CSC 112: Computer Operating Systems Lecture 13

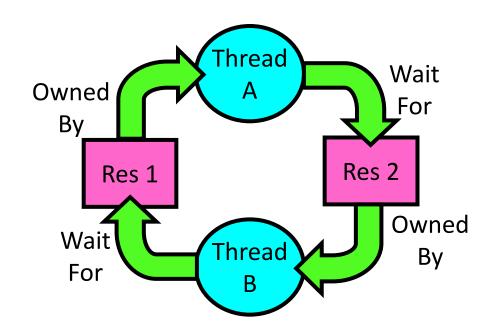
Memory 1: Address Translation and Virtual Memory

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Recall: Deadlock is A Deadly type of Starvation

- Starvation: thread waits indefinitely
 - Example, low-priority thread waiting for resources constantly in use by high-priority threads
- Deadlock: circular waiting for resources
 - Thread A owns Res 1 and is waiting for Res 2
 Thread B owns Res 2 and is waiting for Res 1

- Deadlock ⇒ Starvation but not vice versa
 - Starvation can end (but doesn't have to)
 - Deadlock can't end without external intervention



Recall: Four requirements for occurrence of Deadlock

Mutual exclusion

- Only one thread at a time can use a resource.

Hold and wait

 Thread holding at least one resource is waiting to acquire additional resources held by other threads

No preemption

 Resources are released only voluntarily by the thread holding the resource, after thread is finished with it

Circular wait

- There exists a set $\{T_1, ..., T_n\}$ of waiting threads
 - » T_1 is waiting for a resource that is held by T_2
 - » T_2 is waiting for a resource that is held by T_3
 - » ...
 - » T_n is waiting for a resource that is held by T_1

Recall: Techniques for Preventing Deadlock

- Make all threads request everything they'll need at the beginning.
 - Problem: Predicting future is hard, tend to over-estimate resources
 - Example:
 - » If need 2 chopsticks, request both at same time
 - » Don't leave home until we know no one is using any intersection between here and where you want to go; only one car on the Bay Bridge at a time
- Force all threads to request resources in a particular order preventing any cyclic use of resources
 - Thus, preventing deadlock
 - Example (x.Acquire(), y.Acquire(), z.Acquire(),...)
 - » Make tasks request disk, then memory, then...
 - » Keep from deadlock on freeways around SF by requiring everyone to go clockwise

Request Resources Atomically (1)

Rather than: Thread A: Thread B: x.Acquire(); y.Acquire(); x.Acquire(); y.Acquire(); y.Release(); x.Release(); x.Release(); y.Release(); Consider instead: Thread A: Thread B: Acquire_both(x, y); Acquire_both(y, x); y.Release(); x.Release();

y.Release();

x.Release();

Request Resources Atomically (2)

Or consider this:

```
Thread A
z.Acquire();
x.Acquire();
y.Acquire();
y.Acquire();
z.Release();

...
y.Release();
x.Release();
x.Release();
y.Release();
y.Release();
```

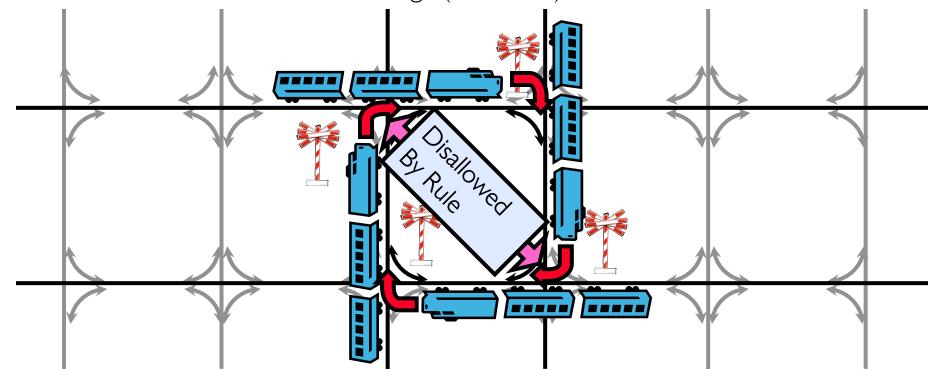
Acquire Resources in Consistent Order

Rather than:

```
Thread A:
                              Thread B:
 x.Acquire();
                             y.Acquire();
                             x.Acquire();
 y.Acquire();
 y.Release();
                             x.Release();
 x.Release();
                             y.Release();
Consider instead:
 Thread A:
                              Thread B:
 x.Acquire();
                             x.Acquire();
 y.Acquire();
                             y.Acquire();
                                             Does it matter in which
                             x.Release();
 y.Release();
                                             order the locks are
 x.Release();
                             y.Release();
                                             released?
```

Review: Train Example (Wormhole-Routed Network)

- Circular dependency (Deadlock!)
 - Each train wants to turn right
 - Blocked by other trains
 - Similar problem to multiprocessor networks
- Fix? Imagine grid extends in all four directions
 - Force ordering of channels (tracks)
 - » Protocol: Always go east-west first, then north-south
 - Called "dimension ordering" (X then Y)



Techniques for Recovering from Deadlock

- Terminate thread, force it to give up resources
 - In Bridge example, Godzilla picks up a car, hurls it into the river. Deadlock solved!
 - Hold dining lawyer in contempt and take away in handcuffs
 - But, not always possible killing a thread holding a mutex leaves world inconsistent
- Preempt resources without killing off thread
 - Take away resources from thread temporarily
 - Doesn't always fit with semantics of computation
- Roll back actions of deadlocked threads
 - Hit the rewind button on TiVo, pretend last few minutes never happened
 - For bridge example, make one car roll backwards (may require others behind him)
 - Common technique in databases (transactions)
 - Of course, if you restart in exactly the same way, may reenter deadlock once again
- Many operating systems use other options

Another view of virtual memory: Pre-empting Resources

```
Thread A:

AllocateOrWait(1 MB) AllocateOrWait(1 MB)

AllocateOrWait(1 MB) AllocateOrWait(1 MB)

Free(1 MB) Free(1 MB)

Free(1 MB) Free(1 MB)
```

- Before: With virtual memory we have "infinite" space so everything will just succeed, thus above example won't deadlock
 - Of course, it isn't actually infinite, but certainly larger than 2MB!
- Alternative view: we are "pre-empting" memory when paging out to disk, and giving it back when paging back in
 - This works because thread can't use memory when paged out

Techniques for Deadlock Avoidance

- Idea: When a thread requests a resource, OS checks if it would result in deadlock
 - If not, it grants the resource right away
 - If so, it waits for other threads to release resources

THIS DOES NOT WORK!!!!

• Example:

```
Thread A:

x.Acquire();

y.Acquire();

y.Acquire();

w.Acquire();

w.Acquire();

wait?

But it's already too late...

x.Release();

x.Release();

y.Release();
```

Deadlock Avoidance: Three States

- Safe state
 - System can delay resource acquisition to prevent deadlock
- Unsafe state
 - No deadlock yet...

Deadlock avoidance: prevent system from reaching an *unsafe* state

- But threads can request resources in a pattern that *unavoidably* leads to deadlock
- Deadlocked state
 - There exists a deadlock in the system
 - Also considered "unsafe"

Deadlock Avoidance

- Idea: When a thread requests a resource, OS checks if it would result in deadlock an unsafe state
 - If not, it grants the resource right away
 - If so, it waits for other threads to release resources
- Example:

```
Thread A:

x.Acquire();
y.Acquire();
x.Acquire();
x.Acquire();
Thread A
releases
y.Release();
x.Release();
y.Release();
y.Acquire();
x.Acquire();
Thread A
releases
y.Release();
y.Release();
```

- Toward right idea:
 - State maximum (max) resource needs in advance
 - Allow particular thread to proceed if:

(available resources - #requested) ≥ max remaining that might be needed by any thread



- Banker's algorithm (less conservative):
 - Allocate resources dynamically
 - » Evaluate each request and grant if some ordering of threads is still deadlock free afterward
 - » Technique: pretend each request is granted, then run deadlock detection algorithm, substituting:

 $([Max_{node}]-[Alloc_{node}] \le [Avail])$ for $([Request_{node}] \le [Avail])$ Grant request if result is deadlock free (conservative!)

```
[Avail] = [FreeResources]
   Add all nodes to UNFINISHED
   do {
      done = true
      Foreach node in UNFINISHED {
        if ([Request<sub>node</sub>] <= [Avail]) {
           remove node from UNFINISHED
           [Avail] = [Avail] + [Alloc<sub>node</sub>]
           done = false
        }
      }
    }
   until(done)
```



- » Evaluate each request and grant if some ordering of threads is still deadlock free afterward
- » Technique: pretend each request is granted, then run deadlock detection algorithm, substituting:

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    }
    }
} until(done)
```



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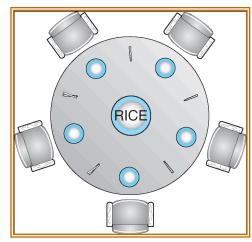
Grant request if result is deadlock free (conservative!)

– Keeps system in a "SAFE" state: there exists a sequence $\{T_1, T_2, ..., T_n\}$ with T_1 requesting all remaining resources, finishing, then T_2 requesting all remaining resources, etc..

Banker's Algorithm Example

- Banker's algorithm with dining lawyers
 - "Safe" (won't cause deadlock) if when try to grab chopstick either:
 - » Not last chopstick
 - » Is last chopstick but someone will have two afterwards







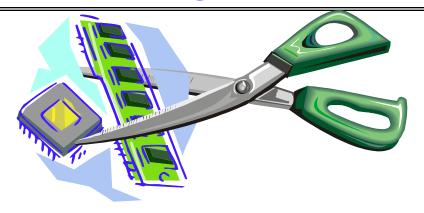
- What if k-handed lawyers? Don't allow if:
 - » It's the last one, no one would have k
 - » It's 2nd to last, and no one would have k-1
 - » It's 3rd to last, and no one would have k-2
 - » ...



Deadlock Summary

- Four conditions for deadlocks
 - Mutual exclusion
 - Hold and wait
 - No preemption
 - Circular wait
- Techniques for addressing Deadlock
 - Deadlock prevention:
 - » write your code in a way that it isn't prone to deadlock
 - Deadlock recovery:
 - » let deadlock happen, and then figure out how to recover from it
 - Deadlock avoidance:
 - » dynamically delay resource requests so deadlock doesn't happen
 - » Banker's Algorithm provides on algorithmic way to do this
 - Deadlock denial:
 - » ignore the possibility of deadlock

Virtualizing Resources

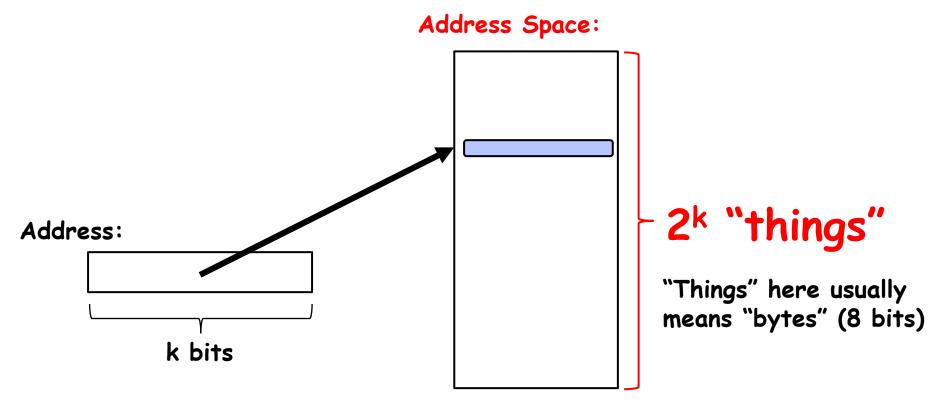


- Physical Reality:
 - Different Processes/Threads share the same hardware
 - Need to multiplex CPU (Just finished: scheduling)
 - Need to multiplex use of Memory (starting today)
 - Need to multiplex disk and devices (later in term)
- Why worry about memory sharing?
 - The complete working state of a process and/or kernel is defined by its data in memory (and registers)
 - Consequently, cannot just let different threads of control use the same memory
 - » Physics: two different pieces of data cannot occupy the same locations in memory
 - Probably don't want different threads to even have access to each other's memory if in different processes (protection)

Recall: Four Fundamental OS Concepts

- Thread: Execution Context
 - Fully describes program state
 - Program Counter, Registers, Execution Flags, Stack
- Address space (with or w/o translation)
 - Set of memory addresses accessible to program (for read or write)
 - May be distinct from memory space of the physical machine (in which case programs operate in a virtual address space)
- Process: an instance of a running program
 - Protected Address Space + One or more Threads
- Dual mode operation / Protection
 - Only the "system" has the ability to access certain resources
 - Combined with translation, isolates programs from each other and the OS from programs

THE BASICS: Address/Address Space

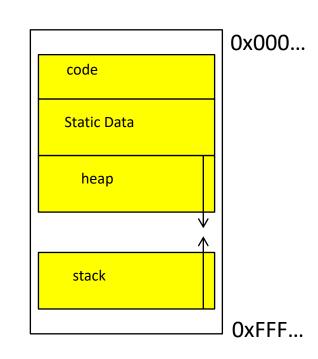


- What is 2^{10} bytes (where a byte is appreviated as "B")?
 - $-2^{10} B = 1024B = 1 KB$ (for memory, 1K = 1024, not 1000)
- How many bits to address each byte of 4KB page?
 - $4KB = 4 \times 1KB = 4 \times 2^{10} = 2^{12} \Rightarrow 12$ bits
- How much memory can be addressed with 20 bits? 32 bits? 64 bits?
 - Use 2^k

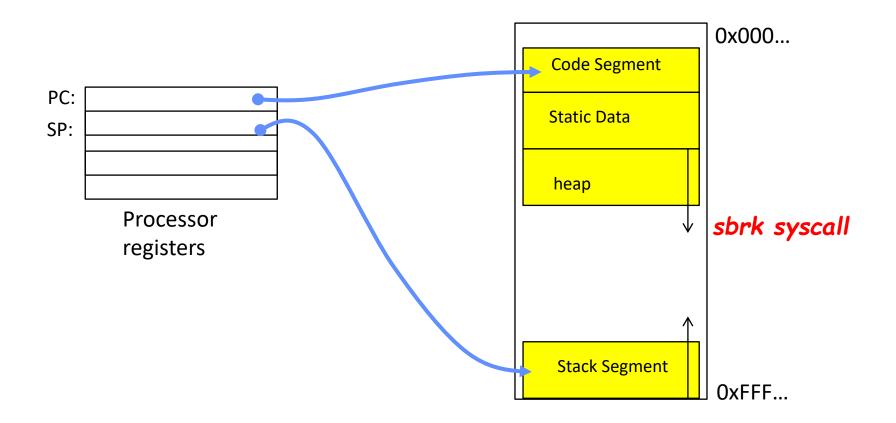
Address Space, Process Virtual Address Space

- Definition: Set of accessible addresses and the state associated with them
 - $-2^{32} = ~4$ billion *bytes* on a 32-bit machine
- How many 32-bit numbers fit in this address space?
 - -32-bits = 4 bytes, so $2^{32}/4 = 2^{30} = ~1$ billion
- What happens when processor reads or writes to an address?
 - Perhaps acts like regular memory
 - Perhaps causes I/O operation
 - » (Memory-mapped I/O)
 - Causes program to abort (segfault)?
 - Communicate with another program

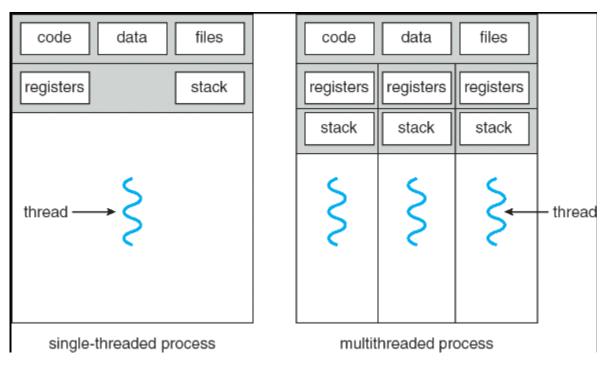
— ...



Recall: Process Address Space: typical structure



Recall: Single and Multithreaded Processes



- Threads encapsulate concurrency
 - "Active" component
- Address space encapsulate protection:
 - "Passive" component
 - Keeps bugs from crashing the entire system
- Why have multiple threads per address space?

Important Aspects of Memory Multiplexing

Protection:

- Prevent access to private memory of other processes
 - » Different pages of memory can be given special behavior (Read Only, Invisible to user programs, etc).
 - » Kernel data protected from User programs
 - » Programs protected from themselves

• Translation:

- Ability to translate accesses from one address space (virtual) to a different one (physical)
- When translation exists, processor uses virtual addresses, physical memory uses physical addresses
- Side effects:
 - » Can be used to avoid overlap
 - » Can be used to give uniform view of memory to programs

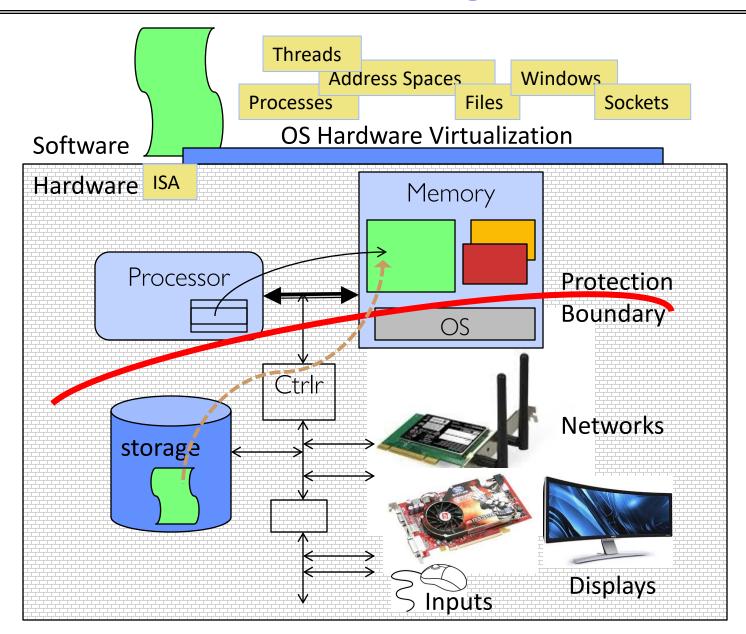
Controlled overlap:

- Separate state of threads should not collide in physical memory. Obviously, unexpected overlap causes chaos!
- Conversely, would like the ability to overlap when desired (for communication)

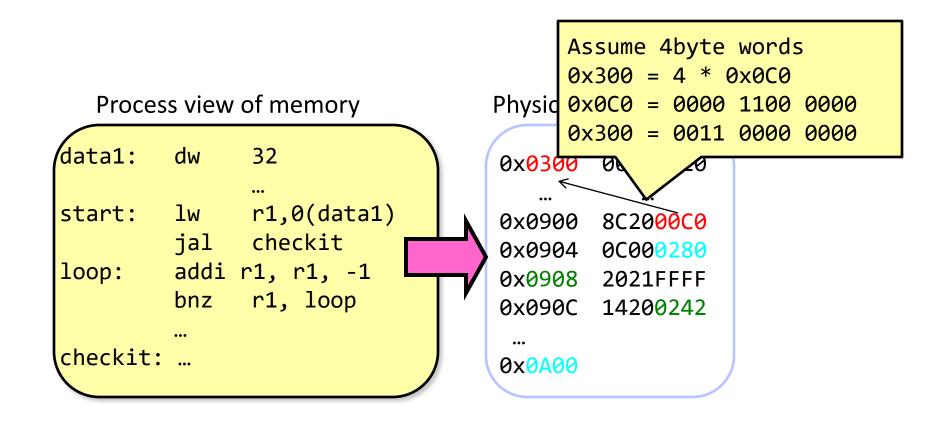
Alternative View: Interposing on Process Behavior

- OS interposes on process' I/O operations
 - How? All I/O happens via syscalls.
- OS interposes on process' CPU usage
 - How? Interrupt lets OS preempt current thread
- Question: How can the OS interpose on process' memory accesses?
 - Too slow for the OS to interpose every memory access
 - Translation: hardware support to accelerate the common case
 - Page fault: uncommon cases trap to the OS to handle

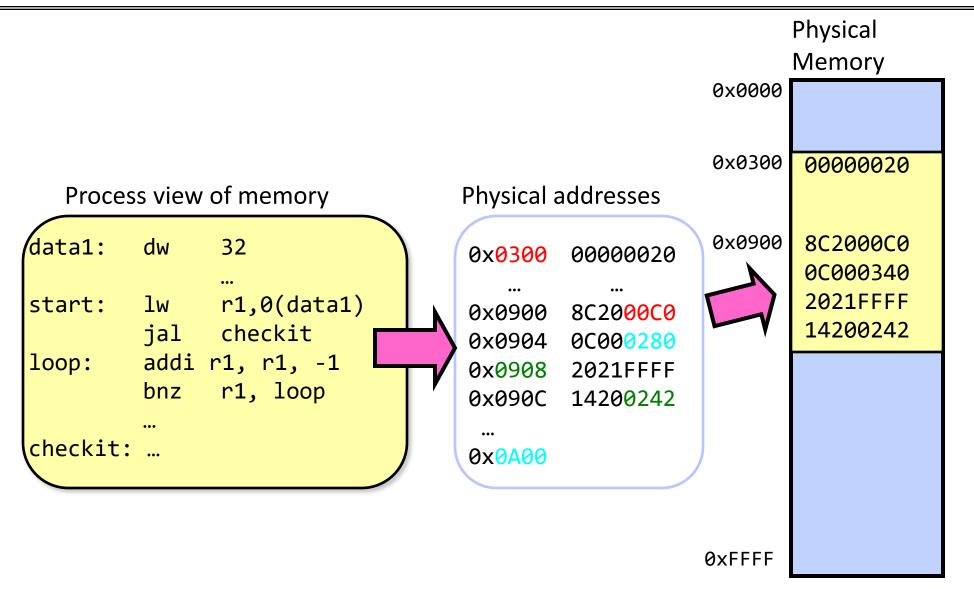
Recall: Loading



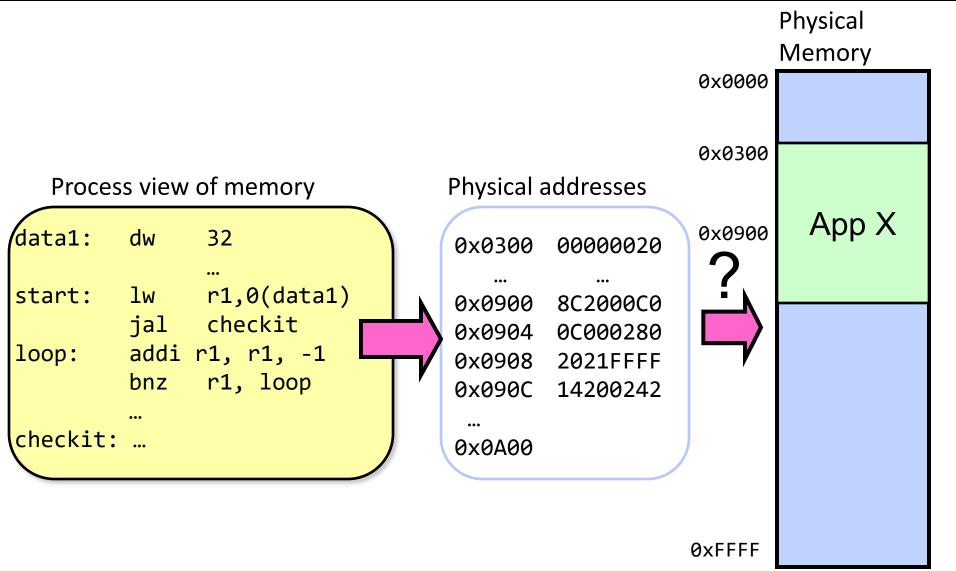
Binding of Instructions and Data to Memory



Binding of Instructions and Data to Memory

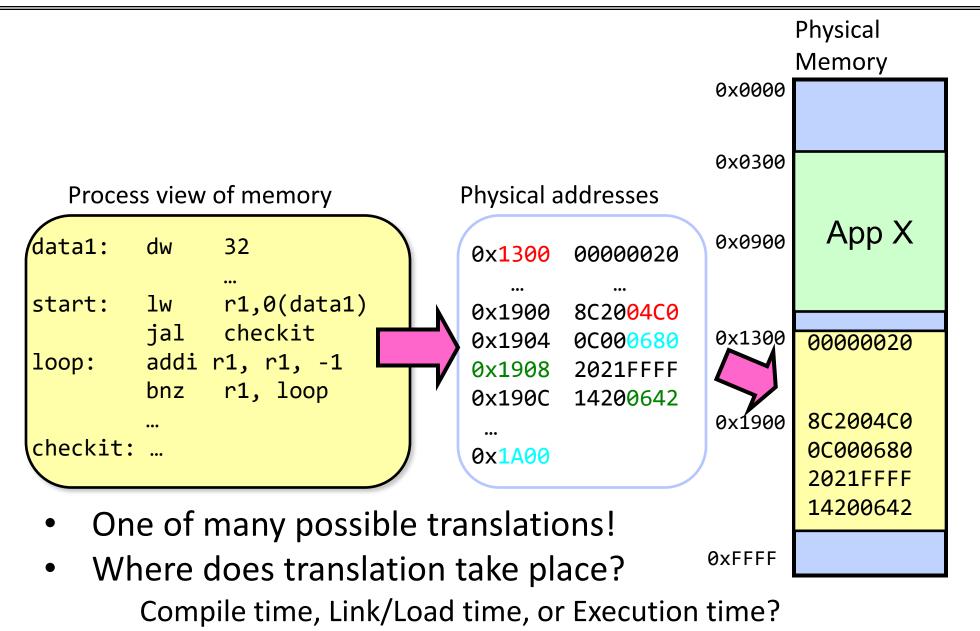


Second copy of program from previous example



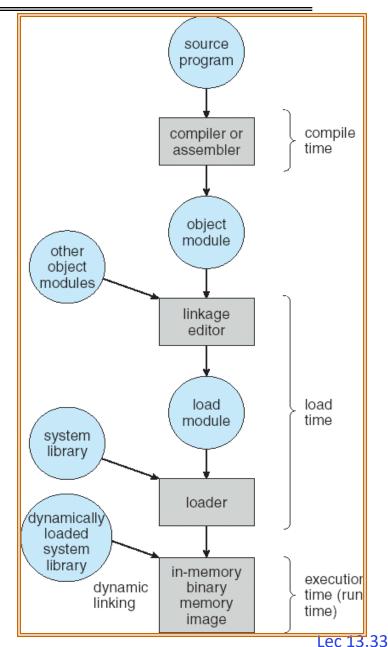
Need address translation!

Second copy of program from previous example



From Program to Process

- Preparation of a program for execution involves components at:
 - Compile time (i.e., "gcc")
 - Link/Load time (UNIX "Id" does link)
 - Execution time (e.g., dynamic libs)
- Addresses can be bound to final values anywhere in this path
 - Depends on hardware support
 - Also depends on operating system
- Dynamic Libraries
 - Linking postponed until execution
 - Small piece of code (i.e. the *stub*), locates appropriate memory-resident library routine
 - Stub replaces itself with the address of the routine, and executes routine



Recall: Uniprogramming

- Uniprogramming (no Translation or Protection)
 - Application always runs at same place in physical memory since only one application at a time
 - Application can access any physical address

Operating System

Application

OxFFFFFFF

Valid 32-bit Addresses

0x00000000



 Application given illusion of dedicated machine by giving it reality of a dedicated machine

Primitive Multiprogramming

- Multiprogramming without Translation or Protection
 - Must somehow prevent address overlap between threads

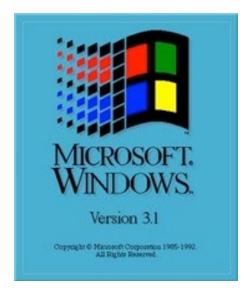
Operating System Application2

Application1

OxFFFFFFF

0x00020000

0x00000000

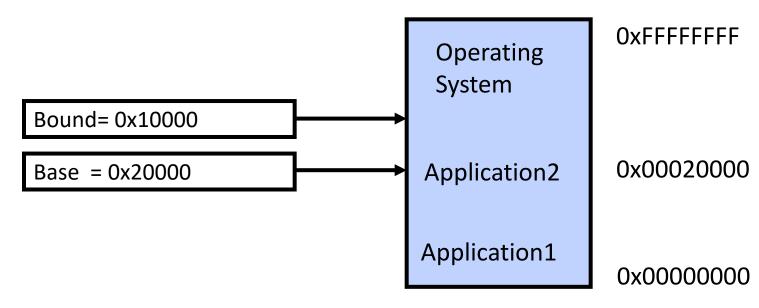


- Use Loader/Linker: Adjust addresses while program loaded into memory (loads, stores, jumps)
 - » Everything adjusted to memory location of program
 - » Translation done by a linker-loader (relocation)
 - » Common in early days (... till Windows 3.x, 95?)
- With this solution, no protection: bugs in any program can cause other programs to crash or even the OS

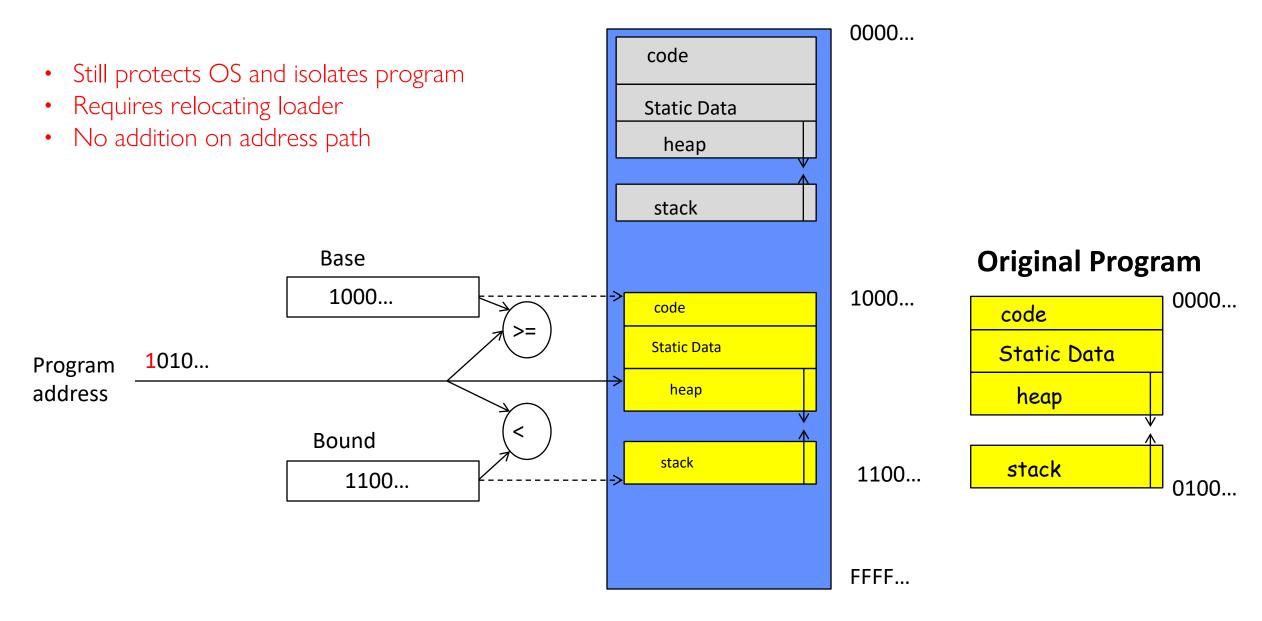
Multiprogramming with Protection

- Can we protect programs from each other without translation?
 - Yes: Base and Bound!
 - Used by, e.g., Cray-1 supercomputer

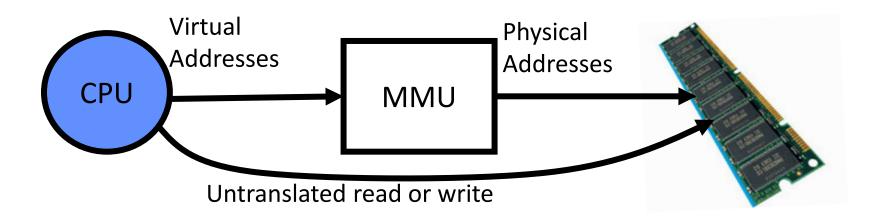




Recall: Base and Bound (No Translation)

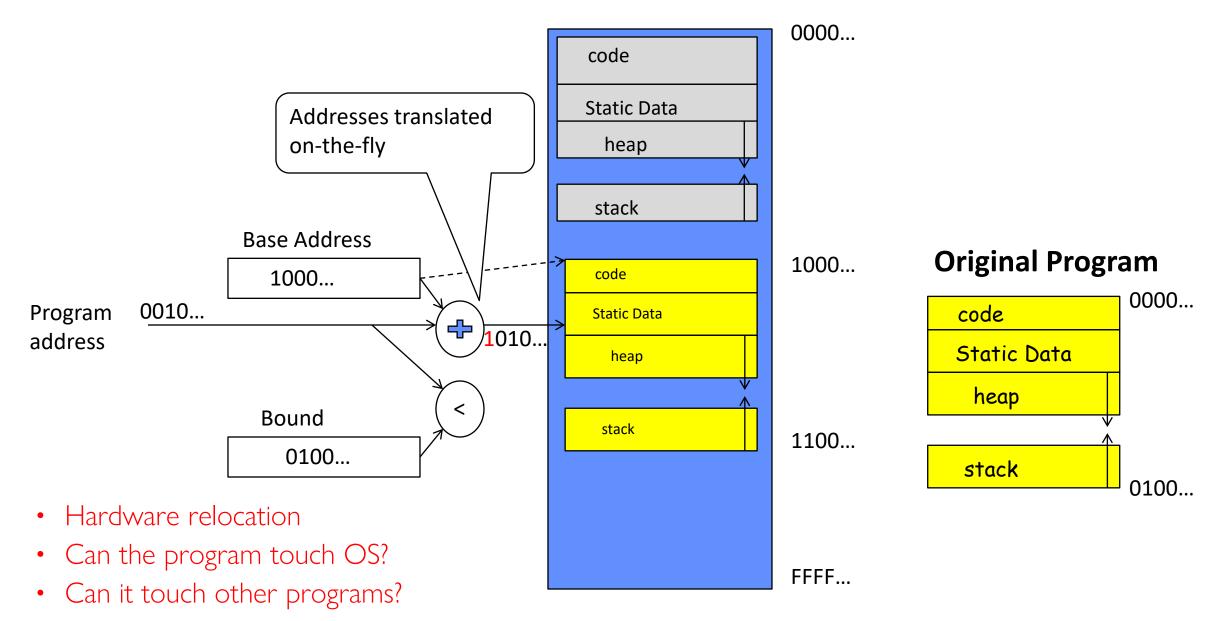


Recall: General Address translation

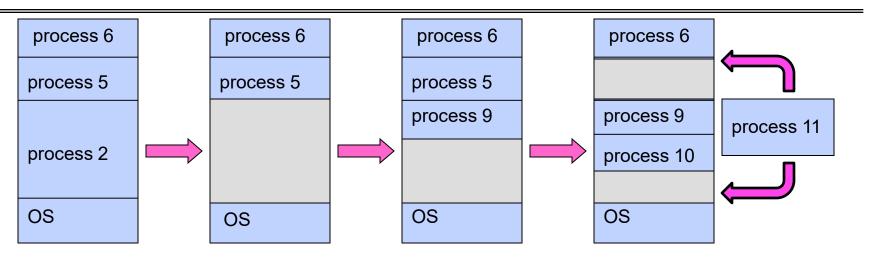


- Consequently, two views of memory:
 - View from the CPU (what program sees, virtual memory)
 - View from memory (physical memory)
 - Translation box (Memory Management Unit or MMU) converts between the two views
- Translation ⇒ much easier to implement protection!
 - If task A cannot even gain access to task B's data, no way for A to adversely affect B
- With translation, every program can be linked/loaded into same region of user address space

Recall: Base and Bound (with Translation)

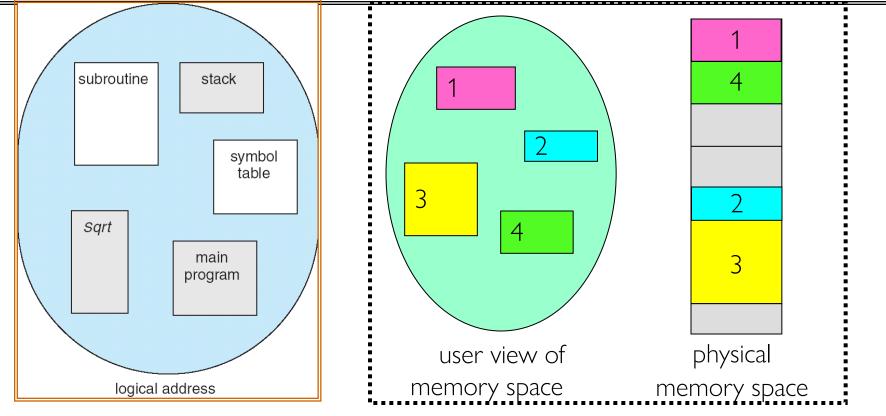


Issues with Simple B&B Method



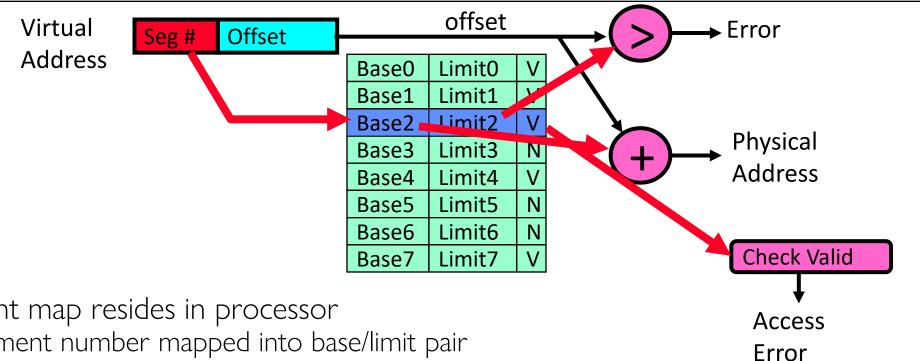
- Fragmentation problem over time
 - Not every process is same size ⇒ memory becomes fragmented over time
- Missing support for sparse address space
 - Would like to have multiple chunks/program (Code, Data, Stack, Heap, etc)
- Hard to do inter-process sharing
 - Want to share code segments when possible
 - Want to share memory between processes
 - Helped by providing multiple segments per process

More Flexible Segmentation



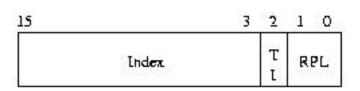
- Logical View: multiple separate segments
 - Typical: Code, Data, Stack
 - Others: memory sharing, etc
- Each segment is given region of contiguous memory
 - Has a base and limit
 - Can reside anywhere in physical memory

Implementation of Multi-Segment Model



- Segment map resides in processor
 - Segment number mapped into base/limit pair
 - Base added to offset to generate physical address
 - Error check catches offset out of range
- As many chunks of physical memory as entries
 - Segment addressed by portion of virtual address
 - However, could be included in instruction instead: » x86 Example: mov [es:bx],ax.
- What is "V/N" (valid / not valid)?
 - Can mark segments as invalid; requires check as well

Intel x86 Special Registers



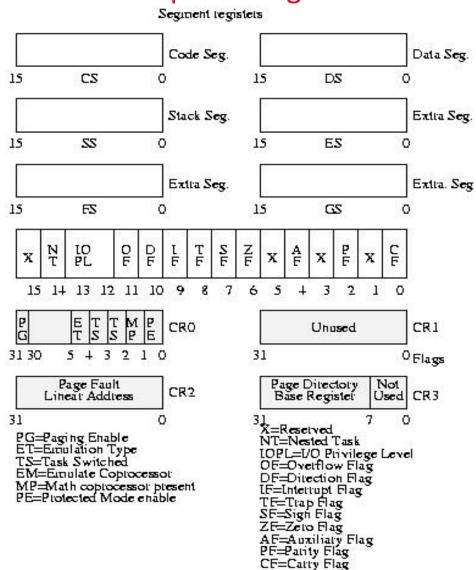
RPL = Requestor Privilege Level
TI = Table Indicator
(0 = GDT, 1 = LDT)
Index = Index into table



Protected Mode segment selector

- Typical Segment Register
 - Current Priority is RPL of Code Segment (CS)
- Segmentation can't be just "turned off"
 - What if we just want to use paging?
 - Set base and bound to all of memory, in all segments

80386 Special Registers



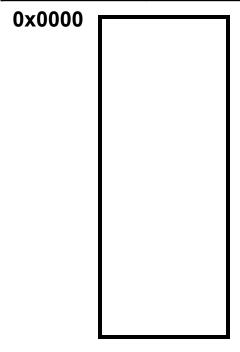


0x0000	
0x4000	
0x8000	
0xC000	

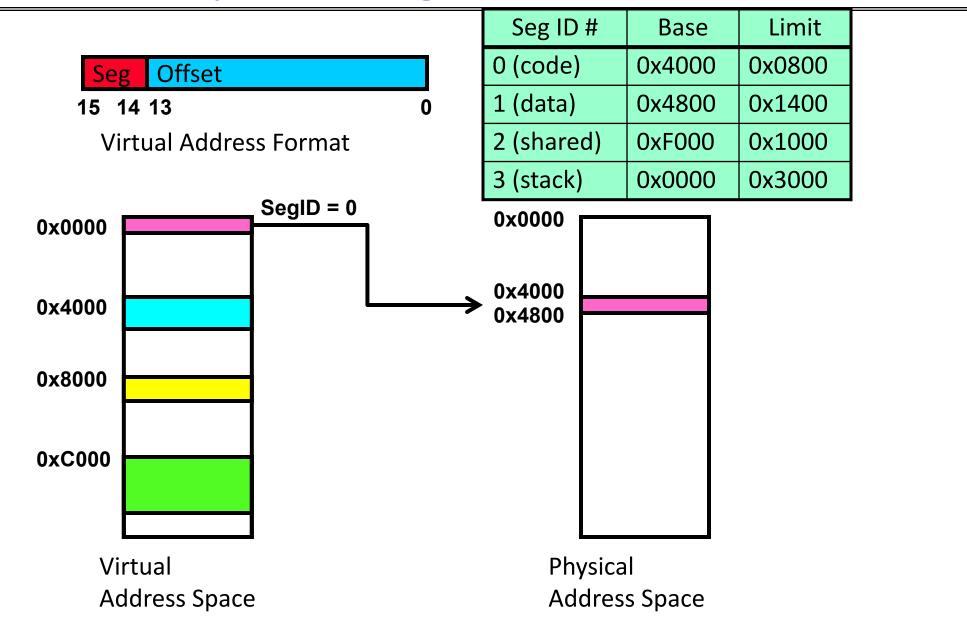
Virtual

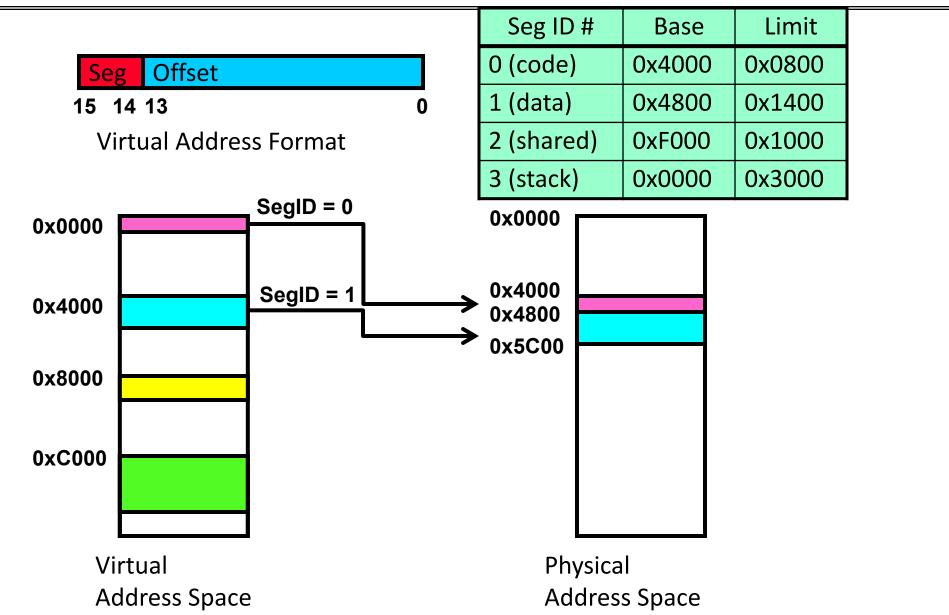
Address Space

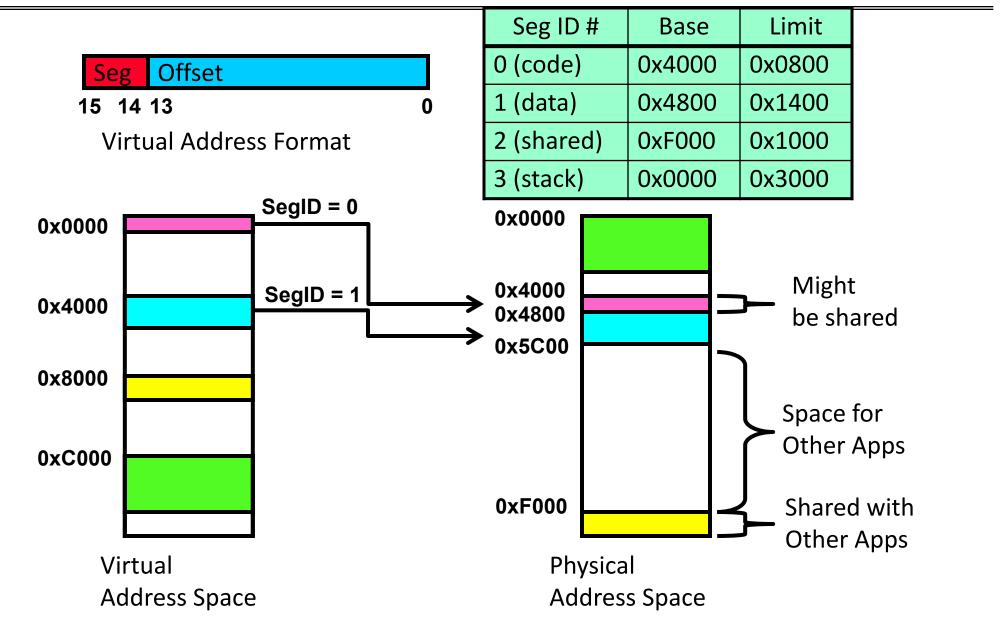
Seg ID#	Base	Limit
0 (code)	0x4000	0x0800
1 (data)	0x4800	0x1400
2 (shared)	0xF000	0x1000
3 (stack)	0x0000	0x3000



Physical Address Space



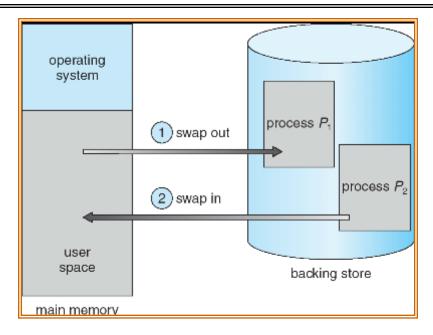




Observations about Segmentation

- Translation on every instruction fetch, load or store
- Virtual address space has holes
 - Segmentation efficient for sparse address spaces
- When it is OK to address outside valid range?
 - This is how the stack (and heap?) allowed to grow
 - For instance, stack takes fault, system automatically increases size of stack
- Need protection mode in segment table
 - For example, code segment would be read-only
 - Data and stack would be read-write (stores allowed)
- What must be saved/restored on context switch?
 - Segment table stored in CPU, not in memory (small)
 - Might store all of processes memory onto disk when switched (called "swapping")

What if not all segments fit in memory?

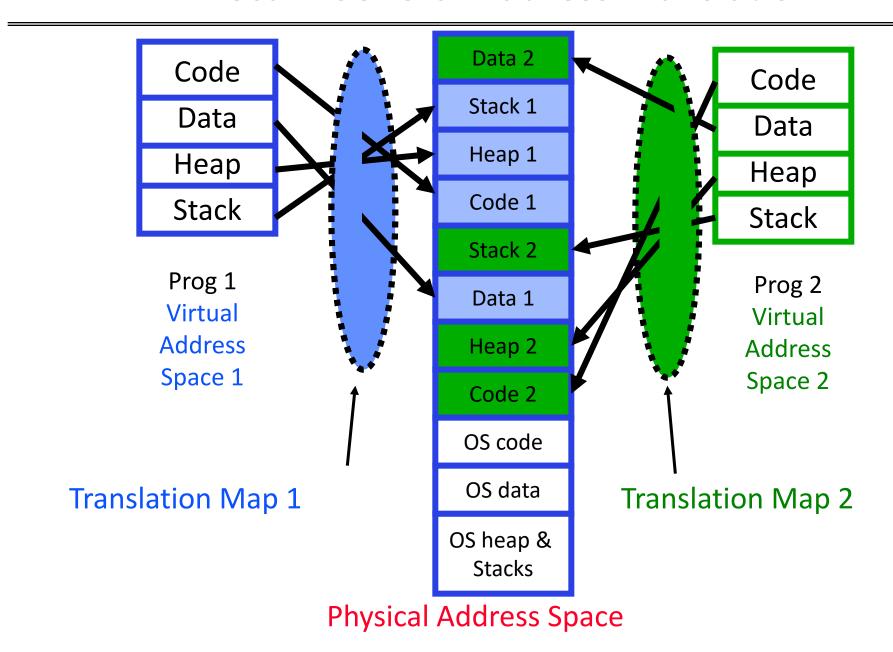


- Extreme form of Context Switch: Swapping
 - To make room for next process, some or all of the previous process is moved to disk
 Likely need to send out complete segments
 - This greatly increases the cost of context-switching
- What might be a desirable alternative?
 - Some way to keep only active portions of a process in memory at any one time
 - Need finer granularity control over physical memory

Problems with Segmentation

- Must fit variable-sized chunks into physical memory
- May move processes multiple times to fit everything
- Limited options for swapping to disk
- Fragmentation: wasted space
 - External: free gaps between allocated chunks
 - Internal: don't need all memory within allocated chunks

Recall: General Address Translation

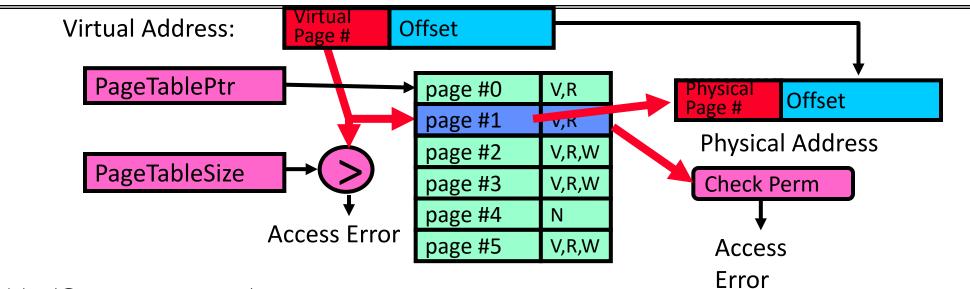


Paging: Physical Memory in Fixed Size Chunks

- Solution to fragmentation from segments?
 - Allocate physical memory in fixed size chunks ("pages")
 - Every chunk of physical memory is equivalent
 - » Can use simple vector of bits to handle allocation: **00110001110001101** ... **110010**
 - » Each bit represents page of physical memory $\mathbf{1} \Rightarrow \text{allocated}, \mathbf{0} \Rightarrow \text{free}$

- Should pages be as big as our previous segments?
 - No: Can lead to lots of internal fragmentation
 - » Typically have small pages (1K-16K)
 - Consequently: need multiple pages/segment

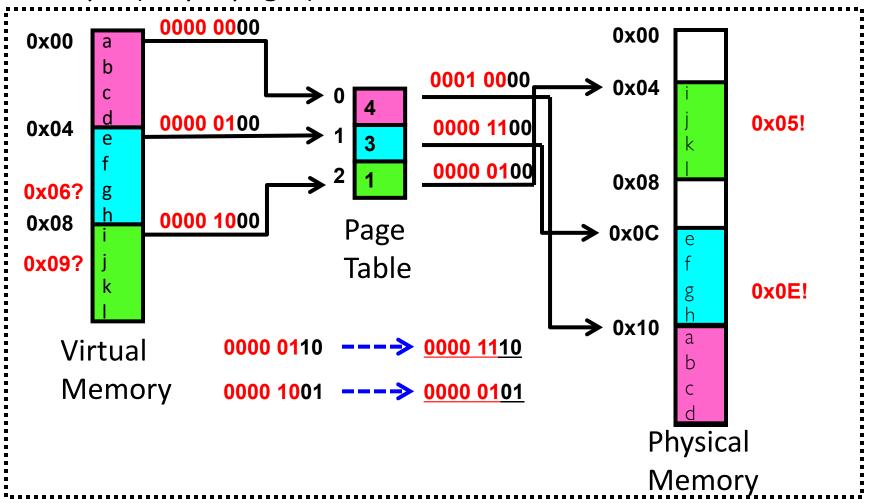
How to Implement Simple Paging?



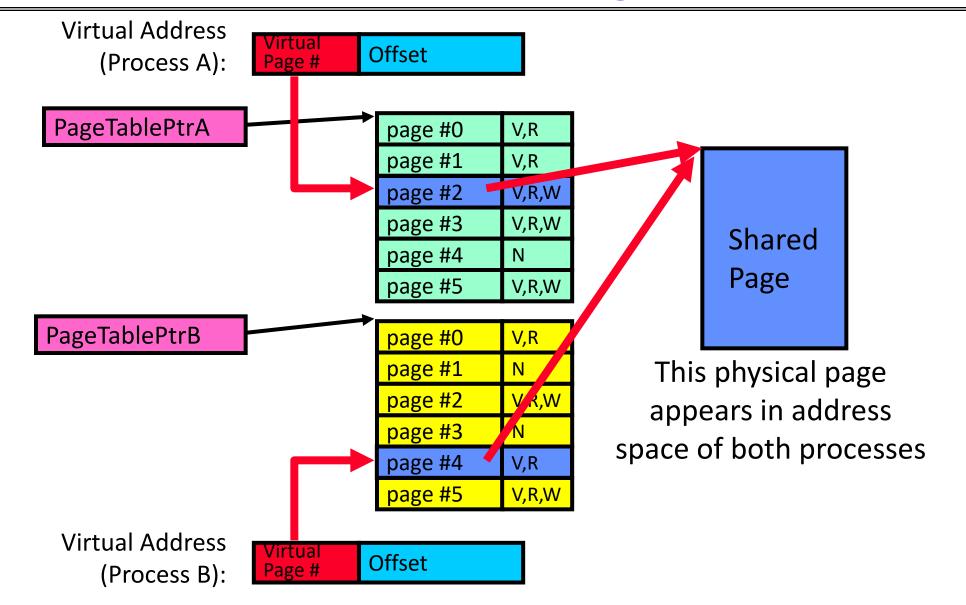
- Page Table (One per process)
 - Resides in physical memory
 - Contains physical page and permission for each virtual page (e.g. Valid bits, Read, Write, etc)
- Virtual address mapping
 - Offset from Virtual address copied to Physical Address
 - » Example: 10 bit offset \Rightarrow 1024-byte pages
 - Virtual page # is all remaining bits
 - » Example for 32-bits: 32-10 = 22 bits, i.e. 4 million entries
 - » Physical page # copied from table into physical address
 - Check Page Table bounds and permissions

Simple Page Table Example

Example (4 byte pages)



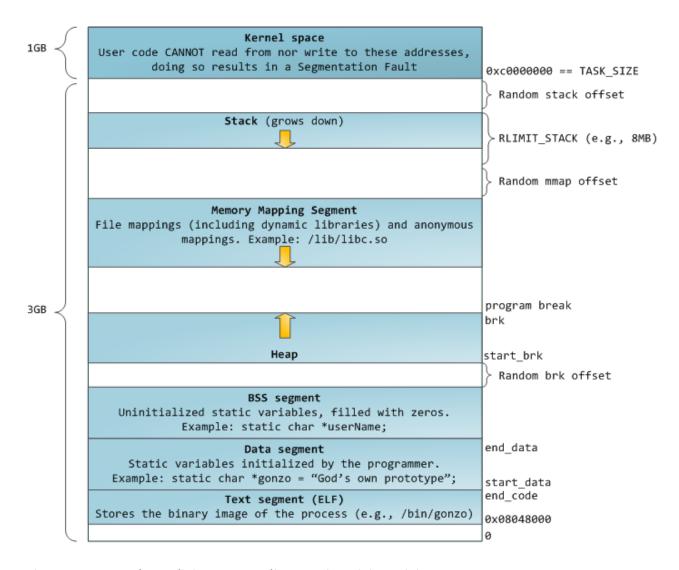
What about Sharing?



Where is page sharing used?

- The "kernel region" of every process has the same page table entries
 - The process cannot access it at user level
 - But on U->K switch, kernel code can access it AS WELL AS the region for THIS user
 - » What does the kernel need to do to access other user processes?
- Different processes running same binary!
 - Execute-only, but do not need to duplicate code segments
- User-level system libraries (execute only)
- Shared-memory segments between different processes
 - Can actually share objects directly between processes
 - » Must map page into same place in address space!
 - This is a limited form of the sharing that threads have within a single process

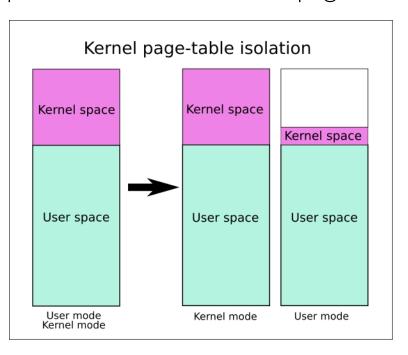
Memory Layout for Linux 32-bit (Pre-Meltdown patch!)



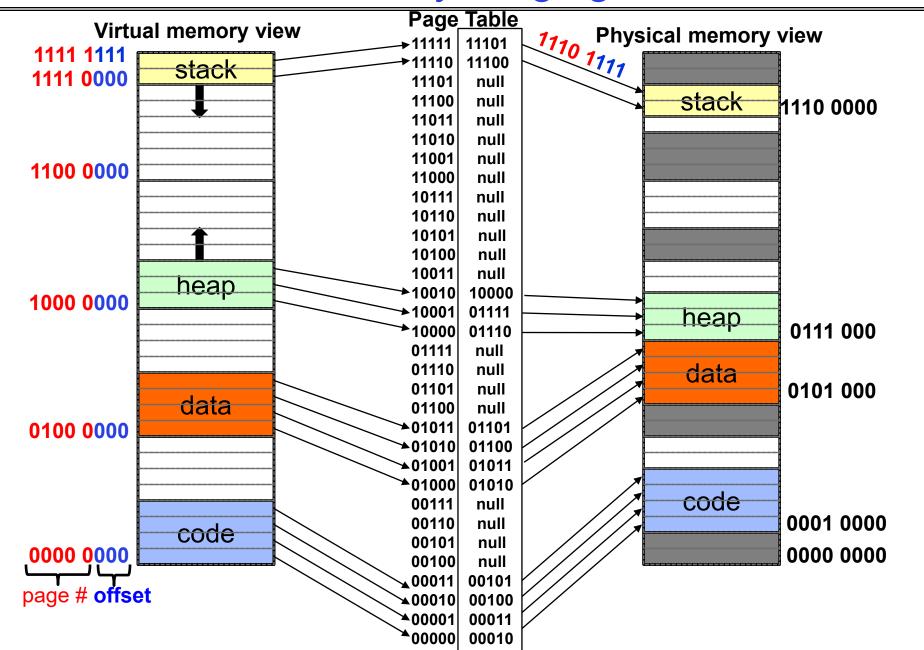
http://static.duartes.org/img/blogPosts/linuxFlexibleAddressSpaceLayout.png

Some simple security measures

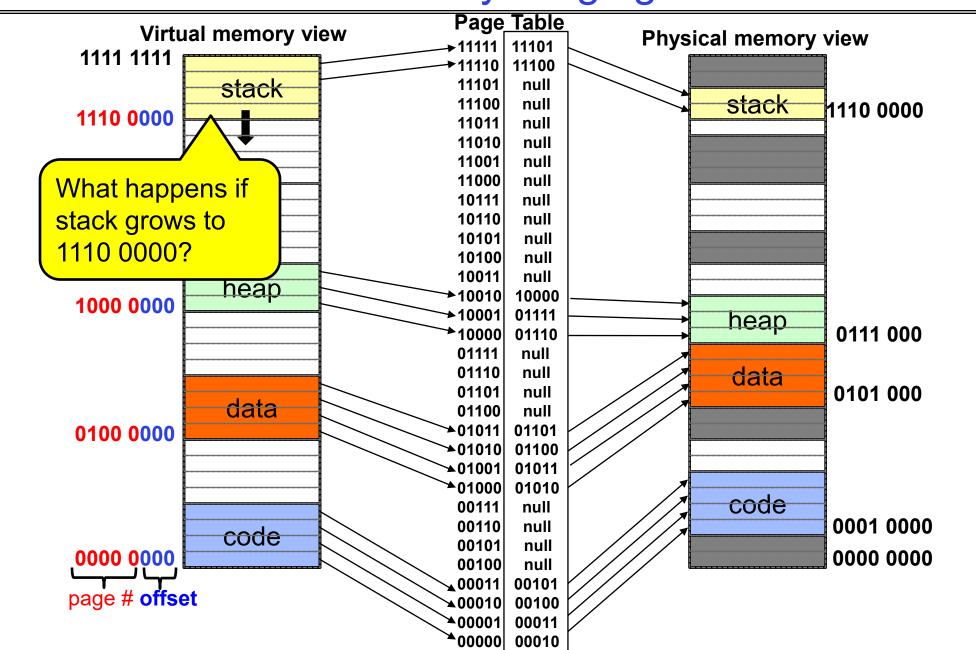
- Address Space Randomization
 - Position-Independent Code ⇒ can place user code anywhere in address space
 - » Random start address makes much harder for attacker to cause jump to code that it seeks to take over
 - Stack & Heap can start anywhere, so randomize placement
- Kernel address space isolation
 - Don't map whole kernel space into each process, switch to kernel page table
 - Meltdown⇒map none of kernel into user mode!



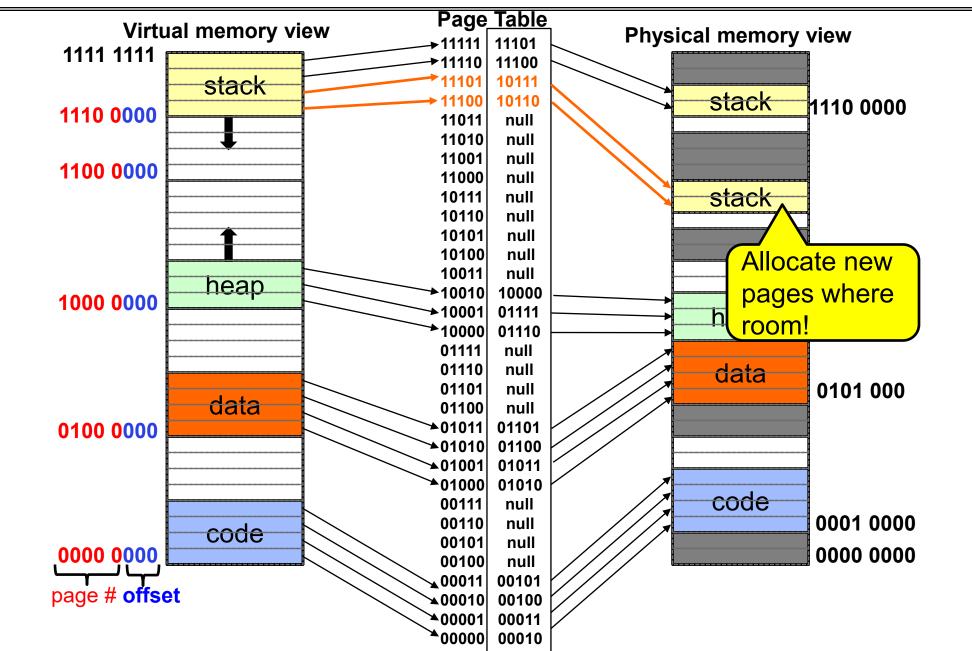
Summary: Paging



Summary: Paging



Summary: Paging



How big do things get?

- 32-bit address space => 2³² bytes (4 GB)
 - Note: "b" = bit, and "B" = byte
 - And for memory:

```
>> "K"(kilo) = 2^{10} = 1024 ≈ 10³ (But not quite!): Sometimes called "Ki" (Kibi) ≈ "M"(mega) = 2^{20} = (1024)² = 1,048,576 ≈ 10⁶ (But not quite!): Sometimes called "Mi" (Mibi) ≈ "G"(giga) = 2^{30} = (1024)³ = 1,073,741,824 ≈ 10⁶ (But not quite!): Sometimes called "Gi" (Gibi)
```

- Typical page size: 4 KB
 - how many bits of the address is that ? (remember $2^{10} = 1024$)
 - Ans 4KB = $4 \times 2^{10} = 2^{12} \Rightarrow 12$ bits of the address
- So how big is the simple page table for each process?
 - $-2^{32}/2^{12} = 2^{20}$ (that's about a million entries) x 4 bytes each => 4 MB
 - When 32-bit machines got started (vax 11/780, intel 80386), 16 MB was a LOT of memory
- How big is a simple page table on a 64-bit processor (x86_64)?
 - $-2^{64}/2^{12} = 2^{52}$ (that's 4.5×10^{15} or 4.5 exa-entries)×8 bytes each = 36×10^{15} bytes or 36 exa-bytes!!!! This is a ridiculous amount of memory!
 - This is really a lot of space for only the page table!!!
- The address space is sparse, i.e. has holes that are not mapped to physical memory
 - So, most of this space is taken up by page tables mapped to nothing

Page Table Discussion

- What needs to be switched on a context switch?
 - Page table pointer and limit
- What provides protection here?
 - Translation (per process) and dual-mode!
 - Can't let process alter its own page table!
- Analysis
 - Pros
 - » Simple memory allocation
 - » Easy to share
 - Con: What if address space is sparse?
 - » E.g., on UNIX, code starts at 0, stack starts at $(2^{31}-1)$
 - » With 1K pages, need 2 million page table entries!
 - Con: What if table really big?
 - » Not all pages used all the time \Rightarrow would be nice to have working set of page table in memory
- Simple Page table isway too big!
 - Does it all need to be in memory?
 - How about multi-level paging?
 - or combining paging and segmentation

Summary

- Segment Mapping
 - Segment registers within processor
 - Segment ID associated with each access
 - » Often comes from portion of virtual address
 - » Can come from bits in instruction instead (x86)
 - Each segment contains base and limit information
 - » Offset (rest of address) adjusted by adding base
- Page Tables
 - Memory divided into fixed-sized chunks of memory
 - Virtual page number from virtual address mapped through page table to physical page number
 - Offset of virtual address same as physical address
 - Large page tables can be placed into virtual memory
- Next Time: Multi-Level Tables
 - Virtual address mapped to series of tables
 - Permit sparse population of address space