Outline

- Graph Algorithms
- Graph Representations
- Breadth First Search (BFS)
- Depth First Search (DFS)
- Topological Sort
- Strong Connectivity
- DFS for Undirected Graphs
- Biconnectivity Problem



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- If $(u, v) \in E$, we say v is a neighbor of u.
- The degree deg(u) of a vertex u is the number of edges incident to u.

Fact

$$\sum_{v \in V} deg(v) = 2m$$

This is because, for each e = (u, v), e is counted twice in the sum, once for deg(v) and once for deg(u).

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- The out-degree deg_{out}(u) of a vertex u is the number of edges that are directed from u.

Fact

$$\sum_{v \in V} deg_{in}(v) = \sum_{v \in V} deg_{out}(v) = m$$

This is because, for each $e = (u \rightarrow v)$, e is counted once $(deg_{in}(v))$ in the sum of in-degrees, and once $(deg_{out}(u))$ in the sum of out-degrees.

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- If m is close to n, we say G is sparse. If m is close to n^2 , we say G is dense.
- Because n and m are rather independent to each other, we usually use both parameters to describe the runtime of a graph algorithm. Such as O(n+m) or $O(n^{1/2}m)$.

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We mainly use two graph representations.

Adjacency Matrix Representation

$$A[i,j] = \begin{cases} 1 & \text{if } (v_i, v_j) \in E \\ 0 & \text{if } (v_i, v_j) \notin E \end{cases}$$

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Adjacency Matrix Representation

We use a 2D array A[1..n, 1..n] to represent G = (V, E):

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• Sometimes, there are other information associated with the edges. For example, each edge $e=(v_i,v_j)$ may have a weight $w(e)=w(v_i,v_j)$ (for example, MST). In this case, we set $A[i,j]=w(v_i,v_j)$.

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- For directed graphs, A[*,*] is not necessarily symmetric.



Adjacency List Representation

• For each vertex $v \in V$, there's a linked list Adj[v]. Each entry of Adj[v] is a vertex w such that $(v, w) \in E$.

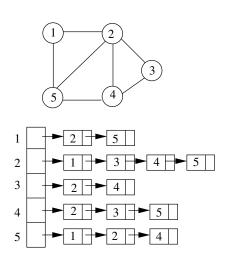
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- For undirected graphs, each edge e = (u, v) has two entries in this representation, one in Adj[u] and one in Adj[v].
- The Adjacency List Representation for directed graphs is similar. For each edge $e = u \rightarrow v$, there is an entry in Adj[u].
- For directed graphs, each edge has only one entry in the representation.

Example



	1	2	3	4	5
1	0	1	0	0	1
2	1	0	1	1	1
3	0	1	0	1	0
4	0	1	1	0	1
5	1	1	0	1	0

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- How easy to implement.



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- If we use Adj List, it is possible the algorithm can run in linear $\Theta(m+n)$ time.

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Before describing details, we need to pick a graph representation. Because we need to visit all neighbors of a vertex, it seems we need the neighbor listing operation. So we use Adj list representation.

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- d[u]: the distance from u to the starting vertex s.

In addition, we also use a queue Q as mentioned earlier.

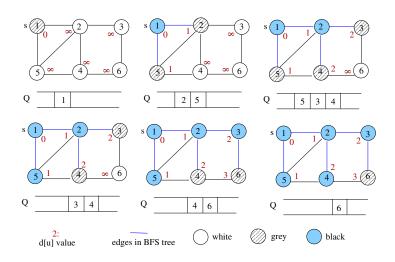


$\mathsf{BFS}(G,s)$

- 2 for each $u \in V \{s\}$ do
- $\pi[u] = \text{NIL}; \quad d[u] = \infty; \quad \text{color}[u] = \text{white}$
- \bullet d[s] = 0; $\operatorname{color}[s] = \operatorname{grey}; \pi[s] = \operatorname{NIL}$
- **1 Enqueue**(Q, s)
- **1** while $Q \neq \emptyset$ do
- $u \leftarrow \mathsf{Dequeue}(Q)$
- for each $v \in Adj[u]$ do
- then $\operatorname{color}[v] = \operatorname{grey}; d[v] \leftarrow d[u] + 1; \ \pi[v] \leftarrow u; \operatorname{Enqueue}(Q, v)$
- $\mathbf{0}$ $\operatorname{color}[u] = \operatorname{black}$



BFS: Example



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- If the order is different, then the progress of the BFS algorithm would be different. And the BFS tree T constructed by the algorithm would be different.
- However, regardless of which order we use, the properties of the BFS algorithm and BFS tree are always true.

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BFS algorithm takes $\Theta(n+m)$ time.



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Proof: First, we make the following observations:

• Each vertex $v \in V$ is enqueued and dequeued exactly once.

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- At any moment during the execution, the vertices in Q consist of two parts, Q_1 followed by Q_2 (either of them can be empty).
 - For all $w \in Q_1$, d[w] = k for some k.



Theorem

Let G = (V, E) be a graph. Let d[u] be the value computed by BFS algorithm. Then for any $(u, v) \in E$, $|d[u] - d[v]| \le 1$.

- Each vertex $v \in V$ is enqueued and dequeued exactly once.
- Initially color[v] =white. When it is enqueued, color[v] becomes grey. When it is dequeued, color[v] becomes black. The color remains black until the end.
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- At any moment during the execution, the vertices in Q consist of two parts, Q_1 followed by Q_2 (either of them can be empty).
 - For all $w \in Q_1$, d[w] = k for some k.
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- Then *v* is in *Q* at that moment.
- By the previous observation, d[u] = k for some k, and d[v] = k or k + 1. Thus $d[v] d[u] \le 1$.

Definition

Let G = (V, E) be a graph and T a spanning tree of G rooted at the vertex s. Let x and y be two vertices. Let (u, v) be an edge of G.

If x is on the path from y to s, we say x is an ancestor of y, and y is a
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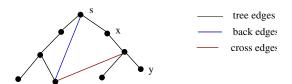
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- If neither u is an ancestor of v, nor v is an ancestor of u, we say (u, v) is a cross edge.



Theorem

Let *T* be the BFS tree constructed by the BFS algorithm. Then there are no back edges for *T*.

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Shortest Path Problem

Let G = (V, E) be a graph and s a vertex of G. For each $u \in V$, let $\delta(s, u)$ be the length of the shortest path between s and u.

Problem: For all $u \in V$, find $\delta(s, u)$ and the shortest path between s and u.

BFS: Applications

Theorem

Let d[u] be the value computed by BFS algorithm and T the BFS tree constructed by BFS algorithm. Then for each vertex $u \in V$,

- $\bullet \ d[u] = \delta(s, u).$
- The tree path in *T* from *u* to *s* is the shortest path.

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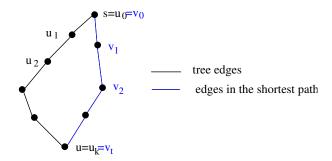
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Proof: Let $P = \{s = u_0, u_1, \dots, u_k = u\}$ be the path from *s* to *u* in the BFS tree *T*. Then: $d[u] = d[u_k] = k$, $d[u_{k-1}] = k - 1$, $d[u_{k-2}] = k - 2$...

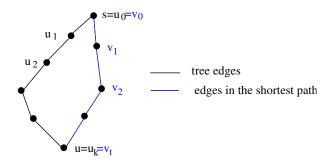
Suppose $P' = \{s = v_0, v_1, v_2, \dots, v_t\}$ is the shortest path from s to u in G. We need to show k = t.

Toward a contradiction, suppose t < k. Then there must exist $(v_i, v_{i+1}) \in P'$ such that $|d[v_i] - d[v_{i+1}]| \ge 2$. This is impossible.

Shortest Path Problem



Shortest Path Problem



BFS algorithm solves the Single Source Shortest Path problem in $\Theta(n+m)$ time.

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Connectivity Problem

Given G = (V, E), is G a connected graph? If not, find the connected components of G.

We can use BFS algorithm to solve the connectivity problem.



In the **BFS** algorithm, delete the lines 2-3 (initialization of vertex variables).

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```
Connectivity(G = (V, E))
```

- for each $i \in V$ do
- color[i] = white; $d[i] = \infty$; $\pi[i] = nil$;
- count = 0; (count will be the number of connected components)
- 4 for i = 1 to n do
- if color[i] = white then
- **call BFS**(G, i); count = count+1
- output count;
- end



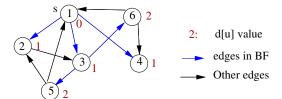
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- If count= 1, G is connected. The algorithm also constructs a BFS tree.
- If count> 1, G is not connected. The algorithm also constructs a BFS spanning forest F of G. F is a collection of trees.
- Each tree corresponds to a connected component of G.

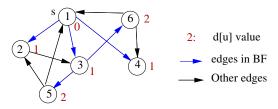
BFS for Directed Graphs

BFS algorithm can be applied to directed graphs without any change.



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Definition

Let G = (V, E) be a directed graph, T a spanning tree rooted at s. An edge $e = u \rightarrow v$ is called:

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- backward edge if u is a decedent of v.
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BFS for Directed Graphs: Property

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Let G = (V, E) be a directed graph. Let T be the BFS tree constructed by BFS algorithm. Then there are no forward edges with respect to T.

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Let d[u] be the value computed by BFS algorithm and T the BFS tree constructed by BFS algorithm. Then for each vertex $u \in V$,

- The tree path in T from s to u is the shortest path.
- d[u] = the length of the shortest path from s to u.

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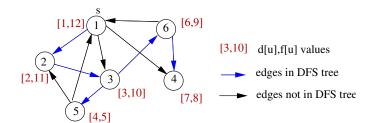
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- Start at the starting vertex s.
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- Backtrack to a vertex that still has unvisited neighbors, and continue

DFS: Example



• It is easier to describe the DFS by using a recursive algorithm.

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- DFS also computes two variables for each vertex $u \in V$:
 - d[u]: The time when u is "discovered", i.e. pushed on the stack.
 - f[u]: the time when u is "finished", i.e. popped from the stack.
- These variables will be used in applications.

$\mathsf{DFS}(G)$

- **1 for** each vertex $u \in V$ **do**
- color[u] \leftarrow white; $\pi[u] = \text{NIL}$
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$\mathbf{DFS\text{-}Visit}(u)$

- **1** color[u] \leftarrow grey; $time \leftarrow time + 1$; $d[u] \leftarrow time$
- 2 for each vertex $v \in Adj[u]$ do
- 4 then $\pi[v] \leftarrow u$; DFS-Visit(v)
- \bigcirc color[u] \leftarrow black
- $[oldsymbol{o}]$ $f[u] \leftarrow time \leftarrow time + 1$

DFS: Properties

Let T be the DFS tree of G by DFS algorithm. Let [d[u], f[u]] be the time interval computed by DFS algorithm. Let $u \neq v$ be any two vertices of G.

- The intervals of [d[u], f[u]] and [d[v], f[v]] are either disjoint or one is contained in another.
- [d[u], f[u]] is contained in [d[v], f[v]] if and only if u is a descendent of v with respect to T.

Classification of Edges

Let G = (V, E) be a directed graph and T a spanning tree of G. The edge $e = u \rightarrow v$ of G can be classified as:

- tree edge if $e = u \rightarrow v \in T$.
- back-edge if $e \notin T$ and v is an ancestor of u.
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Classification of Edges

Let G = (V, E) be a directed graph and T the spanning tree of G constructed by DFS algorithm. The classification of the edges can be done as follows.

- When $e = u \rightarrow v$ is first explored by DFS, color e by the color[v].
- If color[v] is white, then e is white and is a tree edge.
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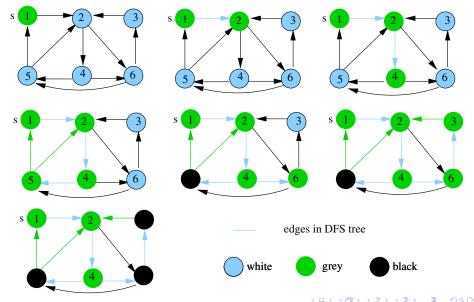
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For DFS tree of directed graphs, all four types of edges are possible.

DFS: Example



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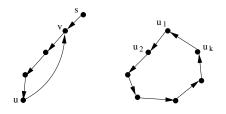
Theorem

Let G be a directed graph, and T the DFS tree of G. Then G is DAG \iff there are no back edges.

Proof: \Longrightarrow Suppose $e = u \to v$ is a back edge. Let P be the path in T from v to u. Then the directed path P followed by $e = u \to v$ is a directed cycle.

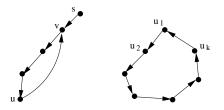
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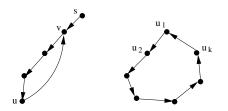
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DAG Testing in $\Theta(n+m)$ time

- Run DFS on G. Mark the edges "white", "grey" or "black",
- 2 If there is a grey edge, report "G is not a DAG". If not "G is a DAG".

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Topological Sort

Let G = (V, E) be a DAG. A topological sort of G assigns each vertex $v \in V$ a distinct number $L(v) \in [1..n]$ such that if $u \to v$ then L(u) < L(v).

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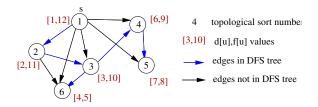
Application

- The directed graph G = (V, E) specifies a job flow chart.
- Each $v \in V$ is a job.
- If $u \to v$, then the job u must be done before the job v.
- A topological sort specifies the order to complete jobs.

We can use DFS to find topological sort.

Topological-Sort-by-DFS(G)

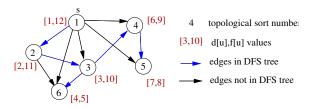
- Run DFS on G.
- 2 Number the vertices by decreasing order of f[v] value. (This can be done as follows: During DFS, when a vertex v is finished, insert v in the front of a linked list.)



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Clearly, this algorithm takes $\Theta(m+n)$ time.



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Note: G is strongly connected if and only if it has exactly one strongly connected component.



Application: Traffic Flow Map

- G = (V, E) represents a street map.
- Each $v \in V$ is an intersection.
- Each edge u → v is a 1-way street from the intersection u to the intersection v.
- Can you reach from any intersection to any other intersection?
- This is so \iff G is strongly connected.
- All intersections within each connected component can reach each other.

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This problem can be solved by using DFS. Without it, it would be hard to solve efficiently.



Strong-Connectivity-by-DFS(*G*)

- **1** Run DFS on G, compute f[u] for all $u \in V$,
- ② Order the vertices by decreasing f[v] values.
- **3** Construct the transpose graph G^T , which is obtained from G by reversing the direction of all edges.
- **3** Run DFS on G^T , the vertices are considered in the order of decreasing f[v] values.
- The vertices in each tree in the DFS forest correspond to a strongly connected component of *G*.

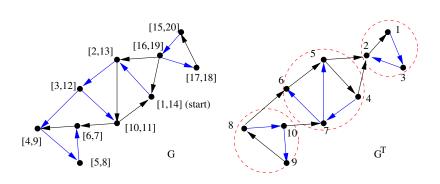
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Analysis:

- Steps 1 and 2: $\Theta(n+m)$ (step 2 is a part of step 1.)
- Step 3: $\Theta(n+m)$ (how?)
- Step 4 and 5: $\Theta(n+m)$ (step 5 is part of step 4.)

Strong Connectivity: Example



Outline

- Graph Algorithms
- Graph Representations
- 3 Breadth First Search (BFS)
- Depth First Search (DFS)
- Topological Sort
- Strong Connectivity
- DFS for Undirected Graphs
- Biconnectivity Problem



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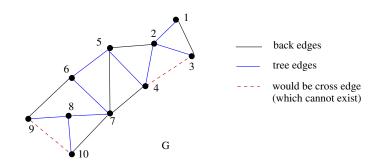
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- Recall that: for an undirected graph G = (V, E) and a spanning tree T of G, the edges of G can be classified as:
 - tree edges
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Theorem

Let G be an undirected graph, and T the DFS tree of G constructed by DFS algorithm. Then there are no cross edges.





Summary: Edge Types

For Directed Graphs

	Tree	Forward	Backward	Cross
BFS	yes	no	yes	yes
DFS	yes	yes	yes	yes

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	Tree	Forward	Backward	Cross
BFS	yes	no	yes	yes
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	Tree	Back-edge	Cross
BFS	yes	no	yes
DFS	yes	yes	no

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- 8 Biconnectivity Problem



Definition

Let G = (V, E) be an undirected connected graph.

- A vertex v ∈ V is a cut vertex (also called articulation point) if deleting v and its incident edges disconnects G.
- G is biconnected if it is connected and has no cut vertices.
- A biconnected component of G is a maximal subgraph of G that is biconnected.

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Biconnectivity Problem

Given an undirected graph G = (V, E), is G biconnected? If not, find the cut vertices and the biconnected components of G.



Application

- G represents a computer network.
- Each vertex is a computer site.
- Each edge is a communication link.
- If v is a cut vertex, then the failure of v will disconnect the whole network.
- The network can survive any single site failure if and only if G is biconnected.

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Simple-Biconnectivity(G)

- **1 for** each vertex $v \in V$ **do**
- delete v and its incident edges from G
- 3 test if $G \{v\}$ is connected

This algorithm takes $\Theta(n) \times \Theta(n+m) = \Theta(n(n+m))$ time.

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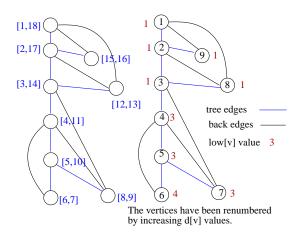
- Let T be the DFS tree of G.
- Re-number the vertices by increasing d[v] values.
- For each vertex ν, define:
 low[ν] = the smallest vertex that can be reached from ν or a descendent of ν through a back edge.
- If v is a leaf of T, then $low[v] = min \left\{ \begin{array}{c} v \\ \{w \mid (v, w) \text{ is a back-edge} \} \end{array} \right\}$

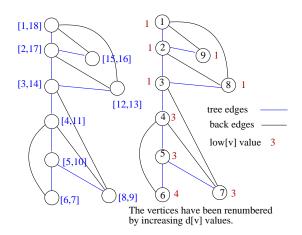
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In this figure, low means closer to the root. So the root is the lowest vertex. low[ν] is the lowest vertex that can be reached from ν or a descendent of ν thru a single back edge.

Theorem

Let T be the DFS of G = (V, E) rooted at the vertex s.

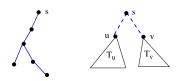
- \bigcirc s is a cut vertex \iff s has at least two sons in T.
- 2 A vertex $a \neq s$ is a cut vertex \iff a has a son b such that $low[b] \geq a$.

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Proof of (1): Suppose s has only one son. After deleting s, all other vertices are still connected by the remaining edges of *T*. So *s* is not a cut vertex.



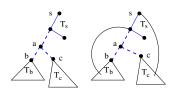
Suppose s has at least two sons u and v (there may be more). Let T_u be the subtree of T rooted at u and T_v be the subtree of T rooted at v. Because there are no cross edges, no edges connect T_{ν} with T_{ν} . So after s is deleted, T_{ν} and T_v become disconnected. Hence s is a cut vertex.

Proof of (2): Let T_s be the subtree of T above the vertex a. Let b, c... be the sons of a. Let $T_b, T_c, ...$ be the subtree of T rooted at b, c...

• Suppose a has a son b with low $[b] \ge a$. Because low $[b] \ge a$, no vertex in T_b is connected to T_s . Because there are no cross edges, no edges connect vertices in T_b and T_c . So after a is deleted, T_b is disconnected from the rest of G. So a is a cut vertex.

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• Suppose for every son b of a we have low[b] < a. This means that there is a back edge connecting a vertex in T_b to a vertex in T_s . So after a is deleted, all subtrees T_b, T_c, \ldots are still connected to T_s , and G remains connected. So a is not a cut vertex.

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Biconnectivity-by-DFS(G)

- Run DFS on G
- ② Renumber the vertices by increasing d[*] values.
- **③** For all $u \in V$, compute low[u] as described before.
- Identify the cut vertices according to the conditions in the theorem.

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 - 1 for u itself, we charge this to the edge between u and its parent.
 - So k = deg(u).

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The Biconnectivity problem can be solved in $\Theta(n+m)$ time

Notes

- The DFS based Biconnectivity algorithm was discovered by Tarjan and Hopcroft in 1972. (See Problem 22-2, Page 558).
- They advocated the use of adjacent list representation over the adjacent matrix representation for solving complex graph problems in linear (i.e. O(n+m)) time.
- This DFS algorithm is a good example. Without using adjacent list representation, the problem would take at least $\Theta(n^2)$ time to solve.