## Experimental Analyses of Heuristics for Horsefly-type Problems

Gaurish Telang

## Contents

	1	Page
Ι	Overview	4
1	Descriptions of Problems	5
2	Installation and Use	7
I	I Programs	9
3	Overview of the Code Base	10
	3.1 Source Tree	10
	3.2 The Main Files	11
	3.3 Support Files	13
4	Some (Boring) Utility Functions	14
	4.1 Graphical Utilities	14
	4.2 Algorithmic Utilities	17
5	Classic Horsefly	21
	5.1 Module Overview	21
	5.2 Module Details	
	5.3 Local Data Structures	
	5.4 Algorithm: Dumb Brute force	
	5.5 Algorithm: Greedy—Nearest Neighbor	
	5.6 Algorithm: Greedy—Incremental Insertion	
	5.7 Insertion Policies	
	5.8 Algorithm: Bottom-Up Split	
	5.9 Algorithm: Local Search—Swap	
	5.11 Lower Bound: $\varphi$ -MST	
	5.12 Local Utility Functions	
	5.13 Plotting Routines	
	5.14 Chapter Index of Fragments	
	5.15 Chapter Index of Identifiers	44
6	Fixed Route Horsefly	45
7	One Horse, Two Flies	46
8	Reverse Horsefly	47
9	Watchman Horsefly	48
۸,	ppondices	40

		3
A	Index of Files	<b>50</b>
В	Man-page for main.py	51

# Part I Overview

#### Chapter 1

## Descriptions of Problems

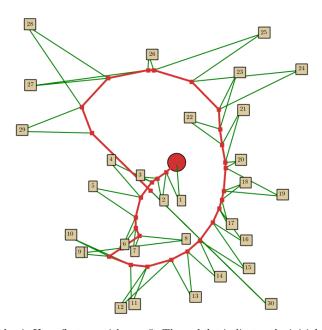


Figure 1.1: An Example of a classic Horsefly tour with  $\varphi = 5$ . The red dot indicates the initial position of the horse and fly, given as part of the input. The ordering of sites shown has been computed with a greedy algorithm which will be described later

The Horsefly problem is a generalization of the well-known Euclidean Traveling Salesman Problem. In the most basic version of the Horsefly problem (which we call "Classic Horsefly"), we are given a set of sites, the initial position of a truck(horse) with a drone(fly) mounted on top, and the speed of the drone-speed  $\varphi$ . <sup>1</sup> <sup>2</sup>.

The goal is to compute a tour for both the truck and the drone to deliver package to sites as quickly as possible. For delivery, a drone must pick up a package from the truck, fly to the site and come back to the truck to pick up the next package for delivery to another site. <sup>3</sup> Both the truck and drone must coordinate their motions to minimize the time it takes for all the sites to get their packages. Figure 1.1 gives an example of such a tour computed using a greedy heuristic for  $\varphi = 5$ .

This suite of programs implement several experimental heuristics, to solve the above NP-hard problem and some of its variations approximately. In this short chapter, we give a description of the problem variations that we will be tackling. Each of the problems, has a corresponding chapter in Part 2, where these heuristics are described and implemented. We also give comparative analyses of their experimental performance on various problem instances.

Classic Horsefly This problem has already described in the introduction.

<sup>&</sup>lt;sup>1</sup> The speed of the truck is always assumed to be 1 in any of the problem variations we will be considering in this report.

 $<sup>^{2}</sup>$   $\varphi$  is also called the "speed ratio".

<sup>&</sup>lt;sup>3</sup> The drone is assumed to be able to carry at most one package at a time

- **Segment Horsefly** In this variation, the path of the truck is restricted to that of a segment, which we can consider without loss of generality to be [0,1]. All sites, without loss of generality lie in the upper-half plane  $\mathbb{R}^2_+$ .
- **Fixed Route Horsefly** This is the obvious generalization of Segment Horsefly, where the path which the truck is restricted to travel is a piece-wise linear polygonal path. <sup>4</sup> Both the initial position of the truck and the drone are given. The sites to be serviced are allowed to lie anywhere in  $\mathbb{R}^2$ . Two further variations are possible in this setting, one in which the truck is allowed reversals and the other in which it is not.
- One Horse, Two Flies The truck is now equipped with two drones. Otherwise the setting, is exactly the same as in classic horsefly. Each drone can carry only one package at a time. The drones must fly back and forth between the truck and the sites to deliver the packages. We allow the possibility that both the drones can land at the same time and place on the truck to pick up their next package.
- **Reverse Horsefly** In this model, each site (not the truck!) is equipped with a drone, which fly towards the truck to pick up their packages. We need to coordinate the motion of the truck and drone so that the time it takes for the last drone to pick up its package (the "makespan") is minimized.
- **Bounded Distance Horsefly** In most real-world scenarios, the drone will not be able to (or allowed to) go more than a certain distance R from the truck. Thus with the same settings as the classic horsefly, but with the added constraint of the drone and the truck never being more than a distance R from the truck, how would one compute the truck and drone paths to minimize the makespan of the deliveries?
- **Watchman Horsefly** In place of the TSP, we generalize the Watchman route problem here. <sup>6</sup> We are given as input a simple polygon and the initial position of a truck and a drone. The drone has a camera mounted on top which is assumed to have 360° vision. Both the truck and drone can move, but the drone can move at most euclidean distance <sup>7</sup> R from the truck.

We want every point in the polygon to be seen by the drone at least once. The goal is to minimize the time it takes for the drone to be able to see every point in the simple polygon. In other words, we want to minimize the time it takes for the drone (moving in coordinattion with the truck) to patrol the entire polygon.

<sup>&</sup>lt;sup>4</sup>More generally, the truck will be restricted to travelling on a road network, which would typically be modelled as a graph embedded in the plane.

<sup>&</sup>lt;sup>5</sup>In reality, one of the drones will have to wait for a small amount of time while the other is retrieving its package. In a more realisting model, we would need to take into account this "waiting time" too.

<sup>6</sup> although abstractly, the Watchman route problem can be viewed as a kind of TSP

<sup>&</sup>lt;sup>7</sup>The version where instead geodesic distance is considered is also interesting

#### Chapter 2

#### Installation and Use

To run these programs you will need to install Docker, an open-source containerization program that is easily installable on Windows 10<sup>1</sup>, MacOS, and almost any GNU/Linux distribution. For a quick introduction to containerization, watch the first two minutes of https://youtu.be/\_dfL0zuIg2o

The nice thing about Docker is that it makes it easy to run softwares on different OS'es portably and neatly side-steps the dependency hell problem (https://en.wikipedia.org/wiki/Dependency\_hell.) The headache of installing different library dependencies correctly on different machines running different OS'es, is replaced only by learning how to install Docker and to set up an X-windows connection between the host OS and an instantiated container running GNU/Linux.

A. [ Get Docker ] For installation instrutions watch

GNU/Linux https://youtu.be/KCckWweNSrM

Windows https://youtu.be/ymlWt1MqURY

MacOS https://youtu.be/MU8HUVlJTEY

- B. [ Download customized Ubuntu image ] docker pull gtelang/ubuntu\_customized <sup>2</sup>
- C. [ Clone repository | git clone gtelang/horseflies\_literate.git
- **D.** [ Mount and Launch ]

For GNU/Linux Open up your favorite terminal emulator, such xterm and then

- Copy to clipboard the output of xauth list
- cd horseflies\_literate
- docker run -it --name horsefly\_container --net=host -e DISPLAY -v /tmp/.X11-unix -v `pwd`:/horsefli
- cd horseflies\_mnt
- xauth add <paste-from-clipboard>

For Windows I had to follow the instructions in https://dev.to/darksmile92/run-gui-app-in-linux-Docker-container-on-windows-host-4kde to be able to run graphical user applications

**E.** [ Run experiments ] If you want to run all the experiments as described in the paper again to reproduce the reported results on your machine, then run <sup>3</sup>,

```
python main.py --run-all-experiments.
```

If you want to run a specific experiment, then run python main.py --run-experiment <experiment-name>.

See Index for a list of all the experiments.

<sup>&</sup>lt;sup>1</sup>You might need to turn on virtualization explicitly in your BIOS, after installing Docker as I needed to while setting Docker up on Windows. Here is a snapshot of an image when turning on Intel's virtualization technology through the BIOS: https://images.techhive.com/images/article/2015/09/virtualbox\_vt-x\_amd-v\_error04\_phoenix-100612961-large.idge.jpg

<sup>&</sup>lt;sup>2</sup>The customized Ubuntu image is approximately 7 GB which contains all the libraries (e.g. CGAL, VTK, numpy, and matplotlib) that I typically use to run my research codes portably. On my home internet connection downloading this Ubuntu-image typically takes about 5 minutes.

<sup>&</sup>lt;sup>3</sup> Allowing, of course, for differences between your machine's CPU and mine when it comes to reporting absolute running time

**F.** [ Test algorithms interactively ] If you want to test the algorithms in interactive mode (where you get to select the problem-type, mouse-in the sites on a canvas, set the initial position of the truck and drone and set  $\varphi$ ), run python main.py --problem-name>. The list of problems are the same as that given in the previous chapter. The problem name consists of all lower-case letters with spaces replaced by hyphens.

Thus for instance "Watchman Horsefly" becomes watchman-horsefly and "One Horse Two Flies" becomes one-horse-two-flies.

To interactively experiment with different algorithms for, say, the Watchman Horsefly problem , type at the terminal python main.py --watchman-horsefly

If you want to delete the Ubuntu image and any associated containers run the command <sup>4</sup> docker rm -f horsefly\_container; docker rmi -f ubuntu\_customized

That's it! Happy horseflying!

<sup>&</sup>lt;sup>4</sup>the ubuntu image is 7GB afterall!

## Part II

## Programs

#### Chapter 3

#### Overview of the Code Base

All of the code has been written in Python 2.7 and tested using the standard CPython implementation of the language. In some cases, calls will be made to external C++ libraries (mostly CGAL and VTK) using SWIG (http://www.swig.org/) for speeding up a slow routine or to use a function that is not available in any existing Python package.

#### Source Tree

```
|-- algo-greedy-incremental-insertion---Day-2018-12-23_ClockTime-23:16:15
   |-- algo_state_00000.yaml
   |-- algo_state_00001.yaml
   |-- algo_state_00002.yaml
   |-- algo_state_00003.yaml
   |-- algo_state_00004.yaml
   |-- algo_state_00005.yaml
   |-- algo_state_00006.yaml
   |-- algo_state_00007.yaml
   |-- algo_state_00008.yaml
   |-- algo_state_00009.yaml
   |-- algo_state_00010.yaml
   |-- algo_state_00011.yaml
   |-- algo_state_00012.yaml
   |-- algo_state_00013.yaml
   |-- algo_state_00014.yaml
   |-- algo_state_00015.yaml
   |-- algo_state_00016.yaml
   |-- algo_state_00017.yaml
   |-- algo_state_00018.yaml
    `-- input_and_output.yml
|-- algo-greedy-incremental-insertion---Day-2018-12-23_ClockTime-23:20:45
|-- algo-greedy-incremental-insertion---Day-2018-12-23_ClockTime-23:20:49
   |-- algo_state_00000.yml
   |-- algo_state_00001.yml
   |-- algo_state_00002.yml
   |-- algo_state_00003.yml
   |-- algo_state_00004.yml
   |-- algo_state_00005.yml
   |-- algo_state_00006.yml
   |-- algo_state_00007.yml
    `-- input_and_output.yml
|-- src
   |-- expts
       |-- problem_classic_horsefly.py
       |-- utils_algo.py
```

```
`-- utils_graphics.py
    |-- tests
    `-- Makefile
 - tex
    |-- directory-tree.tex
   |-- horseflies-1.pdf
   |-- horseflies.pdf
   |-- horseflies.tdo
    |-- horseflies.tex
    |-- problem_classic_horsefly.py
    `-- standard_settings.tex
I-- webs
    |-- problem-classic-horsefly
        |-- algo-bottom-up-split.web
        |-- algo-dumb.web
        |-- algo-greedy-incremental-insertion.web
        |-- algo-greedy-nn.web
        |-- algo-k2-means.web
        |-- algo-local-search-swap.web
        |-- lower-bound-phi-mst.web
        `-- problem-classic-horsefly.web
    |-- problem-fixed-route-horsefly
        `-- problem-fixed-route-horsefly.web
    |-- problem-one-horse-two-flies
        `-- problem-one-horse-two-flies.web
    |-- problem-reverse-horsefly
        `-- problem-reverse-horsefly.web
    |-- problem-segment-horsefly
        `-- problem-segment-horsefly.web
       problem-watchman-horsefly
        `-- problem-watchman-horsefly.web
    |-- descriptions-of-problems.web
    |-- horseflies.web
   |-- installation-and-use.web
   |-- overview-of-code-base.web
    `-- utility-functions.web
|-- horseflies.pdf
|-- main.py
|-- todolist.org
`-- weave-tangle.sh
```

#### 15 directories, 62 files

There are three principal directories

webs/ This contains the source code for the entire project written in the nuweb format along with documents (mostly images) needed during the compilation of the LATEX files which will be extracted from the .web files.

src/ This contains the source code for the entire project "tangled" (i.e. extracted) from the .web files.

tex/ This contains the monolithic horseflies.tex extracted from the .web files and a bunch of other supporing LATEX files. It also contains the final compiled horseflies.pdf (the current document) which contains the documentation of the project, interwoven with code-chunks and cross-references between them along with the experimental results.

The files in **src** and **tex** should not be touched. Any editing required should be done directly to the .web files which should then be weaved and tangled using weave-tangle.sh.

#### The Main Files

#### 3.2.1

- **A.** [ main.py ] The file main.py in the top-level folder is the entry-point for running code. Its only job is to parse the command-line arguments and pass relevant information to the handler functions for each problem and experiment.
- **B.** [ Algorithmic Code ] All such files are in the directory src/lib/. Each of the files with prefix "problem\_\*" contain implementations of algorithms for one specific problem. For instance problem\_watchman\_horsefly.py contains algorithms for approximately solving the Watchman Horsefly problem.
  - Since Horsefly-type problems are typically NP-hard, an important factor in the subsequent experimental analysis will require, comparing an algorithm's output against good lower bounds. Each such file, will also have routines for efficiently computing or approximating various lower-bounds for the corresponding problem's *OPT*.
- C. [Experiments] All such files are in the directory src/expt/ Each of the files with prefix "expt\_\*" contain code for testing hypotheses regarding a problem, generating counter-examples or comparing the experimental performance of the algorithm implementations for each of the problems. Thus expt\_watchman\_horsefly.py contains code for performing experiments related to the Watchman Horsefly problem.

If you need to edit the source-code for algorithms or experiment you should do so to the .web files in the web directory. Every problem has a dedicated *folder* containing source-code for algorithms and experiments pertaining to that problem. Every algorithm and experiment has a dedicated .web file in these problem directories. Such files are all "tied" together using the file with prefix problem-problem-name> in that same directory (i.e. the file acts as a kind of handler for each problem, that includes the algorithms and experiment web files with the @i macro.)

#### **3.2.2** Let's define the main.py file now.

Each problem or experiment has a handler routine that effectively acts as a kind of "main" function for that module that does house-keeping duties by parsing the command-line arguments passed by main, setting up the canvas by calling the appropriate graphics routines and calling the algorithms on the input specified through the canvas.

```
"../main.py" 12≡
     import sys
     sys.path.append('src/lib')
     import problem_classic_horsefly as chf
     #import problem_segment_horsefly as shf
     #import problem_one_horse_two_flies as oh2f
     if __name__=="__main__":
          # Select algorithm or experiment
          if (len(sys.argv)==1):
               print "Specify the problem or experiment you want to run"
               sys.exit()
          elif sys.argv[1] == "--problem-classic-horsefly":
               chf.run_handler()
          elif sys.argv[1] == "--problem-segment-horsefly":
               shf.run_handler()
          elif sys.argv[1] == "--problem-one-horse-two-flies":
               oh2f.run_handler()
          else:
               print "Option not recognized"
               sys.exit()
```

## Support Files

- A. [Utility Files] All such utility files are in the directory src/lib/. These files contain common utility functions for manipulating data-structures, plotting and graphics routines common to all horsefly-type problems. All such files have the prefix utils\_\*. These Python files are generated from the single .web file utils.web in the web subdirectory.
- **B.** [ Tests ] All such files are in the directory src/test/ To automate testing of code during implementations, tests for various routines across the entire code-base have been written in files with prefix test\_\*.

Every problem, utility, and experimental files in **src/lib** and **src/expts** has a corresponding test-file in this folder.

#### Chapter 4

## Some (Boring) Utility Functions

We will be needing some utility functions, for drawing and manipulating data-structures which will be implemented in files separate from problem\_classic\_horsefly.py. All such files will be prefixed with the work utils\_. Many of the important common utility functions are defined here; others will be defined on the fly throughout the rest of the report. This chapter just collects the most important of the functions for the sake of clarity of exposition in the later chapters.

## Graphical Utilities

Here We will develop routines to interactively insert points onto a Matplotlib canvas and clear the canvas. Almost all variants of the horsefly problem will involve mousing in sites and the initial position of the horse and fly. These points will typically be represented by small circular patches. The type of the point will be indicated by its color and size e.g. intial position of truck and drone will typically be represented by a large red dot while and the sites by smaller blue dots.

Matplotlib has extensive support for inserting such circular patches onto its canvas with mouseclicks. Each such graphical canvas corresponds (roughly) to Matplotlib figure object instance. Each figure consists of several Axes objects which contains most of the figure elements i.e. the Axes objects correspond to the "drawing area" of the canvas.

**4.1.1** First we set up the axes limits, dimensions and other configuration quantities which will correspond to the "without loss of generality" assumptions made in the statements of the horsefly problems. We also need to set up the axes limits, dimensions, and other fluff. The following fragment defines a function which "normalizes" a drawing area by setting up the x and y limits and making the aspect ratio of the axes object the same i.e. 1.0. Since Matplotlib is principally a plotting software, this is not the default behavior, since scales on the x and y axes are adjusted according to the data to be plotted.

```
"../src/lib/utils_graphics.py" 14=
```

```
from matplotlib import rc
from colorama import Fore
from colorama import Style
from scipy.optimize import minimize
from sklearn.cluster import KMeans
import argparse
import itertools
import math
import matplotlib as mpl
import matplotlib.pyplot as plt
import numpy as np
import os
import pprint as pp
import randomcolor
import sys
import time
xlim, ylim = [0,1], [0,1]
```

**4.1.2** Next, given an axes object (i.e. a drawing area on a figure object) we need a function to delete and remove all the graphical objects drawn on it.

```
"../src/lib/utils_graphics.py" 15a\equiv def clearPatches(ax):
    # Get indices cooresponding to the polygon patches for index , patch in zip(range(len(ax.patches)), ax.patches):
    if isinstance(patch, mpl.patches.Polygon) == True:
        patch.remove()

# Remove line patches. These get inserted during the r=2 case,
# For some strange reason matplotlib does not consider line objects
# as patches.
    ax.lines[:]=[]

#pp.pprint (ax.patches) # To verify that none of the patches are
# polyon patches corresponding to clusters.
    applyAxCorrection(ax)

$\leftarrow$

File defined by 14, 15ab, 16.
```

**4.1.3** Now remove the patches which were rendered for each cluster Unfortunately, this step has to be done manually, the canvas patch of a cluster and the corresponding object in memory are not reactively connected. I presume, this behavioue can be achieved by sub-classing.

```
"../src/lib/utils_graphics.py" 15b
     def clearAxPolygonPatches(ax):
         # Get indices cooresponding to the polygon patches
         for index , patch in zip(range(len(ax.patches)), ax.patches):
             if isinstance(patch, mpl.patches.Polygon) == True:
                 patch.remove()
         \# Remove line patches. These get inserted during the r=2 case,
         # For some strange reason matplotlib does not consider line objects
         # as patches.
         ax.lines[:]=[]
         # To verify that none of the patches
         # are polyon patches corresponding
         # to clusters.
         #pp.pprint (ax.patches)
         applyAxCorrection(ax)
File defined by 14, 15ab, 16.
```

**4.1.4** Now for one of the most important routines for drawing on the canvas! To insert the sites, we double-click the left mouse button and to insert the initial position of the horse and fly we double-click the right mouse-button.

Note that the left mouse-button corresponds to button 1 and right mouse button to button 3 in the code-fragment below.

"../src/lib/utils\_graphics.py" 16=

```
## Also modify to enter initial position of horse and fly
def wrapperEnterRunPoints(fig, ax, run):
    """ Create a closure for the mouseClick event.
   def _enterPoints(event):
       if event.name
                         == 'button_press_event'
                                                       and \
           (event.button == 1 or event.button == 3)
                                                       and \
           event.dblclick == True
                                                       and \
           event.xdata != None
                                                       and \
           event.ydata
                          != None:
            if event.button == 1:
              newPoint = (event.xdata, event.ydata)
              run.sites.append( newPoint )
              patchSize = (x\lim[1]-x\lim[0])/140.0
               ax.add_patch( mpl.patches.Circle( newPoint,
                                              radius = patchSize,
                                              facecolor='blue',
                                              edgecolor='black'
               ax.set_title('Points Inserted: ' + str(len(run.sites)), \
                             fontdict={'fontsize':40})
             if event.button == 3:
                 inithorseposn = (event.xdata, event.ydata)
                 run.inithorseposn = inithorseposn
                 patchSize = (xlim[1]-xlim[0])/70.0
                 # TODO: remove the previous red patches,
                 # which containg ht eold position
                 # of the horse and fly. Doing this is
                 # slightly painful, hence keeping it
                 # for later
                 ax.add_patch( mpl.patches.Circle( inithorseposn,
                                                   radius = patchSize,
                                                   facecolor= '#D13131', #'red',
                                                   edgecolor='black'
            # It is inefficient to clear the polygon patches inside the
             # enterpoints loop as done here.
             # I have just done this for simplicity: the intended behaviour
            # at any rate, is
            # to clear all the polygon patches from the axes object,
            # once the user starts entering in MORE POINTS TO THE CLOUD
             # for which the clustering was just computed and rendered.
             # The moment the user starts entering new points,
             # the previous polygon patches are garbage collected.
             clearAxPolygonPatches(ax)
```

```
applyAxCorrection(ax)
fig.canvas.draw()

return _enterPoints

♦
File defined by 14, 15ab, 16.
```

## Algorithmic Utilities

**4.2.1** Given a list of points  $[p_0, p_1, p_2, .... p_{n-1}]$ . the following function returns,  $[p_1-p_0, p_2-p_1, ..., p_{n-1}-p_{n-2}]$  i.e. it converts the list of points into a consecutive list of numpy vectors. Points should be lists or tuples of length 2

```
"../src/lib/utils_algo.py" 17a=
     import numpy as np
     import random
     from colorama import Fore
     from colorama import Style
     def vector_chain_from_point_list(pts):
         """ Given a list of points [p0,p1,p2,....p(n-1)]
         Make it into a list of numpy vectors
         [p1-p0, p2-p1,...,p(n-1)-p(n-2)]
         Points should be lists or tuples of length 2
         vec_chain = []
         for pair in zip(pts, pts[1:]):
             tail= np.array (pair[0])
             head= np.array (pair[1])
             vec_chain.append(head-tail)
         return vec_chain
File defined by 17ab, 18ab, 19.
```

**4.2.2** Given a polygonal chain, an important computation is to calculate its length. Typically used for computing the length of the horse's and fly's tours.

```
def length_polygonal_chain(pts):
    """ Given a list of points [p0,p1,p2,....p(n-1)]
    calculate the length of its segments.

Points should be lists or tuples of length 2

If no points or just one point is given in the list of points, then 0 is returned.
    """
```

"../src/lib/utils\_algo.py" 17b≡

**4.2.3** The following routine is useful on long lists returned from external solvers. Often point-data is given to and returned from these external routines in flattened form. The following routines are needed to convert such a "flattened" list into a list of points and vice versa.

```
"../src/lib/utils_algo.py" 18a
     def pointify_vector (x):
         """ Convert a vector of even length
         into a vector of points. i.e.
         [x0,x1,x2,...x2n] \rightarrow [[x0,x1],[x2,x3],...[x2n-1,x2n]]
         if len(x) \% 2 == 0:
             pts = []
             for i in range(len(x))[::2]:
                 pts.append( [x[i],x[i+1]] )
             return pts
         else :
             sys.exit('List of items does not have an even length to be able to be pointifyed')
     def flatten_list_of_lists(l):
         """ Flatten vector
           e.g. [[0,1],[2,3],[4,5]] \rightarrow [0,1,2,3,4,5]
         return [item for sublist in 1 for item in sublist]
```

**4.2.4** Python's default print function prints each list on a single line. For debugging purposes, it helps to print a list with one item per line.

```
def print_list(xs):
    """ Print each item of a list on new line
    for x in xs:
        print x
```

File defined by 17ab, 18ab, 19.

"../src/lib/utils\_algo.py" 18b≡

4.2.5 The following routines are self-explanatory and are hence gathered into one chunk.

```
"../src/lib/utils_algo.py" 19 \equiv
     def partial_sums( xs ):
         List of partial sums
         [4,2,3] \rightarrow [4,6,9]
         psum = 0
         acc = []
         for x in xs:
             psum = psum + x
             acc.append( psum )
         return acc
     def are_site_orderings_equal(sites1, sites2):
         For two given lists of points test if they are
         equal or not. We do this by checking the Linfinity
         norm.
         .....
         for (x1,y1), (x2,y2) in zip(sites1, sites2):
             if (x1-x2)**2 + (y1-y2)**2 > 1e-8:
                 print Fore.BLUE+ "Site Orderings are not equal"
                 print sites1
                 print sites2
                 print '----- + Style.RESET_ALL
                 return False
         return True
         print "\n\n\n----"
     def bunch_of_random_points(numpts):
         cluster_size = int(np.sqrt(numpts))
         numcenters = cluster_size
         import scipy
         {\tt import\ random}
         centers = scipy.rand(numcenters,2).tolist()
         scale = 4.0
         points = []
         for c in centers:
             cx = c[0]
             cy = c[1]
                         = min(cx, 1-cx, cy, 1-cy)
             sq_size
             cluster_size = int(np.sqrt(numpts))
             loc_pts_x = np.random.uniform(low=cx-sq_size/scale,
                                              high=cx+sq_size/scale,
                                              size=(cluster_size,))
                         = np.random.uniform(low=cy-sq_size/scale,
             loc_pts_y
                                              high=cy+sq_size/scale,
```

size=(cluster\_size,))

```
points.extend(zip(loc_pts_x, loc_pts_y))
num_remaining_pts = numpts - cluster_size * numcenters
remaining_pts = scipy.rand(num_remaining_pts, 2).tolist()
points.extend(remaining_pts)
return points
```

#### Chapter 5

### Classic Horsefly

#### Module Overview

5.1.1 All algorithms to solve the classic horsefly problems have been implemented in problem\_classic\_horsefly.py. The run\_handler function acts as a kind of main function for this module. It is called from main.py to process the command-line arguments and run the experimental or interactive sections of the code.

"../src/lib/problem\_classic\_horsefly.py" 21a

```
⟨ Relevant imports for classic horsefly 21b⟩
def run_handler():
   ⟨ Define key-press handler 22a⟩
   ⟨ Set up interactive canvas 24b⟩
⟨ Local data-structures for classic horsefly 25⟩
⟨ Local utility functions for classic horsefly 41, ...⟩
⟨ Algorithms for classic horsefly 27, ...⟩
⟨ Plotting routines for classic horsefly 42b⟩
```

Defines: run\_handler Never used.

#### Module Details

#### 5.2.1

 $\langle Relevant \ imports \ for \ classic \ horsefly \ 21b \rangle \equiv$ 

```
from matplotlib import rc
from colorama import Fore
from colorama import Style
from scipy.optimize import minimize
from sklearn.cluster import KMeans
import argparse
import itertools
import math
import matplotlib as mpl
import matplotlib.pyplot as plt
import numpy as np
import os
import pprint as pp
import randomcolor
import sys
import time
```

```
import utils_algo
import utils_graphics
```

Fragment referenced in 21a.

5.2.2 The key-press handler function detects the keys pressed by the user when the canvas is in active focus. This function allows you to set some of the input parameters like speed ratio  $\varphi$ , or selecting an algorithm interactively at the command-line, generating a bunch of uniform or non-uniformly distributed points on the canvas, or just plain clearing the canvas for inserting a fresh input set of points.

```
\langle Define \ key-press \ handler \ 22a \rangle \equiv
      # The key-stack argument is mutable! I am using this hack to my advantage.
      def wrapperkeyPressHandler(fig,ax, run):
              def _keyPressHandler(event):
                   if event.key in ['i', 'I']:
                         \langle \, \mathit{Start} \, \, \mathit{entering} \, \, \mathit{input} \, \mathit{from} \, \, \mathit{the} \, \, \mathit{command-line} \, \, 22b \, \rangle
                   elif event.key in ['n', 'N', 'u', 'U']:
                         ⟨ Generate a bunch of uniform or non-uniform random points on the canvas 23⟩
                   elif event.key in ['c', 'C']:
                         (Clear canvas and states of all objects 24a)
              return _keyPressHandler
Fragment referenced in 21a.
Defines: wrapperkeyPressHandler 24b.
5.2.3
\langle Start \ entering \ input \ from \ the \ command-line \ 22b \rangle \equiv
      phi_str = raw_input(Fore.YELLOW + \
                  "Enter speed of fly (should be >1): " +\
                   Style.RESET_ALL)
      phi = float(phi_str)
      algo_str = raw_input(Fore.YELLOW + \
                  "Enter algorithm to be used to compute the tour:\n Options are:\n" +\
                  (e)
                          Exact \n"
                                                                              +\
                  (t)
                          TSP
                                 \n"
                                 (using approximate L1 ordering)\n"
                  (tl)
                        TSP
                          k2-center
                  (kl) k2-center (using approximate L1 ordering)\n"
                          Greedy\n"
                  (gl) Greedy (using approximate L1 ordering])\n"
               " (ginc) Greedy Incremental " +\
               Style.RESET_ALL)
      algo_str = algo_str.lstrip()
      # Incase there are patches present from the previous clustering, just clear them
      utils_graphics.clearAxPolygonPatches(ax)
      if
           algo_str == 'e':
```

```
horseflytour = \
             run.getTour( algo_dumb,
                          phi )
elif algo_str == 'k':
```

```
horseflytour = \
                  run.getTour( algo_kmeans,
                                phi,
                                k=2,
                                post_optimizer=algo_exact_given_specific_ordering)
           print " "
           print Fore.GREEN, answer['tour_points'], Style.RESET_ALL
     elif algo_str == 'kl':
           horseflytour = \
                  run.getTour( algo_kmeans,
                                phi,
                                k=2,
                                post_optimizer=algo_approximate_L1_given_specific_ordering)
     elif algo_str == 't':
           horseflytour = \
                  run.getTour( algo_tsp_ordering,
                                phi,
                                post_optimizer=algo_exact_given_specific_ordering)
     elif algo_str == 'tl':
           horseflytour = \
                  run.getTour( algo_tsp_ordering,
                                phi,
                                post_optimizer= algo_approximate_L1_given_specific_ordering)
     elif algo_str == 'g':
           horseflytour = \
                  run.getTour( algo_greedy,
                                post_optimizer= algo_exact_given_specific_ordering)
     elif algo_str == 'gl':
           horseflytour = \
                  run.getTour( algo_greedy,
                                phi,
                                post_optimizer= algo_approximate_L1_given_specific_ordering)
     elif algo_str == 'ginc':
           horseflytour = \
                  run.getTour( algo_greedy_incremental_insertion,
                                phi )
     else:
           print "Unknown option. No horsefly for you! ;-D "
           sys.exit()
     #print horseflytour['tour_points']
     plotTour(ax,horseflytour, run.inithorseposn, phi, algo_str)
     utils_graphics.applyAxCorrection(ax)
     fig.canvas.draw()
Fragment referenced in 22a.
Uses: algo_exact_given_specific_ordering 29, algo_greedy_incremental_insertion 32, plotTour 42b.
```

5.2.4 This chunk generates points uniformly or non-uniformly distributed in the unit square  $[0,1]^2$  in the Matplotlib canvas. I will document the schemes used for generating the non-uniformly distributed points later. These schemes are important to test the effectiveness of the horsefly algorithms. Uniform point clouds do no highlight the weaknesses of sequencing algorithms as David Johnson implies in his article on how to write experimental algorithm papers when he talks about algorithms for the TSP.

```
⟨ Generate a bunch of uniform or non-uniform random points on the canvas 23⟩ ≡
numpts = int(sys.argv[1])
```

```
run.clearAllStates()
      ax.cla()
     utils_graphics.applyAxCorrection(ax)
      ax.set_xticks([])
      ax.set_yticks([])
      fig.texts = []
     import scipy
      if event.key in ['n', 'N']: # Non-uniform random points
              run.sites = utils_algo.bunch_of_random_points(numpts)
      else: # Uniform random points
              run.sites = scipy.rand(numpts,2).tolist()
     patchSize = (utils_graphics.xlim[1]-utils_graphics.xlim[0])/140.0
      for site in run.sites:
          ax.add_patch(mpl.patches.Circle(site, radius = patchSize, \
                        facecolor='blue',edgecolor='black' ))
      ax.set_title('Points : ' + str(len(run.sites)), fontdict={'fontsize':40})
      fig.canvas.draw()
Fragment referenced in 22a.
5.2.5
\langle Clear \ canvas \ and \ states \ of \ all \ objects \ 24a \rangle \equiv
     run.clearAllStates()
     ax.cla()
     utils_graphics.applyAxCorrection(ax)
     ax.set_xticks([])
     ax.set_yticks([])
      fig.texts = []
      fig.canvas.draw()
Fragment referenced in 22a.
5.2.6
\langle Set \ up \ interactive \ canvas \ 24b \rangle \equiv
      fig, ax = plt.subplots()
     run = HorseFlyInput()
      #print run
      ax.set_xlim([utils_graphics.xlim[0], utils_graphics.xlim[1]])
      ax.set_ylim([utils_graphics.ylim[0], utils_graphics.ylim[1]])
      ax.set_aspect(1.0)
      ax.set_xticks([])
      ax.set_yticks([])
     mouseClick = utils_graphics.wrapperEnterRunPoints (fig,ax, run)
```

```
fig.canvas.mpl_connect('button_press_event' , mouseClick )
     keyPress
                   = wrapperkeyPressHandler(fig,ax, run)
     fig.canvas.mpl_connect('key_press_event', keyPress
     plt.show()
Fragment referenced in 21a.
Uses: HorseFlyInput 25, wrapperkeyPressHandler 22a.
```

#### Local Data Structures

5.3.1 This class manages the input and the output of the result of calling various horsefly algorithms.

```
\langle Local \ data\text{-}structures \ for \ classic \ horsefly \ 25 \rangle \equiv
     class HorseFlyInput:
           def __init__(self, sites=[], inithorseposn=()):
                 self.sites
                                    = sites
                 self.inithorseposn = inithorseposn
           def clearAllStates (self):
                """ Set the sites to an empty list and initial horse position
                to the empty tuple.
                self.sites = []
                self.inithorseposn = ()
            def getTour(self, algo, speedratio, k=None, post_optimizer=None):
                """ This method runs an appropriate algorithm for calculating
                a horsefly tour. The list of possible algorithms are
                inside this module prefixed with 'algo_'
               The output is a dictionary of size 2, containing two lists,
                - Contains the vertices of the polygonal
                 path taken by the horse
                - The list of sites in the order
                  in which they are serviced by the tour, i.e. the order
                 in which the sites are serviced by the fly.
                if k==None and post_optimizer==None:
                      return algo(self.sites, self.inithorseposn, speedratio)
                elif k == None:
                      return algo(self.sites, self.inithorseposn, speedratio, post_optimizer)
                else:
                      #print Fore.RED, self.sites, Style.RESET_ALL
                      return algo(self.sites, self.inithorseposn, speedratio, k, post_optimizer)
            def __repr__(self):
                """ Printed Representation of the Input for HorseFly
                if self.sites != []:
                    tmp = ''
                    for site in self.sites:
                        tmp = tmp + '\n' + str(site)
```

Now that all the boring boiler-plate and handler codes have been written, its finally time for algorithmic ideas and implementations! Every algorithm is given an algorithmic overview followed by the detailed steps woven together with the source code.

Any local utility functions, needed for algorithmic or graphing purposes are collected at the end of this chapter.

### Algorithm: Dumb Brute force

#### 5.4.1 Algorithmic Overview

#### 5.4.2 Algorithmic Details

```
\langle Algorithms for classic horsefly 27 \rangle \equiv
     def algo_dumb(sites, horseflyinit, phi):
         """ For each of the n factorial ordering of sites
         find the ordering which gives the smallest horsefly
         tour length
         tour_length_fn = tour_length(horseflyinit)
         best_tour = algo_exact_given_specific_ordering(sites, horseflyinit, phi)
         i = 0
         for sites_perm in list(itertools.permutations(sites)):
             print "Testing a new permutation ", i, " of the sites"; i = i + 1
             #tour_for_current_perm = algo_exact_given_specific_ordering (sites_perm, \
                                                                               horseflyinit, phi)
             tour_for_current_perm = algo_exact_given_specific_ordering (sites_perm, \
                                                                             horseflyinit, phi)
             if tour_length_fn(utils_algo.flatten_list_of_lists(tour_for_current_perm ['tour_points']) ) \
              < tour_length_fn(utils_algo.flatten_list_of_lists(
                                                                                best_tour ['tour_points']) ):
                      best_tour = tour_for_current_perm
                      print Fore.RED + "Found better tour!" + Style.RESET_ALL
         #print Fore.RED + "\nHorse Waiting times are ",\
                  best_tour['horse_waiting_times'] , \
                  Style.RESET_ALL
         return best_tour
Fragment defined by 27, 28, 29, 32.
Fragment referenced in 21a.
Uses: algo_exact_given_specific_ordering 29, tour_length 41.
```

## Algorithm: Greedy—Nearest Neighbor

#### 5.5.1 Algorithmic Overview

**5.5.2** Before proceeding we give a special case of the classical horseflies problem, which we term "collinear-horsefly". Here the objective function is again to minimize the tour-length of the drone with the additional restriction that the truck must always be moving in a straight line towards the site on the line-segment joining itself and the site, while the drone is also restricted to travelling along the same line segment.

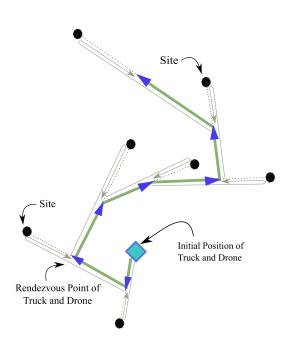


Figure 5.1: The Collinear Horsefly Problem

We can show that an optimal (unrestricted) horsfly solution can be converted to a collinear-horsefly solution at a constant factor increase in the makespan.

#### 5.5.3 Algorithmic Details

```
\langle Algorithms for classic horsefly 28 \rangle \equiv
```

def algo\_greedy(sites, inithorseposn, phi, post\_optimizer):

This implements the greedy algorithm for the canonical greedy algorithm for collinear horsefly, and then uses the ordering obtained to get the exact tour for that given ordering.

Many variations on this are possible. However, this algorithm is simple and may be more amenable to theoretical analysis.

We will need an inequality for collapsing chains however.

def next\_rendezvous\_point\_for\_horse\_and\_fly(horseposn, site):

Just use the exact solution when there is a single site. No need to use the collinear horse formula which you can explicitly derive. That formula is an important super-special case however to benchmark quality of solution.

horseflytour = algo\_exact\_given\_specific\_ordering([site], horseposn, phi)
return horseflytour['tour\_points'][-1]

- # Begin the recursion process where for a given initial
- # position of horse and fly and a given collection of sites
- # you find the nearst neighbor proceed according to segment

```
# horsefly formula for just and one site, and for the new
                               # position repeat the process for the remaining list of sites.
                               # The greedy approach can be extended to by finding the k
                              # nearest neighbors, constructing the exact horsefly tour
                               # there, at the exit point, you repeat by taking k nearest
                               # neighbors and so on.
                               def greedy(current_horse_posn, remaining_sites):
                                               if len(remaining_sites) == 1:
                                                               return remaining_sites
                                              else:
                                                               # For reference see this link on how nn queries are performed.
                                                               # https://docs.scipy.org/doc/scipy/reference/generated/scipy.spatial.KDTree.query.html
                                                               # Warning this is inefficient!!! I am rebuilding the
                                                               # kd-tree at each step. Right now, I am only doing
                                                               # this for convenience.
                                                               from scipy import spatial
                                                               tree = spatial.KDTree(remaining_sites)
                                                               # The next site to get serviced by the drone and horse
                                                               # is the one which is closest to the current position of the
                                                               # horse.
                                                               pts
                                                                                                     = np.array([current_horse_posn])
                                                               query_result = tree.query(pts)
                                                               next_site_idx = query_result[1][0]
                                                               next_site
                                                                                                    = remaining_sites[next_site_idx]
                                                               next_horse_posn = \
                                                                               next_rendezvous_point_for_horse_and_fly(current_horse_posn, next_site)
                                                               #print remaining_sites
                                                               remaining_sites.pop(next_site_idx) # the pop method modifies the list in place.
                                                               return [ next_site ] + greedy (current_horse_posn = next_horse_posn, \
                                                                                                                                                   remaining_sites
                                                                                                                                                                                                       = remaining_sites)
                              sites1 = sites[:]
                              sites_ordered_by_greedy = greedy(inithorseposn, remaining_sites=sites1)
                               # Use exact solver for the post optimizer step
                              answer = post_optimizer(sites_ordered_by_greedy, inithorseposn, phi)
                              return answer
             \Diamond
Fragment defined by 27, 28, 29, 32.
Fragment referenced in 21a.
Uses: algo_exact_given_specific_ordering 29.
5.5.4
\langle Algorithms for classic horsefly 29 \rangle \equiv
              # ALGORITHMS FOR SINGLE HORSE SINGLE FLY SERVICING THE SITES IN THE GIVEN ORDER
              def algo_exact_given_specific_ordering (sites, horseflyinit, phi):
                         """ Use the *given* ordering of sites to compute a good tour
                         for the horse.
                         def ith_leg_constraint(i, horseflyinit, phi, sites):
                                    """ For the ith segment of the horsefly tour
                                    this function returns a constraint function which
                                   models the fact that the time taken by the fly % \frac{1}{2}\left( \frac{1}{2}\right) =\frac{1}{2}\left( \frac{1}{2}\right) =
                                   is equal to the time taken by the horse along
```

```
that particular segment.
   if i == 0 :
       def _constraint_function(x):
            #print "Constraint ", i
            start = np.array (horseflyinit)
            site = np.array (sites[0])
            stop = np.array ([x[0],x[1]])
            horsetime = np.linalg.norm( stop - start )
            flytime_to_site = 1/phi * np.linalg.norm( site - start )
            flytime_from_site = 1/phi * np.linalg.norm( stop - site )
            flvtime
                             = flytime_to_site + flytime_from_site
            return horsetime-flytime
        return _constraint_function
    else :
        def _constraint_function(x):
           #print "Constraint ", i
           start = np.array ( [x[2*i-2], x[2*i-1]] )
           site = np.array ( sites[i])
           stop = np.array ( [x[2*i] , x[2*i+1]] )
          horsetime = np.linalg.norm( stop - start )
           flytime_to_site = 1/phi * np.linalg.norm( site - start )
           flytime_from_site = 1/phi * np.linalg.norm( stop - site )
                            = flytime_to_site + flytime_from_site
           return horsetime-flytime
        return _constraint_function
def generate_constraints(horseflyinit, phi, sites):
    """ Given input data, of the problem generate the
    constraint list for each leg of the tour. The number
    of legs is equal to the number of sites for the case
    of single horse, single drone
    .....
   cons = []
    for i in range(len(sites)):
        cons.append( { 'type':'eq',
                        'fun': ith_leg_constraint(i,horseflyinit,phi, sites) } )
    return cons
cons = generate_constraints(horseflyinit, phi, sites)
# Since the horsely tour lies inside the square,
# the bounds for each coordinate is 0 and 1
#x0 = np.empty(2*len(sites))
#x0.fill(0.5) # choice of filling vector with 0.5 is arbitrary
x0 = utils_algo.flatten_list_of_lists(sites) # the initial choice is just the sites
assert(len(x0) == 2*len(sites))
x0 = np.array(x0)
sol = minimize(tour_length(horseflyinit), x0, method= 'SLSQP', \
```

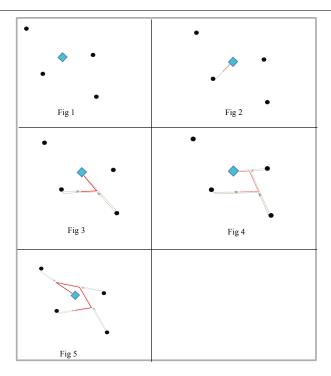
```
constraints=cons, options={'maxiter':500})
         tour_points = utils_algo.pointify_vector(sol.x)
         # return the waiting times for the horse
                              = len(sites)
         numsites
                              = horseflyinit[0]
         alpha
         beta
                              = horseflyinit[1]
                              = utils_algo.flatten_list_of_lists(sites)
         horse_waiting_times = np.zeros(numsites)
                              = sol.x
         for i in range(numsites):
             if i == 0 :
                 horse_time
                                     = np.sqrt((ps[0]-alpha)**2 + (ps[1]-beta)**2)
                 fly_time_to_site = 1.0/phi * np.sqrt((s[0]-alpha)**2 + (s[1]-beta)**2)
                 fly_time_from_site = 1.0/phi * np.sqrt((s[0]-ps[1])**2 + (s[1]-ps[1])**2)
                 horse_time
                                     = np.sqrt((ps[2*i]-ps[2*i-2])**2 + (ps[2*i+1]-ps[2*i-1])**2)
                                     = 1.0/phi * np.sqrt(( (s[2*i]-ps[2*i-2])**2 + (s[2*i+1]-ps[2*i-1])**2 ))
                 fly_time_from_site = 1.0/phi * np.sqrt(((s[2*i]-ps[2*i])**2 + (s[2*i+1]-ps[2*i+1])**2))
             horse_waiting_times[i] = horse_time - (fly_time_to_site + fly_time_from_site)
         return {'tour_points'
                                               : tour_points,
                  'horse_waiting_times'
                                               : horse_waiting_times,
                  'site_ordering'
                                               : sites,
                  'tour_length_with_waiting_time_included': \
                                             tour_length_with_waiting_time_included(\
                                                           tour_points, \
                                                           horse_waiting_times,
                                                           horseflyinit)}
Fragment defined by 27, 28, 29, 32.
Fragment referenced in 21a.
Defines: algo_exact_given_specific_ordering 22b, 27, 28.
```

## Algorithm: Greedy—Incremental Insertion

#### Algorithmic Overview

Uses: tour\_length 41, tour\_length\_with\_waiting\_time\_included 42a.

- **5.6.1** The greedy nearest neighbor heuristic described in section 5.5 gives an  $O(\log n)$  approximation for n sites in the plane. However, there exists an alternative greedy incremental insertion algorithm for the TSP that yields a 2-approximation. Similar to the greedy-nn algorithm we can generalize the greedy-incremental approach to the collinear-horseflies setting (cf: Figure 5.1).
- **5.6.2** In this approach, we maintain a list of visited sites V (along with the order of visitation  $\mathcal{O}$ ) and the unvisited sites U. For the given collinear-horsefly tour serving V pick a site s from U along with a position in  $\mathcal{O}$  (calling the resulting ordering  $\mathcal{O}'$ ) that minimizes the cost of the horsefly tour serving the sites  $V \cup \{s\}$  in the order  $\mathcal{O}'$ .



The figure above depicts the incremental insertion process for the case of 4 sites and  $\varphi = 3$ . The implementation of this algorithm for collinear-horseflies raises several interesting non-trivial data-structural questions in their own right: how to quickly find the site from U to insert into V, and keep track the changing length of the horsefly tour. Note that inserting a site causes the length of the tour of the truck to change, for all the sites after s.

#### Algorithmic Details

**5.6.3** The implementation of the algorithm is "parametrized" over various strategies for insertion. i.e. we treat each insertion policy as a black-box argument to the function.

Efficient policies for detecting the exact or approximate point for cheapest insertion will be described in section 5.7. We also implement a "naive" policy as a way benchmark the quality and speed of implementation of future insertion policies.

```
\langle Algorithms for classic horsefly 32 \rangle \equiv
      ⟨ Define auxiliary helper functions 36a, . . . ⟩
      ⟨ Define various insertion policy classes 38a ⟩
      def algo_greedy_incremental_insertion(sites, inithorseposn, phi,
                                                   insertion_policy_name = "naive",
                                                   log_level
                                                                              = None,
                                                   write_io
                                                                              = True,
                                                   post_optimizer
                                                                              = None):
             ⟨ Set log, algo-state and input-output files config 33a⟩
             ⟨ Set insertion policy class for current run 33b ⟩
             while insertion_policy.unvisited_sites_idxs:
                 ( Use insertion policy to find the cheapest site to insert into current tour 34a)
                 ⟨ Write algorithm's current state to file 34b⟩
             ⟨ Write input and output to file 34c⟩
             ⟨ Return horsefly tour, along with additional information 35⟩
```

Fragment defined by 27, 28, 29, 32.

Fragment referenced in 21a. Defines: algo\_greedy\_incremental\_insertion 22b.

**5.6.4** Note that for each run of the algorithm, we create a dedicated directory and use a corresponding log file written as an AsciiDoc file written to that directory. It will typically containe detailed information on the progress of the algorithm and the steps executed. For the sake of neat formatting, the .adoc file will be converted to an HTML file via asciidoctor-latex.

For algorithm analysis, and verification of correctness, on the other hand, we will typically be interested in the states of the data-structures at the end of the while loop; each such state will be written out as a YAML file (that will also be accessible from the log file.) Such files can be useful for animating the algorithm.

Finally, just before returning the answer, we write the input and output to a separate YAML file. Thus all in all, there are three "types" of output files within each directory that corresponds to an algorithm's run: a log file, algorithm states files, and finally an input-output file.

 $\langle$  Set log, algo-state and input-output files config 33a $\rangle$   $\equiv$ 

```
import sys, logging, datetime, os, errno
                    = 'algo-greedy-incremental-insertion'
     algo name
                    = datetime.datetime.now().strftime('Day-%Y-%m-%d_ClockTime-%H:%M:%S')
     time_stamp
                   = algo_name + '---' + time_stamp
     dir name
     log_file_name = dir_name + '/' + 'run.log'
     # Create directory for writing data-files and logs to for
     # current run of this algorithm
     try:
         os.makedirs(dir_name)
     except OSError as e:
         if e.errno != errno.EEXIST:
             raise
     logging.basicConfig( filename = log_file_name,
                           level
                                    = logging.DEBUG,
                           format
                                    = '%(asctime)s: %(levelname)s: %(message)s',
                           filemode = 'w' )
     logger = logging.getLogger()
     logger.info("Started running greedy_incremental_insertion for classic horsefly")
     algo_state_counter = 0
Fragment referenced in 32.
Defines: algo_state_counter 34b.
```

**5.6.5** This fragment merely sets the variable **insertion\_policy** to the appropriate function. This will later help us in studying the speed of the algorithm and quality of the solution for various insertion policies during the experimental analysis.

```
⟨ Set insertion policy class for current run 33b⟩ ≡

if insertion_policy_name == "naive":
    insertion_policy = PolicyNaive(sites, inithorseposn, phi)
else:
    print insertion_policy_name
```

```
sys.exit("Unknown insertion policy: ")
logger.debug("Finished setting insertion policy: " + insertion_policy_name)

Fragment referenced in 32.
```

**5.6.6** Note that while defining the body of the algorithm, we treat the insertion policy (whose name has already been passed as an string argument) as a kind of black-box, since all policy classes have the same interface. The detailed implementation for the various insertion policies are given later.

 $\langle$  Use insertion policy to find the cheapest site to insert into current tour 34a $\rangle$   $\equiv$ 

```
insertion_policy.insert_another_unvisited_site()
logger.debug("Inserted another unvisited site")
```

Fragment referenced in 32.

Fragment referenced in 32. Uses: algo\_state\_counter 33a.

 $\langle Write input and output to file 34c \rangle \equiv$ 

#### 5.6.7

```
\langle Write \ algorithm's \ current \ state \ to \ file \ 34b \rangle \equiv
     import yaml
     algo_state_file_name = 'algo_state_'
                              str(algo_state_counter).zfill(5) + \
                               '.yml'
     data = {'insertion_policy_name' : insertion_policy_name
                                        : [insertion_policy.sites[u] \
              'unvisited_sites'
                                                for u in insertion_policy.unvisited_sites_idxs],
              'visited_sites'
                                        : insertion_policy.visited_sites
              'horse_tour'
                                        : insertion_policy.horse_tour }
     with open(dir_name + '/' + algo_state_file_name, 'w') as outfile:
          yaml.dump( data
                       outfile, \
                       default_flow_style = False)
     algo_state_counter = algo_state_counter + 1
     logger.debug("Dumped algorithm state to " + algo_state_file_name)
```

**5.6.8** The answer is reported in the form of a YAML file, which lists the input sites in the order of visitation computed by the algorithm and gives the tour of the horse. Note that the number of points on the horse's tour is 1 more than the number of given sites.

```
# ASSERT: `inithorseposn` is included as first point of the tour
assert(len(insertion_policy.horse_tour) == len(insertion_policy.visited_sites) + 1)
# ASSERT: All sites have been visited. Simple sanity check
```

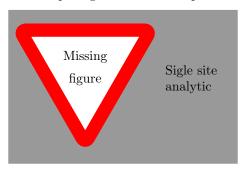
```
assert(len(insertion_policy.sites) == len(insertion_policy.visited_sites))
     io_file_name = 'input_and_output.yml'
     data = {'insertion_policy_name' : insertion_policy_name
            'visited_sites' : insertion_policy.visited_sites ,
            'horse_tour'
                           : insertion_policy.horse_tour
            'phi'
                           : insertion_policy.phi
            'inithorseposn' : insertion_policy.inithorseposn}
    with open(dir_name + '/' + io_file_name, 'w') as outfile:
         yaml.dump( data, \
                   outfile, \
                   default_flow_style=False)
     logger.debug("Dumped input and output to " + io_file_name)
     # Read back the data for a quick sanity-check
     # of result before returning answer.
     import utils_algo
     with open(dir_name + '/' + io_file_name, 'r') as stream:
         data_loaded = yaml.load(stream)
    print '\n-----'
    print "INITIAL HORSEPOSITION "
    print data_loaded['inithorseposn']
    print '\n-----'
    print "SPEED RATIO"
    print data_loaded['phi']
    print '\n-----'
    print "HORSE TOUR"
     utils_algo.print_list(data_loaded['horse_tour'])
    print '\n-----'
    print "VISITED SITES ARE"
    utils_algo.print_list(data_loaded['visited_sites'])
    print '\n-----'
    logger.debug("Reading back data from files printed out for sanity-check of data-written")
Fragment referenced in 32.
5.6.9
\langle Return \ horsefly \ tour, \ along \ with \ additional \ information \ 35 \rangle \equiv
     logger.debug("Returning answer")
    horse_waiting_times = np.zeros(len(sites))
    return {'tour_points'
                                       : insertion_policy.horse_tour[1:],
            'horse_waiting_times'
                                       : horse_waiting_times,
            'site_ordering'
                                       : insertion_policy.visited_sites,
            'tour_length_with_waiting_time_included': \
                                         tour_length_with_waiting_time_included(\
                                                     insertion_policy.horse_tour[1:], \
                                                     horse_waiting_times, \
                                                     inithorseposn)}
```

Fragment referenced in 32. Uses: tour\_length\_with\_waiting\_time\_included 42a.

5.6.10 We now define some of the functions that were referred to in the above chunks. Given the intial position of the truck and drone, and a list of sites, we need to compute the collinear horsefly tour length for the given ordering. This is the function that is used in every policy class while deciding which is the cheapest unvisited site to insert into the current ordering of visited sites.

Note that the order in which sites are passed to this function matters. It assumes that you want to compute the collinear horseflies tour length for the sites in the given order.

For this, we use the formula for computing the rendezvous point and



 $\langle Define \ auxiliary \ helper \ functions \ 36a \rangle \equiv$ 

Fragment defined by 36ab, 37. Fragment referenced in 32.

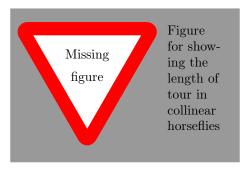
```
def single_site_solution(site, horseposn, phi):
    h = np.asarray(horseposn)
    s = np.asarray(site)

    hs_mag = 1.0/np.linalg.norm(s-h)
    hs_unit = 1.0/hs_mag * (s-h)

    r = h + 2*hs_mag/(1+phi) * hs_unit # Rendezvous point
    hr_mag = np.linalg.norm(r-h)

    return (tuple(r), hr_mag)
```

With that the tour length functions for collinear horseflies can be implemented as an elementary instance of the fold pattern of functional programming. <sup>1</sup>



 $\langle Define \ auxiliary \ helper \ functions \ 36b \rangle \equiv$ 

def compute\_collinear\_horseflies\_tour\_length(sites, horseposn, phi):

<sup>&</sup>lt;sup>1</sup>Python has folds tucked away in some corner of its standard library. But I am not using it during the first hacky portion of this draft. Also Shane mentioned it has performance issues? Double-check this later!

```
if not sites: # No more sites, left to visit!
                return 0
                          # Some sites are still left on the itinerary
           else:
                (rendezvous_pt, horse_travel_length) = single_site_solution(sites[0], horseposn, phi )
                return horse_travel_length + \
                        compute_collinear_horseflies_tour_length( sites[1:], rendezvous_pt, phi )
     \Diamond
Fragment defined by 36ab, 37.
Fragment referenced in 32.
Defines: compute_collinear_horseflies_tour_length 39ac.
5.6.11
\langle Define \ auxiliary \ helper \ functions \ 37 \rangle \equiv
     def compute_collinear_horseflies_tour(sites, inithorseposn, phi):
            horseposn
                                = inithorseposn
            horse_tour_points = [inithorseposn]
            for site in sites:
                (rendezvous_pt, _) = single_site_solution(site, horseposn, phi )
                horse_tour_points.append(rendezvous_pt)
                horseposn = rendezvous_pt
            return horse_tour_points
Fragment defined by 36ab, 37.
Fragment referenced in 32.
```

#### Insertion Policies

We have finished implemented the entire algorithm, except for the implementation of the various insertion policy classes.

The main job of an insertion policy class is to keep track of the unvisited sites, the order of the visited sites and the horsefly tour itself. Every time, the method <code>.get\_next\_site(...)</code> is called, it chooses an appropriate (i.e. cheapest) unvisited site to insert into the current ordering, and update the set of visited and unvisited sites and details of the horsefly tour.

To do this quickly it will typically need auxiliary data-structures whose specifics will depend on the details of the policy chosen.

**5.7.1** Naive Insertion First, a naive implementation of the cheapest insertion heuristic, that will be useful in future benchmarking of running times and solution quality for implementations that are quicker but make more sophisticated uses of data-structures.

In this policy for each unvisited site we first find the position in the current tour, which after insertion into that position amongst the visited sites yields the smallest increase in the collinear-horseflies tour-length.

Then we pick the unvisited site which yields the overall smallest increase in tour-length and insert it into its computed position from its previous paragraph.

Clearly this implementation and has at least quadratic running time. Later on, we will be investigating algorithms and data-structures for speeding up this operation.

The hope is to be able to find a dynamic data-structure to perform this insertion in logarithmic time. Variations on tools such as the well-separated pair decomposition might help achieve this goal. Jon Bentley used kd-trees to perform the insertion in his experimental TSP paper, but he wasn't dealing with the shifting tour structure as we have in horseflies. Also he did not deal with the question of finding an approximate point for insertion. These

Since the interface for all policy classes will be the same, it is best, if have a base class for such classes. Since the details of the interface may change, I'll probably do this later. For now, I'll just keep all the policy classes completely separate while keeping the interface of the constructors and methods the same. I'll refactor things later.

The plan in that case should be to make an abstract class that has an abstract method called insert\_unvisited\_site and three data-fields made from the base-constructor named sites, inithorseposn and phi. Classes which inherit this abstract base class, will add their own local data-members and methods for keeping track of data for insertion.

 $\langle Define \ various \ insertion \ policy \ classes \ 38a \rangle \equiv$ 

```
class PolicyNaive:
   def __init__(self, sites, inithorseposn, phi):
         self.sites
                              = sites
         self.inithorseposn
                              = inithorseposn
         self.phi
                              = phi
         self.visited_sites
                                    = []
                                                        # The actual list of visited sites (not indices)
         self.unvisited_sites_idxs = range(len(sites)) # This indexes into self.sites
         self.horse_tour
                                    = [self.inithorseposn]
    ⟨ Methods for PolicyNaive 38b⟩
```

Fragment referenced in 32.

Fragment referenced in 38a.

#### 5.7.3

```
\langle Methods for PolicyNaive 38b \rangle \equiv
      def insert_another_unvisited_site(self):
            (Compute the length of the tour that currently services the visited sites 39a)
           delta_increase_least_table = [] # tracking variable updated in for loop below
           for u in self.unvisited_sites_idxs:
                ⟨ Set up tracking variables local to this iteration 39b⟩
                (If self.sites[u] is chosen for insertion, find best insertion position and update delta_increase_least_table 39
           (Find the unvisited site which on insertion increases tour-length by the least amount 40a)
            ⟨ Update states for PolicyNaive 40b⟩
     \quad
```

Defines: delta\_increase\_least\_table 39c, 40a, insert\_another\_unvisited\_site, Never used.

#### 5.7.4

```
\langle Compute the length of the tour that currently services the visited sites 39a\rangle \equiv
      current_tour_length
                               = \
                compute_collinear_horseflies_tour_length(\
                            self.visited_sites,\
                            self.inithorseposn,\
                            self.phi)
      \quad
Fragment referenced in 38b.
Defines: current_tour_length 39c.
Uses: {\tt compute\_collinear\_horseflies\_tour\_length~36b}.
5.7.5
\langle Set up tracking variables local to this iteration 39b\rangle \equiv
      ibest
                             = 0
      delta_increase_least = float("inf")
Fragment referenced in 38b.
Defines: delta_increase_least 39c, ibest, 39c.
5.7.6
(If self.sites[u] is chosen for insertion, find best insertion position and update
delta\_increase\_least\_table 39c \rangle \equiv
      for i in range(len(self.sites)):
                   visited_sites_test = self.visited_sites[:i] +\
                                           [ self.sites[u] ]
                                           self.visited_sites[i:]
                   tour_length_on_insertion = \
                                compute_collinear_horseflies_tour_length(\
                                            visited_sites_test,\
                                            self.inithorseposn,\
                                            self.phi)
                   delta_increase = tour_length_on_insertion - current_tour_length
                   assert(delta_increase >= 0)
                   if delta_increase < delta_increase_least:</pre>
                          delta_increase_least = delta_increase
                          ibest
                                                  = i
      delta_increase_least_table.append({'unvisited_site_idx'
                                                                          : u
                                             'best_insertion_position' : ibest, \
                                             'delta_increase'
                                                                      : delta_increase_least})
```

Fragment referenced in 38b. Uses: compute\_collinear\_horseflies\_tour\_length 36b, current\_tour\_length 39a, delta\_increase\_least 39b, delta\_increase\_least\_table 38b, ibest, 39b.

#### 5.7.7

```
\langle Find the unvisited site which on insertion increases tour-length by the least amount 40a\rangle
     best_table_entry = min(delta_increase_least_table, key = lambda x: x['delta_increase'])
     unvisited_site_idx_for_insertion = best_table_entry['unvisited_site_idx']
                                        = best_table_entry['best_insertion_position']
     insertion_position
     delta_increase
                                         = best_table_entry['delta_increase']
Fragment referenced in 38b.
Uses: delta_increase_least_table 38b.
5.7.8
\langle Update \ states \ for \ PolicyNaive \ 40b \rangle \equiv
     # Update visited and univisted sites info
     self.visited_sites = self.visited_sites[:insertion_position]
                            [self.sites[unvisited_site_idx_for_insertion]] +\
                            self.visited_sites[insertion_position:]
     self.unvisited_sites_idxs = filter( lambda elt: elt != unvisited_site_idx_for_insertion, \
                                            self.unvisited_sites_idxs )
     # Update the tour of the horse
     self.horse_tour = compute_collinear_horseflies_tour(\
                                   self.visited_sites,
                                   self.inithorseposn, \
                                   self.phi)
     \Diamond
```

Fragment referenced in 38b.

## Algorithm: Bottom-Up Split

- 5.8.1 Algorithmic Overview
- 5.8.2 Algorithmic Details

## Algorithm: Local Search—Swap

5.9.1 Algorithmic Overview

#### 5.9.2 Algorithmic Details

## Algorithm: K2 Means

- 5.10.1 Algorithmic Overview
- 5.10.2 Algorithmic Details

## Lower Bound: $\varphi$ -MST

- 5.11.1 Overview
- 5.11.2 Computing the Lower-Bound

### Local Utility Functions

5.12.1 For a given initial position of horse and fly return a function computing the tour length. The returned function computes the tour length in the order of the list of stops provided beginning with the initial position of horse and fly. Since the horse speed = 1, the tour length = time taken by horse to traverse the route.

This is in other words the objective function.

```
\langle Local \ utility \ functions \ for \ classic \ horsefly \ 41 \rangle \equiv
      def tour_length(horseflyinit):
         def _tourlength (x):
               # the first point on the tour is the
               # initial position of horse and fly
               # Append this to the solution x = [x0, x1, x2, ....]
               # at the front
               htour = np.append(horseflyinit, x)
               length = 0
               for i in range(len(htour))[:-3:2]:
                        length = length + \
                                  np.linalg.norm([htour[i+2] - htour[i], \
                                                     htour[i+3] - htour[i+1]])
               return length
         return _tourlength
      \Diamond
Fragment defined by 41, 42a.
Fragment referenced in 21a.
Defines: tour_length 27, 29, 42b.
```

5.12.2 It is possible that some heuristics might return non-negligible waiting times. Hence I am writing a separate function which adds the waiting time (if it is positive) to the length of each link of the tour. Again note that because speed of horse = 1, we can add "time" to "distance".

```
\langle Local \ utility \ functions \ for \ classic \ horsefly \ 42a \rangle \equiv
```

```
def tour_length_with_waiting_time_included(tour_points, horse_waiting_times, horseflyinit):
           tour_points = np.asarray([horseflyinit] + tour_points)
           tour_links
                         = zip(tour_points, tour_points[1:])
           # the +1 because the inital position has been tacked on at the beginning
           # the solvers written the tour points except for the starting position
           # because that is known and part of the input. For this function
           # I need to tack it on for tour length
           assert(len(tour_points) == len(horse_waiting_times)+1)
           sum = 0
           for i in range(len(horse_waiting_times)):
               # Negative waiting times means drone/fly was waiting
               # at rendezvous point
               if horse_waiting_times[i] >= 0:
                    wait = horse_waiting_times[i]
               else:
                    wait = 0
               sum += wait + np.linalg.norm(tour_links[i][0] - tour_links[i][1], ord=2) #
           return sum
Fragment defined by 41, 42a.
Fragment referenced in 21a.
Defines: tour_length_with_waiting_time_included 29, 35, 42b.
```

### Plotting Routines

#### 5.13.1

```
def plotTour(ax,horseflytour, horseflyinit, phi, algo_str, tour_color='#d13131'):
    """ Plot the tour on the given canvas area
    """

# Route for the horse
    xhs, yhs = [horseflyinit[0]], [horseflyinit[1]]
    for pt in horseflytour['tour_points']:
        xhs.append(pt[0])
        yhs.append(pt[1])

# List of sites
    xsites, ysites = [], []
    for pt in horseflytour['site_ordering']:
        xsites.append(pt[0])
        ysites.append(pt[1])
```

```
# Route for the fly. The fly keeps alternating
         # between the site and the horse
         xfs , yfs = [xhs[0]], [yhs[0]]
         for site, pt in zip (horseflytour['site_ordering'],
                             horseflytour['tour_points']):
            xfs.extend([site[0], pt[0]])
            yfs.extend([site[1], pt[1]])
         print "\n----"
         print "Horse Tour"
         print "----"
         waiting_times = [0.0] + horseflytour['horse_waiting_times'].tolist() # the waiting time at the starting
         #print waiting_times
         for pt, time in zip(zip(xhs,yhs), waiting_times) :
            print pt, Fore.GREEN, " ---> Horse Waited ", time, Style.RESET_ALL
         print "\n----"
         print "Fly Tour"
         print "----"
         for item, i in zip(zip(xfs,yfs), range(len(xfs))):
            if i%2 == 0:
               print item
            else :
               print Fore.RED + str(item) + "----> Site" + Style.RESET_ALL
         print "-----"
         print Fore.GREEN, "\nSpeed of the drone was set to be", phi
         #tour_length = utils_algo.length_polygonal_chain( zip(xhs, yhs))
         tour_length = horseflytour['tour_length_with_waiting_time_included']
         print "Tour length of the horse is ", tour_length
         print "Algorithm code-Key used " , algo_str, Style.RESET_ALL
         print "-----\n"
         #kwargs = {'size':'large'}
         for x,y,i in zip(xsites, ysites, range(len(xsites))):
              ax.text(x, y, str(i+1), bbox=dict(facecolor='#ddcba0', alpha=1.0))
         ax.plot(xfs,yfs,'g-') # fly tour is green
         ax.plot(xhs, yhs, color=tour_color, marker='s', linewidth=3.0) # horse is red
         # Initial position of horse and fly
         ax.add_patch( mpl.patches.Circle( horseflyinit,
                                          radius = 1/34.0,
                                          facecolor= '#D13131', #'red',
                                          edgecolor='black' ) )
         fontsize = 10
         tnrfont = {'fontname':'Times New Roman'}
         ax.set_title( 'Algorithm Used: ' + algo_str + '\nTour Length: ' \
                        + str(tour_length)[:7], fontdict={'fontsize':fontsize}, **tnrfont)
         ax.set_xlabel('Number of sites: ' + str(len(xsites)) + '\nDrone Speed: ' + str(phi) ,
                      fontdict={'fontsize':fontsize}, **tnrfont)
     \Diamond
Fragment referenced in 21a.
Defines: plotTour 22b.
Uses: tour_length 41, tour_length_with_waiting_time_included 42a.
```

## Chapter Index of Fragments

```
(Algorithms for classic horsefly 27, 28, 29, 32) Referenced in 21a.
(Clear canvas and states of all objects 24a) Referenced in 22a.
(Compute the length of the tour that currently services the visited sites 39a) Referenced in 38b.
(Define auxiliary helper functions 36ab, 37) Referenced in 32.
(Define key-press handler 22a) Referenced in 21a.
(Define various insertion policy classes 38a) Referenced in 32.
(Find the unvisited site which on insertion increases tour-length by the least amount 40a) Referenced in 38b.
Generate a bunch of uniform or non-uniform random points on the canvas 23 Referenced in 22a.
(If self.sites[u] is chosen for insertion, find best insertion position and update delta_increase_least_table 39c)
      Referenced in 38b.
(Local data-structures for classic horsefly 25) Referenced in 21a.
(Local utility functions for classic horsefly 41, 42a) Referenced in 21a.
(Methods for PolicyNaive 38b) Referenced in 38a.
(Plotting routines for classic horsefly 42b) Referenced in 21a.
Relevant imports for classic horsefly 21b Referenced in 21a.
\langle Return horsefly tour, along with additional information 35 \rangle Referenced in 32.
(Set insertion policy class for current run 33b) Referenced in 32.
(Set log, algo-state and input-output files config 33a) Referenced in 32.
(Set up interactive canvas 24b) Referenced in 21a.
(Set up tracking variables local to this iteration 39b) Referenced in 38b.
(Start entering input from the command-line 22b) Referenced in 22a.
(Update states for PolicyNaive 40b) Referenced in 38b.
Use insertion policy to find the cheapest site to insert into current tour 34a Referenced in 32.
Write algorithm's current state to file 34b Referenced in 32.
Write input and output to file 34c Referenced in 32.
```

### Chapter Index of Identifiers

```
algo_exact_given_specific_ordering: 22b, 27, 28, \underline{29}. algo_greedy_incremental_insertion: 22b, \underline{32}. algo_state_counter: \underline{33a}, 34b. compute_collinear_horseflies_tour_length: \underline{36b}, 39ac. current_tour_length: \underline{39a}, 39c. delta_increase_least: \underline{39b}, 39c. delta_increase_least_table: \underline{38b}, 39c, 40a. HorseFlyInput: 24b, \underline{25}. ibest,: \underline{39b}, 39c. plotTour: 22b, \underline{42b}. run_handler: \underline{21a}. tour_length: 27, 29, \underline{41}, 42b. tour_length_with_waiting_time_included: 29, 35, \underline{42a}, 42b. wrapperkeyPressHandler: \underline{22a}, 24b.
```

# Fixed Route Horsefly

One Horse, Two Flies

Reverse Horsefly

# Watchman Horsefly

# Appendices

## Appendix A

## Index of Files

```
"../main.py" Defined by 12.
"../src/lib/problem_classic_horsefly.py" Defined by 21a.
"../src/lib/utils_algo.py" Defined by 17ab, 18ab, 19.
"../src/lib/utils_graphics.py" Defined by 14, 15ab, 16.
```

Appendix B

Man-page for main.py

## **Bucketlist of TODOS**

Figure:	Sigle site analytic	30
Figure:	Figure for showing the length of tour in collinear horseflies	3