

COMP3131/9102: Programming Languages and Compilers

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Week 3 (1st Lecture): Top-Down Parsing (Revisited)

- Feedback for Assignment 1 (see the feedback video online)
- Revisit First and Follow sets
- Revisit Top-Down Parsing
- Formal Grammars
- Equivalence between Regular Grammars and FAs

A Simple Tool for Computing First and Follow Sets

<https://gist.github.com/DmitrySoshnikov/924ceefb1784b30c5ca6>

LL(k) Grammar and Parsing

- A grammar is LL(k) if it can be parsed **deterministically** using k tokens of lookahead
- A formal definition for LL(k) grammars can be found in Grune and Jacobs' book
https://dickgrune.com/Books/PTAPG_1st_Edition/
- Grammar 1 in Slide 171 is not LL(k) for any k !
- However, Grammar 2 in Slide 171 is LL(1)
- Only a understanding of LL(1) is required this year

The VC Grammar Is Not LL(1)

- *Program*: common prefix in its production right-hand sides
- A lots of left-recursive productions

Must eliminate both parsing conflicts to write your recogniser for Assignment 2

Formal Grammar

A grammar G is a quadruple (V_T, V_N, S, P) , where

- V_T : a finite set of terminal symbols or **tokens**
- V_N : a finite set of nonterminal symbols ($V_T \cap V_N = \emptyset$)
- S : a unique start symbol ($S \in V_N$)
- P : a finite set of rules or productions of the form:

$$\alpha \rightarrow \beta \quad (\alpha \neq \epsilon)$$

- α is a string of **one** or more terminals and nonterminals
- β is a string of **zero** or more terminals and nonterminals

Chomsky's Hierarchy

Depending on $\alpha \rightarrow \beta$, four types of grammars distinguished:

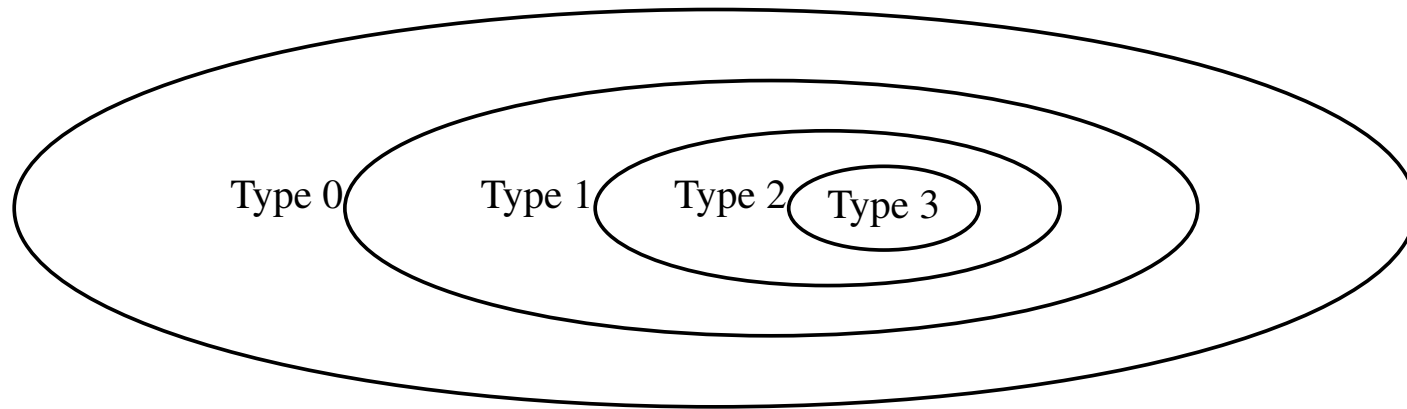
GRAMMAR	KNOWN AS	DEFINITION	MACHINE
Type 0	phrase-structure grammar	$\alpha \neq \epsilon$	Turing machine
Type 1	context-sensitive grammar CSGs	$ \alpha \leq \beta $	linear bounded automaton
Type 2	context-free grammar CFGs	$A \rightarrow \alpha$	stack automaton
Type 3	right-linear grammar regular grammars	$A \rightarrow a \mid aB$	finite automaton

Note:

- a is a terminal.
- regular grammars can also be specified by left-linear grammars:

$$A \rightarrow a \mid Ba$$

Relationships between the Four Types of Languages



- Type k language is a proper subset of Type $k - 1$ language.
- The existence of a Type 0 language is proved:

page 228, J. Hopcroft and J. Ullman, *Introduction to Automata Theory, Languages, and Computation*, Addison-Wesley, 1979.

Regular Expressions, Regular Grammars and Finite Automata

- All three are **equivalent**:

- Example:

– Regular expression: $[A - Z a - z _][A - Z a - z 0 - 9 _]^*$

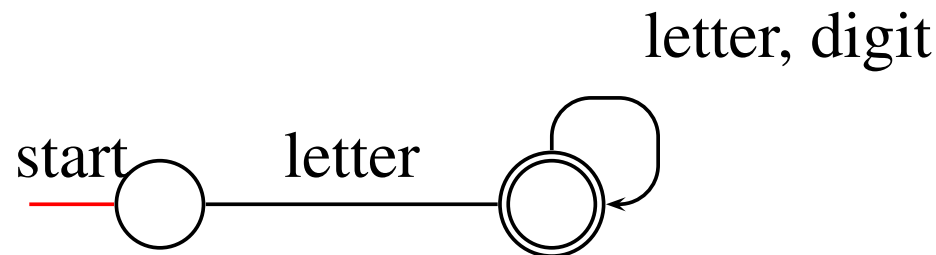
– Regular grammar:

identifier \rightarrow *letter* | *identifier letter* | *identifier digit*

letter \rightarrow A | B | ... | Z | a | b | ... | z | _

digit \rightarrow 0 | 1 | ... | 9

– DFA:



Limitations of Regular Grammars

- Cannot generate **nested** constructs
- The following language is not regular

$$L = \{a^n b^n \mid n \geq 0\}$$

- But L is context-free: $S \rightarrow \epsilon \mid aSb$
- Regular grammars (expressions) powerful enough for specifying tokens, which are not nested
- By replacing “ a ” and “ b ” with “(” and “)”, the following

$$L = \{()^n \mid n \geq 0\}$$

is not regular

- Formal proof: Pages 180 – 181 of Red / §4.2.7 of Purple
- **Regular grammars (finite automata) cannot count**

Limitations of CFGs

- CFLs only include a subset of all languages
- Examples of non-CFL constructs:
 - An abstraction of variable declaration before use:

$$L_1 = \{wcw \mid w \text{ is in } (a|b)^*\}$$

where the 1st w represents a declaration and the 2nd its use

- a method called with the right number of arguments:

$$L_2 = \{a^n b^m c^n d^m \mid n \geq 1, m \geq 1\}$$

where a^n and b^m represent formal parameter lists in two methods with n and m arguments, respectively, and c^n and d^m represent actual parameter lists in two calls to the two methods.

- Can count two but not three:

$$L_3 = \{a^n b^n c^n \mid n \geq 0\}$$

Limitations of CFGs (Cont'd)

- L_3 is **not** context-free

- The language:

$$L_3 = \{a^n b^n c^n \mid n \geq 0\}$$

- The grammar:

- A **Context-Sensitive Grammar** (CSG) (that is not a CFG) for L_3 :

CSG:			A derivation for $aabbcc$		
S	\rightarrow	$aSBC$	S	\Rightarrow	$aSBC$
S	\rightarrow	abC		\Rightarrow	$aabCBC$
CB	\rightarrow	BC		\Rightarrow	$aabBCC$
bB	\rightarrow	bb		\Rightarrow	$aabbCC$
bC	\rightarrow	bc		\Rightarrow	$aabbccC$
cC	\rightarrow	cc		\Rightarrow	$aabbcc$

Why CFGs in Parser Construction?

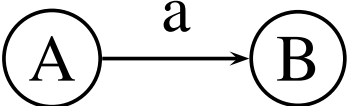

- Types 0 and 1 are less understood, no simple ways of constructing parsers for them, and parsers for these languages are slow
- Type 3 cannot define recursive language constructs
- **Type 2 – context-free grammars (CFGs):**
 - Easily related to the structure of the language; productions give us a good idea of what to expect in the language
 - Close relationships between the productions and the corresponding computations, which is the basis of **syntax-directed translation**
 - Efficient parsers can be built automatically from CFGs

Equivalence between Regular Grammars and FAs

- Week 1 (2nd Lecture): the equivalence among REs and FAs
- Slides 222 – 227: NFAs \equiv Regular Grammars

Converting NFAs to Right-Linear Grammars

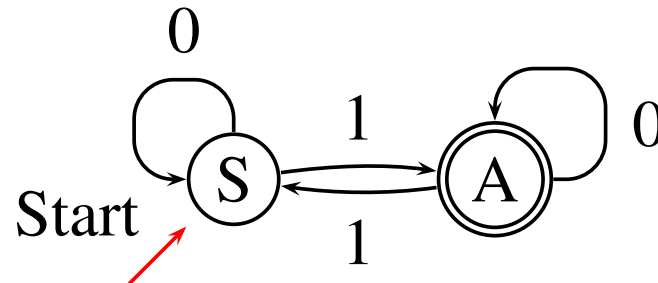
- The alphabet: the same
- For each state in the NFA, create a nonterminal with the same name.
- The start state will be the start symbol
- Then

TRANSITION	PRODUCTION
	$\Rightarrow A \rightarrow aB$
	$\Rightarrow A \rightarrow \epsilon$

where $a \in \Sigma$ or $a = \epsilon$

Example 1

- The DFA:



- The grammar:

$$S \rightarrow 0S$$

$$S \rightarrow 1A$$

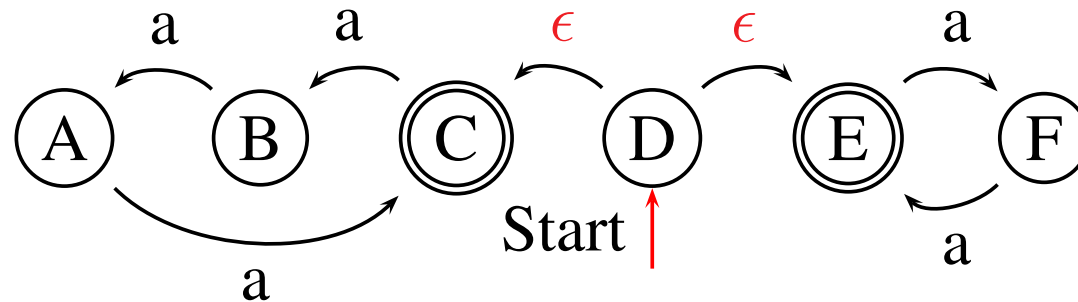
$$A \rightarrow 0A$$

$$A \rightarrow 1S$$

$$A \rightarrow \epsilon$$

Example 2

- The NFA:



- The grammar:

$$\begin{array}{ll}
 D \rightarrow C & A \rightarrow aC \\
 D \rightarrow E & E \rightarrow aF \\
 C \rightarrow aB & E \rightarrow \epsilon \\
 C \rightarrow \epsilon & F \rightarrow aE \\
 B \rightarrow aA &
 \end{array}$$

Converting Right-Linear Grammars to NFAs

- The alphabet: the same
- For each nonterminal, create a state in the NFA with the same name. The start symbol will be the start state
- Add one new state and make it the **only** final state \mathcal{F}
- Then

PRODUCTION	TRANSITION	
$A \rightarrow aB$	\Rightarrow	$\textcircled{A} \xrightarrow{a} \textcircled{B} \quad T(A, a) = B$
$A \rightarrow a$	\Rightarrow	$\textcircled{A} \xrightarrow{a} \textcircled{\mathcal{F}} \quad T(A, a) = \mathcal{F}$

where $a \in \Sigma$ or $a = \epsilon$

Example 1

- The grammar:

$$S \rightarrow 0S$$

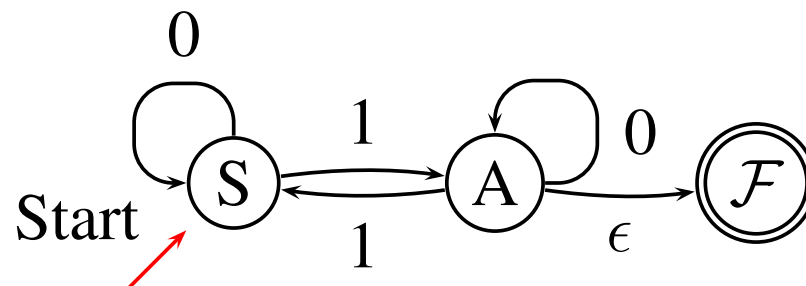
$$S \rightarrow 1A$$

$$A \rightarrow 0A$$

$$A \rightarrow 1S$$

$$A \rightarrow \epsilon$$

- The NFA:



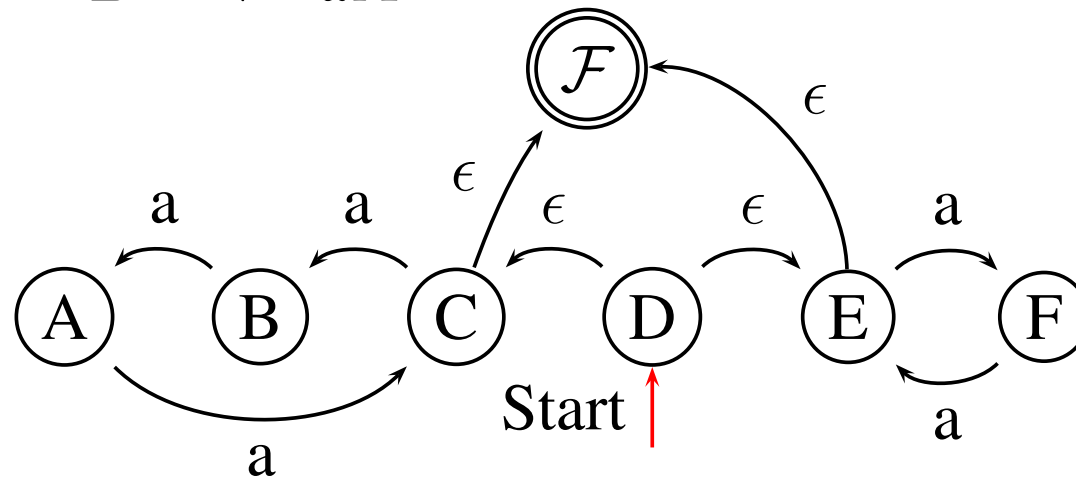
- This NFA accepts the same language as the one in Slide 223

Example 2

- The grammar:

$$\begin{array}{ll}
 D \rightarrow C & A \rightarrow aC \\
 D \rightarrow E & E \rightarrow aF \\
 C \rightarrow aB & E \rightarrow \epsilon \\
 C \rightarrow \epsilon & F \rightarrow aE \\
 B \rightarrow aA
 \end{array}$$

- The NFA:



- This NFA accepts the same language as the NFA in Slide 224

Reading

- Many online material on computing First and Follow sets
- The Dragon textbook

Next Class: Abstract Syntax Trees (Preparing You for Assignment 3)