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# LIE ALGEBROIDS, POISSON MANIFOLDS AND JACOBI STRUCTURES

BASED ON MINI-COURSE BY CARLOS ZAPATA-CARRATALÁ

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by

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ABSTRACT: Mistakes almost certainly mine, thanks for course etc... main refs is [1]

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# 1 Lecture 1: Poisson and Presymplectic geometry

## 1.1 Poisson Algebra

**Definition 1.1.1.** A **Poisson Algebra** is a triple  $(A, \cdot, \{, \})$  such that

1.  $(A, \cdot)$  is a commutative, associative and unital  $\mathbb{R}$ -algebra (or  $\mathbb{C}$  algebra maybe?)
2.  $(A, \{, \})$  is a Lie  $\mathbb{R}$ -algebra, which means that the bracket follows the Jacobi identity:

$$\{\{a, b\}, c\} + \{\{b, c\}, a\} + \{\{c, a\}, b\} = 0 \quad (1)$$

3. The Poisson bracket follows the Libeniz identity in the sense that for  $a, b, c \in A$ ,

$$\{a, b \cdot c\} = \{a, b\} \cdot c + b \cdot \{a, c\} \quad (2)$$

$$:= \text{ad}_a(b \cdot c) \quad (3)$$

where we have defined the adjoint map of the Lie algebra.

4. Equivalently to 3, the  $\text{ad}_{\{, \}} : A \rightarrow \text{Der}(A, \cdot)$ , which takes an element of the algebra to a derivation on the commutative algebra  $(A, \cdot)$ . We also see that the  $\text{ad}_{\{, \}}$  induces a derivation on  $(A, \{, \})$  using the Jacobi identity.

Therefore the adjoint map of a Lie Algebra is a morphism from  $A$  to  $\text{Der}(A, \cdot) \cap \text{Der}(A, \{, \})$ , the derivations of both bilinear structures of a Poisson algebra.

**Definition 1.1.2.** A **Poisson derivation** is a derivation on both bilinear forms of a Poisson algebra, that is  $X \in \text{Der}(A, \cdot) \cap \text{Der}(A, \{, \}) \subset \text{End}_{\mathbb{R}}(A)$ . If a Poisson derivation is generated by the adjoint map,  $X_a = \{a, \}$ , we say that it is a **Hamiltonian derivation**.

**Definition 1.1.3.** A Poisson Algebra morphism is a linear map  $\psi : A \rightarrow B$  such that  $\psi : (A, \cdot) \rightarrow (B, \cdot)$  is an algebra morphism and  $\psi : (A, \{, \}) \rightarrow (B, \{, \})$  is a Lie algebra morphism.

**Definition 1.1.4.** A subalgebra  $I \subset A$  is **coisotrope** if

- $I \subset (A, \cdot)$  is a multiplicative ideal
- $I \subset (A, \{, \})$  is a Lie subalgebra

**Proposition 1.1.1.** *Reduction of Poisson algebra*

Suppose  $I \subset A$  coisotrope and consider the Lie normaliser (or in ring theory the idealiser)

$$N(I) = \{a \in A \mid \{a, I\} \subset I\}, \quad (4)$$

which is the largest subalgebra of  $A$  that contains  $I$  as an ideal. We claim that  $A' := N(I)/I$  inherits a Poisson algebra structure.

*Proof.* Condition 1 is automatically satisfied as  $A'$  is a subalgebra of  $A$ , with a Lie algebra structure given by the same bracket. For  $a', b', c' \in A'$ , consider the adjoint action of  $a'$  on  $b' \cdot c'$  and look at coset representative  $a, b, c$  of  $N(I)$ . Using the fact that  $I$  is coisotrope, we see that

$$\begin{aligned} \{a + I, (b + I) \cdot (c + I)\} &= \{a + I, b \cdot c + I\} \\ &= \{a, b \cdot c\} + I \end{aligned}$$

by linearity of the bracket and closure of elements in  $N(I)$  w.r.t  $I$ . The Jacobi identity is checked by similar arguments.  $\square$

**Definition 1.1.5.** The *reduced Poisson structure* is characterised by the projection map  $p : (N(I), \cdot, \{, \}) \rightarrow (A', \cdot', \{, \}')$ , and by the above proposition, this is a Poisson Algebra morphism.

## 1.2 Poisson Manifolds

**Definition 1.2.1.** A **Poisson manifold** is a smooth manifold  $P$  whose commutative algebra of smooth functions has the structure of a Poisson algebra  $(C^\infty(P), \cdot, \{, \})$ .

**Definition 1.2.2.** A map  $\phi : P_1 \rightarrow P_2$  is a *Poisson map* if  $\phi^* : C^\infty(P_2) \rightarrow C^\infty(P_1)$  is a Poisson morphism of algebras.

Recall that derivations on smooth functions are isomorphic to vector fields:

$$\text{Der}(C^\infty(P)) \simeq \Gamma(TP), \quad (5)$$

where the isomorphism is due to

$$\{f, g\} \mapsto X_{\{f, g\}} = [X_f, X_g] \quad (6)$$

**Definition 1.2.3.** So following through definition 1.1.2, the Poisson derivations on a Poisson manifold are called **Poisson vector fields**. And Hamiltonian derivations on Poisson manifolds are called **Hamiltonian vector fields**. Hamiltonian vector fields are generated by the adjoint map

$$\begin{aligned} \text{ad} : C^\infty(P) &\rightarrow \Gamma(TP) \\ f &\mapsto X_f := \{f, \cdot\} \end{aligned}$$

**Proposition 1.2.1.** A manifold  $P$ ; with a commutative algebra of smooth functions  $(C^\infty(P), \cdot, \{, \})$ , and a bivector  $\Pi \in \Gamma(\wedge^2 T^*P)$  defined as

$$\Pi(df, dg) = \{f, g\}; \quad (7)$$

is a Poisson manifold if and only if  $\Pi$  has vanishing Schouten bracket

$$[\Pi, \Pi] = 0. \quad (8)$$

Before proving this statement, we recall facts about the Schouten-Nijenhuis which forms a special case of a *Gerstenhaber algebra*. CHECK THIS!!

**Definition 1.2.4.** Let  $P$  be an  $n$ -dimensional manifold and let  $A^k(P) = \Gamma(\wedge^{k+1} T^*P)$ . There exists a unique bracket  $[\cdot, \cdot] : A^k(P) \times A^l(P) \rightarrow A^{k+l}(P)$  such that

- $\forall X \in A^0(P) = \mathcal{X}(P)$ , the bracket of vector fields (degree 0) is the Lie derivative  $[X, \cdot] = \mathcal{L}_X$ ,
- $\forall X \in A^k(P) \forall Y \in A^l(P)$ , the graded antisymmetry:  $[X, Y] = -(-1)^{kl}[Y, X]$ ,
- $\forall X \in A^k(P)$ ,  $[X, \cdot]$  is a derivation of degree  $k$ .<sup>1</sup>

<sup>1</sup>recall that a derivation  $D$  of degree  $k$  has  $D(ab) = D(a)b + (-1)^{k|b|}aD(b)$ .

The **Schouten-Nijenhuis** bracket is the unique extension of the Lie bracket to a  $\mathbb{Z}$ -graded bracket on the space of forms.

*Proof of proposition 1.2.1.* One needs only prove that the Poisson bracket  $\{, \}$  satisfies the Jacobi identity if and only if  $\Pi$  has vanishing Schouten bracket to complete the proof that  $(P, \Pi)$  defines a Poisson manifold.  $\square$

COMPLETE LECTURE LATER

## 2 Lecture 4: Differential Operators

### 2.1 Derivations

Let  $A$  be a associative commutative unital  $\mathbb{C}$ -algebra, a vector space over  $\mathbb{C}$  such that for any pair  $a, b \in A$ , the product  $ab \in A$  is bilinear and associative.

**Definition 2.1.1.** A **derivation**  $\partial \in \text{Der}_{\mathbb{C}}(A)$  is a  $\mathbb{C}$ -linear map  $\partial : A \rightarrow A$  such that the Leibniz identity is satisfied,

$$\partial(ab) = \partial(a)b + a\partial(b) \quad (9)$$

for  $a, b \in A$ . Clearly,  $\text{Der}_{\mathbb{C}}(A) \subseteq \text{End}_{\mathbb{C}}(A)$

**Definition 2.1.2.** More generally, if  $B$  is a commutative ring,  $A$  is a  $B$ -algebra and  $M$  an  $A$ -bimodule then  $\text{Der}_B(A, M) = \{\partial \in \text{Hom}_B(A, M) | \forall a, b \in A, \partial(ab) = a\partial(b) + \partial(a)b\}$ .

**Proposition 2.1.1.** If  $\partial \in \text{End}_{\mathbb{C}}(A)$  is a derivation  $\Leftrightarrow \partial(\mathbb{C}) = 0$  and for all  $a \in A$ ,  $\partial a - a\partial \in A$ .

*Proof.* Let  $b \in A$ , then the Leibniz identity is equivalent to

$$\begin{aligned} (\partial a - a\partial)(b) &= \partial(ab) - a\partial(b) \\ &= \partial(a)b. \end{aligned}$$

- $\Rightarrow$  Assuming  $\partial$  is a derivation, then the argument above shows that  $\partial a - a\partial \in A \subseteq \text{End}_{\mathbb{C}}(A)$ , where left multiplication by this operator is the endomorphism map induced. Furthermore, since  $\partial$  is  $\mathbb{C}$ -linear, and considering  $\mathbb{C}$  as a  $\mathbb{C}$ -vector space over itself, the Leibniz identity implies

$$\begin{aligned} \partial(1z) &= \partial(1)z + 1\partial(z) \\ &\Rightarrow \partial(1) = 0. \end{aligned}$$

Therefore  $\partial(\mathbb{C}) = 0$ .

- $\Leftarrow$  Suppose  $a\partial - \partial a = c$  for some  $c \in A$  and  $\partial(\mathbb{C}) = 0$ , then

$$\begin{aligned} (\partial a - a\partial)(1) &= c(1) \\ \partial(a) &= c \end{aligned}$$

therefore  $\partial$  follows Leibniz identity.

$\square$

**Example 2.1.1.** On polynomial rings, we have  $\text{Der}_{\mathbb{C}}(\mathbb{C}[x]) = \mathbb{C}(x) \frac{d}{dx}$ . Clearly, the inclusion  $\mathbb{C}[x] \frac{d}{dx} \subseteq \text{Der}_{\mathbb{C}}(\mathbb{C}[x])$  is trivial by just checking that it satisfies Leibniz identity. However, for the reverse inclusion, consider a derivation  $\partial \in \text{Der}_{\mathbb{C}}(\mathbb{C}[x])$ , then we claim that a basis is given by

$$\partial := \partial(x) \frac{d}{dx}. \quad (10)$$

Easy to check that acting on the unit  $1 \in \mathbb{C}$  and  $x$ , these definitions agree. Therefore, by  $\mathbb{C}$ -linearity and Leibniz property, they agree on  $\mathbb{C}[x]$ .

More generally,

$$\text{Der}_{\mathbb{C}}(\mathbb{C}[x_1, \dots, x_n]) = \bigoplus_{i=1}^n \mathbb{C}[x_1, \dots, x_n] \frac{\partial}{\partial x^i} \quad (11)$$

**Example 2.1.2.** If  $A = C^\infty(M)$ , the algebra of smooth functions on  $M$ , then

$$\text{Der}_{\mathbb{R}}(C^\infty(M)) = \mathcal{X}(M) \quad (12)$$

## 2.2 Differential operators

In this section we define the more general concept of a differential operator, which are **not** necessarily derivations. There are two different ways to define them.

**Definition 2.2.1** (First definition). The ring  $D(A)$  of  $\mathbb{C}$ -linear **differential operators** on  $A$  is the subalgebra of  $\text{End}_{\mathbb{C}}(A)$  generated by  $A$  and  $\text{Der}_{\mathbb{C}}(A)$ . Let  $\theta \in D(A)$ , it has *order*  $p$  if it is the sum of products on at most  $p$  derivations.

e.g:  $\frac{d^2}{dx^2} + 1 = \left(\frac{d}{dx}\right)^2 + 1$  has order 2.

We can generalise this definition a little.

**Definition 2.2.2** (Second definition). A **regular** differential operator of order  $p$  is an element of  $D^p(A) = \{\theta \in \text{End}_{\mathbb{C}}(A) \mid \theta a - a\theta = \theta(a) \in D^{p-1}(A) \ \forall a \in A\}$ , with  $D^0(A) = A$ . The ring of **regular differential operators** is  $D(A) = \bigcup D^p(A)$  and it is easy to see that

$$D^p(A)D^r(A) \subseteq D^{p+r}(A). \quad (13)$$

and  $D^{p+1}(A) \supseteq D^p(A)$  so this defines a filtration.

We relate the two definitions in the following sense. Suppose  $\theta \in D^1(A)$ , then

$$\theta = (\theta - \theta(1)) + \theta(1) \quad (14)$$

implying that  $D^1(A) \cong \text{Der}_{\mathbb{C}}(A) \oplus A$ . So we can generate the ring of differential operators on  $A$  and clearly  $\text{def1} \subset \text{def2}$ .

**Theorem 2.2.1** (Grothendieck). The two definitions are equivalent if and only if  $X = \text{Spec}_A$  is non-singular. In this case, the ring of differential has the simple expression

$$D(A) = T_A(\text{Der}_{\mathbb{C}}(A)) / \theta \otimes \theta' - \theta' \otimes \theta - [\theta, \theta'] \quad (15)$$

where  $T_A$  is the tensor algebra. Recall that the *spectrum* of a ring  $\text{Spec}(R)$  is the set of all prime ideals of  $R$  with the Zariski topology. [2]

**Example 2.2.1.** Consider the ring  $A = \mathbb{C}[x]$  of rational functions over  $\mathbb{C}$ , then the algebra of derivations over this ring

$$\text{Der}_{\mathbb{C}}(A) = \mathbb{C}[x] \frac{d}{dx} := W \quad (16)$$

is called the *Witt algebra*. However, the ring  $D(A)$  of differential operators on  $A$  can also be viewed as the polynomial ring constructed by quotienting the free  $\mathbb{C}$ -algebra on  $x, \partial$  by the ideal

$$D(A) = \mathbb{C}\langle x, \partial = \frac{d}{dx} \rangle / x\partial - \partial x - 1. \quad (17)$$

This is called a *Weyl algebra*.

As noted earlier, the second definition is more general. Here is an example where the equality fails.

**Example 2.2.2.** Consider  $A = \mathbb{C}[t^2, t^3]$ . Then  $\text{Spec}(A)$  is the space of proper prime ideals

$$\text{Spec}(A) = \left\{ \langle t^2 - a, t^3 - b \rangle, (a, b) \in \mathbb{C}^2 \right\} \cup \left\{ \langle f(t^2, t^3) \rangle, f \text{ is irreducible} \right\} \cup \{ \langle 0 \rangle \} \quad (18)$$

This space has a singular point and somehow this implies that there exists differential operators at that point that are not generated by sum-products of derivations. EXPAND ON THIS

**Lemma 2.2.1.** Let  $\theta \in D^p(A)$  and  $\theta' \in D^r(A)$  then

$$[\theta, \theta'] := \theta \cdot \theta' - \theta' \cdot \theta \in D^{p+r-1}(A) \quad (19)$$

In particular,  $D^1(A)$  and  $\text{Der}_{\mathbb{C}}(A)$  are *Lie algebras*. Not true for higher order as it doesn't close. But below we will see a way to make it into a Lie algebra.

**Question.** Given algebras  $A, B$  with respective spectrum  $X = \text{Spec}(A)$ ,  $Y = \text{Spec}(B)$ . If  $D(A) \cong D(B)$ , does that mean that  $X \cong Y$ ? This turns out to be **false** if the algebraic varieties are allowed to be singular.

### 2.3 From differential operators to Poisson algebras

We have seen in lemma 2.2.1 that  $[D^p(A), D^r(A)] \subseteq D^{p+r-1}(A)$ . In particular, for Lie subalgebra  $\text{Der}_{\mathbb{C}}(A) \subseteq D(A)$ , if  $\delta, \delta' \in \text{Der}_{\mathbb{C}}(A)$  then  $[\delta, \delta'] \in \text{Der}_{\mathbb{C}}(A)$ ,

$$\begin{aligned} [\delta, \delta'](ab) &= \delta\delta'(ab) - \delta'\delta(ab) \\ &= \delta(\delta'(a)b + a\delta'(b)) - \delta'(\delta(a)b + a\delta(b)) \\ &= \delta\delta'(a)b + a\delta\delta'(b) - \delta'\delta(a)b - a\delta'\delta(b) \\ &= [\delta, \delta'](a)b + a[\delta, \delta'](b) \end{aligned}$$

**Definition 2.3.1.** Given the filtration of regular differential operators  $D(A)$  on algebra  $A$ , we define its grading  $\text{gr } D(A)$  as

$$\text{gr } D(A) = \bigoplus_p D^p(A) / D^{p-1}(A) \quad (20)$$

**Proposition 2.3.1.** The grading of differential operators on  $A$  is a commutative ring under composition and a Poisson algebra with bracket generated by the commutator  $[\cdot, \cdot]$ .

*Proof.* • Let  $\pi \in D^p(A)$  and  $\rho \in D^r(A)$ , then  $\pi\rho, \rho\pi \in D^{p+r}(A)$  while  $[\pi, \rho] \in D^{p+r-1}(A)$ . So

$$\pi\rho \sim \rho\pi + D^{p+r-1}(A) \quad (21)$$

but as elements  $\text{gr}(\pi\rho), \text{gr}(\rho\pi) \in \text{gr } D(A)$ , we have  $\text{gr}(\pi\rho) = \text{gr}(\rho\pi)$

- $(\text{gr } D(A), \{\cdot, \cdot\})$  is a Lie algebra. Taking the bracket on differential operators, we induce the Lie bracket  $\{\cdot, \cdot\} : \text{gr } D(A) \times \text{gr } D(A) \rightarrow \text{gr } D(A)$  by

$$\begin{aligned} \{\text{gr } \rho, \text{gr } \pi\} &:= \text{gr } [\rho, \pi] \\ &= [\rho, \pi] + D^{p+r-2}(A) \end{aligned}$$

for  $\pi \in D^p(A)$ ,  $\rho \in D^r(A)$ . Given that  $[\cdot, \cdot]$  is a Lie bracket on  $D^1(A)$ , we extend it to  $\text{gr } D(A)$  so that  $\{\cdot, \cdot\}$  is a bracket up to an element of the quotient.

- The adjoint action is a derivation. This is shown SOMEDAY.

□

In fact, if  $X = \text{Spec}(A)$  is non-singular,

$$\begin{aligned} \text{gr } D(A) &= \text{gr} \left( \frac{T_A(\text{Der}_{\mathbb{C}}(A))}{\delta \otimes \delta' - \delta' \otimes \delta - [\delta, \delta']} \right) \\ &= \frac{T_A(\text{Der}_{\mathbb{C}}(A))}{\delta \otimes \delta' - \delta' \otimes \delta}. \end{aligned}$$

So in this case,  $\text{gr } D(A) = \text{Sym}_A(\text{Der}_{\mathbb{C}}(A))$ , and since we can identify the derivations with category of vector fields on  $X$ ,

$$\text{Der}_{\mathbb{C}}(A) = \text{Vect}(X) = \mathbb{C}[T^*X] \quad (22)$$

NEED REFS

## 2.4 Weyl algebras

Let  $A = \mathbb{C}[x_1, \dots, x_n]$  then the ring of differential operator on  $A$  is constructed akin to example 2.2.1 as the free algebra in  $\{x_i, y_i = -\partial_i\}$  variables

$$D(A) \cong \frac{\mathbb{C}\langle x_1, \dots, x_n, y_1, \dots, y_n \rangle}{[x_i, y_j] = \delta_{ij}, \text{ rest commutes}}. \quad (23)$$

This is the  $n^{\text{th}}$  **Weyl Algebra**  $D(A)$  which is a simple ring (i.e: it does not have a proper 2-sided ideal). Its grading is the Poisson simple algebra

$$\text{gr } D(A) = \mathbb{C}[x_1, \dots, x_n, y_1, \dots, y_n] \quad (24)$$

with Poisson brackets

$$\{x_i, y_j\} = \delta_{ij} \quad \{x_i, x_j\} = 0 = \{y_i, y_j\} \quad (25)$$

This is sometimes called *the first example*.

**Remark 2.4.1.**  $D(\mathbb{C}[x])$  has no non-trivial finite dimensional modules. This is because, assuming  $V$  is a  $D(\mathbb{C}[x])$ -module of complex dimension  $d$ . Then  $D(\mathbb{C}[x])$  acts on  $V$  as an endomorphism. Let  $X, Y \in \text{Mat}_{d \times d}(\mathbb{C})$  such that  $[X, Y] = \mathbb{1}_d$ , then  $\text{tr}([X, Y]) = 0 \neq d$ , which is a contradiction.

**Proposition 2.4.1.** Let  $I$  be a right ideal of  $D(A)$ . Then  $J = \text{gr}(I)$  is an ideal of  $\text{gr } D(A)$  and it is **involutive/coisotrope**

$$\{J, J\} \subseteq J \quad (26)$$



*Proof.* Let  $\theta, \eta \in I$  then  $[\theta, \eta] \in I$  since it is a right ideal. Taking the grading,  $\text{gr} [\theta, \eta] \equiv \{\text{gr } \theta, \text{gr } \eta\} \subseteq J$   $\square$

**Theorem 2.4.1** (Gabber). If  $J = \text{gr}(I)$  is coisotrope for some right ideal  $I$  of  $D(A)$ , then the radical  $\sqrt{J} := \{\theta \mid \exists k, \text{ s.t } \theta^k \in J\}$  is also coisotrope.

**Corollary 2.4.1** (Bernstein's inequality). Using Gabber's theorem and Hilbert Nullstellensatz  $\sqrt{J} = I(V(J))$ , we see that

$$\dim(V(J) \subseteq \mathbb{C}^{2n}) \geq n \quad (27)$$

**Example 2.4.1.** Let  $A = \mathbb{C}[x, y]$  with  $\{x, y\} = 1$ . Consider the coisotrope subring  $J = \langle x^2, xy, y^2 \rangle$ . It has radical  $\sqrt{J} = \langle x, y \rangle$ , but the radical is *not* coisotrope. Therefore  $J$  is **not** the grading of some right ideal of  $D(\mathbb{C}[x, y])$ .

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