Teoria degli Algoritmi

Corso di Laurea Magistrale in Matematica Applicata a.a. 2020-21

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Lecture 4: Reducibility



March 11, 2021

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- 2 The (Actual) Halting Problem
- Mapping Reducibility





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- 3 Mapping Reducibility





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- We discuss what it means for a problem/function to be computed (either totally or partially)





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- We also presented a typical problem the halting problem that is computationally undecidable





- So far, we have established the TM as our reference model of computation
- We discuss what it means for a problem/function to be computed (either totally or partially)
- We also presented a typical problem the halting problem that is computationally undecidable
- In the following, we will show how to prove that a problem is computationally undecidable by means of a specific technique called reduction





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 - You know that having a map of the city would solve your problem...





- Intuitively, a reduction is a way of translating one problem into another in such a way that the latter can be used to solve the former
 - Reduction is a pretty common practice in our every-day lives
- For example: Suppose that you want to find a way around a new city
 - You know that having a map of the city would solve your problem...
 - Therefore, your original problem reduces to finding a map of the city!





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- If A reduces to B, we can use a solution to B to solve A
- In our example above:
 - A is the original problem of finding a way around a new city
 - B is the problem of finding a map

Note

Reducibility does **not** say anything about solving A or B, but just about the solvability of A in the presence of a solution to B





Reducibility occurs often in mathematical problems:

Examples

The problem of measuring the area of a rectangle (A) reduces to the problem of finding the size of its length and width (B).





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Examples

The problem of measuring the area of a rectangle (A) reduces to the problem of finding the size of its length and width (B).

The problem of solving a system of linear equations (A) reduces to inverting the matrix of coefficients (B).





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Corollary

• If $A \leq B$ and B is **decidable** then A is also **decidable**





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Corollary

- If $A \leq B$ and B is **decidable** then A is also **decidable**
- If A < B and A is undecidable then B is also undecidable





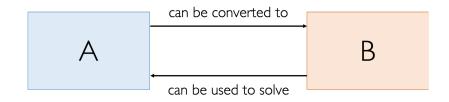




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- In fact, the actual halting problem is subtly different from that!





- We have already proved that HALT_{ACC} (equivalently, A_{TM}) is undecidable
- We call this "the halting problem": the problem of determining if a TM halts and accepts a given input
- In fact, the actual halting problem is subtly different from that!
- We refer to HALT as the problem of determining if a TM halts (either accepting or rejecting) a given input

$$HALT(\langle M, x \rangle) = egin{cases} 1 & ext{if } M(x) = 1 \lor M(x) = 0 \ 0 & M(x) = \bot \end{cases}$$





Theorem

The function HALT is **not** total and computable. Analogously, the language it defines $H_{TM} = \{ \langle M, x \rangle \mid M \text{ is a TM, } M(x) = 1 \lor M(x) = 0 \}$ is **undedicidable**





Theorem

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Note

HALT is of course **partially** computable, i.e., H_{TM} is **recognizable**. A recognizer for H_{TM} is a TM that works as follows: it just runs M(x) and if this ever halts (either accepting or rejecting) will output 1, otherwise it will loop forever





The (Actual) Halting Problem is Undecidable

• To prove the theorem above, we again look for a contradiction





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The (Actual) Halting Problem is Undecidable

- To prove the theorem above, we again look for a contradiction
- We assume that H_{TM} is decidable and we use that assumption to show that A_{TM} would be decidable as well
- The idea is to reduce a problem A which we know is undecidable (A_{TM}) to another problem B which we assume to be decidable (H_{TM}) and get to a contradiction





The (Actual) Halting Problem is Undecidable: Proof

• Let's assume we have a **decider** M_1 for H_{TM} , i.e., a TM that decides H_{TM}





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- M_2 takes an input in the form of $\langle M, x \rangle$ and must output 1 if M halts and accepts x, or 0 if M either halts and rejects or loop forever on x
- The problem for M_2 , of course, is it can't loop forever (it is a decider!)





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 - If M_1 , instead, indicates that M halts on x, M_2 can run safely M on x and will output whatever M will (1 if M accepts, 0 if it rejects)





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 - If M₁, instead, indicates that M halts on x, M₂ can run safely M on x and will output whatever M will (1 if M accepts, 0 if it rejects)
- Thus, if M_1 exits, we can use it to decide A_{TM}
- Since we know that A_{TM} is undecidable, M_1 cannot exist and therefore H_{TM} is undecidable as well





H_{TM} is undecidable.

Let's assume for the purpose of obtaining a contradiction that a TM M_1 exists and decides H_{TM} . We construct another TM M_2 that decides A_{TM} , therefore getting to a contradiction.

 M_2 ="On input $\langle M, x \rangle$, i.e., an encoding of a TM M and a string x:

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- **1** Run TM M_1 on input $\langle M, x \rangle$;
- 2 If M_1 returns 0, it means M does not halt on x, therefore M_2 returns 0 as well;

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- **1** Run TM M_1 on input $\langle M, x \rangle$;
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- 3 If M_1 returns 1, it means M halts on x, therefore M_2 can safely simulate M on x until it halts;
- 4 If M halts and accepts, M_2 returns 1; otherwise (M halts and rejects), M_2 returns 0."

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 We have shown how to use the reducibility technique to prove that a problem is undecidable





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- Now, we formalize the notion of reducibility which will be used later on in the context of complexity theory
- We choose to define reducibility according to the definition of mapping reducibility (a.k.a. many-to-one reducibility)





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mapping reducibility means that there exists a total computable
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- Roughly speaking, being able to reduce problem A to problem B using mapping reducibility means that there exists a total computable function converting instances of problem A to instances of problem B
- If we have such a mapping function called reduction we can solve probelm A using a solver for problem B
- The reason is that any instance of A can be solved by:
 - Using the reduction to convert it to an instance of B;
 - 2 Applying the solver for B





Computable Functions (Again)

A TM computes a function by starting with the input (to that function) on its tape and halting with the output (of that function) on its tape





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Definition

A function $f: \Sigma^* \mapsto \Sigma^*$ is a **total computable function** if some Turing machine M, on **every** input x, **halts and outputs** f(x)





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- All usual arithmetic operations on integers are total computable functions. For example, add(m, n) = m + n
- Transformations of TM encodings are total computable functions. For example, the function f that takes as input $x = \langle M \rangle$ and outputs $f(x) = \langle M' \rangle$

Note

We can always build a TM M' starting from (the encoding of) another TM M in a finite and definite amount of steps. This is because every TM is fully specified by its **transition function**, which is finite!





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- If the form of the input is wrong (e.g., if the TM is expecting $\langle M, x \rangle$ but gets something else), then it clears the tape and halts (i.e., outputs ϵ)





Definition

A problem (language) A is **mapping reducibile** to problem (language) B, i.e., $A \leq_m B$, if there exists a **total computable function** $f : \Sigma^* \mapsto \Sigma^*$, where **for every** $x \in A$ it holds:

$$x \in A \iff f(x) \in B$$

The function f is called a **reduction** of A to B





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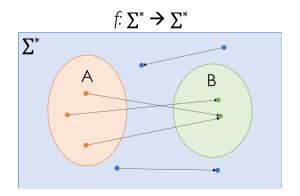
$$x \in A \iff f(x) \in B$$

The function f is called a **reduction** of A to B

Note

To prove that f is a mapping reduction we need to show that:

- $x \in A \Longrightarrow f(x) = x' \in B$: f maps elements of A to elements of B (f must be injective but not necessarily surjective)
- $x \notin A \Longrightarrow f(x) = x' \notin B$: f maps element of \overline{A} to elements of \overline{B}





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- To test if $x \in A$, we use the reduction f to map x to f(x) and test whether $f(x) \in B$





- A mapping reduction of A to B provides a way to translate questions about membership testing in A to membership testing in B
- To test if $x \in A$, we use the reduction f to map x to f(x) and test whether $f(x) \in B$
- Of course, if one problem is mapping-reducible to another, previously solved, problem, we can therefore obtain a solution to the original problem





Mapping Reducibility: Consequences

Theorem

If $A \leq_m B$ and B is **decidable**, then A is also **decidable**





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Proof.

We let M_B be the decider for B and f the reduction from A to B. We therefore describe a decider M_A for A as follows:

 M_A = "On input x:

- **①** Compute f(x);
- **2** Run M_B on f(x) and if M_B accepts then **accepts**; otherwise **rejects**."

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 M_A = "On input x:

- **1** Compute f(x);
- **2** Run M_B on f(x) and if M_B accepts then **accepts**; otherwise **rejects**."

If $x \in A$ then $f(x) \in B$ (because f is a reduction from A to B), M_B and thus M_A accept.

If $x \notin A$ then $f(x) \notin B$, M_B and thus M_A reject.

 M_A is a decider for A

Corollary

If $A \le_m B$ and A is undecidable then B is also undecidable





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Proof.

We build a TM M_f that computes the mapping reduction f as follows: $M_f =$ "On input \langle an instance of problem $A \rangle$:

- Construct an instance of problem B;
- **2** Output \langle the instance of problem $B\rangle$ "





Corollary

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- ② Output (the instance of problem B)"

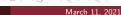
Note

Rather than accept or reject, the TM M_f corresponding to the mapping outputs the result of the reduction

Corollary

If $A \leq_m B$ and B is recognizable then A is also recognizable





Corollary

If $A \leq_m B$ and B is recognizable then A is also recognizable

Corollary

If $A \leq_m B$ and A is unrecognizable then B is also unrecognizable





Example

We have already used a reduction from A_{TM} to H_{TM} to prove the latter is **undecidable**. In particular, this reduction shows how a decider for H_{TM} could be used to build a decider also for A_{TM} , which we know is, in fact, undecidable.

We want to find a reduction f that takes as input $\langle M, x \rangle$ and transforms it into $\langle M', x \rangle$, such that:

$$\langle M, x \rangle \in A_{TM} \iff \langle M', x \rangle \in H_{TM}$$





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- **1** Construct the following **new** TM M':
 - M' = "On input z:
 - \bigcirc Call M on z;
 - ② If M(z) = 1 (i.e., if M accepts z), then M'(z) = 1 as well;
 - **3** If M(z) = 0 (i.e., if M rejects z), then M'(z) enters in a loop"
- **2** Finally, output $\langle M', x \rangle$ "

Note

If $M(z) = \perp M'(z) = \perp$ as well, and there is no need of doing anything!





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Note

Building the TM M' can be done in a finite number of steps (i.e., does not loop) so M_f can't loop either. M' itself may not halt, in fact it will not on some inputs, but the point is we can build its (finite) representation using a total computable function f implemented by M_f .

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Note

If $\langle M,x\rangle\in A_{TM}$, then M accepts x so does M' and thus halts on x, i.e., $\langle M',x\rangle\in H_{TM}$

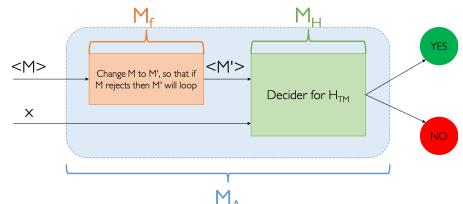
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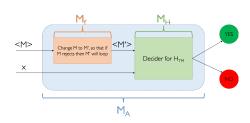
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If $\langle M, x \rangle \in A_{TM}$, then M accepts x so does M' and thus halts on x, i.e., $\langle M', x \rangle \in H_{TM}$

If $\langle M, x \rangle \notin A_{TM}$, then M rejects or loops on x and in either case M' loops on x, i.e., $\langle M', x \rangle \notin H_{TM}$



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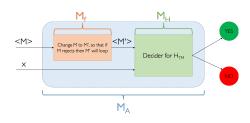


Upon receiving its input $\langle M, x \rangle$, the TM M_A works as follows:

1 It computes the reduction f by running the TM M_f , which transforms $\langle M, x \rangle$ into $f(\langle M, x \rangle) = \langle M', x \rangle$, where M' loops whenever M rejects;





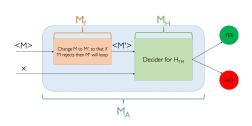


Upon receiving its input $\langle M, x \rangle$, the TM M_{Δ} works as follows:

- 1 It computes the reduction f by running the TM M_f , which transforms $\langle M, x \rangle$ into $f(\langle M, x \rangle) = \langle M', x \rangle$, where M' loops whenever M rejects;
- ② It runs M_H on $\langle M', x \rangle$;





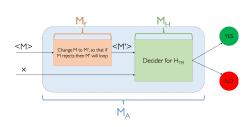


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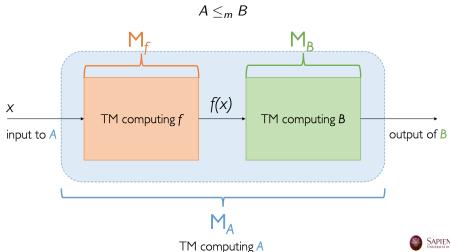




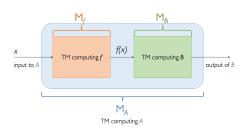
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- ② It runs M_H on $\langle M', x \rangle$;
- § If M_H outputs 1 (i.e., accepts), then M_A outputs 1;
- 4 If M_H outputs 0 (i.e., rejects), then M_A outputs 0; SAPIENZA

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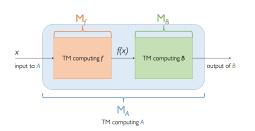


Upon receiving x, i.e., an input instance of problem A, the TM M_A works as follows:

1 It transforms $x \in A$ into $f(x) \in B$, using M_f ;





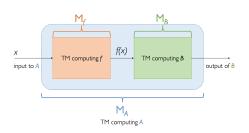


Upon receiving x, i.e., an input instance of problem A, the TM M_A works as follows:

- 1 It transforms $x \in A$ into $f(x) \in B$, using M_f ;
- 2 It runs M_B (solver of problem B) on f(x);







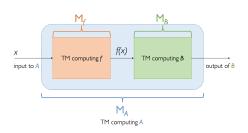
Upon receiving x, i.e., an input instance of problem A, the TM M_A works as follows:

- \blacksquare It transforms $x \in A$ into $f(x) \in B$, using M_f ;
- 2 It runs M_B (solver of problem B) on f(x):
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March 11, 2021



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