^s = \\
&= 2^{2p+1} \cdot \left(\frac{\sqrt{d-1}}{\sin \alpha} \right)^s \sum_{|\gamma|=T} \frac{\sigma_2(\rho(\gamma))}{\sigma_1(\rho(\gamma))} \dots \frac{\sigma_{p-1}(\rho(\gamma))}{\sigma_1(\rho(\gamma))} \left(\frac{\sigma_p(\rho(\gamma))}{\sigma_1(\rho(\gamma))} \right)^{s-(p-2)} = \\
&= 2^{2p+1} \cdot \left(\frac{\sqrt{d-1}}{\sin \alpha} \right)^s \sum_{|\gamma|=T} e^{-(\alpha_{12} + \dots + \alpha_{1(p-1)} + (s-(p-2))\alpha_{1p})\rho(\gamma)} \\
&= 2^{2p+1} \cdot \left(\frac{\sqrt{d-1}}{\sin \alpha} \right)^s \sum_{|\gamma|=T} e^{-\Psi_s^p(\rho(\gamma))}
\end{aligned}$$

and thus

$$\mathcal{H}^s(\xi^1(\partial\Gamma)) \leq 2^{2p+1} \cdot \left(\frac{\sqrt{d-1}}{\sin \alpha} \right)^s \sum_{|\gamma|=T} e^{-\max_p \Psi_s^p(\rho(\gamma))} \lesssim \sum_{|\gamma|=T} e^{-F_s(\rho(\gamma))}.$$

To see that the above implies the upper bound, consider some $s > \dim_F(\rho)$. By the definition of the Falconer dimension, this implies that the Dirichlet series corresponding to the Falconer functional converges:

$$\sum_{\gamma \in \Gamma} e^{-F_s(\rho(\gamma))} < \infty$$

and in particular

$$\mathcal{H}^s(\xi^1(\partial\Gamma)) \leq \lim_{T \rightarrow \infty} e^{-F_s(\rho(\gamma))} = 0.$$

□

Chapter 3

Lower bound

3.1 Busemann cocycle and Patterson-Sullivan measures

We denote with Π the set of simple positive roots, and for $\Theta \subseteq \Pi$ we consider the Levi-Anosov subspace of \mathfrak{a}

$$\mathfrak{a}_\Theta = \bigcap_{\alpha \notin \Theta} \ker \alpha,$$

which in particular admits $\{\omega_{\alpha_i} : \alpha_i \in \Theta\}$ as a basis.

Definition 3.1.1. Let $\Theta \subseteq \Pi$. We define the Busemann cocycle

$$b : \mathrm{PSL}(d, \mathbb{R}) \times \mathcal{F}_\Theta \rightarrow \mathfrak{a}_\Theta$$

as the unique element $b(g, kP_\Theta) \in \mathfrak{a}_\Theta$ such that

$$gk \in Ke^{b(g, kP_\Theta)}N.$$

where $N = \{n \in \mathrm{SL}(d, \mathbb{R}) : n_{ij} = 0 \text{ for } i > j, n_{ii} = 1 \text{ for all } i\}$ is the unipotent group of upper subgroup of upper triangular matrices with 1s on the diagonal, and P_Θ is the parabolic subgroup of $\mathrm{PSL}(d, \mathbb{R})$ corresponding to Θ , i.e. $\mathcal{F}_\Theta = \mathrm{PSL}(d, \mathbb{R})/P_\Theta$.

Lemma 3.1.1. For $y \in \mathcal{F}_\Theta(\mathbb{R}^d)$ we have

$$\omega_{\alpha_i}(b_\Theta(g, x)) = \log \frac{\|gv_1 \wedge \cdots gv_i\|}{\|v_1 \wedge \cdots v_i\|} \text{ for all } \alpha_i \in \Theta$$

for any basis v_1, \dots, v_i of $x^i \in \mathcal{G}_i(\mathbb{R}^d)$, where $\|\cdot\|$ denotes the norm on $\bigwedge^i \mathbb{R}^d$ induced by the euclidean inner product on \mathbb{R}^d , i.e. $\langle v_1 \wedge \cdots \wedge v_k, w_1 \wedge \cdots \wedge w_k \rangle := \det(\langle v_i, w_j \rangle)$.

Definition 3.1.2. We define

$$\Lambda^k : \mathrm{SL}(d, \mathbb{R}) \rightarrow \mathrm{SL}(\Lambda^k \mathbb{R}^d), \quad \Lambda^k : \mathcal{G}_k(\mathbb{R}^d) \rightarrow \mathbb{P}(\Lambda^k \mathbb{R}^d)$$

as

$$\Lambda^k(g)(v_1 \wedge \cdots \wedge v_k) = gv_1 \wedge \cdots \wedge gv_k \Lambda^k(\mathbb{R}v_1 \oplus \cdots \mathbb{R}v_k) = v_1 \wedge \cdots \wedge v_k$$

Lemma 3.1.2. Let $g \in \mathrm{SL}(d, \mathbb{R})$, $\alpha > 0$ and $k \in \llbracket 2, d \rrbracket$.

(i) $\omega_1(a(\Lambda^k g)) = \omega_k(a(g))$ and $\omega_1(b(\Lambda^k g, \Lambda^k y)) = \omega_k(b(g, y))$.

(ii) There exists some $\alpha' > 0$ independent of g such that $\Lambda^k B_{\mathfrak{a}_k, \alpha}(\Lambda^k g) \subseteq B_{\mathfrak{a}_1, \alpha'}(\Lambda^k g)$.

Proof. (i) Follows from the definitions of the fundamental weights and the Cartan projection.

(ii) Let $g = k_g e^{a(g)} l_g$ be the Cartan decomposition of g . Then using the definitions of the respective subspaces:

$$\begin{aligned} U_{d-k}(g^{-1} l_g^{-1}) &= \mathbb{R} e_{k+1} \oplus \cdots \oplus \mathbb{R} e_d \\ x_0 := U_{d-1}(\Lambda^k g^{-1} l_g^{-1}) &= \bigoplus_{\substack{i_1 < \cdots < i_k \\ (i_1, \dots, i_k) \neq (1, \dots, k)}} \mathbb{R} e_{i_1} \oplus \cdots \oplus \mathbb{R} e_{i_k} \end{aligned}$$

The first equality implies that

$$y \in B_{\mathfrak{a}_k, \alpha}(g) \Leftrightarrow l_g y \in B_{\mathfrak{a}_k, \alpha}(g l_g^{-1}) = B_{\mathfrak{a}_k, \alpha}(\text{Id}),$$

so for every $y \in B_{\mathfrak{a}_k, \alpha}(g)$ we have that

$$l_g y = l U_k(\text{Id}) \text{ for some } l \in L$$

where

$$L = \{l \in \text{SO}(d, \mathbb{R}) : l U_k(\text{Id}) \in B_{\mathfrak{a}_k, \alpha}(\text{Id})\}.$$

Note that L is compact, being a closed subset of a compact group. Moreover, the fact that $\Lambda^k(y) \notin U_{d-1}(\Lambda^k g^{-1})$ implies that

$$0 < \angle(\Lambda^k(y), U_{d-1}(\Lambda^k g^{-1})) = \angle(\Lambda^k(l_g y), U_{d-1}(\Lambda^k g^{-1} l_g^{-1})) = \angle(\Lambda^k(l) U_k(\text{Id}), x_0)$$

The right-hand side is in the image of the compact set L under a continuous map, so it is bounded below by a positive number $\alpha' > 0$.

(iii) Follows from the definition of the Cartan projection and the Busemann cocycle. \square

Definition 3.1.3. For a discrete subgroup $\Gamma < \text{PSL}(d, \mathbb{R})$, $\phi \in (\alpha_\Theta)^*$, a (Γ, ϕ) -Patterson Sullivan measure on \mathcal{F}_Θ is a finite Radon measure μ such that for every $\gamma \in \Gamma$

$$\frac{d\gamma_* \mu}{d\mu}(x) = e^{-\phi(b_\Theta(g^{-1}, x))}, \text{ for all } x \in \mathcal{F}_\Theta(\mathbb{R}^d).$$

Lemma 3.1.3. Let $\alpha > 0, \Theta \subseteq \Pi$. There exists $K = K(\alpha) > 0$ such that for each $g \in \text{SL}(d, \mathbb{R})$, $\mathfrak{a}_i \in \Theta$, $\mathfrak{y} \in B_{\Theta, \alpha}(g)$, $\phi \in \mathfrak{a}_\Theta$

$$|\phi(a(g) - b(g, y))| \leq K.$$

Proof. We begin by noting that it suffices to consider the case where $\phi = \mathfrak{a}_k$ for $\mathfrak{a}_k \in \Theta$, since $\{\omega_i\}_{\mathfrak{a}_i \in \Theta}$ is a basis for \mathfrak{a}_Θ^* .

We first consider the case where $k = 1$. We recall that the first component of the Cartan projection coincides with the spectral norm of g , i.e.

$$a_1(g) = \log \sup_{v \neq 0} \frac{\|gv\|}{\|v\|} = \log \|g k_2^{-1} e_1\|$$

where $g = k_1 e^{a(g)} k_2$ is the Cartan decomposition of g . Let $v = v_1 k_2^{-1} e_1 + \cdots + v_d k_2^{-1} e_d \in \mathbb{R}^d$ be such that $\|v\| = 1$ and $y = \mathbb{R}v$, we have

$$\begin{aligned} |\omega_1(a(g) - b(g, y))| &= |\log \|g k_2^{-1} e_1\| - \log \|g v\|| = \\ &= |\log |e^{a_1(g)}| - \log \|e^{a_1(g)} v_1 k_1 e_1 + \cdots + e^{a_d(g)} v_d k_1 e_d\|| = \\ &= \left| \log \left\| v_1 k_1 e_1 + e^{-a_{12}(g)} v_2 k_1 e_2 + \cdots + e^{-a_{1d}(g)} v_d k_1 e_d \right\| \right| \leq \\ &\leq |\log |v_1|| = |\log \sin(\angle(v, U_{d-1}(g^{-1})))| \leq |\log \sin \alpha|. \end{aligned}$$

For the case where $\Theta = \{\mathbf{a}_k\}$, we consider $\alpha' > 0$ such that

$$\Lambda^k(B_{\mathbf{a}_k, \alpha}(g)) \subseteq B_{\mathbf{a}_k, \alpha'}(\Lambda^k g)$$

Then using the case $k = 1$ we have that

$$|\omega_k(a(g) - b(g, y))| = |\omega_k(a(\Lambda^k g) - b(\Lambda^k g, \Lambda^k y))| \leq |\log \sin \alpha'|.$$

□

3.2 Proof strategy

Denoting with $d_\Gamma = \dim_{\mathcal{H}} \xi_\rho^1(\partial\Gamma)$ the Hausdorff dimension of the limit set, we shall outline how to obtain its lower bound by the Falconer dimension

$$d_\Gamma \geq h_\rho(F).$$

First we recall that $F_s(a) = \max\{\Psi_s^p(a) : p \in \llbracket 2, d \rrbracket\}$ and in particular $h_\rho(F) \leq h_\rho(\Psi^{d_\Gamma+1})$. Thus the lower bound will follow once we have shown that

$$d_\Gamma \geq h_\rho(\Psi^{d_\Gamma+1}).$$

Noting that $\frac{s}{d_\Gamma} J_{d_\Gamma}^u \leq \Psi_{s+d_\Gamma}^{d_\Gamma+1}$, the above bound will follow as soon as we have shown that

$$h_\rho(J_{d_\Gamma}) \leq 1. \tag{LB}$$

To obtain inequality (LB), one uses the method of Patterson-Sullivan-Quint, which may be summed up in

There exists a (ϕ, ρ) -Patterson-Sullivan measure on $\mathcal{F}_\Theta(\mathbb{R}^d) \Rightarrow h_\rho(\phi) \leq 1$,

where $\phi \in \mathfrak{a}_\Theta$ and $\Theta \subseteq \Pi$. The property that we will need of our measure is that there exists a collection of open sets $U_{\gamma_\gamma} \in \Gamma$ such that

$$\mu(U_\gamma) \sim e^{-J_{d_\Gamma}^u(a(\rho(\gamma)))} \text{ and } M := \sup_{n \in \mathbb{N}} \max \left\{ \sharp A : A \subseteq \Gamma_n, \bigcap_{\gamma \in A} U_\gamma \neq \emptyset \right\} < \infty \tag{MP}$$

where $\Gamma_n = \{\gamma \in \Gamma : |\gamma| = n\}$. For the proof of the existence of a $(J_{d_\Gamma}^u, \rho)$ -Patterson-Sullivan measure that satisfies the property (MP) we refer to Section 3.3, noting that the Zariski-density assumption is necessary only for the equivalence appearing on the left hand side of

Equation (MP). Assuming that for the time being, below we outline the Patterson-Sullivan-Quint method of obtaining Equation (LB).

Indeed, we first obtain the uniform in n bound:

$$\sum_{\gamma \in \Gamma_n} e^{-J_{d_\Gamma}^u(a(\rho(\gamma)))} \lesssim \sum_{\gamma \in \Gamma_n} \mu(U_\gamma) \leq \frac{1}{M} \mu(\mathcal{F}_\Theta(\mathbb{R}^d)) < \infty$$

along with the bound implied by the Anosov property of ρ :

$$J_{d_\Gamma}(a(\rho(\gamma))) \geq \mathbf{a}_{12}(a(\rho(\gamma))) \geq C|\gamma| - b$$

to conclude that

$$\sum_{\gamma \in \Gamma} e^{-(s+1)J_{d_\Gamma}^u(a(\rho(\gamma)))} = \sum_{n \geq 0} \sum_{\gamma \in \Gamma_n} e^{-sJ_{d_\Gamma}^u(a(\rho(\gamma)))} e^{-J_{d_\Gamma}^u(a(\rho(\gamma)))} \lesssim \sum_{n \geq 0} e^{-s(Cn-b)} < \infty$$

which holds for any $s > 0$, and thus Equation (LB) holds.

3.3 Existence of Patterson-Sullivan measure

Definition 3.3.1. Let $V \in \mathcal{G}_{p+1}\mathbb{R}^d$ and $l \in \mathbb{P}(V)$. Using the canonical identification $T_l\mathbb{P}(V) \simeq \text{hom}(l, V/l)$, we define the density $|\Omega_{l,V}|$ on $\bigwedge^p T_l\mathbb{P}(V)$ by

$$|\Omega_{l,V}|(\phi_1, \dots, \phi_p) = \frac{\|v \wedge \tilde{\phi}_1(v) \wedge \dots \wedge \tilde{\phi}_p(v)\|}{\|v\|^{p+1}}$$

for any $v \in l - \{0\}$, where $\tilde{\phi}_1, \dots, \tilde{\phi}_p \in \text{hom}(l, V)$ are such that $\phi_i = \tilde{\phi}_i + \text{hom}(l, l)$ and $\|\cdot\|$ denotes the norm on $\bigwedge^{p+1}\mathbb{R}^d$ induced by the euclidean inner product.

The following is [PSW23, Proposition 6.4]:

Proposition 3.3.1. Assume that $\xi_\rho^1(\partial\Gamma)$ is a Lipschitz submanifold of dimension d_Γ . Then there exists a $(\rho(\Gamma), J_{d_\Gamma}^u)$ -Patterson-Sullivan measure on $\mathcal{F}_{1,d_\Gamma+1}(\mathbb{R}^d)$.

Proof. By Rademacher's theorem, $\xi_\rho^1(\partial\Gamma)$ has a well-defined Lebesgue measure class, and Lebesgue-almost every $\xi_\rho^1(x) \in \xi_\rho^1(\partial\Gamma)$ admits a well-defined tangent space $T_{\xi_\rho^1(x)}\xi_\rho^1(\partial\Gamma)$. Considering such a $\xi_\rho^1(x)$ we let

$$\pi : \text{hom}(\xi_\rho^1(x), \mathbb{R}^d) \rightarrow \text{hom}(\xi_\rho^1(x), \mathbb{R}^d/\xi_\rho^1(x)) \simeq T_{\xi_\rho^1(x)}\xi_\rho^1(\partial\Gamma),$$

and

$$x^{d_\Gamma+1} = \pi^{-1}(T_{\xi_\rho^1(x)}\xi_\rho^1(\partial\Gamma))\xi_\rho^1(x) \in \mathcal{G}_{d_\Gamma+1}(\mathbb{R}^d),$$

for which one can show that

$$T_{\xi_\rho^1(x)}\xi_\rho^1(\partial\Gamma) \simeq \text{hom}(\xi_\rho^1(x), \mathbb{R}^d/\xi_\rho^1(x)) \simeq \text{hom}(\xi_\rho^1(x), x^{d_\Gamma+1}/\xi_\rho^1(x)).$$

In this notation, we shall define (Lebesgue-almost everywhere) the map

$$\zeta_\rho : \xi_\rho^1(\partial\Gamma) \rightarrow \mathcal{F}_{1,d_\Gamma+1}(\mathbb{R}^d), \quad \zeta_\rho(\xi_\rho^1(x)) = (\xi_\rho^1(x), x^{d_\Gamma+1}).$$

We now define the non-negative density on $\xi_\rho^1(\partial\Gamma)$

$$\mu_{\xi_\rho^1(x)} = |\Omega_{\zeta_\rho(\xi_\rho^1(x))}|$$

which satisfies

$$\frac{d\gamma_*\mu}{d\mu}(\xi) = \frac{d(\rho(\gamma)^{-1})^*\mu}{d\mu}(\xi) = e^{-J_{d_\Gamma+1}^u(b_\Theta(\rho(\gamma)^{-1}, \zeta(x)))},$$

where the term on the left-hand side involves measures, the term between the two equalities involves densities, and $\Theta = \{1, d_\Gamma + 1\}$. Indeed, for $\phi_1, \dots, \phi_{d_\Gamma} \in T_{\xi_\rho^1(x)}\xi_\rho^1(\partial\Gamma)$:

$$\begin{aligned} & (\rho(\gamma)^*\mu)_{\xi_\rho^1(x)}(\phi_1, \dots, \phi_{d_\Gamma}) \\ &= \mu_{\rho(\gamma)\xi_\rho^1(x)}(\rho(\gamma)\phi_1\rho(\gamma)^{-1}, \dots, \rho(\gamma)\phi_{d_\Gamma}\rho(\gamma)^{-1}) \\ &= \frac{\|\rho(\gamma)\xi_\rho^1(x) \wedge \rho(\gamma)\phi_1(\xi_\rho^1(x)) \wedge \dots \wedge \rho(\gamma)\phi_{d_\Gamma}(\xi_\rho^1(x))\|}{\|\rho(\gamma)\xi_\rho^1(x)\|^{d_\Gamma+1}} \\ &= \frac{\|\rho(\gamma)\xi_\rho^1(x) \wedge \rho(\gamma)\phi_1(\xi_\rho^1(x)) \wedge \dots \wedge \rho(\gamma)\phi_{d_\Gamma}(\xi_\rho^1(x))\|}{\|\xi_\rho^1(x) \wedge \phi_1(\xi_\rho^1(x)) \wedge \dots \wedge \phi_{d_\Gamma}(\xi_\rho^1(x))\|} \\ & \quad \cdot \frac{\|\xi_\rho^1(x) \wedge \phi_1(\xi_\rho^1(x)) \wedge \dots \wedge \phi_{d_\Gamma}(\xi_\rho^1(x))\|}{\|\rho(\gamma)\xi_\rho^1(x)\|^{d_\Gamma+1}} \cdot \frac{\|\rho(\gamma)\xi_\rho^1(x)\|^{d_\Gamma+1}}{\|\xi_\rho^1(x)\|^{d_\Gamma+1}} \\ &= e^{\omega_{d_\Gamma}(b_\Theta(\rho(\gamma), \zeta_\rho(\xi_\rho^1(x))))} \cdot \mu_{\xi_\rho^1(x)}(\phi_1, \dots, \phi_{d_\Gamma}) \\ & \quad \cdot e^{-(p+1)\omega_1(b_\Theta(\rho(\gamma), \zeta_\rho(\xi_\rho^1(x))))} \\ &= e^{-J_{d_\Gamma}^u(b_\Theta(\rho(\gamma)^{-1}, \zeta(\xi_\rho^1(x))))} \mu_{\xi_\rho^1(x)}(\phi_1, \dots, \phi_{d_\Gamma}). \end{aligned}$$

Finally, we let $\nu = \zeta_{\rho_*}\mu$, which is the wanted Patterson-Sullivan measure on $\mathcal{F}_{1, d_\Gamma+1}(\mathbb{R}^d)$, since for $f \in C_c(\mathcal{F}_{1, d_\Gamma+1}(\mathbb{R}^d))$:

$$\begin{aligned} \int_{\mathcal{F}_{1, d_\Gamma+1}(\mathbb{R}^d)} f d(\gamma_*\zeta_{\rho_*}\mu) &= \int_{\xi_\rho^1(\partial\Gamma)} f \circ \gamma \circ \zeta_\rho d\mu = \int_{\xi_\rho^1(\partial\Gamma)} f \circ \zeta_\rho \circ \gamma d\mu = \\ &= \int_{\xi_\rho^1(\partial\Gamma)} f \circ \zeta_\rho(\xi) e^{-J_{d_\Gamma}^u(b_\Theta(\rho(\gamma)^{-1}, \zeta(\xi_\rho^1(x))))} d\mu(\xi_\rho^1(x)) = \\ &= \int_{\mathcal{F}_{1, d_\Gamma+1}(\mathbb{R}^d)} f(y) e^{-J_{d_\Gamma}^u(b_\Theta(\rho(\gamma)^{-1}, y))} d(\zeta_{\rho_*}\mu)(y) \end{aligned}$$

□

The next lemma is should be regarded as an analog of Lemma 2.1.2 and Proposition 2.1.2 to arbitrary flag varieties, and relies only on the Anosov property of ρ , and the fact that ζ_ρ is a section of $\pi_{\mathbf{a}_1} : \mathcal{F}_{1, d_\rho, \Gamma+1}(\mathbb{R}^d) \rightarrow \mathbb{P}(\mathbb{R}^d)$.

Lemma 3.3.1. *Let $\rho : \Gamma \rightarrow SL(d, \mathbb{R})$ be projective Anosov and $\Theta = \{\mathbf{a}_1, \mathbf{a}_{d_\rho, \Gamma}\} \subseteq \Pi$. Then for $\alpha > 0$ small enough, there exists some $C, T_0 > 0$ such that for all $T \geq T_0$ the family*

$$\mathcal{U}_T = \{\rho(\gamma)B_{\Theta, \alpha}(\rho(\gamma)) : |\gamma| = T\}$$

is an collection of open subsets of $\mathcal{F}_\Theta(\mathbb{R}^d)$ such that every $\zeta_\rho(\xi_\rho^1(x))$ is contained in at most C many sets in \mathcal{U}_T .

Proof. Suppose $\zeta_\rho(\xi_\rho^1(x)) \in \rho(\gamma)B_{\Theta, \alpha}(\rho(\gamma)) \cap \rho(\eta)B_{\Theta, \alpha}(\rho(\eta))$ for some $\gamma, \eta \in \Gamma_T$. Then $\xi_\rho^1(x) \in \rho(\gamma)B_{\mathbf{a}_1, \alpha}(\rho(\gamma)) \cap \rho(\eta)B_{\mathbf{a}_1, \alpha}(\rho(\eta))$. But using Proposition 2.1.2 we have that

$$\begin{aligned} x &\in (\xi_\rho^1)^{-1}(\rho(\gamma)B_{\mathbf{a}_1, \alpha}(\rho(\gamma)) \cap \rho(\eta)B_{\mathbf{a}_1, \alpha}(\rho(\eta))) = \\ &= \gamma(\xi_\rho^1)^{-1}(B_{\mathbf{a}_1, \alpha}(\rho(\gamma))) \cap \eta(\xi_\rho^1)^{-1}(B_{\mathbf{a}_1, \alpha}(\rho(\eta))) \subseteq \\ &\subseteq \gamma C_{c_0, c_1}(\gamma) \cap \eta C_{c_0, c_1}(\eta). \end{aligned}$$

Thus x is represented by (c_0, c_1) -quasi-geodesic rays $(a_j)_0^\infty, (b_j)_0^\infty$, that start from e and pass from γ and η respectively. By Morse's lemma, we know that there exists some geodesic ray starting from e and some $A > 0$ depending only on c_0, c_1 and the hyperbolicity constant of Γ such that the Hausdorff distance of the geodesic ray to each of the quasi-geodesics is bounded by A . Let ϵ_0, ϵ_1 be two points on the geodesic ray such that $d(\gamma, \epsilon_0), d(\eta, \epsilon_1) \leq A$. Then we have that

$$\begin{aligned} d(\gamma, \eta) &\leq d(\gamma, \epsilon_0) + d(\epsilon_0, \epsilon_1) + d(\epsilon_1, \eta) \leq 2A + \|\epsilon_0\| - \|\epsilon_1\| \leq \\ &\leq 2A + \|\epsilon_0\| - \|\gamma\| + \|\gamma\| - \|\eta\| + \|\epsilon_1\| - \|\eta\| \leq 4A. \end{aligned}$$

In particular, any γ' such that $\zeta_\rho(\xi_\rho^1(\gamma')) \in \xi_\rho^1(\rho(\gamma')B_{\Theta, \alpha}(\rho(\gamma')))$, will lie in a ball of radius $4A$ around γ . Since Γ is finitely generated, there exists some $C > 0$ such that the ball of radius $4A$ around γ contains at most C elements of Γ . \square

Before giving the next definition, we recall that the annihilator of an element $y \in \mathcal{F}_\Theta(\mathbb{R}^d)$ is the set of partial flags that are not transverse to y , that is:

$$\text{Ann}(y) = \{x \in \mathcal{F}_\Theta(\mathbb{R}^d) : x^\theta \cap y^{d-\theta} \neq 0 \text{ for some } \theta \in \Theta\}.$$

Definition 3.3.2. Let $\rho : \Gamma \rightarrow \text{SL}(d, \mathbb{R})$ be a linear representation, $\Theta \subseteq \Pi$ and μ a $(\rho(\Gamma), \phi)$ -Patterson-Sullivan measure over $\mathcal{F}_\Theta(\mathbb{R}^d)$. We say that ρ is μ -irreducible there is no element in $\mathcal{F}_{i\Theta}(\mathbb{R}^d)$, whose annihilator is of full measure, i.e. for all $y \in \mathcal{F}_{i\Theta}(\mathbb{R}^d)$:

$$\mu(\text{Ann}(y)) < \mu(\mathcal{F}_\Theta(\mathbb{R}^d)).$$

Example 3.3.1. If $\rho(\Gamma)$ is Zariski-dense in $\text{SL}(d, \mathbb{R})$, then ρ is μ -irreducible for any ρ -quasi-equivariant measure μ , and in particular for any $(\rho(\Gamma), \phi)$ -Patterson-Sullivan measure.

Remark 3.3.1. The reason that we introduce the concept of μ -irreducibility is that for any μ -irreducible representation $\rho : \Gamma \rightarrow \text{SL}(d, \mathbb{R})$, there exist $\alpha, \kappa > 0$ such that $\mu(B_{\Theta, \alpha}(\rho(\gamma))) \geq \kappa$ for all $\gamma \in \Gamma$.

Indeed, if this were not the case, then there would exist a sequence $\alpha_n \searrow 0$ and $\gamma_n \in \Gamma$ such that

$$\mu(B_{\Theta, \alpha_n}(\rho(\gamma_n))) \leq \frac{1}{n}.$$

Due to the compactness of $\mathcal{F}_\Theta(\mathbb{R}^d)$, up to considering a subsequence, we may assume that the repelling flags or $\rho(\gamma_n)$ converge to some $\xi \in \mathcal{F}_\Theta(\mathbb{R}^d)$:

$$(U_{d-i}(\rho(\gamma_n)^{-1}))_{i \in \Theta} \rightarrow \xi$$

In that case, the complements $B_{\Theta, \alpha_n}^c(\rho(\gamma_n))$ will converge to the annihilator of ξ , in the sense:

$$\limsup_n B_{\Theta, \alpha_n}^c(\rho(\gamma_n)) \subseteq \text{Ann}(\xi).$$

Indeed, let $y \in \limsup_n B_{\Theta, \alpha_n}^c(\rho(\gamma_n))$ and consider a subsequence k_n such that $y \in B_{\Theta, \alpha_n}^c(\rho(\gamma_{k_n}))$. By the very definition of $B_{\Theta, \alpha_n}^c(\rho(\gamma_n))$, there exists some p such that up to considering a subsequence of k_n ,

$$\angle(y^p, U_{d-p}(\rho(\gamma_n)^{-1})) \leq \alpha_n$$

holds. Taking the limit as $n \rightarrow \infty$, we have that $y^p \cap \xi^{d-p} \neq 0$ and hence $y \in \text{Ann}(\xi)$.

Using a measure-theoretic argument we conclude that $\text{Ann}(\xi)$ is of full measure, which contradicts the μ -irreducibility of ρ :

$$\mu(\text{Ann}(\xi)) \geq \mu(\limsup_n B_{\Theta, \alpha_n}^c(\rho(\gamma_{k_n}))) \geq \limsup_n \mu(B_{\Theta, \alpha_n}^c(\rho(\gamma_{k_n}))) = \mu(\mathcal{F}_\Theta(\mathbb{R}^d)).$$

Lemma 3.3.2. *Let $\rho : \Gamma \rightarrow \mathrm{SL}(d, \mathbb{R})$ be a representation and μ^ϕ be a $(\rho(\Gamma), \phi)$ -Patterson-Sullivan measure. If $\rho(\Gamma)$ is μ -irreducible, then there exists some $\alpha_0 > 0$, such that for any $\alpha \in (0, \alpha_0)$, there's some $k = k(\alpha) > 0$ for which*

$$\frac{1}{k} e^{-\phi(a(\rho(\gamma)))} \leq \mu^\phi(\rho(\gamma) B_{\Theta, \alpha}(\rho(\gamma))) \leq k e^{-\phi(a(\rho(\gamma)))}$$

for all $\gamma \in \Gamma$.

Proof. Let $\alpha_0, k > 0$ be as in the remark preceeding the statement of the lemma. As noted in Lemma 3.1.3, there exists some $K = K(\alpha_0, \phi) > 0$ such that for any $\alpha \in (0, \alpha_0)$ and $y \in B_{\Theta, \alpha}(\rho(\gamma))$:

$$|\phi(a(\rho(\gamma))) - b(\rho(\gamma), y)| \leq K,$$

from which we obtain the upper bound

$$\begin{aligned} \mu^\phi(\rho(\gamma) B_{\Theta, \alpha}(\rho(\gamma))) &= (\rho(\gamma^{-1})_* \mu^\phi)(B_{\Theta, \alpha}(\rho(\gamma))) = \int_{\mathcal{F}_\Theta(\mathbb{R}^d)} e^{-\phi(b(\rho(\gamma), y))} d\mu^\phi(y) \leq \\ &\leq e^{-K} \mu^\phi(\mathcal{F}_\Theta(\mathbb{R}^d)) e^{-\phi(a(\rho(\gamma)))}. \end{aligned}$$

Similarly we obtain the lower bound. □

3.4 Proof of the main theorem

In this section we shall prove the main theorem, which we restate for the reader's convenience:

Theorem 2. *Let $\rho : \Gamma \rightarrow \mathrm{SL}(d, \mathbb{R})$ be a Zariski-dense, projective Anosov representation such that $\xi_\rho^1(\partial\Gamma)$ is a Lipschitz submanifold of $\mathbb{P}(\mathbb{R}^d)$. Then the dimension of the limit set $\xi_\rho^1(\partial\Gamma)$ equals the Falconer dimension of ρ :*

$$\dim \xi_\rho^1(\partial\Gamma) = h_\rho(F)$$

where $F_s(a) = \max\{\Psi_s^p(a) : p \in \llbracket 2, d \rrbracket\}$ is the Falconer functional.

Proof. We have already seen in Lemma 2.2.1 that $d_{\rho, \Gamma} \leq \dim_F(\rho)$. For the opposite inequality, we merely need to piece together the results of the previous sections as outlined in Section 3.2. There we have seen that $h_\rho(F) \leq h_\rho(\Psi^{d_{\rho, \Gamma}+2})$ since $F_s \geq \Psi_s^{d_{\rho, \Gamma}+2}$, so may as well show that $h_\rho(\Psi^{d_{\rho, \Gamma}+2}) \leq d_{\rho, \Gamma}$, i.e. that

$$\sum_{\gamma \in \Gamma} e^{-\Psi_s^{d_{\rho, \Gamma}+2}(\rho(\gamma))} < \infty$$

for all $s \geq d_{\rho, \Gamma}$. This will follow as soon as we have shown that $h_\rho(J_{d_{\rho, \Gamma}}) \leq 1$, since

$$\begin{aligned} \Psi_s^{d_{\rho, \Gamma}+1} \circ a &= \mathbf{a}_{12} + \cdots + \mathbf{a}_{1(d_{\rho, \Gamma}+1)} + (s - d_{\rho, \Gamma}) \mathbf{a}_{1(d_{\rho, \Gamma}+2)} = \\ &= \mathbf{a}_{12} + \cdots + \mathbf{a}_{1(d_{\rho, \Gamma}+1)} + d_{\rho, \Gamma} \left(\frac{s}{d_{\rho, \Gamma}} - 1 \right) \mathbf{a}_{1(d_{\rho, \Gamma}+2)} \geq \\ &\geq \mathbf{a}_{12} + \cdots + \mathbf{a}_{1(d_{\rho, \Gamma}+1)} + \left(\frac{s}{d_{\rho, \Gamma}} - 1 \right) (\mathbf{a}_{12} + \cdots + \mathbf{a}_{1(d_{\rho, \Gamma}+1)}) = \\ &= \frac{s}{d_{\rho, \Gamma}} J_{d_{\rho, \Gamma}}^u. \end{aligned}$$

Using the Anosov property of ρ we have that

$$J_{d_\Gamma}(a(\rho(\gamma))) \geq \mathbf{a}_{12}(a(\rho(\gamma))) \geq C|\gamma| - b$$

for certain $C, b > 0$ which when we break up the sum defining the critical exponent into the sum over the sets $\Gamma_T = \{\gamma \in \Gamma : |\gamma| = T\}$ gives us:

$$\begin{aligned} \sum_{\gamma \in \Gamma} e^{-(s+1)J_{d_{\rho, \Gamma}}^u(a(\rho(\gamma)))} &= \sum_{T \geq 0} \sum_{\gamma \in \Gamma_T} e^{-sJ_{d_{\rho, \Gamma}}^u(a(\rho(\gamma)))} e^{-J_{d_{\rho, \Gamma}}^u(a(\rho(\gamma)))} = \\ &= \sum_{T \geq 0} e^{-sJ_{d_{\rho, \Gamma}}^u(a(\rho(\gamma)))} \sum_{\gamma \in \Gamma_T} e^{-J_{d_{\rho, \Gamma}}^u(a(\rho(\gamma)))} \leq \\ &\leq \sum_{T \geq 0} e^{-s(CT-b)} \sum_{\gamma \in \Gamma_T} e^{-J_{d_{\rho, \Gamma}}^u(a(\rho(\gamma)))} \end{aligned}$$

To obtain a bound on the inner sums that is uniform in T , we recall Proposition 3.3.1. There we saw that $\xi_\rho^1(\partial\Gamma)$ being a Lipschitz submanifold of $\mathbb{P}(\mathbb{R}^d)$ implies the existence of a $(\rho(\Gamma), J_{d_{\rho, \Gamma}}^u)$ -Patterson-Sullivan measure μ on $\zeta_\rho^1(\xi^1(\partial\Gamma)) \subseteq \mathcal{F}_{1, d_{\rho, \Gamma}+1}(\mathbb{R}^d)$. By Lemma 3.3.1 we have that for $\alpha > 0$ small enough, there exists some $M, T_0 > 0$ such that for all $T \geq T_0$ the family

$$\mathcal{U}_T = \{\rho(\gamma)B_{\alpha, \Theta}(\rho(\gamma)) : |\gamma| = T\}$$

is an open covering of $\zeta_\rho(\xi^1(\partial\Gamma))$ for which

$$\max \left\{ \#A : A \subseteq \Gamma_T, \bigcap_{\gamma \in A} \rho(\gamma)B_{\alpha, \Theta}(\rho(\gamma)) \neq \emptyset \right\} \leq M.$$

But μ is in particular ρ -quasi-equivariant which along with the Zariski-density of $\rho(\Gamma)$ implies that ρ is μ -irreducible, as we have seen in Example 3.3.1. Hence the bound in Lemma 3.3.2 applies and we have that

$$\begin{aligned} \sum_{\gamma \in \Gamma_T} e^{-J_{d_{\rho, \Gamma}}^u(a(\rho(\gamma)))} &\leq \sum_{\gamma \in \Gamma_T} \mu(\rho(\gamma)B_{\alpha, \Theta}(\rho(\gamma))) \leq \\ &\leq \frac{1}{M} \mu(\mathcal{F}_{1, d_{\rho, \Gamma}+1}(\mathbb{R}^d)) < \infty. \end{aligned}$$

□

Chapter 4

Dropping the Zariski-density assumption

Since many of interesting examples of Anosov subgroups are not Zariski-dense, one could certainly argue that the Zariski-density assumption in Theorem 2 is not cheap. The reason that it's needed is that otherwise one can't guarantee that it is μ -irreducible for a Patterson-Sullivan measure μ . In fact, Zariski-density is not assumed in [PSW23], where the authors suggest a way to obtain μ -irreducibility through the following lemma which as we will see below is false.

Lemma 4.0.1 (Lemma 6.8 in [PSW23]). *Let Γ be a hyperbolic group and $\eta : \Gamma \rightarrow \mathrm{PGL}(d, \mathbb{R})$ be a strongly irreducible projective Anosov representation such that $\xi_\eta(\partial\Gamma)$ is homeomorphic to \mathbb{S}^{d_r} , and which admits a measurable η -equivariant section $\zeta : \partial\Gamma \rightarrow \mathcal{F}_{\{a_1, a_{d_r+1}\}}(\mathbb{R}^d)$. Then η is μ -irreducible for any $(\eta(\Gamma), \phi)$ -Patterson-Sullivan measure μ on $\zeta(\partial\Gamma) \subseteq \mathcal{F}_{\{a_1, a_{d_r+1}\}}(\mathbb{R}^d)$.*

For convenience, we recall that a linear representation $\rho : \Gamma \rightarrow \mathrm{GL}(d, \mathbb{R})$ is strongly irreducible if there is no proper $\rho(\Gamma)$ -invariant subspace of \mathbb{R}^d , and it is μ -irreducible if there is no element in $\mathcal{F}_{i\Theta}(\mathbb{R}^d)$, whose annihilator is of full measure.

More specifically, in the proof of the preceeding lemma, the authors argue by contradiction that if the result is not true, then there exists subspaces $W_0 \in \mathcal{G}_{d-d_\Gamma-1}$ and $V \in \mathcal{G}_{d-d_\Gamma-1}$ such that

$$\eta(\gamma)V \cap W_0 \neq 0$$

for all $\gamma \in \Gamma$. Indeed, if this were not the case, then there exist $(W_0, P_0) \in \mathcal{F}_{a_{d-d_\Gamma-1}, a_{d-1}}$ such that $\mathrm{Ann}(W_0, P_0)$ is of full μ measure. In fact, η -equivariance of ζ implies that one can choose W_0, P_0 such that $P_0 \in \xi_\eta^{d-1}(\partial\Gamma)$, meaning that for μ -almost every $(\xi_\eta^1(x), \zeta(x)^{d_r+1}) \in \zeta(\partial\Gamma)$, we have that $\zeta(x)^{d_r+1} \cap W_0 \neq 0$. Again using the η -equivariance of ζ , we have that for all $\gamma \in \Gamma$, the set $\{\zeta(x) \in \zeta(\partial\Gamma) : \zeta(x)^{d_r+1} \cap \gamma W_0 \neq 0\}$ is of full measure, which implies that their intersection is non-empty, and we can take $V = \zeta(x)^p$ for any $x \in \partial\Gamma$ such that $\zeta(x)$ lies in this intersection.

Finally to conclude, the authors assert that this is absurd by using [Lab06, Proposition 10.3]:

Proposition 4.0.1 (Labourie). *Let $G \leq \mathrm{SL}(d, \mathbb{R})$ be an algebraic group. If $C \in \mathcal{G}_k(\mathbb{R}^d)$, $B \in \mathcal{G}_{d-k}(\mathbb{R}^d)$ such that*

$$gC \cap B \neq 0, \tag{4.1}$$

then the connected component of G containing the identity element is not irreducible.

However, the results of Lemma 4.0.1 and Proposition 4.0.1 are false, leaving room for further investigation. In particular, below we present a direct counterexample to Proposition 4.0.1, followed by a construction which shows that Equation (4.1) does not contradict strong irreducibility, and where the representation η is not μ -irreducible, thus disproving Lemma 4.0.1. Nevertheless, if we proceed to calculate the dimension of the respective limit set and the critical exponent of the Falconer functional, we notice that they coincide and hence do not provide a counterexample to the main result presented in [PSW23], which suggests that the result may still hold at least for certain special cases.

Example 4.0.1. Consider the space $\mathbb{R}^{d,d}$, that is \mathbb{R}^{2d} with the bilinear form $\langle x, y \rangle = x_1 y_1 + \dots + x_d y_d - x_{d+1} y_{d+1} - \dots - y_{2d}$, and let $S \subseteq \mathcal{G}_d(\mathbb{R}^{2d})$ to be the set of maximal isotropic subspaces of $\mathbb{R}^{d,d}$. Assuming that d is odd, one can show that for any subspaces V, W in the same component of S , we have $gV \cap W \neq 0$ for all $g \in \mathrm{SO}(d, d)$. However, for $d > 0$ of any parity, we have that $\mathrm{SO}_0(d, d)$ acts irreducibly on $\mathrm{SO}(d, d)$, which means that the choice of any V, W in the same connected component of S provides a counterexample to Proposition 4.0.1 for $G = \mathrm{SL}(2d, \mathbb{R})$.

Outline
proof steps
for coun-
terex-
ample to
Labourie's
lemma

After providing a counterexample to Proposition 4.0.1 as above, one could object that this does not necessarily imply that the result of Lemma 4.0.1 is false. For this, one would need to provide another counterexample for Lemma 4.0.1 as well, which is the goal of the next pages and is summarised in Proposition 4.0.2. Before giving the statement of the latter, we recall that a uniform lattice Γ of a locally compact group G is a discrete subgroup of G that is co-compact, i.e. G/Γ is compact.

Proposition 4.0.2. *Let $\Gamma \leq \mathrm{SU}(2, 1)$ be a uniform lattice and $\eta : \Gamma \rightarrow \mathrm{SL}(\mathfrak{su}(2, 1))$ be the restriction of the adjoint representation. Then*

- (i) η is strongly irreducible,
- (ii) η is projective Anosov
- (iii) η admits a measurable η -equivariant section:

$$\zeta : \partial\Gamma \rightarrow \mathcal{F}_{\{1,4\}}(\mathfrak{su}(2, 1)) \simeq \mathcal{P}$$

$$x \mapsto (\xi^1(x), T_{\xi^1(x)}\xi^1(\partial\Gamma)) \simeq (\xi^1(x), (d_{\xi^1(x)}p)^{-1}(T_{\xi^1(x)}\xi^1(\partial\Gamma))\xi^1(x)).$$

where $d_{\xi^1(x)}p : \mathrm{hom}(\xi^1(x), \mathfrak{su}(2, 1)) \rightarrow \mathrm{hom}(\xi^1(x), \mathfrak{su}(2, 1)/\xi^1(x))$ is the canonical projection.

- (iv) For all $x, y \in \partial\Gamma : \zeta(x)^4 \cap \zeta(y)^4 \neq 0$.
- (v) For any $y_0 \in \mathrm{SU}(2, 1)/P_0$ and $W_0 \in \mathcal{G}_7(\mathbb{R}^4)$ that contains $\zeta(y_0)^4$, we have that $\mathrm{Ann}(\zeta(y_0)^4, W_0) \supseteq \zeta(\mathrm{SU}(2, 1)/P_0)$ and is in particular of full μ -measure, for any $(\eta(\Gamma), \phi)$ -Patterson-Sullivan measure μ supported over $\zeta(\partial\Gamma)$.
- (vi)

$$h_\rho(F) = \dim_{\mathcal{H}}(\xi_\rho^1(\partial\Gamma)) = 3.$$

For the sake of readability, we have broken up the proof of Proposition 4.0.2 into multiple propositions which are then combined.

Remark 4.0.1. When $G = \mathrm{Isom}(X)$ is the isometry group of a complete Riemannian manifold X , and Γ is a uniform lattice of G , then it acts properly discontinuously and cocompactly on X .

We begin by showing proving the Anosov property of η .

Proposition 4.0.3. *Let Γ be a uniform lattice of $\mathrm{SU}(2, 1)$, and $\eta : \Gamma \rightarrow \mathrm{SL}(\mathfrak{su}(2, 1))$ be the restriction of the adjoint representation: $\eta(\gamma) = \mathrm{Ad}_\gamma$ for all $\gamma \in \Gamma$. Then η is projective Anosov.*

Proof. Let $\gamma \in \Gamma$. Since $\gamma \in \mathrm{SU}(2, 1)$, we have that

$$\gamma = k_1 \exp(r(\gamma)x_0) k_2$$

for x_0 a fixed non-zero in the Weyl-chamber $\mathbb{R}x_0$ of $\mathfrak{su}(2, 1)$, $r(\gamma) \in \mathbb{R}$ and $k_1, k_2 \in \mathrm{U}(2)$. Then by the definition of a uniform lattice, we have that Γ acts properly discontinuously and cocompactly, which means that the inclusion $\Gamma \hookrightarrow \mathrm{SU}(2, 1)$ is projective Anosov (since $\mathrm{SU}(2, 1)$ is of rank 1). Thus there exist constants $L \geq 1, b \geq 0$ such that for all $\gamma \in \Gamma$:

$$r(\gamma) \geq \mathfrak{a}_1(x_0)^{-1}(L|\gamma| - b) = L'|\gamma| - b'.$$

Note that $\mathfrak{a}_1(x_0) > 0$ since x_0 is in the interior of the Weyl-chamber $\mathbb{R}x_0$.

Letting $k'_1 = \mathrm{Ad}_{k_1}, k'_2 = \mathrm{Ad}_{k_2}$ and $K' \leq \mathrm{SL}(\mathfrak{su}(2, 1))$ be a maximal compact subgroup containing them, we have that:

$$\eta(\gamma) = \mathrm{Ad}_\gamma = k'_1 \mathrm{Ad}_{\exp(r(\gamma)x_0)} k'_2 = k'_1 \exp(r(\gamma) \mathrm{ad}_{x_0}) k'_2.$$

Thus

$$\mathfrak{a}_1(\mu(\eta(\gamma))) = r(\gamma) \mathfrak{a}_1(\mathrm{ad}_{x_0}) \geq (L'|\gamma| - b') \mathfrak{a}_1(x_0)$$

which is Anosov because $\mathfrak{a}_1(\mathrm{ad}_{x_0}) > 0$, as can be seen by concrete calculations. \square

Before giving an expression for the projective part of the limit map of η , we make a few observations regarding Gromov boundary of Γ . In particular, we claim that since Γ is a uniform lattice of $\mathrm{SU}(2, 1)$, we have that $\partial\Gamma$ is homeomorphic to $\mathrm{SU}(2, 1)/P$, where P is a parabolic subgroup of $\mathrm{SU}(2, 1)$, and it coincides with the stabilizer of some isotropic line $l \in \partial_\infty \mathbb{H}_{\mathbb{C}}^2$.

Indeed, for a uniform lattice Γ of the isometry group G of a homogenous G -space X , the Milnor-Švarc lemma implies that for any $x_0 \in X$, the map $\Gamma \rightarrow X, \gamma \mapsto \gamma x_0$ is a quasi-isometry. In our case $G = \mathrm{SU}(2, 1)$ and $X = \mathbb{H}_{\mathbb{C}}^2$ is a hyperbolic metric space, so the quasi-isometry extends to a homeomorphism $\partial\Gamma \rightarrow \partial\mathbb{H}_{\mathbb{C}}^2$ of the Gromov-boundaries. On the other hand, the action of $\mathrm{SU}(2, 1)$ on $\partial_\infty \mathbb{H}_{\mathbb{C}}^2$ is transitive, so we have that $\partial\mathbb{H}_{\mathbb{C}}^2 \simeq \mathrm{SU}(2, 1)/P$ where P is the stabilizer of a point in $\partial\mathbb{H}_{\mathbb{C}}^2$. In fact, we have that P is a parabolic subgroup of $\mathrm{SU}(2, 1)$. The combination of the above, along with the fact that the geometric and the Gromov boundaries agree in the case of $\mathbb{H}_{\mathbb{C}}^2$, we deduce that $\partial\Gamma \simeq \mathrm{SU}(2, 1)/P$.

To calculate the projective part of the limit map, we shall show that there exists a unique $\mathrm{SU}(2, 1)$ -equivariant map from $\partial\Gamma$ to $\mathbb{P}(\mathbb{R}^d)$. The uniqueness follows from the following characterisation of limit maps:

Lemma 4.0.2. *Let $\rho : \Gamma \rightarrow \mathrm{SL}(d, \mathbb{R})$ be a strongly irreducible projective Anosov representation, and denote with $\xi_\rho : \partial\Gamma \rightarrow \mathbb{P}(\mathbb{R}^d)$ its limit map. Then ξ_ρ^1 is the unique continuous, $\rho(\Gamma)$ -equivariant map from $\partial\Gamma$ to $\mathbb{P}(\mathbb{R}^d)$.*

Proof. Let $\eta^1 : \partial\Gamma \rightarrow \mathbb{P}(\mathbb{R}^d)$ be a continuous, $\rho(\Gamma)$ -equivariant map. Since the action of Γ on its boundary $\partial\Gamma$ has dense orbits, it suffices to show that it agrees with ξ_ρ^1 on at least one boundary point.

Suppose for the sake of contradiction that η^1 does not coincide with ξ_ρ^1 and let $z \in \partial\Gamma, y \in \partial\Gamma \setminus \{z\}$. Then for any $x \in \partial\Gamma \setminus \{y\}$ we may find some quasi-geodesic $\{\gamma_n\}_n$ such that $\gamma_n \rightarrow$

$x, \gamma_{-n} \rightarrow y$ as $n \rightarrow \infty$. Then since $z \neq y$ we know that $\gamma_n z \rightarrow z$ as $n \rightarrow \infty$ and continuity of η^1 implies that $\eta^1(\gamma_n z) \rightarrow \eta^1(z)$. But equivariance of η^1 and the fact that ξ^1 is dynamics-preserving implies that $\eta(\gamma_n z) = \rho(\gamma_n)\eta(z) \rightarrow \xi^1(x)$, unless $\eta^1(z) \in \xi^{d-1}(y)$. But if in fact $\eta^1(z) \notin \xi^{d-1}(y)$, then the limits would agree, i.e. $\eta^1(x) = \xi^1(x)$ which is a contradiction. Thus we have that $\eta^1(z) \in \xi^{d-1}(y)$ and since y was an arbitrary points of $\partial\Gamma \setminus \{y\}$, we have that

$$\eta^1(z) \in \bigcap_{y \in \partial\Gamma \setminus \{z\}} \xi^{d-1}(y) \subseteq \bigcap_{y \in \partial\Gamma \setminus \Gamma \cdot z} \xi^{d-1}(y).$$

In particular, the set appearing on the right hand side is ρ -equivariant, non-empty proper subset of \mathbb{R}^d , which contradicts the strong irreducibility assumption of ρ . \square

Given the lemma above, it suffices to find an $\mathrm{SU}(2, 1)$ -equivariant map from $\partial\Gamma$ to $\mathbb{P}(\mathbb{R}^d)$.

Proposition 4.0.4. *Let Γ be a uniform lattice of $\mathrm{SU}(2, 1)$, and $\eta : \Gamma \rightarrow \mathrm{SL}(\mathfrak{su}(2, 1))$ be the restriction of the adjoint representation: $\eta(\gamma) = \mathrm{Ad}_\gamma$ for all $\gamma \in \Gamma$. The projective part of the limit map of η is given by*

$$\xi_\eta : \partial\Gamma = \mathrm{SU}(2, 1)/P_0 \rightarrow \mathbb{P}(\mathfrak{su}(2, 1)), \quad \xi_\eta(gP_0) = \mathbb{R} \mathrm{Ad}_\gamma x_0.$$

where $P_0 = \mathrm{St}_{\mathrm{SU}(2, 1)}[1 : 0 : 0]$ and

$$x_0 = i \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \in \mathfrak{su}(2, 1).$$

Its derivative satisfies:

$$d_x \xi(T_x \mathrm{SU}(2, 1)/P_0) = \pi(\mathrm{ad}_{\xi^1(x)} \mathfrak{su}(2, 1))$$

where $\pi : \mathrm{hom}(\xi^1(x), \mathfrak{su}(2, 1)) \rightarrow \mathrm{hom}(\xi^1(x), \mathfrak{su}(2, 1)/\xi^1(x))$ is the canonical projection.

Proof. Since by Lemma 4.0.2 the limit map is the unique continuous ρ -equivariant from the boundary of Γ to the projective space, it suffices to show that there exists an η -equivariant map $\xi^1 : \mathrm{SU}(2, 1)/P_0 \rightarrow \mathbb{P}(\mathfrak{su}(2, 1))$, since it will then restrict to the limit map on $\partial\Gamma$.

We consider the parabolic subgroup $P_0 = \mathrm{St}_{\mathrm{SU}(2, 1)}[1 : 0 : 0]$ of $\mathrm{SU}(2, 1)$. Then its Lie algebra is given by:

$$\mathfrak{p}_0 = \mathrm{St}_{\mathfrak{su}(2, 1)}[1 : 0 : 0] = \left\{ \begin{pmatrix} u - is & a & it \\ 0 & 2is & -\bar{a} \\ 0 & 0 & -u - is \end{pmatrix} : a \in \mathbb{C}, u, s, t \in \mathbb{R} \right\}.$$

Since for $\mathbb{R}x \in \mathbb{P}(\mathfrak{su}(2, 1))$ we have that P_0 fixes $\mathbb{R}x$ if and only if \mathfrak{p}_0 fixes $\mathbb{R}x$. But a quick calculation shows that the only element of $\mathfrak{su}(2, 1)$ fixed by \mathfrak{p}_0 is x_0 .

For the calculation of the image of the differential at the identity coset P , we differentiate the commutative diagram:

$$\begin{array}{ccc} \mathrm{SU}(2, 1) & \xrightarrow{\mathrm{Ad} \cdot x_0} & \mathfrak{su}(2, 1) \\ \downarrow & & \downarrow \\ \mathrm{SU}(2, 1)/P_0 & \xrightarrow{\xi^1} & \mathbb{P}(\mathfrak{su}(2, 1)) \end{array} \quad \text{to get} \quad \begin{array}{ccc} \mathfrak{su}(2, 1) & \xrightarrow{\mathrm{ad} \cdot x_0} & \mathfrak{su}(2, 1) \\ \downarrow & & \downarrow \pi \\ \mathfrak{su}(2, 1)/\mathfrak{p}_0 & \xrightarrow{d_P \xi^1} & T_{\xi^1(P)} \mathbb{P}(\mathfrak{su}(2, 1)) \end{array}$$

In the general case we use the equivariance of the limit map

$$\begin{aligned}
d_{gP}\xi^1(T_{gP}\mathrm{SU}(2,1)/P_0) &= d_{gP}\xi^1 d_P g(T_P\mathrm{SU}(2,1)/P_0) = d_{\xi^1(P)} g d_P \xi^1(T_P\mathrm{SU}(2,1)/P_0) = \\
&= d_{\xi^1(P)} g \pi(\mathrm{ad}_{\xi^1(P)} \mathfrak{su}(2,1)) = \\
&= \pi(\mathrm{Ad}_g(\mathrm{ad}_{\xi^1(P)} \mathfrak{su}(2,1))) = \pi(\mathrm{ad}_{\mathrm{Ad}_g \xi^1(P)} \mathfrak{su}(2,1)) = \\
&= \pi(\mathrm{ad}_{\xi^1(gP)} \mathfrak{su}(2,1)).
\end{aligned}$$

□

Before moving on, we recall that all parabolic subgroups of $\mathrm{SU}(2,1)$ are conjugate to each other, so we have the following identification, which will be useful in the proof of Proposition 4.0.2 and the following lemma:

$$\begin{aligned}
\mathrm{SU}(2,1)/P_0 &\leftrightarrow \{ \text{Parabolic subgroups of } \mathrm{SU}(2,1) \} \leftrightarrow \{ \text{Parabolic subalgebras of } \mathfrak{su}(2,1) \} \\
gP_0 &\leftrightarrow gP_0g^{-1} \leftrightarrow \mathrm{Ad}_g(\mathfrak{p}_0)
\end{aligned}$$

Lemma 4.0.3. $\mathrm{Ad}_{\mathrm{SU}(2,1)}$ acts transitively on pairs of distinct parabolic subalgebras of $\mathfrak{su}(2,1)$. In other words, for every distinct parabolic subalgebras $\mathfrak{p}, \mathfrak{p}' \leq \mathfrak{su}(2,1)$, there exists some $g \in \mathrm{SU}(2,1)$ such that $\mathrm{Ad}_g(\mathfrak{p}) = \mathfrak{p}_0$ and $\mathrm{Ad}_g \mathfrak{p}' = \mathfrak{p}_0^t$, where $\mathfrak{p}_0, \mathfrak{p}_0^t$ are the subalgebras of the parabolic subgroups $P_0 = \mathrm{St}_{\mathrm{SU}(2,1)}[1:0:0]$ and $P_0^t = \{g^t : g \in P_0\} = \mathrm{St}_{\mathrm{SU}(2,1)}[0:0:1]$ respectively.

Proof. Recall that we have defined $P_0 = \mathrm{St}_{\mathrm{SU}(2,1)}[1:0:0]$. To see why $P_0^t = \mathrm{St}_{\mathrm{SU}(2,1)}[0:1:0]$, we note that $P_0^t = g_0 P_0 g_0^{-1} = g_0 P_0 g_0^{-1} = \mathrm{St}_{\mathrm{SU}(2,1)} g_0 [1:0:0] = \mathrm{St}_{\mathrm{SU}(2,1)} [0:0:1]$ for

$$g_0 = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}.$$

Thus $\mathfrak{p}_0 = \mathrm{St}_{\mathfrak{su}(2,1)}[1:0:0]$ and $\mathfrak{p}_0^t = \mathrm{St}_{\mathfrak{su}(2,1)}[0:0:1]$. Let now $l, l' \in \partial_\infty \mathbb{H}_{\mathbb{C}}^2$ be the isotropic lines corresponding to $\mathfrak{p}, \mathfrak{p}'$ respectively, i.e. $\mathfrak{p} = \mathrm{St}_{\mathfrak{su}(2,1)} l$ and $\mathfrak{p}' = \mathrm{St}_{\mathfrak{su}(2,1)} l'$. Denoting with $P = \mathrm{St} l, P' = \mathrm{St} l'$ the respective parabolic subgroups, Witt's theorem guarantees that there exists some $g \in \mathrm{SU}(2,1)$ such that $gl = \mathbb{R}e_1, gl' = \mathbb{R}e^3$. Then we have that $gPg^{-1} = \mathrm{St}_{\mathrm{SU}(2,1)} gl = P_0$ and $gP'g^{-1} = \mathrm{St}_{\mathrm{SU}(2,1)} gl' = P_0^t$. □

We are now ready to fill in the gaps, and provide a proof of Proposition 4.0.2.

Proof of Proposition 4.0.2. (i) Follows from the fact that $\mathrm{SU}(2,1)$ is a simple Lie group.

(ii) Shown in Proposition 4.0.3.

(iii) Follows from the fact that ξ^1 is $\mathrm{SU}(2,1)$ -equivariant and the equivariant identification of $\mathcal{F}_{\{1,4\}}(\mathfrak{su}(2,1)) \simeq \mathcal{P}$.

(iv) Letting $g \in \mathrm{SU}(2,1)$ be as in Lemma 4.0.3, we have that $\mathrm{Ad}_g(\mathfrak{p}_0) = \mathfrak{p}$ and $\mathrm{Ad}_g(\mathfrak{p}_0^t) = \mathfrak{p}'$. Thus $\zeta(x)^4 \cap \zeta(y)^4 \neq \emptyset$ if and only if

$$\begin{aligned}
\emptyset \neq \mathrm{Ad}_g(\zeta(x)^4 \cap \zeta(y)^4) &= \mathrm{Ad}_g \zeta(x)^4 \cap \mathrm{Ad}_g \zeta(y)^4 = \zeta(gx)^4 \cap \zeta(gy)^4 = \zeta(\mathfrak{p}_0)^4 \cap \zeta(\mathfrak{p}_0^t)^4 = \\
&= \pi \left(\mathbb{R} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -1 \end{pmatrix} \right).
\end{aligned}$$

For the last equality, we use Proposition 4.0.4 and the fact that $\mathfrak{p}_0^t = \text{Ad}_g \mathfrak{p}_0$ for

$$g = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix},$$

to conclude that

$$\zeta(\mathfrak{p}_0) = \zeta(P_0) = \pi \left(\left\{ \begin{pmatrix} u & a & it \\ 0 & 0 & -\bar{a} \\ 0 & 0 & -u \end{pmatrix} : a \in \mathbb{C}, u, t \in \mathbb{R} \right\} \right),$$

and

$$\zeta(\mathfrak{p}_0^t) = \zeta(gP_0) = \text{Ad}_g \zeta(P_0) = \pi \left(\left\{ \begin{pmatrix} u & 0 & 0 \\ a & 0 & 0 \\ it & -\bar{a} & 0 \end{pmatrix} : a \in \mathbb{C}, u, t \in \mathbb{R} \right\} \right).$$

- (v) This is evident from the preceeding point of the proposition.
- (vi) Since $\Gamma \leq \text{SU}(2, 1)$ is a uniform lattice,, we know that $\partial\Gamma \simeq \partial_\infty H_{\mathbb{C}}^2 \simeq \mathbb{S}^3$, hence $d_\Gamma = 3$. Thus, by the arguments presented in Chapter 2, it suffices to show that $h_\eta(J_3^u) = 1$. Since $\text{SU}(2, 1)$ is of rank-1, one can show that

$$J_3^u(\mu(\eta(\gamma))) = 2d(\gamma[0 : 0 : 1], [0 : 0 : 1]),$$

where

$$\cosh^2 \left(\frac{d(x, y)}{2} \right) = \frac{\langle x, y \rangle \langle y, x \rangle}{\langle x, x \rangle \langle y, y \rangle},$$

and $\langle x, y \rangle = x_1 \bar{y}_1 + x_2 \bar{y}_2 + x_3 \bar{y}_3$ for $x, y \in \mathbb{H}_{\mathbb{C}}^2$, which implies that the critical exponent of J_3^u is equal to half of the critical exponent δ_Γ of Γ , i.e.

$$h_\eta(J_3^u) = \frac{\delta_\Gamma}{2} := \lim_{R \rightarrow \infty} \frac{\sharp\{\gamma : d(\gamma[0 : 0 : 1], [0 : 0 : 1]) \leq R\}}{R}.$$

But the critical exponent of Γ is equal to the volume entropy of the metric d , which can be explicitly computed:

$$\delta_\Gamma = \lim_{R \rightarrow \infty} \frac{\log \text{Vol}(B_R([0 : 0 : 1]))}{R} = 2.$$

□

As can be seen in the Proposition 4.0.2, the above construction provides a counterexample to Lemma 4.0.1 and to Proposition 4.0.2, but a direct calculation shows that it verifies the result of the main theorem. For this reason, it is natural to look for special cases, where Equation (4.1) constitutes indeed a contradiction. In particular, we have found that this is indeed true if we add the assumption that $\eta(\Gamma)$ is Zariski-dense in $\text{SO}(2, p)$ for some p different than 2, which covers a particularly interesting source of examples of discrete subgroups of $\text{SO}(2, p)$, namely quasi-Fuchsian representations which are intimately connected with the construction of globally hyperbolic maximally compact Cauchy manifolds (see [MST23]). This provides a modified version of the main theorem:

Theorem 3. Let $\rho : \Gamma \rightarrow \mathrm{SL}(d, \mathbb{R})$ be a projective Anosov representation such that $\xi_\rho^1(\partial\Gamma)$ is a Lipschitz submanifold of $\mathbb{P}(\mathbb{R}^d)$. Assume moreover that $\dim \xi_\rho^1(\partial\Gamma) = d - 3, d > 4$ and $\rho(\Gamma)$ is Zariski-dense in $\mathrm{SO}(2, d - 2)$. Then

$$\dim \xi_\rho^1(\partial\Gamma) = h_\rho(F).$$

The proof of the above theorem will in part be based on reducing it to the analogous result for the case of $\mathrm{SO}(1, d - 1)$, which we will first state and prove.

Lemma 4.0.4. Let $\rho : \Gamma \rightarrow \mathrm{SL}(d, \mathbb{R})$ be a projective Anosov representation such that $\xi_\rho^1(\partial\Gamma)$ is a Lipschitz submanifold of $\mathbb{P}(\mathbb{R}^d)$. Assume moreover that $\dim \xi_\rho^1(\partial\Gamma) = d - 2, d \geq 3$, and $\rho(\Gamma)$ is Zariski-dense in $\mathrm{SO}(1, d - 1)$. Then

$$\dim \xi_\rho^1(\partial\Gamma) = h_\rho(F).$$

Proof. The proof is analogous to the proof of Theorem 2, with the only difference that μ -irreducibility does not follow trivially from Zariski-density. Nevertheless, we still follow the strategy of [PSW23] and argue by contradiction. Up to swapping V and W we can assume that $\dim V = 1$ and $\dim W = d - 1$. Then the arguments following the statement of Lemma 4.0.1 show that it suffices to show that for any $V \in \mathcal{G}_1(\mathbb{R}^d), W \in \mathcal{G}_{d-1}(\mathbb{R}^d)$ there exists some $g \in \mathrm{SO}(1, d - 1)$ such that

$$gV \cap W = 0.$$

Using Witt's theorem, we see that this is equivalent to showing that for any $V \in \mathcal{G}_1(\mathbb{R}^d), W \in \mathcal{G}_{d-1}(\mathbb{R}^d)$ there exists some $V' \in \mathcal{G}_1(\mathbb{R}^d)$ such that

$$\mathrm{sgn} \omega|_V = \mathrm{sgn} \omega|_{V'} \quad \text{and} \quad V' \cap W = 0,$$

where ω is the standard form on $\mathbb{R}^{1, d-1}$. In other words, we need to show that the complement of every hyperplane $W \subseteq \mathbb{R}^d$ contains vectors of all signatures. In fact, we will show that it contains two zero-vectors, infinitely many positive vectors and infinitely many negative vectors.

Let $w \in W$ be a non-zero vector. Such a vector exists because otherwise W would be an isotropic subspace of dimension $d - 1 \geq 2$ which is not possible for a form of signature $(1, d - 1)$. Let $u \in W^c$ be a vector of the opposite sign to w , which exists since otherwise ω would be either positive or negative on \mathbb{R}^d . Then the affine line $L = \{u_t := u + tw : t \in \mathbb{R}\}$ does not intersect W and contains vectors of all signatures. Indeed, assume without loss of generality that $\omega(u, u) > 0 > \omega(w, w)$. Then

$$\omega(u_t, u_t) = \omega(w, w)t^2 + 2\omega(u, w)t + \omega(u, u).$$

The discriminant of the above quadratic is $4\omega(u, w)^2 - 4\omega(u, u)\omega(w, w)$, which is positive since u and w have opposite signs. Let $t_1 < t_2$ be the two roots of the above quadratic. Then

$$\omega(u_t, u_t) \begin{cases} > 0 & \text{if } t \in (t_1, t_2) \neq \emptyset \\ = 0 & \text{if } t = t_1 \text{ or } t = t_2 \\ < 0 & \text{if } t \notin [t_1, t_2] \end{cases} \quad (4.2)$$

□

To reduce the proof of Theorem 3 to the proof of Lemma 4.0.4, we will use the following lemma:

Is it not the case $d = 4$ that is of importance in physics?

Lemma 4.0.5. *Let ω be a non-degenerate form on \mathbb{R}^d and v a zero-vector for ω . Then ω induces the form $\tilde{\omega}$ on $\perp v/\mathbb{R}v$ given by*

$$\tilde{\omega}(x + \mathbb{R}v, y + \mathbb{R}v) = \omega(x, y),$$

and which has signature $\text{sgn}(\tilde{\omega}) = \text{sgn}(\omega) - (1, 1)$.

We are now ready to provide a proof for Theorem 3:

Proof of Theorem 3. The same arguments as in the proof of Lemma 4.0.4 show that it suffices to show that for any $V \in \mathcal{G}_2(\mathbb{R}^d)$, $W \in \mathcal{G}_{d-2}(\mathbb{R}^d)$ there exists some $V' \in \mathcal{G}_2(\mathbb{R}^d)$ that is transverse to W and has the same signature as V .

For the case where V is non-degenerate, we can perturb V to a non-degenerate hyperplane V' that is transverse to W without changing its signature.

The case where V is degenerate is more subtle. Assuming that $\dim(V \cap V^\perp) \geq 1$, we first show that there exists some zero vector $v \in W^c$ such that $W \not\subseteq v^\perp$. Indeed, the same arguments as in the Lemma 4.0.4 show that there exist $w \in W, u \in W^c$ such that $\omega(w, w) > 0 > \omega(u, u)$ and that there exist $t_1 < t_2$ such that Equation (4.2) holds for the affine line $L = \{u_t := u + tw : t \in \mathbb{R}\} \subseteq W^c$. In particular u_{t_1}, u_{t_2} are not contained in W . Moreover, at least one of u_{t_1}, u_{t_2} is not orthogonal to W , since otherwise $w = (u_{t_2} - u_{t_1})^{-1} \in \langle u_{t_1}, u_{t_2} \rangle$ would be orthogonal to W , which is absurd because w was assumed to be non-zero.

Let $p : v^\perp \rightarrow v^\perp/\mathbb{R}v$ be the canonical projection. We claim that for $W' = p(W \cap v^\perp)$,

W' is a hyperplane of $v^\perp/\mathbb{R}v$ and ω induces a form of signature $(1, d-3)$ on $v^\perp/\mathbb{R}v$.

. The signature of the induced form follows from Lemma 4.0.5. To calculate the dimension of W' , note that $\dim p(W \cap v^\perp) = \dim(W \cap v^\perp)$ since $\ker p \cap W = \mathbb{R}v \cap W = 0$, by the fact that v is not contained in W . On the other hand $\dim(W \cap v^\perp) = \dim(\ker W \ni x \mapsto (v, x)) = \dim W - 1 = d - 3$, while $\dim(v^\perp/\mathbb{R}v) = \dim v^\perp - 1 = (d - 1) - 1 = d - 2$.

For any $x \in v^\perp$ such that $p(x)$ is transverse to W' , we have that $V' = \langle v, x \rangle$ is a plane that is transverse to W . To show transversality, we let $r, s \in \mathbb{R}$ such that $rv + sx \in W$. Then $p(rv + sx) = sp(x) \in W'$, which implies that $s = 0$ by the choice of x . But then $rv \in W$ which means that $r = 0$ by the choice of v . In fact, the same arguments show that x and v are linearly independent and hence V is a plane.

Finally, we show that by picking the appropriate x , we can ensure that V' has the same signature as V . Indeed, $\dim V = 2$, so $\text{sgn}(\omega|_V) \in \{(1, 1, 0), (0, 2, 0), (0, 1, 1)\}$. Letting x be a positive, negative or zero vector respectively, we have that

$$\omega|_{V'} \in \left\{ \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}, 0, \begin{bmatrix} 0 & 0 \\ 0 & -1 \end{bmatrix} \right\} \text{ in the basis } \{v, x\}$$

respectively. □

Try to generalize the above in other choices of $\text{SO}(p, q)$

Is $\text{Ad}_{\text{SU}(2,1)}$ Zariski-dense in $\text{SO}(\mathfrak{su}(2, 1), K)$? If yes, then a generalisation of Theorem 3 to $\text{SO}(4, 4)$ would account for the validity of the result in the case of Proposition 4.0.2

Type up the proof of the quadratic form reduction that I have already handwritten.

inline

Chapter 5

Conclusion

Anosov representations have been a subject of interest ever since their introduction in [Lab06], and are now considered as a natural generalisation of convex-cocompact representations into Lie groups of rank higher than 1. Their limit set, aside from being a natural object to study in its own right, has been shown to have applications in such diverse fields as anti-de Sitter geometry, being important in the characterisation of globally hyperbolic maximally compact Cauchy manifolds.

In this thesis, based upon the work of [PSW23], we have explored different situations where that the Falconer functional of an Anosov subgroup is equal to the Hausdorff dimension of its limit set, provided that the latter is a Lipschitz submanifold of the projective space. In Chapter 2 we have done this under the assumption that the Anosov subgroup is Zariski-dense in $SL(d, \mathbb{R})$ and in Chapter 4 we have shown that this is not necessary, provided that the Anosov subgroup is Zariski-dense in $SO(2, p)$ for some $p \neq 2$.

However, we have yet no reason to believe that this last result is optimal, and it would be interesting to investigate whether it can be generalised for instance to the case where the group is Anosov and dense in $SO(p, q)$, or even to the non-Anosov case.

Appendix A

Tangent space to the Grassmanian

Let V be a d -dimensional real vector space. We denote with $\mathcal{G}_k(V)$ the Grassmanian of k -dimensional subspaces of V . Our first objective is to find a convenient way to express its tangent space.

Proposition A.0.1. *We have the following canonical identification:*

$$\begin{aligned} \text{hom}(W, V/W) &\simeq T_W \mathcal{G}_k(V) \\ \phi &\mapsto \left. \frac{d}{dt} \right|_{t=0} \Gamma(t\phi) \end{aligned}$$

where $\Gamma(\phi) = (Id + \phi)(W)$ is the graph of ϕ .

Proof. We will consider the map

$$F : \text{Injhom}(W, V) \rightarrow \mathcal{G}_k(V), \quad \phi \mapsto \phi(W).$$

whose derivative is given by:

$$d_I F(\phi) = F \left(\left. \frac{d}{dt} \right|_{t=0} (I + t\phi) \right) = \left. \frac{d}{dt} \right|_{t=0} (I + t\phi(W)) = \left. \frac{d}{dt} \right|_{t=0} \Gamma(t\phi).$$

The result will follow as soon as we have shown that $d_I F$ is surjective and that $\ker d_I F = \text{hom}(W, W)$.

To show that it is surjective, we consider a $(d-k)$ -dimensional subspace $W' \in \mathcal{G}_{d-k}(V)$ that is complementary to W , i.e. $V = W \oplus W'$. Denoting with $U_{W'} = \{Z \in \mathcal{G}_k(V) : Z \cap W' = 0\}$, we recall the corresponding chart:

$$\begin{aligned} \Psi : \text{hom}(W, W') &\rightarrow U_{W'} \\ \phi &\mapsto \Gamma(\phi). \end{aligned}$$

Surjectivity of $d_I F$ now follows by the fact that

$$d_I F(\phi) = \left. \frac{d}{dt} \right|_{t=0} \Gamma(t\phi) = d_0 \Psi(\phi).$$

To show that $\ker d_I F = \text{hom}(W, W)$, we first note that clearly $\ker d_I F \supseteq \text{hom}(W, W)$. Equality then follows by the fact that $\dim \text{hom}(W, W) = \dim \ker d_I F$, which is a direct consequence of the surjectivity. \square

Note that another way to prove the above identification throught the fact that the Grassmanian is a homogeneous space of $\mathrm{GL}(d, \mathbb{R})$, giving us the diffeomorphism

$$\begin{aligned} \mathrm{GL}(V)/\mathrm{St}_{\mathrm{GL}(V)}W &\rightarrow \mathcal{G}_k(V) \\ [g] &\mapsto gW, \end{aligned}$$

where $\mathrm{St}_{\mathrm{GL}(V)}W = \{g \in \mathrm{GL}(V) : gW = W\}$ is the stabilizer of W . Thus an expression for the tangent space at W may be obtained by differentiating the map above at the identity coset:

$$\mathrm{hom}(W, V/W) \simeq \mathrm{hom}(V, V)/\mathrm{hom}(W, W) \simeq T_W \mathcal{G}_k(V).$$

In particular the above gives us a direct proof that the kernel of the map constructed in the previous proof is indeed $\mathrm{hom}(W, W)$.

Our second objective is to identify subspaces of $T_l \mathbb{P}(V)$ with subspaces of V , by considering the first as projectivisation of the second. More concretely, we shall consider the space

$$\mathcal{P} = \{(l, P) : l \in \mathbb{P}(V), P \in \mathcal{G}_k(T_l \mathbb{P}(V))\}$$

as a homogenous space of $\mathrm{SL}(V)$, where the action is given by

$$g \cdot (l, P) = (gl, d_l g(P) = g\pi^{-1}(P)g^{-1} + \mathrm{hom}(gl, gl)).$$

where we use the identification of $T_l \mathbb{P}(V)$ with $\mathrm{hom}(l, V/l)$ as above and denote with $\pi : \mathrm{hom}(l, V) \rightarrow \mathrm{hom}(l, V/l)$ the canonical projection. For the sake of completeness, we outline the calculation of the differential:

$$\begin{aligned} \mathrm{hom}(l, V/l) &\rightarrow T_l \mathbb{P}(V) && \rightarrow T_{gl} \mathbb{P}(V) && \rightarrow \mathrm{hom}(gl, V/gl) \\ \phi &\mapsto \left. \frac{d}{dt} \right|_{t=0} g(I + t\tilde{\phi})(l) && \mapsto \left. \frac{d}{dt} \right|_{t=0} (I + tg\tilde{\phi}g^{-1})(gl) && \mapsto g\tilde{\phi}g^{-1} + \mathrm{hom}(gl, gl) \end{aligned}$$

where $\phi \in \mathrm{hom}(l, V/l)$, $\tilde{\phi} \in \mathrm{hom}(l, V)$ such that $\tilde{\phi} + \mathrm{hom}(l, l) = \phi$.

We are now ready to express the needed identification:

Proposition A.0.2. *We have the following $\mathrm{SL}(V)$ equivariant identification:*

$$\begin{aligned} \mathcal{P} &\rightarrow \mathcal{F}_{1, k+1}(V) \\ (l, P) &\mapsto (l, \pi^{-1}(P)l) \\ (l, \mathrm{hom}(l, Q/l)) &\mapsto (l, Q). \end{aligned}$$

where $\pi : \mathrm{hom}(l, V) \rightarrow \mathrm{hom}(l, V/l)$ is the canonical projection.

Proof. We begin by showing that the left-to-right direction of the map is well-defined. For this, we first need to check that for $(l, P) \in \mathcal{P}$, we have that $\dim \pi^{-1}(P)l = k + 1$. Indeed, we have that $\dim \pi^{-1}(P) = k + 1$ as implied by the rank-nullity theorem for $\pi : \pi^{-1}(P) \rightarrow P$. The result then follows by the fact that $\pi^{-1}(P)l = T_1(l) \oplus \dots \oplus T_{k+1}(l)$ for any base T_1, \dots, T_{k+1} of $\pi^{-1}(P)$. The second thing to check is that $l \leq \pi^{-1}(P)l$, which holds since $\ker \pi = \mathrm{hom}(l, l) \leq \pi^{-1}(P)$.

To see that the two directions above are inverse to each other, we begin by examining the right-to-left-to-right composition:

$$(l, Q) \mapsto (l, \pi(\mathrm{hom}(l, Q))) \mapsto (l, \pi^{-1}\pi(\mathrm{hom}(l, Q))) = (l, \mathrm{hom}(l, Q)l) = (l, Q).$$

and for the left-to-right-to-left composition

$$(l, P) \mapsto (l, \pi^{-1}(P)l) \mapsto (l, \mathrm{hom}(l, \pi^{-1}(P)l)),$$

so it suffices to show that $\text{hom}(l, \pi^{-1}(P)l/l) = P$. Indeed, for $\pi^{-1}(P) = \mathbb{R}T_1 \oplus \cdots \oplus \mathbb{R}T_k$, we have that

$$\begin{aligned} \text{hom}(l, \pi^{-1}(P)l/l) &= \text{hom}(l, \pi^{-1}(P)l) / \text{hom}(l, l) = (\oplus_i \text{hom}(l, T_i(l)) / \text{hom}(l, l)) = \\ &= (\oplus_i \mathbb{R}T_i) / \text{hom}(l, l) = \pi^{-1}(P) / \text{hom}(l, l) = P. \end{aligned}$$

For the equivariance, the calculations has as follows:

$$\begin{array}{ccc} (l, P) & \xrightarrow{\quad\quad\quad} & (l, \pi^{-1}(P)l) \\ \downarrow g & & \downarrow g \\ (gl, g\pi^{-1}(P)g^{-1} + \text{hom}(gl, gl)) & \xrightarrow{\quad\quad\quad} & (gl, (g\pi^{-1}(P)g^{-1})(gl)) = (gl, g\pi^{-1}(P)l) \end{array} \quad \square$$

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