



UMA ABORDAGEM BASEADA EM PARSING EXPRESSION GRAMMARS PARA CASAMENTO DE PADRÃO EM CÓDIGO-FONTE MULTI-LINGUAGEM.

Guilherme Augusto Anício Drummond do Nascimento

Orientador: Rodrigo Geraldo Ribeiro

Ouro Preto Junho de 2025





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Exame de Qualificação de Mestrado apresentado ao Programa de Pós-graduação em Ciência da Computação, da Universidade Federal de Ouro Preto, como parte dos requisitos necessários à obtenção do título de Mestre em Ciência da Computação.

Orientador: Rodrigo Geraldo Ribeiro

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Programa: Ciência da Computação

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A PARSING EXPRESSION GRAMMARS-BASED APPROACH FOR PATTERN MATCHING IN MULTI-LANGUAGE SOURCE CODE.

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Sumário

| Lista | de | Figuras |
|-------|----|----------------|
|-------|----|----------------|

Lista de Tabelas

| 1 | Introduction | | | | | | | | 1 | | | | | | | | | | |
|--------------|-----------------------------|-------------|--------|-------|-------|-------|-------|----|---|---|--|----|---|------|---|--|--|--|----|
| 2 | Rel | ated Li | itera | ture | | | | | | | | | | | | | | | 2 |
| | 2.1 | An Ove | ervie | w of | PEC | Зs . | | | | | | | | | | | | | 2 |
| | 2.2 | Related | d wo | rk . | | | | | | | | | | | | | | | 4 |
| | 2.3 | Conclu | ısão | | | | | | | • | | | • | | • | | | | 6 |
| 3 | Met | thodolo | ogy | | | | | | | | | | | | | | | | 7 |
| | 3.1 | Case st | tudie | s | | | | | | | | | | | | | | | 10 |
| | | 3.1.1 | Call | grap | oh ge | enera | tion | 1 | | | | | | | | | | | 10 |
| | | 3.1.2 | Sour | ce co | ode v | valid | atio | n. | | | | | | | | | | | 12 |
| | | 3.1.3 | Sour | ce co | ode r | rewri | iting | g | | | | | | | | | | | 14 |
| | 3.2 | Implen | nenta | tion | deta | ils . | | | | | | | | | | | | | 15 |
| 4 | Res | ${ m ults}$ | | | | | | | | | | | | | | | | | 16 |
| 5 | Fut | ure woi | rk | | | | | | | | | | | | | | | | 17 |
| 6 | Conclusion and Future Works | | | | | | | | | | | 18 | | | | | | | |
| Re | eferê | ncias B | Biblio | ográf | ficas | ; | | | | | | | | | | | | | 19 |
| \mathbf{A} | Sim | plified | Pyt | hon | PEC | G | | | | | | | | | | | | | 21 |

Lista de Figuras

| 2.1 | Parsing expressions operational semantics | |
|-----|--|----|
| 2.2 | PEG for mathematical formulas | 3 |
| 2.3 | Generated abstract syntax tree for the expression $1+2*3$ | 4 |
| 3.1 | Parsing expressions operational semantics that produces a tree | 8 |
| | Pattern expressions semantics | |
| | Subtype relations for parsing expressions | |
| 3.4 | Pattern coercion | G |
| 3.5 | Matching rules | 10 |

Lista de Tabelas

Introduction

1

Related Literature

Colocar parágrafo introduzindo a seção.

2.1 An Overview of PEGs

Intuitively, PEGs are a formalism for describing top-down parsers. Formally, a PEG is a 4-tuple (V, Σ, R, e_S) , where V is a finite set of variables, Σ is the alphabet, R is the finite set of rules, and e_S is the start expression. Each rule $r \in R$ is a pair (A, e), usually written $A \leftarrow e$, where $A \in V$ and e is a parsing expression. We let the meta-variable e denote an arbitrary alphabet symbol, e a variable and e a parsing expression. Following common practice, all meta-variables can appear primed or sub-scripted. The following context-free grammar defines the syntax of a parsing expression:

$$e \rightarrow \epsilon \mid a \mid A \mid e_1 e_2 \mid e_1 / e_2 \mid e^* \mid ! e$$

The execution of parsing expressions is defined by an inductively defined judgment that relates pairs formed by a parsing expression and an input string to pairs formed by the consumed prefix and the remaining string. Notation $(e, s) \Rightarrow_G (s_p, s_r)$ denote that parsing expression e consumes the prefix s_p from the input string s leaving the suffix s_r . The notation $(e, s) \Rightarrow_G \bot$ denote the fact that s cannot be parsed by e. We let meta-variable r denote an arbitrary parsing result, i.e., either r is a pair (s_p, s_r) or \bot . We say that an expression e fails if its execution over an input produces \bot ; otherwise, it succeeds. Figure 2.1 defines the PEG semantics. We comment on some rules of the semantics. Rule $_{Eps}$ specifies that expression e will not fail on any input e0 by leaving it unchanged. Rule e0 Rule e0 specifies that an expression e1 consumes the first character when the input string starts with an 'a' and rule e0 consumes that it fails when the input starts with a different symbol. Rule e1 parses the input using the expression associated with the variable in the grammar e1. When parsing a sequence expression, e1 e2, the result is formed by e1 and e2 parsed prefixes and the

$$\frac{(e,s)\Rightarrow_{G}(\epsilon,s)}{(e,s)} \stackrel{\{Eps\}}{=} \frac{a\neq b}{(a,as_{r})\Rightarrow_{G}(a,s_{r})} \stackrel{\{ChrS\}}{=} \frac{a\neq b}{(a,bs_{r})\Rightarrow_{G} \bot} \stackrel{\{ChrF\}}{=} \frac{A\leftarrow e\in R}{(A,s)}$$

$$\frac{(e_{1},s_{p_{1}}s_{p_{2}}s_{r})\Rightarrow_{G}(s_{p_{1}},s_{p_{2}}s_{r})}{(e_{1}e_{2},s_{p_{1}}s_{p_{2}}s_{r})\Rightarrow_{G}(s_{p_{1}}s_{p_{2}},s_{r})} \stackrel{\{Cats_{1}\}}{=} \frac{(e_{1},s_{p}s_{r})\Rightarrow_{G}(s_{p_{1}}s_{p_{2}},s_{r})}{(e_{1}e_{2},s_{p}s_{r})\Rightarrow_{G}(s_{p_{1}}s_{p_{2}},s_{r})} \stackrel{\{Cats_{1}\}}{=} \frac{(e_{1},s_{p}s_{r})\Rightarrow_{G}(s_{p_{1}}s_{p_{2}},s_{r})}{(e_{1}e_{2},s_{p}s_{r})\Rightarrow_{G}(s_{p_{1}}s_{p_{2}},s_{r})} \stackrel{\{Alts_{1}\}}{=} \frac{(e_{1},s_{p}s_{r})\Rightarrow_{G}(s_{p_{1}}s_{p_{2}},s_{r})}{(e_{1}/e_{2},s_{p}s_{r})\Rightarrow_{G}(s_{p_{1}}s_{p_{2}},s_{r})} \stackrel{\{Cats_{1}\}}{=} \frac{(e_{1},s_{p}s_{r})\Rightarrow_{G}(s_{p_{1}}s_{p_{2}},s_{r})\Rightarrow_{G}(s_{p_{1}}s_{p_{2}},s_{r})}{(e_{1}/e_{2},s_{p}s_{r})\Rightarrow_{G}(s_{p_{1}}s_{p_{2}},s_{r})} \stackrel{\{Cats_{1}\}}{=} \frac{(e_{1},s_{p}s_{r})\Rightarrow_{G}(s_{p_{1}}s_{p_{2}},s_{r})\Rightarrow_{G}(s_{p_{1}}s_{p_{2}},s_{r})}{(e_{1}/e_{2},s_{p}s_{r})\Rightarrow_{G}(s_{p_{1}}s_{p_{2}},s_{r})} \stackrel{\{Cats_{1}\}}{=} \frac{(e_{1},s_{p}s_{r})\Rightarrow_{G}(s_{p_{1}}s_{p_{2}},s_{r})\Rightarrow_{G}(s_{p_{1}}s_{p_{2}},s_{r})}{(e_{1}/e_{2},s_{p}s_{r})\Rightarrow_{G}(s_{p_{1}}s_{p_{2}},s_{r})} \stackrel{\{Cats_{1}\}}{=} \frac{(e_{1},s_{p}s_{r})\Rightarrow_{G}(s_{p_{1}}s_{p_{2}},s_{r})\Rightarrow_{G}(s_{p_{1}}s_{p_{2}},s_{r})}{(e_{1}/e_{2},s_{p}s_{r})\Rightarrow_{G}(s_{p_{1}}s_{p_{2}},s_{r})} \stackrel{\{Cats_{1}\}}{=} \frac{(e_{1},s_{p}s_{r})\Rightarrow_{G}(s_{p_{1}}s_{p_{2}},s_{r})\Rightarrow_{G}(s_{p_{1}}s_{p_{2}},s_{r})}{(e_{1}/e_{2},s_{p}s_{r})\Rightarrow_{G}(s_{p_{1}}s_{p_{2}},s_{r})} \stackrel{\{Cats_{1}\}}{=} \frac{(e_{1},s_{p}s_{r})\Rightarrow_{G}(s_{p_{1}}s_{p_{2}},s_{r})\Rightarrow_{G}(s_{p_{1}}s_{p_{2}},s_{r})}{(e_{1}/e_{2},s_{p}s_{r})\Rightarrow_{G}(s_{p_{1}}s_{p_{2}},s_{r})} \stackrel{\{Cats_{1}\}}{=} \frac{(e_{1},s_{p}s_{r})\Rightarrow_{G}(s_{p_{1}}s_{p_{2}},s_{r})\Rightarrow_{G}(s_{p_{1}}s_{p_{2}},s_{r})}{(e_{1}/e_{2},s_{p}s_{p_{2}},s_{r})} \stackrel{\{Cats_{1}\}}{=} \frac{(e_{1},s_{p}s_{r})\Rightarrow_{G}(s_{p_{1}}s_{p_{2}},s_{r})\Rightarrow_{G}(s_{p_{1}}s_{p_{2}},s_{r})}{(e_{1}/e_{2},s_{p}s_{p_{2}},s_{r})} \stackrel{\{Cats_{1}\}}{=} \frac{(e_{1},s_{p}s_{p_{2}})\Rightarrow_{G}(s_{p_{1}}s_{p_{2}},s_{r})\Rightarrow_{G}(s_{p_{1}}s_{p_{2}},s_{r})}{(e_{1}/e_{2},s_{p_{2}},s_{r})} \stackrel{\{Cats_{1}\}}{=} \frac{(e_{1},s$$

Figura 2.1: Parsing expressions operational semantics.

remaining input is given by e_2 . Rules $_{Cat_{F1}}$ and $_{Cat_{F2}}$ say that if e_1 or e_2 fail, then the whole expression fails. The rules for choice impose that we only try expression e_2 in e_1/e_2 when e_1 fails. Parsing a star expression e^* consists in repeatedly execute e on the input string. When e fails, e^* succeeds without consuming any symbol of the input string. Finally, the rules for the not predicate expression, ! e, specify that whenever the expression e succeeds on input e, ! e fails; and when e fails on e we have that ! e succeeds without consuming any input.

- TODO: Colocar exemplos, mostrando como é o processamento de uma palavra -

The following PEG (Figure 2.2) recognizes mathematical formulas that apply the basic four operations to non-negative integers:

```
\begin{array}{lll} \operatorname{Expr} & \leftarrow & \operatorname{Sum} \\ \operatorname{Sum} & \leftarrow & \operatorname{Prod} ('+'\operatorname{Prod})^* \\ \operatorname{Prod} & \leftarrow & \operatorname{Value} ('*'\operatorname{Value})^* \\ \operatorname{Value} & \leftarrow & [0-9] + / \ '('\operatorname{Expr}')' \end{array}
```

Figura 2.2: PEG for mathematical formulas.

Consider the string 1 + 2 * 3. The initial rule Expr delegates to the rule Sum, which will first try to parse a Prod that will, in turn, first try to consume a Value, which recognizes the number '1'. Since the Prod rule does not consume a '*', it returns back to Sum. It then finds the '+' operator and tries to parse another Prod, which will consume the 2 as a Value and, this time, finds the '*' operator and

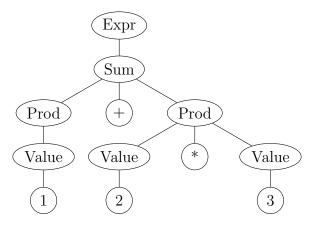


Figura 2.3: Generated abstract syntax tree for the expression 1+2*3

consumes the 3 as another Value. It does not find another '*' and goes back to Sum, that also does not find another '+' operator, it goes back to Expr and finalizes the parsing process, resulting in the syntactic structure corresponding to the expression: 1+(2*3). The abstract syntactic tree generated can be seen on Figure 2.3.

2.2 Related work

Atkinson and Griswold [1] presents the matching tool TAWK, which extends extend the pattern syntax of AWK to support matching of abstract syntax trees. In TAWK, pattern syntax is language-independent, based on abstract tree patterns, and each pattern can have associated actions, which are written in C for generality, familirity and performance. Throughout the paper, a prototypical example of extracting a call-graph from a given code, giving examples in differents tools for pattern matching. At a later section, we also present an extraction of a call-graph using the tool developed in this paper.

Kopell et al. [2] presents an approach for building source-to-source transformation that can run on multiple programming languages, based on a representation called incremental parametric syntax (IPS). In IPS, languages are represented using a mixture of language-specific and generic parts. Transformations deal only with the generic fragments, but the implementer starts with a pre-existing normal syntax definition, and only does enough up-front work to redefine a small fraction of a language in terms of these generic fragments. The IPS was implemented in a Haskell framework called *Cubix*, and currentl supports C, Java, JavaScript, Lua, and Python. They also demonstrate a whole-program refactoring for threading variables through chains of function calls and three smaller source-to-source transformations, being a hoisting transformation, a test-coverage transformation and a the three-address code transformation.

Premtoon et al. [3] presents a tool called Yogo, that uses an approach to seman-

tic code search based on equational reasoning, that considers not only the dataflow graph of a function, but also the dataflow graphs of all equivalents functions reachable via a set of rewrite rules. The tool is capable of recognizing differents variations of the same operation and also when code is an instance of a higher-level concept. *Yogo* is built on the *Cubix* multi-language infraestructure and can find equivalent code in multiple languages from a single query.

Silva et al. [4] proposes RefDiff 2.0, a multi-language refactoring detection tool. Their approach introduces a refactoring detection algorithm that relies on the Code Structure Tree (CST), a representation of the source code that abstract away the specificities of particular programming languages. The tool has results that are on par with state-of-the-art refactoring detection approaches specialized in the Java language and has support for two other popular programming languages: JavaScript and C, demonstrating that the tool can be a viable alternative for multi-language refactoring research and in practical applications of refactoring detection.

van Tonder and Le Goues [5] proposes that the problem of automatically transforming programs can be decomposed such that a common grammar expresses the central context-free language (CLF) properties shared by many contemporary languages and open extensions points in the grammar allow customizing syntax and hooks in smaller parsers to handle language-specific syntax, such as comments. The decomposition is made using a Parser Parser combinator (PPC), a mechanism that generates parsers for matching syntactic fragments in source code by parsing declarative user-supplied templates. This allows to detach from translating input programs to any particular abstract syntax tree representation, and lifts syntax rewriting to a modularly-defined parsing problem. They also evaluated *Comby*, an implementation of the approach process using PPC, on a large scale multi-language rewriting across 12 languages, and validated effectiveness of the approach by producing correct and desirable lightweight transformations on popular real-world projects.

Matute et al. [6] proposes a search architecture that relies only on tokenizing a query, introducing a new language and matching algorithm to support tree-aware wildcards by building on tree automata. They also present *stsearch*, a syntactic search tool leveraging their approach, which supports syntactic search even for previously unparsable queries.

Ierusalimschy [7] proposes the use of PEGs as a basis for text pattern-mathing and presents LPEG, a pattern-matching tool based on PEGs for the Lua scripting language, and a Parsing Machine that allows a small and efficient implementation of PEGs for pattern matching. This allow LPEG to have both the expressive power of PEGs with the ease of use of regular expressions. LPEG also seems specially suited for languages that are too complex for traditional pattern-matching tools but do not need a complex yacc-lex implementation, like domain-specific languages such as

 SQL and regular expressions, and even XML.

2.3 Conclusão

Fazer um parágrafo finalizando a seção.

Methodology

Let $G = (V, \Sigma, R, e_s)$ be an arbitrary PEG, the meta-variable $a \in \Sigma$ an arbitrary alphabet symbol, $A \in V$ a variable and e a parsing expression. The following context-free grammar defines the syntax of a parse tree:

$$t \rightarrow \hat{\epsilon} \mid \hat{a} \mid \hat{A} \mid \langle t_1, t_2 \rangle \mid Lt \mid Rt \mid [t] \mid \eta$$

Where $\hat{\epsilon}$ represents that a parsing expression resulted in success without consuming any symbol of its input, \hat{a} represents that the parsing expression consumed the symbol a from the input, \hat{A} represents that the parsing of the rule $(A, e) \in R$ was succeesful, $\langle t_1, t_2 \rangle$ represents that a sequence of parsing expressions succeeded, L t and R t both represent that a branch of an ordered choice succeeded, with L t for the left one and R t for the right one, [t] is a list of trees and η represents that a not predicate was successful.

The generation of tress by execution of parsing expressions is defined by an inductively defined judgment that relates pairs formed by a parsing expression and an input string to pairs formed by the generated tree and the remaining string. Notation $(e, s_p s_r) \Rightarrow_G (t, s_r)$ denote that parsing expression e consumes the prefix s_p and generates the parse tree t from the input string $s_p s_r$ leaving the suffix s_r . The notation $(e, s) \Rightarrow_G \bot$ denote the fact that s cannot be parsed by e. We let meta-variable r denote an arbitrary parsing result, i.e., either r is a pair (t, s_r) or \bot . We say that an expression e fails if its execution over an input produces \bot ; otherwise, it succeeds. Figure 3.1 defines the PEG semantics for tree generation.

Definition 1 (Type of a parse tree). We say that a parse tree t has type e, t : e, when t is generated by a parsing expression e, i.e., when $(e, s_p s_r) \Rightarrow_G (t, s_r)$.

Let $G = (V, \Sigma, R, e_s)$ be an arbitrary PEG, Θ a finite set of identified patterns, U a finite set of variables, the meta-variable $a \in \Sigma$ an arbitrary alphabet symbol, $A \in V$ a variable and e a parsing expression. Each identified pattern $p_i \in \Theta$ is a

$$\frac{(e,s)\Rightarrow_{G}(\hat{e},s)}{(e,s)\Rightarrow_{G}(\hat{e},s)} \stackrel{\{Eps\}}{=} \frac{a\neq b}{(a,as_{r})\Rightarrow_{G}(\hat{a},s_{r})} \stackrel{\{ChrS\}}{=} \frac{a\neq b}{(a,bs_{r})\Rightarrow_{G} \bot} \stackrel{\{ChrF\}}{=} \frac{A\leftarrow e\in R}{(A,s)\Rightarrow_{G}} \stackrel{\{ChrF\}}{=} \frac{A\leftarrow e\in R}{(A,s)\Rightarrow_{G}} \stackrel{\{ChrF\}}{=} \frac{A\leftarrow e\in R}{(A,s)\Rightarrow_{G}} \stackrel{\{ChrF\}}{=} \frac{(e_{1},s_{p_{1}}s_{p_{2}}s_{r})\Rightarrow_{G}(t_{1},s_{p_{2}}s_{r})\Rightarrow_{G}(t_{2},s_{r})}{(e_{1}e_{2},s_{p_{1}}s_{p_{2}}s_{r})\Rightarrow_{G}((t_{1},t_{2}),s_{r})} \stackrel{\{Cat_{S1}\}}{=} \frac{(e_{1},s_{p_{1}}s_{p_{2}}s_{r})\Rightarrow_{G}(t_{1},s_{r})}{(e_{1}/e_{2},s_{p_{1}}s_{p_{2}}s_{r})\Rightarrow_{G}(t_{1},s_{r})} \stackrel{\{Cat_{S1}\}}{=} \frac{(e_{1},s_{p_{1}}s_{p_{2}}s_{r})\Rightarrow_{G}(t_{1},s_{r})}{(e_{1}/e_{2},s_{p_{1}}s_{p_{2}}s_{r})\Rightarrow_{G}(t_{1},s_{r})} \stackrel{\{Cat_{S1}\}}{=} \frac{(e_{1},s_{p_{1}}s_{p_{2}}s_{r})\Rightarrow_{G}(t_{1},s_{r})}{(e_{1}/e_{2},s_{p_{1}}s_{p_{2}}s_{r})\Rightarrow_{G}(t_{1},s_{r})} \stackrel{\{Cat_{S1}\}}{=} \frac{(e_{1},s_{p_{1}}s_{p_{2}}s_{r})\Rightarrow_{G}(t_{1},s_{r})}{(e_{1}/e_{2},s_{p_{1}}s_{p_{2}}s_{r})\Rightarrow_{G}(t_{1},s_{r})} \stackrel{\{Cat_{S1}\}}{=} \frac{(e_{1},s_{p_{1}}s_{p_{2}}s_{r})\Rightarrow_{G}(t_{1},s_{r})}{(e_{1}/e_{2},s_{p_{2}}s_{r})\Rightarrow_{G}(t_{2},s_{r})} \stackrel{\{Cat_{S1}\}}{=} \frac{(e_{1},s_{p_{2}}s_{r})\Rightarrow_{G}(t_{1},s_{r})}{(e_{1}/e_{2},s_{p_{2}}s_{r})\Rightarrow_{G}(t_{2},s_{r})} \stackrel{\{Cat_{S1}\}}{=} \frac{(e_{1},s_{p_{2}}s_{r})\Rightarrow_{G}(t_{1},s_{r})}{(e_{1}/e_{2},s_{p_{2}}s_{r})\Rightarrow_{G}(t_{1},s_{r})} \stackrel{\{Cat_{S1}\}}{=} \frac{(e_{1},s_{p_{2}}s_{r})\Rightarrow_{G}(t_{1},s_{r})}{(e_{1}/e_{2},s_{p_{2}}s_{r})\Rightarrow_{G}(t_{1},s_{r})} \stackrel{\{Cat_{S1}\}}{=} \frac{(e_{1},s_{p_{2}}s_{r})$$

Figura 3.1: Parsing expressions operational semantics that produces a tree.

pair (I, p), where $I \in U$ and p is a pattern expression. The following context-free grammar defines the syntax of a pattern expression:

$$p \rightarrow \epsilon \mid a \mid A \mid p_1 p_2 \mid p_1 / p_2 \mid p^* \mid ! p \mid M \mid I$$

Where ϵ is a pattern that matches with the empty string and is always successful, a matches only with the symbol a, A matches with a subtree of type e and $(A, e) \in R$, p_1 p_2 matches if both p_1 and p_2 matches sequentially, p_1/p_2 matches if one of p_1 or p_2 matches, p^* will try to match p sequentially as many times as possible, p_1 matches only if p does not matches, p_2 is a meta-variable that, given a variable p_2 matches with any tree p_2 where p_2 and p_3 is a reference to another pattern expression p_2 where p_3 where p_4 is a reference to another pattern expression p_2 where p_3 is a reference to another pattern expression p_3 where p_4 is a reference to another pattern expression p_3 where p_4 is a reference to another pattern expression p_3 where p_4 is a reference to another pattern expression p_3 where p_4 is a reference to another pattern expression p_3 where p_4 is a reference to another pattern expression p_4 where p_4 is a reference to another pattern expression p_4 where p_4 is a reference to another pattern expression p_4 where p_4 is a reference to another pattern expression p_4 where p_4 is a reference to another pattern expression p_4 where p_4 is a reference to another pattern expression p_4 where p_4 is a reference to another pattern expression p_4 where p_4 is a reference to another pattern expression p_4 where p_4 is a reference to another pattern expression p_4 where p_4 is a reference to another pattern expression p_4 where p_4 is a reference to another pattern expression p_4 where p_4 is a reference p_4 is a reference p_4 in p_4 in

Figure 3.2 defines the pattern semantics.

$$\frac{\Theta,G \vdash \epsilon}{\Theta,G \vdash \epsilon} \ \ \frac{A \in V}{\Theta,G \vdash A} \ \ \{Var\}$$

$$\frac{\Theta,G \vdash p_1 \quad \Theta,G \vdash p_2}{\Theta,G \vdash p_1 \ p_2} \ \{Sequence\} \quad \frac{\Theta,G \vdash p_1 \quad \Theta,G \vdash p_2}{\Theta,G \vdash p_1 \ p_2} \ \{Choice\} \quad \frac{\Theta,G \vdash p}{\Theta,G \vdash p} \ \{Star\}$$

$$\frac{\Theta,G \vdash p}{\Theta,G \vdash p} \ \{Not\} \quad \frac{\exists e.M : e \land A \leftarrow e \in R}{\Theta,G \vdash M} \ \{MetaVar\} \quad \frac{\exists e.\Theta(I) = e}{\Theta,G \vdash I} \ \{Ref\}$$

Figura 3.2: Pattern expressions semantics.

Definition 2 (Valid pattern with respect to a tree). We say that a pattern p is valid with respect to a tree t, $p \sim t$, if and only if $\exists e.p : e \wedge t : e$.

We also present a type coercion for parsing expressions.

$$\begin{array}{ll} \frac{}{e <: e} \ \{ \textit{Reflexive} \} & \frac{e_1 <: e_2 \quad e_2 <: e_3}{e_1 <: e_3} \ \{ \textit{Transitive} \} \\ \\ \frac{}{e_1 <: e_1 / e_2} \ \{ \textit{Alt}_{Left} \} & \frac{}{e_2 <: e_1 / e_2} \ \{ \textit{Alt}_{Right} \} & \frac{n \geq 1}{e^n <: e^{\star}} \ \{ \textit{Star} \} \end{array}$$

Figura 3.3: Subtype relations for parsing expressions

We present the syntax for terms of subtyping.

$$p \rightarrow \epsilon \mid a \mid A \mid p_1 p_2 \mid p_1/p_2 \mid L p \mid R p \mid p^* \mid [p] \mid !p \mid M$$

Of note, are the production rules Lp, Rp and [p] which represents, respectively, the proof that the left expression in a choice operator is a subtype of the choice, the proof that the right expression in a choice operator is a subtype of the choice, and the proof that a list (possibly empty) of patterns is a subtype of the \star operator.

$$\frac{p:e \quad e <: e' \quad \exists p'.p' = C(p,e <: e') \quad \forall t.t: e'}{p' \sim t} \ _{Pattern}$$

Figura 3.4: Pattern coercion

Where C is a resursively defined function that receives a pattern and a proof

that the pattern is valid and returns a corrected pattern and is defined as follows:

```
C(\epsilon, \epsilon) = \epsilon
C(a, a) = a
C(a, a') = \pm 1, if a \neq a'
C((Ap), (Ap')) = AC(p, p')
C((Ap), (A'p')) = \pm 1, if A \neq A'
C(M, M) = M
C(M, M') = \pm 1, if M \neq M'
C(p_1 p_2, p'_1 p'_2) = C(p_1, p'_1) C(p_2, p'_2)
C(\epsilon, xs)) = []
C(p, [x]) = [C(p, x)]
C(p_1 p_2, x : xs) = C(p_1, x) C(p_2, xs)
C(p_1 p_2, x : xs) = C(p_1, p'_1)/C(p_2, p'_2)
C(p, Lp') = C(p, p')/! \epsilon
C(p, Rp') = ! \epsilon/C(p, p')
C(! p, ! p') = ! C(p, p')
```

Figura 3.5: Matching rules

3.1 Case studies

To evaluate and demonstrate the capabilities of the tool, we present below some case studies: the generation of a call graph, a suggestion for rewriting the code, and a verification of the presence of specific constructions in the code. All case studies use the PEG shown in Appendix A, which accepts.

3.1.1 Call graph generation

In this case study we try to extract a call graph from a given source code and to do so, we will need two different patterns: one that matches with all definitions of functions and one that matches with all functions calls.

```
pattern definition: function\_def := ("def"@space\#name: identifier" ("@space\#p: id\_list?")"@pattern call: function\_call := \#name: identifier@space" ("@space#v: expr\_list?")" \epsilon\\pattern space: space := *
```

The syntax pattern name: type := expression represents a pattern identified by name that matches trees with type type and expression specifies how it will match. @name denotes a reference to another pattern and #var : type denotes a variable that matches with trees of type type. ϵ indicates that there must be nothing following the call.

The pattern definition matches with any function definition, storing the function identifier, parameters and function body, respectively, in variables name, p and block. The pattern $function_call$ matches with any function call, storing the function name and arguments, respectively, in variables name and v. Then, by first matching the pattern definition in the source code and then matching $function_call$ in each function's body using what was stored in each match of variable block, it is possible to make a list of pairs (caller, calee) and create a call graph. Consider the following Python code as an example:

import math

```
def delta(a, b, c):
    return b**2 - 4*a*c

def bhaskara(a, b, c):
    d = delta(a, b, c)
    x1 = (-b + math.sqrt(d)) / 2*a
    x2 = (-b - math.sqrt(d)) / 2*a
    return x1, x2

a = float(input("Digite-o-valor-de-a:-"))
b = float(input("Digite-o-valor-de-b:-"))
c = float(input("Digite-o-valor-de-c:-"))
x1, x2 = bhaskara(a, b, c)

print(f"{a}x^2-+-{b}x-+-{c}")
print(f"Raiz-1:-{x1}")
print(f"Raiz-2:-{x2}")
```

Pattern definition will match with functions delta and bhaskara. In deltas's body, pattern function_call won't match with any statement, since there are no calls in its body. In bhaskara's body, function_body will match the call to delta and both calls to math.sqrt. This will make the list [(bhaskara, delta), (bhaskara, math.sqrt), (bhaskara, math and, removing the duplicates, it is possible to make the call graph.

3.1.2 Source code validation

• Checking for specific constructs

Consider a question that asks the student to implement a program that calculates the factorial of an integer n entered by the user. The expected solution is for the student to use a loop, such as while, to implement the successive multiplication of the numbers, as in the code presented below:

```
n = int(input("Digite rum numero: "))
fatorial = 1
contador = n
while (contador >= 1):
    fatorial = fatorial * contador
    contador = contador - 1
print(f"{n}! = {fatorial}")
```

However, within the Python math library, there is the factorial function, which, given an integer n, returns the result of n!. For this reason, some students end up importing the library and using the ready-made function, circumventing the objective of the exercise, which is to practice the repetition loop, as shown below.

```
import math
n = int(input("Digiterum numero: "))
fatorial = math.factorial(n)
print(f"{n}! -- {fatorial}")
```

The pattern presented below is capable of identifying the presence of a call to the *factorial* function.

```
pattern factorial\_call: function\_call:= (identifier:="math.factorial") @space" ("@space" pattern space: space:= *
```

Where (identifier := "math.factorial") means that the name of the function must be math.factorial, $\#v2:expr_list?$ means that the function's argument list will be stored in the variable v2. So, if the pattern matches, it means that the student is using the factorial function from the math library instead of writing the repetition loop, bypassing the original objective of the exercise.

• Blocking disallowed constructs

Imagine that you are evaluating a question whose statement is as follows:

"Você foi contratado pelo Ministério do Meio Ambiente para avaliar a meta de reflorestamento das regiões brasileiras e vai implementar um programa para ajudá-lo em suas análises. Para facilitar a coleta de dados, cada estado é dividido em microrregiões. Você recebe periodicamente um vetor de valores inteiros indicando a quantidade mínima de árvores nativas plantadas para cada estado, representando a meta de cada estado, e uma matriz de valores inteiros que mostra a quantidade de árvores plantadas em cada estado em cada microrregião, as linhas da matriz representam as microrregiões e as colunas os estados. As entradas do vetor e da matriz são feitas por meio das funções inputVetor e inputMatriz, respectivamente (definidas no livro texto da disciplina).

Seu programa calcula o total de árvores plantadas pelos estados e avalia se eles cumpriram com a meta (quantidade de árvores plantadas é igual ou superior à meta do estado), imprimindo no terminal os estados que não conseguiram cumprir a meta (os números dos estados começam de 1, embora os índices comecem de 0, então, índice 0 representa o estado 1, índice 1 representa o estado 2, e assim por diante). A relação entre o vetor e a matriz se dá pelos índices dos elementos do vetor e os índices de coluna da matriz."

And, when opening a solution submitted by a student, you come across this code:

```
def inputVetor():
    entrada = input("Informerasemetasedosestados:")
    return list(map(int, entrada.split(',')))

def inputMatriz():
    entrada = input("Informeror plantiorderarvores:")
    linhas = entrada.split(';')
    matriz = [list(map(int, linha.split(','))))
        for linha in linhas]
    return matriz

def main():
    print("MinisteriordorMeiorAmbiente")
    metas = inputVetor()
    plantio = inputMatriz()
```

Although correct and generating the expected response, it uses Python language resources that ignore the intended learning objectives or were not presented in the course, such as the use of the map and sum functions, list comprehension and the use of the __name__ attribute. The PEG presented in Appendix A would immediately reject this solution, as it does not accept constructions such as list comprehension.

3.1.3 Source code rewriting

Now consider the following code snippet:

```
if not a:
    print("Condition - 'a' - is - false")
else:
    print("Condition - 'a' - is - true")
```

Although the code does not present any errors, it can be refactored, with the aim of improving the structure and, consequently, understanding of the code, by removing the *not* from the if condition and exchanging the command blocks of if and else, as follows:

```
if a:
    print("Condition - 'a' - is - true")
else:
    print("Condition - 'a' - is - false")
```

By identifying this type of construction in the student's code, it is possible to suggest a rewrite to the student, explaining the reason for the suggestion and the improvement it would bring to the code. The patterns presented below represent a way of detecting the construction presented previously and how to rewrite it.

 $patternif_def: if_stmt := (("if"@space@expr":")#ifBlock:statement*)@elseBlock$

 $patternelseBlock: else_block:=("else"@space":")\#elseBlock:statement*$

 $patternsubst: if_stmt := (("if"@space\#condition:expression":")\#elseBlock:statemer$

 $patternelseBlock2: else_block:=("else"@space":")\#ifBlock:statement*$

 $patternexpr: expression := @orExpr\epsilon$ $patternorExpr: or_expr := @andExpr\epsilon$

pattern and Expr:="not"@space#condition:comparison

patternspace: space:=*

Where the $if_{-}def$ pattern matches when it finds an if that has as a condition a negated expression and the subst pattern represents the rewrite that will be suggested to the student.

The variables #condition: expression, #ifBlock: statement* and #elseBlock: statement* in the if_def pattern capture, respectively, the expression in the if condition, the entire if block of statements and the entire else block of statements. The way these variables appear in the subst pattern indicates how the rewrite will be performed. In this pattern, you can see that the not in the condition no longer appears, while the position of the block variables has been changed. Thus, it is possible to use what was captured by the variables in the if_def pattern and place it in the places where the variables appear in the subst pattern. Finally, we can present the rewrite to the student, along with an explanation, to make a suggestion for improving their solution.

3.2 Implementation details

After parsing the patterns, we replace references to other patterns with the pattern itself. To do this, we create a dependency graph between the patterns, topologically sort and replace the references so that no resulting pattern contains references to other patterns and can be treated as a single pattern.

Results

Future work

Conclusion and Future Works

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Apêndice A

Simplified Python PEG

```
<- (blank* newline)* statement+
file
           <- (compound / simple / comment) blank* newline*</pre>
statement
            <- function_def / if_stmt / while_stmt / for_stmt</pre>
compound
function_def <- ("def" space identifier "(" space id_list? ")" space ":") > statem
        <- (("if" space expression ":") > statement) (elif_block / else_block
if_stmt
elif_block
             <- (("elif" space expression ":") > statement) (elif_block / else_blo
else_block <- ("else" space ":") > statement
            <- ("while" space expression ":") > statement
while_stmt
             <- ("for" space identifier space "in" space expression ":") > stateme
for_stmt
simple
            <- import_stmt / assignment / return_stmt / expression</pre>
return_stmt <- "return" space expr_list
import_stmt <- simple_import / from_import</pre>
simple_import <- "import" space identifier</pre>
from_import <- "from" space identifier space "import" space (id_list / "*")</pre>
assignment <- id_list space attr space expression
             <- "=" / "+=" / "-=" / "*=" / "/="
attr
expression <- or_expr ("or" space or_expr)*</pre>
             <- and_expr ("and" space and_expr)*</pre>
or_expr
             <- "not" space comparison / comparison</pre>
and_expr
comparison
            <- sum (op_comp sum)*
              <- term (op_term term)*
             <- factor (op_factor factor)*
term
              <- power (op_power power)*</pre>
factor
power
              <- "-" neg / neg
              <- primary space / "(" space expression ")" space
neg
             <- ("==" / "!=" / "<=" / ">=" / "<" / ">") space
op_comp
             <- ("+" / "-") space
op_term
            <- ("*" / "/" / "%") space
op_factor
```

```
op_power <- "**" space
             <- function_call / array_access / "[" items? "]" / atom</pre>
primary
function_call <- identifier space "(" space expr_list? ")" ("." primary)?</pre>
array_access <- identifier space "[" space expression "]" ("." primary)?</pre>
atom
             <- "True" / "False" / "None" / number / strings / identifier
expr_list
             <- expr1 space (sep expr1 space)*</pre>
             <- (single_id space "=" space)? expression
expr1
items
             <- expression (sep expression space)*</pre>
             <- identifier space (sep identifier space)*</pre>
id_list
              <- "," space
sep
             <- fstring / string
^strings
             <- "f" string
fstring
             <- ['] (!['] char)* ['] / ["] (!["] char)* ["]
string
char
             <- [a-zA-Z0-9 :{}.,;^+-*/%()_!?áéúçã] / "[" / "]"
^identifier <- single_id ("." single_id)*</pre>
            <- [a-zA-Z] [a-zA-Z0-9_]*
single_id
^number
             <- [0-9]+
             <- " "*
space
             <- comment / " "
^blank
            <- "#" char*
^comment
```

<- "\r\n" / "\r" / "\n"

^newline