

Contribution à la sécurisation de la cryptographie post-quantique basée sur les codes correcteurs d'erreurs face aux attaques par canaux auxiliaires.

Soutenance de Thèse

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24 juin 2024



# Modern cryptography

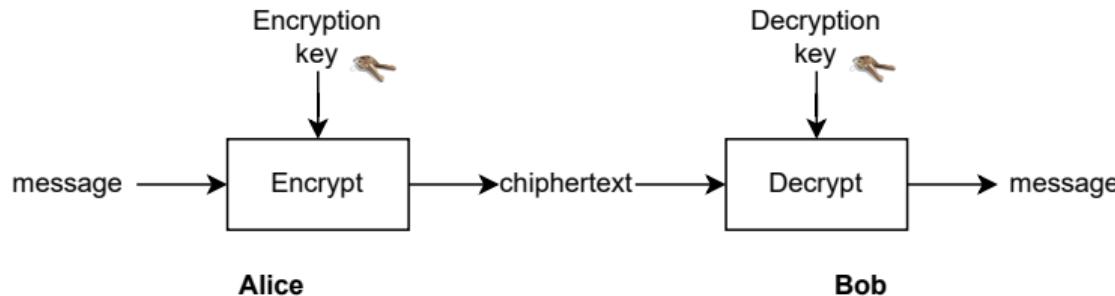


Figure – Overview of a cryptosystem

Hybrid Cryptosystem :

- Symmetric-key cryptography : based on exhaustive key research
  - Public-key cryptography : based on a hard problem
- RSA [RSA78] - Elliptic Curves Cryptography (ECC) [Kob87, Mil85]

# Post-Quantum Cryptography (PQC)



→ Quantum Computer threat!  
Shor's and Grover's Algorithms

## Figure – IBM Quantum Computer

# Post-Quantum Cryptography (PQC)



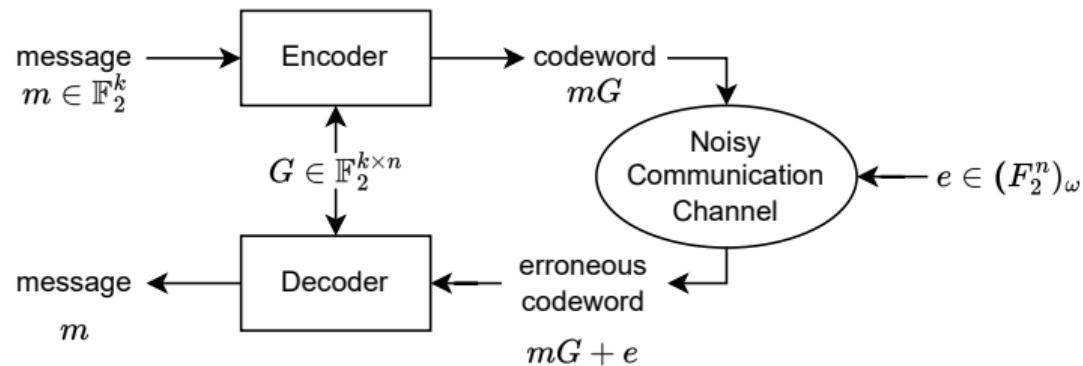
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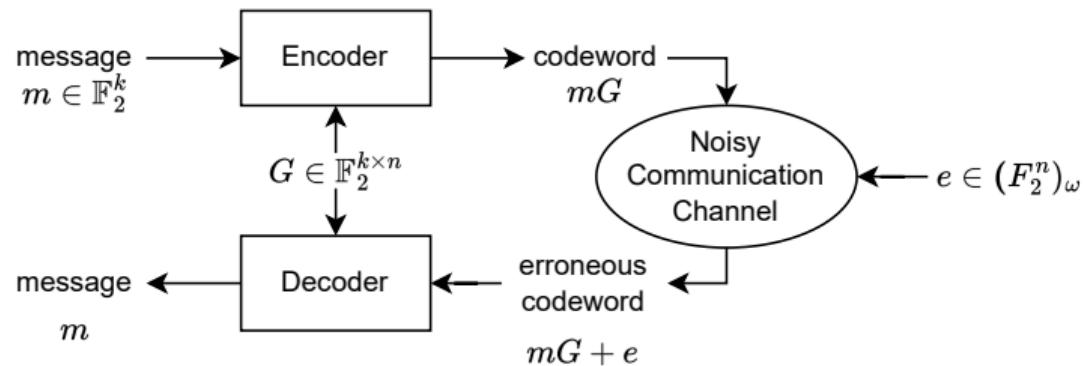
Shor's and Grover's Algorithms Several possibilities (NIST contest) :

- Lattice-based cryptography : Kyber [BDK<sup>+</sup>18], Dilithium [DKL<sup>+</sup>18]
- Hash-based cryptography : Sphincs<sup>+</sup> [BHK<sup>+</sup>19]
- **Code-based cryptography** : HQC [AMAB<sup>+</sup>17], BIKE [ABB<sup>+</sup>17], ClassicMcEliece [BCL<sup>+</sup>]  
→ 1 or 2 code-based schemes will be standardized !
- Multivariate cryptography, Isogeny-based cryptography, multi-party computation, ...

# Error Correcting codes



# Error Correcting codes



Code-based cryptography :  $G \xleftarrow{\$} \mathbb{F}_2^{k \times n}$ ,  $m \xleftarrow{\$} \mathbb{F}_2^k$  and  $e \xleftarrow{\$} (\mathbb{F}_2^n)_\omega$ .

## Decoding Problem :

Given  $(mG + e, G)$ , it is hard to recover  $m$  (NP-complete [BMVT78]).

# Cryptographic Security

We consider three levels of security : (I)  $2^{128}$ , (III)  $2^{192}$  and (IV)  $2^{256}$

This represents the **minimal number of operation an attacker needs to pay to recover a secret information.**

And often also **The number of different secret keys.**

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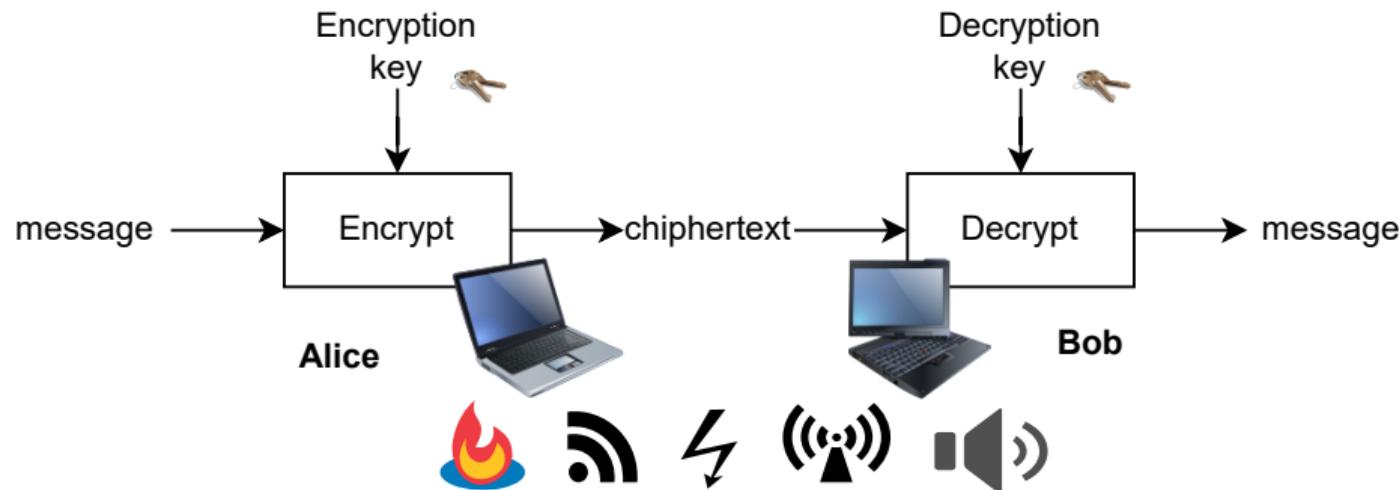
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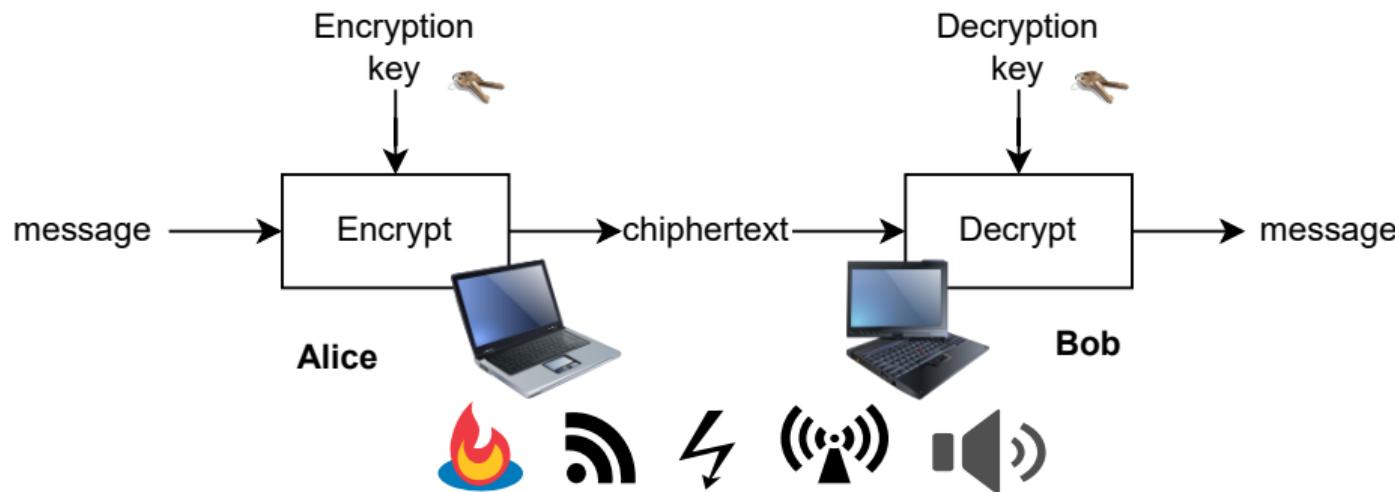
$2^{256} \approx 10^{80} \leftarrow$  Number of atoms in the observable universe

Number of worldwide operations for Bitcoin in a year  $\approx 2^{95}$ .

# Side-Channel Attacks



# Side-Channel Attacks



Physical behavior is correlated to manipulated data.

The first side-channel attack was introduced by Paul Kocher in 1996 [Koc96].

# Side-channel attacks toy example



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Random Digicode :  $10^4$  combinations

# Side-channel attacks toy example



Random Digicode :  $10^4$  combinations  
Worn Digicode : 24 combinations

- Bypass the security with a physical observation

# Side-channel attacks challenges

	Algebraic Attack	Side-Channel Attacks
Target Protection	Mathematical Structure Change parameters	Implementation Change implementation

**Goal :** Find a secure implementation with good performances

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	Algebraic Attack	Side-Channel Attacks
Target Protection	Mathematical Structure Change parameters	Implementation Change implementation

**Goal :** Find a secure implementation with good performances

**Side-Channel evaluation :**

- Key or message recovery
- Physical access
- Number of required physical measurement
- Execution time

→ Side-channel attacks mainly target embedded cryptography.

# Context and Questions

Context :

- Need for secure Post-Quantum cryptography (NIST contest)
- Code-based cryptography is a promising family of PQC
- Side-channel attacks threat

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Context :

- Need for secure Post-Quantum cryptography (NIST contest)
- Code-based cryptography is a promising family of PQC
- Side-channel attacks threat

Question :

- Is code-based cryptography secure against side-channel attacks ?
- How to secure code-based cryptography against side-channel attacks ?

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# Hamming Quasi-Cyclic (HQC)

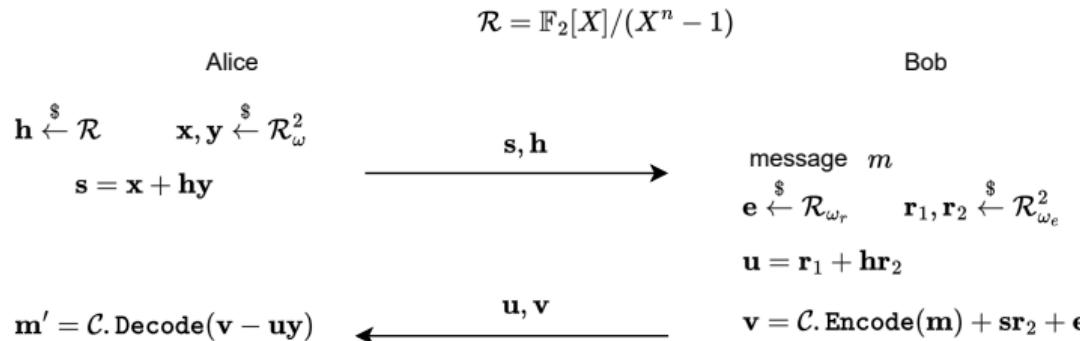


Figure – HQC Public Key Encryption Scheme

- No Code structure masking

2 codes for HQC :

- $h$  is a random code to protect the secret key and perform the encryption.
- $\mathcal{C}$  is a public and efficient code to perform decryption. Any code can be selected.

# Concatenated Code structure

- Before 2019 → Concatenated BCH and repetition codes.
- After 2019 → Concatenated Reed-Muller and Reed-Solomon codes.

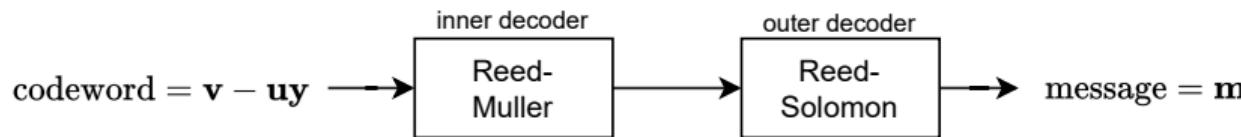


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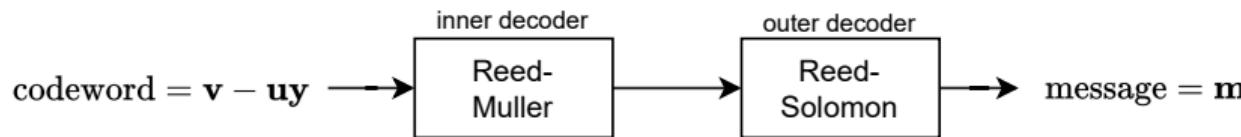


Figure – HQC Concatenated codes structure

- (i) **Secret key** recovery attacks : [SHR<sup>+</sup>22, GLG22a, BMG<sup>+</sup>24]
- (ii) **Shared key** (message) recovery attacks : [GLG22b, GMGL23, BMG<sup>+</sup>24]

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→ Chosen Ciphertext attack to recover the secret key  $\mathbf{y}$ .

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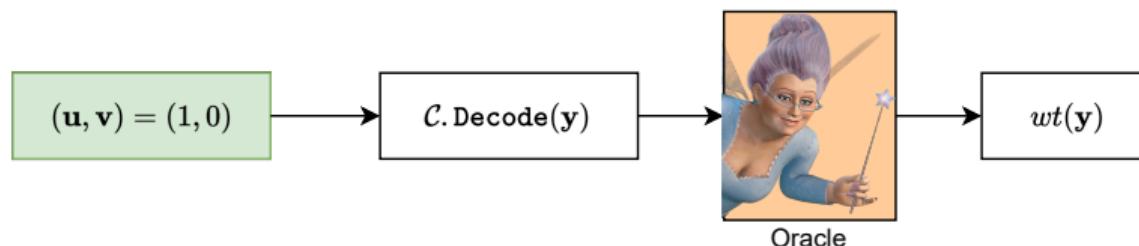
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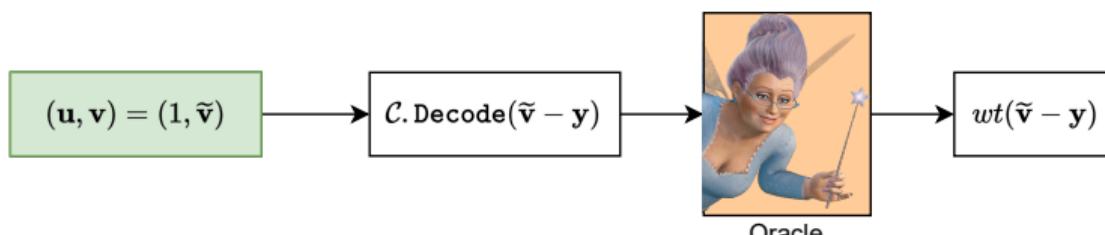
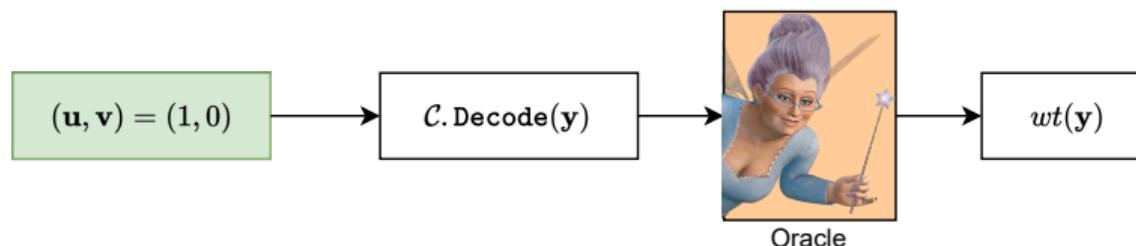


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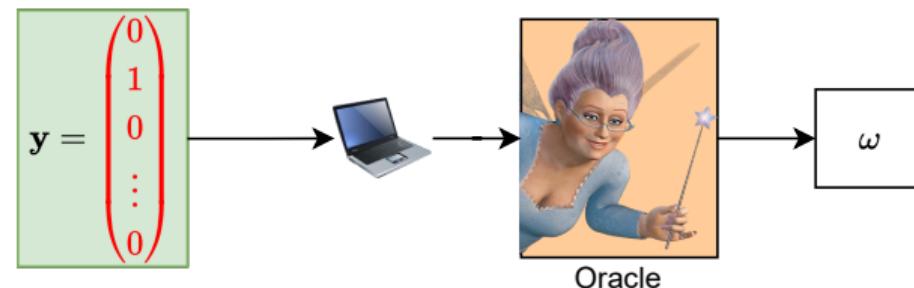
→ Chosen Ciphertext attack to recover the secret key  $\mathbf{y}$ .

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Choosing  $\rightarrow (\mathbf{u}, \mathbf{v}) = (1, 0)$  leads to compute  $\mathcal{C}.\text{Decode}(\mathbf{y})$



# Attack Scenario II



# Attack Scenario III

If  $\tilde{\mathbf{v}}$  has an Hamming weight of 1, there are two possibilities :

$$\tilde{\mathbf{v}} - \mathbf{y} = \begin{pmatrix} 1 \\ 0 \\ 0 \\ \vdots \\ 0 \end{pmatrix} - \begin{pmatrix} 0 \\ 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix} = \begin{pmatrix} 1 \\ 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix}$$

→ Laptop → Oracle →  $\omega + 1$

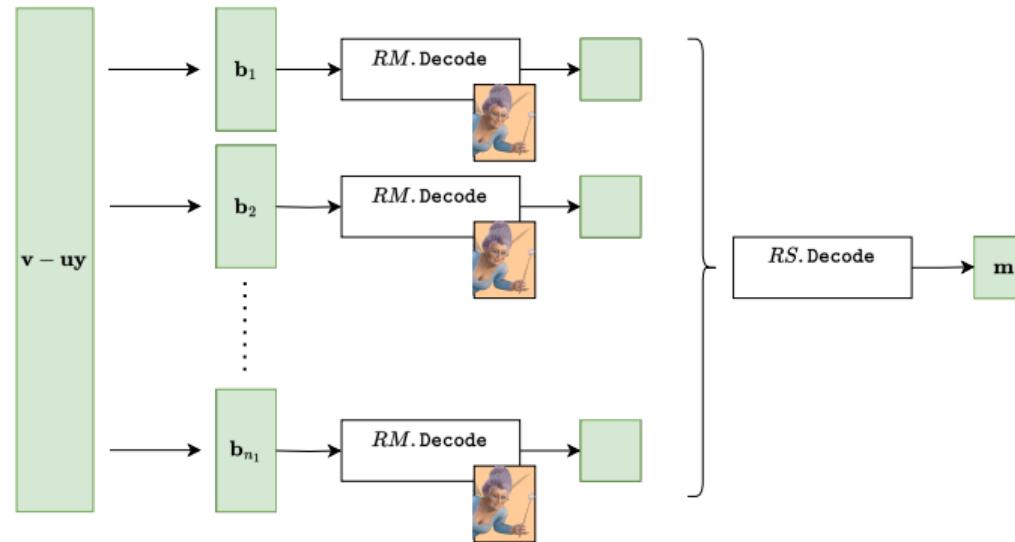
Oracle

$$\tilde{\mathbf{v}} - \mathbf{y} = \begin{pmatrix} 0 \\ 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix} - \begin{pmatrix} 0 \\ 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \\ \vdots \\ 0 \end{pmatrix}$$

→ Laptop → Oracle →  $\omega - 1$

Oracle

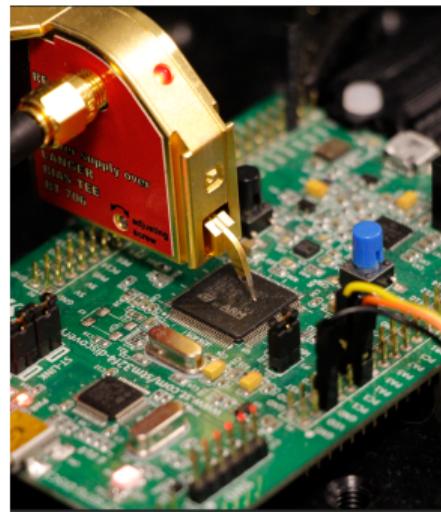
# Divide and Conquer



- Each decoder manipulates a codeword of small Hamming weight ( $\leq 5$  with probability  $\geq 98\%$ )

# How to build the Oracle ?

$$\text{Class } i = \left\{ EM(RM.\text{Decode}(\mathbf{x})) \mid \mathbf{x} \xleftarrow{\$} \mathbb{F}_2^{n_2}, \text{HW}(\mathbf{x}) = i \right\}$$



→ Set-Up :

- STM32F407
- Langer Near Field Probe
- Rhode-Schwarz RTO2024
- 50000 electromagnetic measurement per class.

# Leakage Assessment

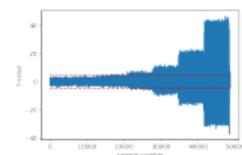
For two sets  $S_0$  and  $S_1$  with cardinality  $n_0$  and  $n_1$ , means  $\mu_0$  and  $\mu_1$  and variances  $\sigma_0$  and  $\sigma_1$ .

$$t = \frac{\mu_0 - \mu_1}{\sqrt{\left(\frac{\sigma_0^2}{n_0} + \frac{\sigma_1^2}{n_1}\right)}} \quad (1)$$

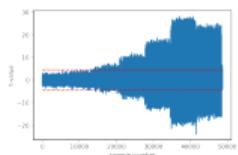
We look for absolute  $t$ -values greater than 4.5.

- If  $|t| \geq 4.5$ , it means that there exists a statistical difference with confidence 99.9999% that may be exploited with SCA.
- Otherwise, there is no first order distinguishability to exploit.

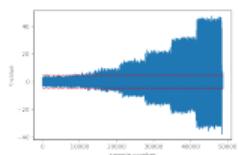
# t-test Results



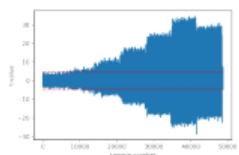
(a) Cl. 0 and 1



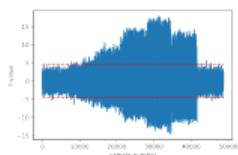
(b) Cl. 0 and 2



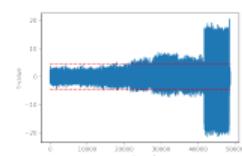
(c) Cl. 0 and 3



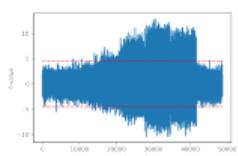
(d) Cl. 0 and 4



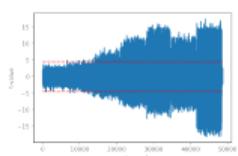
(e) Cl. 0 and 5



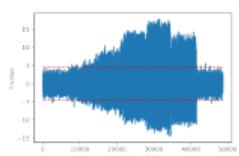
(f) Cl. 1 and 2



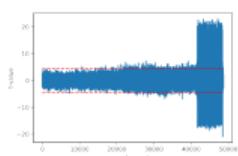
(g) Cl. 1 and 3



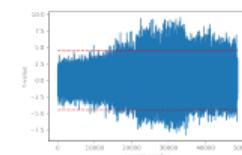
(h) Cl. 1 and 4



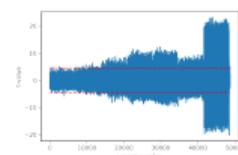
(i) Cl. 1 and 5



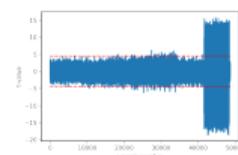
(j) Cl. 2 and 3



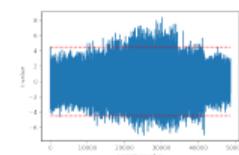
(k) Cl. 2 and 4



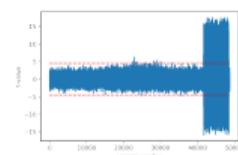
(l) Cl. 2 and 5



(m) Cl. 3 and 4



(n) Cl. 3 and 5



(o) Cl. 4 and 5

# Success rate of the Oracle classification and Attack Summary

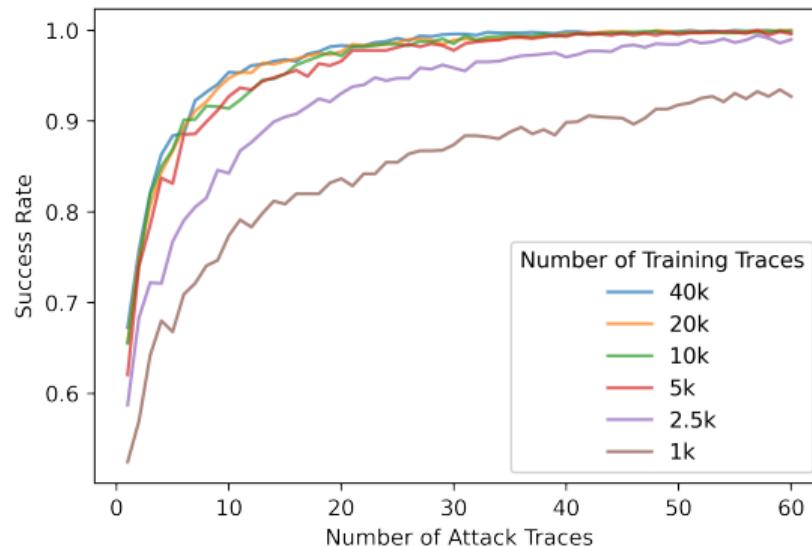


Figure – Single bit success rate recovery depending on the number of attack traces and the number of training traces per class.

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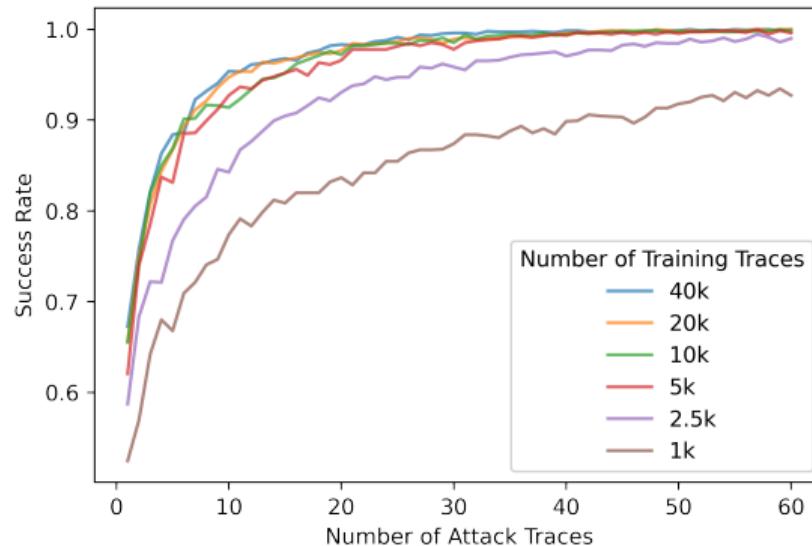


Figure – Single bit success rate recovery depending on the number of attack traces and the number of training traces per class.



## Attack Summary :

- 50 attack traces are enough to obtain 100% accuracy
- Reed-Muller decoding independence
- Finally,  $50 \times 384 = 19200$  traces are enough to target HQC-128.

# Masking Countermeasure

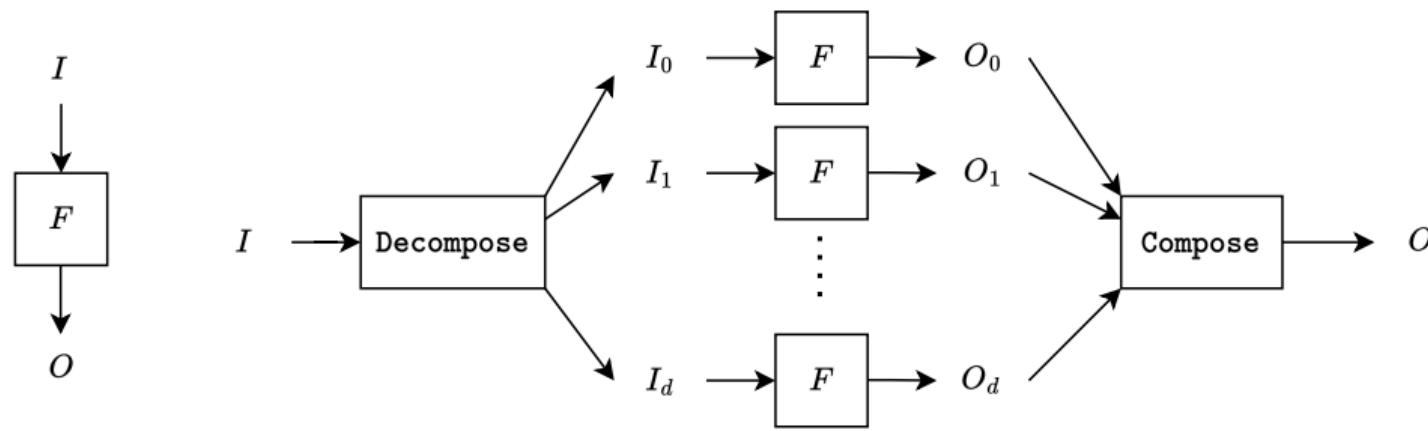


Figure –  $d$  order Masking of a linear operation  $F$

We can apply this strategy to the Reed-Muller Decoder

- Reduce the success probability from  $p$  to  $p^{d+1}$

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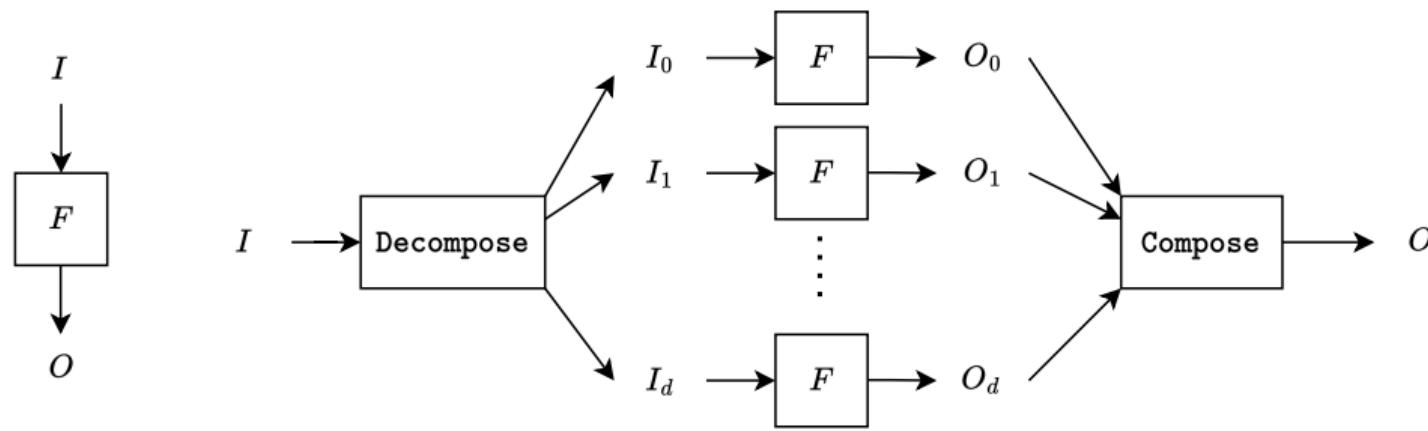
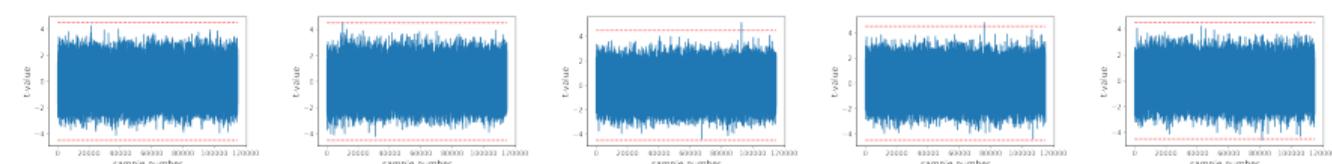
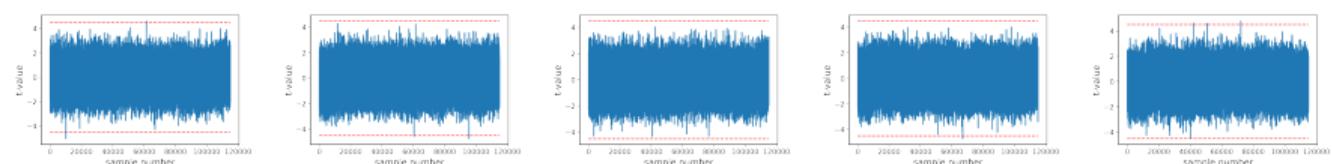
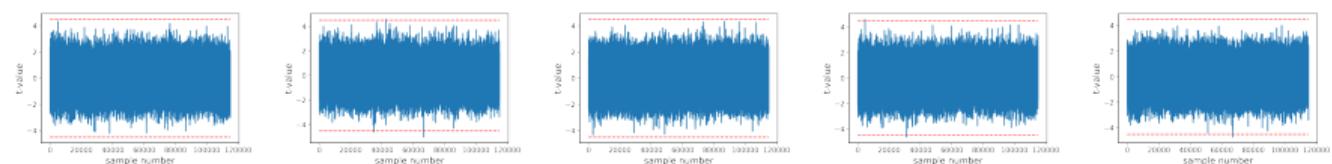


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We can apply this strategy to the Reed-Muller Decoder

- Reduce the success probability from  $p$  to  $p^{d+1}$
- Change the distribution of the inputs.

# t-test Results



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# Attack Description

- Message recovery attack with a single trace !
- First used of **Belief Propagation** [Mac03, KFL01] against code-based cryptography.

Idea : combine several weak physical leaks to obtain strong information

- Introduced by Veyrat-Chravillon et al. [VCGS14] to attack AES in 2014
- Application against Kyber [PPM17, PP19, HHP<sup>+</sup>21, HSST23, AEVR23]  
→ Information Propagation through NTT
- Attack against hash function Keccak [KPP20] in 2020
- **First BP attack against code-based cryptography** [GMGL23]

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  - Attack against hash function Keccak [KPP20] in 2020
  - **First BP attack against code-based cryptography** [GMGL23]
- Allows a message recovering within a few minutes

# Decryption Failure Rate (DFR)

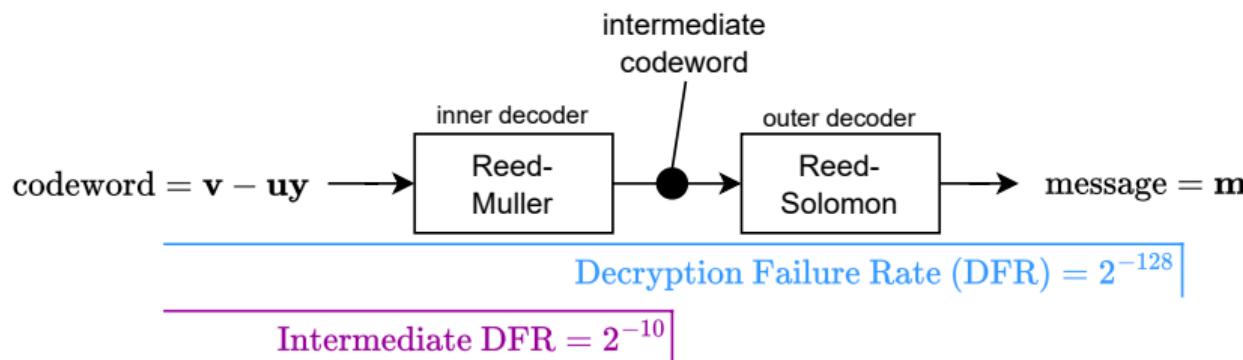
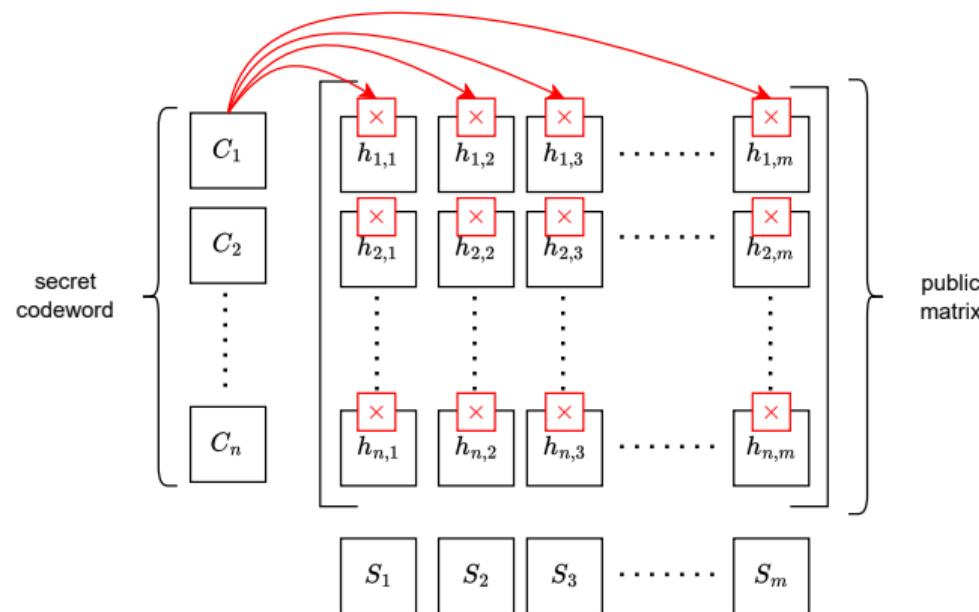


Figure – Decryption Failure Rate of HQC

- Reed-Solomon code manipulates an error-free intermediate codeword.

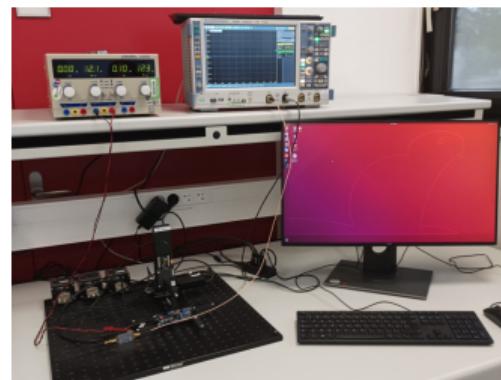
# Attack Scenario

- Target the Reed-Solomon Syndrome computation  $\mathbf{H}\mathbf{c}^T$  to recover the codeword  $\mathbf{c}$ .



# Attacker Model

In theory	In practice
Access to a clone device	Both training and attack on the same device
One target function only	Target the Galois field multiplication
No control on the SNR	No trace averaging (true single trace attack)



→ Set-Up :

- STM32F407
- Langer Probe
- Rhode-Schwarz RTO2024

# Templates on the Galois field multiplication operands

- Galois field multiplication based on FFT strategy [BGTZ08]

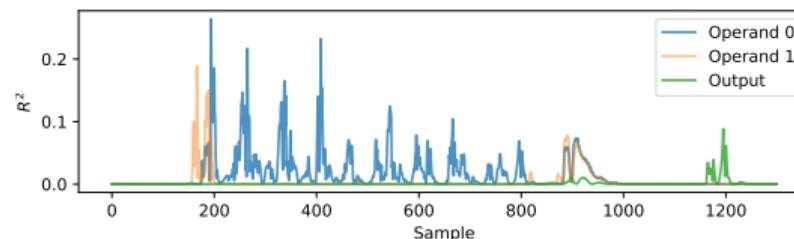


Figure – Leakage Assesment on Galois field multiplication

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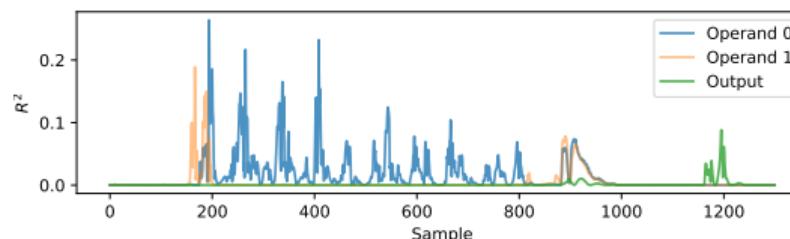


Figure – Leakage Assesment on Galois field multiplication

	Value template accuracy	Hamming weight template accuracy
Operand 0	<b>0.9389</b>	0.5929
Operand 1	0.0211	0.3035
Output	0.0221	<b>0.5178</b>

Table – Hamming weight and value templates accuracies on `gf_mul`. Each attack has been performed 400 times. 10%/90% validation/training segmentation.

# Reed-Solomon syndrome computation graphical representation

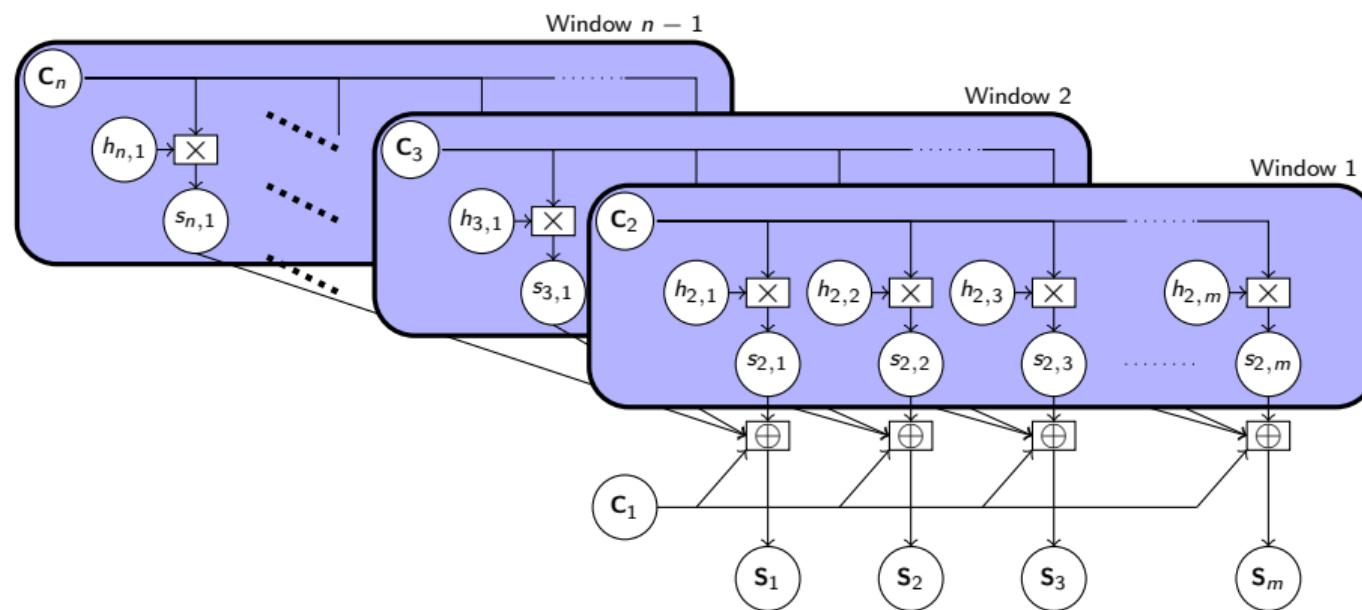


Figure – Graphical representation of the RS syndrome computation from HQC

How to combine that much leakage? → Belief Propagation.

# Belief Propagation – Overview

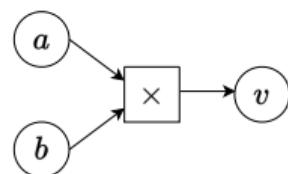


Figure – Graphical representation of a Multiplication

# Belief Propagation – Overview

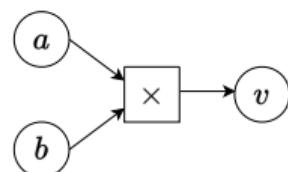


Figure – Graphical representation of a Multiplication

The Goal is to compute :  $\mathbb{P}(a \mid b, v)$

# Belief Propagation – Overview

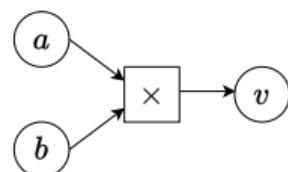


Figure – Graphical representation of a Multiplication

The Goal is to compute :  $\mathbb{P}(a | b, v), \mathbb{P}(b | a, v), \mathbb{P}(v | a, b)$

**The Marginal Probability Distributions**

# Belief Propagation – Overview

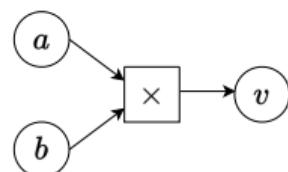


Figure – Graphical representation of a Multiplication

The Goal is to compute :  $\mathbb{P}(a | b, v), \mathbb{P}(b | a, v), \mathbb{P}(v | a, b)$

## The Marginal Probability Distributions

Sum Product Algorithm [KFL01] gives a solver for this problem.

→ Propagate and Combine knowledge

# Belief Propagation – Properties

What is proven ?

- Proof of convergence for tree like graphs
- graph\_depth iterations are required to converge

# Belief Propagation – Properties

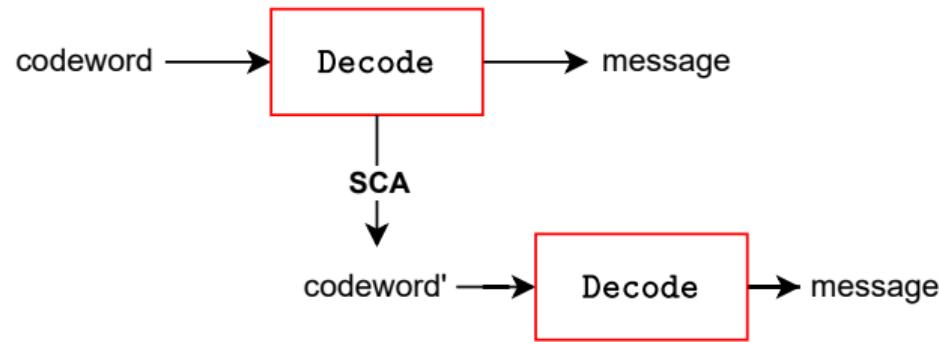
What is proven ?

- Proof of convergence for tree like graphs
- graph\_depth iterations are required to converge

What is not proven ?

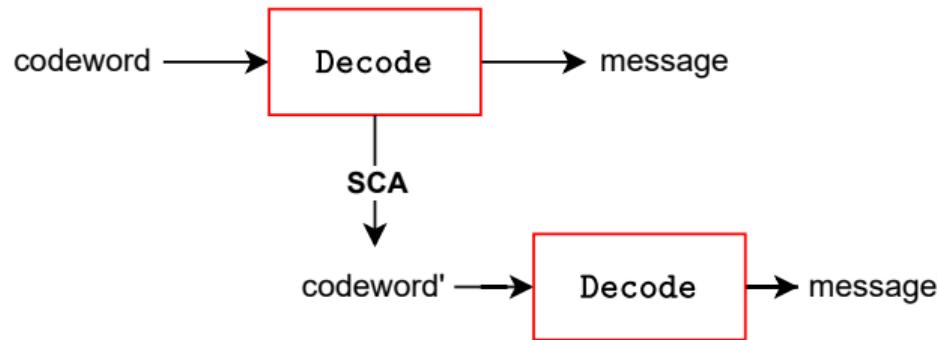
- No proof of convergence for Cyclic graphs (oscillation phenomenon)  
→ solution : Loopy Belief Propagation

# Re-decoding Strategy



→ Side-channel errors correction with Error correcting codes structure !

# Re-decoding Strategy



→ Side-channel errors correction with Error correcting codes structure !

Security level	HQC parameters			List decoder
$\lambda$	$k_1$	$n_1$	$t$	$\tau_{GS}$
HQC-128	16	46	15	19
HQC-192	24	56	16	19
HQC-256	32	90	29	36

Table – More powerful decoder for Reed-Solomon codes [VG99]

# Attack Accuracy in Simulation

→ Leakage on outputs of Galois field multiplication + Run BP :

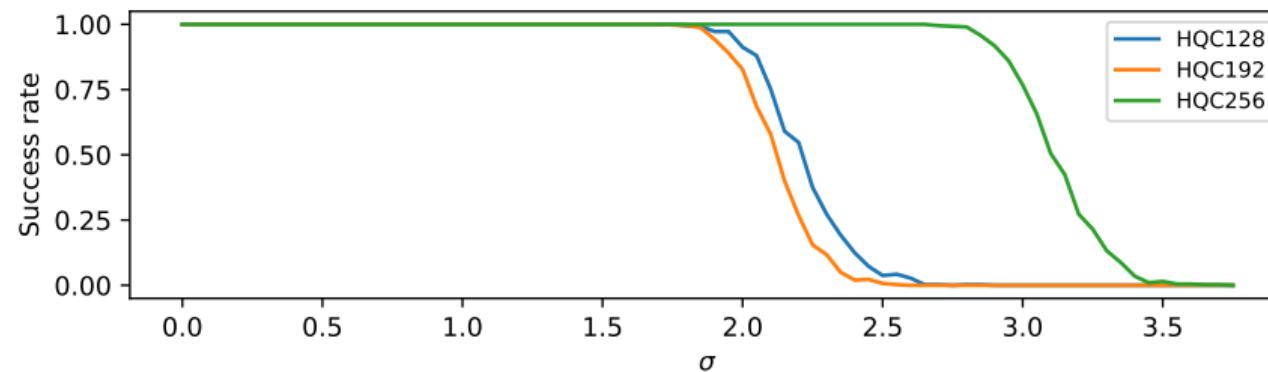


Figure – Simulated success rate of SASCA on the decoder, with re-decoding strategy, depending on the selected security level of HQC

- Attack works at high noise levels
- Attack strength increases with security level

# Countermeasure? – Codeword Masking (High Level Masking) Broken!

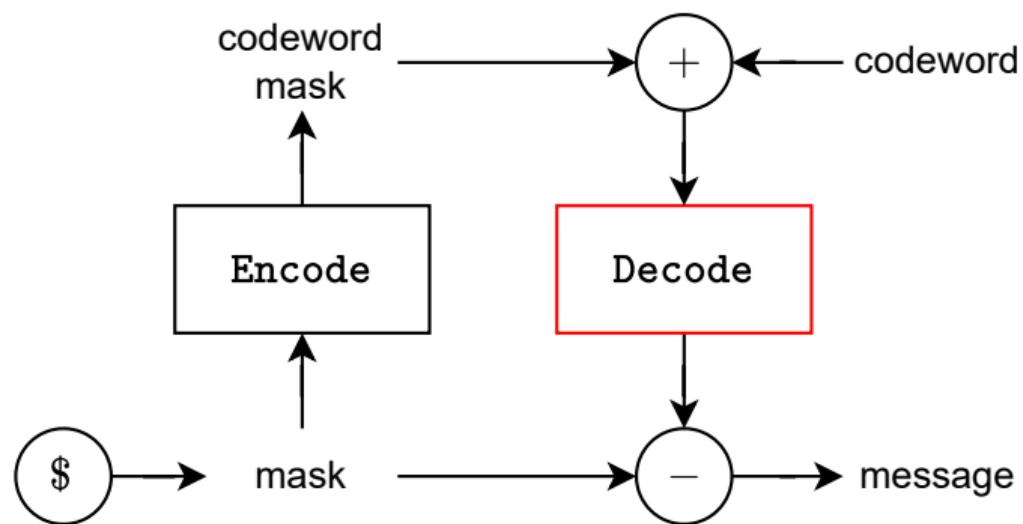


Figure – Codeword Masking [MSS13]

- Attack against the decoder which manipulates Galois field multiplications → Inefficient countermeasure

# Encoder Attack Accuracy in Simulation

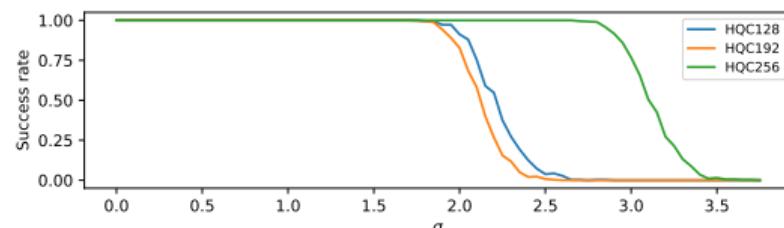


Figure – Simulated Success rate of the attack against the decoder

→ Several cycles in the Encoder graph :

- Oscillation phenomena.
- Attack less accurate at higher noise levels.

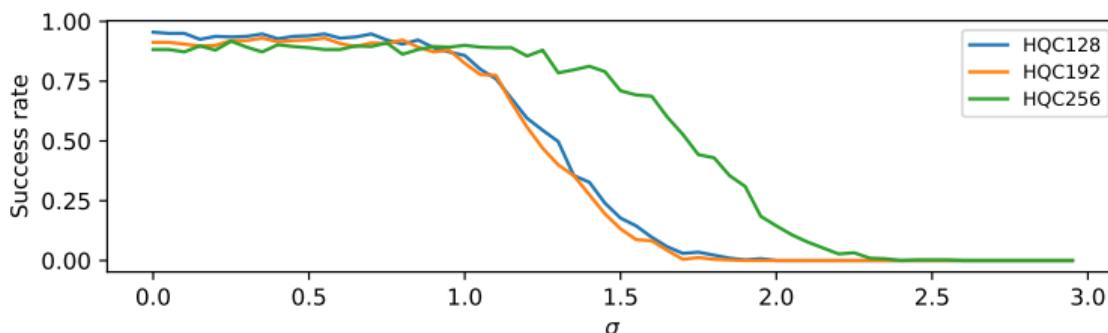
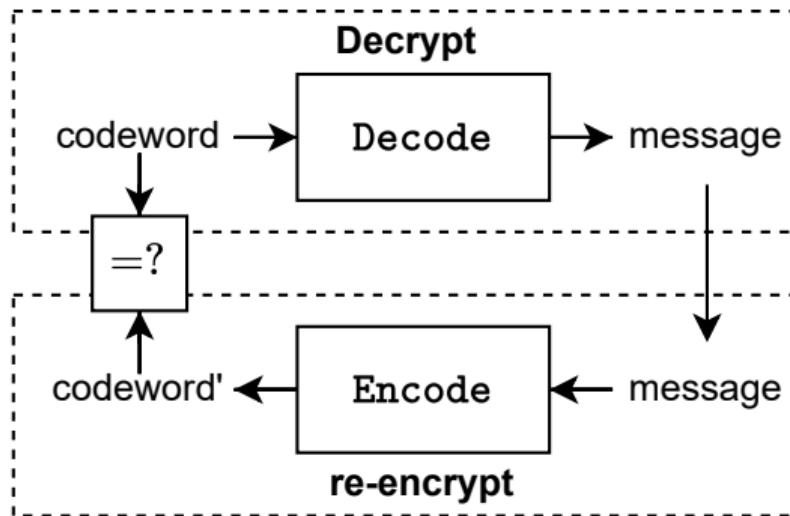


Figure – Simulated success rate of the attack against the encoder

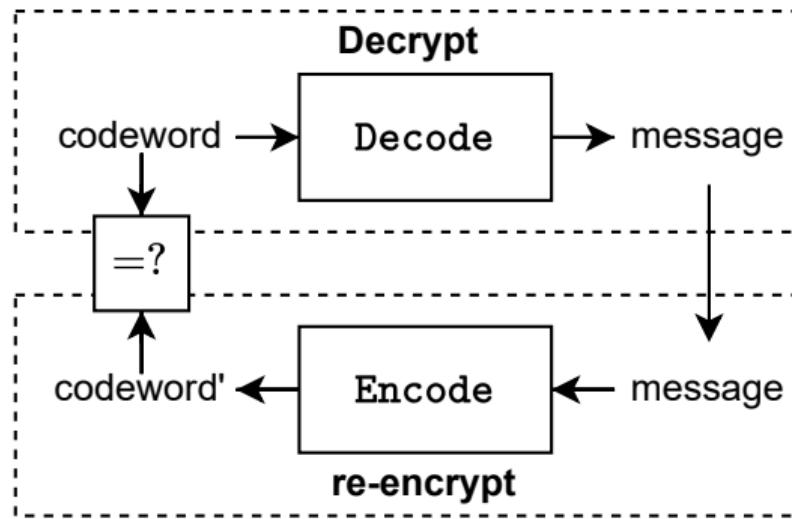
# re-encryption step from HHK transform



- HQC-KEM is based on HHK transform [HHK17]
- This transform introduces a re-encryption step.

Figure – HQC Structure with HHK transform

# re-encryption step from HHK transform



- HQC-KEM is based on HHK transform [HHK17]
- This transform introduces a re-encryption step.
- Enable to concatenate graphs
- First attack exploiting both encryption and re-encryption

Figure – HQC Structure with HHK transform

# Re-encryption Attack Accuracy in Simulation

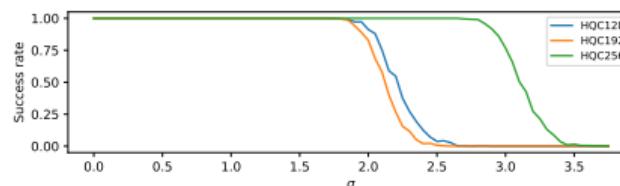


Figure – Simulated Success rate against the decoder

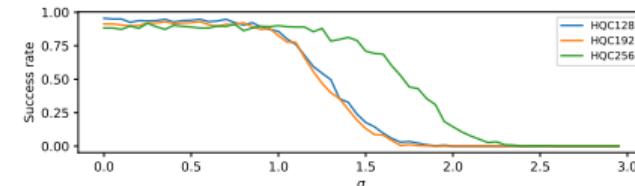


Figure – Simulated Success rate against the encoder

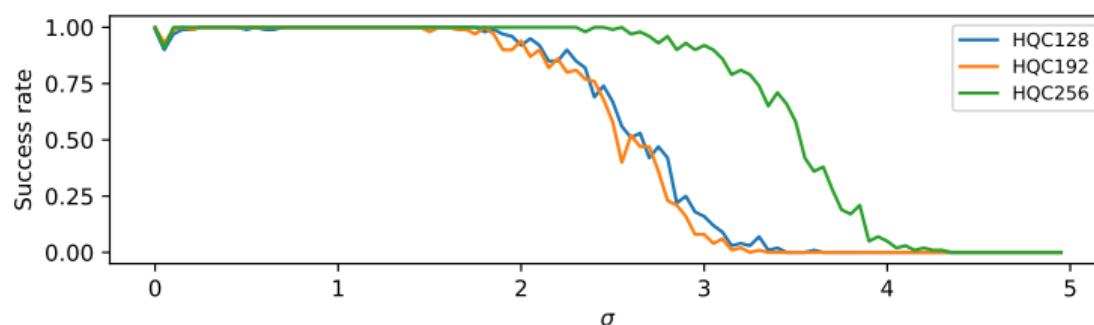


Figure – Simulated Success rate against the concatenated decoder and encoder graph

- Concatenated graph increases the strength of the attack !
- Observation of oscillation phenomenon (encoder cycles)

# Re-encryption Attack Accuracy in Simulation

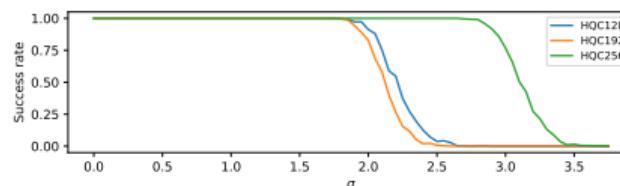


Figure – Simulated Success rate against the decoder

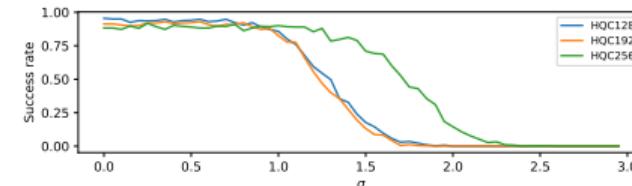


Figure – Simulated Success rate against the encoder

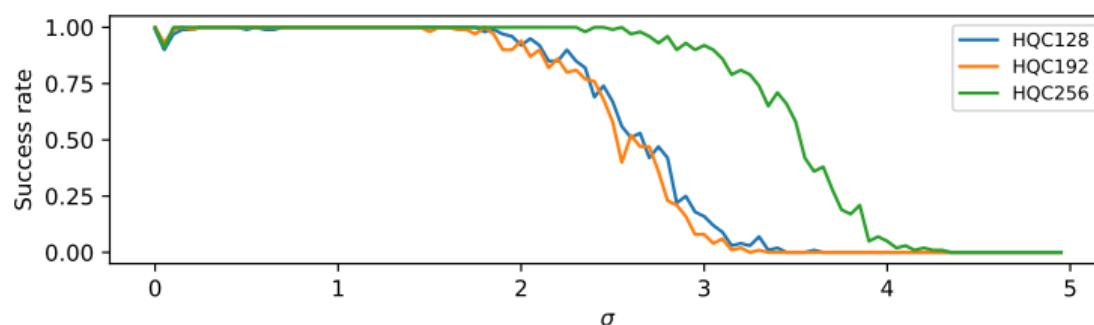


Figure – Simulated Success rate against the concatenated decoder and encoder graph

- Concatenated graph increases the strength of the attack !
- Observation of oscillation phenomenon (encoder cycles)

→ Efficient shuffling countermeasure to protect the Encoder and the Decoder !

# Table of Contents

## 1 Hamming Quasi-Cyclic

- Overview

## 2 HQC Key recovery attack

- A chosen ciphertext attack
- Building the Oracle
- Countermeasure

## 3 HQC message recovery attacks

- Attack Description
- Soft Analytical Side-Channel Attacks
- Breaking some countermeasures
- Exploiting re-encryption step

## 4 Conclusion and Perspectives

# Contributions

- 1 A new key recovery side-channel attack on HQC with chosen ciphertext  
G Goy, A Loiseau, P Gaborit  
International Conference on Post-Quantum Cryptography (PQcrypto 2022)
- 2 Estimating the strength of horizontal correlation attacks in the hamming weight leakage model :  
A side-channel analysis on HQC KEM  
G Goy, A Loiseau, P Gaborit  
The Twelfth International Workshop on Coding and Cryptography (WCC 2022)
- 3 Single trace HQC shared key recovery with SASCA  
G Goy, J Maillard, P Gaborit, A Loiseau  
IACR Transactions on Cryptographic Hardware and Embedded Systems 2024 (2) (CHES 2024)
- 4 Secret and Shared Keys Recovery on Hamming Quasi-Cyclic with SASCA  
C Baïsse, A Moran, G Goy, J Maillard, N Aragon, P Gaborit, M Lecomte, A Loiseau  
(preprint)

# Conclusion and Perspectives

## What we did ?

- We introduced several side-channel attacks against HQC (key and message recovery).
- We showed that Soft analytical side-channel attacks are a threat for (code-based) cryptography.
- Proposed several countermeasures against these attacks.

# Conclusion and Perspectives

## What we did ?

- We introduced several side-channel attacks against HQC (key and message recovery).
- We showed that Soft analytical side-channel attacks are a threat for (code-based) cryptography.
- Proposed several countermeasures against these attacks.

## Future Works

- Target other code-based schemes (BIKE, ClassicMcEliece) with Belief Propagation Algorithms.
- Secure HQC against side-channel attacks in the theoretical  $t$ -probing model. → promising security properties but high impact on performances

# Conclusion

Thank you for your attention !



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# Detecting Collisions

If  $\mathbf{v}$  has an Hamming weight of 1, there are two possibilities :

1.  $\text{Supp}(\mathbf{y}) \cap \text{Supp}(\mathbf{v}) = \text{Supp}(\mathbf{v})$ . Then  $\text{HW}(\mathbf{v} - \mathbf{y}) = \text{HW}(\mathbf{y}) - 1$ , the decoder will correct one error less than the reference decoding of  $\mathbf{y}$ .

$$\mathcal{O}_b^{\text{RM}}(\mathbf{v} - \mathbf{y}) = O_b^{\text{RM}}(\mathbf{y}) - 1$$

2.  $\text{Supp}(\mathbf{y}) \cap \text{Supp}(\mathbf{v}) = \emptyset$ . Then  $\text{HW}(\mathbf{v} - \mathbf{y}) = \text{HW}(\mathbf{y}) + 1$ , the decoder will correct one error more than the reference decoding of  $\mathbf{y}$ .

$$\mathcal{O}_b^{\text{RM}}(\mathbf{v} - \mathbf{y}) = O_b^{\text{RM}}(\mathbf{y}) + 1$$

- **Strategy** Remember locations where Oracle outputs 1 less than the reference value.

# Divide and Conquer

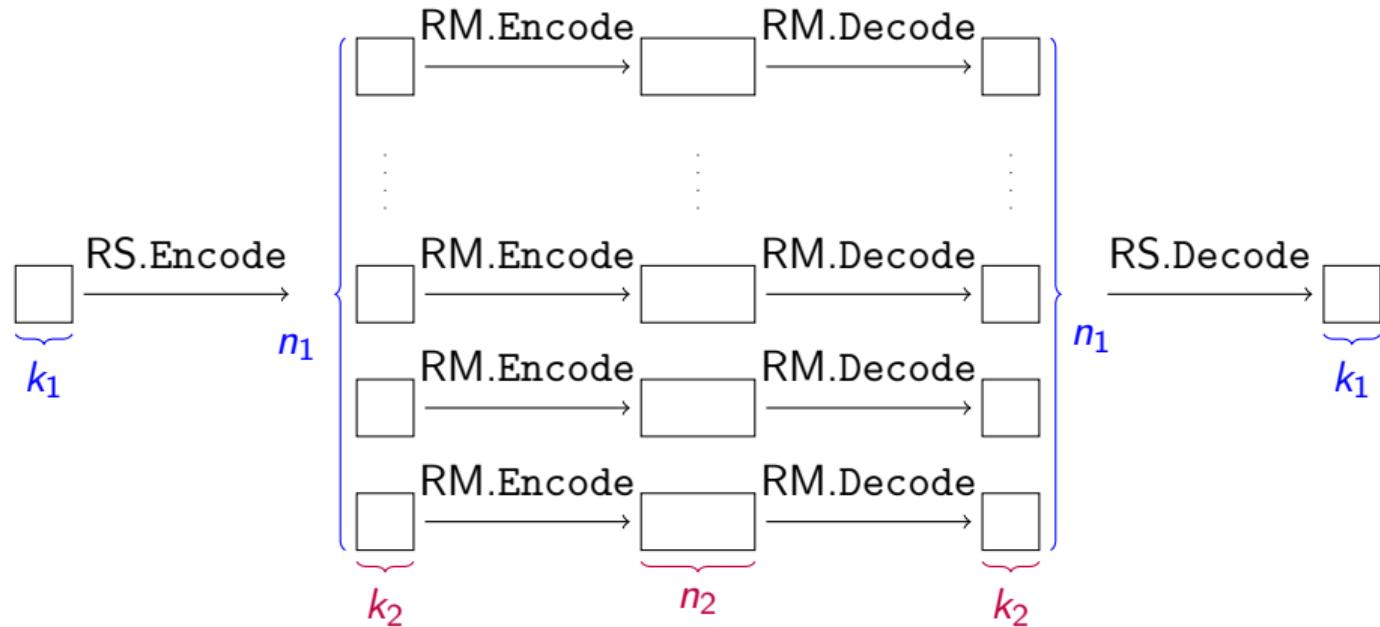


Figure – Simplified HQC Concatenated RMRS Codes Framework

# Breaking shuffling countermeasures

- Fine Shuffling (Adapted from a Kyber countermeasure)  
→ Randomly choose  $a \times b$  or  $b \times a$ .
- Coarse shuffling (Adapted from a Kyber countermeasure)  
→ Randomly shuffle columns of the parity check matrix

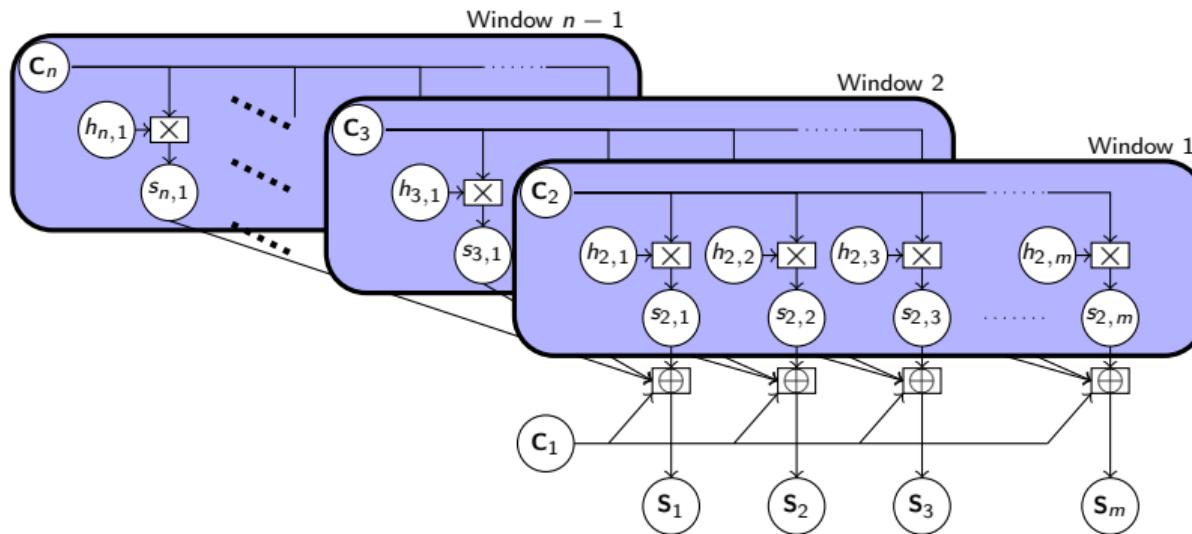
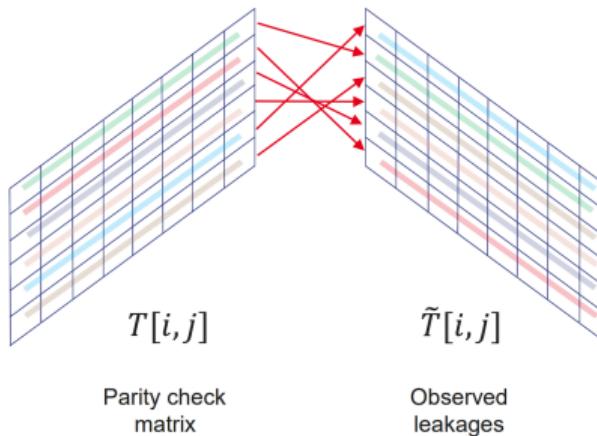


Figure – Graphical representation of the RS syndrome computation from HQC

# Breaking shuffling countermeasures 2

- Window Shuffling (Novelty)
  - Randomly shuffle lines of the parity check matrix



$$D[i, i'] = \sum_{j=1}^{256} d(\tilde{T}[i, j], T[i', j])$$

Instance of the assignment Problem.

→ Solver : Hungarian algorithm.

## Full Shuffling Countermeasure

- Lines Shuffling → Not enough !
  - Columns Shuffling → Not enough !
- ↪ Entire Matrix Shuffling !

$$2^{504}, \ 2^{614}, \ \text{and} \ 2^{1030}$$

- We can change the encoder to apply the same countermeasure

# Reed-Solomon syndrome computation graphical representation

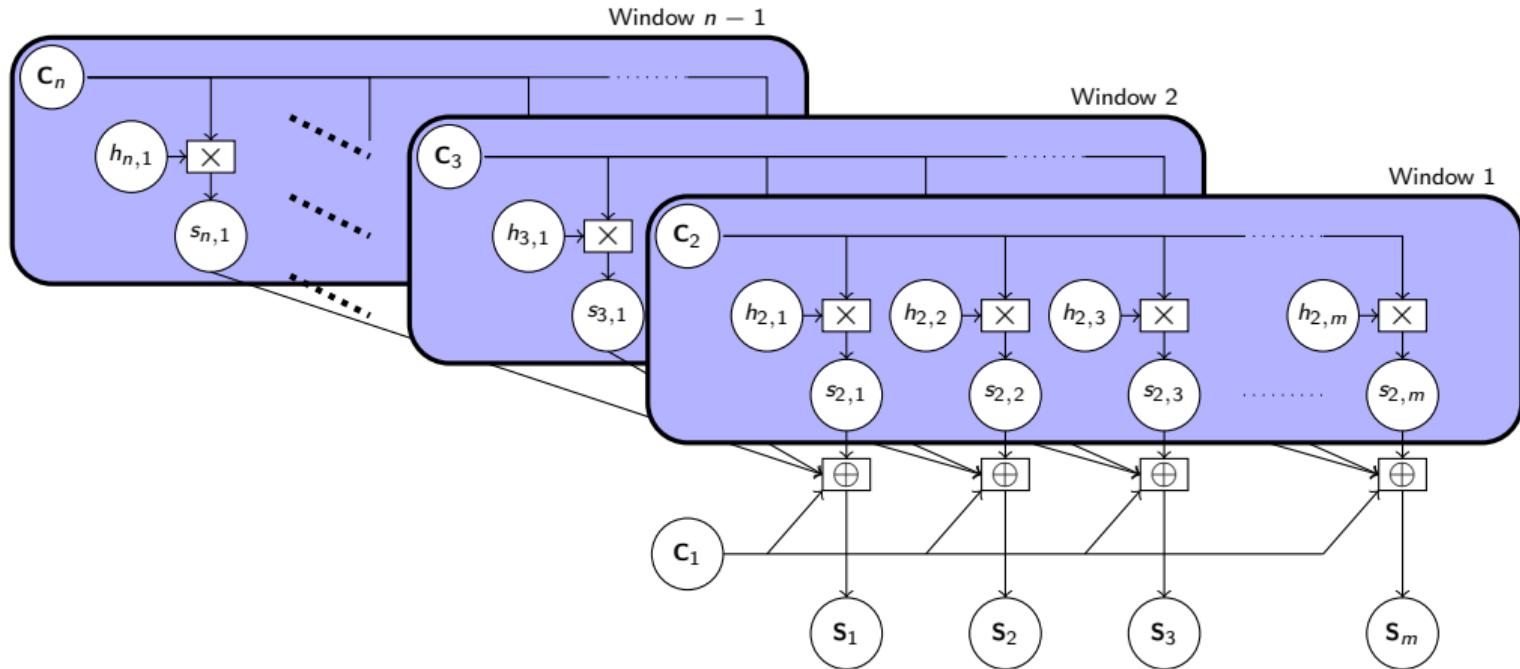


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# Reed-Solomon Encoder graphical representation

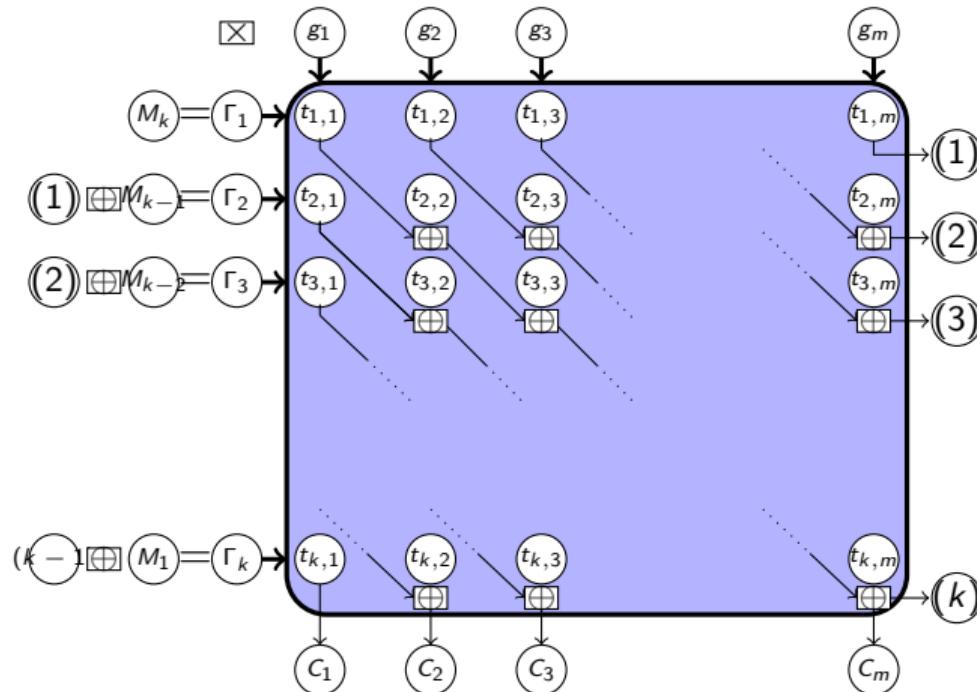


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