

# Teoria degli Algoritmi

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# Lecture 4: Reducibility

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## 1 Introduction

## ② The (Actual) Halting Problem

### ③ Mapping Reducibility

# Reducibility

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# Reducibility

- So far, we have established the TM as our reference model of computation
- We discuss what it means for a problem/function to be computed (either totally or partially)
- We also presented a typical problem – the halting problem - that is computationally undecidable
- In the following, we will show how to prove that a problem is computationally undecidable by means of a specific technique called **reduction**



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  - You know that having a map of the city would solve your problem...

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- Reduction is a pretty common practice in our every-day lives
- For example: Suppose that you want to find a way around a new city
  - You know that having a map of the city would solve your problem...
  - Therefore, your original problem reduces to finding a map of the city!

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  - $B$  is the problem of finding a map

## Note

Reducibility does **not** say anything about solving  $A$  or  $B$ , but just about the solvability of  $A$  in the presence of a solution to  $B$

# Reducibility

Reducibility occurs often in mathematical problems:

## Examples

The problem of measuring the area of a rectangle ( $A$ ) reduces to the problem of finding the size of its length and width ( $B$ ).

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The problem of measuring the area of a rectangle ( $A$ ) reduces to the problem of finding the size of its length and width ( $B$ ).

The problem of solving a system of linear equations ( $A$ ) reduces to inverting the matrix of coefficients ( $B$ ).



# Reducibility

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## Corollary

- If  $A \leq B$  and  $B$  is **decidable** then  $A$  is also **decidable**

# Reducibility

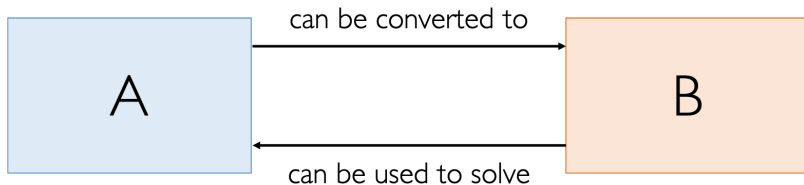
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- If  $A \leq B$  and  $B$  is **decidable** then  $A$  is also **decidable**
- If  $A \leq B$  and  $A$  is **undecidable** then  $B$  is also **undecidable**



# Reducibility



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# The (Actual) Halting Problem

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- We call this “the halting problem”: the problem of determining if a TM halts **and** accepts a given input
- In fact, the *actual* halting problem is subtly different from that!
- We refer to  $HALT$  as the problem of determining if a TM halts (either accepting or rejecting) a given input

$$HALT(\langle M, x \rangle) = \begin{cases} 1 & \text{if } M(x) = 1 \vee M(x) = 0 \\ 0 & M(x) = \perp \end{cases}$$



# The (Actual) Halting Problem

## Theorem

The function *HALT* is **not** total and computable. Analogously, the language it defines  $H_{TM} = \{\langle M, x \rangle \mid M \text{ is a TM, } M(x) = 1 \vee M(x) = 0\}$  is **undecidable**

### Note

$HALT$  is of course **partially** computable, i.e.,  $H_{TM}$  is **recognizable**.  
A recognizer for  $H_{TM}$  is a TM that works as follows: it just runs  $M(x)$  and if this ever halts (either accepting or rejecting) will output 1, otherwise it will loop forever



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- To prove the theorem above, we again look for a contradiction
- We assume that  $H_{TM}$  is decidable and we use that assumption to show that  $A_{TM}$  would be decidable as well
- The idea is to reduce a problem  $A$  which we know is undecidable ( $A_{TM}$ ) to another problem  $B$  which we assume to be decidable ( $H_{TM}$ ) and get to a contradiction

# The (Actual) Halting Problem is Undecidable: Proof

- Let's assume we have a **decider**  $M_1$  for  $H_{TM}$ , i.e., a TM that decides  $H_{TM}$

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- To get the idea of how to build  $M_2$ , pretend you are  $M_2$ : your task is to decide  $A_{TM}$
- $M_2$  takes an input in the form of  $\langle M, x \rangle$  and must output 1 if  $M$  halts and accepts  $x$ , or 0 if  $M$  either halts and rejects or loop forever on  $x$





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- Thus, if  $M_1$  exists, we can use it to decide  $A_{TM}$



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$H_{TM}$  is undecidable.

Let's assume for the purpose of obtaining a contradiction that a TM  $M_1$  exists and decides  $H_{TM}$ . We construct *another* TM  $M_2$  that decides  $A_{TM}$ , therefore getting to a contradiction.

$M_2$  = "On input  $\langle M, x \rangle$ , i.e., an encoding of a TM  $M$  and a string  $x$ :

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- 4 If  $M$  halts and accepts,  $M_2$  returns 1; otherwise ( $M$  halts and rejects),  $M_2$  returns 0."



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- Now, we formalize the notion of reducibility which will be used later on in the context of complexity theory
- We choose to define reducibility according to the definition of **mapping reducibility** (a.k.a. **many-to-one reducibility**)

# Mapping Reducibility

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- If we have such a mapping function – called **reduction** – we can solve problem  $A$  using a solver for problem  $B$
- The reason is that any instance of  $A$  can be solved by:
  - ① Using the reduction to convert it to an instance of  $B$ ;
  - ② Applying the solver for  $B$



# Computable Functions (Again)

A TM computes a function by starting with the input (to that function) on its tape and halting with the output (of that function) on its tape

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## Definition

A function  $f : \Sigma^* \mapsto \Sigma^*$  is a **total computable function** if some Turing machine  $M$ , on **every** input  $x$ , **halts and outputs**  $f(x)$

# Computable Functions: Examples

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## Note

We can always build a TM  $M'$  starting from (the encoding of) another TM  $M$  in a finite and definite amount of steps. This is because every TM is fully specified by its **transition function**, which is finite!

# Computable Functions: Examples

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- Anything that a TM can do without looping, including running deciders, is permissible
- If the form of the input is wrong (e.g., if the TM is expecting  $\langle M, x \rangle$  but gets something else), then it clears the tape and halts (i.e., outputs  $\epsilon$ )

# Mapping Reducibility: Formal Definition

## Definition

A problem (language)  $A$  is **mapping reducible** to problem (language)  $B$ , i.e.,  $A \leq_m B$ , if there exists a **total computable function**  $f : \Sigma^* \mapsto \Sigma^*$ , where **for every**  $x \in A$  it holds:

$$x \in A \iff f(x) \in B$$

The function  $f$  is called a **reduction** of  $A$  to  $B$



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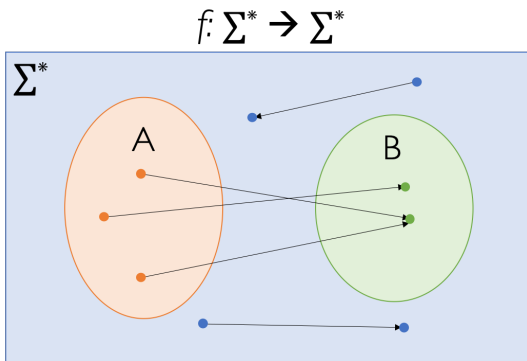
The function  $f$  is called a **reduction** of  $A$  to  $B$

## Note

To prove that  $f$  is a mapping reduction we need to show that:

- $x \in A \implies f(x) = x' \in B$ :  $f$  maps elements of  $A$  to elements of  $B$
- $x \notin A \implies f(x) = x' \notin B$ :  $f$  maps element of  $\bar{A}$  to elements of  $\bar{B}$

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- To test if  $x \in A$ , we use the reduction  $f$  to map  $x$  to  $f(x)$  and test whether  $f(x) \in B$
- Of course, if one problem is mapping-reducible to another, previously solved, problem, we can therefore obtain a solution to the original problem

## Mapping Reducibility: Consequences

## Theorem

If  $A \leq_m B$  and  $B$  is **decidable**, then  $A$  is also **decidable**

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## Proof.

We let  $M_B$  be the decider for  $B$  and  $f$  the reduction from  $A$  to  $B$ . We therefore describe a decider  $M_A$  for  $A$  as follows:

$M_A =$  "On input  $x$ :

- 1 Compute  $f(x)$ ;
- 2 Run  $M_B$  on  $f(x)$  and if  $M_B$  accepts then **accepts**; otherwise **rejects**."

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- 1 Compute  $f(x)$ ;
- 2 Run  $M_B$  on  $f(x)$  and if  $M_B$  accepts then **accepts**; otherwise **rejects**."

If  $x \in A$  then  $f(x) \in B$  (because  $f$  is a reduction from  $A$  to  $B$ ),  $M_B$  and thus  $M_A$  **accept**.

If  $x \notin A$  then  $f(x) \notin B$ ,  $M_B$  and thus  $M_A$  **reject**.

$M_A$  is a decider for  $A$





## Mapping Reducibility: Consequences

## Corollary

If  $A \leq_m B$  and  $A$  is **undecidable** then  $B$  is also **undecidable**

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We build a TM  $M_f$  that computes the mapping reduction  $f$  as follows:  
 $M_f =$  “On input  $\langle$ an instance of problem  $A$  $\rangle$ :

- 1 Construct an instance of problem  $B$ ;
- 2 Output  $\langle$ the instance of problem  $B$  $\rangle$ ”



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- ① Construct an instance of problem  $B$ ;
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## Note

Rather than accept or reject, the TM  $M_f$  corresponding to the mapping outputs the result of the reduction

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## Corollary

If  $A \leq_m B$  and  $A$  is **unrecognizable** then  $B$  is also **unrecognizable**

# Mapping Reducibility: $A_{TM} \leq_m H_{TM}$

## Example

We have already used a reduction from  $A_{TM}$  to  $H_{TM}$  to prove the latter is **undecidable**. In particular, this reduction shows how a decider for  $H_{TM}$  could be used to build a decider also for  $A_{TM}$ , which we know is, in fact, undecidable.

We want to find a reduction  $f$  that takes as input  $\langle M, x \rangle$  and transforms it into  $\langle M', x \rangle$ , such that:

$$\langle M, x \rangle \in A_{TM} \iff \langle M', x \rangle \in H_{TM}$$

# Mapping Reducibility: $A_{TM} \leq_m H_{TM}$

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 $M_f =$  “On input  $\langle M, x \rangle$ :

- ① Construct the following **new** TM  $M'$ :  
 $M' =$  “On input  $z$ :
  - ① Call  $M$  on  $z$ ;
  - ② If  $M(z) = 1$  (i.e., if  $M$  accepts  $z$ ), then  $M'(z) = 1$  as well;
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- ② Finally, output  $\langle M', x \rangle$ ”

## Note

If  $M(z) = \perp$   $M'(z) = \perp$  as well, and there is no need of doing anything!



## Mapping Reducibility: $A_{TM} \leq_m H_{TM}$

The mapping reduction  $f$  can be computed by the following TM  $M_f$   
 $M_f$  = “On input  $\langle M, x \rangle$ :

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### Note

Building the TM  $M'$  can be done in a finite number of steps (i.e., does not loop) so  $M_f$  can't loop either.  $M'$  itself may not halt, in fact it will not on some inputs, but the point is we can build its (finite) representation using a total computable function  $f$  implemented by  $M_f$ .

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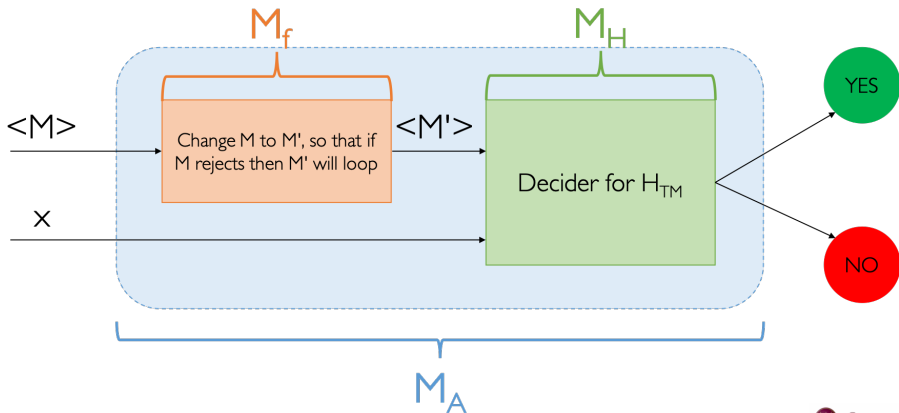
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If  $\langle M, x \rangle \notin A_{TM}$ , then  $M$  rejects or loops on  $x$  and in either case  $M'$  loops on  $x$ , i.e.,  $\langle M', x \rangle \notin H_{TM}$

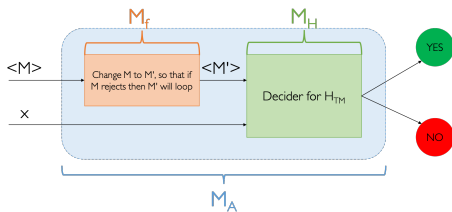
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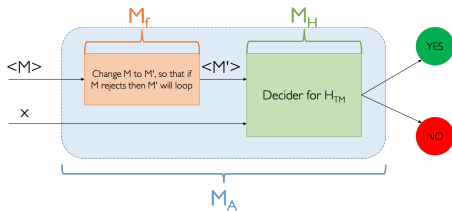




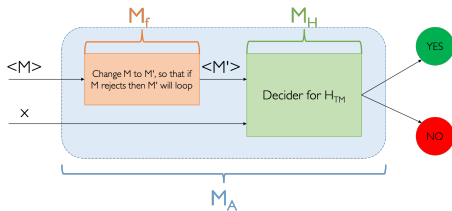
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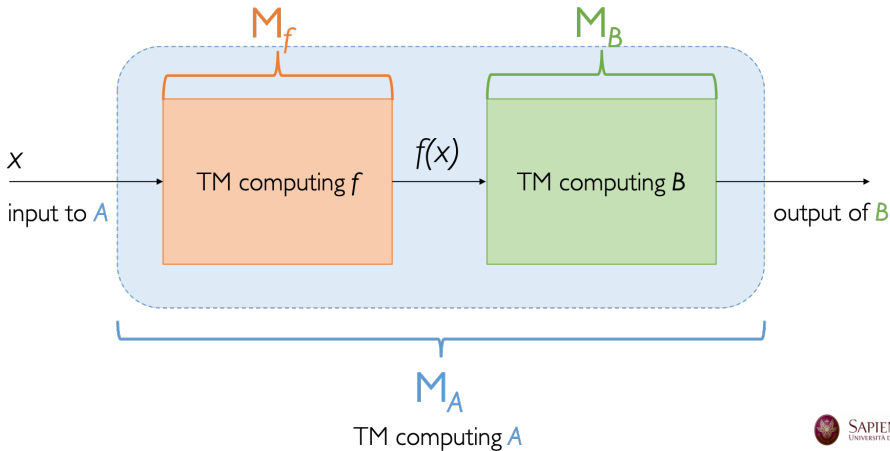
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# Mapping Reducibility: General Pattern

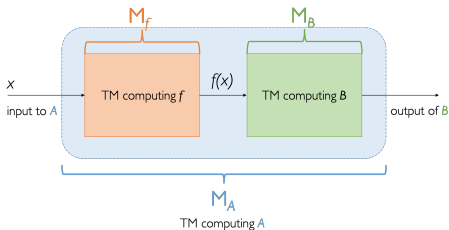
$$A \leq_m B$$



# Mapping Reducibility: General Pattern

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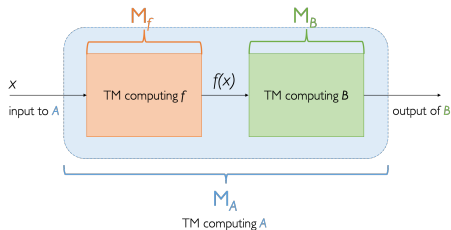
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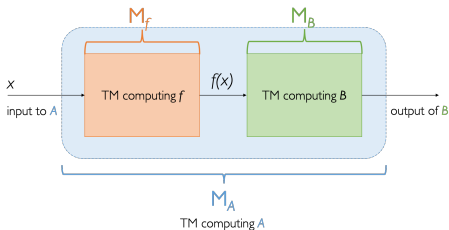
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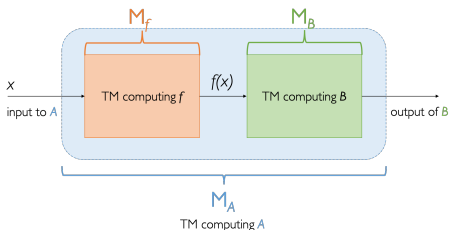
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