

Sistemi Operativi I

Corso di Laurea in Informatica
2025-2026



SAPIENZA
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Recap from Previous Lecture

- Mechanical components of magnetic disks cause bottleneck
- To minimize data transfer time from disk we need to minimize seek time and rotational delay
- HW optimizations have a limited impact on the performance gain
- OS can help here!

Disk (Head) Scheduling

Setting

The OS is getting constantly read/write disk requests from a bunch of processes, each one having its set of open files

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Idea

Permute the order of disk requests from the original order of arrival, so as to reduce the length and number of disk seeks

Disk (Head) Scheduling

Setting

The OS is getting constantly read/write disk requests from a bunch of processes, each one having its set of open files



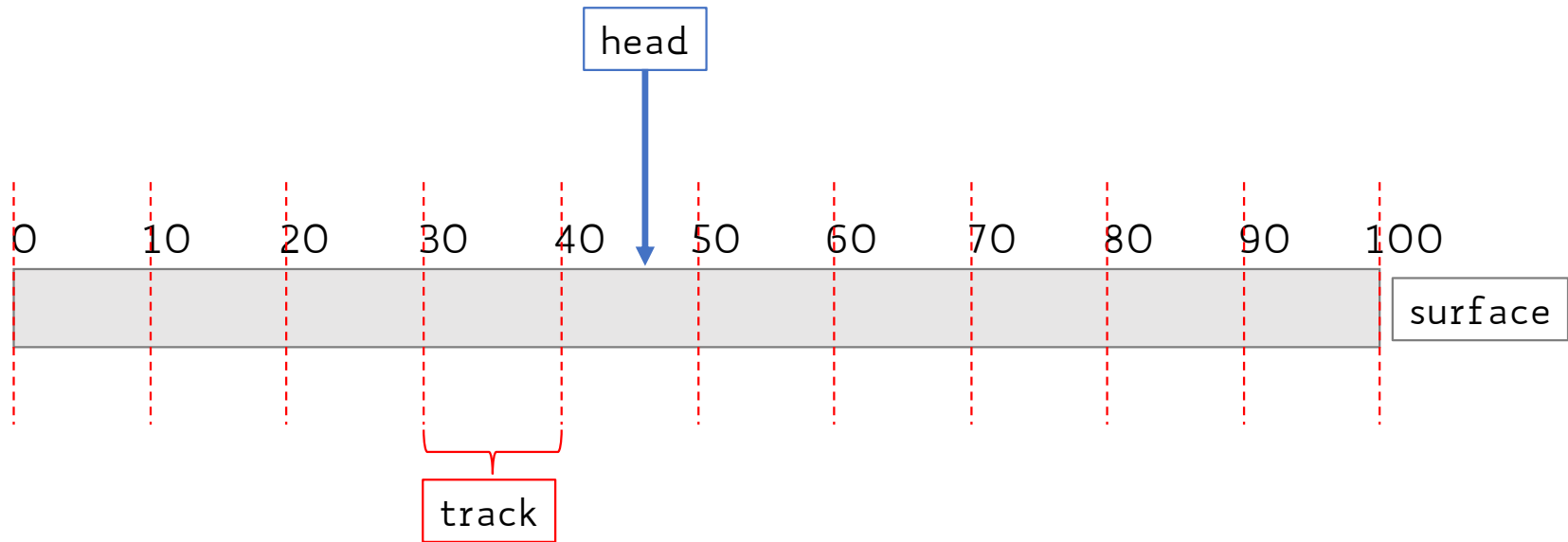
Idea

Permute the order of disk requests from the original order of arrival, so as to reduce the length and number of disk seeks

We only try minimizing the seek time not the rotational delay

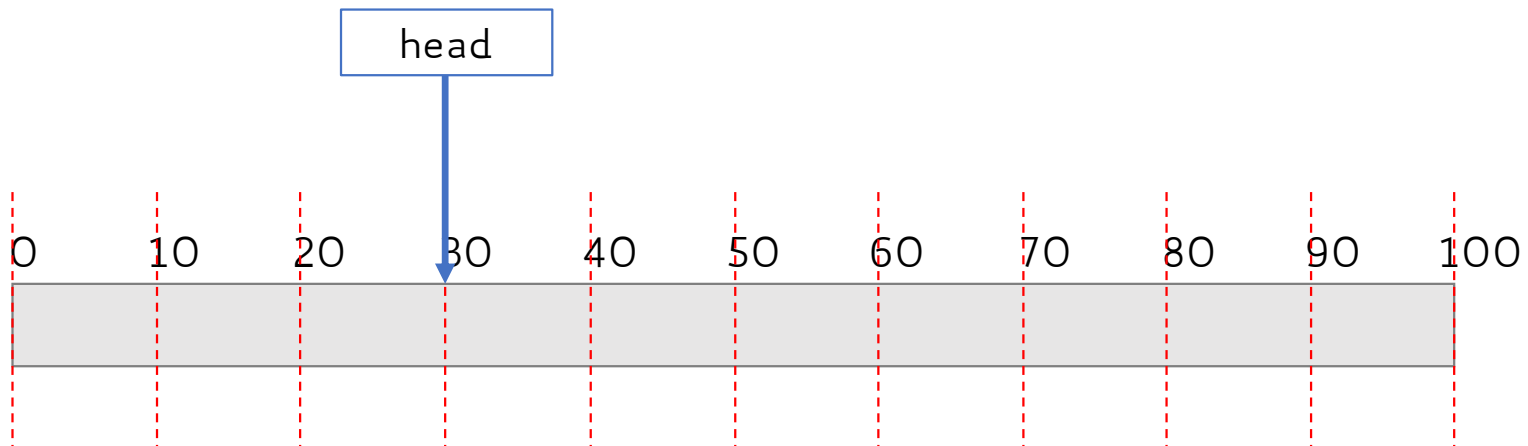
We consider track/cylinder independently of the sector

Disk Scheduling: Model



Disk Scheduling: First Come First Served (FCFS)

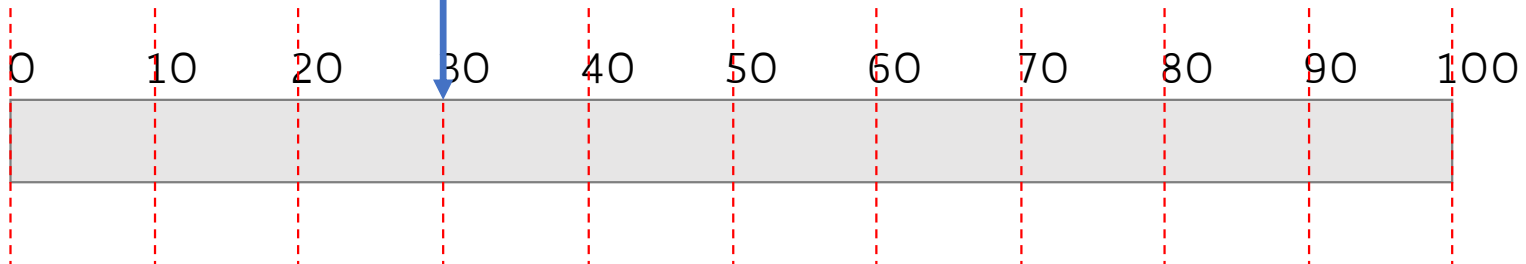
Serve the requests in the exact same order they arrive



Disk Scheduling: First Come First Served (FCFS)

requests = 65, 40, 18, 78

head = 30

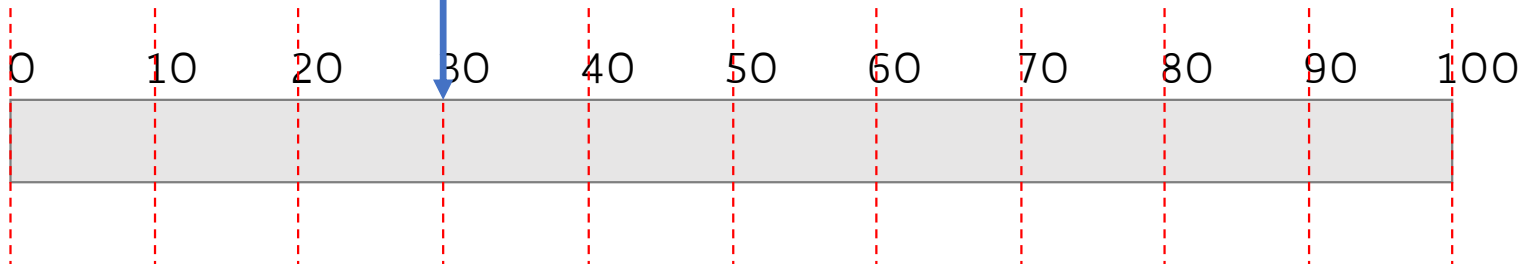


Distance travelled = 0

Disk Scheduling: First Come First Served (FCFS)

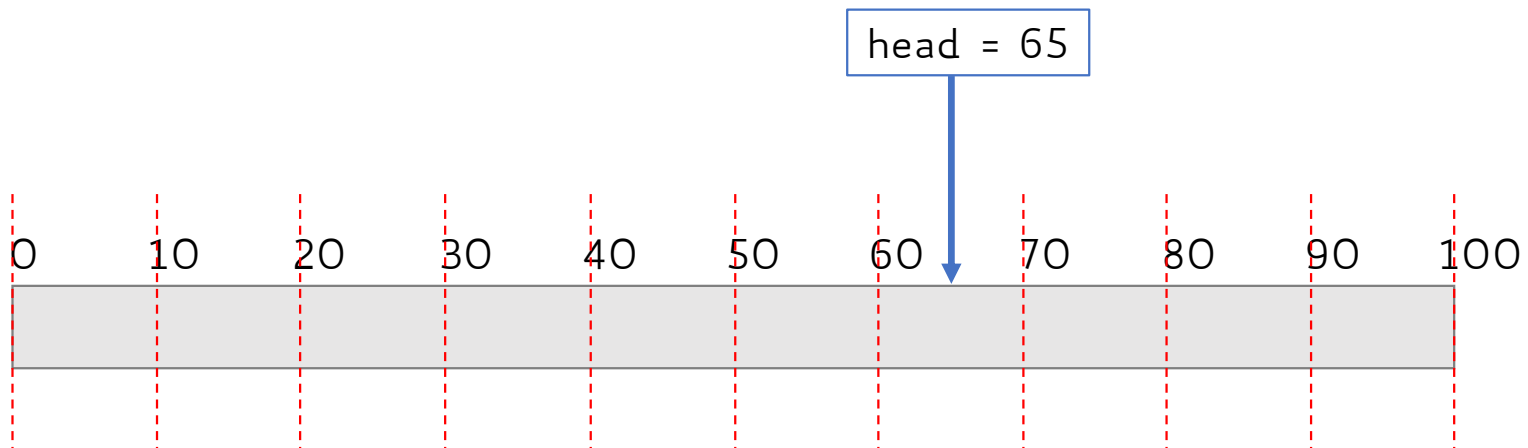
requests = 65, 40, 18, 78

head =
30



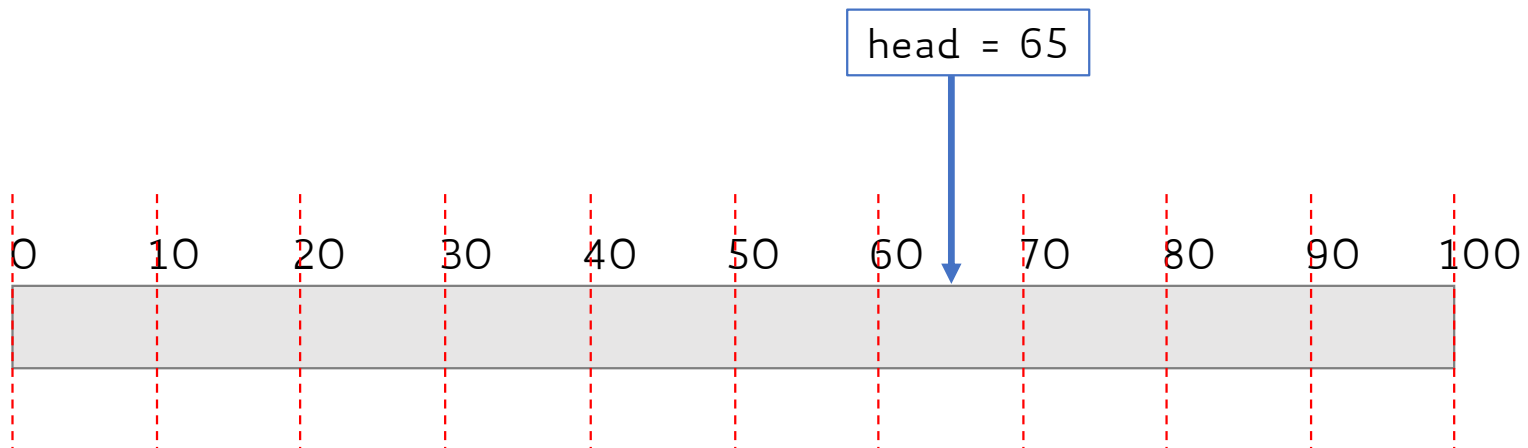
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requests = 65, 40, 18, 78

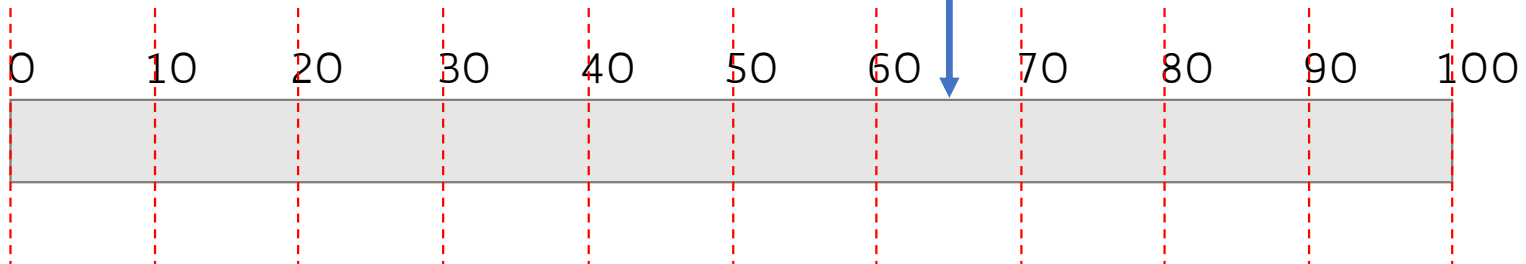


Distance travelled = $0 + |65 - 30| = 35$

Disk Scheduling: First Come First Served (FCFS)

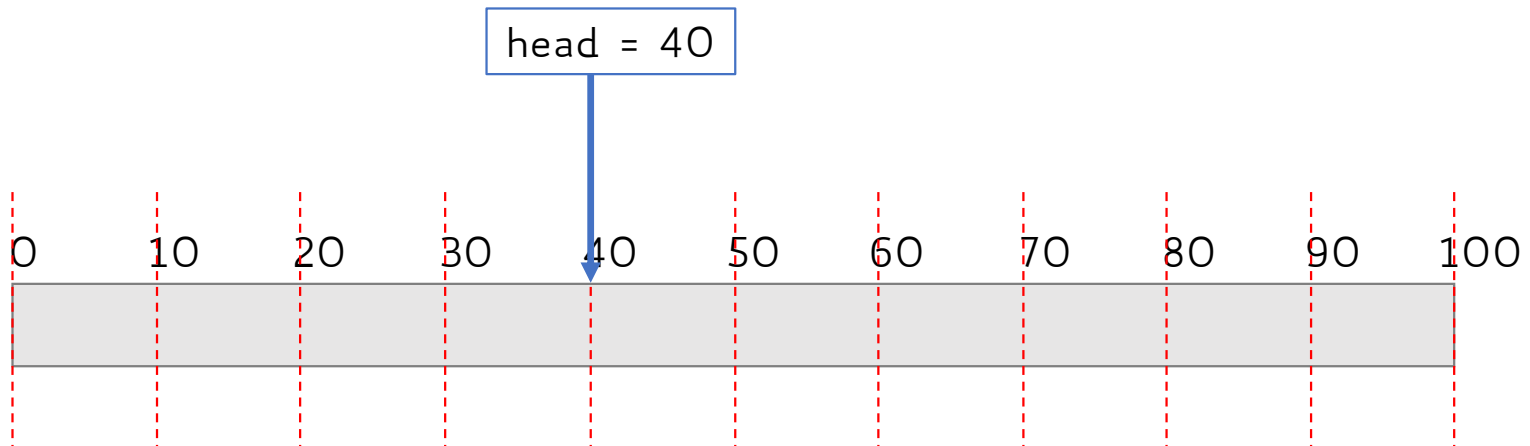
requests = 40, 18, 78

head = 65



Disk Scheduling: First Come First Served (FCFS)

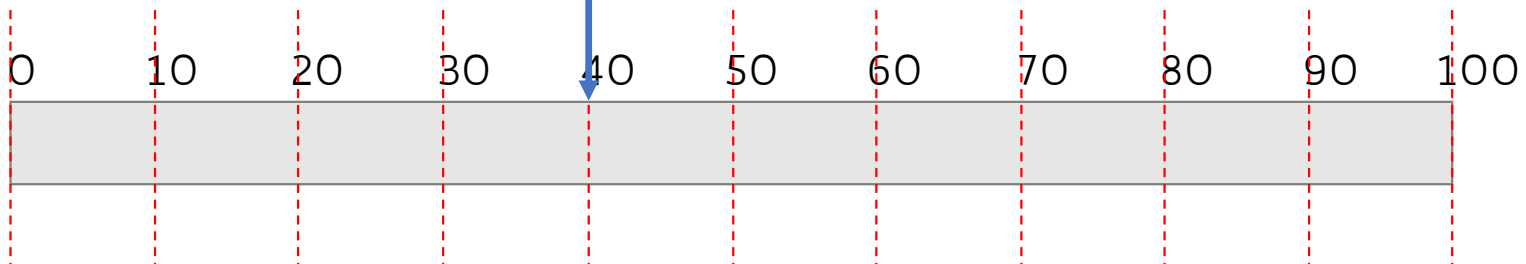
requests = 40, 18, 78



Disk Scheduling: First Come First Served (FCFS)

requests = 40, 18, 78

head = 40

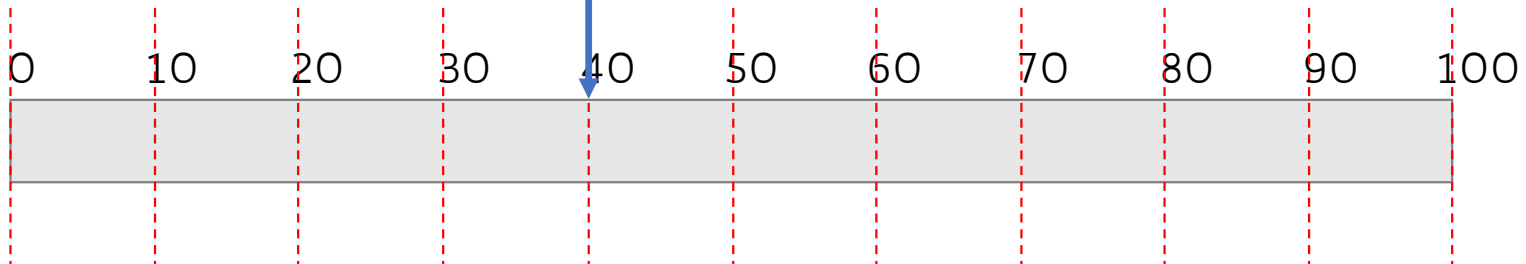


Distance travelled = $35 + |40 - 65| = 60$

Disk Scheduling: First Come First Served (FCFS)

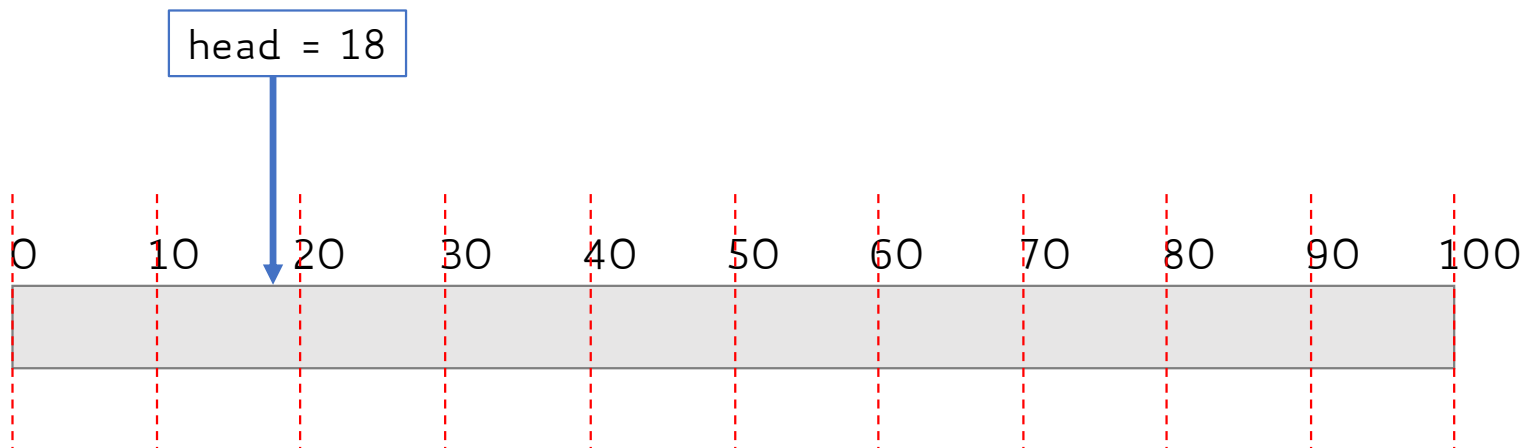
requests = 18 78

head =
40



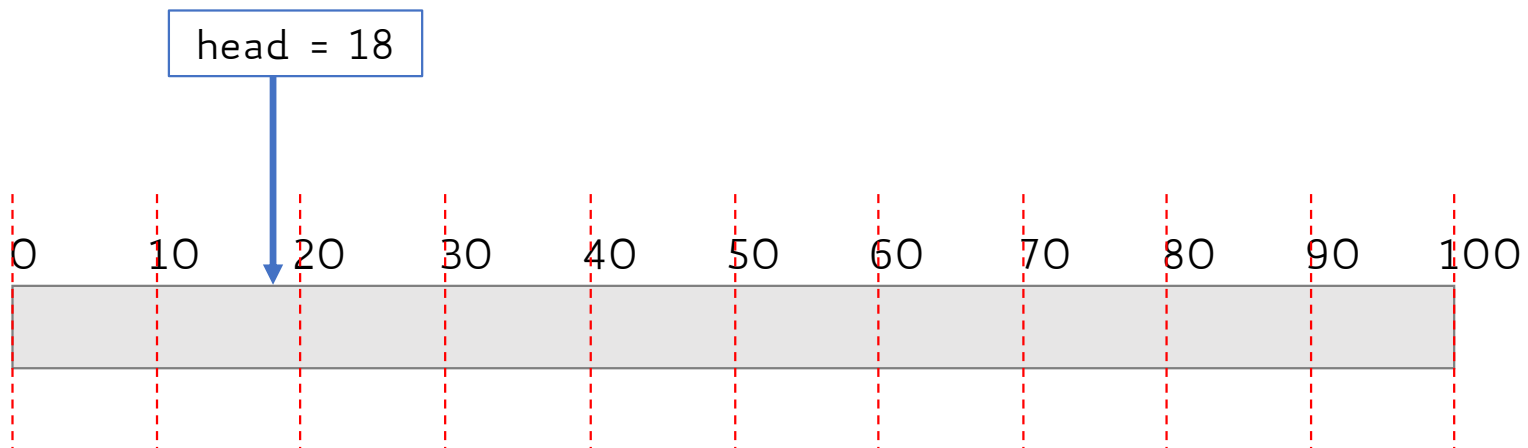
Disk Scheduling: First Come First Served (FCFS)

requests = 18, 78



Disk Scheduling: First Come First Served (FCFS)

requests = 18, 78

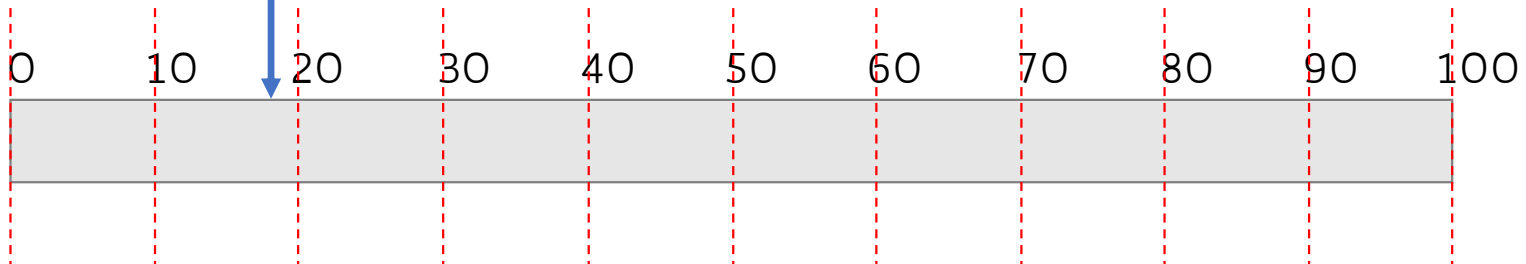


Distance travelled = $60 + |18 - 40| = 82$

Disk Scheduling: First Come First Served (FCFS)

requests = 78

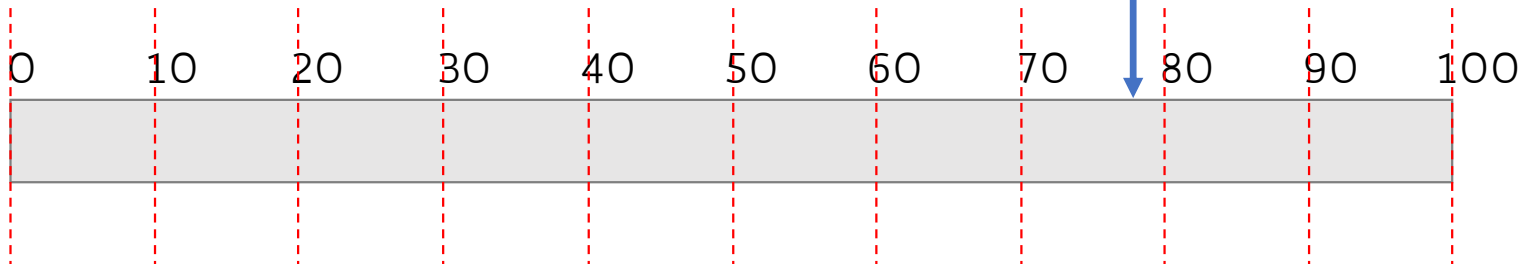
head = 18



Disk Scheduling: First Come First Served (FCFS)

requests = 78

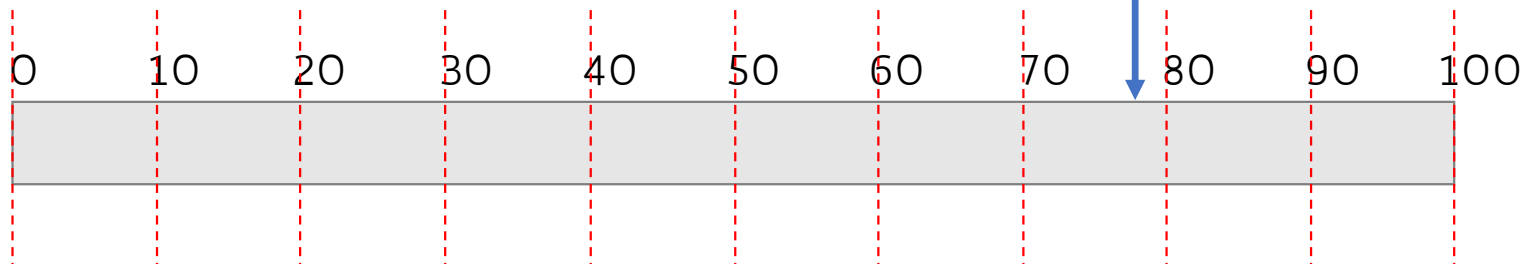
head = 78



Disk Scheduling: First Come First Served (FCFS)

requests = 78

head = 78



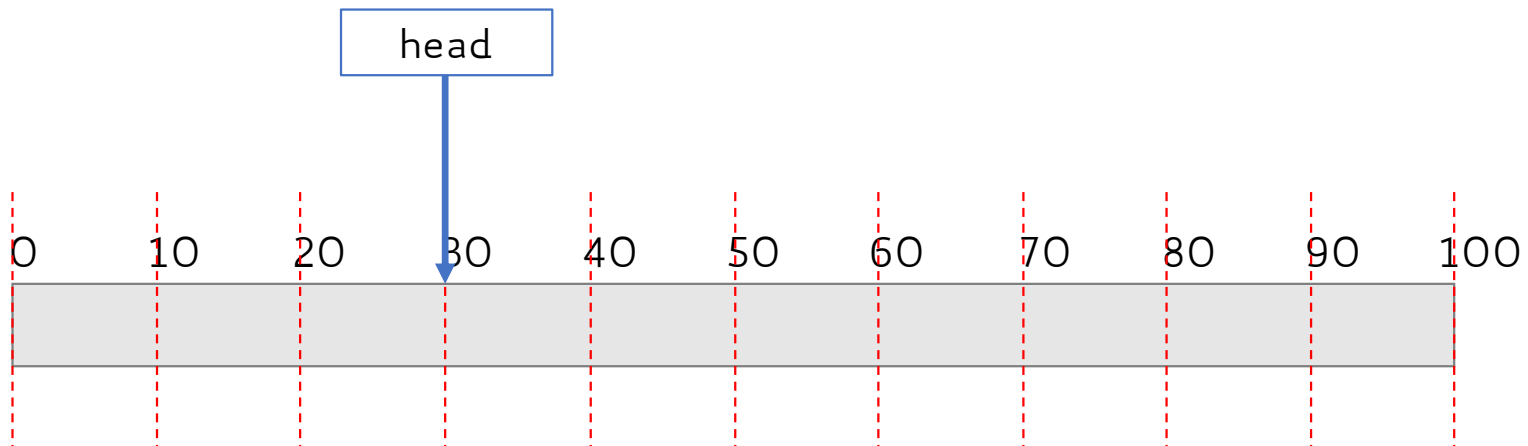
Distance travelled = $82 + |78 - 18| = 142$

FCFS: Considerations

- Easy to implement and fair
- Works quite well when systems are underloaded
- Performance may quickly deteriorate as requests increase
- Used also by SSD drives because accesses there do not require any mechanical movement
 - It's like random access to main memory

Disk Scheduling: Shortest Seek Time First (SSTF)

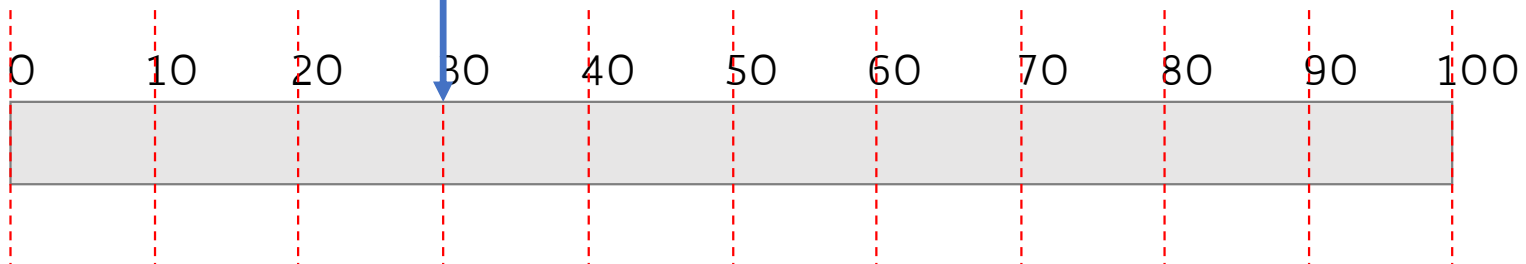
Greedily select the next closest request



Disk Scheduling: Shortest Seek Time First (SSTF)

requests = 65, 40, 18, 78

head = 30



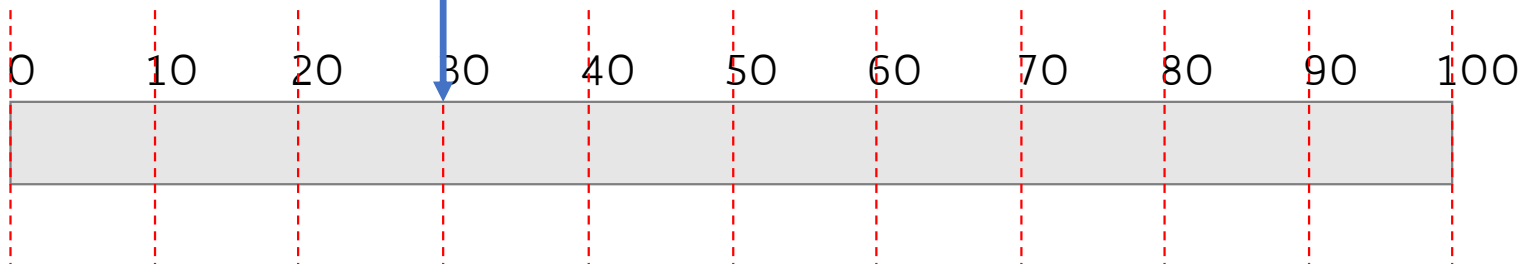
Distance travelled = 0

Disk Scheduling: Shortest Seek Time First (SSTF)

requests = 65, 40, 18, 78

Closest request = 40

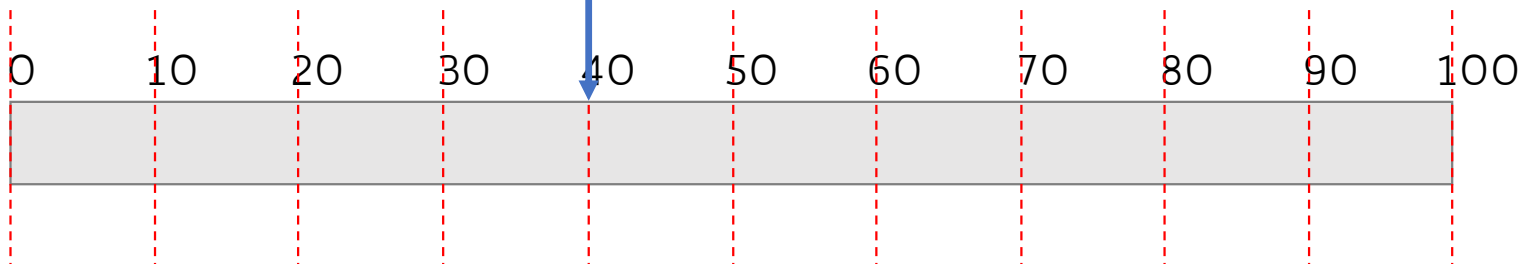
head = 30



Disk Scheduling: Shortest Seek Time First (SSTF)

requests = 65, 40, 18, 78

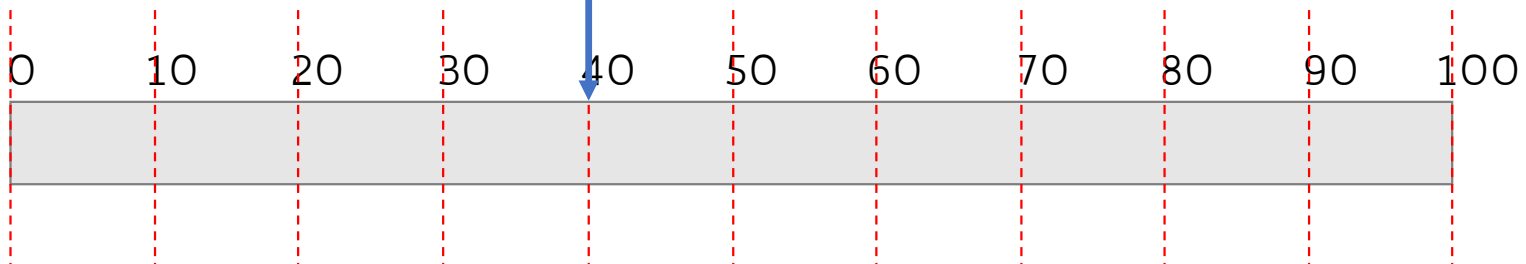
head = 40



Disk Scheduling: Shortest Seek Time First (SSTF)

requests = 65, 40, 18, 78

head = 40



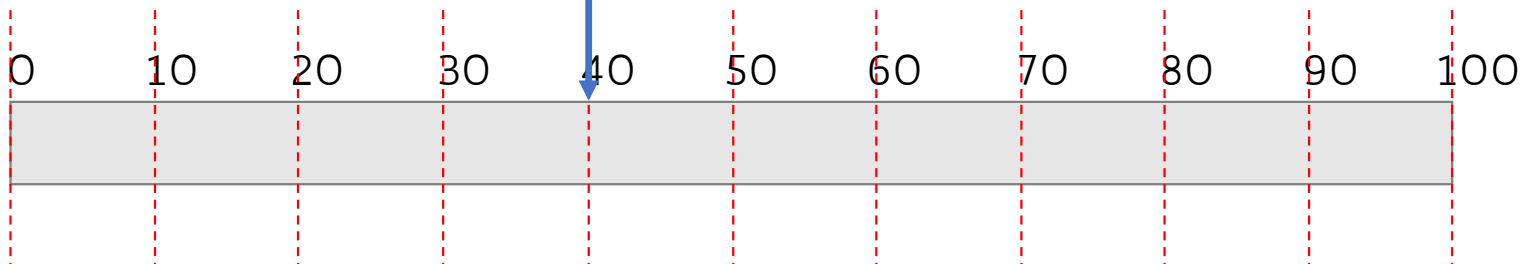
Distance travelled = $0 + |40 - 30| = 10$

Disk Scheduling: Shortest Seek Time First (SSTF)

requests = 65, 18, 78

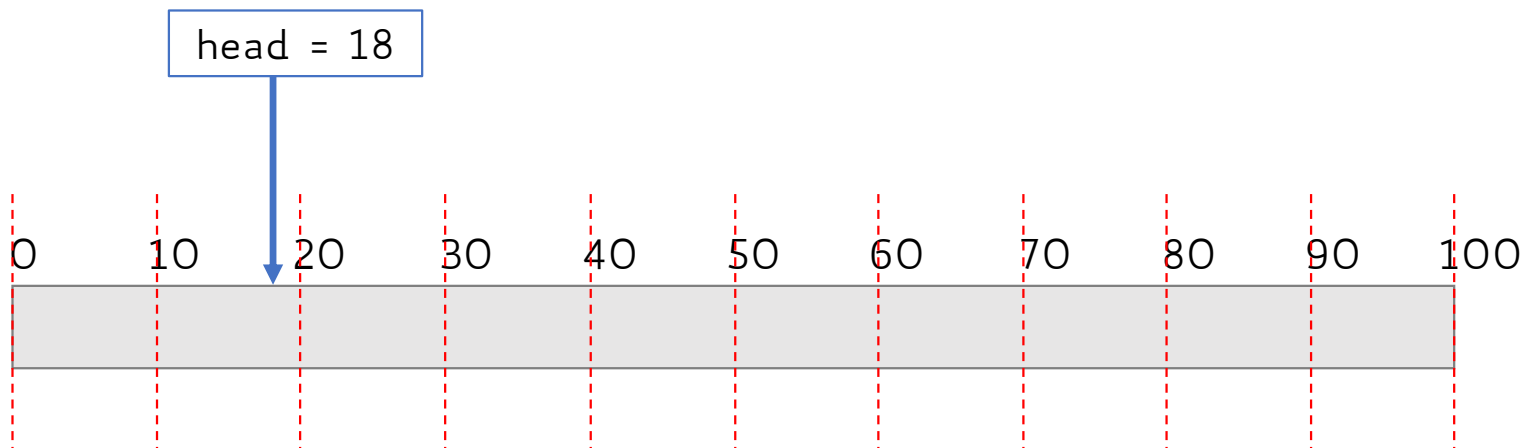
Closest request = 18

head = 40



Disk Scheduling: Shortest Seek Time First (SSTF)

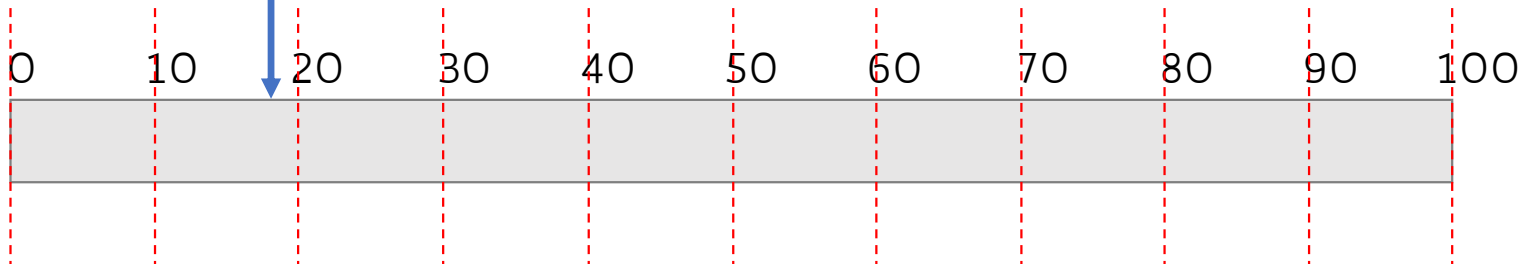
requests = 65, 18, 78



Disk Scheduling: Shortest Seek Time First (SSTF)

requests = 65, 18, 78

head = 18



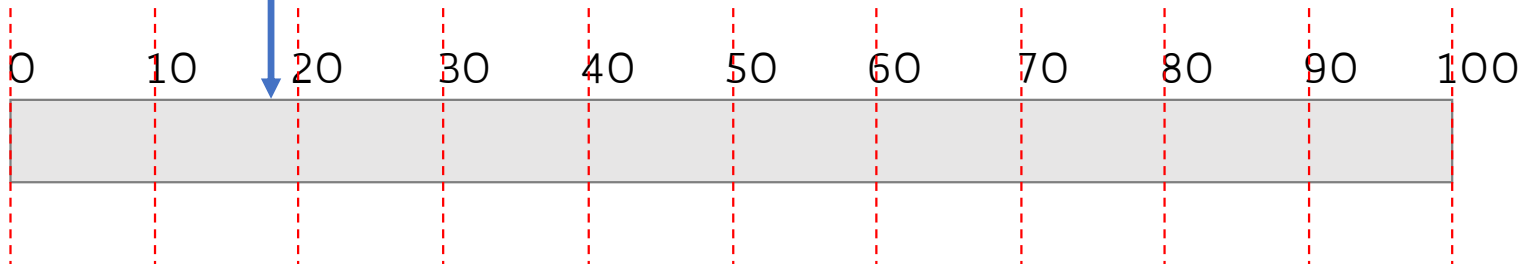
Distance travelled = $10 + |18 - 40| = 32$

Disk Scheduling: Shortest Seek Time First (SSTF)

requests = 65, 78

Closest request = 65

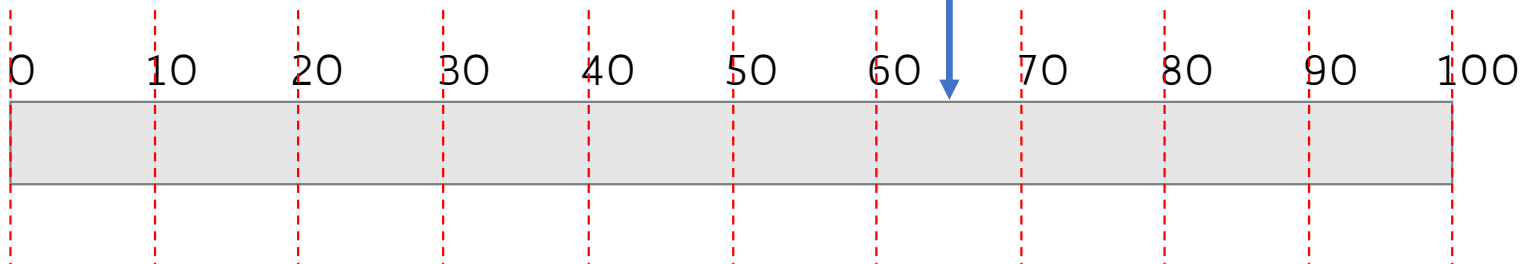
head = 18



Disk Scheduling: Shortest Seek Time First (SSTF)

requests = 65, 78

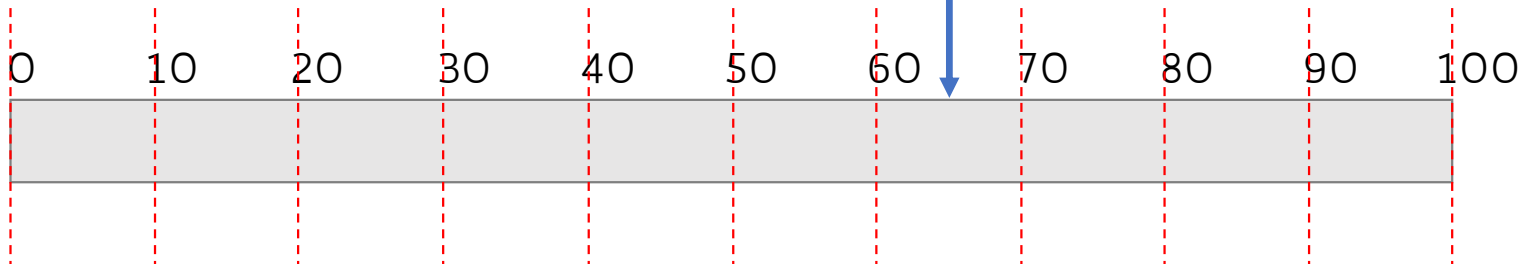
head = 65



Disk Scheduling: Shortest Seek Time First (SSTF)

requests = 65, 78

head = 65



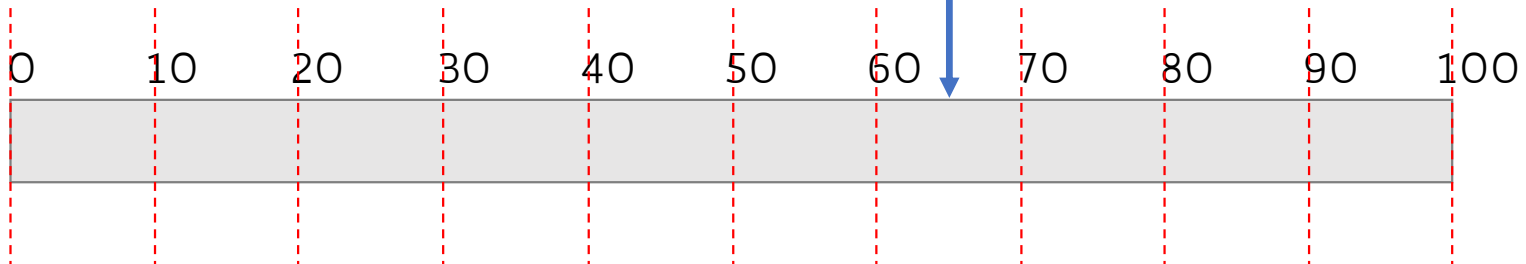
Distance travelled = $32 + |65 - 18| = 79$

Disk Scheduling: Shortest Seek Time First (SSTF)

requests = 78

Closest request = 78

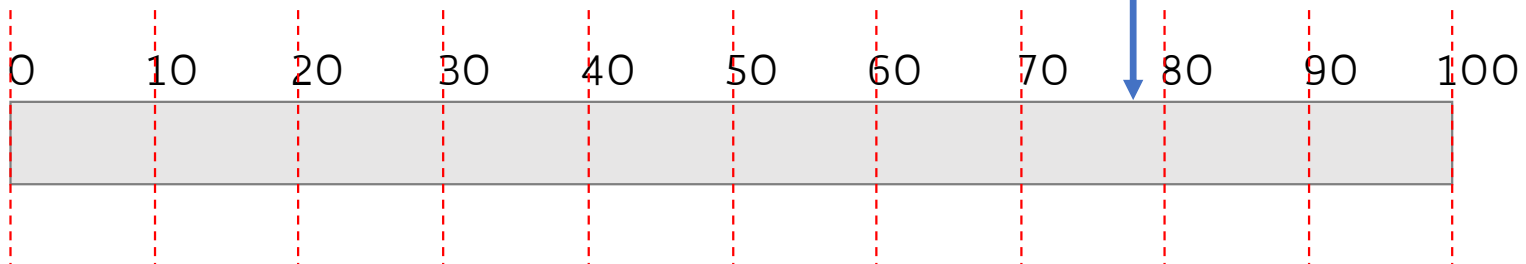
head = 65



Disk Scheduling: Shortest Seek Time First (SSTF)

requests = 78

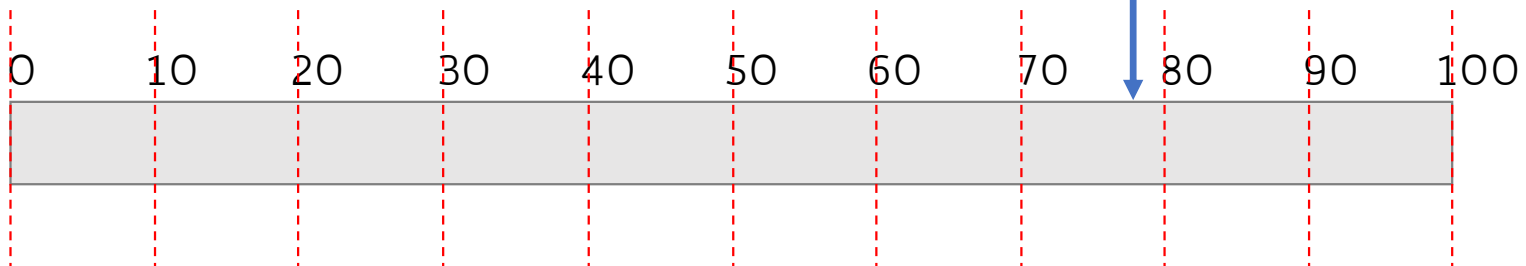
head = 78



Disk Scheduling: Shortest Seek Time First (SSTF)

requests = 78

head = 78



Distance travelled = $79 + |78 - 65| = 92$

SSTF: Considerations

- Implemented by keeping a sorted list of requests

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- It is **not** overall optimal as it greedily minimizes seek time (locally)
 - Example: head = 50; requests = 10, 20, 30, 40, 61

SSTF: Considerations

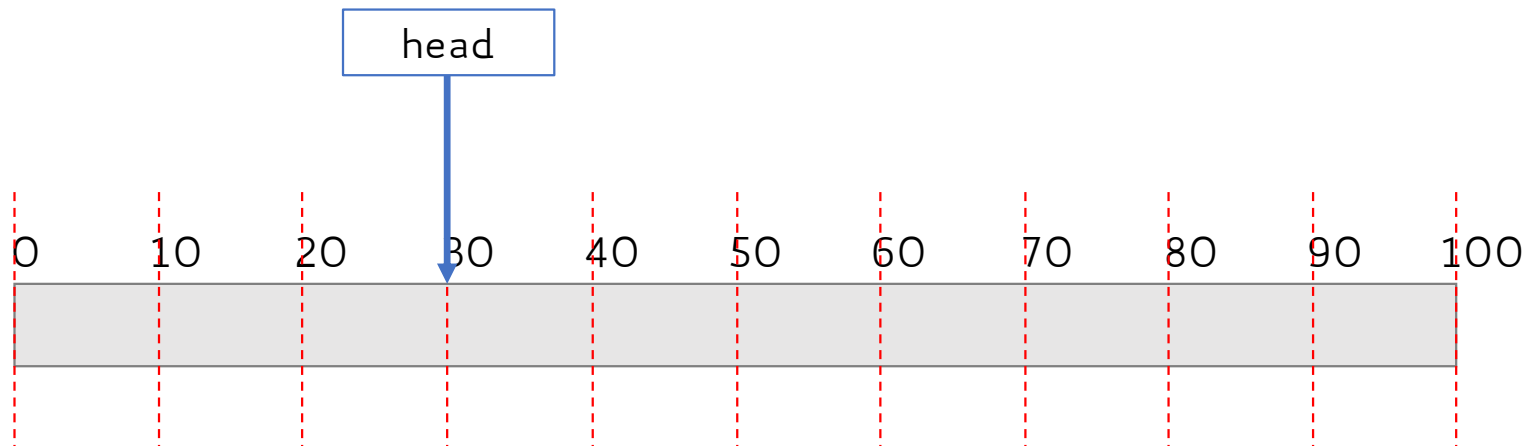
- Implemented by keeping a sorted list of requests
- Overhead is negligible w.r.t. the speed of disk
- It may cause **starvation** (requests too far apart from the head)
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 - Example: head = 50; requests = 10, 20, 30, 40, 61

SSTF only looks one step ahead

Disk Scheduling: SCAN

Head moves back and forth across the disk (e.g., track 0-100, 100-0)

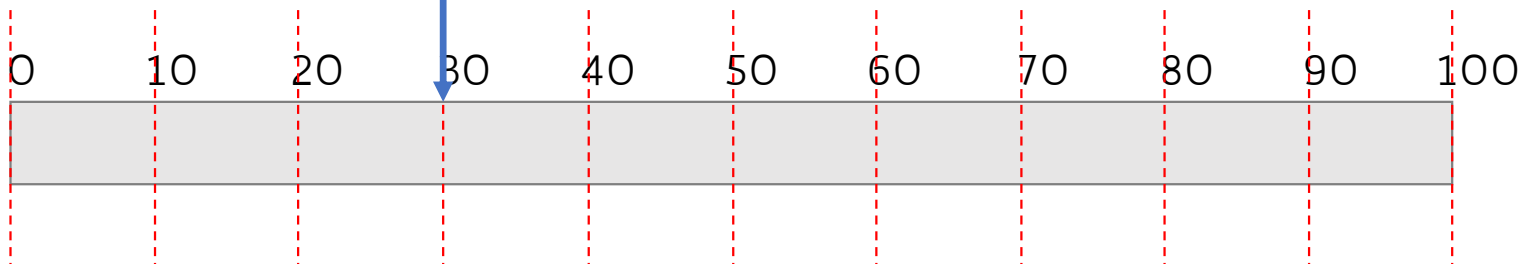
Requests are served as the head passes (**elevator**)



Disk Scheduling: SCAN

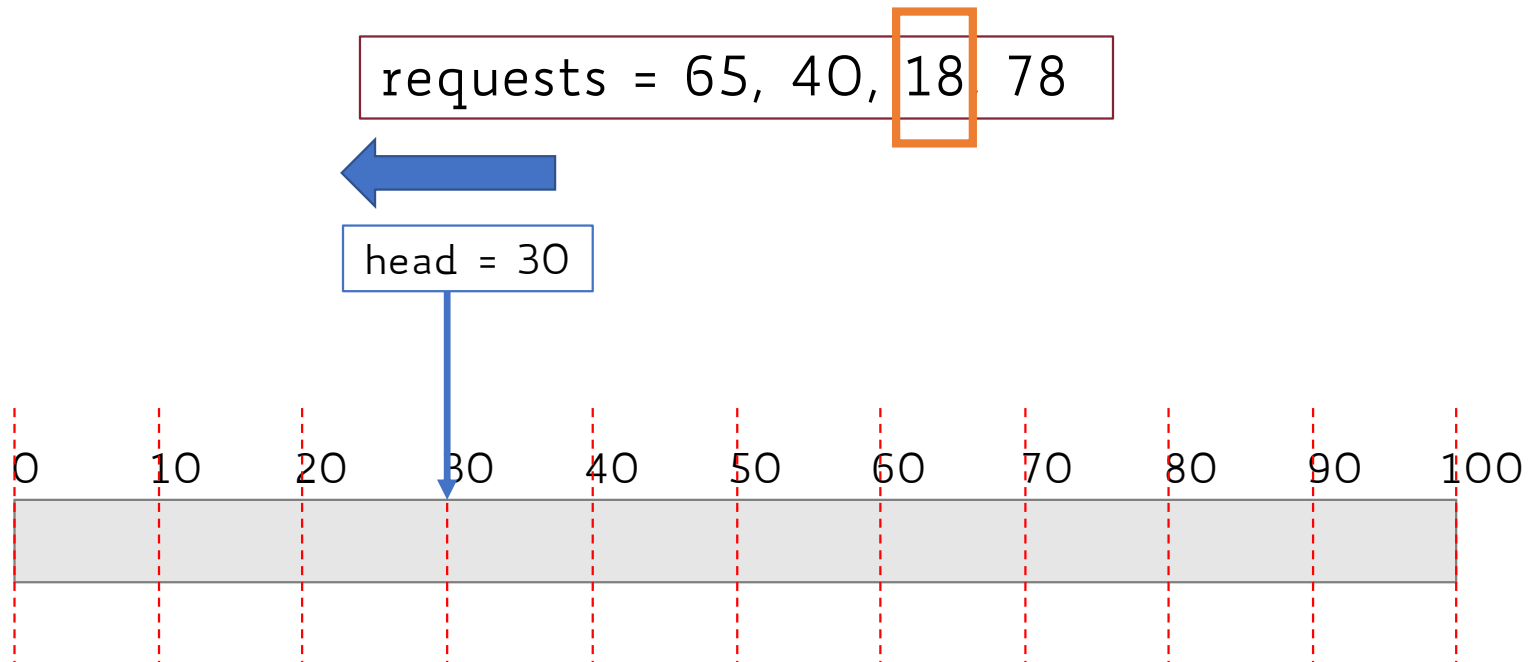
requests = 65, 40, 18, 78

head = 30



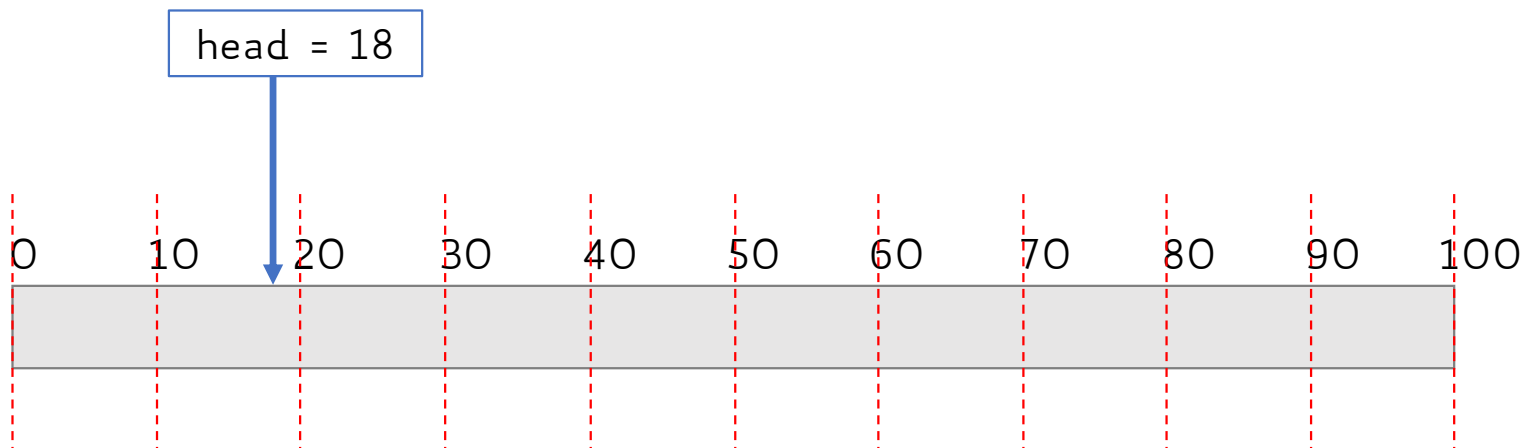
Distance travelled = 0

Disk Scheduling: SCAN



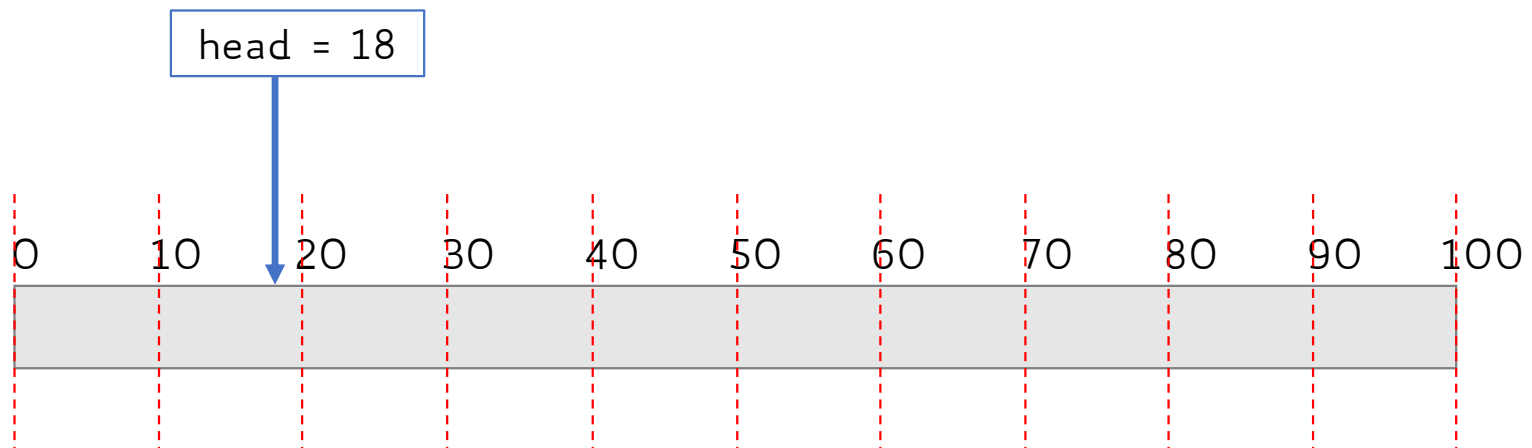
Disk Scheduling: SCAN

requests = 65, 40, 18, 78



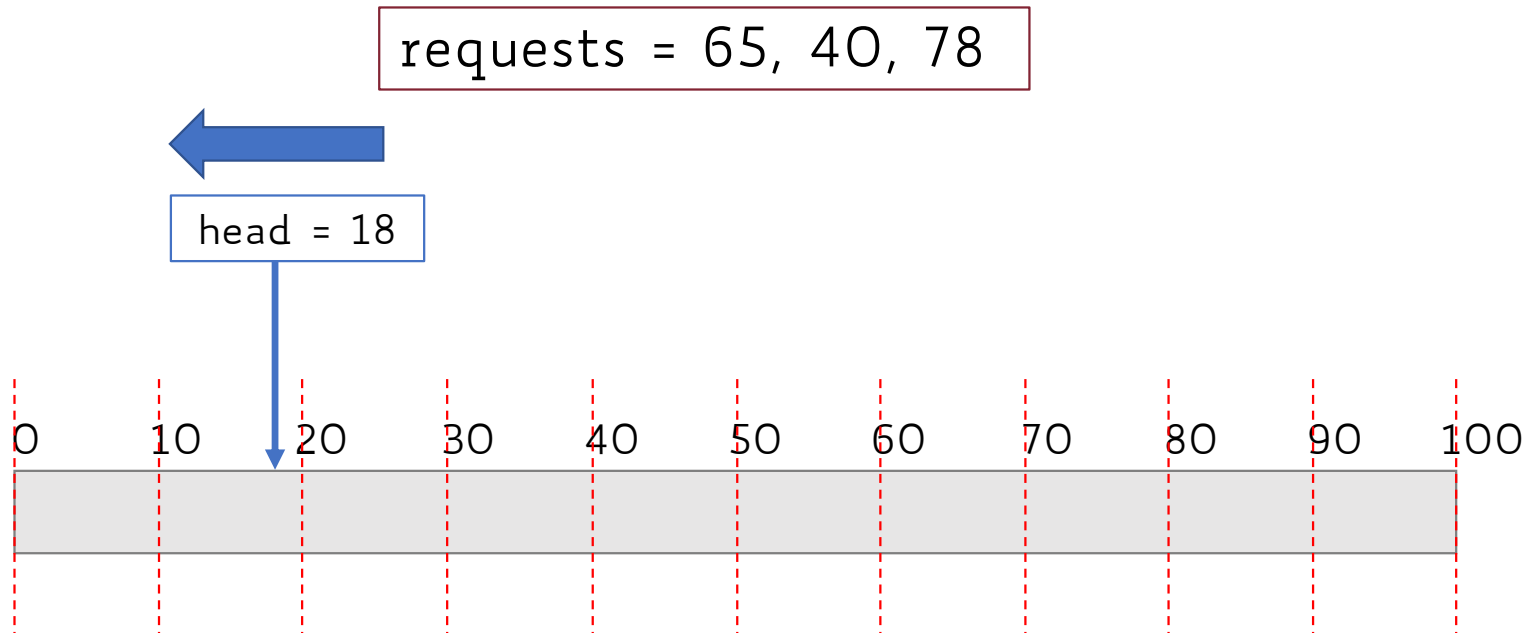
Disk Scheduling: SCAN

requests = 65, 40, 18, 78



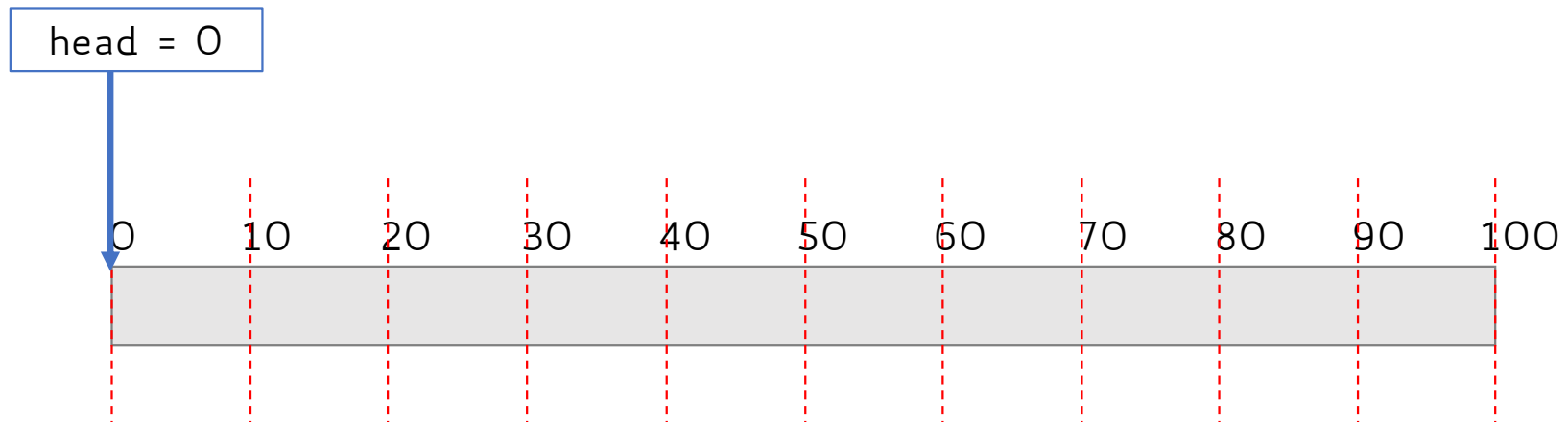
Distance travelled = $0 + |18 - 30| = 12$

Disk Scheduling: SCAN



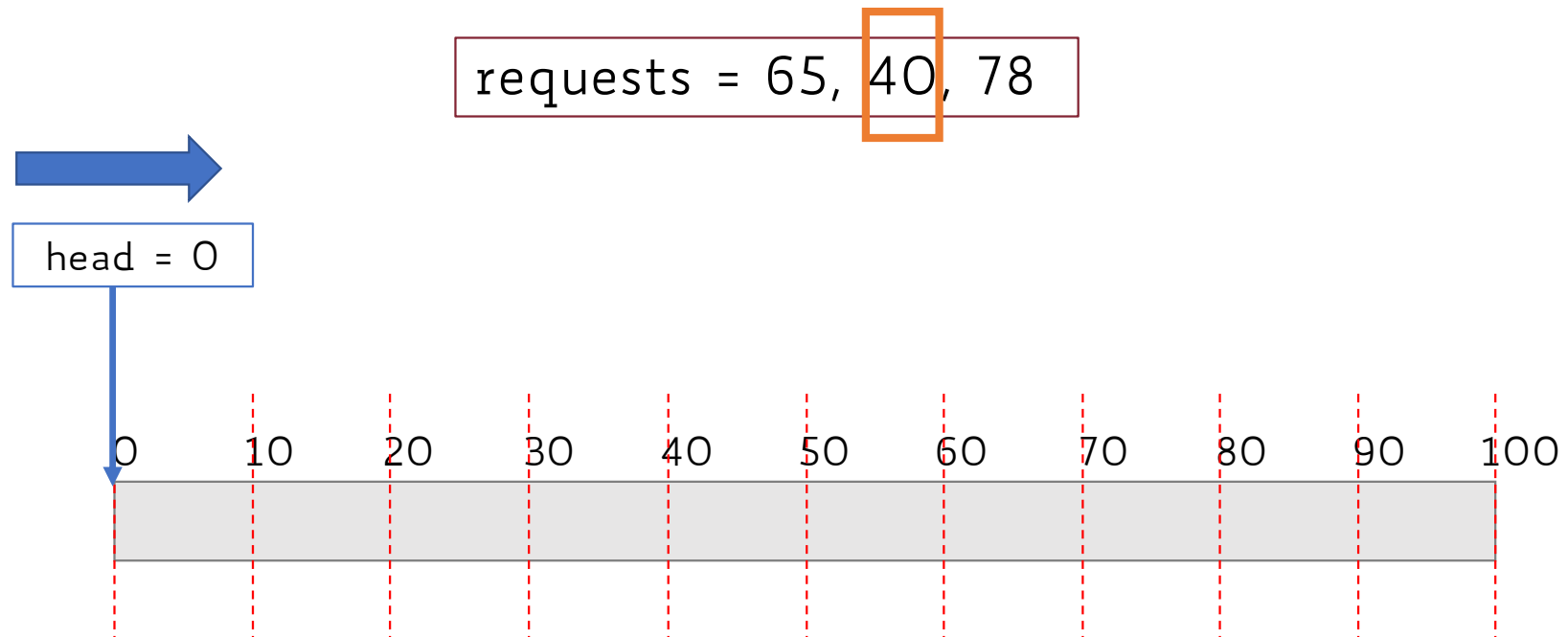
Disk Scheduling: SCAN

requests = 65, 40, 78



Distance travelled = $12 + |0 - 18| = 30$

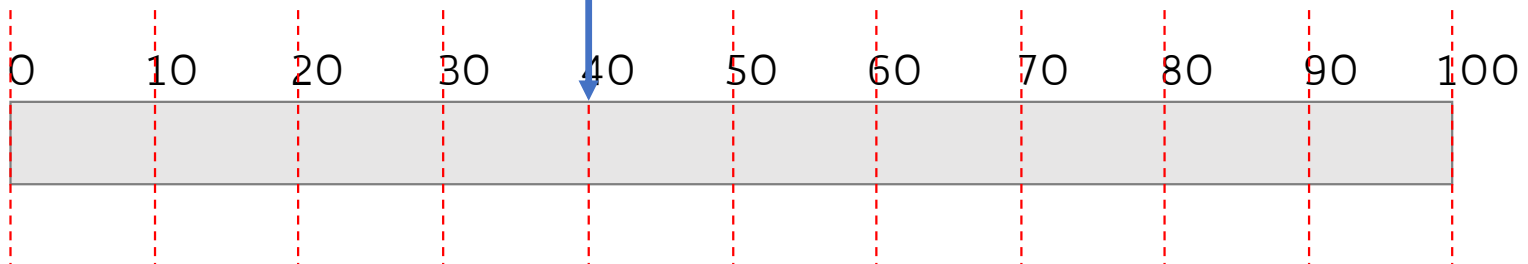
Disk Scheduling: SCAN



Disk Scheduling: SCAN

requests = 65, 40, 78

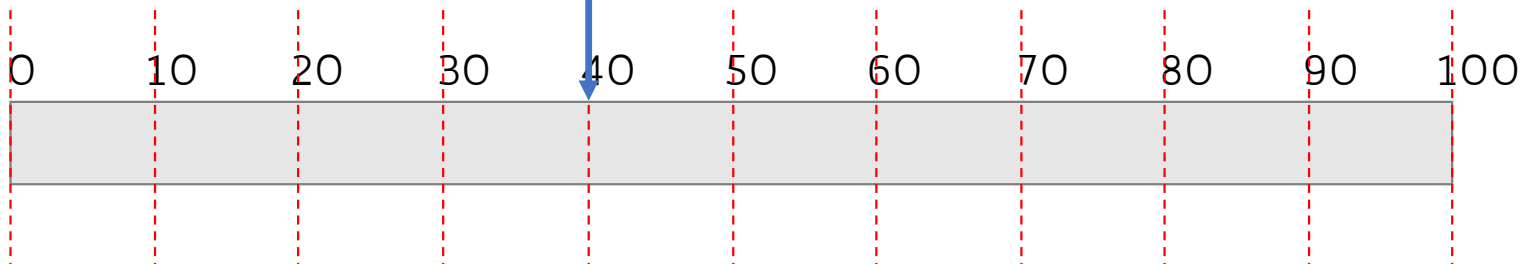
head = 40



Disk Scheduling: SCAN

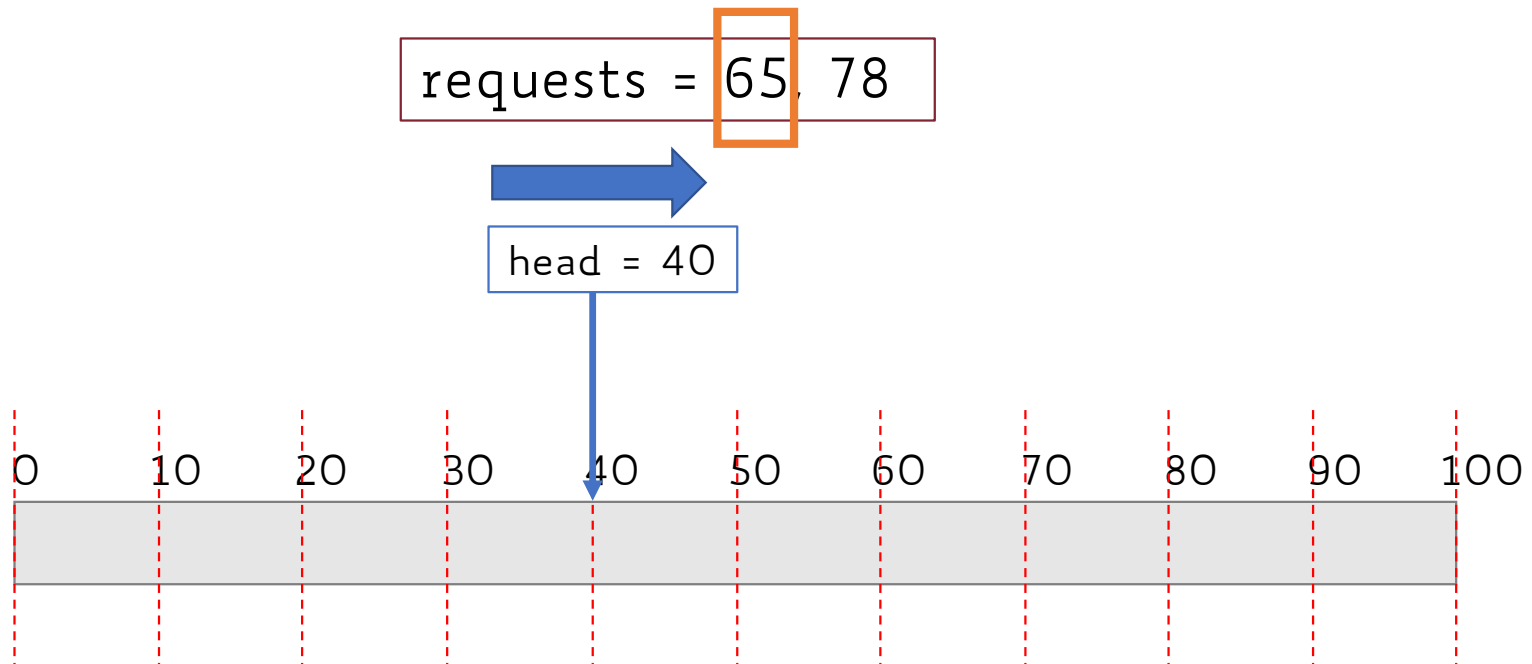
requests = 65, 40, 78

head = 40



Distance travelled = $30 + |40 - 0| = 70$

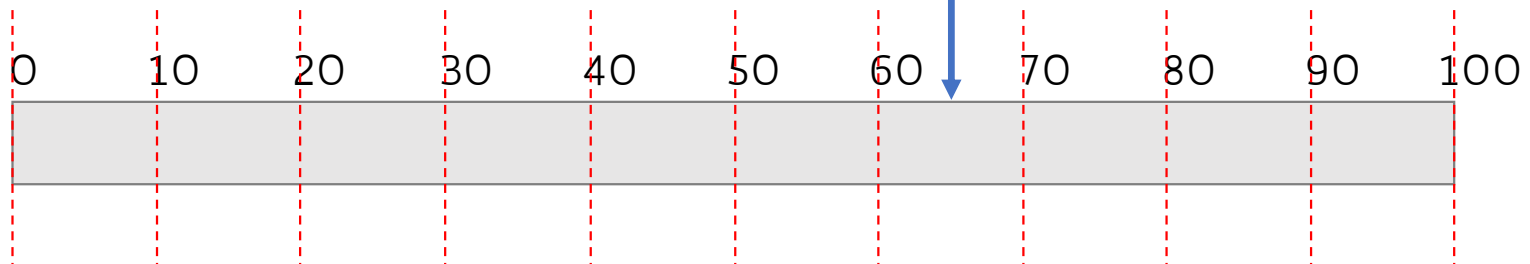
Disk Scheduling: SCAN



Disk Scheduling: SCAN

requests = 65, 78

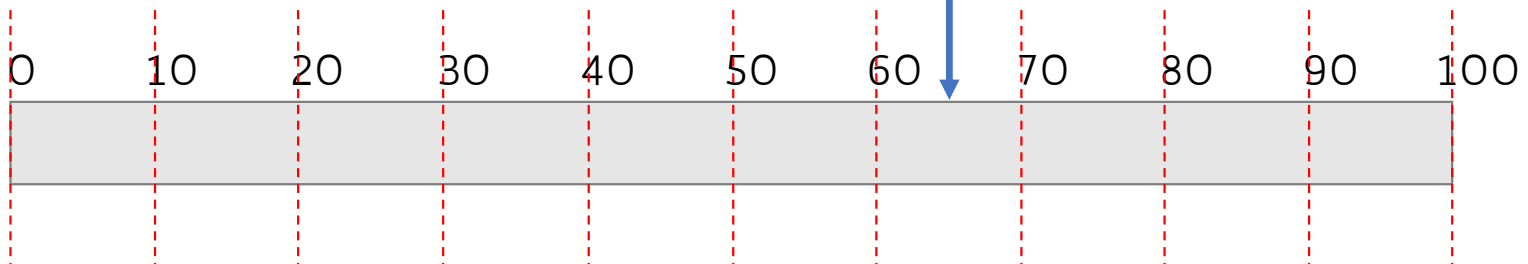
head = 65



Disk Scheduling: SCAN

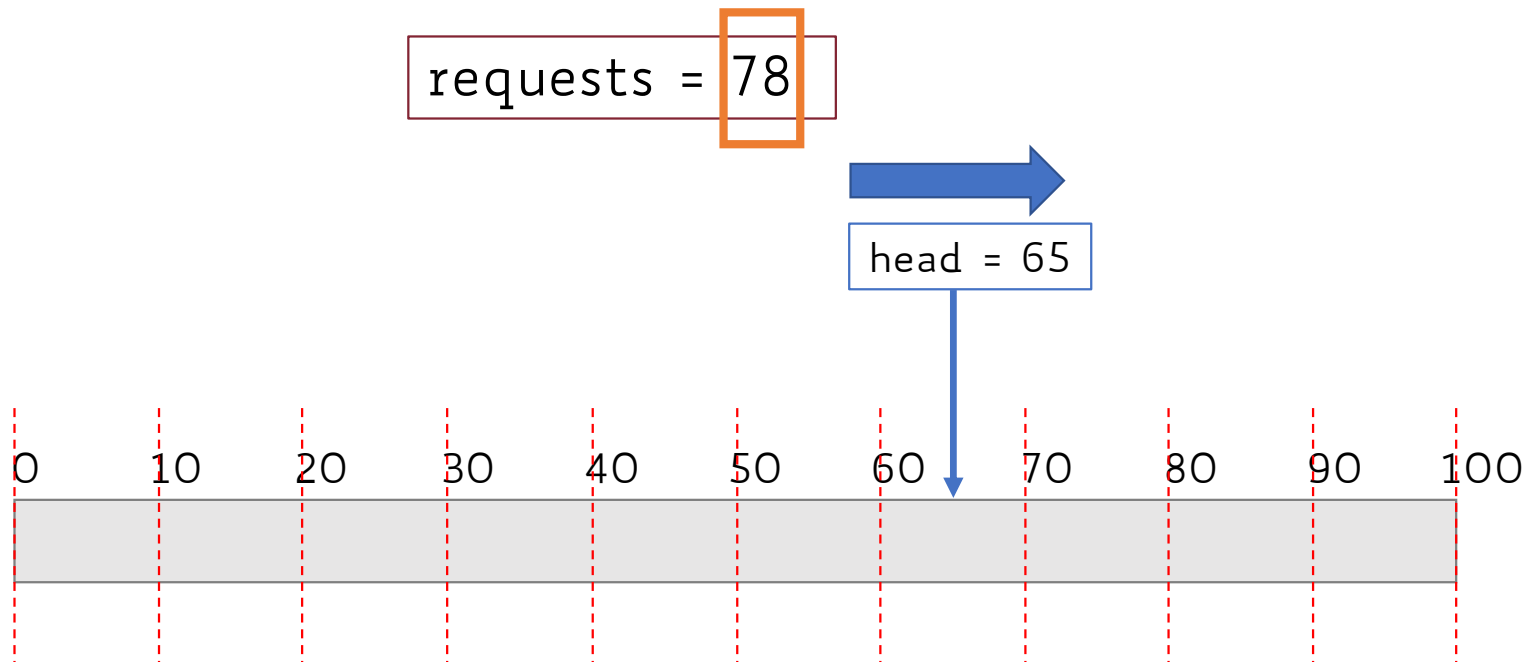
requests = 65, 78

head = 65



Distance travelled = $70 + |65 - 40| = 95$

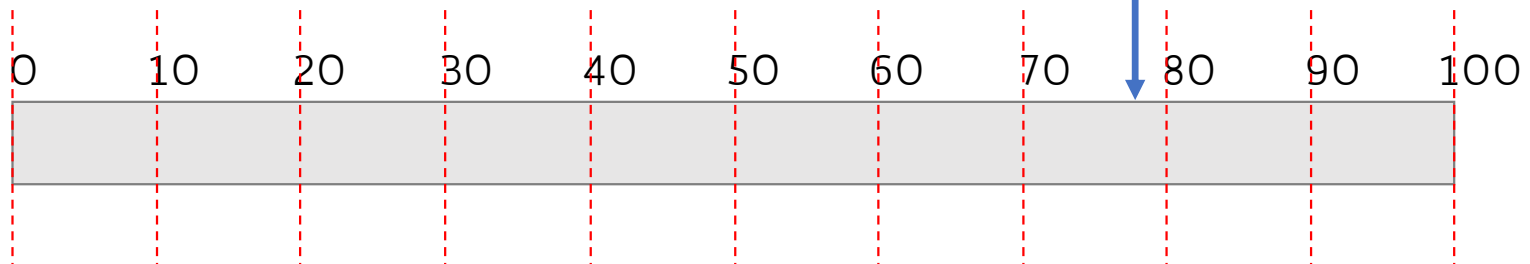
Disk Scheduling: SCAN



Disk Scheduling: SCAN

requests = 78

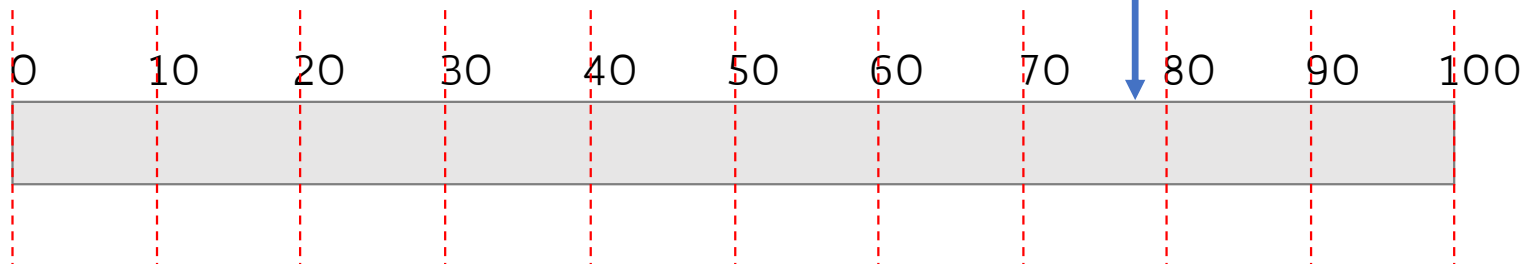
head = 78



Disk Scheduling: SCAN

requests = 78

head = 78



Distance travelled = $95 + |78 - 65| = 108$

SCAN: Considerations

- Requires to keep a sorted list of requests

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- Requires to keep a sorted list of requests
- Simple optimization (**LOOK**)
 - Do not go all the way to the edge of the disk each time
 - Just go as far as the last request to be served
 - In the example: no need to go from 18 to 0! Just stop at 18 (first request)
 - Total distance goes from **108** down to **72** (saving 18-0 and 0-18 movements)

SCAN vs. SSTF

- SCAN does not suffer from possible starvation as SSTF does
 - We are guaranteed each request will be served eventually

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 - New requests are coming in immediately after SCAN has passed
 - SSTF will serve "closer" request first, whilst SCAN will complete the whole pass to the opposite edge before going back
 - E.g., SCAN is going upwards and just passes track 50, then request 49 comes in

SCAN vs. SSTF

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 - E.g., SCAN is going upwards and just passes track 50, then request 49 comes in
- SCAN results in longer waiting time

Appendix: Arm Speed

- We assumed the time it takes to the head to move from track to track is a linear function of the traversed tracks
 - i.e., moving from track 10 to 20 takes as twice as much time than moving from track 10 to 15 (10 vs. 5 tracks traversed)

Appendix: Arm Speed

- We assumed the time it takes to the head to move from track to track is a linear function of the traversed tracks
 - i.e., moving from track 10 to 20 takes as twice as much time than moving from track 10 to 15 (10 vs. 5 tracks traversed)
- However, this is not the case! Remember that this is all mechanical!

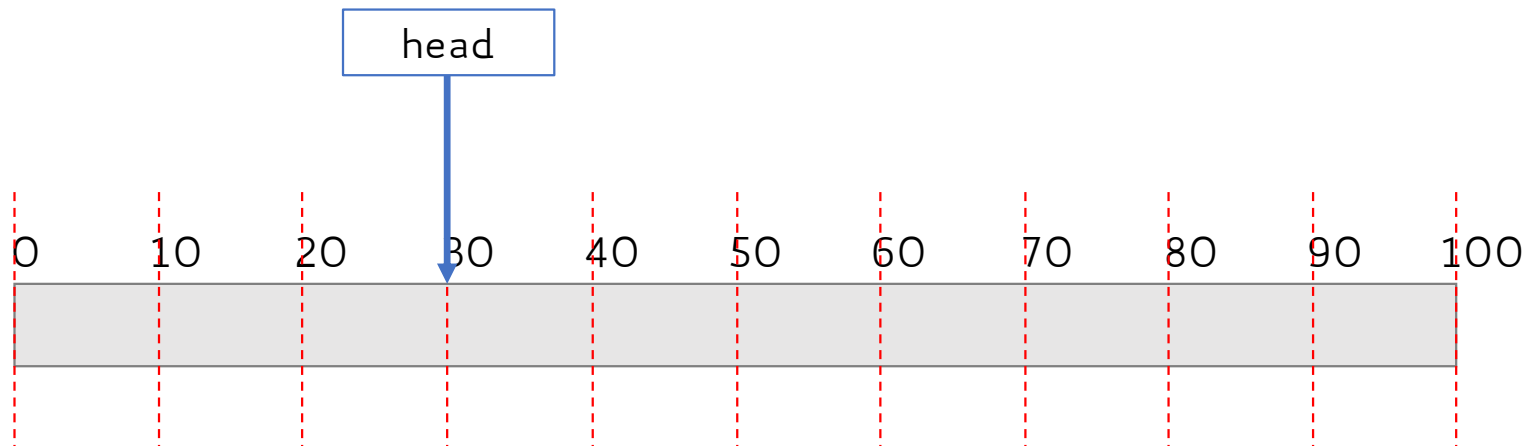
Appendix: Arm Speed

- We assumed the time it takes to the head to move from track to track is a linear function of the traversed tracks
 - i.e., moving from track 10 to 20 takes as twice as much time than moving from track 10 to 15 (10 vs. 5 tracks traversed)
- However, this is not the case! Remember that this is all mechanical!
- Disk arms are subject to acceleration and deceleration, and their speed is not constant (as opposed to rotational speed)

Disk Scheduling: C-SCAN

Head makes circular scan of the disk (requests are in a circular queue)

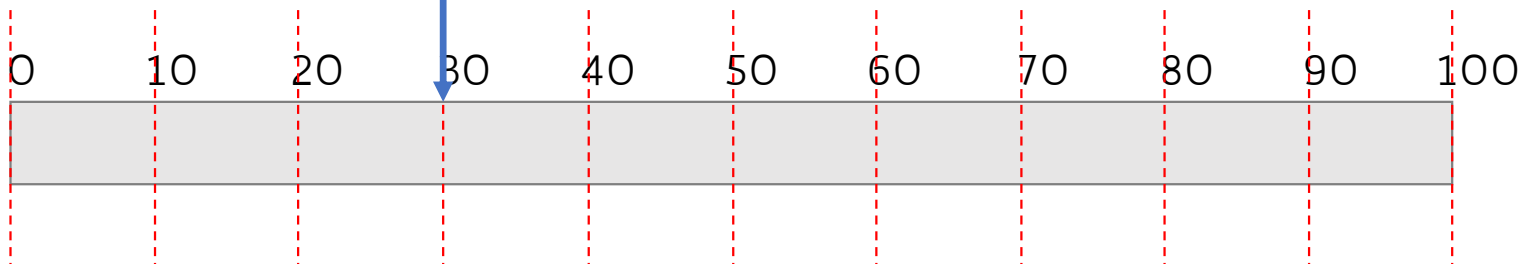
Each time the head reaches an end it is reset to the opposite end



Disk Scheduling: C-SCAN

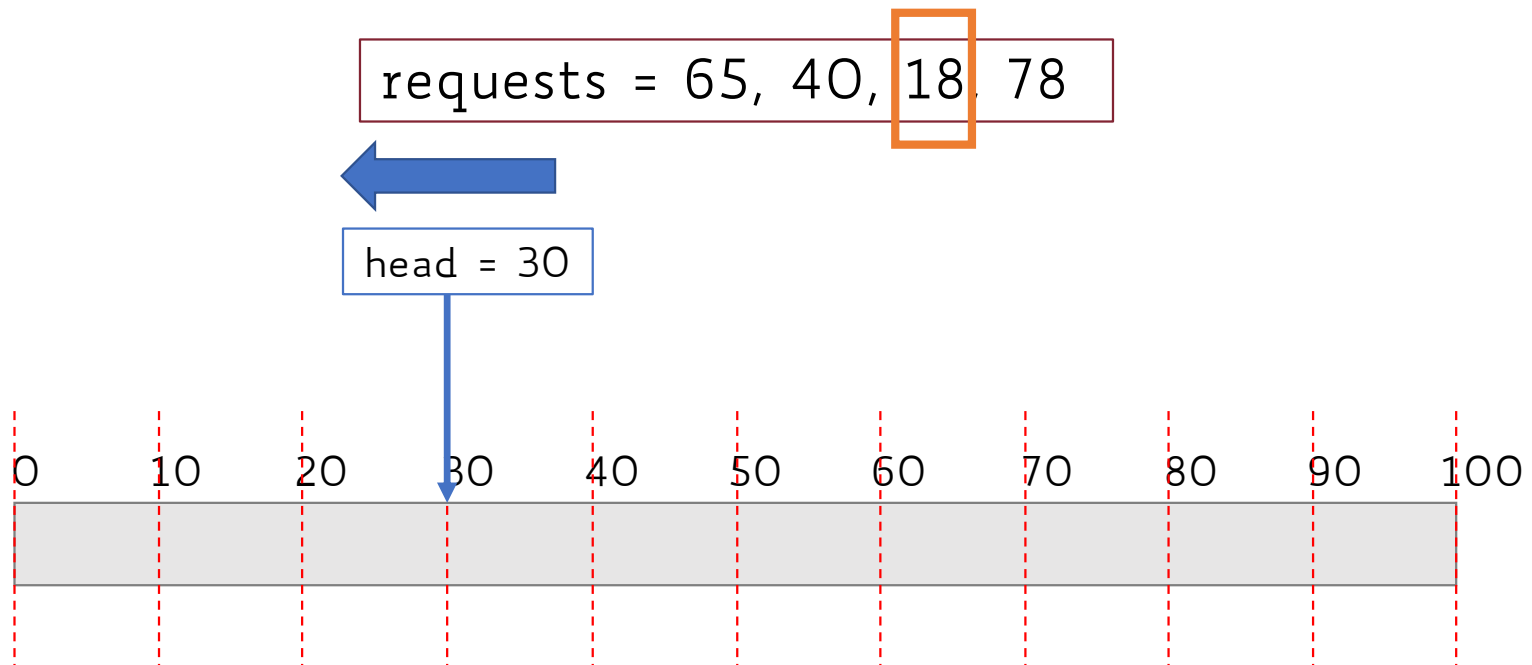
requests = 65, 40, 18, 78

head = 30



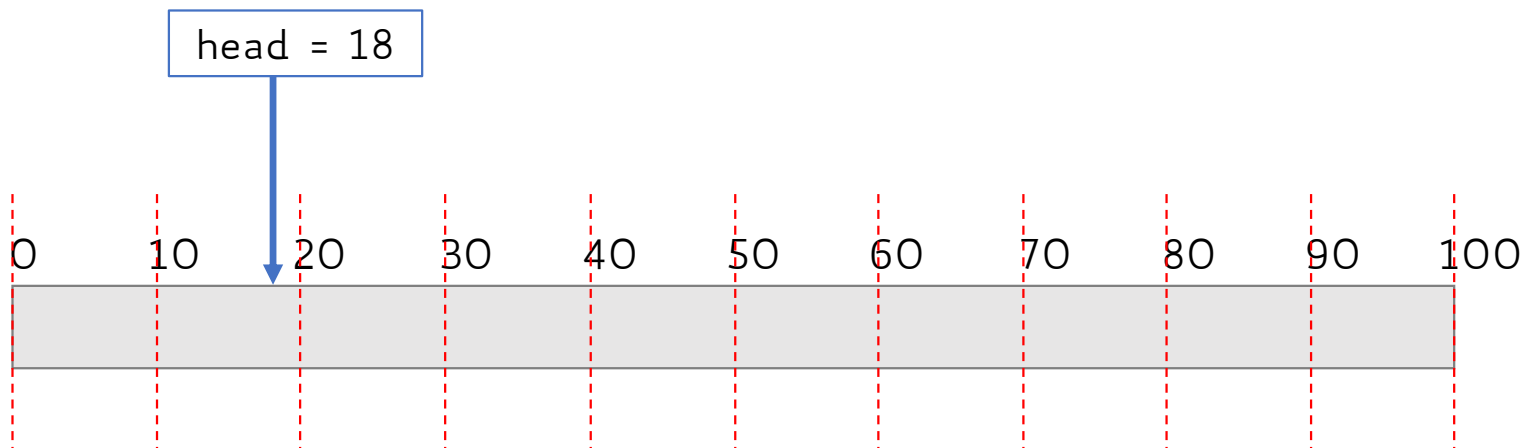
Distance travelled = 0

Disk Scheduling: C-SCAN



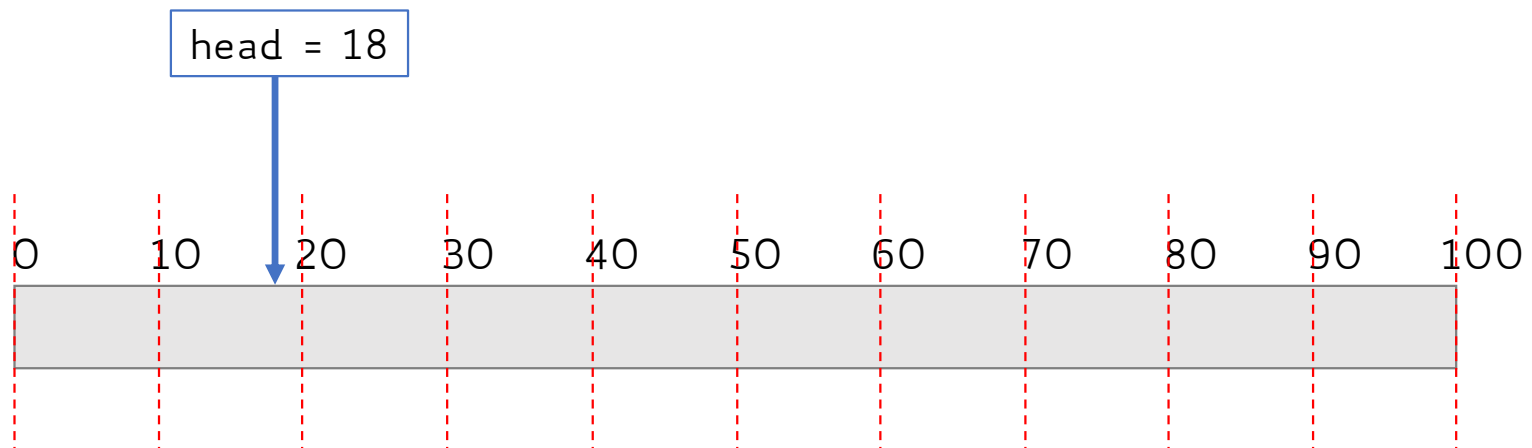
Disk Scheduling: C-SCAN

requests = 65, 40, 18, 78



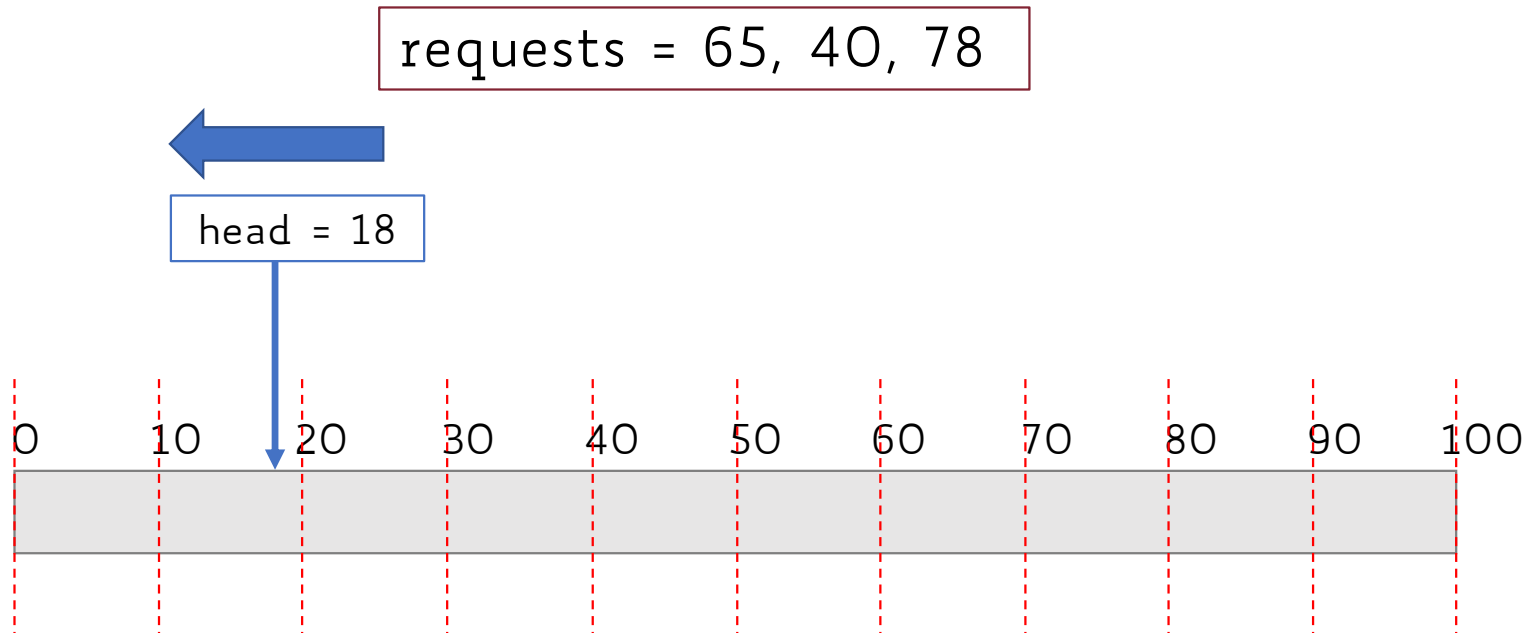
Disk Scheduling: C-SCAN

requests = 65, 40, 18, 78



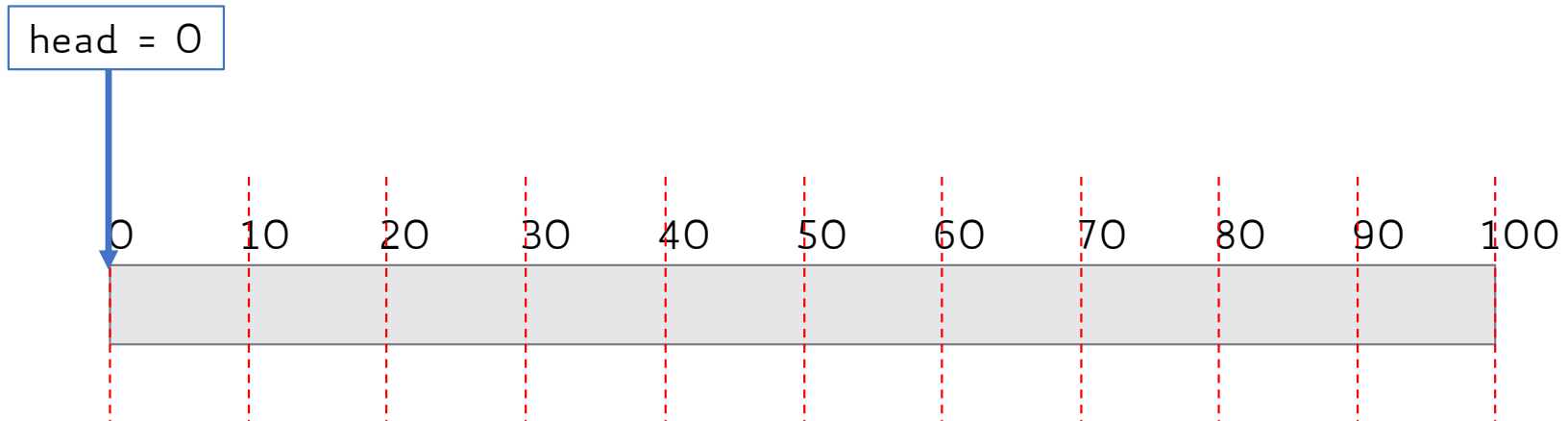
Distance travelled = $0 + |18 - 30| = 12$

Disk Scheduling: C-SCAN



Disk Scheduling: C-SCAN

requests = 65, 40, 78



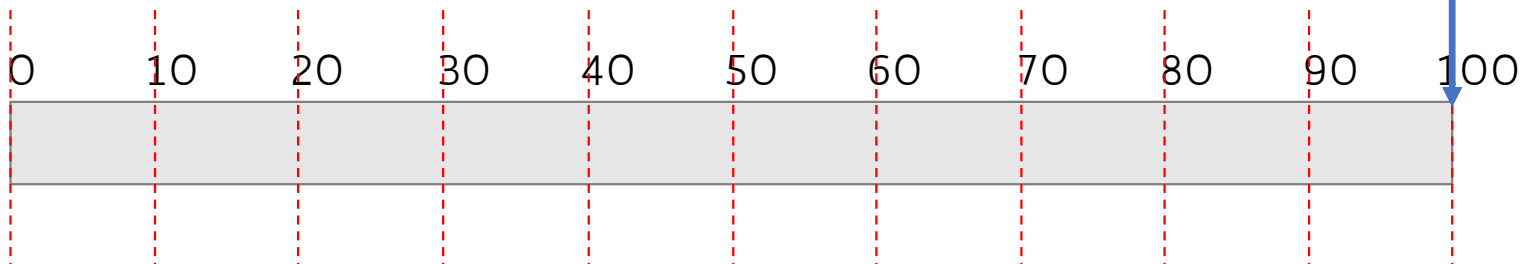
Distance travelled = $12 + |0 - 18| = 30$

Disk Scheduling: C-SCAN

requests = 65, 40, 78

Head reset

head = 100

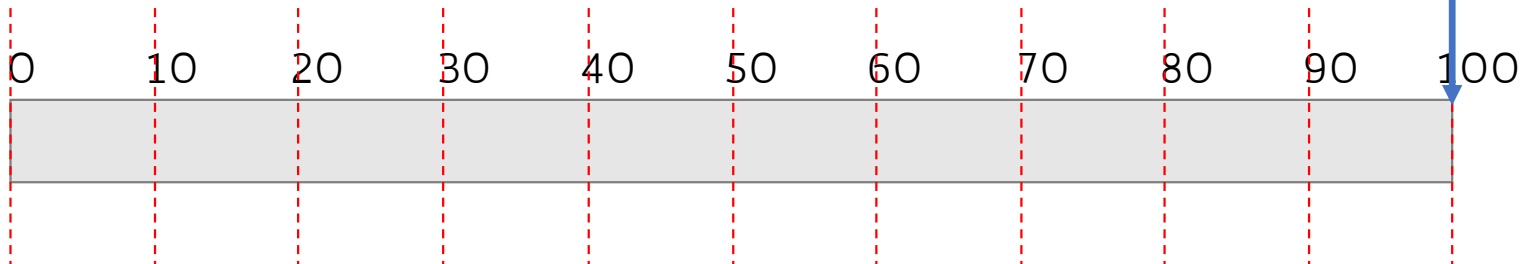


Disk Scheduling: C-SCAN

requests = 65, 40, 78

Head reset

head = 100



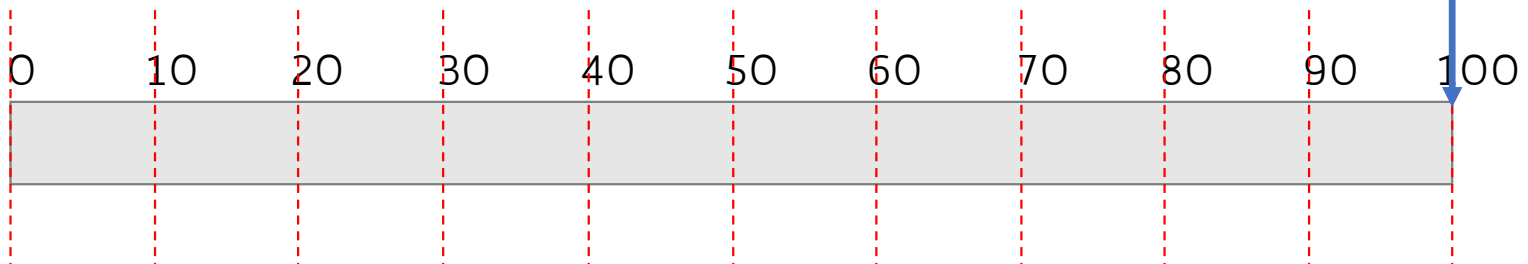
Distance travelled = $30 + |100 - 0| = 130$

Disk Scheduling: C-SCAN

requests = 65, 40, 78

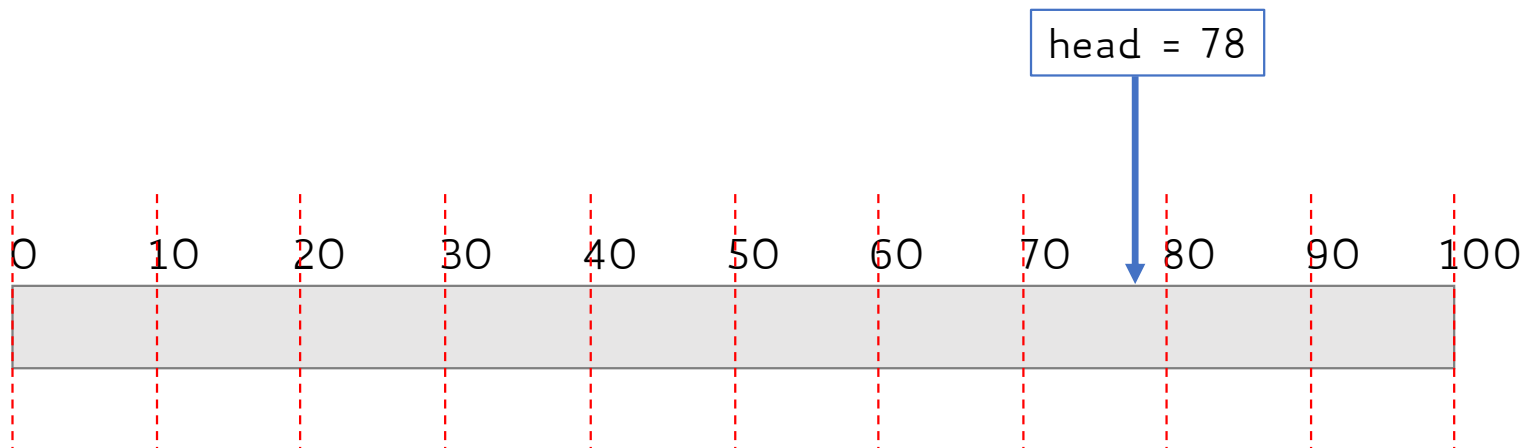


head = 100



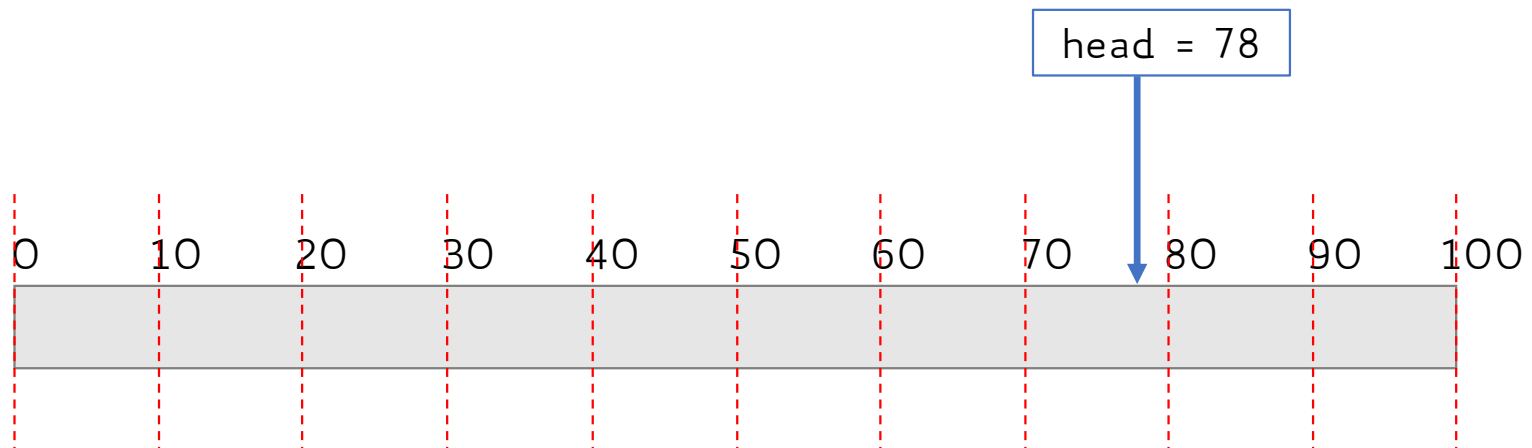
Disk Scheduling: C-SCAN

requests = 65, 40, 78



Disk Scheduling: C-SCAN

requests = 65, 40, 78



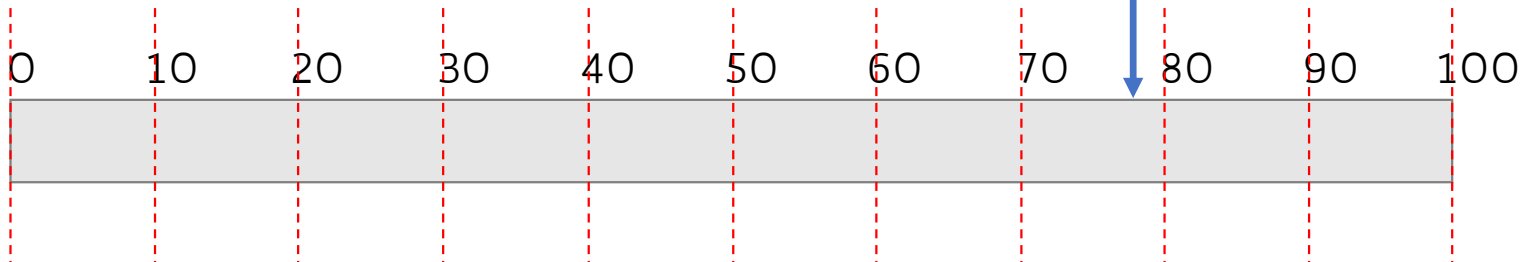
Distance travelled = $130 + |78 - 100| = 152$

Disk Scheduling: C-SCAN

requests = 65, 40



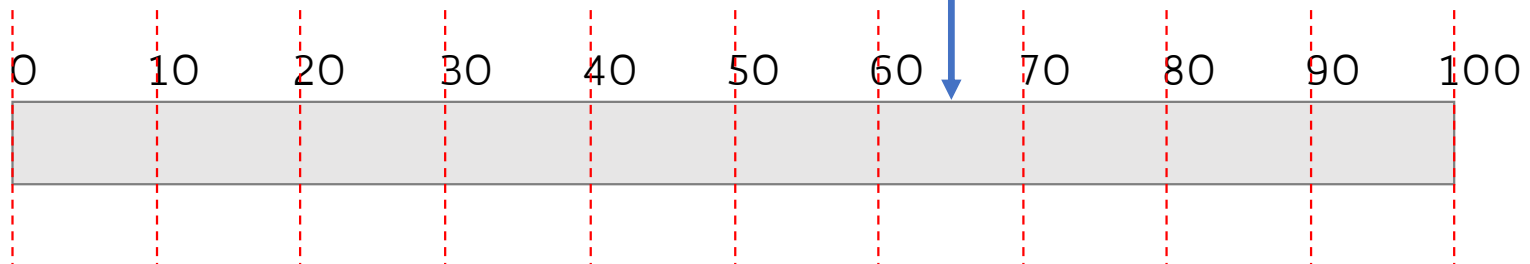
head = 78



Disk Scheduling: C-SCAN

requests = 65, 40

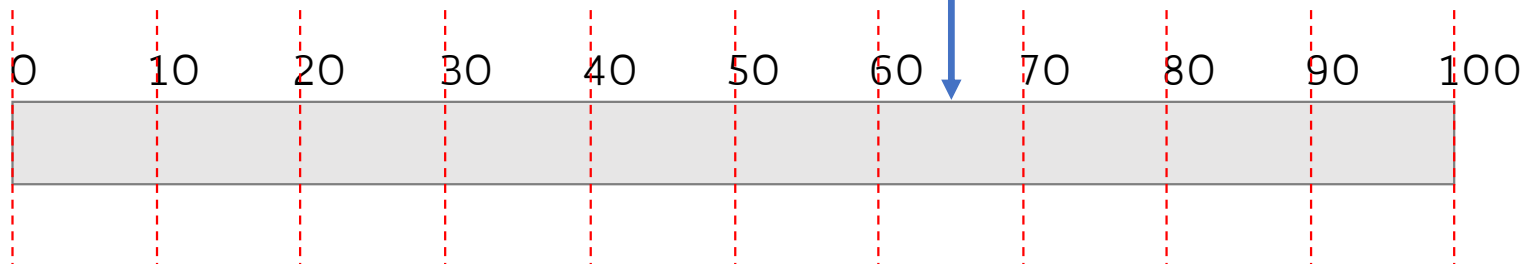
head = 65



Disk Scheduling: C-SCAN

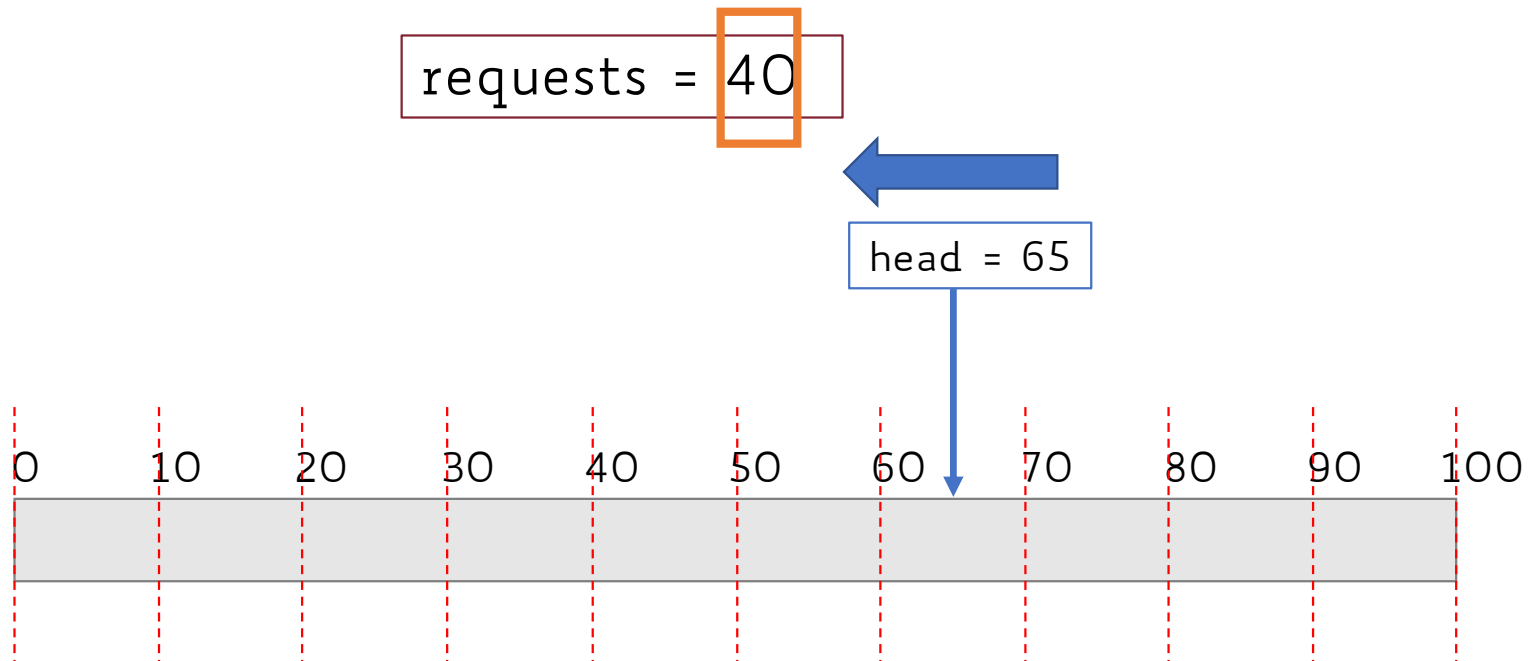
requests = 65, 40

head = 65



Distance travelled = $152 + |65 - 78| = 165$

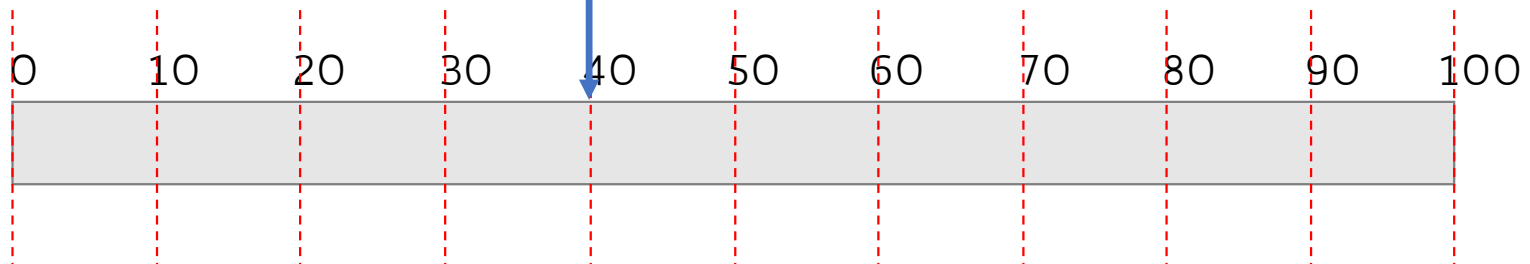
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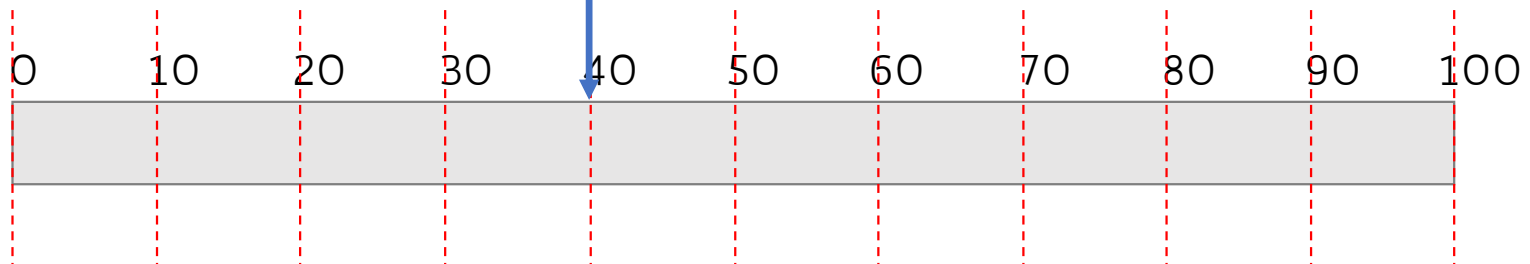
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Disk Scheduling: C-SCAN

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head = 40



Distance travelled = $165 + |40 - 65| = 190$

C-SCAN: Considerations

- **C-LOOK:** similar optimization to LOOK
 - In the example:
 - no need to go from 18 to 0! Just stop at 18 (first request)
 - no need to restart the head position to the last one (100)! Just reset it to 78
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- Avoid start/stop of mechanical head movements

Including the Rotational Delay

- On modern drives, both seek and rotation delays are almost equivalent

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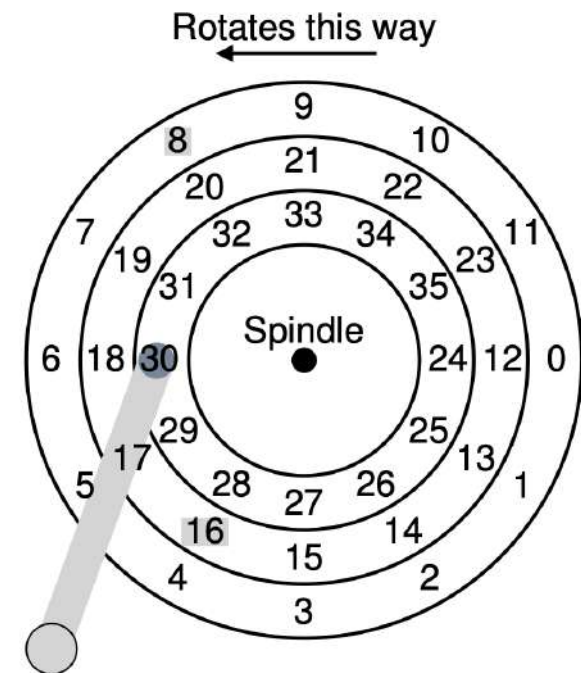
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Including the Rotational Delay

- On modern drives, both seek and rotation delays are almost equivalent
- Our simplified disk model that considers only seek time may be too naïve
- Can we design a disk scheduling algorithm that takes into account *both* seek and rotation delays?

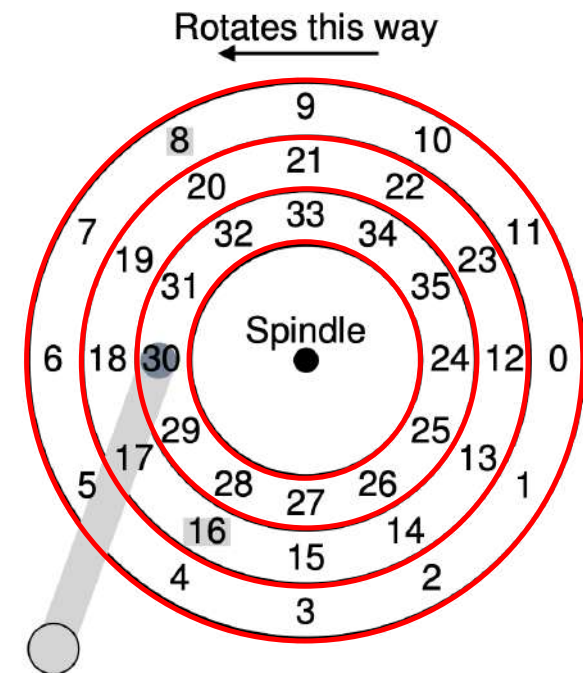
SPTF: Shortest Positioning Time First

Consider a slightly more complex model



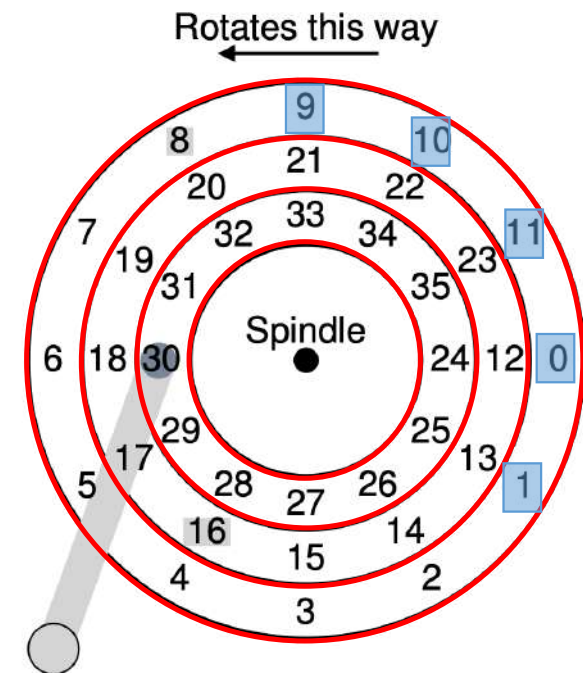
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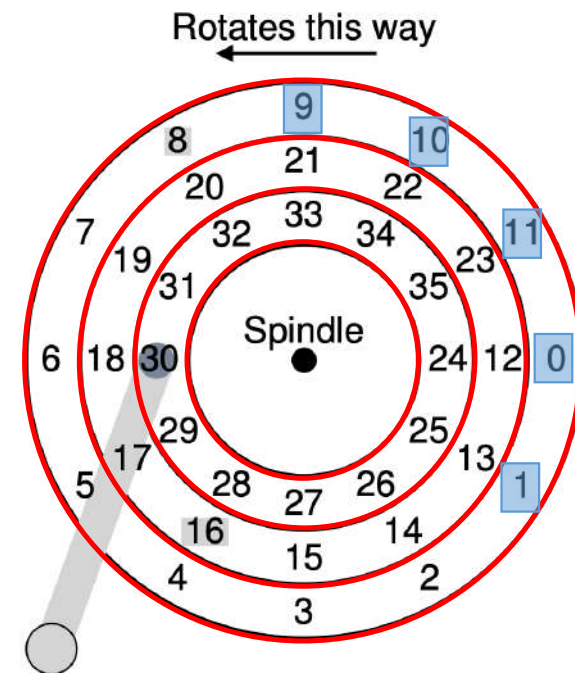
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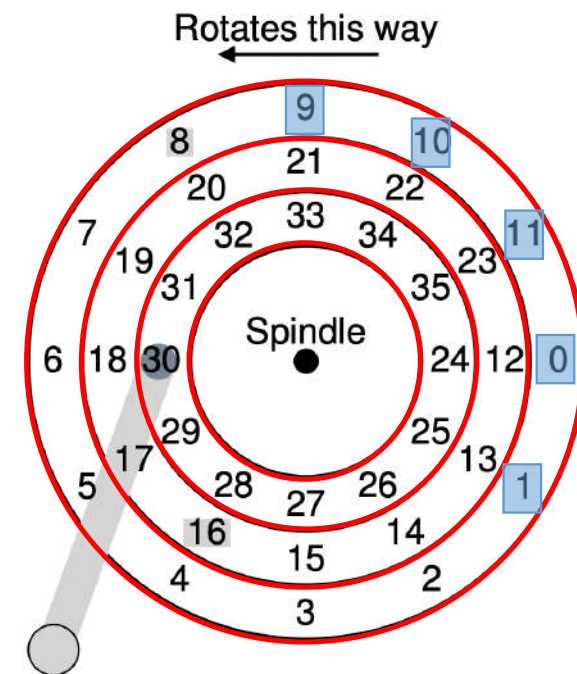
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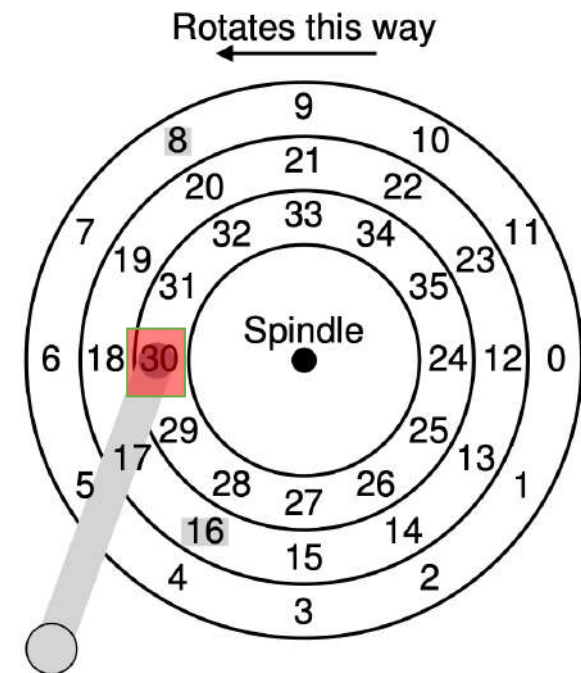
Actually, we know that outer tracks have more sectors than inner tracks and disks are organized in multiple **zones** (i.e., consecutive set of tracks)

Same number of sectors per track within the *same zone*



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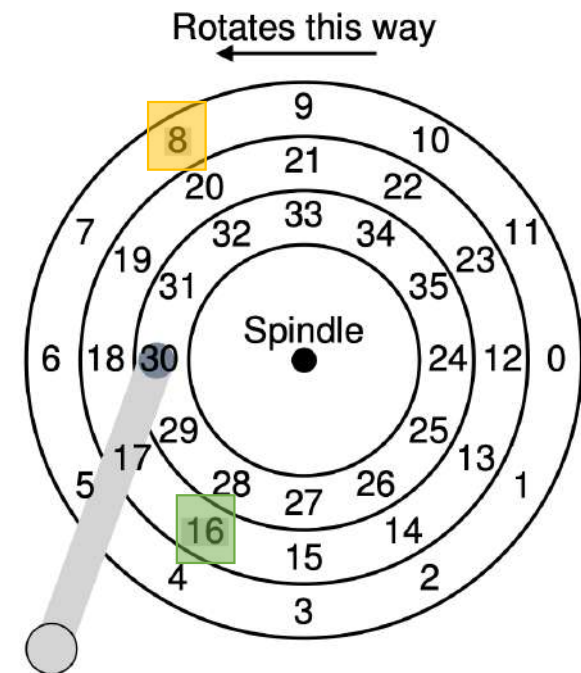
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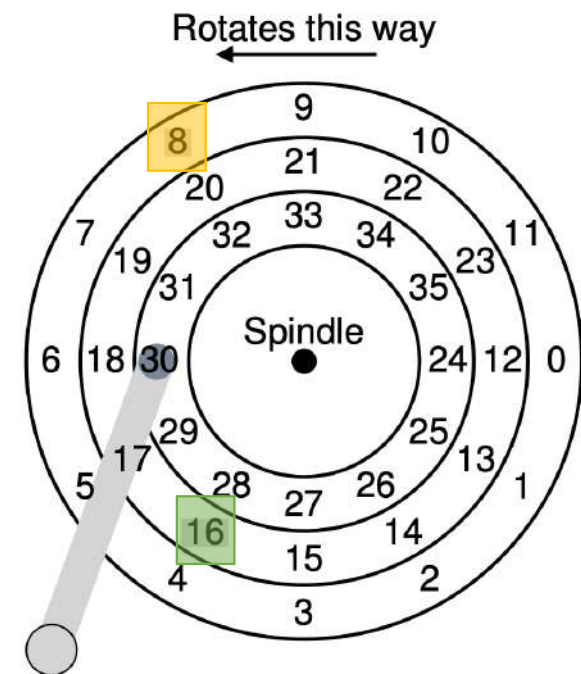


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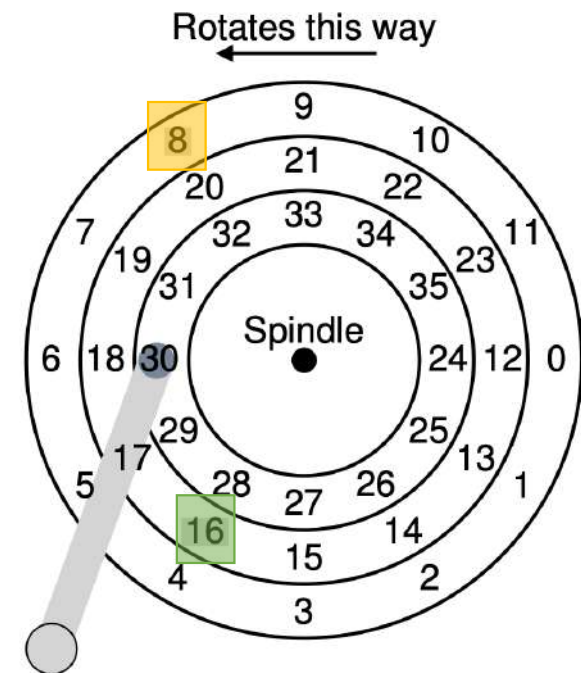
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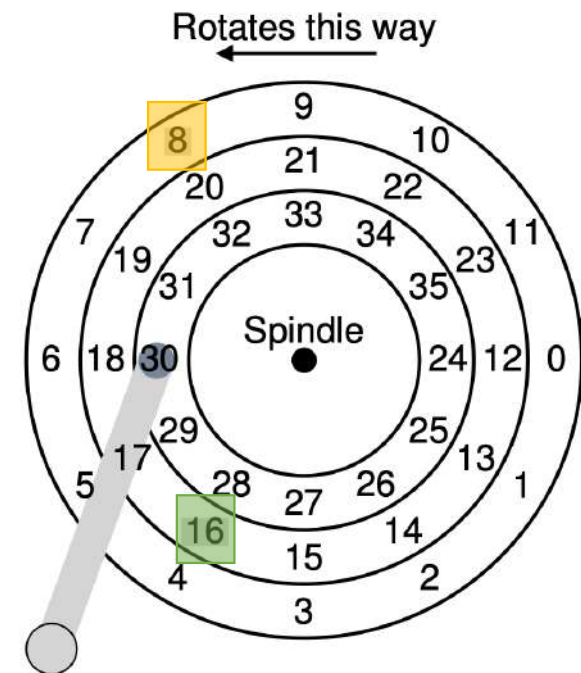
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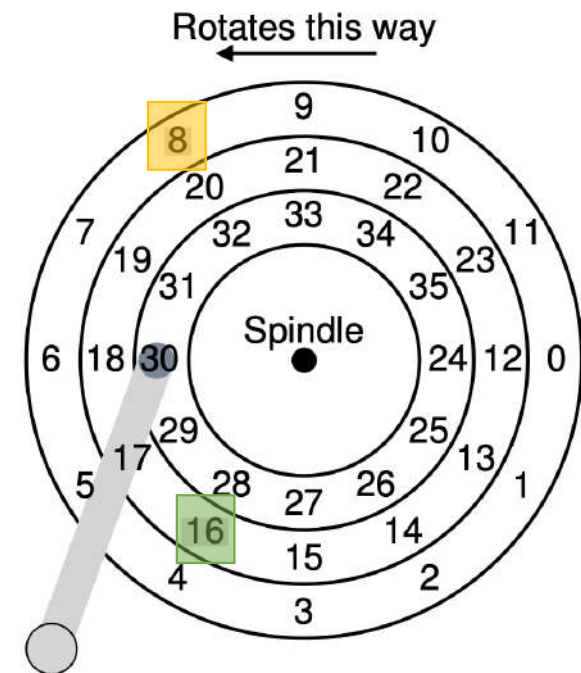
It might have sense to serve **8**, thus performing longer seek



SPTF: Shortest Positioning Time First

The Final Answer: It Depends!

If seek time is much larger than rotational delay then serve request **16**, otherwise serve request **8**



Where Are Those Algorithms Implemented?

- Disk scheduling algorithms are typically implemented on the disk controller itself
- Disk drives are shipped with one of these algorithms ready
- More complex scheduling algorithms can be designed (e.g., optimizing the overall access time)
- Complex logic should be instead moved to the disk driver (OS kernel)

Improving Disk Performance: Interleaving

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Filesystem-level optimization

Today, CPUs are so fast that interleaving is not used anymore!

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Goal

Reduce the number of seeks, as several blocks that are on the same track are read even if not explicitly requested (locality)

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- ECC is done with every disk read/write, and if damage is detected and recoverable, the disk controller handles itself a **soft error**

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- After partitioning, then the filesystems must be **logically formatted**

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Computer ROM contains a `bootstrap` program (OS independent) with just enough code to find the first sector on the first hard drive on the first controller

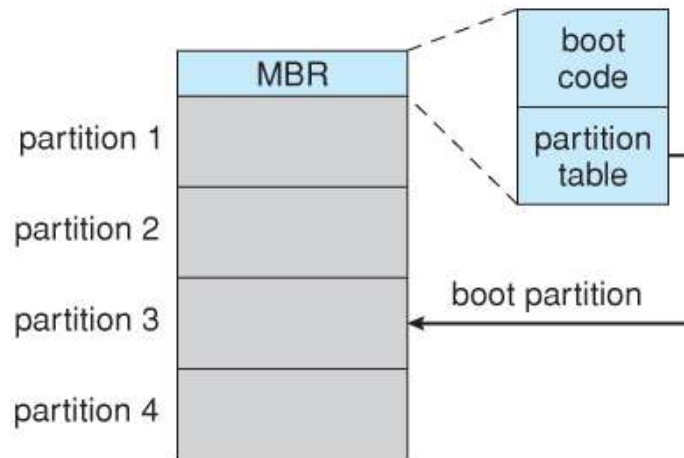
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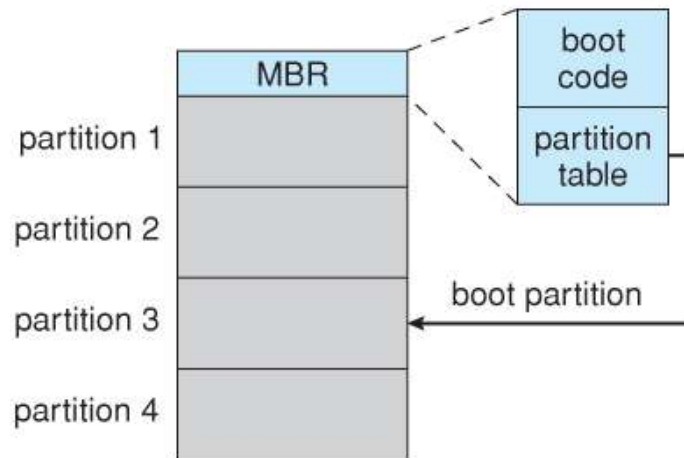


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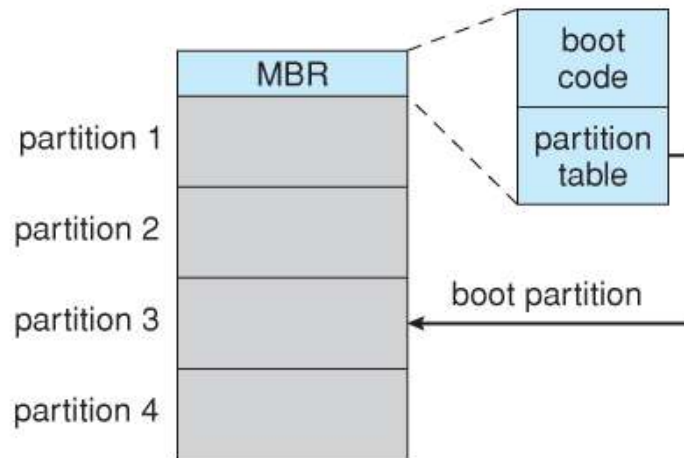
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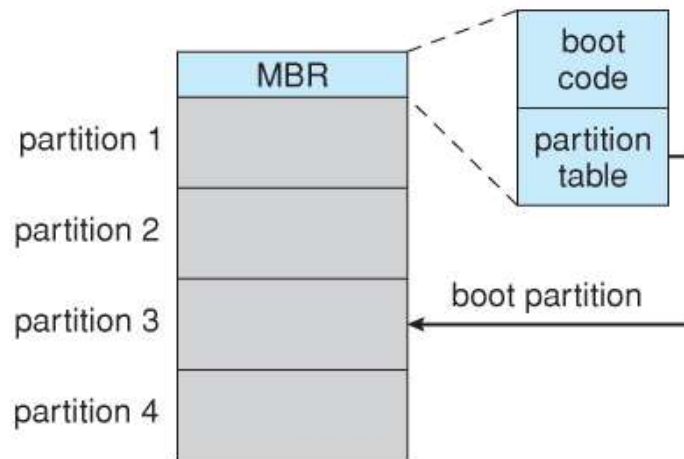
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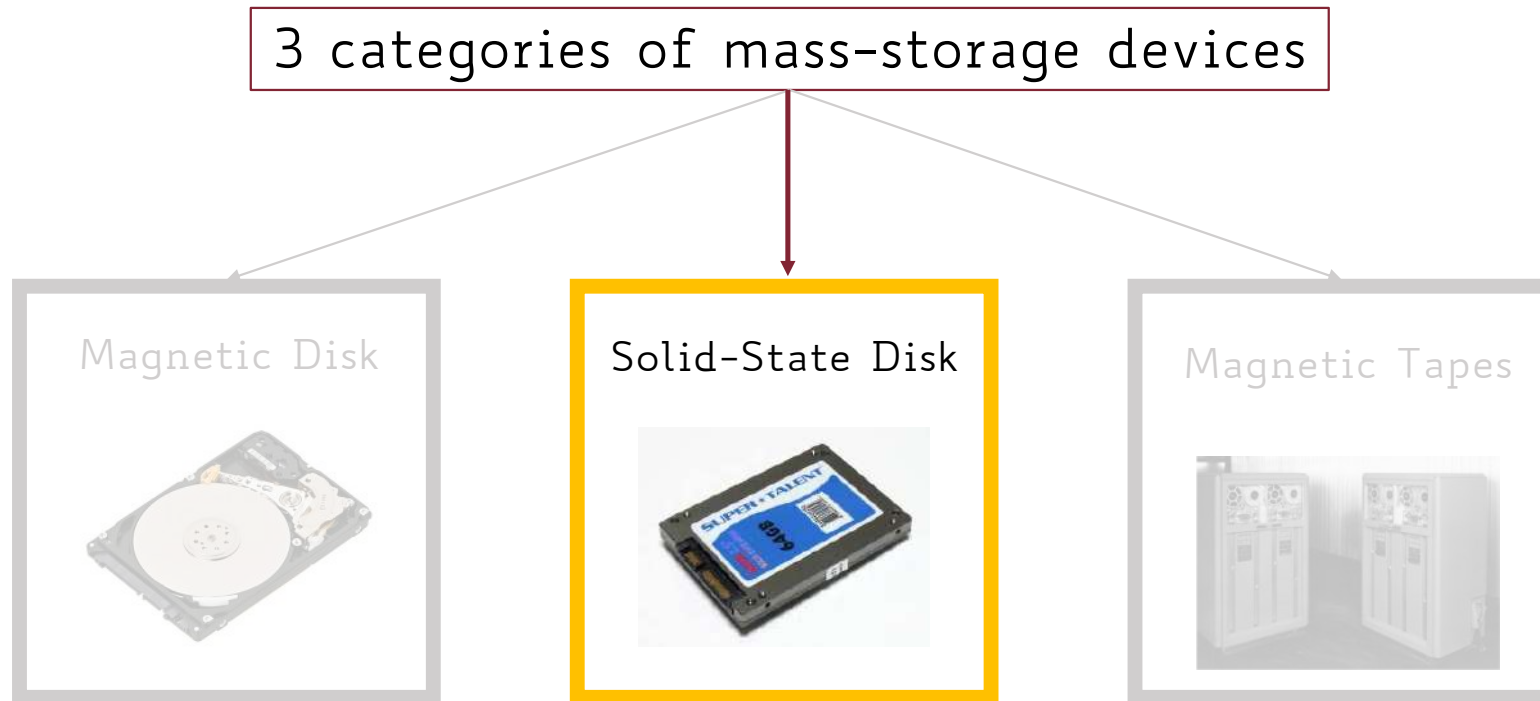
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Once the kernel is found, it is loaded into memory and control is transferred to the OS, which initializes all important kernel data structures and system services

Overview of Mass-Storage Structure



Solid-State Disks (SSDs): Overview

- SSDs use memory technology as a small fast hard disk
- Specific implementations may use either flash memory or DRAM chips protected by a battery
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No need for disk scheduling

Solid-State Disks: Overview

- Read operations are very fast
- Write operations are slower as they need a slower erase cycle (cannot overwrite directly)
- Unreferenced blocks instead of overwriting (garbage collection)
- Limited number of writes per block (over lifetime)
- SSD controller needs to count how many times a block gets overwritten, so as to keep this balanced

Solid-State Disks: Overview

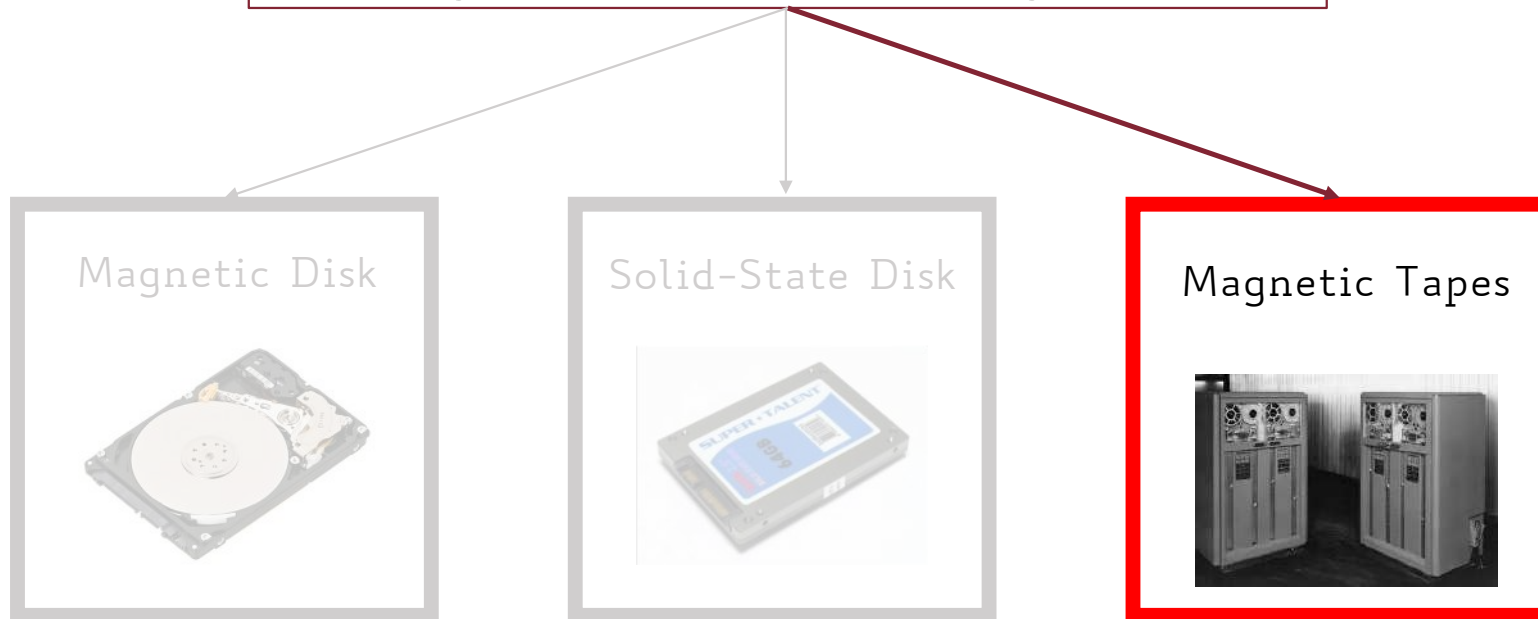
- SSDs are more expensive than hard drives, generally not as large, and may have shorter life spans
- SSDs are especially useful as a high-speed cache of hard-disk information that must be accessed quickly
- For example, they can use to store:
 - File system meta-data, e.g., directory and inode information
 - The OS bootloader and some application executables, but no vital user data

Solid-State Disks: Overview

- SSDs are also used in laptops to make them smaller, faster, and lighter
- Since SSDs are so much faster than traditional hard disks, the throughput of the I/O bus can become a limiting factor
- Some SSDs are therefore connected directly to the system PCI bus

Overview of Mass-Storage Structure

3 categories of mass-storage devices



Magnetic Tapes

- Primarily used for backups
- Accessing a particular spot on a magnetic tape can be slow
- No random/direct access, only sequential!
- After reading or writing starts, access speeds are comparable to disk drives
- Capacities of tape drives can range from 20 to 200 GB
- Today replaced by disks

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- Goal: increase reliability or speed up operations, or both
- Today, RAID systems employ large possibly expensive disks, switching the definition to **Independent** rather than **Inexpensive** disks

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- The more disks a system has, the greater the likelihood that one of them will go bad at any given time
- Hence, increasing disks on a system actually decreases the **Mean Time To Failure (MTTF)** of the system

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- A single-disk failure on a day is a quite a rare event (0.025% chance)
- Things are not so infrequent when we deal with several disks:
 - 1 (expected) failure per day with $N = 4,000$ disks
 - 100 (expected) failures per day with $N = 400,000$ disks

Improvement of Reliability via Redundancy

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$$p^N$$

Improvement of Performance via Parallelism

- Mirroring also improves performance, particularly with respect to read operations
- Every block of data is duplicated on multiple disks, and read operations can be satisfied from any available copy
- Multiple disks can be reading different data blocks simultaneously in parallel
- Writes could also be speed up through careful scheduling algorithms, but it would be complicated in practice

Improvement of Performance via Parallelism

- Another way of improving disk access time is with **striping**
- This means spreading data out across multiple disks that can be accessed simultaneously
- Striped disks are logically seen as a single storage unit

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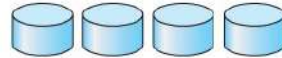
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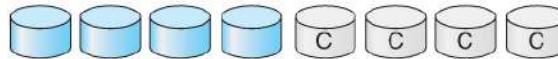
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 - ...
 - **RAID Level 6** → striping + mirroring + parity bit

RAID Levels



(a) RAID 0: non-redundant striping.



(b) RAID 1: mirrored disks.



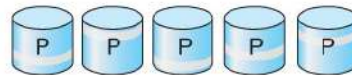
(c) RAID 2: memory-style error-correcting codes.



(d) RAID 3: bit-interleaved parity.



(e) RAID 4: block-interleaved parity.



(f) RAID 5: block-interleaved distributed parity.



(g) RAID 6: P + Q redundancy.

Summary

- Disks are slow devices compared to CPUs (and main memory)
- Manage those devices efficiently is crucial
- I/O requests can be re-arranged so as to reduce mechanical movements of magnetic drives → **disk scheduling algorithms**
- Redundancy allows to cope with disk failure in critical application domains