

# An Analysis of smart voting in liquid democracy

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# Chapter 1

## Preliminaries

### 1.1 Notation

An election consists of a finite set of voters, voting on a finite set of issues. For each issue there is a finite set of alternatives. A special alternative is the abstention represented by  $*$ . Formally:

**Definition 1.1.1** (Election). An *election* consists of a tuple  $\langle N, I, D \rangle$  where  $N = \{1, \dots, n\}$  is a finite non-empty set. The finite non-empty set  $I$  holds represents the issues of the election. For each  $i \in I$ ,  $D(i)$  is a finite non-empty set.

For single-issue elections we omit the reference to  $I$  and abbreviate  $D(i)$  to  $D$ .

The model we will consider allows each voter to submit a smart ballot. A smart ballot is a preference list of smart votes. Each smart vote is a function with domain the other voters. A special requirement is that the final preference in the preference list is a direct vote on an alternative in  $D$ . Formally:

**Definition 1.1.2** (Smart Ballots). A smart ballot of an agent  $a$  on issue  $i \in I$  is an ordering  $((S^1, F^1) > \dots > (S^k, F^k) > d)$  where  $k \geq 0$ . Each  $S^h$  for  $h \leq k$  is a subset of  $N$  and  $F^h: D(i)^{S^h} \rightarrow D(i)$  is a resolute aggregation function. We also have that  $d \in D(i)$ .

Further when relevant we will consider  $F^{k+1}$  to be the constant function with output  $d$ . Now, in most cases the sets  $S^h$  are implicit and we will drop any mention to them. That is supported by the fact that we will treat two functions  $F, G$  as identical if they are extensionally equal. This is formalised by the following definition:

**Definition 1.1.3** (Valid Smart Ballot). A valid smart ballot of an agent  $a$  is a smart ballot  $B_a$  such that for all  $1 \leq s < t \leq k+1$   $F^s$  is not equivalent to  $F^t$ . Additionally  $a \notin S_t$ .

## Chapter 2

# Results

## Chapter 3

# Proposals