

# Non-univalent families

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There is a [large part of the history of Topology](#) behind that problem, from Riemann to Grothendieck.

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and how [Grassmannians](#) are approximations to [univalent bundles](#).

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More precisely: in the sense there exists a **bijection**  $\text{Sub}(X) \simeq \{0, 1\}^X$ , which is natural in  $X$  (= isomorphism of functors  $\text{Set}^{op} \rightarrow \text{Set}$ ).

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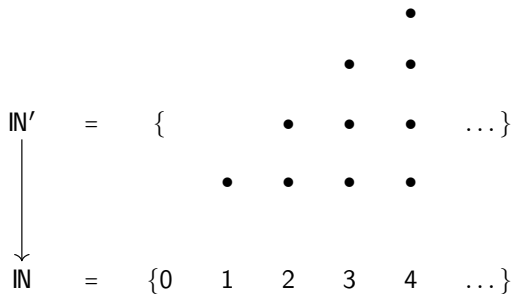
Another way to say this is that the **natural operations** that exist **on families** provide an **algebraic structure on the universe**.

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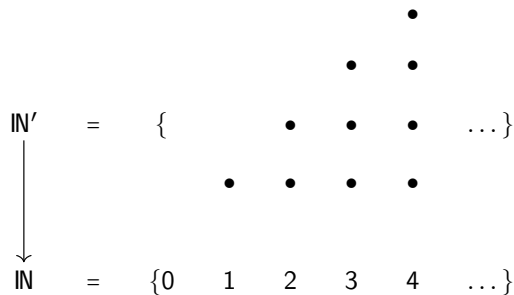
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But we will see that it is, in fact, *not univalent*.

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It is subtle, but essentially because the symmetries of the sets do not make the pullback square unique (unless the sets are empty or singletons, which was the previous example of subsets).

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In fact, there cannot exist a universal family of covering spaces in covering

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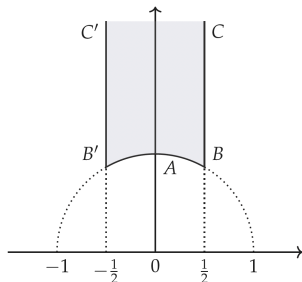
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The isomorphism classes of such tori are in bijection with  $\mathbb{H}/SL_2(\mathbb{Z})$ .

Topologically, this quotient is a sphere minus one point, and pinched at two points ( $A$  and  $B$  corresponding to the square and hexagonal lattices that have extra symmetries). <sup>2</sup>



<sup>2</sup>Pictures from [Giacchetto & Lewański \(2024\)](#)

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The object  $U$  is called the **universe** for the structure.

## Finally a definition

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As it happens, all notions that can be indexed by sets, can also be indexed by groupoids.

When the indexing objects are topological spaces, this was the motivation to define **stacks** (which are, loosely, spaces with a groupoid of points).

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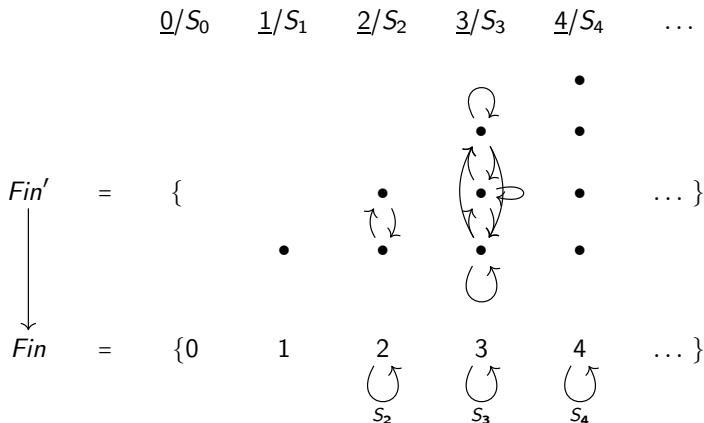
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$Fin' \rightarrow Fin$  is the **universal family of finite sets**, in the sense that there is an **equivalence of groupoids**

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**Natural constructions** of families of set are **functors out of  $Fin$** :

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These natural constructions are the **reason** Eilenberg and Mac Lane invented categories and functors.

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All this is nice.

But now that we know what univalent families are, I want to forget about them and talk about non-univalent families.

It is just a rhetorical trick. We will see that the most interesting non-univalent families are in fact univalent but for classifying an other structure.

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How does one do that?

By adding some extra structure which does not admit symmetries.

# Get rid of symmetries: two strategies

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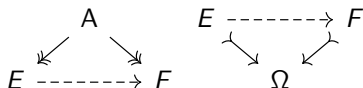
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There is **at most one function** from  $E$  to  $F$  compatible with  $A$  or  $\Omega$ .

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The "true" moduli space is the quotient by this action  $\mathbb{H} \rightarrow \mathbb{H}/SL_2(\mathbb{Z})$ .

$$\begin{array}{ccc} \text{bundle} + \text{surjection } \mathbb{C} \twoheadrightarrow - & & \mathbb{H} \\ & \nearrow \text{dashed arrow} & \downarrow \\ X & \xrightarrow{\text{tori bundle}} & \mathbb{H}/SL_2(\mathbb{Z}) \end{array}$$

## Finite sets

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The "true" classifier of covering of degree  $n$  is the groupoid (stack)  $BS_n$ .

$$\begin{array}{ccc} Gr(\underline{n}, \infty)' & \longrightarrow & (BS_n)' \subset Fin' \\ \downarrow & \lrcorner & \downarrow \\ Gr(\underline{n}, \infty) & \longrightarrow & BS_n \subset Fin \end{array}$$

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The "true" bundle classifier is the stack  $BAut(K)$ .

$$\begin{array}{ccc} Gr(K, \infty)' & \xrightarrow{\quad} & BAut(K)' = \underline{K}/Aut(K) \\ \downarrow & \ulcorner & \downarrow \\ Gr(K, \infty) & \xrightarrow{\quad} & BAut(K) \end{array} \qquad \begin{array}{ccc} & & Gr(K, \infty) \\ & \nearrow \text{cov. + embed.} & \downarrow \\ X & \xrightarrow[\text{cov. degree } n]{} & BAut(K) \end{array}$$

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There is a uniquely defined cartesian square

$$\begin{array}{ccc} \mathbb{N}' & \xrightarrow{\quad} & \text{Fin}' \\ \downarrow & \ulcorner & \downarrow \\ \mathbb{N} & \xrightarrow{\quad} & \text{Fin} \end{array} \quad \begin{array}{ccc} & \text{fam.} + \text{total order} & \\ & \nearrow \text{---} & \mathbb{N} \\ I & \xrightarrow{\text{fam. of sets}} & \text{Fin} \\ & \searrow & \downarrow \end{array}$$

The operations of finite products and coproduct on finite sets can be lifted to  $\mathbb{N}$  by the usual products and sums.

# Ordinal

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Any ordinal has an underlying set  $\mathbb{O} \rightarrow Set$

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If  $\kappa$  is a cardinal, the image of  $\kappa \subset \mathbb{O} \rightarrow \mathbf{Set}$  is **within** the groupoid  $\mathbf{Set}_\kappa$  of sets of cardinal  $< \kappa$ . It is a **surjection** under the **axiom of choice**.



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If  $\kappa$  is regular,  $\mathbf{Set}_\kappa$  is closed under  $\kappa$ -small coproducts.

$$\coprod_I : \mathbf{Set}^I \rightarrow \mathbf{Set}$$

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This is the purpose of  $ZF$ .

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Every such forest has an [underlying set](#)  $E_0$ . This provides a diagram

$$\begin{array}{ccc} \mathbb{Z}\text{IF}' & \xrightarrow{\quad} & \text{Set}' \\ \downarrow & \ulcorner & \downarrow \\ \mathbb{Z}\text{IF} & \xrightarrow{E_0} & \text{Set} \end{array} \qquad \begin{array}{ccc} & \nearrow \text{fam. + forest structure} & \mathbb{Z}\text{IF} \\ & \xrightarrow[\text{fam. of sets}]{} & \downarrow \\ I & \xrightarrow{\quad} & \text{Set} \end{array}$$

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The set  $\mathbb{ZIF}$  comes with a **singleton** operation  $\{-\} : \mathbb{ZIF} \rightarrow Sub(\mathbb{ZIF}) \simeq \mathbb{ZIF}$  which **add a root** to the forest (and make it into a tree).

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$\mathbb{ZIF}$  is morally an extension of  $\mathbb{O}$  that will allow the definition of powersets and infinite products.

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But we lost the natural associativity/unitality of these operations.

# How to get back to univalent families

We have seen examples of groupoid-like universes covered by set-like universes  $\mathbb{U} \rightarrow U$

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We have seen that such constructions can be lifted to the set-like universes  $\mathbb{U}$ . But a construction on  $\mathbb{U}$  need not come from (descent to)  $U$  (need not be natural wrt to the isomorphism of families).

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They are the proof that **"non-univalent" families should be considered along with univalent ones.**

Thank you!