

Sorting

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❖ Sorting

Sorting involves arranging a collection of items in order

- based on some property of the items (e.g. key)
- using an ordering relation on that property

Why is sorting useful?

- speeds up subsequent searching
- arranges data in a human-useful way
(e.g. list of students in a tute class, ordered by family-name or id)
- arranges data in a computationally-useful way
(e.g. duplicate detection/removal, many DBMS operations)

❖ ... Sorting

Sorting occurs in many data contexts, e.g.

- arrays, linked-lists (internal, in-memory)
- files (external, on-disk)

Different contexts generally require different approaches

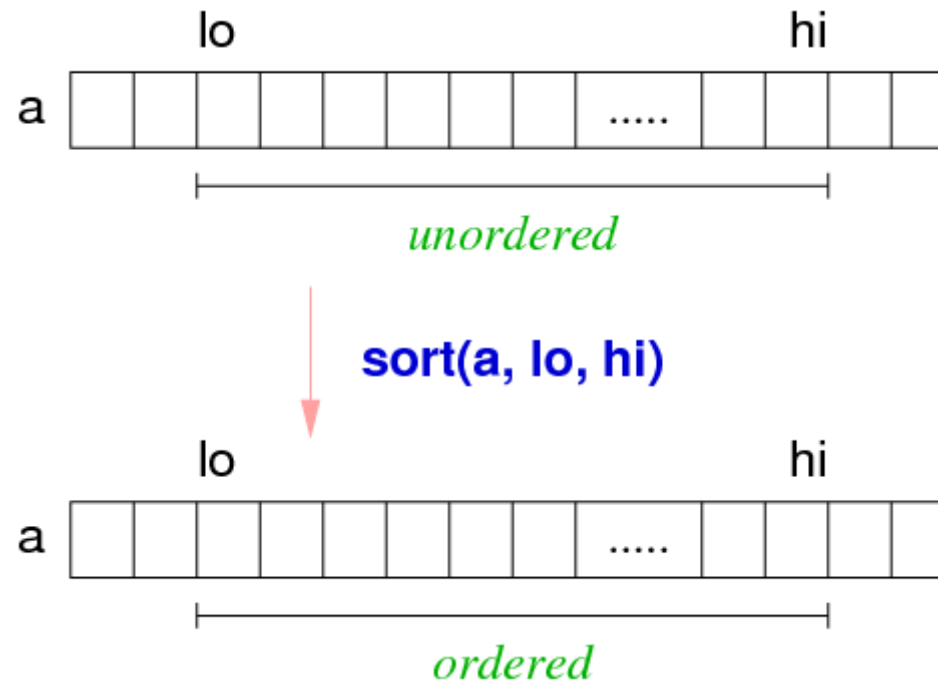
- and sorting has been well-studied over the last 50 years

Our view of the sorting problem:

- arrange an array of **Items** in ascending order
- could sort whole array, or could sort a slice of the array

❖ The Sorting Problem

Arrange items in array slice `a[lo..hi]` into sorted order:



For Item `a[N]`, frequently `(lo == 0), (hi == N-1)`

❖ ... The Sorting Problem

More formally ...

Precondition:

- **lo, hi** are valid indexes, i.e. $0 \leq \mathbf{lo} < \mathbf{hi} \leq \mathbf{N}-1$
- **a[lo..hi]** contains defined values of type **Item**

Postcondition:

- **a[lo..hi]** contains same set (bag) of values
- foreach **i** in **lo..hi-1**, $\mathbf{a[i]} \leq \mathbf{a[i+1]}$

❖ ... The Sorting Problem

We sort arrays of **Items**, which could be

- simple values, e.g. **int**, **char**, **float**
- structured values, e.g. **struct**

Each **Item** contains a **key**, which could be

- a simple value, or a collection of values

The order of **key** values determines the order of the sort.

Duplicate **key** values are not precluded.

In our discussions, we often use the **key** value as if it is the whole **Item**

❖ ... The Sorting Problem

Properties of sorting algorithms: stable, adaptive

Stable sort:

- let $x = a[i]$, $y = a[j]$, $\text{key}(x) == \text{key}(y)$
- "precedes" = occurs earlier in the array (smaller index)
- if x precedes y in a , then x precedes y in sorted a

Adaptive:

- behaviour/performance of algorithm affected by data values
- i.e. best/average/worst case performance differs

❖ ... The Sorting Problem

In analysing sorting algorithms:

- N = number of items = $hi - lo + 1$
- C = number of comparisons between items
- S = number of times items are swapped

Aim to minimise C and S

Cases to consider for initial order of items:

- random order: Items in $a[lo..hi]$ have no ordering
- sorted order: $a[lo] \leq a[lo+1] \leq \dots \leq a[hi]$
- reverse order: $a[lo] \geq a[lo+1] \geq \dots \geq a[hi]$

❖ Comparison of Sorting Algorithms

A variety of sorting algorithms exist

- most are in-memory algorithms, some also work with files
- two major classes: $O(n^2)$, $O(n \log n)$
- $O(n^2)$ are acceptable if n is small (hundreds)

Ways to compare algorithms:

- implement and monitor performance
- **graphic visualisations**
- or even **folk dancing**

❖ Implementing Sorting

Concrete framework:

```
// we deal with generic Items
typedef SomeType Item;

// abstractions to hide details of Items
#define key(A) (A)
#define less(A,B) (key(A) < key(B))
#define swap(A,B) {Item t; t = A; A = B; B = t;}

// Sorts a slice of an array of Items, a[lo..hi]
void sort(Item a[], int lo, int hi);

// Check for sortedness (to validate functions)
int isSorted(Item a[], int lo, int hi);
```

❖ Implementing `isSorted()`

Implementation of the `isSorted()` check.

```
bool isSorted(Item a[], int lo, int hi)
{
    for (int i = lo; i < hi; i++) {
        if (!less(a[i], a[i+1])) return false;
    }
    return true;
}
```

Checks pairs `(a[lo], a[lo+1]), ... (a[hi-1], a[hi])`

Check whole array `Item a[N]` via `isSorted(a, 0, N-1)`

❖ Sorts on Linux

The **sort** command

- sorts a file of text, understands fields in line
- can sort alphabetically, numerically, reverse, random

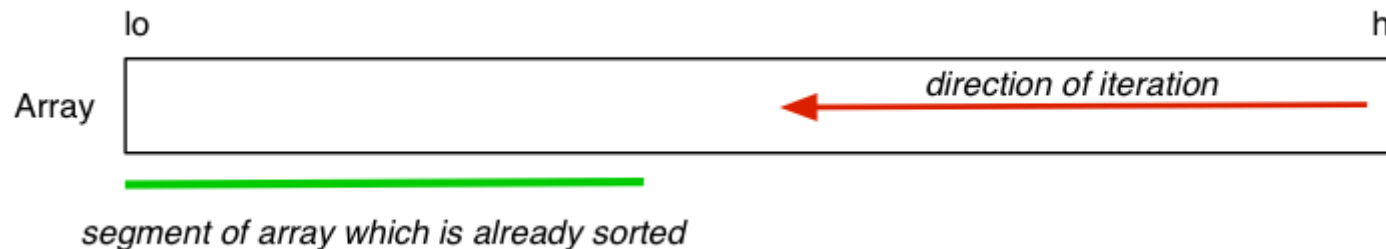
The **qsort()** function

- **qsort(void *a, int n, int size, int (*cmp)())**
- sorts any kind of array (n objects, each of size bytes)
- requires the user to supply a comparison function (e.g. **strcmp()**)
- sorts list of items using the order given by **cmp()**

Note: the comparison function is passed as a parameter; discussed elsewhere.

❖ Describing Sorting Algorithms

To describe sorting, we use diagrams like:



In these algorithms ...

- some part(s) of the array is already sorted
- each iteration makes more of the array sorted

See also [animations](#) by David R. Martin, Boston College, based on Sedgewick's idea

