CSC 112: Computer Operating Systems Lecture 5

Sockets and IPC (Finished)
Concurrency: Processes and Threads

Department of Computer Science,
Hofstra University

Recall: Key Unix I/O Design Concepts

- Uniformity Everything Is a File!
 - file operations, device I/O, and interprocess communication through open, read/write, close
 - Allows simple composition of programs
 - » find | grep | wc ...
- Open before use
 - Provides opportunity for access control and arbitration
 - Sets up the underlying machinery, i.e., data structures
- Byte-oriented
 - Even if blocks are transferred, addressing is in bytes
- Kernel buffered reads
 - Streaming and block devices looks the same, read blocks yielding processor to other task
- Kernel buffered writes
 - Completion of out-going transfer decoupled from the application, allowing it to continue
- Explicit close

Recall: Low-Level vs High-Level file API

- Low-level direct use of syscall interface: open(), read(), write(), close()
- Opening of file returns file descriptor:
 int myfile = open(...);
- File descriptor only meaningful to kernel
 - Index into process (PDB) which holds pointers to kernel-level structure ("file description") describing file.
- Every read() or write() causes syscall no matter how small (could read a single byte)
- Consider loop to get 4 bytes at a time using read():
 - Each iteration enters kernel for 4 bytes.

- High-level buffered access: fopen(), fread(), fwrite(), fclose()
- Opening of file returns ptr to FILE:

```
FILE *myfile = fopen(...);
```

- FILE structure is user space contains:
 - a chunk of memory for a buffer
 - the file descriptor for the file (fopen() will call open() automatically)
- Every fread() or fwrite() filters through buffer and may not call read() or write() on every call.
- Consider loop to get 4 bytes at a time using fread():
 - First call to fread() calls read() for block of bytes (say 1024). Puts in buffer and returns first 4 to user.
 - Subsequent fread() grab bytes from buffer

Recall: Low-Level vs. High-Level File API

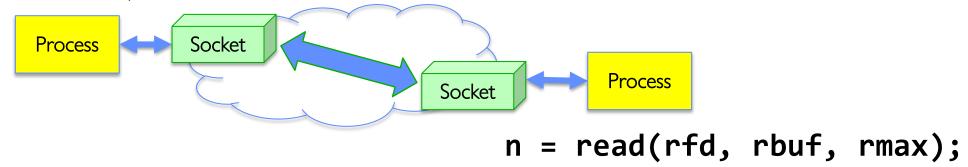
```
Low-Level Operation:
    ssize_t read(...) {
         asm code ... syscall # into %eax
         put args into registers %ebx, ...
         special trap instruction
               Kernel:
                 get args from regs
                 dispatch to system func
                 Do the work to read from the file
                 Store return value in %eax
         get return values from regs
       Return data to caller
```

```
High-Level Operation:
    ssize_t fread(...) {
        Check buffer for contents
        Return data to caller if available
          asm code ... syscall # into %eax
          put args into registers %ebx, ...
          special trap instruction
                Kernel:
                   get args from regs
                   dispatch to system func
                   Do the work to read from the file
                   Store return value in %eax
          get return values from regs
        Update buffer with excess data
        Return data to caller
    };
```

Recall: Sockets: An Endpoint for Communication

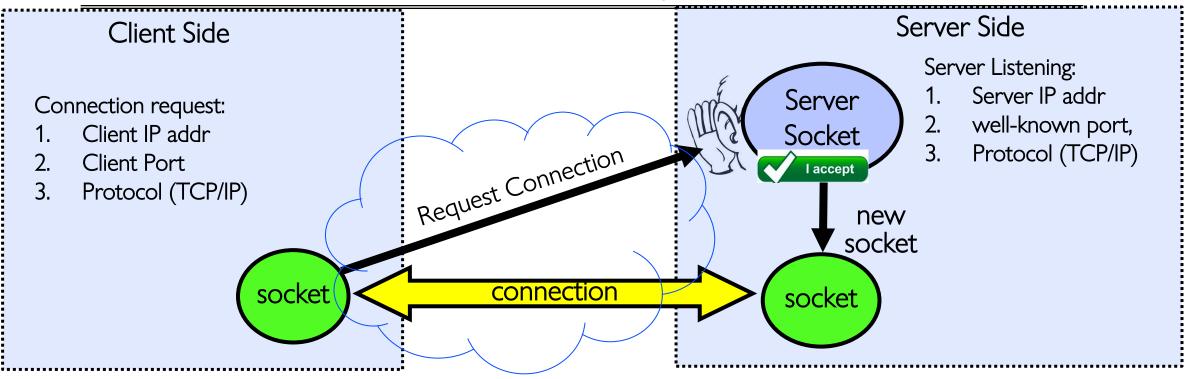
• Key Idea: Communication across the world looks like File I/O

write(wfd, wbuf, wlen);



- Sockets: Endpoint for Communication
 - Queues to temporarily hold results
- Connection: Two Sockets Connected Over the network ⇒ IPC over network!
 - How to open()?
 - What is the namespace?
 - How are they connected in time?

Recall: Connection Setup over TCP/IP



- Special kind of socket: server socket
 - Has file descriptor
 - Can't read or write
- Two operations:
 - 1. listen(): Start allowing clients to connect
 - 2. accept(): Create a new socket for a particular client

Recall: Connection Setup over TCP/IP

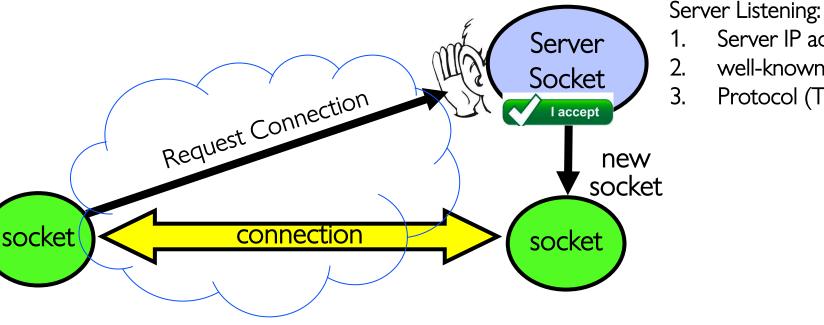
Client Side

Connection request:

Client IP addr

Client Port

Protocol (TCP/IP)



- 5-Tuple identifies each connection:
 - Source IP Address
 - Destination IP Address
 - Source Port Number
 - Destination Port Number
 - Protocol (always TCP here)

- Often, Client Port "randomly" assigned
 - Done by OS during client socket setup
- Server Port often "well known"
 - 80 (web), 443 (secure web), 25 (sendmail), etc

Server Side

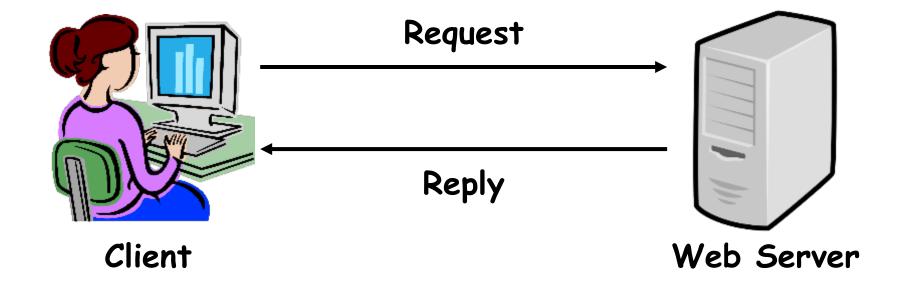
Server IP addr

well-known port,

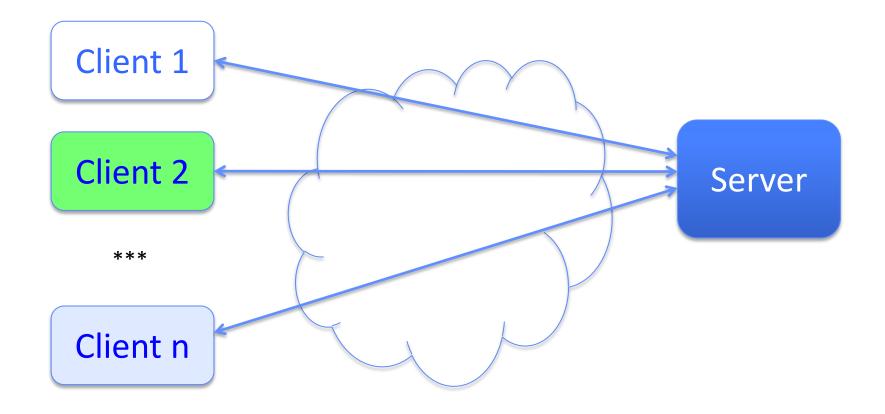
Protocol (TCP/IP)

Well-known ports from 0—1023

Web Server

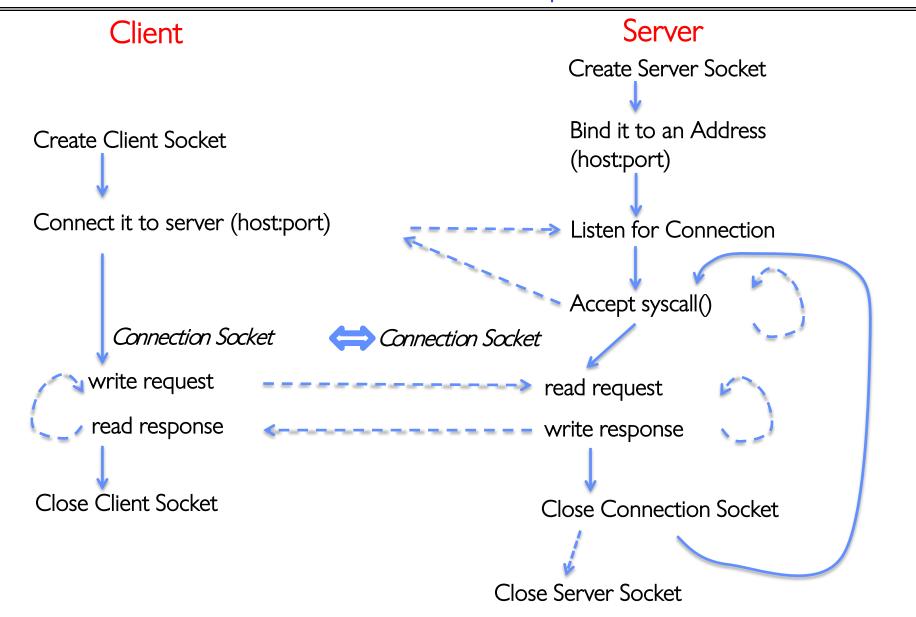


Client-Server Models



- File servers, web, FTP, Databases, ...
- Many clients accessing a common server

Sockets in concept



Client Protocol

```
char *host name, *port name;
// Create a socket
struct addrinfo *server = lookup_host(host_name, port_name);
int sock fd = socket(server->ai family, server->ai socktype,
                     server->ai_protocol);
// Connect to specified host and port
connect(sock fd, server->ai_addr, server->ai_addrlen);
// Carry out Client-Server protocol
run client(sock fd);
/* Clean up on termination */
close(sock_fd);
```

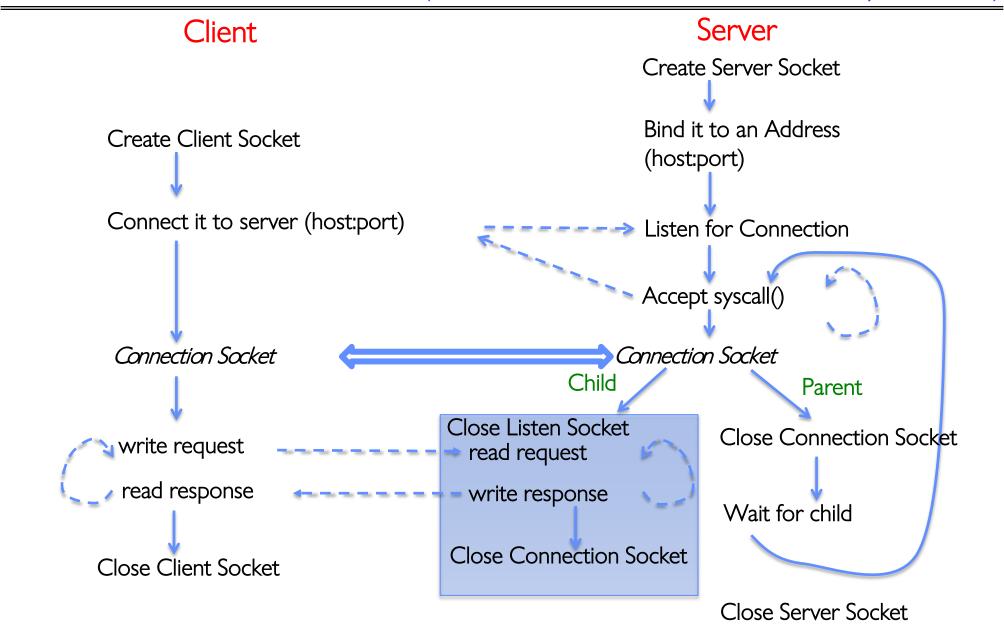
Server Protocol (v1)

```
// Create socket to listen for client connections
char *port_name;
struct addrinfo *server = setup_address(port_name);
int server_socket = socket(server->ai_family,
                           server->ai socktype, server->ai protocol);
// Bind socket to specific port
bind(server_socket, server->ai_addr, server->ai_addrlen);
// Start listening for new client connections
listen(server socket, MAX QUEUE);
while (1) {
  // Accept a new client connection, obtaining a new socket
  int conn_socket = accept(server_socket, NULL, NULL);
  serve_client(conn_socket);
  close(conn socket);
close(server_socket);
```

How Could the Server Protect Itself?

- Handle each connection in a separate process
 - This will mean that the logic serving each request will be "sandboxed" away from the main server process

Sockets With Protection (each connection has own process)



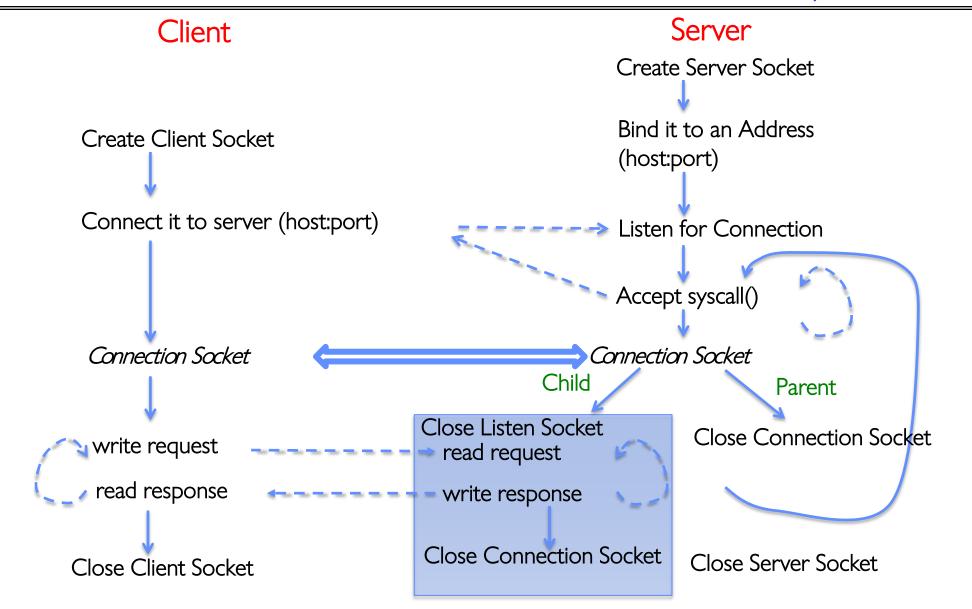
Server Protocol (v2)

```
// Socket setup code elided...
listen(server_socket, MAX_QUEUE);
while (1) {
  // Accept a new client connection, obtaining a new socket
  int conn_socket = accept(server_socket, NULL, NULL);
  pid_t pid = fork();
  if (pid == 0) {
    close(server_socket);
    serve client(conn socket);
    close(conn socket);
    exit(0);
  } else {
    close(conn_socket);
    wait(NULL);
close(server_socket);
```

Concurrent Server

- So far, in the server:
 - Listen will queue requests
 - Buffering present elsewhere
 - But server waits for each connection to terminate before servicing the next
- A concurrent server can handle and service a new connection before the previous client disconnects

Sockets With Protection and Concurrency



Server Protocol (v3)

```
// Socket setup code elided...
listen(server_socket, MAX_QUEUE);
while (1) {
  // Accept a new client connection, obtaining a new socket
  int conn_socket = accept(server_socket, NULL, NULL);
  pid_t pid = fork();
  if (pid == 0) {
    close(server_socket);
    serve client(conn socket);
    close(conn socket);
    exit(0);
  } else {
    close(conn_socket);
    //wait(NULL);
close(server_socket);
```

Server Address: Itself

```
struct addrinfo *setup_address(char *port) {
  struct addrinfo *server;
  struct addrinfo hints;
  memset(&hints, 0, sizeof(hints));
  hints.ai_family = AF_UNSPEC;
  hints.ai_socktype = SOCK_STREAM;
  hints.ai_flags = AI_PASSIVE;
  getaddrinfo(NULL, port, &hints, &server);
  return server;
```

Accepts any connections on the specified port

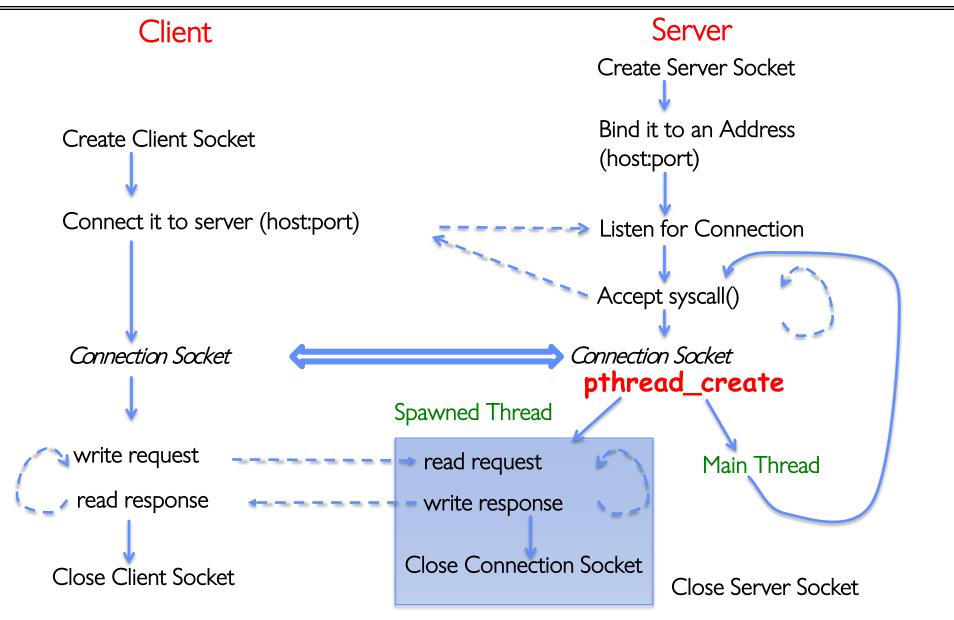
Client: Getting the Server Address

```
struct addrinfo *lookup host(char *host name, char *port) {
  struct addrinfo *server;
  struct addrinfo hints;
  memset(&hints, 0, sizeof(hints));
  hints.ai family = AF UNSPEC;
  hints.ai socktype = SOCK STREAM;
  int rv = getaddrinfo(host_name, port_name,
                       &hints, &server);
  if (rv != 0) {
    printf("getaddrinfo failed: %s\n", gai_strerror(rv));
   return NULL;
  return server;
```

Concurrent Server without Protection

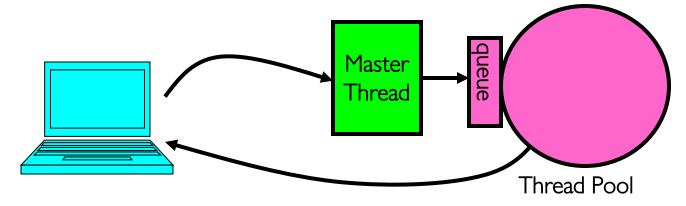
- Spawn a new thread to handle each connection
- Main thread initiates new client connections without waiting for previously spawned threads
- Why give up the protection of separate processes?
 - More efficient to create new threads
 - More efficient to switch between threads

Sockets with Concurrency, without Protection



Thread Pools: More Later!

- Problem with previous version: Unbounded Threads
 - When web-site becomes too popular throughput sinks
- Instead, allocate a bounded "pool" of worker threads, representing the maximum level of multiprogramming



```
master() {
    allocThreads(worker,queue);
    while(TRUE) {
        con=AcceptCon();
        Enqueue(queue,con);
        wakeUp(queue);
    }
}

worker(queue) {
    while(TRUE) {
        con=Dequeue(queue);
        if (con==null)
            sleepOn(queue);
        else
            ServiceWebPage(con);
    }
}
```

Administrivia

- Kubiatowicz Office Hours:
 - 1-2pm, Tuesday & Wednesday
- Friday was drop deadline. If you forgot to drop, we can't help you!
 - You need to speak with advisor services in your department about how to drop
- Recommendation: Read assigned readings before lecture
- Group sign up should have happened already
 - If you don't have 4 members in your group, we will try to find you other partners
 - Want everyone in your group to have the same TA
 - Go to your assigned section on Friday, starting this week!
- Midterm 1 conflicts
 - We will handle these conflicts next week

Administrivia (Con't)

- Back in person this week!
 - Please be up-to-date with vaccinations and wear masks!
 - » You should have a green pass if you come to class
 - I will be in VLSB 2050 on Tuesday/Thursday 3:30-5:00
 - » Will be trying to get synchronous zoom working. May take a couple of tries to get right
 - » Screen Cast for sure. If I can project it, it will be recorded...
 - We will be trying to make virtual options available for people who are sick
- Start Planning on how your group will collaborate on projects!
 - Meet regularly, in person as regularly as possible
 - » We will have more suggestions on collaborating as term goes on
 - Virtual Interactions: Plan ways of also collaborating remotely
 - » Virtual Coffee Hours with your group (with camera)
 - » Regular Brainstorming meetings?
 - Try to meet multiple times a week



Computers (Cars/other things) in the news

- Y2K22? January 2022 saw a whole new class of bugs:
 - Well, welcome to Y2K22 bugs. If you write a date/time in YYMMDDHHMM format (which is year, month, day, hour, and minute), it now exceeds 31 bits!
 - Meaning if they use unsigned instead of signed 32-bit numbers it breaks!
 - So, a bunch of systems are now broken:



Exchange (Email)





Honda Car Clocks/Navigation Systems

Recall: The Process Control Block

- Kernel represents each process as a process control block (PCB)
 - Status (running, ready, blocked, ...)
 - Register state (when not ready)
 - Process ID (PID), User, Executable, Priority, ...
 - Execution time, ...
 - Memory space, translation, ...
- Kernel Scheduler maintains a data structure containing the PCBs
 - Give out CPU to different processes
 - This is a Policy Decision
- Give out non-CPU resources
 - Memory/IO
 - Another policy decision

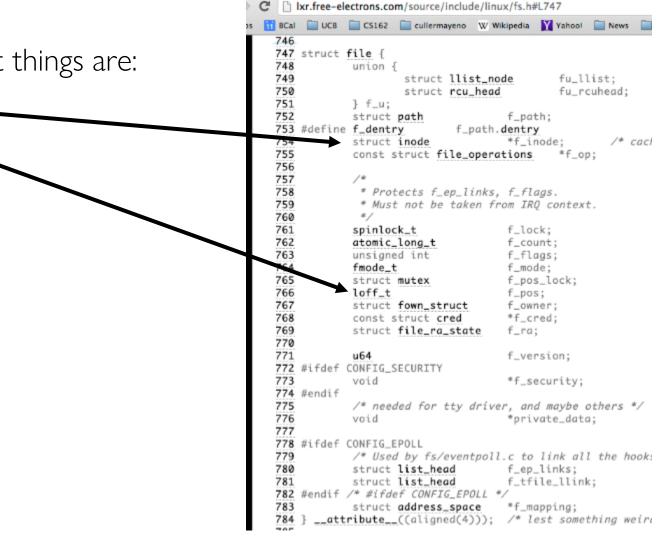
process state process number program counter registers memory limits list of open files

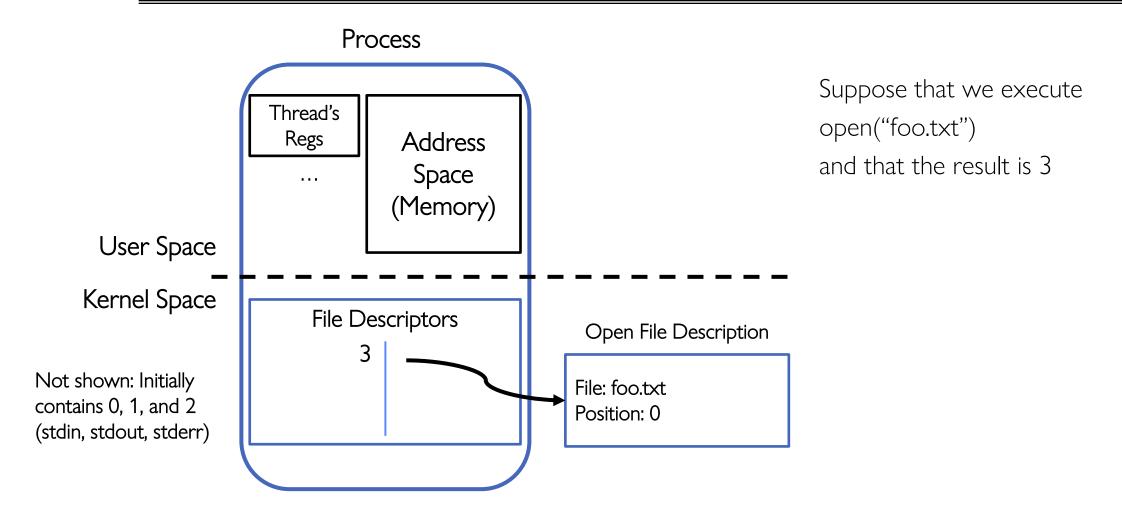
> Process Control Block

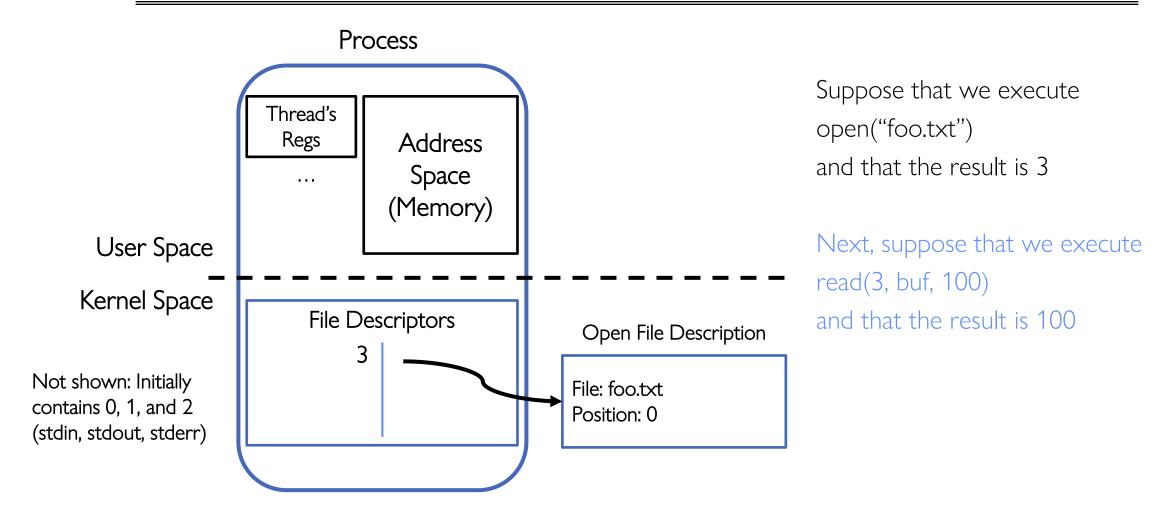
Recall: What's in an Open File Description?

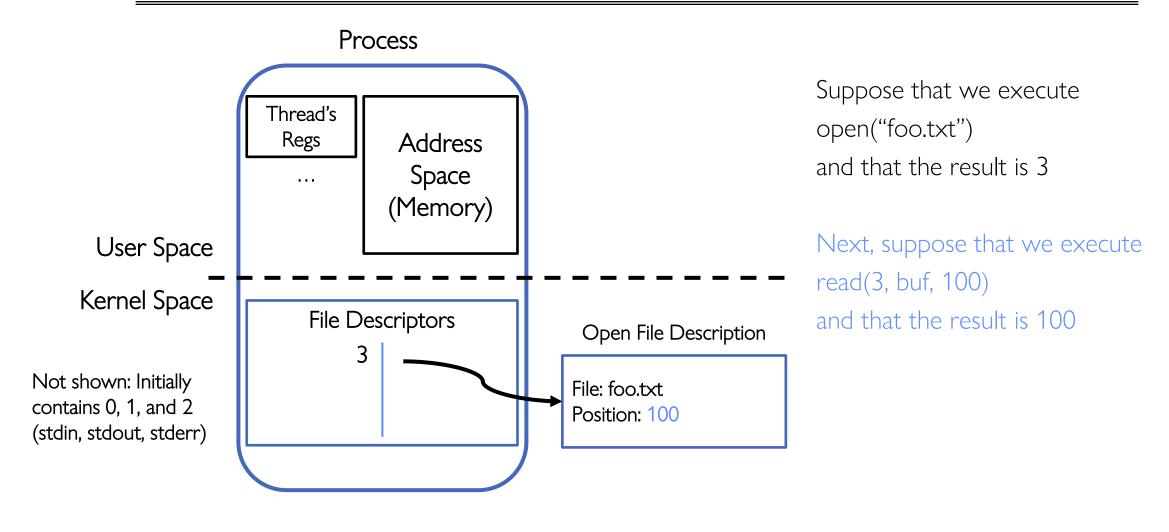
For our purposes, the two most important things are:

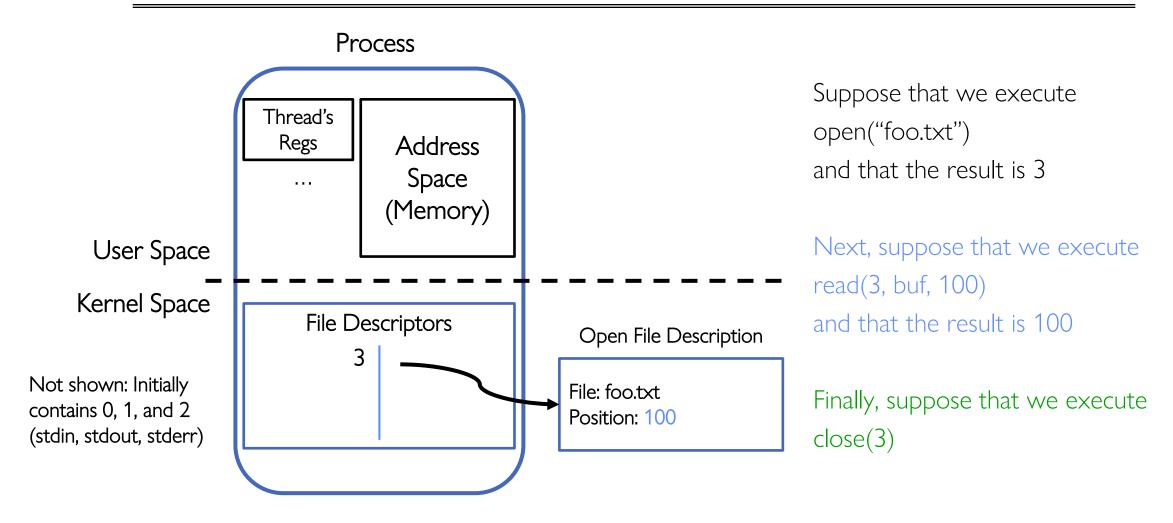
- Where to find the file data on disk
- The current position within the file



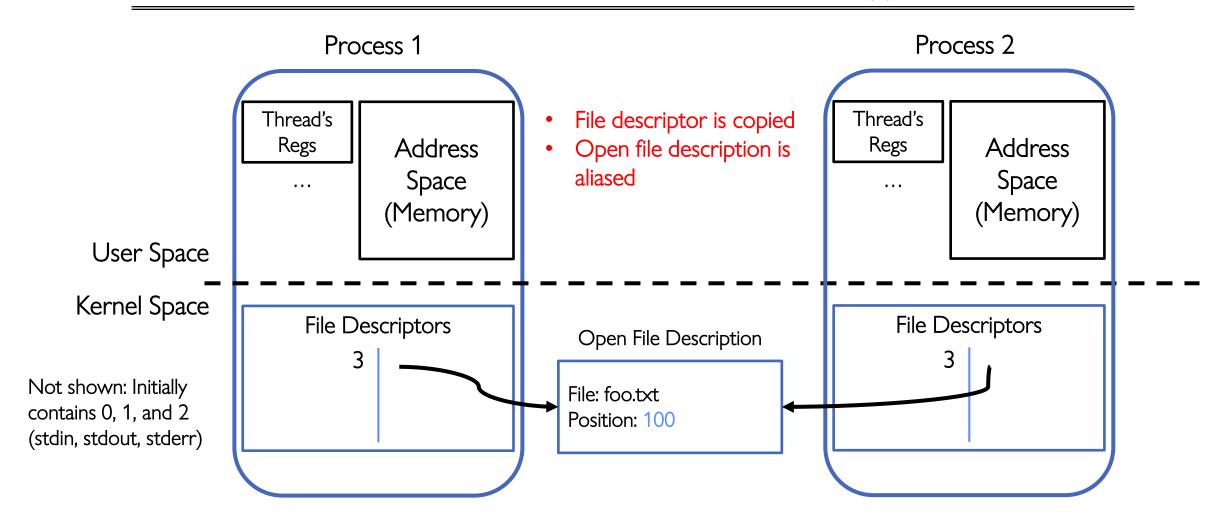




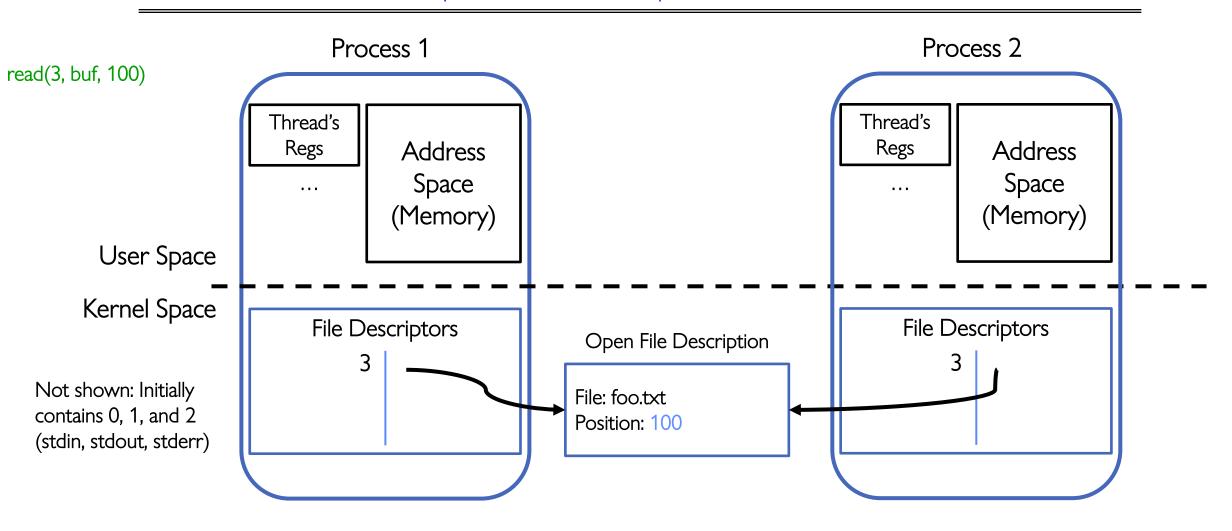




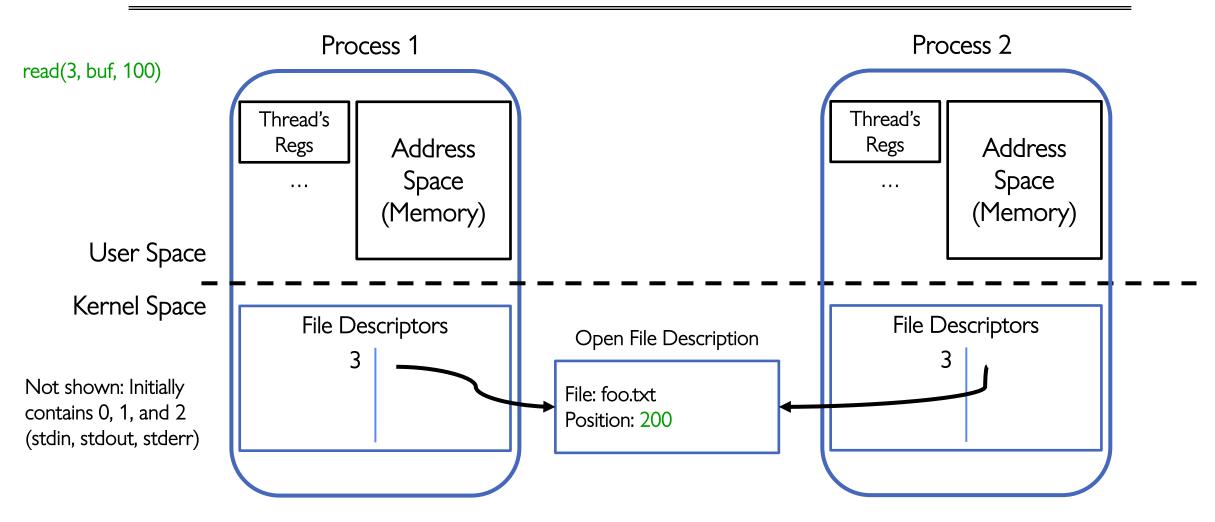
Instead of Closing, let's fork()!



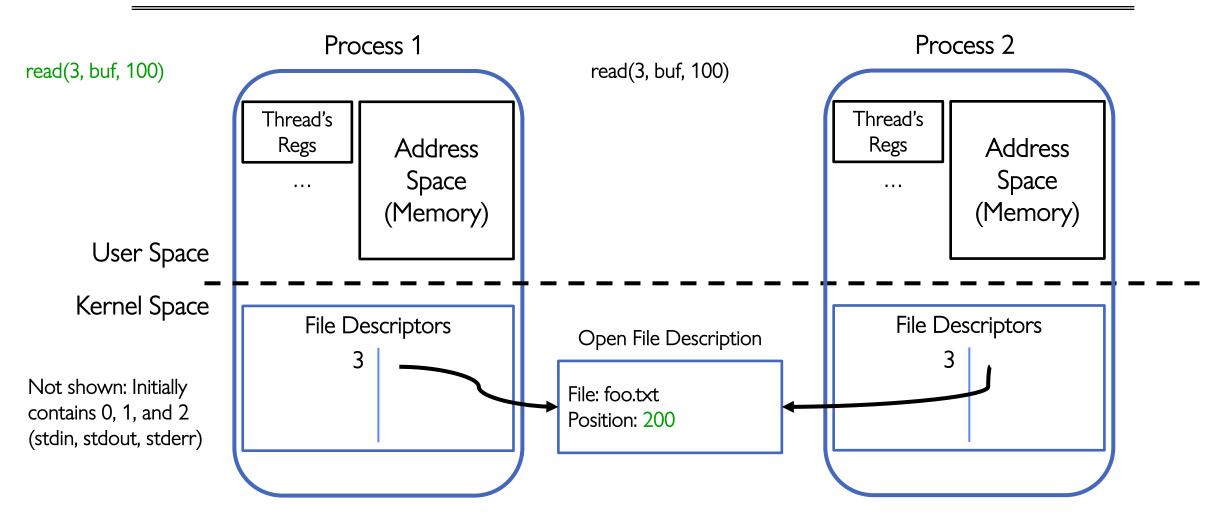
Open File Description is Aliased



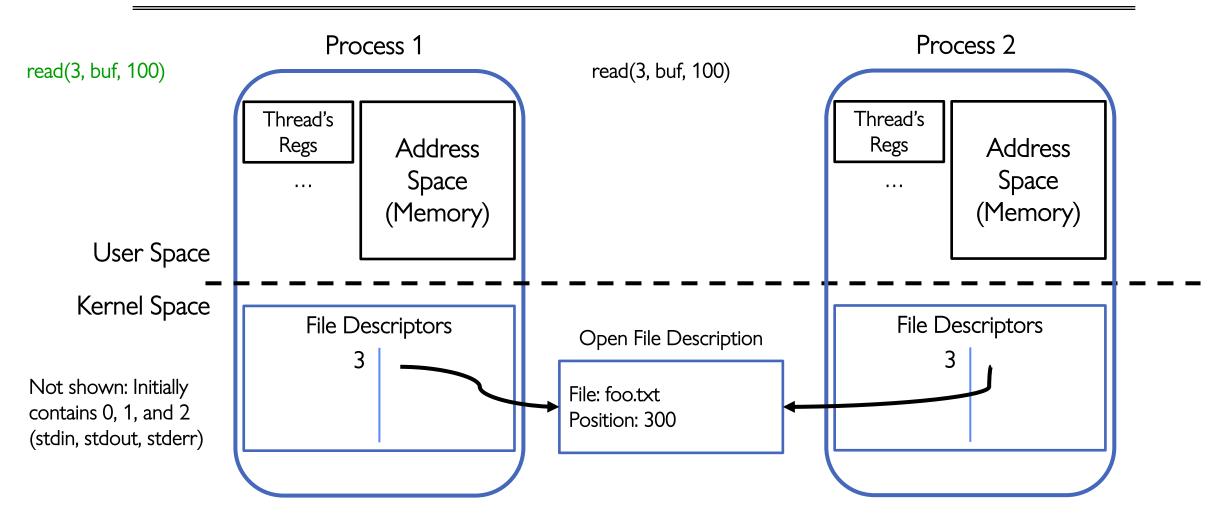
Open File Description is *Aliased*



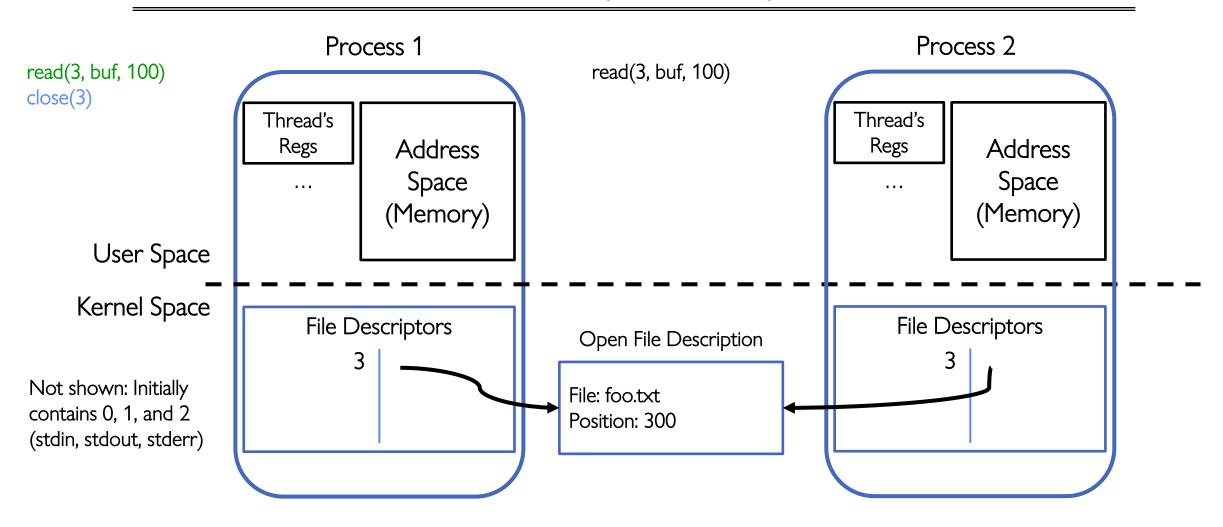
Open File Description is Aliased



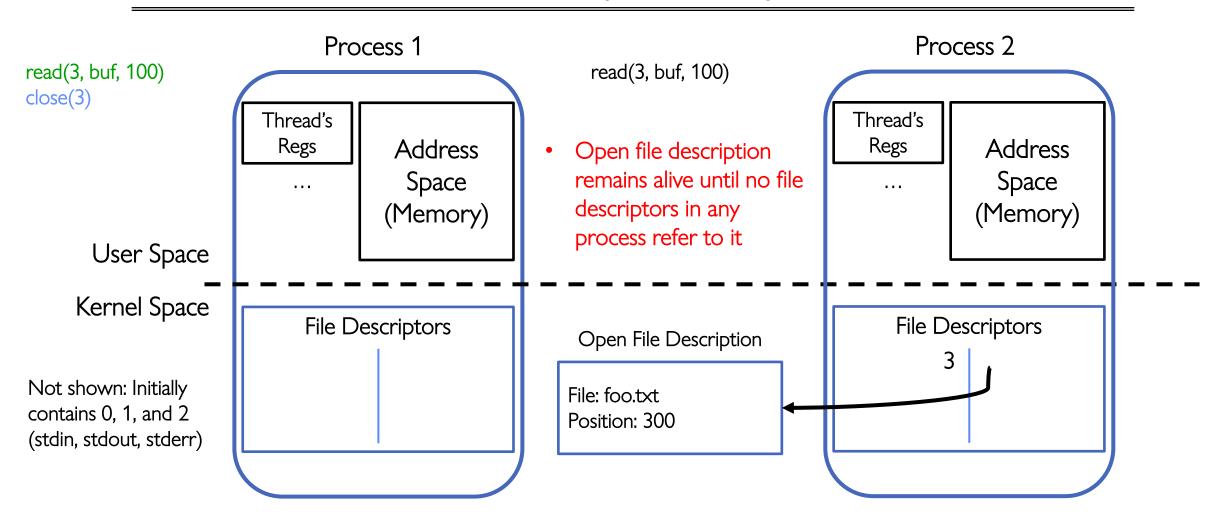
Open File Description is Aliased



File Descriptor is Copied



File Descriptor is Copied



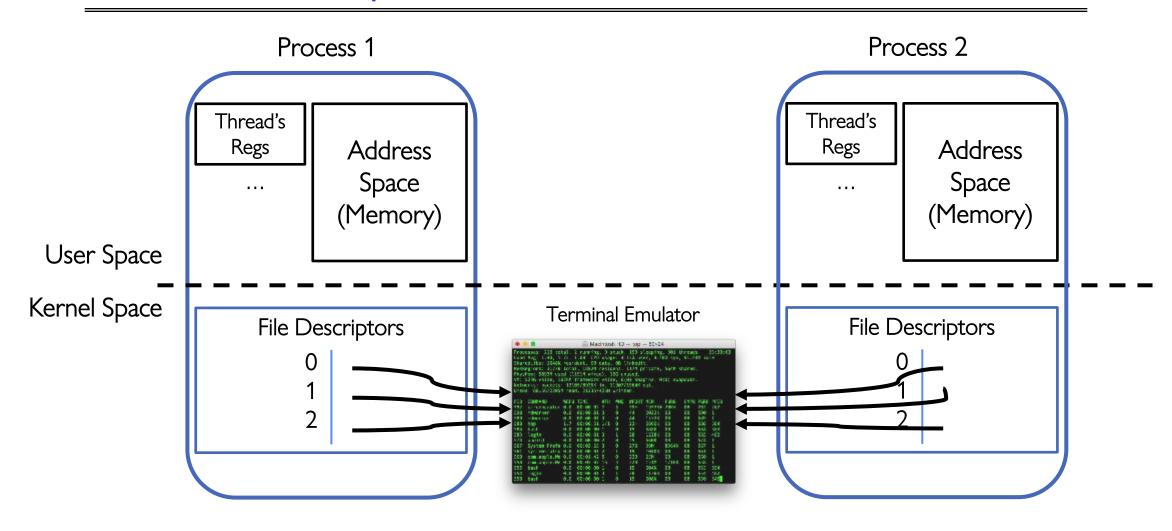
Why is Aliasing the Open File Description a Good Idea?

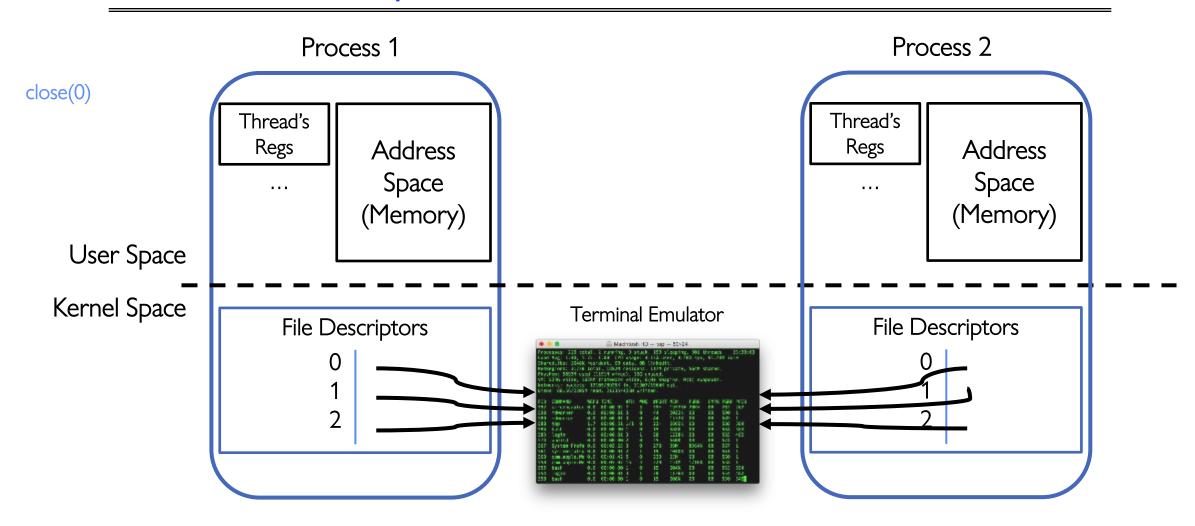
• It allows for shared resources between processes

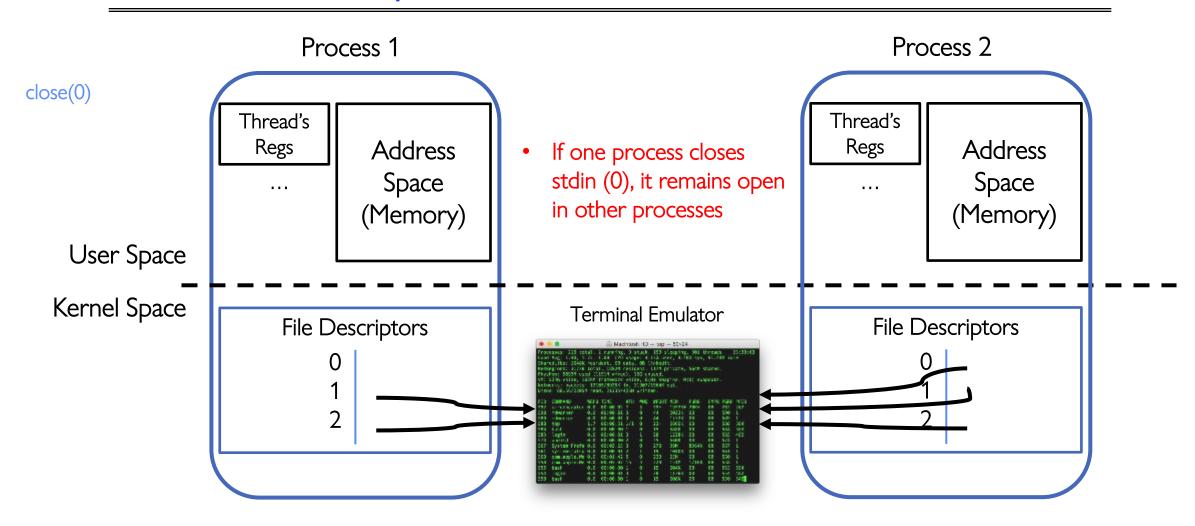
Recall: In POSIX, Everything is a "File"

- Identical interface for:
 - Files on disk
 - Devices (terminals, printers, etc.)
 - Regular files on disk
 - Networking (sockets)
 - Local interprocess communication (pipes, sockets)
- Based on the system calls open(), read(), write(), and close()

• When you **fork()** a process, the parent's and child's **printf** outputs go to the same terminal







Other Examples

- Shared network connections after **fork()**
 - Allows handling each connection in a separate process
 - We'll explore this next time
- Shared access to pipes
 - Useful for interprocess communication
 - And in writing a shell (Homework 2)

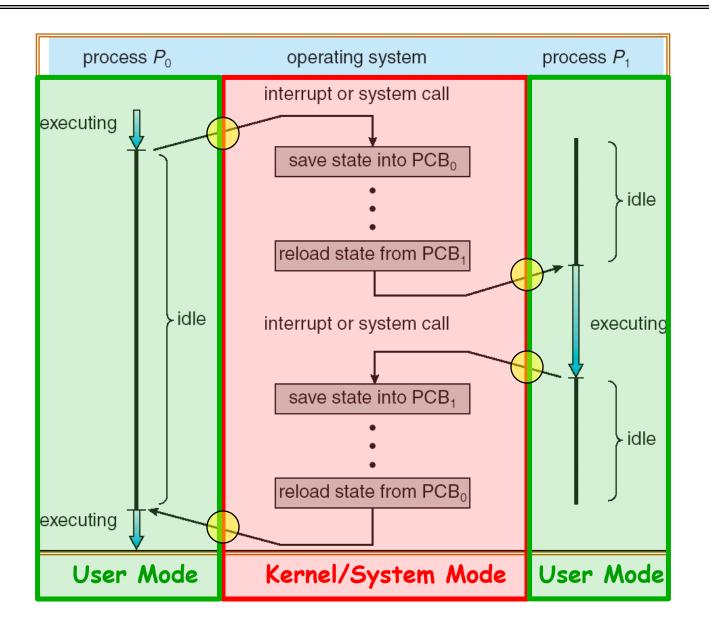
Recall: How do we Multiplex Processes?

- The current state of process held in a process control block (PCB):
 - This is a "snapshot" of the execution and protection environment
 - Only one PCB active at a time
- Give out CPU time to different processes (Scheduling):
 - Only one process "running" at a time
 - Give more time to important processes
- Give pieces of resources to different processes (Protection):
 - Controlled access to non-CPU resources
 - Example mechanisms:
 - » Memory Trnslation: Give each process their own address space
 - » Kernel/User duality: Arbitrary multiplexing of I/O through system calls

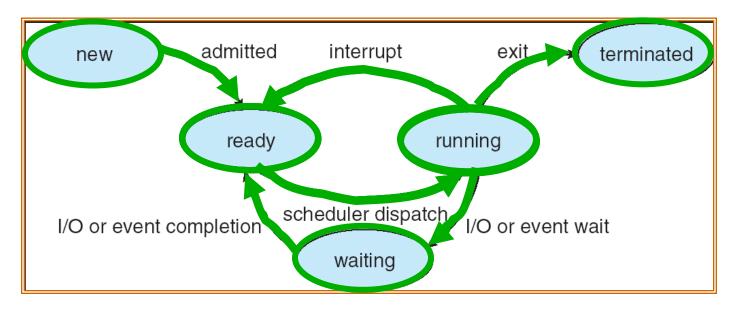
process state process number program counter registers memory limits list of open files

> Process Control Block

Recall: CPU Switch From Process A to Process B

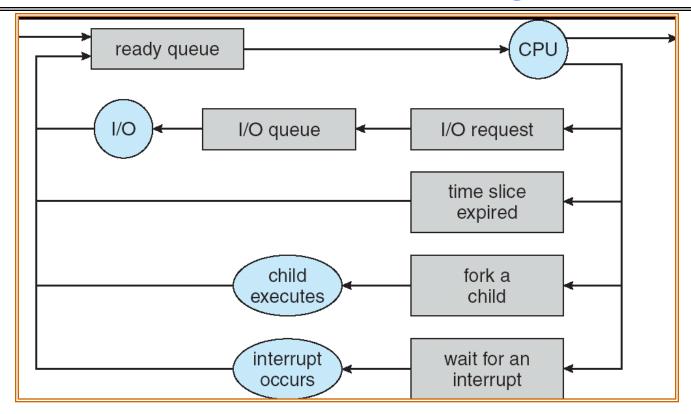


Lifecycle of a Process



- As a process executes, it changes state:
 - new: The process is being created
 - ready: The process is waiting to run
 - running: Instructions are being executed
 - waiting: Process waiting for some event to occur
 - terminated: The process has finished execution

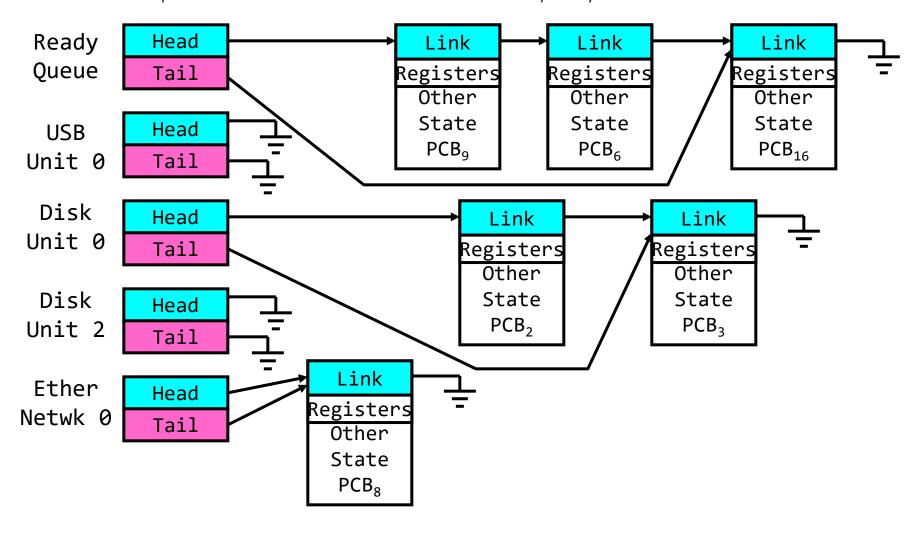
Process Scheduling



- PCBs move from queue to queue as they change state
 - Decisions about which order to remove from queues are Scheduling decisions
 - Many algorithms possible (few weeks from now)

Ready Queue And Various I/O Device Queues

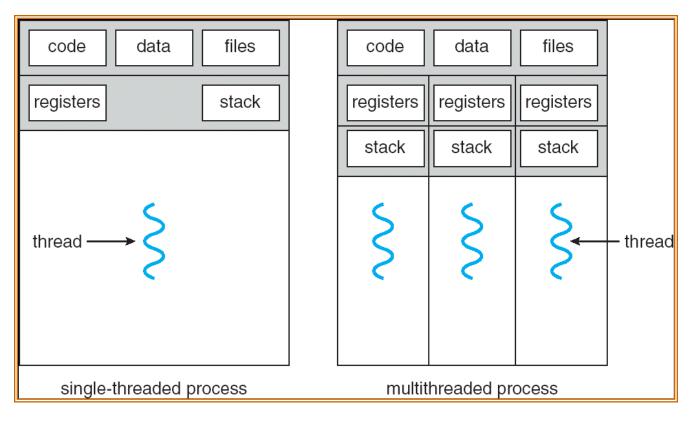
- Process not running ⇒ PCB is in some scheduler queue
 - Separate queue for each device/signal/condition
 - Each queue can have a different scheduler policy



Modern Process with Threads

- Thread: a sequential execution stream within process (Sometimes called a "Lightweight process")
 - Process still contains a single Address Space
 - No protection between threads
- Multithreading: a single program made up of a number of different concurrent activities
 - Sometimes called multitasking, as in Ada ...
- Why separate the concept of a thread from that of a process?
 - Discuss the "thread" part of a process (concurrency)
 - Separate from the "address space" (protection)
 - Heavyweight Process ≡ Process with one thread

Single and Multithreaded Processes



- Threads encapsulate concurrency: "Active" component
- Address spaces encapsulate protection: "Passive" part
 - Keeps buggy program from trashing the system
- Why have multiple threads per address space?

Thread State

- State shared by all threads in process/address space
 - Content of memory (global variables, heap)
 - I/O state (file descriptors, network connections, etc)
- State "private" to each thread
 - Kept in TCB

 Thread Control Block
 - CPU registers (including, program counter)
 - Execution stack what is this?
- Execution Stack
 - Parameters, temporary variables
 - Return PCs are kept while called procedures are executing

Shared vs. Per-Thread State

Shared State

Per-Thread State Per–Thread State

Heap

Global

Variables

Thread Control Block (TCB)

Stack Information

> Saved Registers

Thread Metadata Thread Control Block (TCB)

Stack Information

Saved Registers

Thread Metadata

Code

Stack

Stack

```
A(int tmp) {
   A:
         if (tmp<2)</pre>
 A+1:
           B();
A+2:
         printf(tmp);
       B() {
   B:
         C();
B+1:
         A(2);
C+1:
       A(1);
exit:
```

- Stack holds temporary results
- Permits recursive execution
- Crucial to modern languages

```
A(int tmp) {
         if (tmp<2)</pre>
   A:
 A+1:
            B();
A+2:
         printf(tmp);
       B() {
   B:
         C();
 B+1:
         A(2);
C+1:
       A(1);
exit:
```

```
A: tmp=1
ret=exit
Pointer
```

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```
A(int tmp) {
         if (tmp<2)
 A+1:
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A+2:
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 B+1:
       C() {
         A(2);
C+1:
       A(1);
exit:
```

```
A: tmp=1
ret=exit

B: ret=A+2

Stack

Pointer
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A(int tmp) {
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   B:
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 B+1:
       C() {
         A(2);
C+1:
       A(1);
exit:
```

```
A: tmp=1
ret=exit

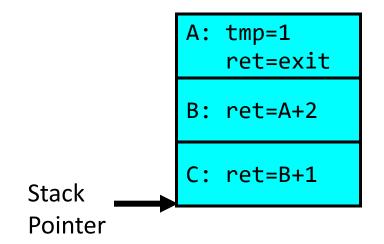
B: ret=A+2

Stack

Pointer
```

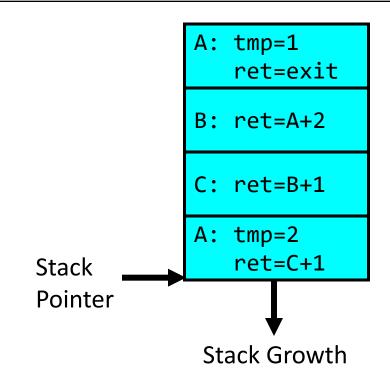
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```

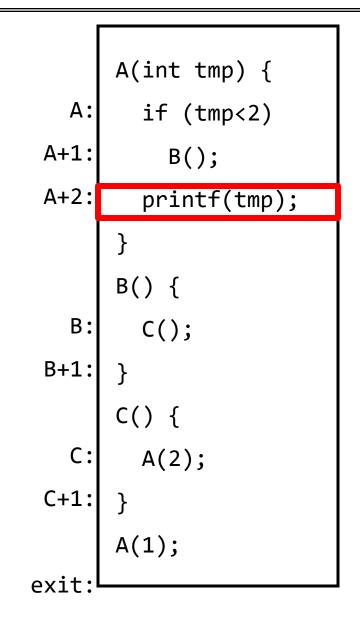


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```

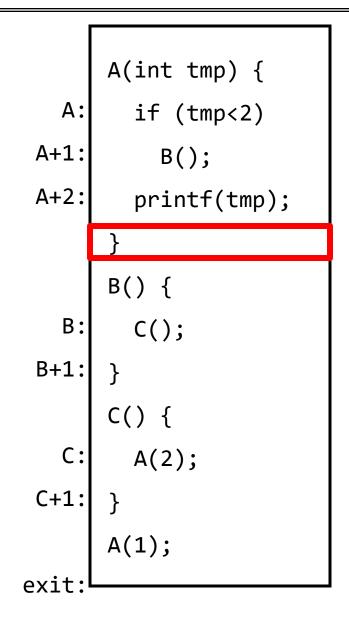


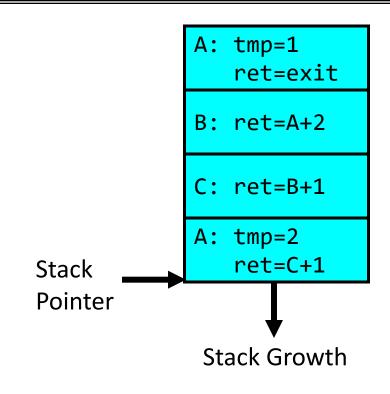
- Stack holds temporary results
- Permits recursive execution
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```
A: tmp=1
                ret=exit
             B: ret=A+2
             C: ret=B+1
            A: tmp=2
                ret=C+1
Stack
Pointer
             Stack Growth
```

- Stack holds temporary results
- Permits recursive execution
- Crucial to modern languages





- Stack holds temporary results
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         A(2);
 C+1:
       A(1);
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```

```
A: tmp=1
ret=exit

B: ret=A+2

C: ret=B+1

Stack
Pointer
```

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A(int tmp) {
   A:
         if (tmp<2)</pre>
 A+1:
            B();
 A+2:
         printf(tmp);
       B() {
   B:
         C();
B+1:
       C() {
         A(2);
C+1:
       A(1);
exit:
```

```
A: tmp=1
ret=exit

B: ret=A+2

Stack

Pointer
```

- Stack holds temporary results
- Permits recursive execution
- Crucial to modern languages

```
A(int tmp) {
         if (tmp<2)</pre>
   A:
 A+1:
            B();
 A+2:
          printf(tmp);
       B() {
   B:
         C();
 B+1:
         A(2);
C+1:
       A(1);
exit:
```

```
Stack
Pointer

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A(int tmp) {
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   B:
         C();
 B+1:
         A(2);
C+1:
       A(1);
exit:
```

```
Stack
Pointer

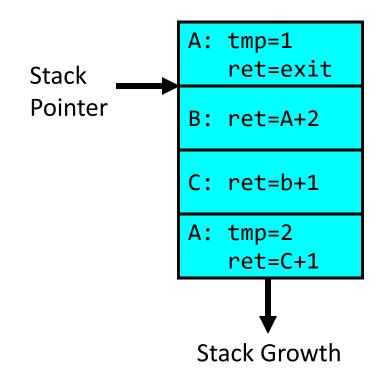
A: tmp=1
ret=exit
```

- Stack holds temporary results
- Permits recursive execution
- Crucial to modern languages

```
A(int tmp) {
  if (tmp<2)</pre>
    B();
  printf(tmp);
B() {
  C();
C() {
  A(2);
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```

- Stack holds temporary results
- Permits recursive execution
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A(int tmp) {
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  printf(tmp);
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A(1);
```



- Stack holds temporary results
- Permits recursive execution
- Crucial to modern languages

Motivational Example for Threads

• Imagine the following C program:

```
main() {
    ComputePI("pi.txt");
    PrintClassList("classlist.txt");
}
```

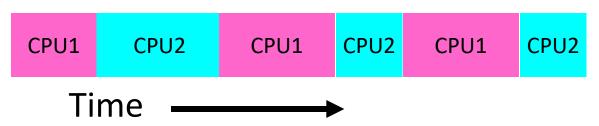
- What is the behavior here?
 - Program would never print out class list
 - Why? ComputePI would never finish

Use of Threads

Version of program with Threads (loose syntax):

```
main() {
    ThreadFork(ComputePI, "pi.txt"));
    ThreadFork(PrintClassList, "classlist.txt"));
}
```

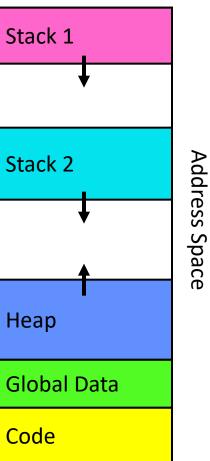
- What does ThreadFork() do?
 - Start independent thread running given procedure
- What is the behavior here?
 - Now, you would actually see the class list
 - This should behave as if there are two separate CPUs



Memory Footprint: Two-Threads

• If we stopped this program and examined it with a debugger, we would see

- Two sets of CPU registers
- Two sets of Stacks
- Questions:
 - How do we position stacks relative to each other?
 - What maximum size should we choose for the stacks?
 - What happens if threads violate this?
 - How might you catch violations?



OS Library API for Threads: *pthreads*

```
pThreads: POSIX standard for thread programming [POSIX.1c, Threads extensions (IEEE Std 1003.1c-1995)]
```

- thread is created executing start_routine with arg as its sole argument.
- return is implicit call to pthread_exit

void pthread_exit(void *value_ptr);

terminates the thread and makes value_ptr available to any successful join

int pthread_yield();

- causes the calling thread to yield the CPU to other threads

int pthread join(pthread t thread, void **value ptr);

- suspends execution of the calling thread until the target thread terminates.
- On return with a non-NULL value_ptr the value passed to <u>pthread_exit()</u> by the terminating thread is made available in the location referenced by value_ptr.

prompt% man pthread https://pubs.opengroup.org/onlinepubs/7908799/xsh/pthread.h.html

Dispatch Loop

• Conceptually, the dispatching loop of the operating system looks as follows:

```
Loop {
   RunThread();
   ChooseNextThread();
   SaveStateOfCPU(curTCB);
   LoadStateOfCPU(newTCB);
}
```

- This is an infinite loop
 - One could argue that this is all that the OS does
- Should we ever exit this loop???
 - When would that be?

Running a thread

Consider first portion: RunThread()

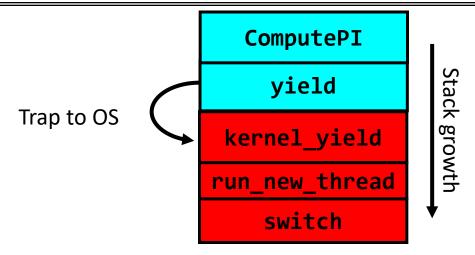
- How do I run a thread?
 - Load its state (registers, PC, stack pointer) into CPU
 - Load environment (virtual memory space, etc)
 - Jump to the PC
- How does the dispatcher get control back?
 - Internal events: thread returns control voluntarily
 - External events: thread gets preempted

Internal Events

- Blocking on I/O
 - The act of requesting I/O implicitly yields the CPU
- Waiting on a "signal" from other thread
 - Thread asks to wait and thus yields the CPU
- Thread executes a yield()
 - Thread volunteers to give up CPU

```
computePI() {
    while(TRUE) {
        ComputeNextDigit();
        yield();
    }
}
```

Stack for Yielding Thread



How do we run a new thread?

```
run_new_thread() {
   newThread = PickNewThread();
   switch(curThread, newThread);
   ThreadHouseKeeping(); /* Do any cleanup */
}
```

- How does dispatcher switch to a new thread?
 - Save anything next thread may trash: PC, regs, stack pointer
 - Maintain isolation for each thread

What Do the Stacks Look Like?

 Consider the following code blocks:

```
Thread T
                                Thread S
proc A() {
   B();
                                  Α
                                                       Α
                       growth
                               B(while)
                                                   B(while)
                       Stack
proc B() {
                                yield
                                                    yield
    while (TRUE)
                           run_new_thread
                                                run_new_thread
       yield();
                                switch
                                                    switch
```

- Suppose we have 2 threads:
 - Threads S and T

Saving/Restoring state (often called "Context Switch)

```
Switch (tCur, tNew) {
   /* Unload old thread */
   TCB[tCur].regs.r7 = CPU.r7;
   TCB[tCur].regs.r0 = CPU.r0;
   TCB[tCur].regs.sp = CPU.sp;
   TCB[tCur].regs.retpc = CPU.retpc; /*return addr*/
   /* Load and execute new thread */
   CPU.r7 = TCB[tNew].regs.r7;
   CPU.r0 = TCB[tNew].regs.r0;
   CPU.sp = TCB[tNew].regs.sp;
   CPU.retpc = TCB[tNew].regs.retpc;
   return; /* Return to CPU.retpc */
```

Switch Details (continued)

- What if you make a mistake in implementing switch?
 - Suppose you forget to save/restore register 32
 - Get intermittent failures depending on when context switch occurred and whether new thread uses register 32
 - System will give wrong result without warning
- Can you devise an exhaustive test to test switch code?
 - No! Too many combinations and inter-leavings
- Cautionary tale:
 - For speed, Topaz kernel saved one instruction in switch()
 - Carefully documented! Only works as long as kernel size < 1MB
 - What happened?
 - » Time passed, People forgot
 - » Later, they added features to kernel (no one removes features!)
 - » Very weird behavior started happening
 - Moral of story: Design for simplicity

Conclusion

- Socket: an abstraction of a network I/O queue (IPC mechanism)
- Processes have two parts
 - One or more Threads (Concurrency)
 - Address Spaces (Protection)
- Concurrency accomplished by multiplexing CPU Time:
 - Unloading current thread (PC, registers)
 - Loading new thread (PC, registers)
 - Such context switching may be voluntary (yield(), I/O operations) or involuntary (timer, other interrupts)