

**Deadline: 31.10.2023 23:59:59**

- Recommended book: Types and Programming Languages by B. C. Pierce; chapters 5 (untyped lambda calculus) and 9 (simply typed lambda calculus).
- Great paper on Reduction Strategies: Demonstrating Lambda Calculus Reduction by P.Sestoft

## 1 Simply typed lambda calculus (STLC, $\lambda_{\rightarrow}$ )

### 1.1 Syntax (Pure Calculus extended with Base Types $\alpha_i$ )

$$\begin{array}{ll} M, N ::= x \mid \lambda x : \tau. N \mid MN & \text{terms} \\ \tau, \sigma ::= \alpha_i \mid \tau \rightarrow \sigma & \text{simple types} \end{array}$$

### 1.2 Typing Rules

$$\frac{\Gamma(x) = \tau}{\Gamma \vdash x : \tau} \text{Var/Ax} \quad \frac{\Gamma, x : \tau \vdash M : \sigma}{\Gamma \vdash \lambda x : \tau. M : \tau \rightarrow \sigma} \text{Abs/} \rightarrow \text{I} \quad \frac{\Gamma \vdash N : \tau \quad \Gamma \vdash M : \tau \rightarrow \sigma}{\Gamma \vdash MN : \sigma} \text{App/} \rightarrow \text{E}$$

### 1.3 Reduction (Evaluation) Rules

$$\frac{M \rightarrow M'}{MN \rightarrow M'N} \text{EApp}_1 \quad \frac{N \rightarrow N'}{vN \rightarrow vN'} \text{EApp}_2 \quad \frac{}{(\lambda x : \tau. M) v \rightarrow M[x/v]} \text{EBeta}$$

Note:

1. substitution always assumed to be capture-avoiding;
2.  $v$  is a value ( $\lambda x : \tau. M$ );
3. corresponds to call-by-value.

### 1.4 Examples

<p>à la Curry</p> $\begin{array}{l} \lambda x. x : \alpha \rightarrow \alpha \quad \lambda x. x : (\alpha \rightarrow \beta) \rightarrow \alpha \rightarrow \beta \\ \lambda xy. x : \alpha \rightarrow \beta \rightarrow \alpha \end{array}$	<p>à la Church</p> $\begin{array}{l} \lambda x^{\alpha}. x : \alpha \rightarrow \alpha \quad \lambda x^{\alpha \rightarrow \beta}. x : (\alpha \rightarrow \beta) \rightarrow \alpha \rightarrow \beta \\ \lambda x^{\alpha} y^{\beta}. x : \alpha \rightarrow \beta \rightarrow \alpha \end{array}$
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$$\frac{\overline{f : \beta \rightarrow \gamma, g : \alpha \rightarrow \beta, x : \alpha \vdash f : \beta \rightarrow \gamma} \text{Ax} \quad \frac{\overline{\dots, g : \alpha \rightarrow \beta, \dots \vdash g : \alpha \rightarrow \beta} \text{Ax} \quad \overline{\dots, x : \alpha \vdash x : \beta} \text{Ax}}{\overline{f : \beta \rightarrow \gamma, g : \alpha \rightarrow \beta, x : \alpha \vdash g x : \beta} \rightarrow E} \rightarrow E$$

$$\frac{\overline{f : \beta \rightarrow \gamma, g : \alpha \rightarrow \beta, x : \alpha \vdash f(g x) : \gamma} \rightarrow I}{\overline{f : \beta \rightarrow \gamma, g : \alpha \rightarrow \beta \vdash \lambda x^{\alpha}. f(g x) : \alpha \rightarrow \gamma} \rightarrow I} \rightarrow I$$

$$\frac{\overline{f : \beta \rightarrow \gamma \vdash \lambda g^{(\alpha \rightarrow \beta)} x^{\alpha}. f(g x) : (\alpha \rightarrow \beta) \rightarrow \alpha \rightarrow \gamma} \rightarrow I}{\vdash \lambda f^{(\beta \rightarrow \gamma)} g^{(\alpha \rightarrow \beta)} x^{\alpha}. f(g x) : (\beta \rightarrow \gamma) \rightarrow (\alpha \rightarrow \beta) \rightarrow \alpha \rightarrow \gamma} \rightarrow I$$

$$\frac{\frac{x : Bool \in \{x : Bool\}}{x : Bool \vdash x : Bool} \text{Var} \quad \frac{}{\vdash \lambda x : Bool. x : Bool \rightarrow Bool} \text{Abs} \quad \frac{}{\vdash true : Bool} \text{T-True}}{\vdash (\lambda x : Bool. x) true : Bool} \text{App}$$

## 1.5 Example: STLC extended with Let-bindings

$$\begin{array}{ll} M, N ::= x \mid \lambda x. N \mid MN \mid \text{let } x = N \text{ in } M & \text{terms} \\ \tau, \sigma ::= \alpha_i \mid \tau \rightarrow \sigma & \text{types} \\ v ::= \lambda x. M & \text{value} \end{array}$$

new typing rule:

$$\frac{\Gamma \vdash N : \tau_1 \quad \Gamma, x : \tau_1 \vdash M : \tau_2}{\Gamma \vdash \text{let } x = N \text{ in } M : \tau_2} \text{let}$$

new evaluation rules:

$$\text{let } x = v \text{ in } M \longrightarrow M[x/v] \quad \text{LetV} \quad \frac{N \longrightarrow N'}{\text{let } x = N \text{ in } M \longrightarrow \text{let } x = N' \text{ in } M} \text{Let}$$

## 1.6 Int<sub>→</sub> (Implicative part of Propositional Intuitionistic Logic)

$$\frac{}{\Gamma, \alpha \vdash \alpha} \text{Ax} \quad \frac{\Gamma \vdash \alpha \rightarrow \beta \quad \Gamma \vdash \alpha}{\Gamma \vdash \beta} \rightarrow E \quad \text{Modus ponens} \quad \frac{\Gamma, \alpha \vdash \beta}{\Gamma \vdash \alpha \rightarrow \beta} \rightarrow I \quad \text{Hilbert's Deduction Theorem}$$

Example:

$$\frac{\frac{\frac{\frac{\frac{\frac{}{\Gamma \vdash \beta \rightarrow \gamma} \text{Ax}}{\Gamma \vdash \beta \rightarrow \gamma} \text{Ax}}{\Gamma \vdash \beta} \text{Ax}}{\Gamma \vdash \alpha \rightarrow \beta} \text{Ax}}{\Gamma \vdash \alpha \rightarrow \beta} \text{Ax}}{\Gamma \vdash \beta \rightarrow \gamma, \alpha \rightarrow \beta, \alpha \vdash \gamma} \rightarrow E}{\frac{\frac{\frac{\frac{\frac{\frac{}{\beta \rightarrow \gamma, \alpha \rightarrow \beta \vdash \alpha \rightarrow \gamma} \rightarrow I}{\beta \rightarrow \gamma \vdash (\alpha \rightarrow \beta) \rightarrow \alpha \rightarrow \gamma} \rightarrow I}{\beta \rightarrow \gamma \vdash (\alpha \rightarrow \beta) \rightarrow \alpha \rightarrow \gamma} \rightarrow I}{\vdash (\beta \rightarrow \gamma) \rightarrow (\alpha \rightarrow \beta) \rightarrow \alpha \rightarrow \gamma} \rightarrow I} \rightarrow I$$

## 1.7 Encodings

### Booleans

$$\begin{aligned} T &= true = \lambda t. \lambda f. t \\ F &= false = \lambda t. \lambda f. f \\ test &= \lambda l. \lambda m. \lambda n. l \ m \ n \ (\sim \text{if then else}) \\ and &= \lambda l. \lambda m. \lambda n. l \ m \ n \end{aligned}$$

### Pairs

$$\begin{aligned} pair &= \lambda f. \lambda s. \lambda b. b \ f \ s \\ fst &= \lambda p. p \ T \\ snd &= \lambda p. p \ F \end{aligned}$$

### Church Numerals

$c_0 = \lambda s. \lambda z. z$   
 $c_1 = \lambda s. \lambda z. s z$   
 $c_i = \lambda s. \lambda z. s^i z$   
 $succ = \lambda n. \lambda s. \lambda z. s (n s z)$   
 $plus = \lambda m. \lambda n. \lambda s. \lambda z. m s (n s z)$   
 $times = \lambda m. \lambda n. \lambda s. \lambda z. m (n s) z$   
 $iszero = \lambda m. m (\lambda x. F) T$   
 $pred = \lambda n. \lambda s. \lambda z. n (\lambda f. \lambda h. f (g f)) (\lambda u. z) (\lambda v. v)$   
 $subt = \lambda m. \lambda n. n pred m$

## 2 Recursion

Recursion in untyped lambda calculus can be expressed with so-called fix-point combinators.

**Theorem.** For all term  $F$  there exists term  $V$  such that  $V =_{\beta} F V$ .

**Proof:**  $V = (\lambda x. F (x x))(\lambda x. F (x x))$  Qed.

**Theorem.**  $\exists Y : \forall F. Y F \rightarrow_{\beta} F (Y F)$ .

**Proofs:**

1.  $Y = \lambda f. (\lambda x. f (x x)) (\lambda x. f (x x))$
2.  $A = \lambda x. \lambda y. y (x x y)$ ;  $\Theta = A A$
3. ...

Qed.

**Theorem (First Recursion Theorem).**  $\forall M. \exists F : F =_{\beta} M[f/F]$ .

**Proofs:**  $F = Y (\lambda f. M)$  Qed.

Note, in  $\lambda_{\rightarrow}$  recursion is impossible. One can extend  $\lambda_{\rightarrow}$  with explicit fixed-point combinator by defining corresponding new term expression, typing and evaluation rules. The correct form of fixed-point combinator depends on evaluation strategy, for example  $Y$  is standard combinator for call-by-name, while  $\Theta$  is standard call-by-value fixed-point combinator.

**Example:** factorial function  $fact$  on church numerals

$f = \lambda f. \lambda n. \text{ if } iszero\ n \text{ then } c_1 \text{ else } times\ n\ (f\ (pred\ n))$

$fact = fix\ g$

where  $fix$  is the corresponding fixed-point combinator.

### 2.1 Exercises

1. Prove that the following statements are derivable in STLC (provide type derivation)

(a)  $f : Bool \rightarrow Bool \vdash f\ (if\ false\ then\ true\ else\ false) : Bool$

(b)  $f : Bool \rightarrow Bool \vdash \lambda x : Bool. f\ (if\ x\ then\ false\ else\ x) : Bool \rightarrow Bool$

assuming

$$\frac{\Gamma \vdash e : \text{Bool} \quad \Gamma \vdash v : \tau \quad \Gamma \vdash u : \tau}{\Gamma \vdash \text{if } e \text{ then } v \text{ else } u : \tau} \text{ T-If}$$

2. Find all inhabitants (closed terms) of the following types (both in à la Church and à la Curry):

- (a)  $(\alpha \rightarrow \beta) \rightarrow (\beta \rightarrow \gamma) \rightarrow \alpha \rightarrow \gamma$
- (b)  $\alpha \rightarrow \beta \rightarrow (\alpha \rightarrow \beta \rightarrow \gamma) \rightarrow \gamma$
- (c)  $((\alpha \rightarrow \beta \rightarrow \alpha) \rightarrow \alpha) \rightarrow \alpha$
- (d)  $\beta \rightarrow ((\alpha \rightarrow \beta) \rightarrow \gamma) \rightarrow \gamma$
- (e)  $\alpha \rightarrow (\alpha \rightarrow \alpha) \rightarrow \alpha$

3. Compute the most general (principal) type of the following terms

- (a)  $S = \lambda x y z. x z (y z)$
- (b)  $K = \lambda x y. x$
- (c)  $SKK$
- (d)  $I = \lambda x. x$

4. Construct a derivation of type  $((\alpha \rightarrow \beta) \rightarrow \gamma) \rightarrow \beta \rightarrow \gamma$  and the associated typed  $\lambda$ -term

5. Add product types to  $\lambda_{\rightarrow}$ , that is add  $\sigma \times \tau$  to the types and

- (a) Add the appropriate term constructor and projections
- (b) Define typing rules
- (c) Define reduction rules for the new term constructors
- (d) Define (bii)map function for pairs and provide derivation for it

6. Besides  $\beta$ -equivalence there exists another form of equivalence on lambda terms called  $\eta$ -equivalence or  $\eta$ -coercion (denoted  $=_{\eta}$ ;  $\eta$ -expansion  $\rightarrow_{\eta}$  and  $\eta$ -reduction  $\leftarrow_{\eta}$ ). It is defined by  $M =_{\eta} \lambda x. M x$ . Note, it can't be expressed via  $\beta$ -reductions. Also, note that in untyped calculus a term can be  $\eta$ -expanded an arbitrary number of times, while in simply typed lambda calculus  $\eta$ -expansion is obviously limited by the term's type. Term of  $\lambda_{\rightarrow}$  is said to be in  $\eta$ -long form if it is fully  $\eta$ -expanded; formally, it can be defined with the following grammar where  $m \in \mathbb{N}$ ,  $n, p \in \mathbb{N}_0$ :

$$\begin{aligned} \Lambda_{\text{odd}}^{lf} &::= \lambda x : \tau_1 \dots x : \tau_p. \Lambda_{\text{even}}^{lf} \\ \Lambda_{\text{odd}}^{lf} &::= x \Lambda_{\text{odd}}^{lf} \dots \Lambda_{\text{odd}}^{lf} \mid \Lambda_{\text{odd}}^{lf} @_{\text{long}} \Lambda_{\text{odd}}^{lf} \dots \Lambda_{\text{odd}}^{lf} \end{aligned}$$

In other words, being viewed as a tree, all odd level nodes are abstractions over an arbitrary number of variables, while even level nodes are applications. Find the  $\eta$ -long form of the following term:

$$\text{test} (\text{mult } c_3 c_2) (\text{snd} (\text{pair} (\text{and } T F) c_1))$$

7. Provide step-by-step evaluation of term  $\text{fact } c_3$  with both call-by-name and call-by-value reduction strategies.