

CME 2001

Data Structures and Algorithms

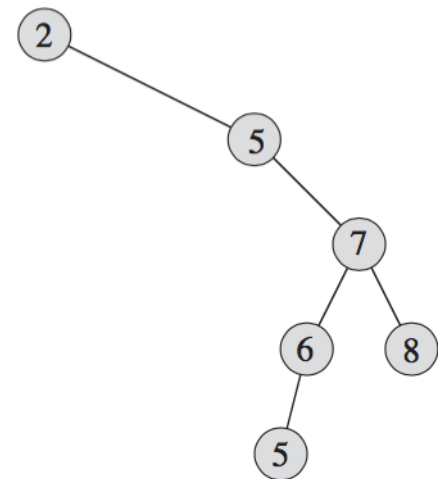
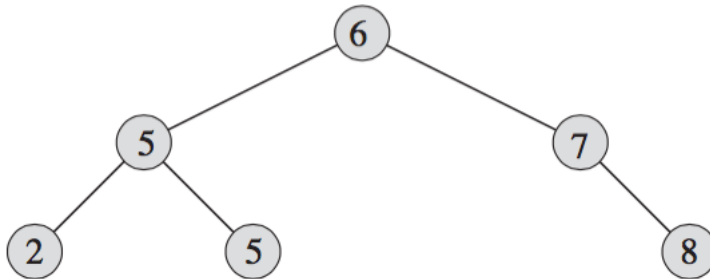
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Binary Search Trees

What is a binary search tree?

- Important data structure for dynamic sets
 - Search, minimum, maximum, predecessor, successor, insert, delete
- Perform many dynamic-set operations in $O(h)$ time, where $h = \text{height of tree}$.



Representation of a binary search tree

- Represented by a linked data structure, in which each node is an object.
- $T.root$ points to the root of tree T .
- Each node contains the attributes :
 - key (and possibly other satellite data).
 - $left$: points to left child.
 - $right$: points to right child.
 - p : points to parent ($T.root.p = NIL$).
- Stored keys must satisfy the **binary-search-tree property**.
 - If y is in left subtree of x , then $y.key \leq x.key$.
 - If y is in right subtree of x , then $y.key \geq x.key$.

Inorder tree walk

- The BST property allows us to print keys in a BST in a sorted order recursively

INORDER-TREE-WALK(x)

if $x \neq \text{NIL}$

 INORDER-TREE-WALK($x.\textit{left}$)

 print $\textit{key}[x]$

 INORDER-TREE-WALK($x.\textit{right}$)

Running time: $\Theta(n)$ [for a n -node BST]

BST - Search

TREE-SEARCH(x, k)

if $x == \text{NIL}$ or $k == \text{key}[x]$

return x

if $k < x.\text{key}$

return **TREE-SEARCH**($x.\text{left}, k$)

else return **TREE-SEARCH**($x.\text{right}, k$)

- Initial call is *TREE-SEARCH* ($T.\text{root}, k$).
- The algorithm recursively visits nodes on a downward path from the root.
- Running time: $O(h)$, where h is the height of the tree.

BST – Minimum & Maximum

TREE-MINIMUM(x)

```
while  $x.left \neq \text{NIL}$   
     $x = x.left$   
return  $x$ 
```

TREE-MAXIMUM(x)

```
while  $x.right \neq \text{NIL}$   
     $x = x.right$   
return  $x$ 
```

- The BST property guarantees that
 - the minimum key of a BST is located at the leftmost node,
 - the maximum key of a BST is located at the rightmost node.
- Traverse the appropriate pointers (*left/right*) until NIL is reached.
- Running time: $O(h)$, where h is the height of the tree.

BST – Successor & Predecessor

- The **successor** of a node x is the node y such that $y.key$ is the smallest key $> x.key$ (assume all keys are distinct!).

TREE-SUCCESSOR(x)

if $x.right \neq \text{NIL}$

return TREE-MINIMUM($x.right$)

$y = x.p$

while $y \neq \text{NIL}$ and $x == y.right$

$x = y$

$y = y.p$

return y

- Running time: $O(h)$, where h is the height of the tree.
- TREE-PREDECESSOR is symmetric to TREE-SUCCESSOR.

BST – Insertion

- The procedure takes a node z , with $z.key = v$, $z.left = \text{NIL}$, $z.right = \text{NIL}$.
- It modifies tree and some attributes of z in such a way that it inserts z into a suitable position of the tree.
- Running time: $O(h)$, where h is the height of the tree.

TREE-INSERT(T, z)

$y = \text{NIL}$

$x = T.root$

while $x \neq \text{NIL}$

$y = x$

if $z.key < x.key$

$x = x.left$

else $x = x.right$

$z.p = y$

if $y == \text{NIL}$

$T.root = z$

// tree T was empty

elseif $z.key < y.key$

$y.left = z$

else $y.right = z$

BST – Deletion

Deleting node z from a BST T has three cases:

1. If z has no children, just remove it.
2. If z has one child, then make that child take z 's position in T , elevate the child's subtree along.
3. If z has two children, then find z 's successor y and replace z by y in T . y must be in z 's right subtree and have no left child. The rest of z 's original right subtree becomes y 's new right subtree, and z 's left subtree becomes y 's new left subtree.

BST – Deletion

TRANSPLANT subroutine is used to move subtrees around T .

TRANSPLANT (T, u, v) replaces the subtree rooted at u by the subtree rooted at v :

- Make u 's parent become v 's parent.
- u 's parent gets v as either its left or right child.

TRANSPLANT(T, u, v)

if $u.p == \text{NIL}$

$T.root = v$

elseif $u == u.p.left$

$u.p.left = v$

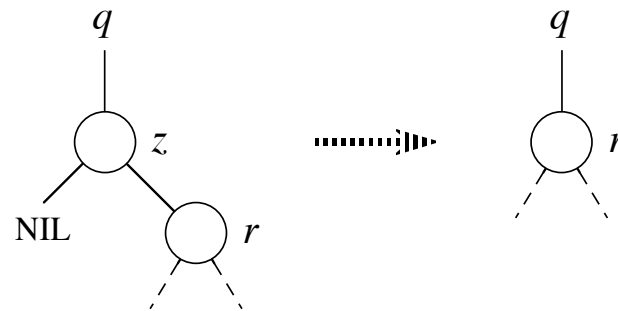
else $u.p.right = v$

if $v \neq \text{NIL}$

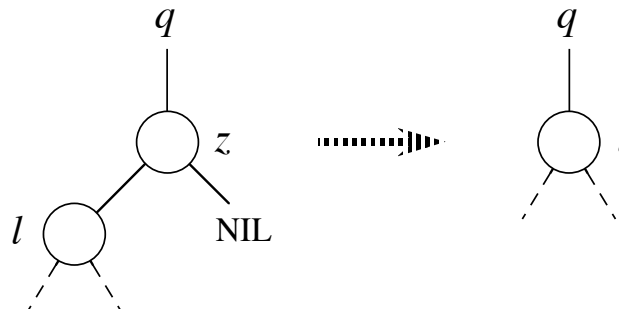
$v.p = u.p$

BST – Deletion cases

- If z has no left child, replace z by its right child.



- If z has just one child, which is its left child, then replace z by its left child.

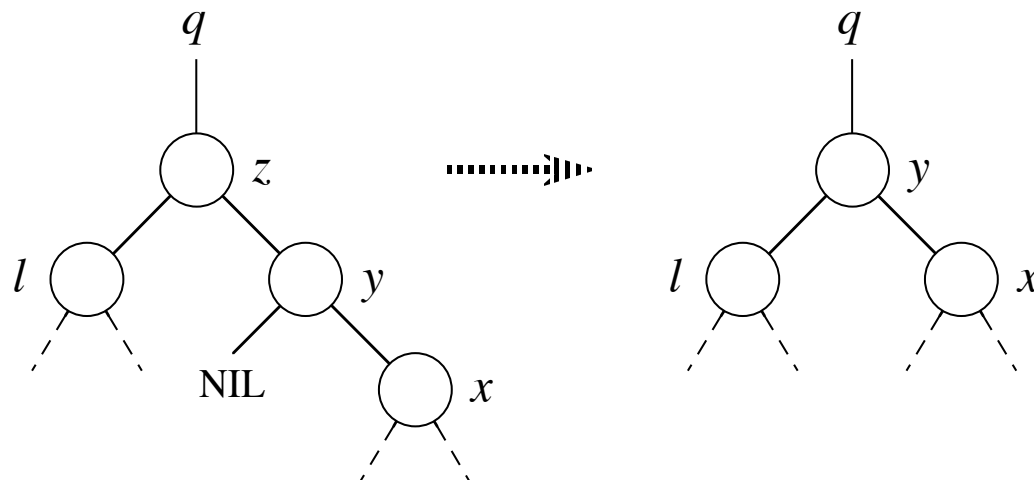


BST – Deletion cases ...

- If z has two children. Find z 's successor y , which must lie in z 's right subtree and have no left child.

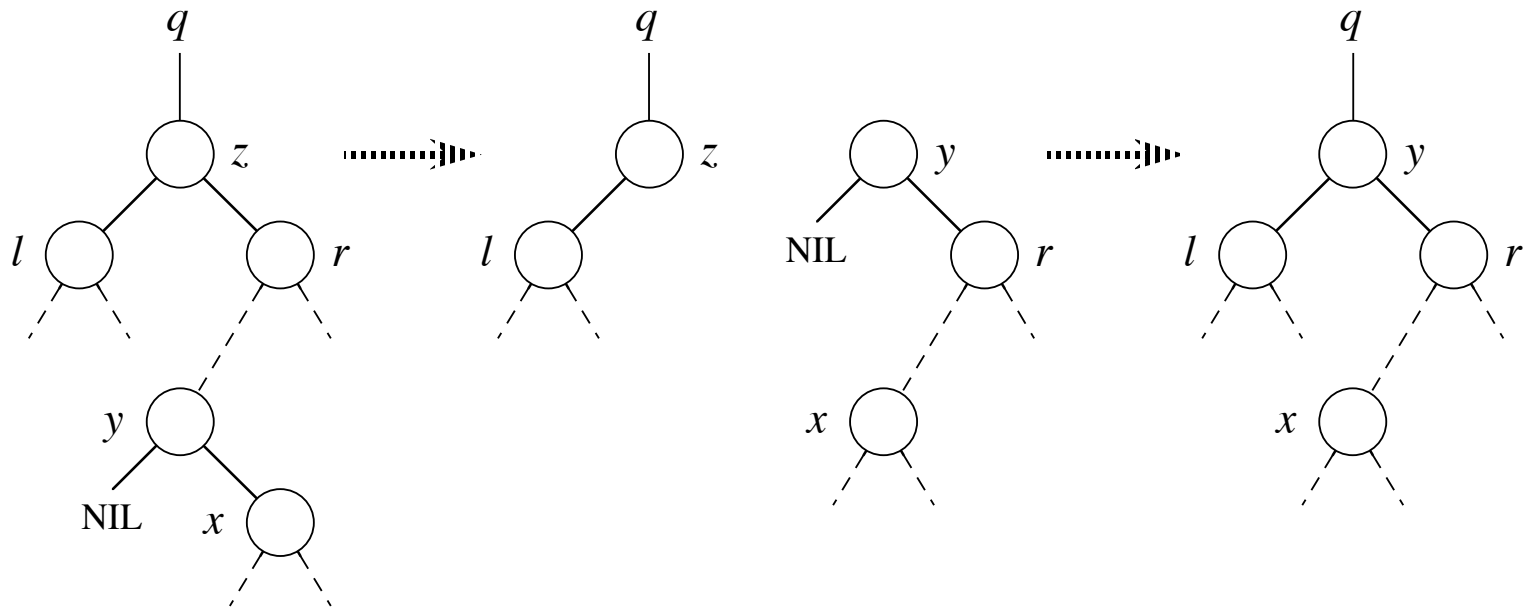
Aim: Replace z by y , splicing y out of its current location:

1. If y is z 's right child, replace z by y and leave y 's right child alone.



BST – Deletion cases ...

2. Otherwise, y lies within z 's right subtree but is not the root of this subtree. Replace y by its own right child. Then replace z by y .



BST – Deletion code

TREE-DELETE(T, z)

if $z.left == \text{NIL}$

 TRANSPLANT($T, z, z.right$) *// z has no left child*

elseif $z.right == \text{NIL}$

 TRANSPLANT($T, z, z.left$) *// z has just a left child*

else *// z has two children.*

$y = \text{TREE-MINIMUM}(z.right)$ *// y is z's successor*

if $y.p \neq z$

// y lies within z's right subtree but is not the root of this subtree.

 TRANSPLANT($T, y, y.right$)

$y.right = z.right$

$y.right.p = y$

// Replace z by y.

 TRANSPLANT(T, z, y)

$y.left = z.left$

$y.left.p = y$

- Running time : $O(h)$, where h is the height of the tree.
- Note that everything is $O(1)$ except the call of TREE-MINIMUM.

Next Week ...

- Midterm Exam
 - Will cover all chapters of lectures.
 - Start at 9:00 - sharp.
 - No cheating paper, no calculator.