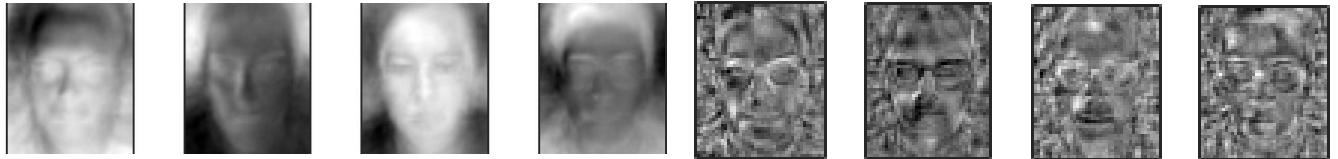


Pattern Recognition

Coursework 1 on the computationally efficient PCA, incremental PCA, PCA-LDA (fisherface) ensembles, for face recognition [50% mark]



Release on 15 Oct 2019, the report due on 19 Nov 2019 (midnight)

The coursework requires Python or Matlab programming. Use the provided face data (face.mat), which stores raster-scanned face images (46x56 pixels) in columns. In all questions, you can use any existing toolbox/code, if needed.

Submission instructions:

One joint report by each pair

Page limit: 4-6 A4 pages per report with 10 font size (use the IEEE standard double column paper format, either in MS word or latex).

http://www.pamitc.org/cvpr16/files/egpaper_for_review.pdf

<http://www.pamitc.org/cvpr16/files/cvpr2016AuthorKit.zip>

Explain physical meanings and own discussions/insights behind your answers, within the scope of lectures. **Quality and completeness of discussions within the page limit** will be marked.

Source code is not mandatory, unless specified. Optionally, this can go to appendices, which do not count for the page limit.

General principles for writing technical report are expected to be known and adhered to. Similarly for practices in conducting experiments, some are as listed below:

- Select/discuss relevant results that support the points you want to make rather than everything that the program code gives.
- The important results should be in the report, not just in the appendix.
- Use clear and tidy presentation style, consistent across the report e.g. figures, tables.
- The experiments should be described such that there is no ambiguity in the settings, protocol and metrics used.
- The main points are made clear, identifying the best and the worst case results or other important observations.
- Do not copy standard formulas from lecture notes, explain algorithms in detail, or copy figures from other sources. References to lecture slides or publications/webpages are

enough in such cases, however short explanations of new terms or parameters referred to are needed.

- Find and demonstrate the parameters that lead to optimal performance and validate it by presenting supporting results.
- Include formulas only where appropriate, results presented in figures, and their discussions. Try to visualise any interesting observations to support your answers.

Submit the report **in pdf** through the Blackboard system. No hard copy is needed. Write your full names and CID numbers on the first page.

If you have questions, please use <https://goo.gl/m6Mnov> or contact

Dr. Guillermo Garcia-Hernando (g.garcia-hernando@imperial.ac.uk)

Q1. [15] Computationally Efficient Eigenfaces

Partition the provided face data into your training and testing data, e.g. 8 images and 2 other images of each face identity for training and testing respectively.

Apply PCA to your training data i.e. compute the eigenvectors and eigenvalues of the covariance matrix $S=(1/N)AA^T$ directly, and use the low-dimensional computation of eigenspace i.e. using $(1/N)A^TA$ of your training data.

Show and discuss, in comparison, including: the eigenvectors and eigenvalues, the mean image, how many eigenvectors are with non-zero eigenvalues, if the eigenvectors and eigenvalues obtained by the two methods are identical, what are the pros/cons of each method. Give physical meanings and show respective *measurements* for your answers.

>> Hereinafter, we use the low-dimensional PCA technique.

Do face image reconstruction while varying the number of PCA bases learnt. Show and discuss the results quantitatively and qualitatively for different face images.

Perform PCA-based face recognition by the NN classification. Report and discuss, including: recognition accuracies (success rates), example success and failure cases, the confusion matrices, time/memory, by varying the parameter values. Give insights and reasons behind your observations.

Q2. [15] Incremental PCA

Use the same data partition into training and testing as in Q1. Further divide the training data equally into four subsets, each with 104 images (i.e. two images per person). Starting with the first subset, keep adding a more subset into your training.

Perform Incremental PCA, and compare it with the counterpart i.e. batch PCA, and PCA trained only by the first subset, in terms of training time, reconstruction error, face recognition accuracy.

Show and discuss, including: how accurate your incremental method is, what important parameters in the method are (and how they are set). Provide your own discussions and measurements to support.

Q3. [20] PCA-LDA Ensemble for Face Recognition

Use the provided face data, and the same data partition into training and testing as in Q1.

PCA-LDA

Perform the PCA-LDA based face recognition with the NN classifier. Report and discuss, in comparison to PCA method, including:

- recognition accuracies by varying the hyper-parameter values, e.g. M_{pca} and M_{lda}
- ranks of the scatter matrices,
- the confusion matrix, example success and failure cases

PCA-LDA Ensemble

Show, measure and discuss the results, by varying its parameter values. Give insights and reasons behind your answers, including:

- randomisation in feature space
- the number of base models, the randomness parameter,
- the error of the committee machine vs the average error of the individual models
- fusion rules (majority voting and sum)
- recognition accuracy and confusion matrix