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- Recommended book: Types and Programming Languages by B. C. Pierce; chapters 5 (untyped lambda calculus) and 9 (simply typed lambda calculus).
- Great paper on Reduction Strategies: Demonstrating Lambda Calculus Reduction by P.Sestoft

## 1 Simply typed lambda calculus (STLC, $\lambda_{\rightarrow}$ )

### 1.1 Syntax (Pure Calculus extended with Base Types $\alpha_i$ )

$$\begin{array}{ll} M, N ::= x \mid \lambda x : \tau. N \mid MN & \text{terms} \\ \tau, \sigma ::= \alpha_i \mid \tau \rightarrow \sigma & \text{simple types} \end{array}$$

### 1.2 Typing Rules

$$\frac{\Gamma(x) = \tau}{\Gamma \vdash x : \tau} \text{Var/Ax} \quad \frac{\Gamma, x : \tau \vdash M : \sigma}{\Gamma \vdash \lambda x : \tau. M : \tau \rightarrow \sigma} \text{Abs/} \rightarrow \text{I} \quad \frac{\Gamma \vdash N : \tau \quad \Gamma \vdash M : \tau \rightarrow \sigma}{\Gamma \vdash MN : \sigma} \text{App/} \rightarrow \text{E}$$

### 1.3 Reduction (Evaluation) Rules

$$\frac{M \rightarrow M'}{M N \rightarrow M' N} \text{EApp}_1 \quad \frac{N \rightarrow N'}{v N \rightarrow v N'} \text{EApp}_2 \quad \frac{}{(\lambda x : \tau. M) v \rightarrow M[x/v]} \text{EBeta}$$

Note:

1. substitution always assumed to be capture-avoiding;
2.  $v$  is a value ( $\lambda x : \tau. M$ );
3. corresponds to call-by-value.

### 1.4 Examples

| à la Curry   | à la Church   |
|--|---|
| $\lambda x. x : \alpha \rightarrow \alpha$                     | $\lambda x^\alpha. x : \alpha \rightarrow \alpha$   |
| $\lambda x y. x : \alpha \rightarrow \beta \rightarrow \alpha$ | $\lambda x^\alpha y^\beta. x : (\alpha \rightarrow \beta) \rightarrow \alpha \rightarrow \beta$ |
| $\lambda x y. x : \alpha \rightarrow \beta \rightarrow \alpha$ | $\lambda x^\alpha y^\beta. x : \alpha \rightarrow \beta \rightarrow \alpha$                     |

  

$$\frac{}{f : \beta \rightarrow \gamma, g : \alpha \rightarrow \beta, x : \alpha \vdash f : \beta \rightarrow \gamma} \text{Ax} \quad \frac{\cdots, g : \alpha \rightarrow \beta, \cdots \vdash g : \alpha \rightarrow \beta}{f : \beta \rightarrow \gamma, g : \alpha \rightarrow \beta, x : \alpha \vdash g x : \beta} \text{Ax} \quad \frac{}{f : \beta \rightarrow \gamma, g : \alpha \rightarrow \beta, x : \alpha \vdash x : \beta} \text{Ax} \rightarrow E$$
  

$$\frac{f : \beta \rightarrow \gamma, g : \alpha \rightarrow \beta, x : \alpha \vdash f (g x) : \gamma}{f : \beta \rightarrow \gamma, g : \alpha \rightarrow \beta \vdash \lambda x^\alpha. f (g x) : \alpha \rightarrow \gamma} \rightarrow I$$

$$\frac{f : \beta \rightarrow \gamma, g : \alpha \rightarrow \beta \vdash \lambda x^\alpha. f (g x) : \alpha \rightarrow \gamma}{f : \beta \rightarrow \gamma \vdash \lambda g^{(\alpha \rightarrow \beta)} x^\alpha. f (g x) : (\alpha \rightarrow \beta) \rightarrow \alpha \rightarrow \gamma} \rightarrow I$$

$$\frac{f : \beta \rightarrow \gamma \vdash \lambda g^{(\alpha \rightarrow \beta)} x^\alpha. f (g x) : (\alpha \rightarrow \beta) \rightarrow \alpha \rightarrow \gamma}{\vdash \lambda f^{(\beta \rightarrow \gamma)} g^{(\alpha \rightarrow \beta)} x^\alpha. f (g x) : (\beta \rightarrow \gamma) \rightarrow (\alpha \rightarrow \beta) \rightarrow \alpha \rightarrow \gamma} \rightarrow I$$
  

$$\frac{x : Bool \in \{x : Bool\}}{x : Bool \vdash x : Bool} \text{Var} \quad \frac{x : Bool \vdash x : Bool}{\vdash \lambda x : Bool. x : Bool \rightarrow Bool} \text{Abs} \quad \frac{}{\vdash true : Bool} \text{T-True} \quad \frac{}{\vdash (\lambda x : Bool. x) true : Bool} \text{App}$$

### 1.5 Example: STLC extended with Let-bindings

|   |       |
|---|-------|
| $M, N ::= x \mid \lambda x. N \mid MN \mid \text{let } x = N \text{ in } M$ | terms |
| $\tau, \sigma ::= \alpha_i \mid \tau \rightarrow \sigma$                    | types |
| $v ::= \lambda x. M$  | value |

new typing rule:

$$\frac{\Gamma \vdash N : \tau_1 \quad \Gamma, x : \tau_1 \vdash M : \tau_2}{\Gamma \vdash \text{let } x = N \text{ in } M : \tau_2} \text{let}$$

new evaluation rules:

$$\text{let } x = v \text{ in } M \longrightarrow M[x/v] \quad \text{LetV} \quad \frac{N \longrightarrow N'}{\text{let } x = N \text{ in } M \longrightarrow \text{let } x = N' \text{ in } M} \quad \text{Let}$$

1.6 Int<sub>→</sub> (Implicative part of Propositional Intuitionistic Logic)

$$\frac{}{\Gamma, \alpha \vdash \alpha} Ax \qquad \frac{\Gamma \vdash \alpha \rightarrow \beta \quad \Gamma \vdash \alpha}{\Gamma \vdash \beta} \rightarrow E \text{ Modus ponens} \qquad \frac{\Gamma, \alpha \vdash \beta}{\Gamma \vdash \alpha \rightarrow \beta} \rightarrow I \text{ Hilbert's Deduction Theorem}$$

Example:

$$\frac{\frac{\frac{\frac{\frac{\frac{\Gamma \vdash \beta \rightarrow \gamma}{\Gamma \vdash \beta \rightarrow \gamma} Ax}{\Gamma \equiv \beta \rightarrow \gamma, \alpha \rightarrow \beta, \alpha \vdash \gamma} \rightarrow I}{\beta \rightarrow \gamma, \alpha \rightarrow \beta \vdash \alpha \rightarrow \gamma} \rightarrow I}{\beta \rightarrow \gamma \vdash (\alpha \rightarrow \beta) \rightarrow \alpha \rightarrow \gamma} \rightarrow I}{\vdash (\beta \rightarrow \gamma) \rightarrow (\alpha \rightarrow \beta) \rightarrow \alpha \rightarrow \gamma} \rightarrow I$$

## 1.7 Encodings

## Booleans

$$\begin{aligned} T = true &= \lambda t. \lambda f. t \\ F = false &= \lambda t. \lambda f. f \\ test &= \lambda l. \lambda m. \lambda n. l \ m \ n \ (\sim \text{ if then else}) \\ and &= \lambda l. \lambda m. \lambda n. l \ m \ n \end{aligned}$$

## Pairs

$$\begin{aligned} pair &= \lambda f. \lambda s. \lambda b. b \ f \ s \\ fst &= \lambda p. p \ T \\ snd &= \lambda p. p \ F \end{aligned}$$

## Church Numerals

$$\begin{aligned} c_0 &= \lambda s. \lambda z. z \\ c_1 &= \lambda s. \lambda z. s\ z \\ c_i &= \lambda s. \lambda z. s^i\ z \\ succ &= \lambda n. \lambda s. \lambda z. s\ (n\ s\ z) \\ plus &= \lambda m. \lambda n. \lambda s. \lambda z. m\ s\ (n\ s\ z) \\ times &= \lambda m. \lambda n. \lambda s. \lambda z. m\ (n\ s)\ z \end{aligned}$$

$iszero = \lambda m. m (\lambda x. F) T$   
 $pred = \lambda n. \lambda s. \lambda z. n (\lambda f. \lambda h. f (g f)) (\lambda u. z) (\lambda v. v)$   
 $subt = \lambda m. \lambda n. n pred m$

## 2 Recursion

Recursion in untyped lambda calculus can be expressed with so-called fix-point combinators.

Theorem. For all term  $F$  there exists term  $V$  such that  $V =_{\beta} F V$ .

Proof:  $V = (\lambda x. F (x x))(\lambda x. F (x x))$  Qed.

Theorem.  $\exists Y : \forall F. Y F \rightarrow_{\beta} F (Y F)$ .

Proofs:

1.  $Y = \lambda f. (\lambda x. f (x x)) (\lambda x. f (x x))$
2.  $A = \lambda x. \lambda y. y (x x y); \Theta = A A$
3. ...

Qed.

Theorem (First Recursion Theorem).  $\forall M. \exists F : F =_{\beta} M[f/F]$ .

Proofs:  $F = Y (\lambda f. M)$  Qed.

Note, in  $\lambda_{\rightarrow}$  recursion is impossible. One can extend  $\lambda_{\rightarrow}$  with explicit fixed-point combinator by defining corresponding new term expression, typing and evaluation rules. The correct form of fixed-point combinator depends on evaluation strategy, for example  $Y$  is standard combinator for call-by-name, while  $\Theta$  is standard call-by-value fixed-point combinator.

Example: factorial function *fact* on church numerals

$f = \lambda f. \lambda n. \text{ if } iszero n \text{ then } c_1 \text{ else } times n (f (pred n))$

$fact = fix g$

where *fix* is the corresponding fixed-point combinator.

### 2.1 Exercises

1. Prove that the following statements are derivable in STLC (provide type derivation)

(a)  $f : Bool \rightarrow Bool \vdash f (\text{if } false \text{ then } true \text{ else } false) : Bool$

$$\frac{\frac{f : Bool \rightarrow Bool \vdash f : Bool \rightarrow Bool}{f : Bool \rightarrow Bool \vdash f : Bool \rightarrow Bool} \text{Ax} \quad \frac{\frac{\frac{\vdash false : Bool}{\vdash false : Bool} \text{T-False} \quad \frac{\vdash true : Bool}{\vdash true : Bool} \text{T-True} \quad \frac{\vdash false : Bool}{\vdash false : Bool} \text{T-False}}{\vdash \text{if } false \text{ then } true \text{ else } false : Bool} \text{T-if}}{\frac{f : Bool \rightarrow Bool \vdash f : Bool \rightarrow Bool \quad \vdash \text{if } false \text{ then } true \text{ else } false : Bool}{f : Bool \rightarrow Bool \vdash f (\text{if } false \text{ then } true \text{ else } false) : Bool} \rightarrow \text{E}}$$

(b)  $f : Bool \rightarrow Bool \vdash \lambda x : Bool. f (\text{if } x \text{ then } false \text{ else } x) : Bool \rightarrow Bool$

$$\frac{\frac{f : Bool \rightarrow Bool \vdash f : Bool \rightarrow Bool}{f : Bool \rightarrow Bool \vdash f : Bool \rightarrow Bool} \text{Ax} \quad \frac{\frac{\frac{x : Bool \vdash x : Bool}{x : Bool \vdash x : Bool} \text{Ax} \quad \frac{\vdash false : Bool}{\vdash false : Bool} \text{T-False}}{x : Bool \vdash \text{if } x \text{ then } false \text{ else } x : Bool} \text{T-if}}{\frac{f : Bool \rightarrow Bool \vdash f : Bool \rightarrow Bool \quad x : Bool \vdash \text{if } x \text{ then } false \text{ else } x : Bool}{f : Bool \rightarrow Bool, x : Bool \vdash f (\text{if } x \text{ then } false \text{ else } x) : Bool} \rightarrow \text{E}} \rightarrow \text{I}$$

assuming

$$\frac{\Gamma \vdash e : \text{Bool} \quad \Gamma \vdash v : \tau \quad \Gamma \vdash u : \tau}{\Gamma \vdash \text{if } e \text{ then } v \text{ else } u : \tau} \text{T-If}$$

2. Find all inhabitants (closed terms) of the following types (both in à la Church and à la Curry):

- (a)  $(\alpha \rightarrow \beta) \rightarrow (\beta \rightarrow \gamma) \rightarrow \alpha \rightarrow \gamma - \lambda f^{\alpha \rightarrow \beta} g^{\beta \rightarrow \gamma} x^\alpha. f(gx)$
- (b)  $\alpha \rightarrow \beta \rightarrow (\alpha \rightarrow \beta \rightarrow \gamma) \rightarrow \gamma - \lambda x^\alpha y^\beta f^{\alpha \rightarrow \beta \rightarrow \gamma}. fxy$
- (c)  $((\alpha \rightarrow \beta \rightarrow \alpha) \rightarrow \alpha) \rightarrow \alpha - \lambda f^{(\alpha \rightarrow \beta \rightarrow \alpha) \rightarrow \alpha}. f(\lambda x^\alpha y^\beta. x)$
- (d)  $\beta \rightarrow ((\alpha \rightarrow \beta) \rightarrow \gamma) \rightarrow \gamma - \lambda y^\beta f^{(\alpha \rightarrow \beta) \rightarrow \gamma}. f(\lambda x^\alpha. y)$
- (e)  $\alpha \rightarrow (\alpha \rightarrow \alpha) \rightarrow \alpha - \{\lambda x^\alpha f^{\alpha \rightarrow \alpha}. x, \lambda x^\alpha f^{\alpha \rightarrow \alpha}. fx, \lambda x^\alpha f^{\alpha \rightarrow \alpha}. f(fx), \dots\}$

3. Compute the most general (principal) type of the following terms

- (a)  $S = \lambda x y z. x z (y z)$

$$\frac{\frac{\frac{x : \alpha \rightarrow \beta \rightarrow \gamma \vdash x : \alpha \rightarrow \beta \rightarrow \gamma}{x : \alpha \rightarrow \beta \rightarrow \gamma, z : \alpha \vdash x z : \beta \rightarrow \gamma} \text{Ax}_{z : \alpha \vdash z : \alpha} \quad \frac{\frac{\frac{y : \alpha \rightarrow \beta \vdash y : \alpha \rightarrow \beta}{y : \alpha \rightarrow \beta, z : \alpha \vdash y z : \beta} \text{Ax}_{z : \alpha \vdash z : \alpha} \quad \text{Ax}}{\rightarrow \text{E}}}{\rightarrow \text{E}} \quad \frac{\frac{\frac{x : \alpha \rightarrow \beta \rightarrow \gamma, y : \alpha \rightarrow \beta, z : \alpha \vdash x z (y z) : \gamma}{x : \alpha \rightarrow \beta \rightarrow \gamma, y : \alpha \rightarrow \beta \vdash \lambda z. x z (y z) : \alpha \rightarrow \gamma} \rightarrow \text{I}}{\frac{x : \alpha \rightarrow \beta \rightarrow \gamma \vdash \lambda y z. x z (y z) : (\alpha \rightarrow \beta) \rightarrow \alpha \rightarrow \gamma} \rightarrow \text{I}}{\vdash \lambda x y z. x z (y z) : (\alpha \rightarrow \beta \rightarrow \gamma) \rightarrow (\alpha \rightarrow \beta) \rightarrow \alpha \rightarrow \gamma} \rightarrow \text{I}$$

- (b)  $K = \lambda x y. x$

$$\frac{\frac{\frac{x : \alpha, y : \beta \vdash x : \alpha}{x : \alpha \vdash \lambda y. x : \beta \rightarrow \alpha} \text{Ax}}{\vdash \lambda x y. x : \alpha \rightarrow \beta \rightarrow \alpha} \rightarrow \text{I}} \rightarrow \text{I}$$

- (c)  $SKK$

$$\frac{\frac{\frac{\vdash S : (\alpha \rightarrow (\beta \rightarrow \alpha) \rightarrow \alpha) \rightarrow (\alpha \rightarrow \beta \rightarrow \alpha) \rightarrow \alpha \rightarrow \alpha}{\vdash SK : (\alpha \rightarrow \beta \rightarrow \alpha) \rightarrow \alpha \rightarrow \alpha} \text{(a)} \quad \frac{\vdash K : \alpha \rightarrow (\beta \rightarrow \alpha) \rightarrow \alpha}{\vdash K : \alpha \rightarrow \beta \rightarrow \alpha} \text{(b)}}{\vdash SKK : \alpha \rightarrow \alpha} \rightarrow \text{E}$$

- (d)  $I = \lambda x. x$

$$\frac{\frac{x : \alpha \vdash x : \alpha}{\vdash \lambda x. x : \alpha \rightarrow \alpha} \text{Ax}}{\rightarrow \text{I}}$$

4. Construct a derivation of type  $((\alpha \rightarrow \beta) \rightarrow \gamma) \rightarrow \beta \rightarrow \gamma$  and the associated typed  $\lambda$ -term

$$\frac{\frac{\frac{\frac{f : (\alpha \rightarrow \beta) \rightarrow \gamma \vdash f : (\alpha \rightarrow \beta) \rightarrow \gamma}{f : (\alpha \rightarrow \beta) \rightarrow \gamma, x : \beta \vdash f(\lambda y^\alpha. x) : \gamma} \rightarrow \text{I}}{\frac{f : (\alpha \rightarrow \beta) \rightarrow \gamma \vdash \lambda x^\beta. f(\lambda y^\alpha. x) : \beta \rightarrow \gamma} \rightarrow \text{I}}{\vdash \lambda f^{(\alpha \rightarrow \beta) \rightarrow \gamma} x^\beta. f(\lambda y^\alpha. x) : ((\alpha \rightarrow \beta) \rightarrow \gamma) \rightarrow \beta \rightarrow \gamma} \rightarrow \text{I}} \rightarrow \text{E}$$

5. Add product types to  $\lambda_{\rightarrow}$ , that is add  $\sigma \times \tau$  to the types and

(a) Add the appropriate term constructor and projections

$$\begin{aligned} M, N &::= x \mid \lambda x : \tau. N \mid MN \mid (M, N) \mid \langle N \mid \mid N \rangle && \text{terms} \\ \tau, \sigma &::= \alpha_i \mid \tau \rightarrow \sigma \mid \sigma \times \tau && \text{simple types} \end{aligned}$$

(b) Define typing rules

$$\frac{\Gamma \vdash x : \sigma \quad \Gamma \vdash y : \tau}{\Gamma \vdash (x, y) : \sigma \times \tau} \text{Prd} \quad \frac{\Gamma \vdash x : \sigma \times \tau}{\Gamma \vdash \langle x \mid : \sigma} \text{Fst} \quad \frac{\Gamma \vdash x : \sigma \times \tau}{\Gamma \vdash |x\rangle : \tau} \text{Snd}$$

(c) Define reduction rules for the new term constructors

$$\begin{aligned} \frac{M \longrightarrow M'}{(M, N) \longrightarrow (M', N)} EPrd_1 & \quad \frac{N \longrightarrow N'}{(v, N) \longrightarrow (v, N')} EPrd_2 \\ \frac{}{\langle (M, N) \mid \longrightarrow M} EFst & \quad \frac{}{|(M, N)\rangle \longrightarrow N} ESnd \end{aligned}$$

(d) Define (bii)map function for pairs and provide derivation for it

$$\begin{aligned} & \frac{\frac{\frac{}{f : \alpha \rightarrow \alpha' : f : \alpha \rightarrow \alpha'} \text{Ax} \quad \frac{x : \alpha \times \beta \vdash x : \alpha \times \beta}{x : \alpha \times \beta \vdash \langle x \mid : \alpha} \text{Fst}}{f : \alpha \rightarrow \alpha', x : \alpha \times \beta \vdash f \langle x \mid : \alpha'} \rightarrow E \quad \frac{\frac{\frac{}{g : \beta \rightarrow \beta' : g : \beta \rightarrow \beta'} \text{Ax} \quad \frac{x : \alpha \times \beta \vdash x : \alpha \times \beta}{x : \alpha \times \beta \vdash |x\rangle : \beta} \text{Snd}}{g : \beta \rightarrow \beta', x : \alpha \times \beta \vdash g |x\rangle : \beta'} \rightarrow E}{\frac{f : \alpha \rightarrow \alpha', g : \beta \rightarrow \beta', x : \alpha \times \beta \vdash (f \langle x \mid, g |x\rangle) : \alpha' \times \beta'}{f : \alpha \rightarrow \alpha', g : \beta \rightarrow \beta' \vdash \lambda x^{\alpha \times \beta}. (f \langle x \mid, g |x\rangle) : \alpha \times \beta \rightarrow \alpha' \times \beta'} \rightarrow I} \text{Prd} \\ & \frac{\frac{f : \alpha \rightarrow \alpha', g : \beta \rightarrow \beta' \vdash \lambda x^{\alpha \times \beta}. (f \langle x \mid, g |x\rangle) : \alpha \times \beta \rightarrow \alpha' \times \beta'}{f : \alpha \rightarrow \alpha' \vdash \lambda g^{\beta \rightarrow \beta'} x^{\alpha \times \beta}. (f \langle x \mid, g |x\rangle) : (\beta \rightarrow \beta') \rightarrow \alpha \times \beta \rightarrow \alpha' \times \beta'} \rightarrow I}{\vdash \lambda f^{\alpha \rightarrow \alpha'} g^{\beta \rightarrow \beta'} x^{\alpha \times \beta}. (f \langle x \mid, g |x\rangle) : (\alpha \rightarrow \alpha') \rightarrow (\beta \rightarrow \beta') \rightarrow \alpha \times \beta \rightarrow \alpha' \times \beta'} \rightarrow I \end{aligned}$$

6. Besides  $\beta$ -equivalence there exists another form of equivalence on lambda terms called  $\eta$ -equivalence or  $\eta$ -coercion (denoted  $=_\eta$ ;  $\eta$ -expansion  $\rightarrow_\eta$  and  $\eta$ -reduction  $\leftarrow_\eta$ ). It is defined by  $M =_\eta \lambda x. M x$ . Note, it can't be expressed via  $\beta$ -reductions. Also, note that in untyped calculus a term can be  $\eta$ -expanded an arbitrary number of times, while in simply typed lambda calculus  $\eta$ -expansion is obviously limited by the term's type. Term of  $\lambda_{\rightarrow}$  is said to be in  $\eta$ -long form if it is fully  $\eta$ -expanded; formally, it can be defined with the following grammar where  $m \in \mathbb{N}$ ,  $n, p \in \mathbb{N}_0$ :

$$\begin{aligned} \Lambda_{\text{odd}}^{lf} &::= \lambda x : \tau_1 \dots x : \tau_p. \Lambda_{\text{even}}^{lf} \\ \Lambda_{\text{odd}}^{lf} &::= x \Lambda_{\text{odd}}^{lf} \dots \Lambda_{\text{odd}}^{lf} \mid \Lambda_{\text{odd}}^{lf} @_{\text{long}} \Lambda_{\text{odd}}^{lf} \dots \Lambda_{\text{odd}}^{lf} \end{aligned}$$

In other words, being viewed as a tree, all odd level nodes are abstractions over an arbitrary number of variables, while even level nodes are applications. Find the  $\eta$ -long form of the following term:

$$\text{test} (\text{mult } c_3 \ c_2) (\text{snd} (\text{pair} (\text{and } T \ F) \ c_1))$$

7. Provide step-by-step evaluation of term *fact*  $c_3$  with both call-by-name and call-by-value reduc-

tion strategies.

$fact\ c_3 \rightarrow_\beta f\ fact\ c_3$   
 $\rightarrow_\beta \text{if } iszero\ c_3 \text{ then } c_1 \text{ else } times\ c_3\ (fact\ c_2)$   
 $\rightarrow_\beta times\ c_3\ (fact\ c_2)$   
 $\rightarrow_\beta times\ c_3\ (\text{if } iszero\ c_2 \text{ then } c_1 \text{ else } times\ c_2\ (fact\ c_1))$   
 $\rightarrow_\beta times\ c_3\ (times\ c_2\ (times\ c_1\ (fact\ c_0)))$   
 $\rightarrow_\beta times\ c_3\ (times\ c_2\ (times\ c_1\ (\text{if } iszero\ c_0 \text{ then } c_1 \text{ else } times\ c_0\ (fact\ (pred\ c_0)))))$   
 $\rightarrow_\beta times\ c_3\ (times\ c_2\ (times\ c_1\ c_1))$   
 $\rightarrow_\beta c_6$