COMP3131/9102: Programming Languages and Compilers

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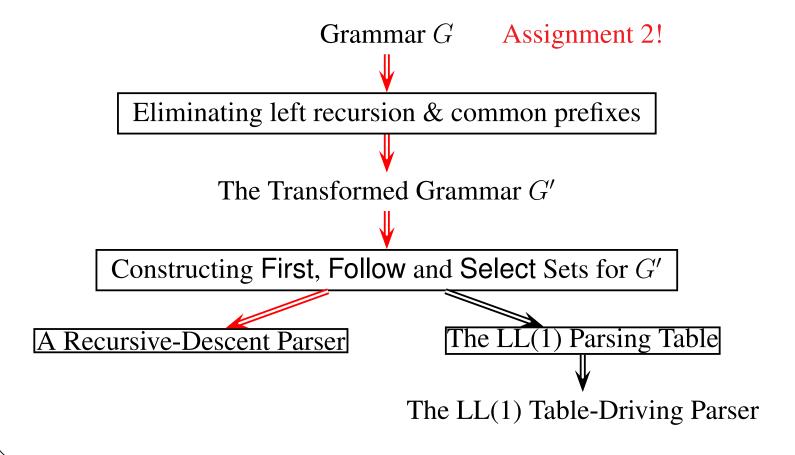
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Week 2 (2nd Lecture): Top-Down Parsing: Recursive-Descent

Write a predictive (or non-backtracking) top-down parser



Lookahead Token(s)

- Lookahead Token(s): The currently scanned token(s) in the input.
- In Recogniser.java, currentToken represents the lookahead token
- For most programming languages, one token lookahead only.
- Initially, the lookahead token is the leftmost token in the input.

currentChar is the lookahead character used in the scanner.

Top-Down Parsing

- Build the parse tree starting with the start symbol (i.e., the root) towards the sentence being analysed (i.e., leaves).
- Use one token of lookahead, in general
- Discover the leftmost derivation

I.e, the productions used in expanding the parse tree represent a leftmost derivation

Predictive (Non-Backtracking) Top-Down Parsing

- To expand a nonterminal, the parser always predict (choose) the right alternative for the nonterminal by looking at the lookahead symbol only.
- Flow-of-control constructs, with their distinguishing keywords, are detectable this way, e.g., in the VC grammar:

```
\langle stmt \rangle \rightarrow \langle compound\text{-}stmt \rangle
\mid if "(" \langle expr \rangle ")" (ELSE \langle stmt \rangle)?
\mid break ";"
\mid continue ";"
```

Prediction happens before the actual match begins.

Which of the Two Alternatives on S to Choose?

• Grammar:

$$S \to aA \mid bB$$

$$A \to \cdots$$

$$B \to \cdots$$

- Sentence: $a \cdots$
- The leftmost derivation:

$$S \Longrightarrow_{\operatorname{lm}} aA$$
$$\Longrightarrow_{\operatorname{lm}} \cdots$$

Select the first alternative aA

Which of the Two Alternatives on S to Choose?

• Grammar:

$$S \to Ab \mid Bc$$

$$A \to Df \mid CA$$

$$B \to gA \mid e$$

$$C \to dC \mid c$$

$$D \to h \mid i$$

- Sentence: gchfc
- The leftmost derivation:

$$S \Longrightarrow_{\operatorname{lm}} Bc \Longrightarrow_{\operatorname{lm}} gAc \Longrightarrow_{\operatorname{lm}} gCAc$$
 $\Longrightarrow_{\operatorname{lm}} gcAc \Longrightarrow_{\operatorname{lm}} gcDfc \Longrightarrow_{\operatorname{lm}} gchfc$

Intuition behind First Sets

• Grammar:

$$S \rightarrow Ab \mid Bc$$

$$A \rightarrow Df \mid CA$$

$$B \rightarrow gA \mid e$$

$$C \rightarrow dC \mid c$$

$$D \rightarrow h \mid i$$

$$\Rightarrow Dfb \Rightarrow ifb$$

$$\Rightarrow ifb$$

$$\Rightarrow cAb \Rightarrow \cdots$$

$$\Rightarrow cAb \Rightarrow \cdots$$

$$\Rightarrow cAb \Rightarrow \cdots$$

• All possible leftmost derivations:

$$\mathsf{First}(Ab) = \{c, d, h, i\} \qquad \mathsf{First}(Bc) = \{e, g\}$$

Definition of First Sets

$\mathsf{First}(\alpha)$:

- The set of all terminals that can begin any strings derived from α .
- if $\alpha \Longrightarrow^* \epsilon$, then ϵ is also in First (α)

Nullable Nonterminals

A nonterminal A is nullable if $A \Longrightarrow^* \epsilon$.

A Procedure to Compute $First(\alpha)$

1. Case 1: α is a single symbol or ϵ :

If α is a terminal a, then $\mathsf{First}(\alpha) = \mathsf{First}(a) = \{a\}$ else if α is ϵ , then $\mathsf{First}(\alpha) = \mathsf{First}(\epsilon) = \{\epsilon\}$ else if α is a nonterminal and $\alpha {\to} \beta_1 \mid \beta_2 \mid \beta_3 \mid \cdots$ then $\mathsf{First}(\alpha) = \bigcup_k \mathsf{First}(\beta_k)$

2. Case 2: $\alpha = X_1 X_2 \cdots X_n$:

If $X_1 X_2 \dots X_i$ is nullable but X_{i+1} is not, then

$$\mathsf{First}(\alpha) = \mathsf{First}(X_1) \cup \mathsf{First}(X_2) \cup \cdots \cup \mathsf{First}(X_{i+1})$$

Add ϵ to First(α) if and only if α , i.e., $X_1X_2\cdots X_n$ is nullable

(Note that $First(X_1)$ must always be added to $First(\alpha)$.)

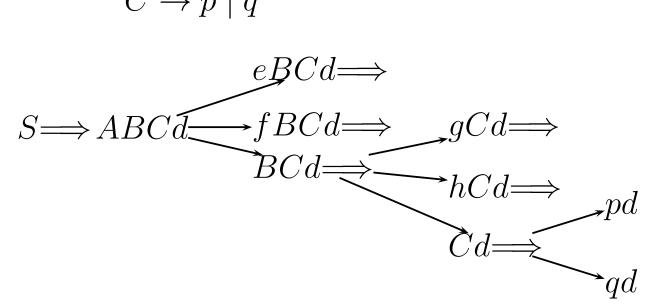
Case 2 of the Procedure for Computing First

$$S \to ABCd$$

$$A \to e \mid f \mid \epsilon$$

$$B \to g \mid h \mid \epsilon$$

$$C \to p \mid q$$



 $\mathsf{First}(ABCd) = \{e, f, g, h, p, q\}$

Case 2 of the Procedure for Computing First Again

$$S \to ABC$$

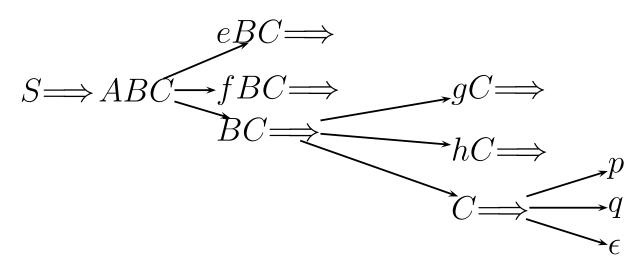
 \leftarrow d deleted from the grammar in slide 189

$$A \rightarrow e \mid f \mid \epsilon$$

$$B \to g \mid h \mid \epsilon$$

$$C \to p \mid q \mid \epsilon$$

 \leftarrow e added to the grammar in slide 189



$$\mathsf{First}(ABC) = \{e, f, g, h, p, q, \epsilon\}$$

The Expression Grammar

• The grammar with left recursion:

Grammar 1:
$$E \to E + T \mid E - T \mid T$$

$$T \to T * F \mid T/F \mid F$$

$$F \to \mathsf{INT} \mid (E)$$

• The transformed grammar without left recursion:

Grammar 2:
$$E \to TQ$$

$$Q \to +TQ \mid -TQ \mid \epsilon$$

$$T \to FR$$

$$R \to *FR \mid /FR \mid \epsilon$$

$$F \to \mathsf{INT} \mid (E)$$

First Sets for Grammar 2 (without Recursion)

$$\begin{array}{lll} \operatorname{First}(E) &=& \operatorname{First}(TQ) &=& \{(,\operatorname{INT}\} \\ \operatorname{First}(T) &=& \operatorname{First}(FR) &=& \{(,\operatorname{INT}\} \\ \operatorname{First}(Q) &=& \{+,-,\epsilon\} \\ \operatorname{First}(R) &=& \{*,/,\epsilon\} \\ \operatorname{First}(F) &=& \{\operatorname{INT},(\} \\ \operatorname{First}(+TQ) &=& \{+\} \\ \operatorname{First}(-TQ) &=& \{-\} \\ \operatorname{First}(*FR) &=& \{*\} \\ \operatorname{First}(/FR) &=& \{/\} \\ \operatorname{First}(E)) &=& \{(\} \\ \operatorname{First}(\operatorname{INT}) &=& \{\operatorname{INT}\} \end{array}$$

Why Follow Sets?

- First sets do not tell us when to apply $A \rightarrow \alpha$ such that $\alpha \Longrightarrow^* \epsilon$ (the important special case is $A \rightarrow \epsilon$)
- Follow sets do
- Follow sets constructed only for nonterminals
- By convention, assume every input is terminated by a special end marker (i.e., the EOF marker), denoted \$
- Follow sets do not contain ϵ

Definition of Follow Sets

Let A be a nonterminal. Define Follow(A) to be the set of terminals that can appear immediately to the right of A in some sentential form. That is,

$$\mathsf{Follow}(A) = \{ a \mid S \Longrightarrow^* \cdots Aa \cdots \}$$

where S is the start symbol of the grammar.

A Procedure to Compute Follow Sets

- 1. If A is the start symbol, add \$ to Follow(A).
- 2. Look through the grammar for all occurrences of A on the right of productions. Let a typical production be:

$$B \rightarrow \alpha A\beta$$

There are two cases – both may be applicable:

- (a) Follow(A) includes First(β) $\{\epsilon\}$.
- (b) If $\beta \Longrightarrow^* \epsilon$, then include Follow(B) in Follow(A).

Follow Sets for Grammar 2 (without Recursion)

$$\mathsf{Follow}(E) = \{), \$\}$$

$$\mathsf{Follow}(Q) = \{\}, \}$$

Follow
$$(T) = \{+, -, \}$$

$$Follow(R) = \{+, -, \}$$

Follow
$$(F) = \{+, -, *, /,), \$\}$$

Select Sets for Productions

- One Select set for every production in the grammar:
- The Select set for a production of the form $A \rightarrow \alpha$ is:
 - If $\epsilon \in \mathsf{First}(\alpha)$, then

$$Select(A \rightarrow \alpha) = (First(\alpha) - \{\epsilon\}) \cup Follow(A)$$

- Otherwise:

$$Select(A \rightarrow \alpha) = First(\alpha)$$

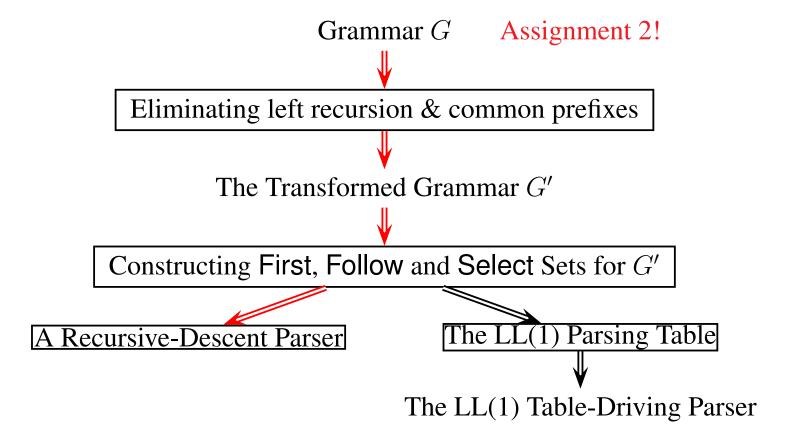
- The Select set predicts $A \rightarrow \alpha$ to be used in a derivation.
- Thus, the Select not needed if A has has one alternative

Select Sets for Grammar 2

```
Select(E \rightarrow TQ) = First(TQ)
                                                                  =\{(,INT\}
Select(Q \rightarrow + TQ) = First(+TQ)
                                                                  = \{+\}
                                              = {-}
Select(Q \rightarrow -TQ) = First(-TQ)
\mathsf{Select}(Q {\rightarrow} \epsilon) \qquad = (\mathsf{First}(\epsilon) - \{\epsilon\}) \cup \mathsf{Follow}(Q) = \{), \$\}
Select(T \rightarrow FR) = First(FR)
                                               =\{(,\mathsf{INT}\}
Select(R \rightarrow *FR) = First(+FR)
                                                                = \{*\}
Select(R \rightarrow /FR) = First(/FR)
                                              = \{/\}
\mathsf{Select}(R \rightarrow \epsilon) \qquad = (\mathsf{First}(\epsilon) - \{\epsilon\}) \cup \mathsf{Follow}(R) = \{+, -, \}, \$\}
Select(F \rightarrow INT) = First(INT)
                                                                  =\{INT\}
Select(F \rightarrow (E)) = First((E))
                                                                  = \{()\}
```

Lecture 4: Top-Down Parsing: Recursive-Descent

1. Write a predictive (or non-backtracking) top-down parser



Writing a Predictive Recursive-Descent Parser

- The variable currentToken is the lookahead token, which is initialised to the leftmost token in the program
- A method, called match, for matching the tokens at production right-hand sides

```
void match(int tokenExpected) {
  if (currentToken.kind == tokenExpected) {
    currentToken = scanner.getToken();
  } else {
    error: "tokenExpected" expected
        but "currentToken" found
  }
}
```

• A method, called parseA, for every nonterminal A

```
parseA for A \rightarrow \alpha_1 \mid \cdots \mid \alpha_n
void parseA() {
  if (currentToken.kind in Select(A \rightarrow \alpha_1))
      parse \alpha_1
   else if (currentToken.kind in Select(A \rightarrow \alpha_2))
      parse \alpha_2
   else if (currentToken.kind in Select(A \rightarrow \alpha_n))
      parse \alpha_n
   else
      syntacticError(...);
```

Parsing A for $A \rightarrow \alpha$ When A Has a Single Alternative

```
void parseA() { parse \alpha }
```

Coding parse α_i

- Suppose $\alpha_i = aABbC$, where A, B and C are nonterminals
- parse α_i implemented as:

```
match("a");
parseA();
parseB();
match("b");
parseC();
```

• If $\alpha_i = \epsilon$, then parse α_i implemented as:

```
/* empty statement */
```

Coding parse α_i : A Concrete Example

```
void parseWhileStmt() throws SyntaxError {
   match(Token.WHILE);
   match(Token.LPAREN);
   parseExpr();
   match(Token.RPAREN);
   parseStmt();
}
```

Parsing Method for the Start Symbol

ullet If the start symbol S does not appear anywhere else: then

```
void parseS() {
  code for the alternatives of S
  match(Token.EOF);
}
```

• Otherwise, introduce a new start symbol, Goal:

```
void parseGoal() {
  parseS();
  match(Token.EOF);
}
```

Term (Predictive) Recursive Descent?

- Predictive (or non-backtracking): the parser always predicts the right production to use at every derivation step
- Recursive, a parsing method may call itself recursively either directly or indirectly.
- Descent: the parser builds the parse tree (or AST) by descending through it as it parses the program (Assignment 3).

Outline for the Rest of the Lecture

- 1. Definition of LL(1) grammar
- 2. One simplification in the presence of a nullable alternative
- 3. Eliminate left recursion and common prefixes
- 4. Write parsing methods in the presence of regular operators
- 5. Assignment 2

Definition of LL(1) Grammar

• A grammar is LL(1) if for every nonterminal of the form:

$$A \rightarrow \alpha_1 \mid \cdots \mid \alpha_n$$

the select sets are pairwise disjoint, i.e.:

$$Select(A \rightarrow \alpha_i) \cap Select(A \rightarrow \alpha_j) = \emptyset$$

for all i and j such that $i \neq j$.

• This implies there can be at most one nullable alternative

One Simplification When A Has a Nullable Alternative

- Suppose $\alpha_n \Longrightarrow^* \epsilon$ is the only nullable alternative
- Then $Select(A \rightarrow \alpha_i) = First(\alpha_i)$ for $1 \le i < n$
- In fact, the coding still correct even if all are not nullable!

The Simplified Parsing Method Illustrated

```
• The grammar:

S \longrightarrow A b

A \longrightarrow a \mid \epsilon

• The language: \{b, ab\}
```

```
\begin{aligned} \mathsf{Select}(A \!\!\to\!\! a) &= \mathsf{First}(a) = \{a\} \\ \mathsf{Select}(A \!\!\to\!\! \epsilon) &= (\mathsf{First}(\epsilon) - \{\epsilon\}) \cup \mathsf{Follow}(A) \\ &= \{b\} \end{aligned}
```

```
V1: void parseS() {
    parseA();
    match("b");
    match("EOF");
}

void parseA() {
    if (lookahead is "a")
        match("a");
    else if (lookahead is "b")
        /* do nothing */
    else
        print an error message
}
```

```
V2: void parseS() {
    parseA();
    match("b");
    match("EOF");
}

void parseA() {
    if (lookahead is "a")
        match("a");
    // applies A -> ε otherwise
}

/* Some error detection postponed
but cannot miss any error */
```

Left-Recursive Grammars Are Not LL(1)

The parsing method for E of Grammar 1 in Slide 171:

```
void parseE() {
  switch (currentToken.kind) {
  case Token.INT: case Token.LPAREN:
   parseE();
   break;
  case Token.INT: case Token.LPAREN:
   parseE();
   match(Token.PLUS);
   parseT();
    break;
  case Token.INT: case Token.LPAREN:
   parseE();
   match(Token.MINUS);
   parseT();
   break;
  default:
    syntacticError(...);
   break;
  /* this does not work */
```

Left Recursion

• Direct left-recursion:

$$A \rightarrow A\alpha$$

• Non-direct left-recursion:

$$\begin{array}{ccc} A & \to & B\alpha \\ B & \to & A\beta \end{array}$$

- Algorithm 4.1 of text eliminates both kinds of left recursion
- In real programming languages, non-direct left-recursion is rare
- Not required
- A grammar with left recursion is not LL(1)

Eliminating Direct Left Recursion: Grammar Rewriting

• The grammar G_1 :

$$A \rightarrow \alpha // \alpha$$
 does not begin with A

$$A \rightarrow A\beta_1 \mid A\beta_2$$

• The transformed grammar G_2 :

$$A \rightarrow \alpha A'$$

$$A' \rightarrow \beta_1 A' \mid \beta_2 A' \mid \epsilon$$

Eliminating Direct Left Recursion Using Regular Operators

• The grammar G_1 :

$$\begin{array}{ccc} A & \to & \alpha \\ A & \to & A\beta_1 \mid A\beta_2 \end{array}$$

• The transformed grammar G_2 :

$$A \rightarrow \alpha(\beta_1 \mid \beta_2)^*$$

- G_1 and G_2 define the same language: $L(G_1) = L(G_2)$
- Recommended to use in Assignment 2

The Expression Grammar

• The grammar with left recursion:

Grammar 1:
$$E \to E + T \mid E - T \mid T$$

$$T \to T * F \mid T/F \mid F$$

$$F \to \mathsf{INT} \mid (E)$$

• Eliminating left recursion using the Kleene Closure

Grammar 3:
$$E \to T$$
 ("+" T | "-" T)*
$$T \to F$$
 ("*" F | "/" F)*
$$F \to \mathsf{INT}$$
 | "(" E ")"

All tokens are enclosed in double quotes to distinguish them for the regular operators: (,) and *

• Compare with Slide 171

Grammars with Common Prefixes Are Not LL(1)

• The dangling-else grammar:

• The parsing method according to Slide 181:

```
void parseStmt() {
switch (currentToken.kind) {
case Token. IF:
  accept();
 match(Token.LPAREN);
 parseExpr();
 match(Token.RPAREN);
 parseStmt();
 match(Token.ELSE);
 parseStmt();
 break;
case Token. IF:
 accept();
 match(Token.LPAREN);
 parseExpr();
 match(Token.RPAREN);
 parseStmt();
  break;
```

Eliminating Common Prefixes: Left-Factoring

• The grammar G_1 :

$$\begin{array}{ccc} A & \to & \alpha \beta_1 \mid \alpha \beta_2 \\ A & \to & \gamma \end{array}$$

• The transformed grammar G_2 :

$$A \rightarrow \alpha A'$$

$$A \rightarrow \gamma$$

$$A' \rightarrow \beta_1 \mid \beta_2$$

- $\bullet \ L(G_1) = L(G_2)$
- A grammar with common prefixes is not LL(1)

Example: Eliminating Common Prefixes

```
\begin{array}{lll} \langle stmt \rangle & \rightarrow & \text{IF ''('' } \langle expr \rangle \text{ '')'' } \langle stmt \rangle \text{ ELSE } \langle stmt \rangle \\ \langle stmt \rangle & \rightarrow & \text{IF ''('' } \langle expr \rangle \text{ '')'' } \langle stmt \rangle \\ \langle stmt \rangle & \rightarrow & \text{other} \end{array}
```



```
\begin{array}{ll} \langle \mathrm{stmt} \rangle & \to & \mathrm{IF} \ \mathrm{''(''} \ \langle \mathrm{expr} \rangle \ \mathrm{'')''} \ \langle \mathrm{stmt} \rangle \ \langle \mathrm{else\text{-}clause} \rangle \\ \langle \mathrm{else\text{-}clause} \rangle & \to & \mathrm{ELSE} \ \langle \mathrm{stmt} \rangle \ \mid \epsilon \\ \langle \mathrm{stmt} \rangle & \to & \mathrm{other} \end{array}
```

Eliminating Common Prefixes using Choice Operator

• The grammar G_1 :

$$\begin{array}{ccc} A & \to & \alpha \beta_1 \mid \alpha \beta_2 \\ A & \to & \gamma \end{array}$$

• The transformed grammar G_2 :

$$\begin{array}{ccc} A & \to & \alpha(\beta_1 \mid \beta_2) \\ A & \to & \gamma \end{array}$$

• Recommended to use in Assignment 2

Example: Eliminating Common Prefixes

$$\begin{array}{lll} \langle stmt \rangle & \rightarrow & \text{IF ''('' } \langle expr \rangle \text{ '')'' } \langle stmt \rangle \text{ ELSE } \langle stmt \rangle \\ \langle stmt \rangle & \rightarrow & \text{IF ''('' } \langle expr \rangle \text{ '')'' } \langle stmt \rangle \\ \langle stmt \rangle & \rightarrow & \text{other} \end{array}$$



$$\begin{array}{l} \langle stmt \rangle \ \to \ \textbf{IF "("} \ \langle expr \rangle \ ")" \ \langle stmt \rangle \ (\ \textbf{ELSE} \ \langle stmt \rangle)? \\ \langle stmt \rangle \ \to \ \textbf{other} \end{array}$$

Compare with Slide 198

Coding parse α_i in the Presence of Regular Operators

- Suppose $\alpha_i = a(A)^*(B)^+b(C)$?, where A, B and C are nonterminals or a sequence of terminals and nonterminals
- parse α_i implemented as:

```
match("a");
while (currentToken.kind is in First(A))
  parse A;
do {
  parse B;
} while (currentToken.kind is in First(B))
match("b");
if (currentToken.kind is in First(C))
  parse C;
```

Assignment 2

- A subset of VC already implemented for you
- For expressions, you need to eliminate left-recursion on several nonterminals as illustrated in Slide 195
- You also need to eliminate some common prefixes (e.g., one for (primary-expr)) as illustrated in Slide 200.
- A simple left-factoring can fix the LL(2) construct: $\langle prog \rangle \rightarrow (\langle func\text{-decl} \rangle | \langle var\text{-decl} \rangle)^*$
- Everything else should be quite straightforward

Reading

- Chapter 2
- Red Dragon: Pages 176 178 and 188 190
- Purple Dragon: \$4.3.3 4.3.4 and Pages 217 226

Next Class: Top-Down Parsing Revisited

First Sets for Grammar 1 (with Recursion)

The explicit construction is left as an exercise.

Follow Sets for Grammar 1 (with Recursion)

$$\mathsf{Follow}(E) \ = \ \{+,-,),\$\}$$

$$\mathsf{Follow}(T) = \mathsf{Follow}(F) \ = \ \{+,-,*,/,),\$\}$$

The explicit construction is left as an exercise.

Select Sets for Grammar 1

Follow sets not used since the grammar has no ϵ -productions

$$\begin{split} & \mathsf{Select}(E \!\to\! E + T) = \mathsf{First}(E + T) = \{(\mathsf{,INT}\} \\ & \mathsf{Select}(E \!\to\! E - T) = \mathsf{First}(E - T) = \{(\mathsf{,INT}\} \\ & \mathsf{Select}(E \!\to\! T) = \mathsf{First}(T) = \{(\mathsf{,INT}\} \\ & \mathsf{Select}(T \!\to\! T * F) = \mathsf{First}(T * F) = \{(\mathsf{,INT}\} \\ & \mathsf{Select}(T \!\to\! T / F) = \mathsf{First}(T / F) = \{(\mathsf{,INT}\} \\ & \mathsf{Select}(T \!\to\! F) = \mathsf{First}(F) = \{(\mathsf{,INT}\} \\ & \mathsf{Select}(F \!\to\! \mathsf{INT}) = \mathsf{First}(\mathsf{INT}) = \{\mathsf{INT}\} \\ & \mathsf{Select}(F \!\to\! (E)) = \mathsf{First}(E) = \{(\mathsf{,INT}\} \} \\ & \mathsf{Select}(F \!\to\! (E)) = \mathsf{First}(E) = \{(\mathsf{,INT}\} \} \\ & \mathsf{Select}(F \!\to\! (E)) = \mathsf{First}(E) = \{(\mathsf{,INT}\} \} \\ & \mathsf{Select}(F \!\to\! (E)) = \mathsf{First}(E) = \{(\mathsf{,INT}\} \} \\ & \mathsf{Select}(F \!\to\! (E)) = \mathsf{First}(E) = \{(\mathsf{,INT}\} \} \\ & \mathsf{Select}(F \!\to\! (E)) = \mathsf{First}(E) = \{(\mathsf{,INT}\} \} \\ & \mathsf{Select}(E) = \mathsf{Select}(E) = \mathsf{First}(E) = \mathsf{Select}(E) \\ & \mathsf{Select}(E) = \mathsf{Select}(E) \mathsf{Se$$

The explicit construction is left as an exercise.

Eliminating Direct Left Recursion: Grammar Rewriting — General Case —

• The grammar G_1 :

$$A \rightarrow \alpha_1 \mid \alpha_2 \mid \cdots \mid \alpha_n \mid \alpha_i \text{ does not begin with } A$$

 $A \rightarrow A\beta_1 \mid A\beta_2 \mid \cdots \mid A\beta_m$

• The transformed grammar G_2 :

$$A \rightarrow \alpha_1 A' \mid \alpha_2 A' \mid \cdots \mid \alpha_n A'$$

$$A' \rightarrow \beta_1 A' \mid \beta_2 A' \mid \cdots \mid \beta_m A' \mid \epsilon$$

- G_1 and G_2 define the same language: $L(G_1) = L(G_2)$
- Example: in Slide 171, Grammar 2 is the transformed version of Grammar 1

Eliminating Direct Left Recursion Using Regular Operators — General Case —

• The grammar G_1 :

$$A \rightarrow \alpha_1 \mid \alpha_2 \mid \cdots \mid \alpha_n$$

$$A \rightarrow A\beta_1 \mid A\beta_2 \mid \cdots \mid A\beta_m$$

• The transformed grammar G_2 :

$$A \rightarrow (\alpha_1 \mid \cdots \mid \alpha_n)(\beta_1 \mid \cdots \mid \beta_m)^*$$

• G_1 and G_2 define the same language: $L(G_1) = L(G_2)$