# Experimental Analyses of Heuristics for Horsefly-type Problems

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# Part I

# Overview

#### Chapter 1

## Descriptions of Problems

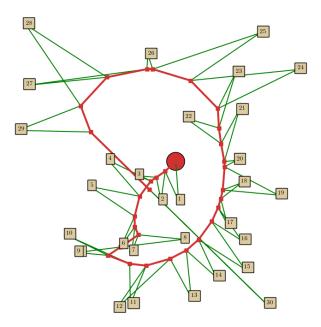


Figure 1.1: An Example of a classic Horsefly tour with  $\varphi = 5$ . The red dot indicates the initial position of the horse and fly, given as part of the input. The ordering of sites shown has been computed with a greedy algorithm which will be described later

The Horsefly problem is a generalization of the well-known Euclidean Traveling Salesman Problem. In the most basic version of the Horsefly problem (which we call "Classic Horsefly"), we are given a set of sites, the initial position of a truck(horse) with a drone(fly) mounted on top, and the speed of the drone-speed  $\varphi$ . <sup>1</sup> <sup>2</sup>.

The goal is to compute a tour for both the truck and the drone to deliver package to sites as quickly as possible. For delivery, a drone must pick up a package from the truck, fly to the site and come back to the truck to pick up the next package for delivery to another site. <sup>3</sup> Both the truck and drone must coordinate their motions to minimize the time it takes for all the sites to get their packages. Figure 1.1 gives an example of such a tour computed using a greedy heuristic for  $\varphi = 5$ .

This suite of programs implement several experimental heuristics, to solve the above NP-hard problem and some of its variations approximately. In this short chapter, we give a description of the problem variations that we will be tackling. Each of the problems, has a corresponding chapter in Part 2, where these heuristics are described and implemented. We also give comparative analyses of their experimental performance on various problem instances.

Classic Horsefly This problem has already described in the introduction.

**Segment Horsefly** In this variation, the path of the truck is restricted to that of a segment, which we can consider without loss of generality to be [0,1]. All sites, without loss of generality lie in the upper-half plane  $\mathbb{R}^2_+$ .

<sup>&</sup>lt;sup>1</sup>The speed of the truck is always assumed to be 1 in any of the problem variations we will be considering in this report.

 $<sup>^2\</sup>varphi$  is also called the "speed ratio".

<sup>&</sup>lt;sup>3</sup>The drone is assumed to be able to carry at most one package at a time

- **Fixed Route Horsefly** This is the obvious generalization of Segment Horsefly, where the path which the truck is restricted to travel is a piece-wise linear polygonal path. <sup>4</sup> Both the initial position of the truck and the drone are given. The sites to be serviced are allowed to lie anywhere in  $\mathbb{R}^2$ . Two further variations are possible in this setting, one in which the truck is allowed reversals and the other in which it is not.
- One Horse, Two Flies The truck is now equipped with two drones. Otherwise the setting, is exactly the same as in classic horsefly. Each drone can carry only one package at a time. The drones must fly back and forth between the truck and the sites to deliver the packages. We allow the possibility that both the drones can land at the same time and place on the truck to pick up their next package. <sup>5</sup>
- **Reverse Horsefly** In this model, each site (not the truck!) is equipped with a drone, which fly *towards* the truck to pick up their packages. We need to coordinate the motion of the truck and drone so that the time it takes for the last drone to pick up its package (the "makespan") is minimized.
- Bounded Distance Horsefly In most real-world scenarios, the drone will not be able to (or allowed to) go more than a certain distance R from the truck. Thus with the same settings as the classic horsefly, but with the added constraint of the drone and the truck never being more than a distance R from the truck, how would one compute the truck and drone paths to minimize the makespan of the deliveries?
- Watchman Horsefly In place of the TSP, we generalize the Watchman route problem here.  $^6$  We are given as input a simple polygon and the initial position of a truck and a drone. The drone has a camera mounted on top which is assumed to have  $360^{\circ}$  vision. Both the truck and drone can move, but the drone can move at most euclidean distance  $^7$  R from the truck.

We want every point in the polygon to be seen by the drone at least once. The goal is to minimize the time it takes for the drone to be able to see every point in the simple polygon. In other words, we want to minimize the time it takes for the drone (moving in coordinattion with the truck) to patrol the entire polygon.

<sup>&</sup>lt;sup>4</sup>More generally, the truck will be restricted to travelling on a road network, which would typically be modelled as a graph embedded in the plane.

<sup>&</sup>lt;sup>5</sup>In reality, one of the drones will have to wait for a small amount of time while the other is retrieving its package. In a more realisting model, we would need to take into account this "waiting time" too.

 $<sup>^6</sup>$ although abstractly, the Watchman route problem can be viewed as a kind of TSP

<sup>&</sup>lt;sup>7</sup>The version where instead geodesic distance is considered is also interesting

## Chapter 2

## Installation and Use

To run these programs you will need to install Docker, an open-source containerization program that is easily installable on Windows 10<sup>1</sup>, MacOS, and almost any GNU/Linux distribution. For a quick introduction to containerization, watch the first two minutes of https://youtu.be/\_dfL0zuIg2o

The nice thing about Docker is that it makes it easy to run softwares on different OS'es portably and neatly side-steps the dependency hell problem (https://en.wikipedia.org/wiki/Dependency\_hell.) The headache of installing different library dependencies correctly on different machines running different OS'es, is replaced only by learning how to install Docker and to set up an X-windows connection between the host OS and an instantiated container running GNU/Linux.

**A.** [ Get Docker ] For installation instrutions watch

GNU/Linux https://youtu.be/KCckWweNSrM

Windows https://youtu.be/ymlWt1MqURY

To test your installation, run the hello-world container. Note that you might need administrator privileges to run docker. On Windows, you can open the Powershell as an administrator. On GNU/Linux you should use sudo

- B. [ Download customized Ubuntu image ] docker pull gtelang/ubuntu\_customized <sup>2</sup>
- C. [ Clone repository ] git clone gtelang/horseflies\_literate.git
- **D.** [ Mount and Launch ]

If you are running GNU/Linux • Open up your favorite terminal emulator, such as xterm, rxvt or konsole

- Copy to clipboard the output of xauth list
- cd horseflies\_literate
- docker run -it --name horsefly\_container --net=host \
   -e DISPLAY -v /tmp/.X11-unix \
   -v `pwd`:/horseflies\_mnt gtelang/ubuntu\_customized
- cd horseflies\_mnt
- xauth add <paste-from-clipboard>

The purpose of using "xauth" and "-e DISPLAY -v /tmp/.X11-unix" is to establish an X-windows connection between your operating system and the Ubuntu container that allows you to run GUI apps e.g. the FireFox web-browser.  $^3$ 

If you are running Windows • Follow every instruction in https://dev.to/darksmile92/run-gui-app-in-linux-Docker-container-on-windows-host-4kde. <sup>4</sup> Make sure you can run a gui program like the Firefox web-browser as indicated by the article before going to the next step.

<sup>&</sup>lt;sup>1</sup>You might need to turn on virtualization explicitly in your BIOS, after installing Docker as I needed to while setting Docker up on Windows. Here is a snapshot of an image when turning on Intel's virtualization technology through the BIOS: https://images.techhive.com/images/article/2015/09/virtualbox\_vt-x\_amd-v\_error04\_phoenix-100612961-large.idge.jpg

<sup>&</sup>lt;sup>2</sup>The customized Ubuntu image is approximately 7 GB which contains all the libraries (e.g. CGAL, VTK, numpy, and matplotlib) that I typically use to run my research codes portably. On my home internet connection downloading this Ubuntu-image typically takes about 5-10 minutes.

 $<sup>^3\</sup>mathrm{I}$  found the instructions for running GUI apps on containers in https://www.youtube.com/watch?v=RDg6TRwiPtg

<sup>&</sup>lt;sup>4</sup>This step is necessary displaying the Matplotlib canvas as we do in the horseflies project for interactive testing of algorithms.

- To mount the horseflies folder, you need to *share* the appropriate drive (e.g. C:\ or D:\) that the horseflies folder is in with Docker. Follow instructions here: https://rominirani.com/docker-on-windows-mounting-host-directories-d96f3f056a2c for sharing directories.
- Open up a Windows Powershell (possibly as administrator)
  - set-variable -name DISPLAY -value <your-ip-address>:0.0 6
  - docker run -ti --rm -e DISPLAY=\$DISPLAY -v <location-of-horseflies-folder>:/horseflies\_
- E. [ Run experiments ] If you want to run all the experiments as described in the paper again to reproduce the reported results on your machine, then run <sup>7</sup>, python main.py --run-all-experiments.

If you want to run a specific experiment, then run python main.py --run-experiment <experiment-name>.

See Index for a list of all the experiments.

**F.** [ Test algorithms interactively ] If you want to test the algorithms in interactive mode (where you get to select the problem-type, mouse-in the sites on a canvas, set the initial position of the truck and drone and set  $\varphi$ ), run python main.py --problem-name>. The list of problems are the same as that given in the previous chapter. The problem name consists of all lower-case letters with spaces replaced by hyphens.

Thus for instance "Watchman Horsefly" becomes watchman-horsefly and "One Horse Two Flies" becomes one-horse-two-flies.

To interactively experiment with different algorithms for, say, the Watchman Horsefly problem , type at the terminal python main.py --watchman-horsefly

If you want to delete the Ubuntu image and any associated containers run the command  $^8$  docker rm -f horsefly\_container; docker rmi -f ubuntu\_customized

That's it! Happy horseflying!

<sup>&</sup>lt;sup>5</sup>you might need administrator privileges to perform this step, as pointed out by the article.

<sup>&</sup>lt;sup>6</sup>You can find your ip-address by the output of the ipconfig command in the Powershell

<sup>&</sup>lt;sup>7</sup>Allowing, of course, for differences between your machine's CPU and mine when it comes to reporting absolute running time

<sup>&</sup>lt;sup>8</sup>the ubuntu image is 7GB afterall!

# Part II

Programs

## Chapter 3

## Overview of the Code Base

All of the code has been written in Python 2.7 and tested using the standard CPython implementation of the language. In some cases, calls will be made to external C++ libraries (mostly CGAL and VTK) using SWIG (http://www.swig.org/) for speeding up a slow routine or to use a function that is not available in any existing Python package.

#### 3.1 Source Tree

```
-- src
   |-- expts
   |-- lib
      |-- problem_classic_horsefly_bkp.py
       |-- problem_classic_horsefly.py
       |-- utils_algo.py
        `-- utils_graphics.py
   -- tests
   `-- Makefile
-- tex
   |-- directory-tree.tex
   |-- horseflies.pdf
   |-- horseflies.tdo
   |-- horseflies.tex
   `-- standard_settings.tex
-- webs
   |-- problem-classic-horsefly
       |-- algo-bottom-up-split.web
       |-- algo-dumb.web
       |-- algo-greedy-incremental-insertion.web
       |-- algo-greedy-nn.web
       |-- algo-k2-means.web
       |-- algo-local-search-swap.web
        |-- lower-bound-phi-mst.web
        `-- problem-classic-horsefly.web
   |-- problem-fixed-route-horsefly
        `-- problem-fixed-route-horsefly.web
   |-- problem-one-horse-two-flies
        `-- problem-one-horse-two-flies.web
   |-- problem-reverse-horsefly
        `-- problem-reverse-horsefly.web
   |-- problem-segment-horsefly
        `-- problem-segment-horsefly.web
   |-- problem-watchman-horsefly
        `-- problem-watchman-horsefly.web
   |-- descriptions-of-problems.web
   |-- horseflies.web
```

```
| |-- installation-and-use.web
| -- overview-of-code-base.web
| `-- utility-functions.web
|-- main.py
|-- todolist.org
`-- weave-tangle.sh
```

#### 12 directories, 31 files

There are three principal directories

- webs/ This contains the source code for the entire project written in the nuweb format along with documents (mostly images) needed during the compilation of the LATEX files which will be extracted from the .web files.
- src/ This contains the source code for the entire project "tangled" (i.e. extracted) from the .web files.
- tex/ This contains the monolithic horseflies.tex extracted from the .web files and a bunch of other supporing LATEX files. It also contains the final compiled horseflies.pdf (the current document) which contains the documentation of the project, interwoven with code-chunks and cross-references between them along with the experimental results.

The files in src and tex should not be touched. Any editing required should be done directly to the .web files which should then be weaved and tangled using weave-tangle.sh.

#### 3.2 The Main Files

#### 3.2.1

- **A.** [ main.py ] The file main.py in the top-level folder is the entry-point for running code. Its only job is to parse the command-line arguments and pass relevant information to the handler functions for each problem and experiment.
- B. [ Algorithmic Code ] All such files are in the directory src/lib/. Each of the files with prefix "problem\_\*" contain implementations of algorithms for one specific problem. For instance problem\_watchman\_horsefly contains algorithms for approximately solving the Watchman Horsefly problem.
  - Since Horsefly-type problems are typically NP-hard, an important factor in the subsequent experimental analysis will require, comparing an algorithm's output against good lower bounds. Each such file, will also have routines for efficiently computing or approximating various lower-bounds for the corresponding problem's OPT.
- C. [Experiments] All such files are in the directory src/expt/ Each of the files with prefix "expt\_\*" contain code for testing hypotheses regarding a problem, generating counter-examples or comparing the experimental performance of the algorithm implementations for each of the problems. Thus expt\_watchman\_horsefly.py contains code for performing experiments related to the Watchman Horsefly problem.

If you need to edit the source-code for algorithms or experiment you should do so to the .web files in the web directory. Every problem has a dedicated *folder* containing source-code for algorithms and experiments pertaining to that problem. Every algorithm and experiment has a dedicated .web file in these problem directories. Such files are all "tied" together using the file with prefix problem-cproblem-name in that same directory (i.e. the file acts as a kind of handler for each problem, that includes the algorithms and experiment web files with the @i macro.)

#### **3.2.2** Let's define the main.py file now.

Each problem or experiment has a handler routine that effectively acts as a kind of "main" function for that module that does house-keeping duties by parsing the command-line arguments passed by main, setting

up the canvas by calling the appropriate graphics routines and calling the algorithms on the input specified through the canvas.

```
"../main.py" 12a≡
     ⟨ Turn off Matplotlib's irritating DEBUG messages 12b⟩
     ⟨ Import problem module files 12c⟩
     if __name__=="__main__":
          # Select algorithm or experiment
          if (len(sys.argv)==1):
               print "Specify the problem or experiment you want to run"
               sys.exit()
          elif sys.argv[1] == "--problem-classic-horsefly":
               chf run_handler()
          elif sys.argv[1] == "--problem-segment-horsefly":
                shf run_handler()
          elif sys.argv[1] == "--problem-one-horse-two-flies":
               oh2f.run_handler()
          else:
               print "Option not recognized"
               sys.exit()
```

**3.2.3** On my customized Ubuntu container, Matplotlib produces tons of DEBUG log messages because it recently switched to the logging library for...well...logging. The lines in this chunk were suggested by the link http://matplotlib.1069221.n5.nabble.com/How-to-turn-off-matplotlib-DEBUG-msgs-td48822.html for quietening down Matplotlib.

```
⟨ Turn off Matplotlib's irritating DEBUG messages 12b⟩ ≡
    import logging
    mpl_logger = logging.getLogger('matplotlib')
    mpl_logger.setLevel(logging.WARNING)
    ⋄
Fragment referenced in 12a.
⟨ Import problem module files 12c⟩ ≡
    import sys
    sys.path.append('src/lib')
    import problem_classic_horsefly as chf
    #import problem_segment_horsefly as shf
    #import problem_one_horse_two_flies as oh2f
    ⋄
Fragment referenced in 12a.
```

## 3.3 Support Files

A. [ Utility Files ] All such utility files are in the directory src/lib/. These files contain common utility functions for manipulating data-structures, plotting and graphics routines common to all horsefly-type

problems. All such files have the prefix utils\_\*. These Python files are generated from the single .web file utils.web in the web subdirectory.

**B.** [ Tests ] All such files are in the directory src/test/ To automate testing of code during implementations, tests for various routines across the entire code-base have been written in files with prefix test\_\*.

Every problem, utility, and experimental files in src/lib and src/expts has a corresponding test-file in this folder.

## Chapter 4

## Some (Boring) Utility Functions

We will be needing some utility functions, for drawing and manipulating data-structures which will be implemented in files separate from problem\_classic\_horsefly.py. All such files will be prefixed with the work utils\_. Many of the important common utility functions are defined here; others will be defined on the fly throughout the rest of the report. This chapter just collects the most important of the functions for the sake of clarity of exposition in the later chapters.

## 4.1 Graphical Utilities

Here We will develop routines to interactively insert points onto a Matplotlib canvas and clear the canvas. Almost all variants of the horsefly problem will involve mousing in sites and the initial position of the horse and fly. These points will typically be represented by small circular patches. The type of the point will be indicated by its color and size e.g. intial position of truck and drone will typically be represented by a large red dot while and the sites by smaller blue dots.

Matplotlib has extensive support for inserting such circular patches onto its canvas with mouse-clicks. Each such graphical canvas corresponds (roughly) to Matplotlib figure object instance. Each figure consists of several Axes objects which contains most of the figure elements i.e. the Axes objects correspond to the "drawing area" of the canvas.

**4.1.1** First we set up the axes limits, dimensions and other configuration quantities which will correspond to the "without loss of generality" assumptions made in the statements of the horsefly problems. We also need to set up the axes limits, dimensions, and other fluff. The following fragment defines a function which "normalizes" a drawing area by setting up the x and y limits and making the aspect ratio of the axes object the same i.e. 1.0. Since Matplotlib is principally a plotting software, this is not the default behavior, since scales on the x and y axes are adjusted according to the data to be plotted.

```
"../src/lib/utils_graphics.py" 14\equiv
```

```
from matplotlib import rc
from colorama import Fore
from colorama import Style
from scipy optimize import minimize
from sklearn.cluster import KMeans
import argparse
import itertools
import math
import matplotlib as mpl
import matplotlib.pyplot as plt
import numpy as np
import os
import pprint as pp
import randomcolor
import sys
import time
xlim, ylim = [0,1], [0,1]
```

**4.1.2** Next, given an axes object (i.e. a drawing area on a figure object) we need a function to delete and remove all the graphical objects drawn on it.

```
"../src/lib/utils_graphics.py" 15a\equiv def clearPatches(ax):
    # Get indices cooresponding to the polygon patches
    for index , patch in zip(range(len(ax.patches)), ax.patches):
        if isinstance(patch, mpl.patches.Polygon) == True:
            patch.remove()

# Remove line patches. These get inserted during the r=2 case,
# For some strange reason matplotlib does not consider line objects
# as patches.
    ax.lines[:]=[]

#pp.pprint (ax.patches) # To verify that none of the patches are
# polyon patches corresponding to clusters.
    applyAxCorrection(ax)

$\leftarrow$

File defined by 14, 15ab, 16.
```

**4.1.3** Now remove the patches which were rendered for each cluster Unfortunately, this step has to be done manually, the canvas patch of a cluster and the corresponding object in memory are not reactively connected.I presume, this behavioue can be achieved by sub-classing.

```
"../src/lib/utils_graphics.py" 15b\equiv
     def clearAxPolygonPatches(ax):
         # Get indices cooresponding to the polygon patches
         for index , patch in zip(range(len(ax.patches)), ax.patches):
              if isinstance(patch, mpl.patches.Polygon) == True:
                 patch remove()
         # Remove line patches. These get inserted during the r=2 case,
         # For some strange reason matplotlib does not consider line objects
         # as patches.
         ax lines[:]=[]
         # To verify that none of the patches
         # are polyon patches corresponding
         # to clusters.
         #pp.pprint (ax.patches)
         applyAxCorrection(ax)
File defined by 14, 15ab, 16.
```

**4.1.4** Now for one of the most important routines for drawing on the canvas! To insert the sites, we double-click the left mouse button and to insert the initial position of the horse and fly we double-click the right mouse-button.

Note that the left mouse-button corresponds to button 1 and right mouse button to button 3 in the code-fragment below.

"../src/lib/utils\_graphics.py"  $16 \equiv$ 

```
## Also modify to enter initial position of horse and fly
def wrapperEnterRunPoints(fig, ax, run):
    """ Create a closure for the mouseClick event.
    def _enterPoints(event):
        if event.name
                          == 'button_press_event'
                                                       and \
           (event.button == 1 or event.button == 3)
                                                       and \
           event.dblclick == True
                                                       and \
           event.xdata
                          != None
                                                       and \
           event ydata
                          != None:
             if event button == 1:
               newPoint = (event.xdata, event.ydata)
               run.sites.append( newPoint )
               patchSize = (x\lim[1]-x\lim[0])/140.0
               ax.add_patch( mpl.patches.Circle( newPoint,
                                              radius = patchSize,
                                              facecolor='blue',
                                              edgecolor='black'
               ax.set_title('Points Inserted: ' + Str(len(run.sites)), \
                             fontdict={'fontsize':40})
             if event button == 3:
                 inithorseposn = (event.xdata, event.ydata)
                 run.inithorseposn = inithorseposn
                 patchSize = (xlim[1]-xlim[0])/70.0
                 # TODO: remove the previous red patches,
                 # which containg ht eold position
                 # of the horse and fly. Doing this is
                 # slightly painful, hence keeping it
                 # for later
                 ax.add_patch( mpl.patches.Circle( inithorseposn,
                                                   radius = patchSize,
                                                    facecolor= '#D13131', #'red',
                                                   edgecolor='black'
                                                                        ) )
             # It is inefficient to clear the polygon patches inside the
             # enterpoints loop as done here.
             # I have just done this for simplicity: the intended behaviour
             # at any rate, is
             # to clear all the polygon patches from the axes object,
             \# once the user starts entering in MORE POINTS TO THE CLOUD
             # for which the clustering was just computed and rendered.
             # The moment the user starts entering new points,
             # the previous polygon patches are garbage collected.
             clearAxPolygonPatches(ax)
```

```
applyAxCorrection(ax)
fig.canvas.draw()

return _enterPoints

⋄
File defined by 14, 15ab, 16.
```

## 4.2 Algorithmic Utilities

**4.2.1** Given a list of points  $[p_0, p_1, p_2, ....p_{n-1}]$ . the following function returns,  $[p_1-p_0, p_2-p_1, ..., p_{n-1}-p_{n-2}]$  i.e. it converts the list of points into a consecutive list of numpy vectors. Points should be lists or tuples of length 2

```
"../src/lib/utils_algo.py" 17a=
     import numpy as np
     import random
     from colorama import Fore
     from colorama import Style
     def vector_chain_from_point_list(pts):
         """ Given a list of points [p0,p1,p2,....p(n-1)]
         Make it into a list of numpy vectors
         [p1-p0, p2-p1, ..., p(n-1)-p(n-2)]
         Points should be lists or tuples of length 2
         vec_chain = []
         for pair in zip(pts, pts[1:]):
             tail= np.array (pair[0])
             head= np.array (pair[1])
             vec_chain.append(head-tail)
         return vec_chain
```

File defined by 17ab, 18abc.

"../src/lib/utils\_algo.py" 17b=

**4.2.2** Given a polygonal chain, an important computation is to calculate its length. Typically used for computing the length of the horse's and fly's tours.

```
def length_polygonal_chain(pts):
    """ Given a list of points [p0,p1,p2,....p(n-1)]
    calculate the length of its segments.

Points should be lists or tuples of length 2

If no points or just one point is given in the list of points, then 0 is returned.
    """

vec_chain = vector_chain_from_point_list(pts)
```

```
acc = 0
for vec in vec_chain:
    acc = acc + np.linalg.norm(vec)
return acc
```

File defined by 17ab, 18abc.

**4.2.3** The following routine is useful on long lists returned from external solvers. Often point-data is given to and returned from these external routines in flattened form. The following routines are needed to convert such a "flattened" list into a list of points and vice versa.

```
"../src/lib/utils_algo.py" 18a\equiv
     def pointify_vector (x):
          """ Convert a vector of even length
          into a vector of points. i.e.
          [x0,x1,x2,...x2n] \rightarrow [[x0,x1],[x2,x3],...[x2n-1,x2n]]
          if len(x) \% 2 == 0:
              pts = []
               for i in range(len(x))[::2]:
                   pts.append( [x[i],x[i+1]] )
              return pts
          else :
               sys.exit('List of items does not have an even length to be able to be pointifyed')
     def flatten_list_of_lists(l):
          """ Flatten vector
            e.g. \quad \hbox{\tt [[0,1],[2,3],[4,5]]} \ \ -> \ \ \hbox{\tt [0,1,2,3,4,5]}
          return [item for sublist in 1 for item in sublist]
```

File defined by 17ab, 18abc.

**4.2.4** Python's default print function prints each list on a single line. For debugging purposes, it helps to print a list with one item per line.

```
"../src/lib/utils_algo.py" 18b≡

def print_list(xs):
    """ Print each item of a list on new line
    """
    for x in xs:
        print x

◊
```

**4.2.5** The following routines are self-explanatory and are hence gathered into one chunk.

```
"../src/lib/utils_algo.py" 18c=
```

File defined by 17ab, 18abc.

```
def partial_sums( xs ):
   List of partial sums
   [4,2,3] \rightarrow [4,6,9]
   psum = 0
   acc = []
   for x in xs:
       psum = psum + x
       acc.append( psum )
   return acc
def are_site_orderings_equal(sites1, sites2):
   For two given lists of points test if they are
   equal or not. We do this by checking the Linfinity
   norm.
   for (x1,y1), (x2,y2) in zip(sites1, sites2):
        if (x1-x2)**2 + (y1-y2)**2 > 1e-8:
           print Fore.BLUE+ "Site Orderings are not equal"
           print sites1
           print sites2
           print '----- + Style.RESET_ALL
           return False
   return True
   print "\n\n\n----"
def bunch_of_random_points(numpts):
   cluster_size = int(np.sqrt(numpts))
   numcenters = cluster_size
   import scipy
   import random
   centers = scipy.rand(numcenters, 2).tolist()
   scale = 4.0
   points = []
   for c in centers:
       cx = c[0]
       cy = c[1]
                    = min(cx, 1-cx, cy, 1-cy)
       sq_size
       cluster_size = int(np.sqrt(numpts))
       loc_pts_x
                   = np.random.uniform(low=cx-sq_size/scale,
                                        high=cx+sq_size/scale,
                                        size=(cluster_size,))
       loc_pts_y
                    = np.random.uniform(low=cy-sq_size/scale,
                                        high=cy+sq_size/scale,
                                        size=(cluster_size,))
       points.extend(zip(loc_pts_x, loc_pts_y))
   num_remaining_pts = numpts - cluster_size * numcenters
```

```
remaining_pts = scipy.rand(num_remaining_pts, 2).tolist()
points.extend(remaining_pts)
return points
```

 $\Diamond$ 

File defined by 17ab, 18abc.

## Chapter 5

## Classic Horsefly

## 5.1 Module Overview

**5.1.1** All algorithms to solve the classic horsefly problems have been implemented in problem\_classic\_horsefly.py. The run\_handler function acts as a kind of main function for this module. It is called from main.py to process the command-line arguments and run the experimental or interactive sections of the code.

```
"../src/lib/problem_classic_horsefly.py" 21a\equiv
```

```
 \langle \textit{Relevant imports for classic horsefly 21b} \rangle \\ \langle \textit{Set up logging information relevant to this module 22a} \rangle \\ \text{def run\_handler():} \\ \langle \textit{Define key-press handler 22b} \rangle \\ \langle \textit{Set up interactive canvas 25a} \rangle \\ \\ \langle \textit{Local data-structures for classic horsefly 25b} \rangle \\ \langle \textit{Local utility functions for classic horsefly 44a}, \dots \rangle \\ \langle \textit{Algorithms for classic horsefly 27}, \dots \rangle \\ \langle \textit{Plotting routines for classic horsefly 45} \rangle \\ \langle \textit{Animation routines for classic horsefly 47a} \rangle \\ \\ \diamond
```

## 5.2 Module Details

#### 5.2.1

 $\langle Relevant \ imports \ for \ classic \ horsefly \ 21b \rangle \equiv$ 

```
from matplotlib import rc
from colorama import Fore
from colorama import Style
from scipy.optimize import minimize
from sklearn.cluster import KMeans
import argparse
import inspect
import itertools
import logging
import math
import matplotlib as mpl
import numpy as np
import os
import pprint as pp
```

```
import randomcolor
import sys
import time
import utils_algo
import utils_graphics
```

Fragment referenced in 21a.

**5.2.2** The logger variable becomes becomes global in scope to this module. This allows me to write customized **debug** and **info** functions that let's me format the log messages according to the frame level. I learned this trick from the following Stack Overflow post https://stackoverflow.com/a/5500099/505306.

```
\langle Set \ up \ logging \ information \ relevant \ to \ this \ module \ 22a \rangle \equiv
     logger=logging.getLogger(__name__)
     logging.basicConfig(level=logging.DEBUG)
     def debug(msg):
          frame,filename,line_number,function_name,lines,index=inspect.getouterframes(
              inspect.currentframe())[1]
          line=lines[0]
          indentation_level=line.find(line.lstrip())
          logger.debug('{i} [{m}]'.format(
              i='.'*indentation_level, m=msg))
     def info(msg):
          frame,filename,line_number,function_name,lines,index=inspect.getouterframes(
              inspect.currentframe())[1]
          line=lines[0]
          indentation_level=line.find(line.lstrip())
          logger.info('{i} [{m}]'.format(
              i='.'*indentation_level, m=msg))
Fragment referenced in 21a.
Uses: logger 33b.
```

**5.2.3** The key-press handler function detects the keys pressed by the user when the canvas is in active focus. This function allows you to set some of the input parameters like speed ratio  $\varphi$ , or selecting an algorithm interactively at the command-line, generating a bunch of uniform or non-uniformly distributed points on the canvas, or just plain clearing the canvas for inserting a fresh input set of points.

#### 5.2.4

 $\langle Define \ key-press \ handler \ 22b \rangle \equiv$ 

```
Style RESET_ALL)
phi = float(phi_str)
algo_str = raw_input(Fore.YELLOW + \
          "Enter algorithm to be used to compute the tour:\n Options are:\n" +\
                 Exact \n"
           (e)
                       \n"
           (t)
                 TSP
                       (using approximate L1 ordering)\n"
           (t1)
                 TSP
           (k)
                 k2-center
                             \n''
           (kl) k2-center (using approximate L1 ordering)\n"
                 Greedy\n"
           (gl) Greedy (using approximate L1 ordering])\n"
           (ginc) Greedy Incremental " +\
        Style RESET_ALL)
algo_str = algo_str.lstrip()
# Incase there are patches present from the previous clustering, just clear them
utils_graphics.clearAxPolygonPatches(ax)
    algo_str == 'e':
      horseflytour = \
             run.getTour( algo_dumb,
                          phi)
elif algo_str == 'k':
      horseflytour = \
             run.getTour( algo_kmeans,
                          phi,
                          k=2,
                          post_optimizer=algo_exact_given_specific_ordering)
      print " "
      print Fore.GREEN, answer['tour_points'], Style.RESET_ALL
elif algo_str == 'kl':
      horseflytour = \
             run.getTour( algo_kmeans,
                          phi,
                          k=2.
                          post_optimizer=algo_approximate_L1_given_specific_ordering)
elif algo_str == 't':
      horseflytour = \
             run.getTour( algo_tsp_ordering,
                          post_optimizer=algo_exact_given_specific_ordering)
elif algo_str == 'tl':
      horseflytour = \
             run getTour( algo_tsp_ordering,
                          phi,
                          post_optimizer= algo_approximate_L1_given_specific_ordering)
elif algo_str == 'g':
      horseflytour = \
             run.getTour( algo_greedy,
                          post_optimizer= algo_exact_given_specific_ordering)
elif algo_str == 'gl':
      horseflytour = \
             run.getTour( algo_greedy,
                          phi,
                          post_optimizer= algo_approximate_L1_given_specific_ordering)
elif algo_str == 'ginc':
      horseflytour = \
             run.getTour( algo_greedy_incremental_insertion,
```

Uses: algo\_exact\_given\_specific\_ordering 29, algo\_greedy\_incremental\_insertion, 33a, plotTour 45.

5.2.5 This chunk generates points uniformly or non-uniformly distributed in the unit square  $[0,1]^2$  in the Matplotlib canvas. I will document the schemes used for generating the non-uniformly distributed points later. These schemes are important to test the effectiveness of the horsefly algorithms. Uniform point clouds do no highlight the weaknesses of sequencing algorithms as David Johnson implies in his article on how to write experimental algorithm papers when he talks about algorithms for the TSP.

 $\langle$  Generate a bunch of uniform or non-uniform random points on the canvas 24a $\rangle$   $\equiv$ 

```
numpts = int(raw_input("\n" + Fore.YELLOW+\
                             "How many points should I generate?: "+\
                             Style RESET_ALL))
     run clearAllStates()
     ax.cla()
     utils_graphics.applyAxCorrection(ax)
     ax.set_xticks([])
     ax.set_yticks([])
     fig.texts = []
     import scipy
     if event.key in ['n', 'N']: # Non-uniform random points
             run.sites = utils_algo.bunch_of_random_points(numpts)
     else : # Uniform random points
             run.sites = scipy.rand(numpts,2).tolist()
     patchSize = (utils_graphics.xlim[1]-utils_graphics.xlim[0])/140.0
     for site in run.sites:
         ax.add_patch(mpl.patches.Circle(site, radius = patchSize, \
                      facecolor='blue',edgecolor='black' ))
     ax.set_title('Points : ' + str(len(run.sites)), fontdict={'fontsize':40})
     fig.canvas.draw()
Fragment referenced in 22b.
```

#### 5.2.6

```
⟨ Clear canvas and states of all objects 24b⟩ ≡
    run.clearAllStates()
    ax.cla()

utils_graphics.applyAxCorrection(ax)
    ax.set_xticks([])
    ax.set_yticks([])
```

```
fig.texts = []
     fig.canvas.draw()
Fragment referenced in 22b.
5.2.7
\langle Set \ up \ interactive \ canvas \ 25a \rangle \equiv
     fig, ax = plt.subplots()
     run = HorseFlyInput()
     #print run
     ax.set_xlim([utils_graphics.xlim[0], utils_graphics.xlim[1]])
     ax.set_ylim([utils_graphics.ylim[0], utils_graphics.ylim[1]])
     ax.set_aspect(1.0)
     ax.set_xticks([])
     ax.set_yticks([])
                  = utils_graphics.wrapperEnterRunPoints (fig,ax, run)
     fig.canvas.mpl_connect('button_press_event' , mouseClick )
                   = wrapperkeyPressHandler(fig,ax, run)
     fig.canvas.mpl_connect('key_press_event', keyPress
     plt.show()
Fragment referenced in 21a.
```

## 5.3 Local Data Structures

Uses: HorseFlyInput 25b, wrapperkeyPressHandler 22b.

**5.3.1** This class manages the input and the output of the result of calling various horsefly algorithms.

```
\langle Local \ data\text{-}structures \ for \ classic \ horsefly \ 25b \rangle \equiv
     class HorseFlyInput:
            def __init__(self, sites=[], inithorseposn=()):
                 self.sites
                                     = sites
                 self.inithorseposn = inithorseposn
            def clearAllStates (self):
                """ Set the sites to an empty list and initial horse position
                to the empty tuple.
                self.sites = []
                self.inithorseposn = ()
            def getTour(self, algo, speedratio, k=None, post_optimizer=None):
                """ This method runs an appropriate algorithm for calculating
                a horsefly tour. The list of possible algorithms are
                inside this module prefixed with 'algo_
                The output is a dictionary of size 2, containing two lists,
                - Contains the vertices of the polygonal
                  path taken by the horse
```

```
- The list of sites in the order
                  in which they are serviced by the tour, i.e. the order
                  in which the sites are serviced by the fly.
                if k==None and post_optimizer==None:
                       return algo(self.sites, self.inithorseposn, speedratio)
                elif k == None:
                       return algo(self.sites, self.inithorseposn, speedratio, post_optimizer)
                else:
                       #print Fore.RED, self.sites, Style.RESET_ALL
                       return algo(self.sites, self.inithorseposn, speedratio, k, post_optimizer)
            def __repr__(self):
    """ Printed Representation of the Input for HorseFly
                if self.sites != []:
                    tmp = ''
                    for site in self sites:
                         tmp = tmp + '\n' + str(site)
                    sites = "The list of sites to be serviced are " + tmp
                else:
                    sites = "The list of sites is empty"
                if self.inithorseposn != ():
                    inithorseposn = "\nThe initial position of the horse is " + \
                                       str(self.inithorseposn)
                else:
                    inithorseposn = "\nThe initial position of the horse has not been specified"
                return sites + inithorseposn
Fragment referenced in 21a.
Defines: HorseFlyInput 25a.
Uses: \ \text{self.inithorseposn}, \ 41a, \ \text{self.sites}, \ 41a.
```

Now that all the boring boiler-plate and handler codes have been written, its finally time for algorithmic ideas and implementations! Every algorithm is given an algorithmic overview followed by the detailed steps woven together with the source code.

Any local utility functions, needed for algorithmic or graphing purposes are collected at the end of this chapter.

# 5.4 Algorithm: Dumb Brute force

#### 5.4.1 Algorithmic Overview

#### 5.4.2 Algorithmic Details

```
\langle Algorithms for classic horsefly 27 \rangle \equiv
     def algo_dumb(sites, horseflyinit, phi):
          """ For each of the n factorial ordering of sites
         find the ordering which gives the smallest horsefly
         tour length
         tour_length_fn = tour_length(horseflyinit)
         best_tour = algo_exact_given_specific_ordering(sites, horseflyinit, phi)
         i = 0
         for sites_perm in list(itertools.permutations(sites)):
              print "Testing a new permutation ", i, " of the sites"; i = i + 1
              #tour_for_current_perm = algo_exact_given_specific_ordering (sites_perm, \
                                                                                horseflyinit, phi)
              tour_for_current_perm = algo_exact_given_specific_ordering (sites_perm, \
                                                                              horseflyinit, phi)
              if tour_length_fn(utils_algo.flatten_list_of_lists(tour_for_current_perm ['tour_points']) ) \
               < tour_length_fn(utils_algo.flatten_list_of_lists(</pre>
                                                                                 best_tour ['tour_points']) ):
                      best_tour = tour_for_current_perm
                      print Fore.RED + "Found better tour!" + Style.RESET_ALL
         #print Fore.RED + "\nHorse Waiting times are ",\
                  best_tour['horse_waiting_times'] , \
                  Style RESET_ALL
         return best_tour
Fragment defined by 27, 28, 29, 33a.
Fragment referenced in 21a.
Uses: \ algo\_exact\_given\_specific\_ordering \ 29, \ tour\_length \ 44a.
```

# 5.5 Algorithm: Greedy—Nearest Neighbor

#### 5.5.1 Algorithmic Overview

**5.5.2** Before proceeding we give a special case of the classical horseflies problem, which we term "collinear-horsefly". Here the objective function is again to minimize the tour-length of the drone with the additional restriction that the truck must always be moving in a straight line towards the site on the line-segment joining itself and the site, while the drone is also restricted to travelling along the same line segment.

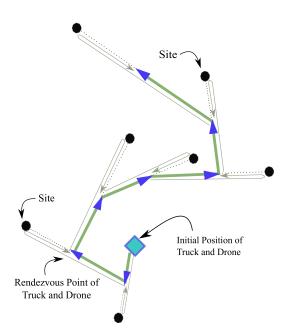


Figure 5.1: The Collinear Horsefly Problem

We can show that an optimal (unrestricted) horsfly solution can be converted to a collinear-horsefly solution at a constant factor increase in the makespan.

#### 5.5.3 Algorithmic Details

 $\langle Algorithms for classic horsefly 28 \rangle \equiv$ 

```
def algo_greedy(sites, inithorseposn, phi, post_optimizer):
     This implements the greedy algorithm for the canonical greedy
     algorithm for collinear horsefly, and then uses the ordering
     obtained to get the exact tour for that given ordering.
     Many variations on this are possible. However, this algorithm
     is simple and may be more amenable to theoretical analysis.
     We will need an inequality for collapsing chains however.
     def next_rendezvous_point_for_horse_and_fly(horseposn, site):
            Just use the exact solution when there is a single site.
           No need to use the collinear horse formula which you can
           explicitly derive. That formula is an important super-special
           case however to benchmark quality of solution.
           horseflytour = algo_exact_given_specific_ordering([site], horseposn, phi)
           return horseflytour['tour_points'][-1]
      # Begin the recursion process where for a given initial
     # position of horse and fly and a given collection of sites
     # you find the nearst neighbor proceed according to segment
```

# horsefly formula for just and one site, and for the new

```
# position repeat the process for the remaining list of sites.
           # The greedy approach can be extended to by finding the k
           # nearest neighbors, constructing the exact horsefly tour
           # there, at the exit point, you repeat by taking k nearest
           # neighbors and so on.
           def greedy(current_horse_posn, remaining_sites):
                  if len(remaining_sites) == 1:
                        return remaining_sites
                  else:
                        # For reference see this link on how nn queries are performed.
                        # https://docs.scipy.org/doc/scipy/reference/generated/scipy.spatial.KDTree.query.html
                        # Warning this is inefficient!!! I am rebuilding the
                        # kd-tree at each step. Right now, I am only doing
                        # this for convenience.
                        from scipy import spatial
                        tree = spatial.KDTree(remaining_sites)
                        # The next site to get serviced by the drone and horse
                        # is the one which is closest to the current position of the
                        # horse.
                                       = np.array([current_horse_posn])
                        pts
                        query_result = tree.query(pts)
                        next_site_idx = query_result[1][0]
                        next_site
                                      = remaining_sites[next_site_idx]
                        next_horse_posn = \
                              next_rendezvous_point_for_horse_and_fly(current_horse_posn, next_site)
                        #print remaining_sites
                        remaining\_sites.pop(next\_site\_idx) \ \textit{\#} \ the \ pop \ method \ modifies \ the \ list \ in \ place.
                        return [ next_site ] + greedy (current_horse_posn = next_horse_posn, \
                                                         remaining_sites
                                                                           = remaining_sites)
           sites1 = sites[:]
           sites_ordered_by_greedy = greedy(inithorseposn, remaining_sites=sites1)
           # Use exact solver for the post optimizer step
           answer = post_optimizer(sites_ordered_by_greedy, inithorseposn, phi)
           return answer
Fragment defined by 27, 28, 29, 33a.
Fragment referenced in 21a.
Uses: \verb| algo_exact_given_specific_ordering| 29.
5.5.4
\langle Algorithms for classic horsefly 29 \rangle \equiv
```

```
# ALGORITHMS FOR SINGLE HORSE SINGLE FLY SERVICING THE SITES IN THE GIVEN ORDER
def algo_exact_given_specific_ordering (sites, horseflyinit, phi):
    """ Use the *given* ordering of sites to compute a good tour
    for the horse.
    def ith_leg_constraint(i, horseflyinit, phi, sites):
        """ For the ith segment of the horsefly tour
        this function returns a constraint function which
        models the fact that the time taken by the fly
```

is equal to the time taken by the horse along

that particular segment.

```
if i == 0:
        def _constraint_function(x):
            #print "Constraint ", i
            start = np.array (horseflyinit)
            site = np.array (sites[0])
            stop = np.array ([x[0],x[1]])
            horsetime = np.linalg.norm( stop - start )
                            = 1/phi * np.linalg.norm( site - start )
            flytime_to_site
            flytime_from_site = 1/phi * np.linalg.norm( stop - site )
                             = flytime_to_site + flytime_from_site
            return horsetime-flytime
        return _constraint_function
    else :
        def _constraint_function(x):
           #print "Constraint ", i
           start = np.array ( [x[2*i-2], x[2*i-1]] )
           site = np.array ( sites[i])
           stop = np.array ( [x[2*i] , x[2*i+1]] )
           horsetime = np.linalg.norm( stop - start )
           flytime_to_site = 1/phi * np.linalg.norm( site - start )
           flytime_from_site = 1/phi * np.linalg.norm( stop - site )
                             = flytime_to_site + flytime_from_site
           return horsetime-flytime
        return _constraint_function
def generate_constraints(horseflyinit, phi, sites):
    """ Given input data, of the problem generate the
    constraint list for each leg of the tour. The number
    of legs is equal to the number of sites for the case
    of single horse, single drone
    cons = []
    for i in range(len(sites)):
        cons.append( { 'type':'eq',
                        'fun': ith_leg_constraint(i,horseflyinit,phi, sites) } )
    return cons
cons = generate_constraints(horseflyinit, phi, sites)
# Since the horsely tour lies inside the square,
\# the bounds for each coordinate is 0 and 1
#x0 = np.empty(2*len(sites))
#x0.fill(0.5) # choice of filling vector with 0.5 is arbitrary
x0 = utils_algo.flatten_list_of_lists(sites) # the initial choice is just the sites
assert(len(x0) == 2*len(sites))
x0 = np.array(x0)
sol = minimize(tour_length(horseflyinit), x0, method= 'SLSQP', \
                           constraints=cons, options={'maxiter':500})
```

.....

```
tour_points = utils_algo.pointify_vector(sol.x)
                        # return the waiting times for the horse
                                                                              = len(sites)
                        numsites
                                                                              = horseflyinit[0]
                        alpha
                        beta
                                                                              = horseflyinit[1]
                                                                               = utils_algo.flatten_list_of_lists(sites)
                        horse_waiting_times = np.zeros(numsites)
                         for i in range(numsites):
                                   if i == 0 :
                                                                                                 = np.sqrt((ps[0]-alpha)**2 + (ps[1]-beta)**2)
                                              horse_time
                                                                                                 = 1.0/phi * np.sqrt((s[0]-alpha)**2 + (s[1]-beta)**2)
                                              fly_time_to_site
                                              fly_time_from_site = 1.0/phi * np.sqrt((s[0]-ps[1])**2 + (s[1]-ps[1])**2)
                                   else:
                                                                                                 = np.sqrt((ps[2*i]-ps[2*i-2])**2 + (ps[2*i+1]-ps[2*i-1])**2)
                                              horse time
                                              fly_time_to_site
                                                                                                = 1.0/\text{phi} * np.sqrt(( (s[2*i]-ps[2*i-2])**2 + (s[2*i+1]-ps[2*i-
              1])**2 ))
                                              fly_time_from_site = 1.0/phi * np.sqrt(((s[2*i]-ps[2*i])**2 + (s[2*i+1]-ps[2*i])**2 + (s[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i]-ps[2*i
              ps[2*i+1])**2))
                                   horse_waiting_times[i] = horse_time - (fly_time_to_site + fly_time_from_site)
                        return {'tour_points'
                                                                                                                             tour_points
                                               'horse_waiting_times'
                                                                                                                            : horse_waiting_times,
                                               'site_ordering'
                                                                                                                            : sites
                                               'tour_length_with_waiting_time_included': \
                                                                                                                       tour_length_with_waiting_time_included(\
                                                                                                                                                          tour_points, \
                                                                                                                                                          horse_waiting_times,
                                                                                                                                                          horseflyinit)}
Fragment defined by 27, 28, 29, 33a.
Fragment referenced in 21a.
Defines: \verb|algo_exact_given_specific_ordering| 22c, 27, 28.
Uses: \ tour\_length \ 44a, \ tour\_length\_with\_waiting\_time\_included \ 44b.
```

# 5.6 Algorithm: Greedy—Incremental Insertion

#### Algorithmic Overview

- **5.6.1** The greedy nearest neighbor heuristic described in section 5.5 gives an  $O(\log n)$  approximation for n sites in the plane. However, there exists an alternative greedy incremental insertion algorithm for the TSP that yields a 2-approximation. Similar to the greedy-nn algorithm we can generalize the greedy-incremental approach to the collinear-horseflies setting (cf: Figure 5.1).
- **5.6.2** In this approach, we maintain a list of visited sites V (along with the order of visitation  $\mathcal{O}$ ) and the unvisited sites U. For the given collinear-horsefly tour serving V pick a site s from U along with a position in  $\mathcal{O}$  (calling the resulting ordering  $\mathcal{O}'$ ) that minimizes the cost of the horsefly tour serving the sites  $V \cup \{s\}$  in the order  $\mathcal{O}'$ .

- 1		1		
	/webs/docs/algo-greedy-incremental-insert	iic	onD/anyel250/ldbod52/-214g.C-lgackeldynei-nl@r:enter0023/la-lignos_entraiton_40000020f	nh&
	/webs/docs/algo-greedy-incremental-insert	iic	onD/anyel250/ldbod52/-214g.C-lgackeldynei-nl@r:enter0023/la-lignos_entraiton_400000-22@	)11&g
	/webs/docs/algo-greedy-incremental-insert	ic	onD/anyet29/1d8ods2/2214g.6-lgorckeldinnei-11.9r:enter022/12-lignos_extraiton_4000042.0p	nh&g

Figure 5.2: Greedy incremental insertion for collinear horseflies.  $\varphi = 3.0$ . Notice that the ordering of the visited sites keep changing based on where we decide to insert an unvisited site.

Figure 5.2 depicts the incremental insertion process for the case of 4 sites and  $\varphi = 3$ . Notice that the ordering of the visited sites keep changing based on where we decide to insert an unvisited site.

The implementation of this algorithm for collinear-horseflies raises several interesting non-trivial datastructural questions in their own right: how to quickly find the site from U to insert into V, and keep track the changing length of the horsefly tour. Note that inserting a site causes the length of the tour of the truck to change, for all the sites after s.

#### Algorithmic Details

**5.6.3** The implementation of the algorithm is "parametrized" over various strategies for insertion. i.e. we treat each insertion policy as a black-box argument to the function.

Efficient policies for detecting the exact or approximate point for cheapest insertion will be described in section 5.7. We also implement a "naive" policy as a way benchmark the quality and speed of implementation of future insertion policies.

```
\langle Algorithms for classic horsefly 33a \rangle \equiv
      ⟨ Define auxiliary helper functions 39c, . . . ⟩
       ( Define various insertion policy classes 41a)
      def algo_greedy_incremental_insertion(sites, inithorseposn, phi,
                                                      insertion_policy_name
                                                                                        = "naive"
                                                      write_algo_states_to_disk_p = True
                                                      animate_schedule_p
                                                                                        = True
                                                      post_optimizer
                                                                                        = None):
              ⟨ Set log, algo-state and input-output files config 33b⟩
              \langle \ Set \ insertion \ policy \ class \ for \ current \ run \ 34a \, \rangle
             while insertion_policy.unvisited_sites_idxs:
                  ( Use insertion policy to find the cheapest site to insert into current tour 34b)
                  \(\langle \text{Write algorithm's current state to file 35a}\)
              ⟨ Write input and output to file 38a⟩
              ⟨ Make an animation of the schedule, if animate_schedule_p == True 39a⟩
              sys.exit()
              \ Make an animation of algorithm states, if write_algo_states_to_disk_p == True 38b\
              ⟨ Return horsefly tour, along with additional information 39b⟩
      \Diamond
Fragment defined by 27, 28, 29, 33a.
Fragment referenced in 21a.
```

Defines: algo\_greedy\_incremental\_insertion, 22c, write\_algo\_states\_to\_disk\_p 35ab, 38b.

**5.6.4** Note that for each run of the algorithm, we create a dedicated directory and use a corresponding log file in that directory. It will typically containe detailed information on the progress of the algorithm and the steps executed.

For algorithm analysis, and verification of correctness, on the other hand, we will typically be interested in the states of the data-structures at the end of the while loop; each such state will be written out as a YAML file. Such files can be useful for animating the progress of the algorithm.

Finally, just before returning the answer, we write the input and output to a separate YAML file. All in all, there are three "types" of output files within each directory that corresponds to an algorithm's run: <u>a log file</u>, algorithm states files, and finally an <u>input-output file</u>.

```
⟨ Set log, algo-state and input-output files config 33b⟩ ≡

import sys, logging, datetime, os, errno

algo_name = 'algo-greedy-incremental-insertion'
    time_stamp = datetime.datetime.now().strftime('Day-%Y-%m-%d_ClockTime-%H:%M:%S')
```

```
= algo_name + '---' + time_stamp
     log_file_name = dir_name + '/' + 'run.log'
     io_file_name = 'input_and_output.yml'
     # Create directory for writing data-files and logs to for
     # current run of this algorithm
     trv:
         os makedirs(dir_name)
     except OSError as e:
         if e.errno != errno.EEXIST:
             raise
     logging.basicConfig( filename = log_file_name,
                           level
                                    = logging.DEBUG,
                           format
                                    = '%(asctime)s: %(levelname)s: %(message)s',
                           filemode = 'w' )
     #logger = logging.getLogger()
     info("Started running greedy_incremental_insertion for classic horsefly")
     algo_state_counter = 0
Fragment referenced in 33a.
Defines: io_file_name, 38a, logger 22a.
```

**5.6.5** This fragment merely sets the variable <code>insertion\_policy</code> to the appropriate function. This will later help us in studying the speed of the algorithm and quality of the solution for various insertion policies during the experimental analysis.

```
\( \set insertion policy class for current run 34a \rangle \)

if insertion_policy_name == "naive":
        insertion_policy = PolicyBestInsertionNaive(sites, inithorseposn, phi)

else:
        print insertion_policy_name
        sys.exit("Unknown insertion policy: ")

debug("Finished setting insertion policy: " + insertion_policy_name)

...
```

Fragment referenced in 33a.

**5.6.6** Note that while defining the body of the algorithm, we treat the insertion policy (whose name has already been passed as an string argument) as a kind of black-box, since all policy classes have the same interface. The detailed implementation for the various insertion policies are given later.

```
⟨ Use insertion policy to find the cheapest site to insert into current tour 34b⟩ ≡
insertion_policy.insert_another_unvisited_site()
```

debug(Fore.GREEN + "Inserted another unvisited site" + Style.RESET\_ALL)

Fragment referenced in 33a.

**5.6.7** When using Python 2.7 (as I am doing with this suite of programs), you should have the pyyaml module version 3.12 installed. Version 4.1 breaks for some weird reason; it can't seem to serialized Numpy objects. See https://github.com/kevin1024/vcrpy/issues/366 for a brief discussion on this topic.

The version of pyyaml on your machine can be checked by printing the value of yaml.\_\_version\_\_. To install the correct version of pyyaml (if you get errors) use

```
sudo pip uninstall pyyaml && sudo pip install pyyaml=3.12
```

**5.6.8** We use the write\_algo\_states\_to\_disk\_p boolean argument to explicitly specify whether to write the current algorithm state along with its image to disk or not. This is because Matplotlib and PyYaml is very slow when writing image files to disk. Later on, I will probably switch to Asymptote for all my plotting, but for the moment I will stick to Matplotlib because I don't want to have to switch languages right now.

And much of my plots will be of a reasonably high-quality for the purpose of presentations. This will naturally affect timing/benchmarking results.

```
\langle Write \ algorithm's \ current \ state \ to \ file \ 35a \rangle \equiv
      if write_algo_states_to_disk_p:
            import yaml
            algo_state_file_name = 'algo_state_'
                                 str(algo_state_counter).zfill(5) + \
                                 ' ym1'
            data = {'insertion_policy_name' : insertion_policy_name
                      'unvisited_sites'
                                                : [insertion_policy.sites[u] \
                                                         for u in insertion_policy.unvisited_sites_idxs],
                     'visited_sites'
                                                 : insertion_policy_visited_sites
                     'horse_tour'
                                                 : insertion_policy horse_tour }
           with open(dir_name + '/' + algo_state_file_name, 'w') as outfile:
                 yaml.dump( data
                              outfile, \
                              default_flow_style = False)
                  ⟨ Render current algorithm state to image file 35b⟩
            algo_state_counter = algo_state_counter + 1
            debug("Dumped algorithm state to " + algo_state_file_name)
      \rightarrow
Fragment referenced in 33a.
Uses: write_algo_states_to_disk_p 33a.
\langle Render \ current \ algorithm \ state \ to \ image \ file \ 35b \rangle \equiv
      import utils_algo
      if write_algo_states_to_disk_p:
            \langle Set up plotting area and canvas, fig, ax, and other configs 35c\rangle
            (Extract x and y coordinates of the points on the horse, fly tours, visited and unvisited sites 36a)
            (Mark initial position of horse and fly boldly on canvas 36b)
            ( Place numbered markers on visited sites to mark the order of visitation explicitly 37b)
            ⟨ Draw horse and fly-tours 37a ⟩
            ⟨ Draw unvisited sites as filled blue circles 37c⟩
            ⟨ Give metainformation about current picture as headers and footers 37d⟩
            ⟨ Write image file 37e⟩
Fragment referenced in 35a.
Uses: write_algo_states_to_disk_p 33a.
5.6.9
\langle Set up plotting area and canvas, fig, ax, and other configs 35c\rangle \equiv
      from matplotlib import rc
      rc('font', **{'family': 'serif', \
                   'serif': ['Computer Modern']})
      rc('text', usetex=True)
      fig,ax = plt.subplots()
      ax.set_xlim([0,1])
      ax.set_ylim([0,1])
      ax.set_aspect(1.0)
```

```
ax = fig.gca()
ax.set_xticks(np.arange(0, 1, 0.1))
ax.set_yticks(np.arange(0, 1., 0.1))
plt.grid(linestyle='dotted')
ax.set_xticklabels([]) # to remove those numbers at the bottom
ax.set_yticklabels([])

ax.tick_params(
    bottom=False, # ticks along the bottom edge are off
    left=False, # ticks along the top edge are off
    labelbottom=False) # labels along the bottom edge are off
```

Fragment referenced in 35b.

5.6.10 Matplotlib typically plots points using x and y coordinates of the points in separate points.

 $\langle$  Extract x and y coordinates of the points on the horse, fly tours, visited and unvisited sites 36a $\rangle$   $\equiv$ 

```
# Route for the horse
xhs = [ data['horse_tour'][i][0] \
          for i in range(len(data['horse_tour'])) ]
yhs = [ data['horse_tour'][i][1] \
          for i in range(len(data['horse_tour'])) ]
# Route for the fly. The fly keeps alternating between the site and the horse
xfs , yfs = [xhs[0]], [yhs[0]]
for site, pt in zip (data['visited_sites'],
                     data['horse_tour'][1:]):
    xfs.extend([site[0], pt[0]])
   yfs.extend([site[1], pt[1]])
xvisited = [ data['visited_sites'][i][0] \
               for i in range(len(data['visited_sites'])) ]
yvisited = [ data['visited_sites'][i][1] \
               for i in range(len(data['visited_sites'])) ]
xunvisited = [ data['unvisited_sites'][i][0] \
                 for i in range(len(data['unvisited_sites'])) ]
yunvisited = [ data['unvisited_sites'][i][1]
                 for i in range(len(data['unvisited_sites'])) ]
debug("Extracted x and y coordinates for route of horse, fly, visited and unvisited sites")
```

Fragment referenced in 35b.

#### 5.6.11

```
\label{eq:mark_initial} $\langle \mathit{Mark initial position of horse and fly boldly on canvas} \ 36b \rangle \equiv $$ ax.add\_patch( \ mpl.patches.Circle( \ inithorseposn, \ \ radius = 1/55.0, \ \ facecolor= '\#D13131', \ \#'red', \ \ edgecolor='black') )$$ debug("Marked the initial position of horse and fly on canvas")
```

Fragment referenced in 35b.

```
\langle Draw \ horse \ and \ fly-tours \ 37a \rangle \equiv
      ax.plot(xfs,yfs,'g-',linewidth=1.1)
      ax.plot(xhs, yhs, color='r', \
               marker='s', markersize=3, \
               linewidth=1.6)
      debug("Plotted the horse and fly tours")
Fragment referenced in 35b.
\langle Place numbered markers on visited sites to mark the order of visitation explicitly 37b \rangle \equiv
      for x,y,i in zip(xvisited, yvisited, range(len(xvisited))):
           ax.text(x, y, str(i+1), fontsize=8, \
                    bbox=dict(facecolor='#ddcba0', alpha=1.0, pad=2.0))
      debug("Placed numbered markers on visited sites")
Fragment referenced in 35b.
\langle Draw \ unvisited \ sites \ as \ filled \ blue \ circles \ 37c \rangle \equiv
      for x, y in zip(xunvisited, yunvisited):
           ax.add_patch( mpl.patches.Circle( (x,y),\
                                                          = 1/100.0, \setminus
                                               radius
                                               facecolor = 'blue',\
                                               edgecolor = 'black') )
      debug("Drew univisted sites")
Fragment referenced in 35b.
5.6.12
\langle Give metainformation about current picture as headers and footers 37d\rangle \equiv
      fontsize = 15
      ax.set_title( r'Number of sites visited so far: ' +\
                       str(len(data['visited_sites'])) +\
                       '/' + str(len(sites))
                            fontdict={'fontsize':fontsize})
      ax.set_xlabel(r'$\varphi=$'+str(phi), fontdict={'fontsize':fontsize})
      debug("Setting title, headers, footers, etc...")
```

Fragment referenced in 35b.

Note that after writing image files, you should close the current figure. Otherwise the collection of all the open figures starts hogging the RAM. Matplotlib throws a warning to this effect (if you don't close to the figures) after writing about 20 figures:

```
/usr/local/lib/python2.7/dist-packages/matplotlib/pyplot.py:528: RuntimeWarning: More than 20 figures have been opened. Figures created through the pyplot interface (`matplotlib.pyplot.figure`) are retained until explicitly closed and may consume too much memory. (To control this warning, see the rcParam `figure.max_open_warning`). max_open_warning, RuntimeWarning)
```

There is a Stack Overflow answer (https://stackoverflow.com/a/21884375/505306) which advises to call plt.close() after writing out a file that closes the *current* figure to avoid the above warning.

```
\langle Write \ image \ file \ 37e \rangle \equiv
```

Fragment referenced in 35b.

**5.6.13** The final answer is written to disk in the form of a YAML file. It lists the input sites in the order of visitation computed by the algorithm and gives the tour of the horse. Note that the number of points on the horse's tour is 1 more than the number of given sites.

```
\langle Write input and output to file 38a \rangle \equiv
     # ASSERT: `inithorseposn` is included as first point of the tour
     assert(len(insertion_policy.horse_tour) == len(insertion_policy.visited_sites) + 1)
     # ASSERT: All sites have been visited. Simple sanity check
     assert(len(insertion_policy.sites)
                                           == len(insertion_policy.visited_sites))
     data = {'insertion_policy_name' : insertion_policy_name
              'visited_sites' : insertion_policy.visited_sites ,
              'horse_tour'
                               : insertion_policy horse_tour
              'phi'
                               : insertion_policy.phi
              'inithorseposn' : insertion_policy.inithorseposn}
     import yaml
     with open(dir_name + '/' + io_file_name, 'w') as outfile:
                                                                      yaml.dump( data, \
                      outfile, \
                      default_flow_style=False)
     debug("Dumped input and output to " + io_file_name)
Fragment referenced in 33a.
Uses: io_file_name, 33b.
```

5.6.14 If algorithm states have been rendered to files in the run-folder, we stitch them together using ffmpeg and make an .mp4 animation of the changing states of the algorithms. The .mp4 file will be in the algorithm's run folder. I used the tutorial given on https://en.wikibooks.org/wiki/FFMPEG\_An\_Intermediate\_Guide/image\_sequence for choosing the particular command-line options to ffmpeg below. The options -hide\_banner -loglevel panic to quieten ffmpeg's output were suggested by https://superuser.com/a/1045060/102371

Fragment referenced in 33a.

Uses: write\_algo\_states\_to\_disk\_p 33a.

5.6.15 This chunks reads the information in the input-output file just written out as a YAML file in the run-folder and then renders the process of the horse and fly moving around the plane delivering packages to sites.

```
\langle Make an animation of the schedule, if animate_schedule_p == True 39a\rangle
     if animate_schedule_p :
           animateSchedule(dir_name + '/' + io_file_name)
Fragment referenced in 33a.
5.6.16
\langle Return \ horsefly \ tour, \ along \ with \ additional \ information \ 39b \rangle \equiv
     debug("Returning answer")
     horse_waiting_times = np.zeros(len(sites)) # TODO write this to file later
     return {'tour_points'
                                               : insertion_policy.horse_tour[1:],
               'horse_waiting_times'
                                               : horse_waiting_times,
              'site_ordering'
                                               : insertion_policy.visited_sites,
              'tour_length_with_waiting_time_included': \
                                                 tour_length_with_waiting_time_included(\
                                                                insertion_policy.horse_tour[1:], \
                                                                horse_waiting_times, \
                                                                inithorseposn)}
```

Fragment referenced in 33a.
Uses: tour\_length\_with\_waiting\_time\_included 44b.

**5.6.17** We now define some of the functions that were referred to in the above chunks. Given the intial position of the truck and drone, and a list of sites, we need to compute the collinear horsefly tour length for the given ordering. This is the function that is used in every policy class while deciding which is the cheapest unvisited site to insert into the current ordering of visited sites.

Note that the order in which sites are passed to this function matters. It assumes that you want to compute the collinear horseflies tour length for the sites in the given order.

For this, we use the formula for computing the rendezvous point when there is only a single site, given by the code-chunk below.

```
⟨ Define auxiliary helper functions 39c⟩ ≡

def single_site_solution(site, horseposn, phi):

h = np.asarray(horseposn)
s = np.asarray(site)

hs_mag = 1.0/np.linalg.norm(s-h)
hs_unit = 1.0/hs_mag * (s-h)

r = h + 2*hs_mag/(1+phi) * hs_unit # Rendezvous point
hr_mag = np.linalg.norm(r-h)

return (tuple(r), hr_mag)

◊

Fragment defined by 39c, 40ab.
Fragment referenced in 33a.
Defines: single_site_solution 40ab.
```

With that the tour length functions for collinear horseflies can be implemented as an elementary instance of the fold pattern of functional programming.  $^{1}$ 

<sup>&</sup>lt;sup>1</sup>Python has folds tucked away in some corner of its standard library. But I am not using it during the first hacky portion of this draft. Also Shane mentioned it has performance issues? Double-check this later!

```
\langle Define \ auxiliary \ helper \ functions \ 40a \rangle \equiv
      def compute_collinear_horseflies_tour_length(sites, horseposn, phi):
           if not sites: # No more sites, left to visit!
                 return 0
           else:
                           # Some sites are still left on the itinerary
                 (rendezvous_pt, horse_travel_length) = single_site_solution(sites[0], horseposn, phi )
                 return horse_travel_length + \
                         compute_collinear_horseflies_tour_length( sites[1:], rendezvous_pt, phi )
Fragment defined by 39c, 40ab.
Fragment referenced in 33a.
Defines: compute_collinear_horseflies_tour_length 41c, 42b.
Uses: \verb|single_site_solution| 39c.
\langle Define \ auxiliary \ helper \ functions \ 40b \rangle \equiv
      def compute_collinear_horseflies_tour(sites, inithorseposn, phi):
                                 = inithorseposn
            horse_tour_points = [inithorseposn]
            for site in sites:
                 (rendezvous_pt, _) = single_site_solution(site, horseposn, phi )
                 horse_tour_points.append(rendezvous_pt)
                 horseposn = rendezvous_pt
            return horse_tour_points
Fragment defined by 39c, 40ab.
Fragment referenced in 33a.
Defines: compute_collinear_horseflies_tour 43.
Uses: single_site_solution 39c.
```

#### 5.7 Insertion Policies

We have finished implemented the entire algorithm, except for the implementation of the various insertion policy classes.

The main job of an insertion policy class is to keep track of the unvisited sites, the order of the visited sites and the horsefly tour itself. Every time, the method <code>.get\_next\_site(...)</code> is called, it chooses an appropriate (i.e. cheapest) unvisited site to insert into the current ordering, and update the set of visited and unvisited sites and details of the horsefly tour.

To do this quickly it will typically need auxiliary data-structures whose specifics will depend on the details of the policy chosen.

**5.7.1** Naive Insertion First, a naive implementation of the cheapest insertion heuristic, that will be useful in future benchmarking of running times and solution quality for implementations that are quicker but make more sophisticated uses of data-structures.

In this policy for each unvisited site we first find the position in the current tour, which after insertion into that position amongst the visited sites yields the smallest increase in the collinear-horseflies tour-length.

Then we pick the unvisited site which yields the overall smallest increase in tour-length and insert it into its computed position from its previous paragraph.

Clearly this implementation and has at least quadratic running time. Later on, we will be investigating algorithms and data-structures for speeding up this operation.

The hope is to be able to find a dynamic data-structure to perform this insertion in logarithmic time. Variations on tools such as the well-separated pair decomposition might help achieve this goal. Jon Bentley used kd-trees to perform the insertion in his experimental TSP paper, but he wasn't dealing with the shifting tour structure as we have in horseflies. Also he did not deal with the question of finding an approximate point for insertion. These

**5.7.2** Since the interface for all policy classes will be the same, it is best, if have a base class for such classes. Since the details of the interface may change, I'll probably do this later. For now, I'll just keep all the policy classes completely separate while keeping the interface of the constructors and methods the same. I'll refactor things later.

The plan in that case should be to make an abstract class that has an abstract method called <code>insert\_unvisited\_site</code> and three data-fields made from the base-constructor named <code>sites</code>, <code>inithorseposn</code> and <code>phi</code>. Classes which inherit this abstract base class, will add their own local data-members and methods for keeping track of data for insertion.

```
for insertion.
\langle Define \ various \ insertion \ policy \ classes \ 41a \rangle \equiv
      class PolicyBestInsertionNaive:
          def __init__(self, sites, inithorseposn, phi):
                self sites
                                         = sites
                self.inithorseposn
                                         = inithorseposn
                self phi
                                         = phi
                                                                      # The actual list of visited sites (not indices)
                self visited_sites
                                               = []
                self.unvisited_sites_idxs = range(len(sites)) # This indexes into self.sites
                self horse_tour
                                               = [self.inithorseposn]
           ⟨ Methods for PolicyBestInsertionNaive 41b⟩
Fragment referenced in 33a.
Defines: self.horse_tour 43, self.inithorseposn, 25b, 41c, 42b, 43, self.sites, 25b, self.visited_sites, 41c, 43.
5.7.3
\langle Methods \ for \ PolicyBestInsertionNaive \ 41b \rangle \equiv
      def insert_another_unvisited_site(self):
          ⟨ Compute the length of the tour that currently services the visited sites 41c⟩
         delta_increase_least_table = [] # tracking variable updated in for loop below
         for u in self unvisited_sites_idxs:
             (Set up tracking variables local to this iteration 42a)
             ⟨If self.sites[u] is chosen for insertion, find best insertion position and update delta_increase_least_table 42b⟩
          ⟨ Find the unvisited site which on insertion increases tour-length by the least amount 42c⟩
          ⟨ Update states for PolicyBestInsertionNaive 43⟩
Fragment referenced in 41a.
Defines: delta_increase_least_table 42bc.
5.7.4
\langle Compute the length of the tour that currently services the visited sites 41c \rangle \equiv
      current_tour_length
                compute_collinear_horseflies_tour_length(\
```

```
self.visited_sites,\
                            self.inithorseposn,\
                            self.phi)
      \Diamond
Fragment referenced in 41b.
Defines: current_tour_length 42b.
Uses: compute_collinear_horseflies_tour_length 40a, self.inithorseposn, 41a, self.visited_sites, 41a.
5.7.5
\langle Set up tracking variables local to this iteration 42a \rangle \equiv
      ibest
                             = 0
      delta_increase_least = float("inf")
Fragment referenced in 41b.
Defines: \ \ delta\_increase\_least \ 42b, \ ibest, \ 42b.
5.7.6
(If self.sites[u] is chosen for insertion, find best insertion position and update
delta_increase_least_table 42b \rangle \equiv
      for i in range(len(self.sites)):
                   visited\_sites\_test = self.visited\_sites[:i] + \\
                                           [ self.sites[u] ]
                                           self.visited_sites[i:]
                   tour_length_on_insertion = \
                                compute_collinear_horseflies_tour_length(\
                                            visited_sites_test,\
                                            self.inithorseposn,\
                                            self.phi)
                   delta_increase = tour_length_on_insertion - current_tour_length
                   assert(delta_increase >= 0)
                   if delta_increase < delta_increase_least:</pre>
                          delta_increase_least = delta_increase
      delta_increase_least_table.append({'unvisited_site_idx'
                                                                           : u
                                              'best_insertion_position' : ibest, \
                                              'delta_increase'
                                                                           : delta_increase_least})
Fragment referenced in 41b.
Uses: compute_collinear_horseflies_tour_length 40a, current_tour_length 41c, delta_increase_least 42a,
      \tt delta\_increase\_least\_table~41b,~ibest,~42a,~self.inithorseposn,~41a.
5.7.7
\langle Find the unvisited site which on insertion increases tour-length by the least amount 42c \rangle \equiv
      best_table_entry = min(delta_increase_least_table, \
                                  key = lambda x: x['delta_increase'])
      unvisited_site_idx_for_insertion = best_table_entry['unvisited_site_idx']
      insertion_position
                                           = best_table_entry['best_insertion_position']
      delta_increase
                                           = best_table_entry['delta_increase']
Fragment referenced in 41b.
```

Uses: delta\_increase\_least\_table 41b.

#### 5.7.8

```
\langle Update \ states \ for \ PolicyBestInsertionNaive \ 43 \rangle \equiv
     # Update visited and univisted sites info
     self.visited_sites = self.visited_sites[:insertion_position]
                            [self.sites[unvisited_site_idx_for_insertion]] +\
                            self.visited_sites[insertion_position:]
     self.unvisited_sites_idxs = filter( lambda elt: elt != unvisited_site_idx_for_insertion, \
                                            self.unvisited_sites_idxs )
     # Update the tour of the horse
     self.horse_tour = compute_collinear_horseflies_tour(\
                                  self.visited_sites,
                                  self inithorseposn \
                                  self.phi)
```

Fragment referenced in 41b.

Uses: compute\_collinear\_horseflies\_tour 40b, self.horse\_tour 41a, self.inithorseposn, 41a, self.visited\_sites, 41a.

## Algorithm: Bottom-Up Split

- 5.8.1 Algorithmic Overview
- 5.8.2 Algorithmic Details

### Algorithm: Local Search—Swap

- 5.9.1 Algorithmic Overview
- 5.9.2 Algorithmic Details

#### Algorithm: K2 Means 5.10

- 5.10.1 Algorithmic Overview
- 5.10.2 Algorithmic Details

#### Lower Bound: $\varphi$ -MST

#### 5.11.1 Overview

#### 5.11.2 Computing the Lower-Bound

### 5.12 Local Utility Functions

5.12.1 For a given initial position of horse and fly return a function computing the tour length. The returned function computes the tour length in the order of the list of stops provided beginning with the initial position of horse and fly. Since the horse speed = 1, the tour length = time taken by horse to traverse the route.

This is in other words the objective function.

```
\langle Local \ utility \ functions \ for \ classic \ horsefly \ 44a \rangle \equiv
     def tour_length(horseflyinit):
         def _tourlength (x):
               # the first point on the tour is the
               # initial position of horse and fly
               # Append this to the solution x = [x0,x1,x2,...]
               # at the front
              htour = np.append(horseflyinit, x)
               length = 0
               for i in range(len(htour))[:-3:2]:
                        length = length + \
                                  np.linalg.norm([htour[i+2] - htour[i], \
                                                    htour[i+3] - htour[i+1]])
               return length
         return _tourlength
Fragment defined by 44ab.
Fragment referenced in 21a.
Defines: tour_length 27, 29, 45.
```

5.12.2 It is possible that some heuristics might return non-negligible waiting times. Hence I am writing a separate function which adds the waiting time (if it is positive) to the length of each link of the tour. Again note that because speed of horse = 1, we can add "time" to "distance".

```
\langle Local \ utility \ functions \ for \ classic \ horsefly \ 44b \rangle \equiv
```

```
def tour_length_with_waiting_time_included(tour_points, horse_waiting_times, horseflyinit):
     tour_points = np.asarray([horseflyinit] + tour_points)
                    = zip(tour_points, tour_points[1:])
     tour_links
     # the +1 because the inital position has been tacked on at the beginning
     # the solvers written the tour points except for the starting position
      # because that is known and part of the input. For this function
      # I need to tack it on for tour length
     assert(len(tour_points) == len(horse_waiting_times)+1)
     for i in range(len(horse_waiting_times)):
          # Negative waiting times means drone/fly was waiting
          # at rendezvous point
          if horse_waiting_times[i] >= 0:
              wait = horse_waiting_times[i]
          else:
              wait = 0
```

```
sum += wait + np.linalg.norm(tour_links[i][0] - tour_links[i][1], ord=2) #
return sum

$
$
Fragment defined by 44ab.
Fragment referenced in 21a.
Defines: tour_length_with_waiting_time_included 29, 39b, 45.
```

#### 5.13 Plotting Routines

#### 5.13.1

```
\langle Plotting routines for classic horsefly 45 \rangle \equiv
     def plotTour(ax,horseflytour, horseflyinit, phi, algo_str, tour_color='#d13131'):
         # Route for the horse
         xhs, yhs = [horseflyinit[0]], [horseflyinit[1]]
         for pt in horseflytour['tour_points']:
             xhs.append(pt[0])
             yhs.append(pt[1])
         # List of sites
         xsites, ysites = [], []
         for pt in horseflytour['site_ordering']:
             xsites.append(pt[0])
             ysites.append(pt[1])
         # Route for the fly. The fly keeps alternating
         # between the site and the horse
         xfs , yfs = [xhs[0]], [yhs[0]]
         for site, pt in zip (horseflytour['site_ordering'],
                              horseflytour['tour_points']):
             xfs.extend([site[0], pt[0]])
             yfs.extend([site[1], pt[1]])
         print "\n----"
         print "Horse Tour"
         print "----"
         waiting_times = [0.0] + horseflytour['horse_waiting_times'].tolist() # the waiting time at the starting p
         #print waiting_times
         for pt, time in zip(zip(xhs,yhs), waiting_times) :
             print pt, Fore.GREEN, " ---> Horse Waited ", time, Style.RESET_ALL
         print "\n----"
         print "Fly Tour"
         print "----"
         for item, i in zip(zip(xfs,yfs), range(len(xfs))):
             if i\%2 == 0:
                print item
             else :
                print Fore.RED + str(item) + "----> Site" + Style.RESET_ALL
         print Fore.GREEN, "\nSpeed of the drone was set to be", phi
         #tour_length = utils_algo.length_polygonal_chain( zip(xhs, yhs))
         tour_length = horseflytour['tour_length_with_waiting_time_included']
         print "Tour length of the horse is ", tour_length
```

```
print "Algorithm code-Key used "
                                             , algo_str, Style.RESET_ALL
         print "-----
                                             ----\n''
         #kwargs = {'size':'large'}
         for x,y,i in zip(xsites, ysites, range(len(xsites))):
               ax.text(x, y, str(i+1), bbox=dict(facecolor='#ddcba0', alpha=1.0))
         ax.plot(xfs,yfs,'g-') # fly tour is green
         ax.plot(xhs, yhs, color=tour_color, marker='s', linewidth=3.0) # horse is red
         # Initial position of horse and fly
         ax.add_patch( mpl.patches.Circle( horseflyinit,
                                           radius = 1/34.0,
                                           facecolor= '#D13131', #'red',
                                           edgecolor='black' ) )
         fontsize = 20
         tnrfont = {'fontname':'Times New Roman'}
         ax.set_title( r'Algorithm Used: ' + algo_str + '\nTour Length: ' \
                         + str(tour_length)[:7], fontdict={'fontsize':fontsize}, **tnrfont)
         ax.set_xlabel(r'Number of sites: ' + str(len(xsites)) + '\nDrone Speed: ' + str(phi) ,
                       fontdict={'fontsize':fontsize}, **tnrfont)
     0
Fragment referenced in 21a.
Defines: plotTour 22c.
Uses: tour_length 44a, tour_length_with_waiting_time_included 44b.
```

#### 5.14 Animation routines

5.14.1 After writing out the schedule, it would be nice to have a function that animates the delivery process of the schedule. Every problem will have animation features unique to its features. Any abstraction will reveal itself only after I design the various algorithms and extract the various features, which is why I will develop these animation routines on the fly.

In general, all algorithms for a problem will write out a YAML file containing the schedule in the outputted run-folder. To animate a schedule and write the resulting movie to disk we just pass the name of the file containing the schedule. Since the output file-format of the schedule is identical for all algorithms of a problem, it is sufficient to have just one animation function.

Schedules will typically be animated iff there is a animate\_schedule\_p boolean flag set to True in the arguments of every algorithm's function.

Here we render the Horse and Fly moving according to their assigned tours at their respective speeds, we don't need to "coordinate" the plotting since that has already been done by the scheudle itself.

A site that has been unserviced is represented by a blue dot. A site that has been serviced is represented by a yellow dot.

```
\langle Animation routines for classic horsefly 47a \rangle \equiv
```

```
def animateSchedule(schedule_file_name):
    import yaml
    import numpy as np
    import matplotlib.animation as animation
    from matplotlib.patches import Circle
    import matplotlib.pyplot as plt

    ⟨ Set up configurations and parameters for animation and plotting 47b⟩
    ⟨ Parse input-output file and set up required data-structures 48⟩
    ⟨ Construct and store every frame of the animation in ims 49a⟩
    ⟨ Write animation of schedule to disk and display in live window 51⟩

    ◆
Fragment referenced in 21a.
```

**5.14.2** In the animation, we are going to show the process of the fly delivering packages to the sites according to the pre-computed schedule. Thus the canvas must reflect the underlying euclidean space. For this, we need to set the bounding box of the Axes object to an axis-parallel unit-square whose lower-left corner is at the origin.

While displaying the animation it also helps to have a major and minor grid lightly visible to get a rough sense of distances between the sites. The settings for setting up these grids were done following the tutorial on http://jonathansoma.com/lede/data-studio/matplotlib/adding-grid-lines-to-a-matplotlib-chart/

We also use IATEX for typesetiing symbols and equations and the Computer Modern font for text on the plot canvas. Unfortunately, Matplotlib's present default font for text seems to be DejaVu Sans Mono, which isn't pretty for publications.

 $\langle$  Set up configurations and parameters for animation and plotting 47b  $\rangle \equiv$ 

```
plt.rc('text', usetex=True)
     plt.rc('font', family='serif')
     fig, ax = plt.subplots()
     ax.set_xlim([0,1])
     ax.set_ylim([0,1])
     ax.set_aspect('equal')
     ax.set_xticks(np.arange(0, 1, 0.1))
     ax.set_yticks(np.arange(0, 1, 0.1))
     # Turn on the minor TICKS, which are required for the minor GRID
     ax.minorticks_on()
     # customize the major grid
     ax.grid(which='major', linestyle='--', linewidth='0.3', color='red')
     # Customize the minor grid
     ax.grid(which='minor', linestyle=':', linewidth='0.3', color='black')
     ax.get_xaxis().set_ticklabels([])
     ax.get_yaxis().set_ticklabels([])
Fragment referenced in 47a.
```

**5.14.3** In this chunk, by horse\_leg we mean the segment of a horse's tour between two successive rendezvous points with a fly while a fly\_leg stands for the part of a fly tour when the fly leaves the horse, reaches a site, and returns back to the horse. These concepts are illustrated in the diagram below. The frames of the animation are constructed by first extracting the horse\_legs and fly\_legs of the horse and fly-tours and then animating the horse and fly moving along each of their respective legs.

 $\langle Parse\ input$ -output file and set up required data-structures 48  $\rangle \equiv$ 

```
with open(schedule_file_name, 'r') as stream:
     schedule = yaml.load(stream)
phi
              = float(schedule['phi'])
inithorseposn = schedule['inithorseposn']
# Get legs of the horse and fly tours
horse_tour = map(np.asarray, schedule['horse_tour']
           = map(np.asarray, schedule['visited_sites'])
sites
# set important meta-data for plot
ax.set_title("Number of sites: " + str(len(sites)), fontsize=25)
ax.set_xlabel(r"$\varphi$ = " + str(phi), fontsize=20)
xhs = [ horse_tour[i][0] for i in range(len(horse_tour))]
yhs = [ horse_tour[i][1] for i in range(len(horse_tour))]
xfs , yfs = [xhs[0]], [yhs[0]]
for site, pt in zip (sites,horse_tour[1:]):
         xfs.extend([site[0], pt[0]])
         yfs.extend([site[1], pt[1]])
fly_tour = map(np.asarray,zip(xfs,yfs))
horse_legs = zip(horse_tour, horse_tour[1:])
fly_legs = zip(fly_tour, fly_tour[1:], fly_tour[2:]) [0::2]
assert(len(horse_legs) == len(fly_legs))
```

Fragment referenced in 47a.

**5.14.4** The ims array stores each frame of the animation. Every frame consists of various "artist" objects <sup>2</sup> (e.g. circles and segments) which change dynamically as the positions of the horse and flies change.

<sup>&</sup>lt;sup>2</sup>This is Matplotlib terminology

```
\langle Construct \ and \ store \ every \ frame \ of \ the \ animation \ in \ ims \ 49a \rangle \equiv
     ims = []
     for horse_leg, fly_leg, leg_idx in zip(horse_legs, \
                                                fly_legs,
                                                range(len(horse_legs))):
           debug(Fore.YELLOW + "Animating leg: "+ str(leg_idx) + Style.RESET_ALL)
           ⟨ Define function to place points along a leg 50 ⟩
           horse_posns = discretize_leg(horse_leg)
           fly_posns = discretize_leg(fly_leg)
           assert(len(horse_posns) == len(fly_posns))
          hxs = [xhs[i] for i in range(0,leg_idx+1) ]
          hys = [yhs[i] for i in range(0,leg_idx+1) ]
           fxs , fys = [hxs[0]], [hys[0]]
           for site, pt in zip (sites,(zip(hxs,hys))[1:]):
                fxs.extend([site[0], pt[0]])
                fys.extend([site[1], pt[1]])
           number_of_sites_serviced = leg_idx
           for horse_posn, fly_posn, subleg_idx in zip(horse_posns, \
                                                           fly_posns.
                                                           range(len(horse_posns))):
                ⟨ Render frame and append it to ims 49b⟩
Fragment referenced in 47a.
```

Defines: number\_of\_sites\_serviced 49b.

- **5.14.5** While rendering the horse and fly tours we need to keep track of the horse and fly-legs and sites that have been serviced so far.
  - The path covered by the horse from the initial point till its current position is colored red
  - The path covered by the fly from the initial point till its current position is colored green
  - Unserviced sites are marked blue •.
  - When sites get serviced, they are marked yellow  $\bigcirc$ .

While iterating through all the sublegs of the current fly-leg, we need to keep track if the fly has serviced the site or not. That is the job of the if subleg\_idx==9 block in the code-fragment below. The magic-number "9" is related to the 10 and 19 constants from the discretize\_leg function defined later in subsection 5.14.6.

 $\langle Render frame \ and \ append \ it \ to \ ims \ 49b \rangle \equiv$ 

```
debug(Fore.RED + "Rendering subleg "+ str(subleg_idx) + Style.RESET_ALL)
hxs1 = hxs + [horse_posn[0]]
hys1 = hys + [horse_posn[1]]

fxs1 = fxs + [fly_posn[0]]
fys1 = fys + [fly_posn[1]]

# There is a midway update for new site check is site
# has been serviced. If so, update fxs and fys
if subleg_idx == 9:
    fxs.append(sites[leg_idx][0])
    fys.append(sites[leg_idx][1])
    number_of_sites_serviced += 1

horseline, = ax.plot(hxs1,hys1,'ro-', linewidth=5.0, markersize=6, alpha=1.00)
flyline, = ax.plot(fxs1,fys1,'go-', linewidth=1.0, markersize=3)
```

```
objs = [flyline,horseline]
     # Mark serviced and unserviced sites with different colors.
     # Use https://htmlcolorcodes.com/ for choosing good colors along with their hex-codes.
     for site, j in zip(sites, range(len(sites))):
         if j < number_of_sites_serviced:</pre>
                                                  # site has been serviced
             sitecolor = '#DBC657' # yellowish
         else:
                                                  # site has not been serviced
             sitecolor = 'blue'
         circle = Circle((site[0], site[1]), 0.02, \
                          facecolor = sitecolor
                          edgecolor = 'black'
                          linewidth=1.4)
         sitepatch = ax.add_patch(circle)
         objs.append(sitepatch)
     debug(Fore.CYAN + "Appending to ims "+ Style.RESET_ALL)
     ims.append(objs[::-1])
Fragment referenced in 49a.
```

**5.14.6** The numbers 19 and 10 to discretize the horse and fly legs have been arbitrarily chosen. These seem to work well for giving smooth real-time animation. However, you will notice both the horse and fly seem to speed up or sometimes slow down.

That's why ideally, these discretization params should actually depend on the length of the legs, and the speeds of the horse and fly. However, just using constants is good enough for now. I just want a working animation.

A leg consists of either one segment (for horse) or two segments (for fly).

For a horse-leg, we must make sure that the leg-end points are part of the discretization of the leg.

For a fly-leg, we must ensure that the leg-end points <u>and</u> the site being serviced during the leg are in its discretization. Note that in this case, since each of the two segments are being discretized with np.linspace, we need to make sure that the site corresponding to the fly-leg is not counted twice, which explains the odd-looking subleg\_pts.extend(tmp[:-1]) statement in the code-fragment below.

 $\langle Define function to place points along a leg 50 \rangle \equiv$ 

Uses: number\_of\_sites\_serviced 49a.

```
def discretize_leg(pts):
   subleg_pts = []
   numpts
              = len(pts)
   if numpts == 2:
       k = 19 \# horse
   elif numpts == 3:
       k = 10 # fly
   for p,q in zip(pts, pts[1:]):
       tmp = []
       for t in np.linspace(0,1,k):
           tmp.append((1-t)*p + t*q)
       subleg_pts.extend(tmp[:-1])
   subleg_pts.append(pts[-1])
   return subleg_pts
\Diamond
```

Fragment referenced in 49a.

#### 5.14.7

```
⟨ Write animation of schedule to disk and display in live window 51⟩ ≡
from colorama import Back

debug(Fore.BLACK + Back.WHITE + "\nStarted constructing ani object"+ Style.RESET_ALL)
ani = animation.ArtistAnimation(fig, ims, interval=80, blit=True, repeat_delay=1000)
debug(Fore.BLACK + Back.WHITE + "\nFinished constructing ani object"+ Style.RESET_ALL)
plt.show() # For displaying the animation in a live window.

debug(Fore.MAGENTA + "\nStarted writing animation to disk"+ Style.RESET_ALL)
ani.save(schedule_file_name+'.avi', dpi=250)
debug(Fore.MAGENTA + "\nFinished writing animation to disk"+ Style.RESET_ALL)
◊
```

Fragment referenced in 47a.

### 5.15 Chapter Index of Fragments

```
(Algorithms for classic horsefly 27, 28, 29, 33a) Referenced in 21a.
(Animation routines for classic horsefly 47a) Referenced in 21a.
Clear canvas and states of all objects 24b Referenced in 22b.
 Compute the length of the tour that currently services the visited sites 41c \( \) Referenced in 41b.
 Construct and store every frame of the animation in ims 49a Referenced in 47a.
Define auxiliary helper functions 39c, 40ab Referenced in 33a.
Define function to place points along a leg 50 Referenced in 49a.
 Define key-press handler 22b Referenced in 21a.
 Define various insertion policy classes 41a Referenced in 33a.
Draw horse and fly-tours 37a Referenced in 35b.
 Draw unvisited sites as filled blue circles 37c \rangle Referenced in 35b.
 Extract x and y coordinates of the points on the horse, fly tours, visited and unvisited sites 36a Referenced in 35b.
 Find the unvisited site which on insertion increases tour-length by the least amount 42c Referenced in 41b.
 Generate a bunch of uniform or non-uniform random points on the canvas 24a Referenced in 22b.
 Give metainformation about current picture as headers and footers 37d \( \rightarrow \) Referenced in 35b.
(If self.sites[u] is chosen for insertion, find best insertion position and update delta_increase_least_table 42b)
      Referenced in 41b.
(Local data-structures for classic horsefly 25b) Referenced in 21a.
Local utility functions for classic horsefly 44ab Referenced in 21a.
(Make an animation of algorithm states, if write_algo_states_to_disk_p == True 38b) Referenced in 33a.
(Make an animation of the schedule, if animate_schedule_p == True 39a) Referenced in 33a.
Mark initial position of horse and fly boldly on canvas 36b Referenced in 35b.
Methods for PolicyBestInsertionNaive 41b Referenced in 41a.
Parse input-output file and set up required data-structures 48 Referenced in 47a.
Place numbered markers on visited sites to mark the order of visitation explicitly 37b Referenced in 35b.
Plotting routines for classic horsefly 45 \rangle Referenced in 21a.
Relevant imports for classic horsefly 21b Referenced in 21a.
Render current algorithm state to image file 35b Referenced in 35a.
Render frame and append it to ims 49b Referenced in 49a.
 Return horsefly tour, along with additional information 39b Referenced in 33a.
Set insertion policy class for current run 34a Referenced in 33a.
Set log, algo-state and input-output files config 33b Referenced in 33a.
 Set up configurations and parameters for animation and plotting 47b Referenced in 47a.
 Set up interactive canvas 25a Referenced in 21a.
Set up logging information relevant to this module 22a Referenced in 21a.
Set up plotting area and canvas, fig, ax, and other configs 35c \ Referenced in 35b.
(Set up tracking variables local to this iteration 42a) Referenced in 41b.
```

```
⟨Start entering input from the command-line 22c⟩ Referenced in 22b.
⟨Update states for PolicyBestInsertionNaive 43⟩ Referenced in 41b.
⟨Use insertion policy to find the cheapest site to insert into current tour 34b⟩ Referenced in 33a.
⟨Write algorithm's current state to file 35a⟩ Referenced in 33a.
⟨Write animation of schedule to disk and display in live window 51⟩ Referenced in 47a.
⟨Write image file 37e⟩ Referenced in 35b.
⟨Write input and output to file 38a⟩ Referenced in 33a.
```

### 5.16 Chapter Index of Identifiers

```
algo_exact_given_specific_ordering: 22c, 27, 28, \underline{29}.
\verb|algo_greedy_incremental_insertion|; 22c, \underline{33a}.
compute_collinear_horseflies_tour: 40b, 43.
compute_collinear_horseflies_tour_length: 40a, 41c, 42b.
current_tour_length: 41c, 42b.
delta_increase_least: 42a, 42b.
delta_increase_least_table: 41b, 42bc.
{\tt HorseFlyInput:~25a,~\underline{25b}.}
ibest,: <u>42a</u>, 42b.
io_file_name,: <u>33b</u>, 38a.
logger: 22a, 33b.
number_of_sites_serviced: 49a, 49b.
plotTour: 22c, \underline{45}.
self.horse_tour: 41a, 43.
self.inithorseposn,: 25b, 41a, 41c, 42b, 43.
self.sites,: 25b, 41a.
self.visited_sites,: 41a, 41c, 43.
single_site_solution: 39c, 40ab.
tour_length: 27, 29, 44a, 45.
\verb|tour_length_with_waiting_time_included: 29, 39b, \underline{44b}, 45.
wrapperkeyPressHandler: 22b, 25a.
write_algo_states_to_disk_p: 33a, 35ab, 38b.
```

# Fixed Route Horsefly

# One Horse, Two Flies

# Reverse Horsefly

# Watchman Horsefly

# Appendices

## Appendix A

### Index of Files

<sup>&</sup>quot;../main.py" Defined by 12a.
"../src/lib/problem\_classic\_horsefly.py" Defined by 21a.

<sup>&</sup>quot;../src/lib/utils\_algo.py" Defined by 17ab, 18abc.

<sup>&</sup>quot;../src/lib/utils\_graphics.py" Defined by 14, 15ab, 16.

Appendix B

Man-page for main.py

# **Bucketlist of TODOS**