

**Theorem 1** (Upwards Lowenheim-Skolem). For an infinite  $L$ -structure  $\underline{A}$  and  $\kappa \geq |A| + |L|$  there is an  $L$ -structure  $\underline{B}$  such that  $\underline{A} \preceq \underline{B}$ .

*Proof.* For  $|M| = \kappa$ , expand  $L$  with constants,  $L_{A,M}$ .  $\Sigma = \text{CDiag}(\underline{A}) \cup \{c_i \neq c_j \in M\}$  is satisfiable.  $\square$

**Theorem 2** (Downwards Lowenheim-Skolem). For  $\underline{B}$  an  $L$ -structure,  $S \subseteq B$ , there exists  $\underline{A}$  such that  $S \subseteq A$ ,  $|A| \leq \max(|S|, |L|)$  and  $\underline{A} \preceq \underline{B}$ .

**Definition 3.** For a theory  $T$ , and variables  $\mathbf{x}$ , a *partial type*  $P$  is a set of formulas where  $T \cup P$  is consistent.

**Example 4.** For  $T = \text{Th}(\langle \mathbb{Z}, +, -, 0, 1 \rangle)$ ,  $P(x) = \{\exists y(y + y \dots + y = x)\} \cup \{x \neq 0\}$ , is a partial type. This can be proven by compactness, first add constants.

**Definition 5.** For a theory  $T$ , a type  $P$  is principal if for some  $\theta(\mathbf{x})$ ,  $T \cup \theta(\mathbf{x}) \models P$  and  $T \cup \theta$  is consistent.

**Theorem 6** (Omitting types). Let  $T$  complete and  $L$  countable. Let  $P$  a countable set of non-principal types. Then, there is a countable model of  $T$  omitting every type in  $P$ .

*Proof.* To construct the model, expand the language with countable constants, enumerate the sentences, formulas and closed tuples. At  $T_{3n+1}$  add  $\sigma_n$  or  $\neg\sigma_n$  depending on consistency, add  $\neg\exists y\varphi_n(y)$  or  $\varphi_n(c_k)$  add  $\psi(\mathbf{x}) \in P$  such that  $T_{3n+2} \cup \neg\psi(\mathbf{t}_n)$  is consistent. Such a  $\psi$  exists because adding constant doesn't un-principal a type and for  $\theta = \sigma_n \wedge (x = c)$ ,  $T \cup \theta(\mathbf{x}) \not\models \varphi(\mathbf{x})$ , so  $T \cup \{\sigma, \neg\varphi(c)\}$ .  $\square$

**Theorem 7.** If  $A \leq B$  then for every *quantifier free*  $\varphi(x_1, \dots, x_n)$ ,  $\varphi^B \cap A^k = \varphi^A$ . If  $A \preceq B$  then this is true for all formulas  $\varphi$ .

**Proposition 8** (Tarski-Vaught criterion). If  $\underline{A} \leq \underline{B}$  and for  $\varphi(\mathbf{x}, y)$  and  $\mathbf{a} \in A^n$ ,  $\underline{B} \models \varphi(\mathbf{a}, d)$  for  $d \in B$  then  $\underline{B} \models \varphi(\mathbf{a}, c)$  for  $c \in A$ , then  $\underline{A} \preceq \underline{B}$ .

**Definition 9.** For  $\underline{A}$  let  $L_A = L \cup \{c_a \mid a \in A\}$ .  $\underline{A}_A$  is an  $L_A$ -structure. The *diagram*  $\text{Diag}(\underline{A})$  is all q.f.  $L_A$  sentences true in  $\underline{A}_A$ .

**Theorem 10.** There is a 1-1 correspondence between models of  $\text{Diag}(\underline{A}) \cup T$  and pairs  $(\underline{B}, \underline{A})$  where  $\underline{B} \models T$  and  $\underline{A} \leq \underline{B}$ .

*Proof.* Let  $\underline{C} \models \text{Diag}(\underline{A}) \cup T$ ,  $\underline{B} = \underline{C}|_L$ , so  $\underline{B} \models T$ , build  $f: A \rightarrow B$ ,  $a \mapsto c_a^C$ . Then  $f$  is an embedding as for q.f.  $\varphi$ ,  $\underline{A} \models \varphi(\mathbf{a})$ ,  $\varphi(\mathbf{c}_a) \in \text{Diag}(\underline{A}) \Rightarrow \underline{C} \models \varphi(\mathbf{c}_a) \Rightarrow \underline{C} \models \varphi(\mathbf{c}_a^C) \Rightarrow \underline{C} \models \varphi(f(\mathbf{a})) \Rightarrow \underline{B} \models \varphi(f(\mathbf{a}))$ . If  $\underline{A} \not\models \varphi(\mathbf{a}) \Rightarrow \underline{A} \models \neg\varphi(\mathbf{a}) \Rightarrow \underline{B} \models \neg\varphi(\mathbf{a}) \Rightarrow \underline{B} \not\models \varphi(\mathbf{a})$ .  $\square$

**Theorem 11.** For a theory  $T$ ,  $\underline{A} \models T_\forall$  if and only if there exists  $\underline{B} \models T$  with  $\underline{A} \leq \underline{B}$ .

*Proof.*  $(\Rightarrow)$  There is  $\underline{A} \leq \underline{B}$  iff  $\underline{B} \models \text{Diag}(\underline{A}) \cup T$ . iff finitely satisfiable iff  $T + \varphi$  for  $\varphi \in \text{Diag}(\underline{A})$  is satisfiable iff  $T \not\models \neg\varphi(c_1, c_2, \dots, c_n)$  iff  $T \not\models \forall \mathbf{x} \neg\varphi(\mathbf{x})$ . But  $\underline{A} \models \exists \mathbf{x} \varphi(\mathbf{x})$  so  $\forall \mathbf{x} \neg\varphi(\mathbf{x}) \notin T_\forall$ .  $\square$

**Corollary 12.** The theory of fields is not universal as,  $\underline{Z} \leq \underline{Q}$  but  $\underline{Q}$  is a field and  $\underline{Z}$  is not.

**Theorem 13.** Sentence  $\sigma$  is universal if and only if for all  $\underline{B} \models \sigma$  and  $\underline{A} \leq \underline{B}$ ,  $\underline{A} \models \sigma$ .

**Example 14.** For  $F$  the theory of fields,  $F_\forall$  is the theory of integral domains. That is because every integral domain can be embedded in a field.

**Theorem 15.** For a chain  $\underline{A}_1 \leq \underline{A}_2 \leq \dots$ , let  $\underline{A}^*$  be the limit of the chain. Then every AE sentence  $\sigma$  which holds for all  $\underline{A}_i$ , holds for  $\underline{A}^*$ .

**Definition 16.** Theory  $T$  admits quantifier elimination if for any formula  $\theta(\mathbf{x})$ , there exists a quantifier free formula  $\tilde{\theta}(\mathbf{x})$  such that:  $T \models \forall \mathbf{x}(\theta \leftrightarrow \tilde{\theta})$

**Theorem 17.** If  $L$  has no constant or function symbols and  $T$  admits Q.E. then  $T$  is complete.

**Example 18.**  $\text{Th}(\langle \mathbb{Q}, < \rangle)$  admits QE and so is complete. ACF admits QE. But, the only thing ACF does not decide is the field characteristic. Hence,  $\text{ACF}_p$  for  $p$  prime or zero is complete.  $\text{Th}(\langle \mathbb{R}, +, -, \times, 0, 1 \rangle)$  does not admit Q.E. Atomic sentences with one variable define only, finite and cofinite sets. But  $\varphi(x) = \exists y(y^2 = x)$  defines the positive numbers.  $\text{Th}(\langle \mathbb{R}, +, -, \times, 0, 1, < \rangle)$  admits Q.E. by Tarski. It is complete because the order is complete and so determines equality.

**Remark.** If  $T$  admits Q.E. and  $\underline{A}_1, \underline{A}_2 \models T$  and  $\underline{A}_1 \leq \underline{A}_2$  then  $\underline{A}_1 \preceq \underline{A}_2$ .

**Theorem 19.** If it exists, there is only one way to extend a universal theory to a Q.E. theory. Prove by taking  $\underline{A}_1 \models S$  and  $\underline{A}_1 \leq \underline{B}_1 \models T$  and build chains. The limits are equal and  $\underline{A}_1 \preceq \underline{A}_2 \preceq \underline{C}$ .

**Theorem 20** (Equivalence).  $T$  has Q.E.

Any partial isomorphism between models of  $T$  is elementary. It is enough to consider isomorphisms on finitely generated subsets.

For any  $\mathcal{M} \models T$  and any  $\mathbf{a} \in \mathcal{M}^n$ ,  $T \cup \text{diag}(\mathbf{a})$  is complete.

*Proof.* (1)  $\Rightarrow$  (2),  $\mathcal{M} \models \varphi(\mathbf{a}) \Rightarrow \mathcal{M} \models \tilde{\varphi}(\mathbf{a}) \Rightarrow \mathcal{N} \models \tilde{\varphi}(f(\mathbf{a}))$ .

(2)  $\Rightarrow$  (1), pick  $\mathcal{M} \models T \cup \text{Diag}(A) \cup \{\varphi(\mathbf{c})\}$  and  $\mathcal{N} \models T \cup \text{Diag}(A) \cup \{\neg\varphi(\mathbf{c})\}$  then  $\tau(\mathbf{c})^{\mathcal{M}} \mapsto \tau(\mathbf{c}^{\mathcal{N}})$  is a non-elementary partial isomorphism.

(3)  $\Rightarrow$  (1) For  $\varphi$  take  $\text{qfco}(\varphi)$  all q.f. s.t.  $\varphi \iff \theta$ . Want to show:  $T \cup \text{qfco}(\varphi) \models \varphi$ . Now, if  $T \cup \text{Diag}(A) \models \neg\varphi(a)$ , then  $T \cup \{\psi\} \models \neg\varphi$  for  $\psi$  quantifier free.  $\square$

**Definition 21.** A theory  $T$  for a cardinal  $\kappa$  is  $\kappa$ -categorical if there exist models  $\underline{A}, \underline{B} \models T$  with  $|A| = |B| = \kappa$  and this implies  $A \cong B$ .

**Proposition 22** (Los-Vaught Test). If  $T$  has no finite models, and for  $\kappa \geq |L| + \aleph_0$ ,  $T$  is  $\kappa$ -categorical, then  $T$  is complete.

*Proof.* Take  $\mathcal{M} \models T$ ,  $|\mathcal{M}| = \kappa$ . Then, for any sentence  $\sigma$ ,  $\mathcal{M} \models \sigma$  or  $\mathcal{M} \models \neg\sigma$ , wlog let it be  $\sigma$ . Then,  $T \cup \{\neg\sigma\}$  has no model of cardinality  $\kappa$ , by the Lowenheim-Skolems  $T \cup \{\neg\sigma\}$  has no infinite models.  $\square$

**Example 23.** Theory of equality  $T_{=}$  is categorical for every cardinal. So  $T_{\infty}$  is complete.  $\text{Vect}_K$  is categorical for every  $\kappa > |K|$ , so  $\text{Vect}_K \cup T_{\infty}$  is complete. But,  $\text{Vect}_{\mathbb{Q}}$  is not  $\aleph_0$ -categorical. DLO is  $\aleph_0$ -categorical and has no finite models. Proof by back and forth lemma. It is not  $\aleph_1$ -categorical, take  $\mathbb{R} \sqcup \mathbb{Q} \not\cong \mathbb{R}$ .

**Definition 24** (Atomic Model).  $\underline{A}$  is an *atomic* model of a *complete* theory  $T$  if for any  $\mathbf{a} \in A^n$  there is  $\varphi(\mathbf{x})$  such that  $\underline{A} \models \varphi(\mathbf{a})$  and for any  $\psi(\mathbf{x})$ :  $T \models \forall x(\varphi \rightarrow \psi)$  or  $T \models \forall x(\varphi \rightarrow \neg\psi)$

**Definition 25.** A model  $\underline{A} \models T$  is *homogeneous* if for any  $\mathbf{a}, \mathbf{b} \in A^n$  that satisfy the same formulas, there is an automorphism  $\alpha: A \rightarrow A$  such that  $\alpha(a_i) = b_i$ .

**Definition 26.** A model  $A \models T$  is *prime* if for any model  $B \models T$ ,  $A$  embeds elementarily to  $B$ .

**Proposition 27.** Countable atomic models are isomorphic. In fact, every finite partial isomorphism can be extended to an isomorphism. They are also prime and homogeneous. For the one step lemma,  $(c_1, \dots, c_n, a)$ ,  $\varphi(\mathbf{c}, a)$  the type,  $\underline{A} \models \exists y\varphi(\mathbf{c}, y) \Rightarrow \underline{B} \models \exists y\varphi(f(\mathbf{c}))$ . Then check elementary.

**Definition 28** (Type). The  $n$ -type of an  $n$ -tuple  $\mathbf{a} \in A^n$  is the set of formulas satisfied by  $\mathbf{a}$ , denoted by  $\text{tp}_A(\mathbf{a})$ .  $\text{tp}_A(\mathbf{a})$  is a partial type for the  $\text{Th}(\underline{A})$ . It is complete as  $\varphi(\mathbf{x}) \in \text{tp}_A(\mathbf{a})$  or  $\neg\varphi(\mathbf{x}) \in \text{tp}_A(\mathbf{a})$ .

**Proposition 29.** For a complete theory  $T$  the atomic models realise the fewest types.

**Proposition 30.** For a countable language  $L$ , Prime  $\iff$  Countable and Atomic.

**Corollary 31.** The prime models of  $T$  are isomorphic, by uniqueness of countable & atomic.

**Proposition 32.** If for each  $n$  the set of  $n$ -types is countable, then  $T$  has a prime model.

**Definition 33.** Countable  $\mathcal{M} \models T$  is *universal*, if every countable model embeds elementarily into  $\mathcal{M}$ .

**Theorem 34** (Ryll-Nardzewski). Let  $T$  complete and  $L$ -countable. Then,  $T$  is  $\aleph_0$ -categorical  $\iff$  every countable model is prime  $\iff$  every countable model is atomic  $\iff$  every type is principal  $\iff$  there are only finitely many  $n$ -types  $\iff$   $n$ -formulas  $\varphi(\mathbf{x})$  up to  $T$  equivalence is finite  $\iff$

every countable model is universal  $\iff$  a countable model is prime and universal  $\iff$

every countable model is universal and homogeneous. Proof: (4)  $\Rightarrow$  (5): pick  $\varphi_p$ ,  $T \models \forall \varphi \vee \varphi_p$ . By compactness and negations, finite. (5)  $\Rightarrow$  (6),  $\varphi \mapsto^{\Phi} \{p \mid \varphi \in p\}$ , show  $\Phi(\varphi_1) = \Phi(\varphi_2)$  iff  $\varphi_1 \leftrightarrow \varphi_2$ .

(6)  $\Rightarrow$  (4) easy. Then, (1)  $\Rightarrow$  (9), (7)  $\Rightarrow$  (9), (7)  $\Rightarrow$  (4) and (8)  $\Rightarrow$  (2).  $\mathcal{M}$  prime & universal:  $\mathcal{N} \xrightarrow{g} \mathcal{M} \xrightarrow{h} \mathcal{N}'$  elementarily, so  $\mathcal{N}$  is prime.

**Definition 35.** A *saturated* model is a model that realises all  $n$ -types and is homogeneous. Equivalently: If  $\mathcal{M}$  is saturated, for all  $B \subseteq \mathcal{M}$  and  $|B| < |\mathcal{M}|$ ,  $\mathcal{M}_B$  realises all 1-types of  $\text{Th}(\mathcal{M}_B)$ .

**Proposition 36.** If  $\mathcal{M}$  is saturated and countable, it is universal and unique up to isomorphism.

**Definition 37.** A group  $G$  applied to a  $G$ -set is oligomorphic if there are finitely many orbits of  $G$ .

**Proposition 38.**  $T$  is  $\aleph_0$ -categorical if and only if for a countable  $\mathcal{M}$ ,  $\text{Aut}(\mathcal{M})$  is oligomorphic.