

# Lecture 6

## Linked Lists vs. Arrays

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# Lecture Goals

- Describe the difference between an **Abstract Data Type** (ADT) and a Data Structure
- Describe and draw the structure of a **LinkedList**
- Create **Generic** classes in Java
- Use thrown **Exceptions** to indicate errors
- Create a doubly linked list with **sentinel nodes** in Java
- Write **tests** for a LinkedList
- Describe **advantages** of a LinkedList over an ArrayList
- Compare advantages in **testing methodologies**

# Key CS Idea: Abstraction

Hiding irrelevant details to focus on the essential features needed to understand and use a thing

Abstraction example:  
car brakes

driver



Allows us to drive our cars  
without being a mechanic

Behavior specified

How do they work?



mechanic

Implementation specified

Abstraction Barrier  
sets the rules of interaction

Data Abstraction:

```
<<interface>>
List
add(Object)
size()
etc.
```

User of libraries

Abstract Data Type (ADT)  
No implementation

1. language independent  
2. interfaces or abstract  
classes in Java

ArrayList

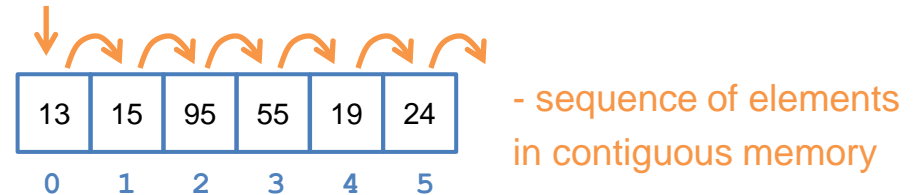
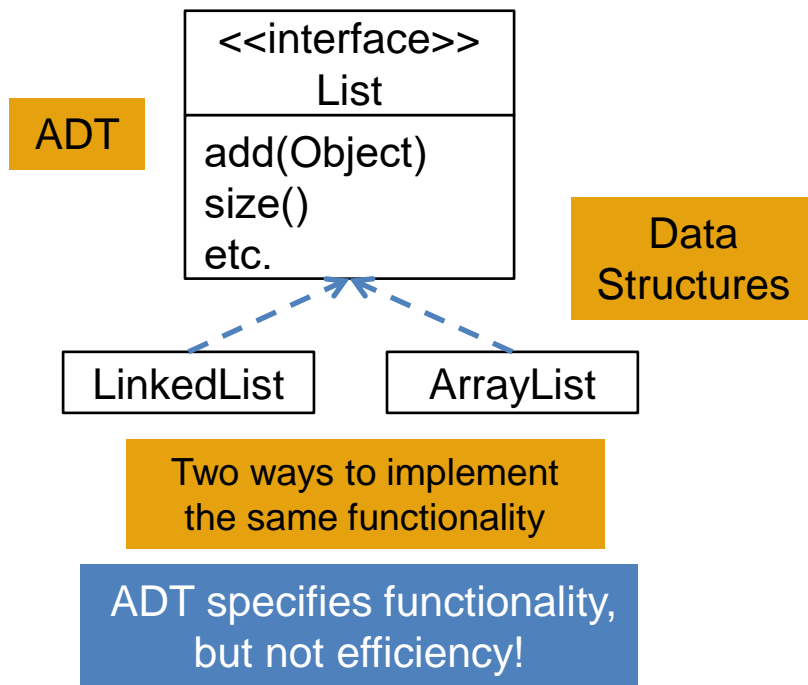
LinkedList

Data Structure  
Specific implementation

Library developer

1. fulfill an ADT contract  
2. affect the performance

# Linked Lists vs. Arrays



An ArrayList implements the List interface using an array

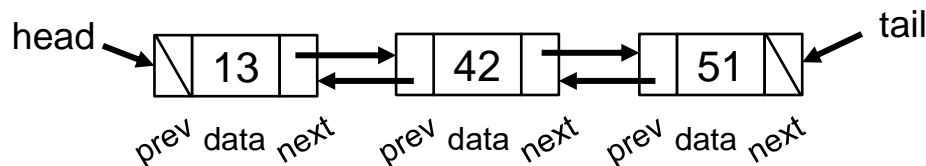
- can access elements in constant time

How long does it take to add an element to the front of an ArrayList?

$O(n)$

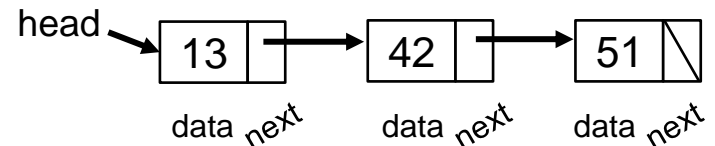
- move all elements if array is not full
- copy elements to new array if array is full
- not efficient for add operation

- Doubly Linked List, in pictures - noncontiguous in memory



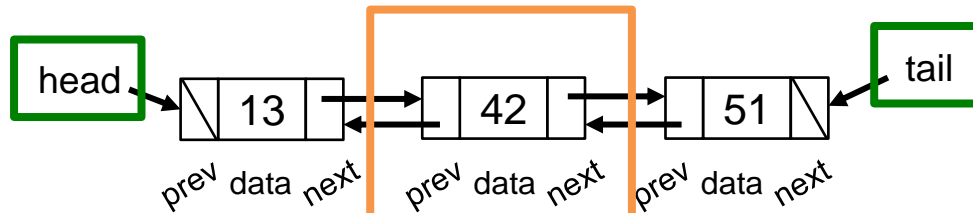
A LinkedList implements the List interface using a LinkedList data structure

- Singly Linked List, in pictures



- more efficient for inserting elements
- Some functionality is easier to implement with the doubly linked list, **let's implement it in java**

# Two Classes in a LinkedList

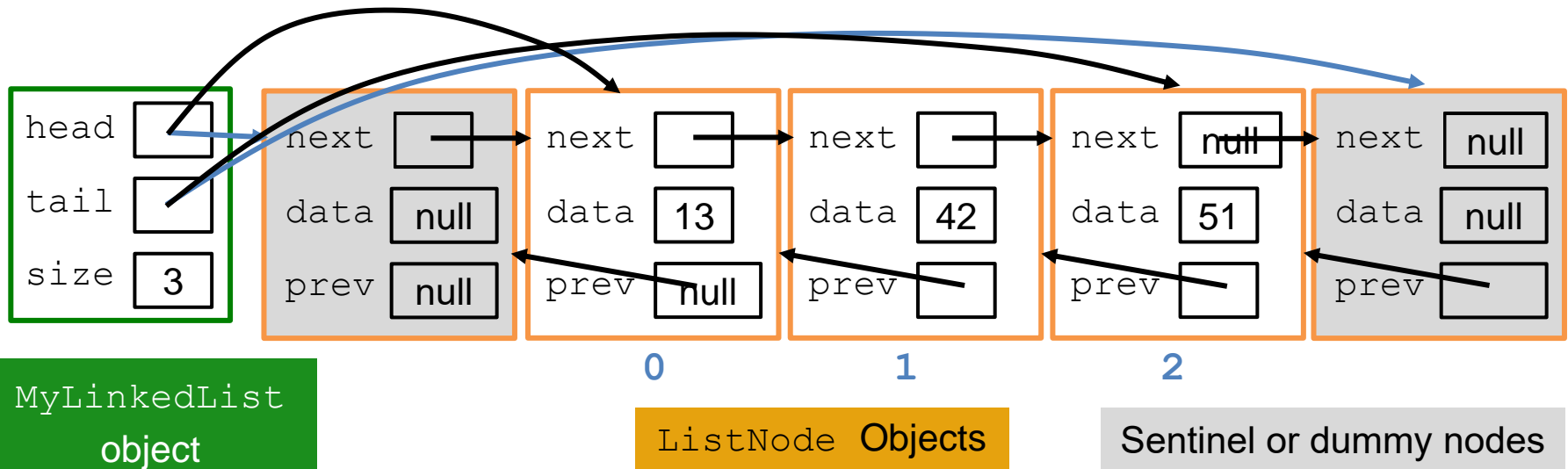


MyLinkedList

ListNode

What will be the type of the fields prev and next in the ListNode class?

These fields store references to other list elements, each of which is an object of type ListNode.



- They make implementation of the LinkedList functionality, slightly easier

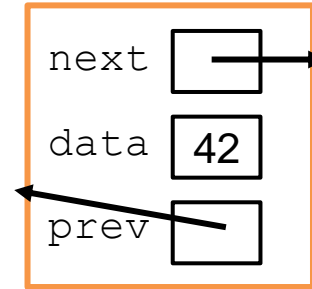
How long does it take to access an element in a LinkedList implementation (in the worst case)?

$O(n)$

In the worst case, we are accessing an element in the middle of the list and so we need to follow  $O(n/2)$  references from the head (or the tail).

# Use Type Parameter to Create Generic Classes

```
public class ListNode<E> {
    ListNode<E> next;
    prev;
    E data;
}
```



The ListNode class is the backbone of the linked list structure

What is E?

Type parameter. Our ListNode is "generic"

Meaning that type can be passed in when we create one of these ListNodes and it allows us to make our list structure be generic

```
public class RememberLast<T> {
    private T lastElement;
    private int numElements;
    public RememberLast () {
        numElements = 0;
        lastElement = null;
    }
    public T add(T element) {
        T prevLast = lastElement;
        lastElement = element;
        numElements++;
        return prevLast;
    }
}
```

// Somewhere else...

```
RememberLast<Integer> rInt =
    new RememberLast<Integer>();
RememberLast<String> rStr =
    new RememberLast<String>();
rInt.add(3);
rStr.add("Happy");
```

Java autoboxes  
ints into objects

We can't instantiate a generic class with primitive types. It has to be an object type though.

## Example: Parameterized types

- Integer is class, int is a primitive type

byte has Byte  
short has Short  
int has Integer  
long has Long  
boolean has Boolean  
char has Character  
float has Float  
double has Double

# Handle Bad Inputs with Exceptions

```
public class RememberLast<T> {
    // Code omitted here
    public T add(T element) {
        if(element == null) {
            <<WHAT GOES HERE?>>
        }
        T prevLast = lastElement;
        lastElement = element;
        numElements++;
        return prevLast;
    }
}
```

- A. Return -1 to flag the bad input.
- B. Return null to flag the bad input.
- C. Cause an error that stops normal program execution.

- A. Doesn't work. Must return a T
- B. Not enough for fatal error
- C. ✓

Throw exceptions to indicate fatal problems

Not required since NPE is unchecked, but OK

```
public class RememberLast<T> {
    public T add(T element) throws NullPointerException {
        if(element == null) {
            throw new NullPointerException("Handled
            by compiler: the element is empty");
        }
        T prevLast = lastElement;
        lastElement = element;
        numElements++;
        return prevLast;
    }
    public static void main(String args[]){
        RememberLast<Integer> rInt = new
        RememberLast<Integer>();
        rInt.add(null);
    }
}
```

Handled by compiler

```
Exception in thread "main" java.lang.NullPointerException:
Handled by compiler: the element is empty
    at RememberLast.add(RememberLast.java:11)
    at RememberLast.main(RememberLast.java:23)
```

```
public class RememberLast<T> {
    public T add(T element) throws NullPointerException {
        try {
            if(element == null) {
                throw new NullPointerException("Handled
                by compiler: the element is empty");
            }
        }
        catch(NullPointerException e) {
            System.out.println("Handled by program: cannot
            store null pointers");
        }
        T prevLast = lastElement;
        lastElement = element;
        numElements++;
        return prevLast;
    }
    public static void main(String args[]){
        RememberLast<Integer> rInt = new RememberLast<Integer>();
        rInt.add(null);
    }
}
```

Handled by programmer

Handled by program: cannot store null pointers

# Java Code for a Linked List

Default value is null

```
class ListNode<E> {
    ListNode<E> next;
    ListNode<E> prev;
    E data;
    public ListNode(E theData) {
        this.data = theData;
    }
}
```

Recursive data type!

using references of the class itself inside the class we're defining.

- Why can we use a class when we're not even done defining?

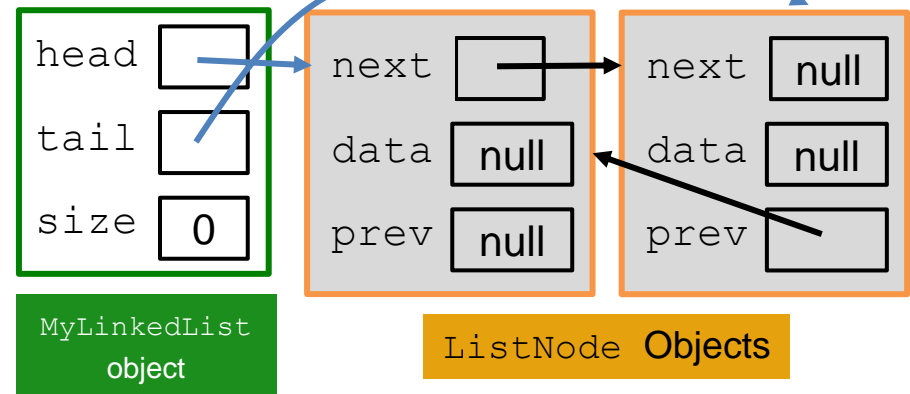
Because by the time Java actually creates any of these ListNode objects, the class definition will be finished.

No type parameter in the constructor header

```
public class MyLinkedList<E>
{
    private ListNode<E> head;
    private ListNode<E> tail;
    private int size;
    public MyLinkedList() {
        size = 0;
        head = new ListNode<E>(null);
        tail = new ListNode<E>(null);
        head.next = tail;
        tail.prev = head;
    }
}
```

Does this constructor correctly create the diagram as shown below

Need to link the two sentinel nodes to each other



Now we've correctly setup an empty linked list!  
Let's implement size, get, set, add, remove

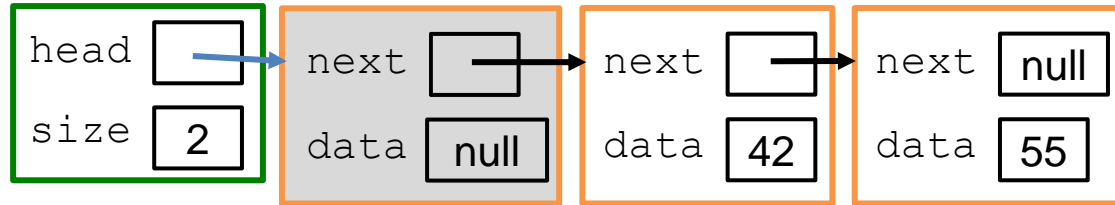
This list is empty. It has zero data nodes, but two sentinel nodes



# Practices with Singly Linked List

SLinkedList object

SLLNode Objects

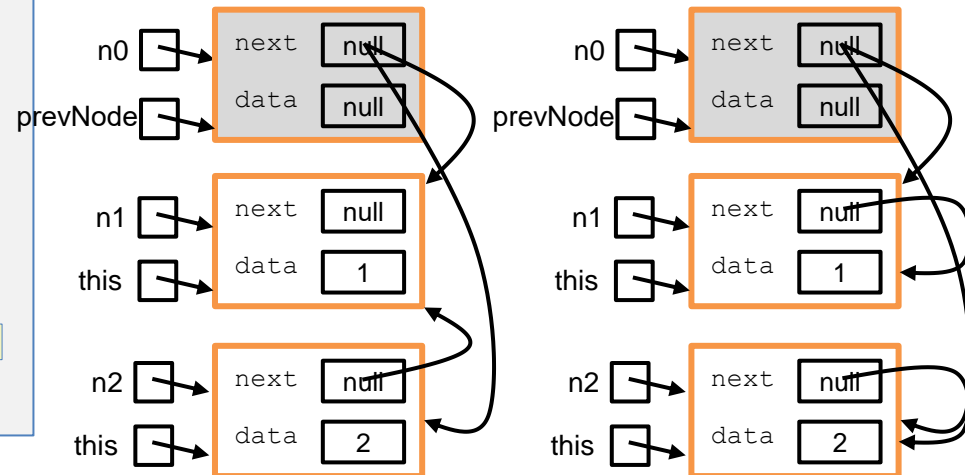
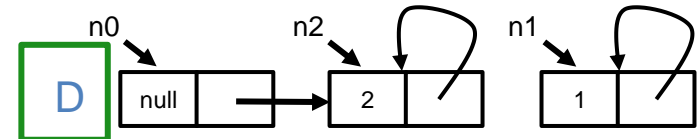
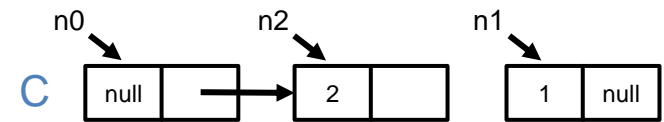
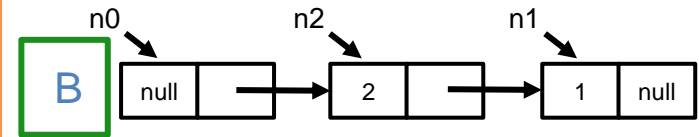
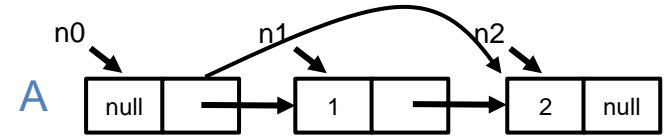


Sentinel or dummy nodes

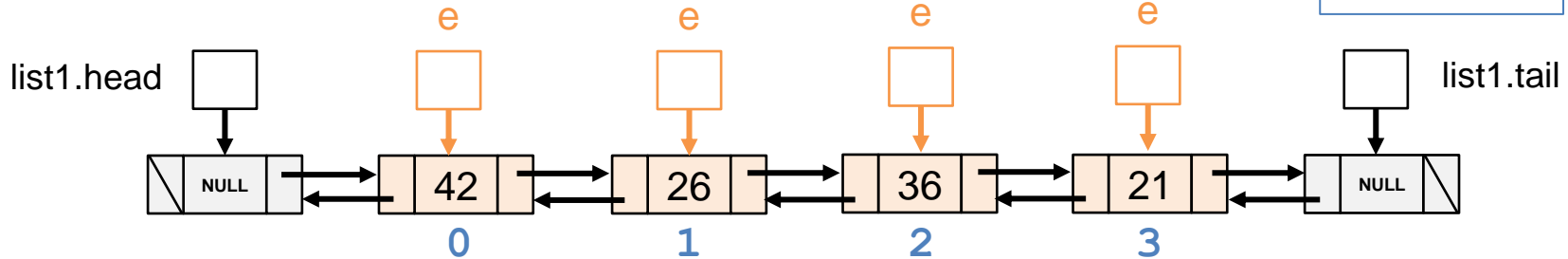
```
public class SLLNode<E> {
    SLLNode<E> next;
    E data;
    public SLLNode() {
        this.next = null; this.data = null;
    }
    public SLLNode(E theData) {
        this.data = theData;
    }
    public SLLNode(E theData, SLLNode<E> prevNode) {
        this(theData);
        prevNode.next = this;
        this.next = prevNode.next;
    }
    public static void main(String[] args) {
        SLLNode<Integer> n0 = new SLLNode<Integer>();
        SLLNode<Integer> n1 = new SLLNode<Integer>(1,n0);
        SLLNode<Integer> n2 = new SLLNode<Integer>(2,n0);
    }
}
```

three constructors

Let's draw the diagram one line at a time for step-by-step walkthrough



# Get Operation



```
list1.getNode(2);
```

1. Check if index n is legal

2. Traverse the list to locate the node

Option A – always iterate from the head

```
e = head.next;
e = e.next;
```

Option B – iterate from the tail if the node is in the second half

```
e = tail.prev;
e = e.prev;
```

```
public ListElement E getNode(int index) {
    if (index < 0 || index >= size)
        throw new IndexOutOfBoundsException("Index: "
            + index + ", Size: " + size);

    if (index < size / 2) {
        e = head.next;
        // n less than size/2, iterate from start
        while (index-- > 0)
            e = e.next;
    } else {
        e = tail.prev;
        // n greater than size/2, iterate from end
        while (++index < size)
            e = e.prev;
    }

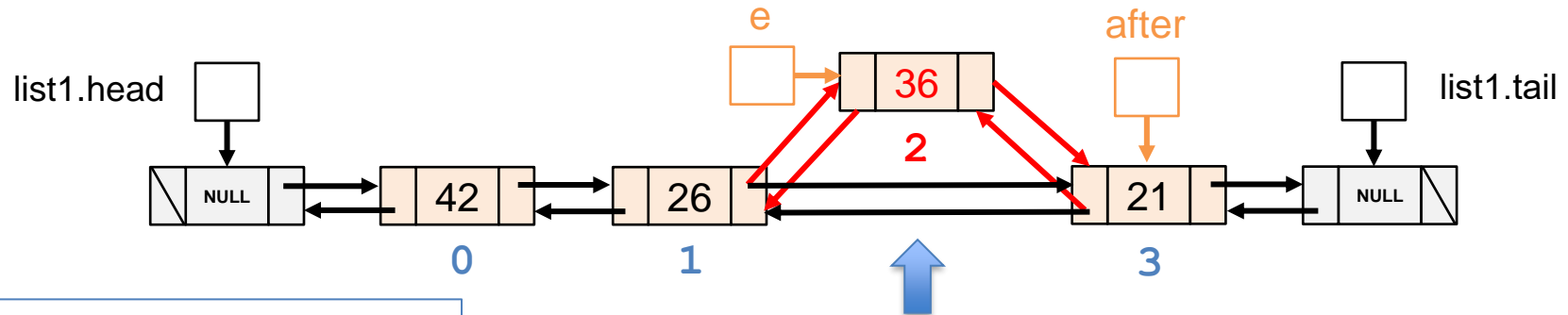
    return e.data;
}
```

```
public E get(int n) {
    ListNode<E> e = getNode(n);
    return e.data;
}
```

Size: 0

- what if we want to return the data?

# Add Operation



```
list1.add(2, 36);
```

1. Check if index n is legal

2. Create a new node

3. Locate the next node of the new node

If we add to the end, it is the tail

Otherwise, it is the getNode(index)

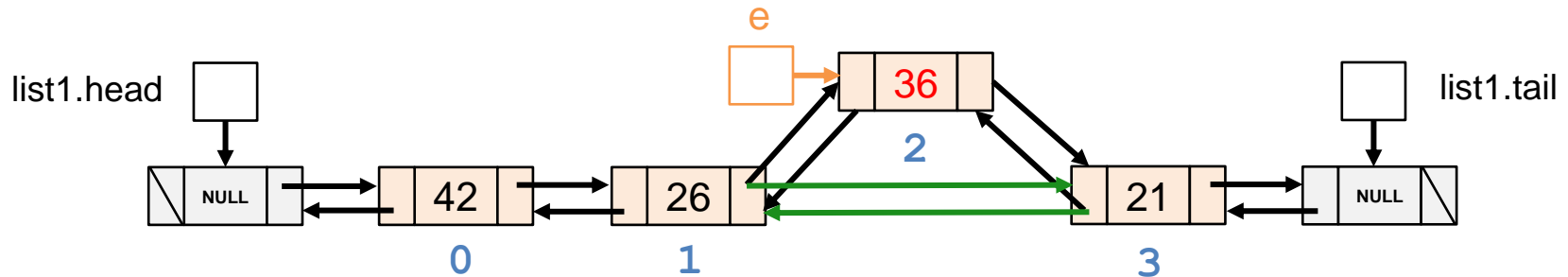
3. Insert the new node

4. Update the list size

General implementation to cover the special cases: addFirst, addLast

```
public void add(int index, E o) {
    if (index < 0 || index > size)
        throw new IndexOutOfBoundsException("Index: " + index + ",
Size:" + size);
    ListNode<E> e = new ListNode<E>(o);
    ListNode<E> after;
    if (index < size) {
        after = getNode(index);
    } else {
        after = tail;
    }
    e.next = after;
    e.prev = after.prev;
    after.prev.next = e;
    after.prev = e;
    size++;
}
```

# Remove Operation



```
list1.remove(2);
```

1. Check if index n is legal

2. Locate the node by getNode(index)

3. Remove the node

4. Update the list size

5. Return the removed data

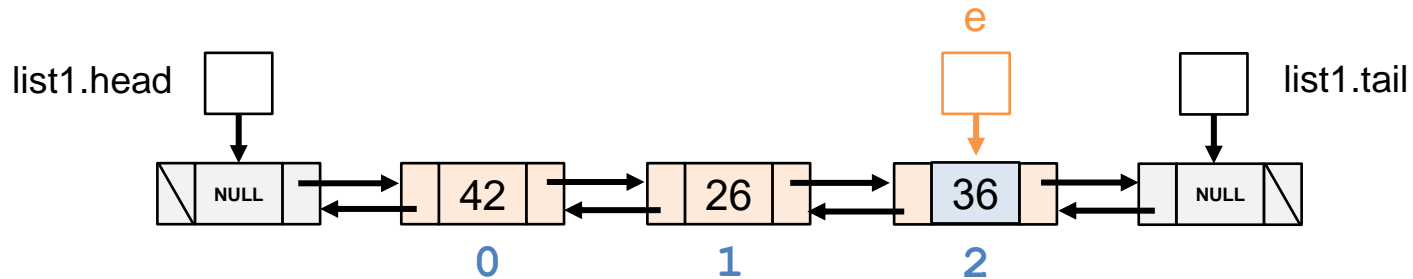
General implementation to cover the special cases: removeFirst, removeLast

```
public E remove(int index) {
    if (index < 0 || index >= size)
        throw new IndexOutOfBoundsException("Index:
            " + index + ", Size:" + size);
    ListNode<E> e = getNode(index);
    e.next.prev = e.prev;
    e.prev.next = e.next;
    size--;
    return e.data;
}
```

```
list1.remove(0);
```

```
list1.remove(List1.getSize()-1);
```

# Set Operation



```
list1.set(2, 36);
```

1. Check if index n is legal

2. Locate the node by getNode(index)

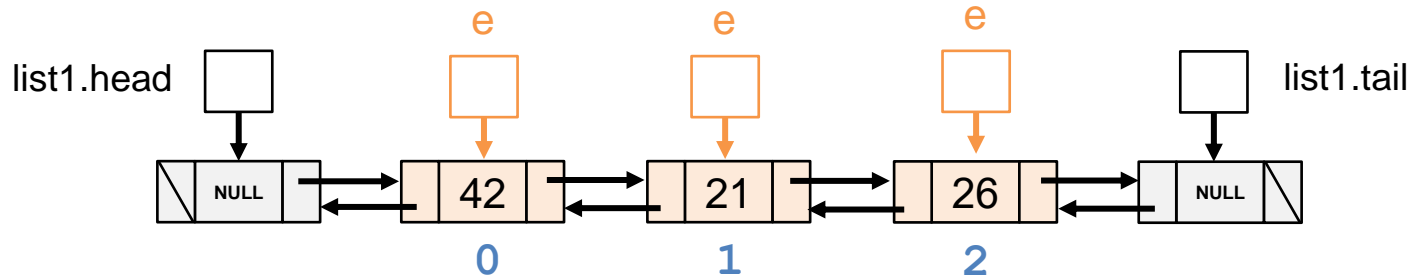
3. Save the old data

4. Update the data

5. Return the old data

```
public E set(int index, E o)
{
    if (index < 0 || index >= size)
        throw new IndexOutOfBoundsException("Index:
            " + index + ", Size:" + size);
    ListNode<E> e = getNode(index);
    E old = e.data;
    e.data = o;
    return old;
}
```

# Contain Operation



```
list1.contains(26);
```

true

1. Iterate nodes from start

Compare the data

return true if we found

Otherwise, go to the next node

2. Return false if we don't find

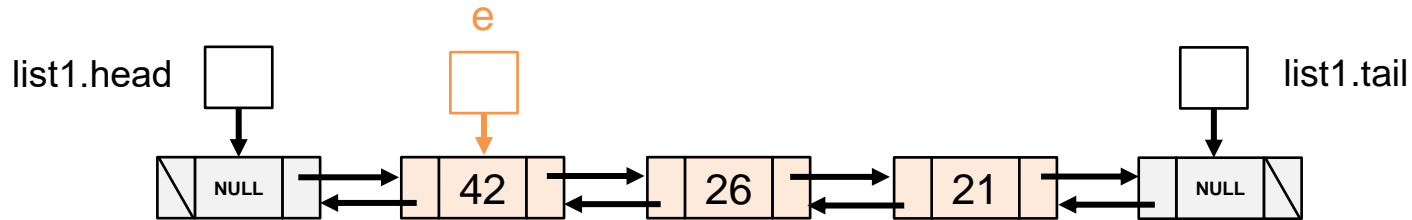
```
list1.contains(5);
```

false

```
public boolean contains(E o)
{
    ListNode<E> e = head.next;
    while (e.next != null)
    {
        if ((e.data).equals(o))
            return true;
        e = e.next;
    }
    return false;
}
```

if e.next is equal to null, e is the tail and iteration is finished

# toString Operation



```
System.out.println(list1);
```

1. Create an empty string to represent the linked list

2. Iterate nodes from start

Append the data of each node to the string

Move to the next node

2. Return the string

\$ 42 26 21

```
public String toString() {
    String mylist = new String("");
    ListNode<E> e = head.next;
    while(e.next != null) {
        mylist = mylist + e.data + " ";
        e = e.next;
    }
    return mylist;
}
```

if e.next is equal to null, e is the tail and iteration is finished

# Java Code for a Linked List (Contd.)

MyLinkedList

Add

get

ListNode

Remove

contains

Add

Set

toString

**DRAW PICTURES!!!**  
(You will probably get it wrong if you don't)

ListNode<E> contains(E o), remove(E o), indexOf(E o), replace(E old, E new), toArray(), etc.



# Testing and Confidence

Gain confidence in Correctness by **Testing**

How do we reason about confidence?

Different degrees of confidence apply



We need strong confidence about the correctness of the codes that impact people's lives.

Your code would be used by:  
User, Hacker, Programmer, Yourself

Wait, can't I just test against all inputs?

An `int` input has more than **four billion** possible values. **An array? A database?**

Code State	Confidence
Written, hasn't compiled	Extremely low
Compiled, haven't run	Extremely low
Tested against basic input	Low
Tested against corner cases	Medium
Tested against users ( <b>beta testing</b> )	Medium-High

How can we increase confidence?

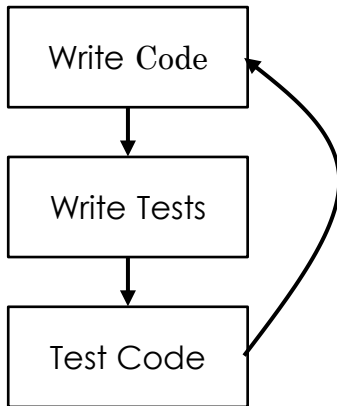
- Be critical of our algorithms/code
- Consider/test corner cases
- Attempt to formally reason about correctness
- Create automated test cases

test the code in a whole bunch of different situations

Let's do this next

# Unit Testing

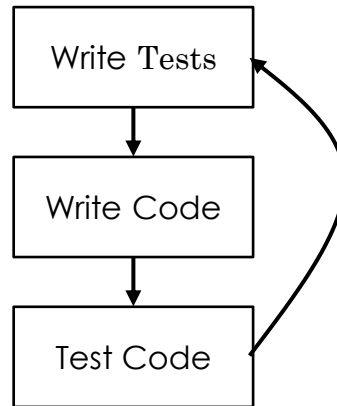
## Standard Cycle



How can you write code if you don't know how it will be tested?

When to test and the "best" way to develop code is contentious!

## Test-Driven Development



Nearly everyone agrees, don't wait till the end to test!

## Black Box Testing

Only tests through the interface



Test Interface:  
Black Box

## Clear Box Testing

Tests which know about the implementation



Test Implementation:  
Clear Box

Abstraction Barrier  
sets the rules of interaction

Okay, so what do we test?

Unit Testing! **JUnit**

Should I test every statement, like this?

Allows us to write and run unit tests.

```
int a = 5;
if ( a != 5 ) { System.exit(0); }
```

Way too fine-grain...



Okay, so should I wait for user alpha/beta testing?

Way too late!!!

Usually – methods.

Which of the following are advantages for black box testing?

- A. Is often more representative of user use of code
- B. Is easier to write by someone unfamiliar with the implementation
- C. Is more knowledgeable of potential corner cases which might cause incorrect behavior

# JUnit Basic

## JUnit is a lightweight Unit Testing Platform

### Main components:

1. code to setup tests
2. code to perform tests
3. code to cleanup tests

```
assertEquals("Check first", "A", shortList.get(0));
```

Here, `assertEquals` enforces that `shortList.get(0)` is "A". Otherwise, throws an error.

`emptyList.get(0)` should throw an exception, if it doesn't, we call the `fail` method.

```
try {  
    emptyList.get(0);  
    fail("Check out of bounds");  
}  
catch (IndexOutOfBoundsException e) {  
}
```

### @Before

`setup`  
is run before each test to initialize variables and objects

### @Test

`test<feature>`  
denote method to test `<feature>`  
Two useful methods:  
1. `assertEquals`  
2. `fail`

### @After

`tearDown<feature>`  
can be useful if your test constructed something which needs to be properly torn down (like a database)

# Test Get Method of MyLinkedList with JUnit

Which of the following tests should I run? Try to avoid redundant tests.

- |  |   |   |
|--|---|---|
| A. Test get(0) from an empty list          | ✓ | Tests corner case (empty list)                                |
| B. Test get(-1) from a list with 2 element | ✓ | Tests corner case (negative index)                            |
| C. Test get(0) from a list with 2 element  | ✓ | Tests standard use  |
| D. Test get(1) from a list with 2 elements | ✓ | Ensures we can get more than just the 1 <sup>st</sup> element |
| E. Test get(2) from a list with 2 elements | ✓ | Tests corner case (larger than size)                          |
| F. Test get(2) from a list with 3 elements | ✗ | Redundant, what is new here?                                  |

## Summary

- Consider corner cases when testing
- Test common case use
- Remember testing has costs

```
import static org.junit.Assert.*;
import org.junit.Before;
import org.junit.Test;
```

```
public class MyLinkedListTester {
```

```
    private MyLinkedList<String> shortList;
    private MyLinkedList<Integer> emptyList;
```

```
    @Before
```

```
    public void setUp() throws Exception {
        shortList = new MyLinkedList<String>();
        shortList.add(0, "A");
        shortList.add(0, "B");
        emptyList = new MyLinkedList<Integer>();
        list1 = new MyLinkedList<Integer>();
    }
```

```
@Test
```

```
public void testGet() {
```

```
    try {
        emptyList.get(0);
        fail("Check out of bounds");
    }
    catch (IndexOutOfBoundsException e) {}
```

```
    try {
        shortList.get(-1);
        fail("Check out of bounds");
    }
    catch (IndexOutOfBoundsException e) {}
```

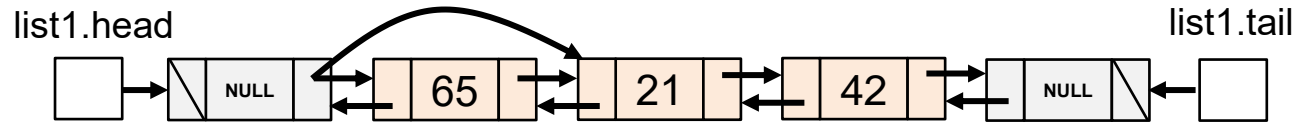
```
    assertEquals("Check first", "B", shortList.get(0));
    assertEquals("Check second", "A", shortList.get(1);
```

```
    try {
        shortList.get(2);
        fail("Check out of bounds");
    }
    catch (IndexOutOfBoundsException e) {}
```

```
}
```

```
}
```

# Test Remove Method of MyLinkedList with JUnit



assume that  
the list  
integrity is  
ensured by  
other tests

In `testRemove()` you run: `int a = list1.remove(0);`

- A. Verify that `a` has the value 65 ✓
- B. Call `list1.get(-1)` to check if it throws a `NullPointerException` ✗
- C. Call `list1.get(0)` and check that index 0 has the value 21 ✓
- D. Call `list1.get(1)` and check that index 1 has the value 42 ✗
- E. Call `list1.get(2)` to check if it throws a `NullPointerException` ✗
- F. Call `list.size()` to check if size is 2 ✓

What verification code should you include to make sure this operation worked correctly?

- Return correct value.
- Remove this value from the list
- Update size of the list

```
import static org.junit.Assert.*;
import org.junit.Before;
import org.junit.Test;
```

```
public class MyLinkedListTester {
    private MyLinkedList<Integer> list1;
```

```
@Before
```

```
public void setUp() throws Exception {
    list1 = new MyLinkedList<Integer>();
    list1.add(0, 42);
    list1.add(0, 21);
    list1.add(0, 65);
}
```

```
@Test
```

```
public void testRemove(){
    int a = list1.remove(0);
    assertEquals("Remove: check a is correct ", 65, a);
    assertEquals("Remove: check element 0 is correct ", (Integer)21, list1.get(0));
    assertEquals("Remove: check size is correct ", 2, list1.size());
}
```

What about this bug?

Check that `list1.get(0).prev` is equal to `list1.head`

breaks black box testing, but you can include if you want

# Summary

```
import java.util.*;
```

```
ArrayList<E> arrL = new ArrayList<E>();
```

```
LinkedList<E> linkL = new LinkedList<E>();
```

[Item1, Item2]

[First Item, Item1, Item2, Last Item]

First Item

Changed first item

[Item1, Item2]

[Newly added item, Item1]

```
import java.util.*;
public class LinkedListExample {
    public static void main(String args[]) {
        LinkedList<String> linkedlist =
            new LinkedList<String>();
        linkedlist.add("Item1");
        linkedlist.add("Item2");
        System.out.println(linkedlist);
        linkedlist.addFirst("First Item");
        linkedlist.addLast("Last Item");
        System.out.println(linkedlist);
        Object firstvar = linkedlist.get(0);
        System.out.println(firstvar);
        linkedlist.set(0, "Changed first item");
        Object firstvar2 = linkedlist.get(0);
        System.out.println(firstvar2);
        linkedlist.removeFirst();
        linkedlist.removeLast();
        System.out.println(linkedlist);
        linkedlist.add(0, "Newly added item");
        linkedlist.remove(2);
        System.out.println(linkedlist);
    }
}
```

ArrayList	LinkedList
ArrayList internally uses <b>dynamic array</b> to store the elements.	LinkedList internally uses <b>doubly linked list</b> to store the elements.
Manipulation with ArrayList is <b>slow</b> because it internally uses array. If any element is removed from the array, all the bits are shifted in memory.	Manipulation with LinkedList is <b>faster</b> than ArrayList because it uses doubly linked list so no bit shifting is required in memory.
ArrayList class can <b>act as a list</b> only because it implements List only.	LinkedList class can <b>act as a list and queue</b> both because it implements List and Deque interfaces.
ArrayList is <b>better for storing and accessing</b> data.	LinkedList is <b>better for manipulating</b> data.
ArrayList has <b>less memory overhead</b> , and each index only holds actual data.	LinkedList has <b>more memory overhead</b> , and each node holds both data and references

# Additional Resources

## ■ Linked Lists

- <https://docs.oracle.com/javase/10/docs/api/java/util/LinkedList.html> -- Oracle's official API document
- My implementation code with unit tests is uploaded on blackboard

## ■ Writing JUnit tests

- [http://www.tutorialspoint.com/junit/junit\\_test\\_framework.htm](http://www.tutorialspoint.com/junit/junit_test_framework.htm) -- explains fixtures, test suites, test runners, JUnit classes

## ■ Exceptions and Exception Handling

- <https://docs.oracle.com/javase/tutorial/essential/exceptions/index.html> - Oracle's tutorial on Exceptions.