# 1. Calculate the gradient of the following multivariate function

(1) 
$$u = xy + y^2 + 5$$

$$rac{\partial u}{\partial x} = y$$
  $rac{\partial u}{\partial y} = x + 2y$   $\nabla u = (y, x + 2y)$ 

(2)
$$u = ln\sqrt{x^2 + y^2 + z^2}$$

$$\begin{split} \frac{\partial u}{\partial x} &= \frac{1}{\sqrt{x^2 + y^2 + z^2}} \times \frac{1}{2} \times \frac{1}{\sqrt{x^2 + y^2 + z^2}} \times 2x = \frac{x}{x^2 + y^2 + z^2} \\ \frac{\partial u}{\partial y} &= \frac{1}{\sqrt{x^2 + y^2 + z^2}} \times \frac{1}{2} \times \frac{1}{\sqrt{x^2 + y^2 + z^2}} \times 2y = \frac{y}{x^2 + y^2 + z^2} \\ \frac{\partial u}{\partial z} &= \frac{1}{\sqrt{x^2 + y^2 + z^2}} \times \frac{1}{2} \times \frac{1}{\sqrt{x^2 + y^2 + z^2}} \times 2z = \frac{z}{x^2 + y^2 + z^2} \\ \nabla u &= (\frac{x}{x^2 + y^2 + z^2}, \frac{y}{x^2 + y^2 + z^2}, \frac{z}{x^2 + y^2 + z^2}) \\ \nabla u_{(1,2,-2)} &= (\frac{\partial u}{\partial x}, \frac{\partial u}{\partial y}, \frac{\partial u}{\partial z})_{(1,2,-2)} = (\frac{1}{9}, \frac{2}{9}, \frac{-2}{9}) \end{split}$$

# 2.

According to:

$$ext{Ent}(D) = -\sum_{k=1}^{|\mathcal{Y}|} p_k \log_2 p_k \ ext{Gain}(D,a) = ext{Ent}(D) - \sum_{v=1}^V rac{|D^v|}{|D|} ext{Ent}\left(D^v
ight)$$

Node 1:

$$Ent(D) = -\frac{3}{12}log_2(\frac{3}{12}) - \frac{9}{12}log_2(\frac{9}{12}) = 0.8113$$

a=Season,

$$\sum_{v=1}^{V} \frac{|D^{v}|}{|D|} \operatorname{Ent}(D^{v}) = \frac{2}{12} \left(-\frac{1}{2} log_{2}(\frac{1}{2}) - \frac{1}{2} log_{2}(\frac{1}{2})\right) + \frac{3}{12} \left(-\frac{2}{3} log_{2}(\frac{2}{3}) - \frac{1}{3} log_{2}(\frac{1}{3})\right)$$

$$+ \frac{2}{12} \left(-\frac{2}{2} log_{2}(\frac{2}{2})\right) + \frac{5}{12} \left(-\frac{5}{5} log_{2}(\frac{5}{5})\right)$$

$$= \frac{2}{12} \times 1 + \frac{3}{12} \times 0.9183 + \frac{2}{12} \times 0 + \frac{5}{12} \times 0$$

$$= 0.3962$$

a=After 8:00,

$$\sum_{v=1}^{V} \frac{|D^v|}{|D|} \operatorname{Ent}(D^v) = \frac{7}{12} \left( -\frac{1}{7} log_2(\frac{1}{7}) - \frac{6}{7} log_2(\frac{6}{7}) \right) + \frac{5}{12} \left( -\frac{2}{5} log_2(\frac{2}{5}) - \frac{3}{5} log_2(\frac{3}{5}) \right)$$

$$= \frac{7}{12} \times 0.5917 + \frac{5}{12} \times 0.9710$$

$$= 0.7497$$

a=Wind,

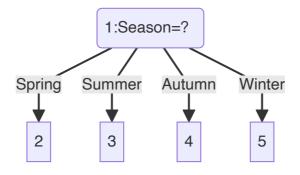
$$\sum_{v=1}^{V} \frac{|D^{v}|}{|D|} \operatorname{Ent}(D^{v}) = \frac{4}{12} \left( -\frac{1}{4} log_{2}(\frac{1}{4}) - \frac{3}{4} log_{2}(\frac{3}{4}) \right) + \frac{5}{12} \left( -\frac{5}{5} log_{2}(\frac{5}{5}) \right) + \frac{3}{12} \left( -\frac{2}{3} log_{2}(\frac{2}{3}) - \frac{1}{3} log_{2}(\frac{1}{3}) \right)$$

$$= \frac{4}{12} \times 0.8113 + \frac{5}{12} \times 0 + \frac{3}{12} \times 0.9183$$

$$= 0.5$$

So, Node split on feature **Season** with gain  $\mathrm{Ent}(D) - \sum_{v=1}^V rac{|D^v|}{|D|} \mathrm{Ent}\left(D^v\right) = 0.4150.$ 

To generate Node 2,3,4,5.



### Node 2:

$$Ent(D) = -rac{1}{2}log_2(rac{1}{2}) - rac{1}{2}log_2(rac{1}{2}) = 1$$

a=After 8:00,

$$\sum_{v=1}^{V} \frac{|D^v|}{|D|} \mathrm{Ent}\left(D^v\right) = \frac{1}{2}(-\frac{1}{1}log_2(\frac{1}{1})) + \frac{1}{2}(-\frac{1}{1}log_2(\frac{1}{1})) = 0$$

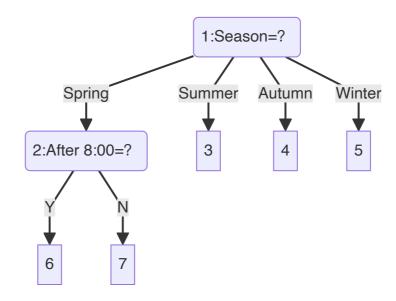
a=Wind

$$\sum_{v=1}^{V}rac{|D^v|}{|D|}\mathrm{Ent}\left(D^v
ight)=rac{1}{2}(-rac{1}{1}log_2(rac{1}{1}))+rac{1}{2}(-rac{1}{1}log_2(rac{1}{1}))=0$$

We can choose both of them.

So, Node split on feature **After 8:00** with gain 1.0.

To generate **Node 6,7**.



# Node 6:

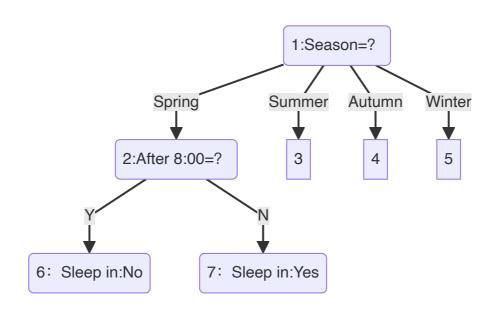
All target\_values have the same value.

So this Node is Sleep in: No

# Node 7:

All target\_values have the same value.

So this Node is Sleep in: Yes



### Node 3:

$$Ent(D) = -\frac{2}{3}log_2(\frac{2}{3}) - \frac{1}{3}log_2(\frac{1}{3}) = 0.9183$$

a=After 8:00,

$$\sum_{v=1}^{V} \frac{|D^v|}{|D|} \mathrm{Ent}\left(D^v\right) = \frac{2}{3} \left(-\frac{1}{2} log_2(\frac{1}{2}) - \frac{1}{2} log_2(\frac{1}{2})\right) + \frac{1}{3} \left(-\frac{1}{1} log_2(\frac{1}{1})\right) = \frac{2}{3} \times 1 + \frac{1}{3} \times 0 = 0.6667$$

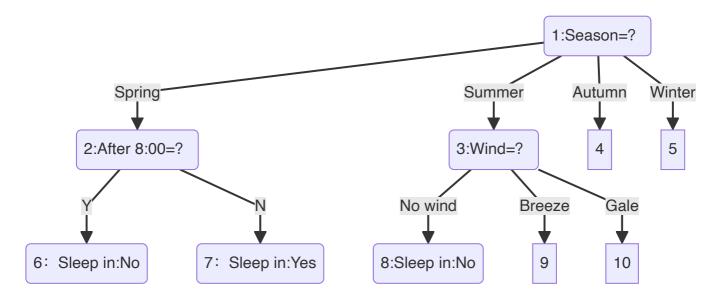
a=Wind,

$$\sum_{v=1}^{V} rac{|D^v|}{|D|} \mathrm{Ent}\left(D^v
ight) = rac{1}{3}(-rac{1}{1}log_2(rac{1}{1})) + rac{2}{3}(-rac{2}{2}log_2(rac{2}{2})) = 0$$

So, Node split on feature Wind with gain 0.9183.

Because  $D_{No\ wind}$  is empty, Node 8 is marked as the class in D with largest proportion: yes.

To generate Node 9,10.



# Node 9:

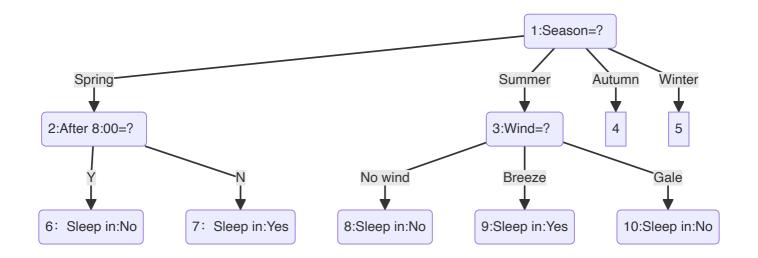
All target\_values have the same value.

So this Node is Sleep in: Yes

## Node 10:

All target\_values have the same value.

So this Node is Sleep in: No



# Node 4:

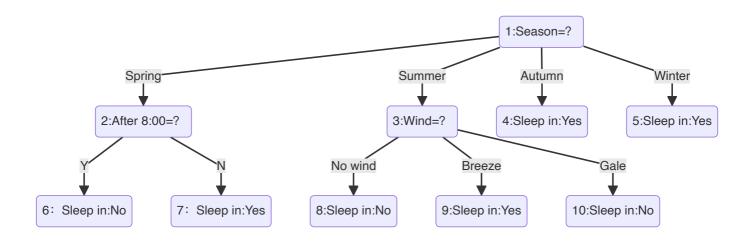
All target\_values have the same value.

So this Node is Sleep in: Yes

### Node 5:

All target\_values have the same value.

So this Node is Sleep in: Yes



# 3.

The Prior probability:

$$P(y = -1) = \frac{5}{15}$$
$$P(y = 1) = \frac{10}{15}$$

The condition probability:

$$P(x_1 = 2|y = -1) = \frac{\frac{1}{15}}{\frac{5}{15}} = \frac{1}{5}$$

$$P(x_1 = 2|y = 1) = \frac{\frac{4}{15}}{\frac{10}{15}} = \frac{4}{10}$$

$$P(x_2 = S|y = -1) = \frac{\frac{3}{15}}{\frac{5}{15}} = \frac{3}{5}$$

$$P(x_2 = S|y = 1) = \frac{\frac{1}{15}}{\frac{10}{15}} = \frac{1}{10}$$

And we have:

$$P(y=-1|x_1=2,x_2=S)=P(y=-1)\times P(x_1=2|y=-1)\times P(x_2=S|y=-1)=\frac{5}{15}\frac{1}{5}\frac{3}{5}=\frac{1}{25}$$
 
$$P(y=1|x_1=2,x_2=S)=P(y=1)\times P(x_1=2|y=1)\times P(x_2=S|y=1)=\frac{10}{15}\frac{4}{10}\frac{1}{10}=\frac{2}{75}$$
 Because 
$$P(y=-1|x_1=2,x_2=S)>P(y=1|x_1=2,x_2=S), \text{So predict } y=-1$$