# **P4 SmartCar Report**

# 1.Implement a basic driving agent

In your report, mention what you see in the agent's behavior. Does it eventually make it to the target location?

### Q1 Answer: python Q\_agent.py in repo(Basic\_Agent)

Run in the original codes, we can see the action of car is a random choice of move forward, left, right or none. This policy makes none sense.

So we add some codes to let the car obey the traffic raws.

Determining whether the car can go, acording to traffic rules in US.

```
if self.next_waypoint == 'right':
    if inputs['light'] == 'red' and inputs['left'] == 'forward':
        action_okay = False

elif self.next_waypoint == 'straight':
    if inputs['light'] == 'red':
        action_okay = False

elif self.next_waypoint == 'left':
    if inputs['light'] == 'red' or inputs['oncoming'] == 'forward' or inputs['oncoming'] == 'right':
        action_okay = False
```

### Implement a basic driving agent in 10 turns :

```
In [22]: import numpy as np
   import pandas as pd

In [23]: basic_agent_result = pd.read_csv('basic_agent_statics.csv')
In [24]: basic_result = basic_agent_result.drop(['Unnamed: 0'], axis = 1)
In [25]: basic_result
```

Out[25]:

	avg_total_reward	reached_times
0	23.083333	24
1	26.394737	19
2	24.760870	23
3	28.687500	24
4	23.175000	20
5	26.134615	26
6	25.150000	20
7	21.181818	11
8	25.950000	20
9	24.071429	14

#### In [26]: basic\_result.describe()

Out[26]:

	avg_total_reward	reached_times			
count	10.000000	10.000000			
mean	24.858930	20.100000			
std	2.109725	4.653553			
min	21.181818	11.000000			
25%	23.399107	19.250000			
50%	24.955435	20.000000			
75%	26.088462	23.750000			
max	28.687500	26.000000			

#### As the Describe table shown below,

the average EM\_total\_reward is 24.86 for each run ,

and the average EM\_reached\_times is 20,

which means the sucess rate is only 20% based on non\_Q\_learning algorithm in this case.

# 2. Identify and update state

Identify a set of states that you think are appropriate for modeling the driving agent. The main source of state variables are current inputs, but not all of them may be worth representing. Also, you can choose to explicitly define states, or use some combination (vector) of inputs as an implicit state. Justify why you picked these set of states, and how they model the agent and its environment.

**Q2 Answer:**or) of inputs as an implicit state. Justify why you picked these set of states, and how they model the agent and its environment. In this case, what available variables the agent need considered are the 5 ones:

1. (the traffic light: light):

Reason: The traffic light is the most important signal for all of cars .

Only the traffic light is green for the agent to travel forward legally and safely.

(next\_waypoint):

**Reason:** It is a correct heading direction based on the destination, the right heading can make the road map always point to the destination.

3. ('oncoming':value):

Reason: The value locate in range of (right, left, forward, None)

If the value is not None, which means there is other agent on the oncoming position of Q agent and value represents what the other agent will do next step.

4. ('right': :value):

Reason: The value locate in range of (right, left, forward, None)

If the value is not None, which means there is other agent on the right position of Q agent and value represents what the other agent will do next step.

5. ('left':value):

Reason: The value locate in range of (right, left, forward, None)

If the value is not None, which means there is other agent on the left position of Q agent and value represents what the other agent will do next step.

If we introduce to much features what will make a big challengers of distributed data sparsity when the data is not enough or the system is under limited computing resource . Therefore, keeping a balance of feature numbers and data sensity is a critical part of the case.

#### Test1:

If deadline is added into the state tuple:

```
self.state['deadline'] = self.env.get_deadline(self)
( ( 'light': light ), ( 'oncoming': oncoming ), ( 'left': left ), ( 'right':
right ) ,('next_waypoint': next_waypoint) , ( 'deadline': deadline ) )
```

When n\_trials of simulator equalts to 100, the best success rate(reached\_times before deadline / 100 trials) is only 45% owing to the distributed data sparsity or something else.

#### Read 'Deadline\_added\_grid\_version.csv', and then clean Data

```
df2 = pd.read_csv('Deadline_added_grid_version.csv'
df2_sorted = df2.sort_values(['total_reached_times'], ascending = False)
df2_sorted = df2_sorted.drop(['Unnamed: 0'],axis=1)
df2_sorted['reward_per_pace'] = df2_sorted['avg_total_reward'] / d
df2_sorted['success_rate'] = df2_sorted['total_reached_times'] /100
                                                                                 / df2_sorted['avg_total_paces']
df2 sorted
     alpha avg_total_deadline avg_total_paces avg_total_penalties avg_total_reward epsilon gamma total_reached_times reward_per_pace
 159 0.75 31.777778
                                17,422222
                                                  0.933333
                                                                       28.833333
                                                                                          0.03
                                                                                                  0.45
                                                                                                                                  1.654974
265 0.95
           29.268293
                                                                       28.658537
                                                                                                  0.40
                                16.634146
                                                  0.634146
                                                                                          0.05
                                                                                                                                  1,722874
 141 0.75
            32.250000
                                 18.150000
                                                   1.200000
                                                                       27.900000
                                                                                          0.03
                                                                                                  0.15
                                                                                                                                  1.537190
 185
     0.80
            32.051282
                                 20.743590
                                                   1.051282
                                                                       32.320513
                                                                                          0.08
                                                                                                   0.40
                                                                                                                                  1.558096
 161 0.75
            31.710526
                                 19.921053
                                                   1.368421
                                                                       30.434211
                                                                                          0.08
                                                                                                  0.45
                                                                                                            38
                                                                                                                                  1.527741
                                                                                                  0.30
                                                                                                                                  1.556591
 177 0.80
            31.973684
                                 19.763158
                                                  0.894737
                                                                       30.763158
                                                                                          0.03
                                                                                                            38
95
    0.65
            34.078947
                                 18.052632
                                                  1.184211
                                                                       28.250000
                                                                                          0.08
                                                                                                  0.25
                                                                                                            38
                                                                                                                                  1.564869
 166 0.80
            31.710526
                                 19.605263
                                                  0.973684
                                                                       30.723684
                                                                                                  0.10
                                                                                                            38
                                                                                                                                  1.567114
                                                                                          0.05
248 0.95
            30.263158
                                 16.736842
                                                  0.868421
                                                                       28.460526
                                                                                          0.08
                                                                                                  0.10
                                                                                                            38
                                                                                                                                  1.700472
     0.60
            31.216216
                                20.864865
                                                   1.054054
                                                                       31.756757
                                                                                          0.03
                                                                                                   0.05
                                                                                                                                  1.522021
 54
220 0.90
            34.459459
                                 19.513514
                                                   1.405405
                                                                       29.283784
                                                                                          0.05
                                                                                                   0.10
                                                                                                            37
                                                                                                                                  1.500693
 184 0.80
            31.351351
                                17.027027
                                                  0.675676
                                                                       28.229730
                                                                                         0.05
                                                                                                  0.40
                                                                                                            37
                                                                                                                                  1.657937
    0.50
            28.611111
                                 15.250000
                                                  1.000000
                                                                       26.111111
                                                                                          0.05
                                                                                                  0.40
                                                                                                            36
                                                                                                                                  1.712204
151 0.75 30.416667
                                17.555556
                                                  0.944444
                                                                       29.111111
                                                                                         0.05
                                                                                                  0.30
                                                                                                           36
                                                                                                                                 1.658228
```

So deadline parameter is removed because it is not a good choice as we expected.

At last we make the state like below tuple:

```
Agent_State = ( ( 'light': light ), ( 'oncoming': oncoming ), ( 'left': left ),('next_waypoint': next_waypoint) )
```

# 3. Implement Q-Learning

What changes do you notice in the agent's behavior?

### Q3 Answer: The Q3 codes in Repo(Q\_learning\_Agent)

According to the random result of Q1, introducing a reinforcement learning method is needed to improve the primary agent driving level.

The Q-learning is the best choice for us.

According to the Q-learning equation:

Q(s,a) := Q(s,a) + alpha \* [r(s,a) + gamma \* (argmax(Q(s',a') - Q(s,a))]

We need 3 parameters for the equation.

Alpha: the learning rate, range (0,1)

Gamma: the discount factor, range (0,1)

Epsilon: a factor for the balance of exploration and greedy, range (0,1)

Make a QL\_System.py as the Q-learning policy:

Including these functions:

def getQ(...): Get the Q value in the Q dict according to the state to.

def learnQ(...): Initialize the Q value equal to 0 and update the Q value if it is existed.

def bestAction(...): Choose the best action according to the max Q value or select a random choice with a probability of epsion.

Def iterLearn(...): Update the previous Q value when the agent move to the next state.

# The way to update Q agent.state and QDict

```
def update(self, t):
    # Gather inputs
    self.next_waypoint = self.planner.next_waypoint()  # from route planner, also displayed by simulator
    inputs = self.env.sense(self)
    deadline = self.env.get_deadline(self)
    # TODO: Current state
   self.state = inputs
self.state['next_waypoint'] = self.next_waypoint
#self.state_next['deadline'] = self.q_deadline
    self.state = tuple(sorted(self.state.items()))
    # TODO: Select action according to your policy
    self.action = self.car_AI.bestAction(self.state)
    # Execute action and get reward
   #if agent reached, self.done = True ,and then break in env.act().
    reward = self.env.act(self, self.action)
    self.total_reward += reward
    # TODO: Next state
    inputs_next = self.env.sense(self)
   self.state_next = inputs_next
self.state_next['next_waypoint'] = self.planner.next_waypoint()
    #self.state_next['deadline'] = self.q_deadline
    self.state_next = tuple(sorted(self.state_next.items()))
    # TODO: update 0
    if self.car_AI.qDict.get((self.state, self.action), None) is None :
        self.car_AI.qDict[(self.state, self.action)] = reward
        self.car AI.iterLearn(self.state, self.action, reward, self.state next)
    #update state
    self.total_paces += 1
    #self.action = action
    #self.state = self.state_next
```

Store the key data for Q agent when it successfully arrived the destination .

```
if agent is self.primary_agent:
     if state['location'] == state['destination']:
          self.primary agent.total paces += 1
          # bonus added
          if state['deadline'] >= 0:
              reward += 10
          self.primary_agent.total_reward += reward
          #store available data for each test
          self.primary_agent.total_reward_list.append(self.primary_agent.total_reward)
          self.primary_agent.penalties_list.append(self.primary_agent.penalties)
          self.primary_agent.total_paces_list.append(self.primary_agent.total_paces)
          self.primary_agent.q_deadline_list.append(self.primary_agent.q_deadline)
          self.primary_agent.reached_times += 1
          self.done = True
          print "Environment.act(): Primary agent has reached destination!'
         print "
         print " Total_reward :{} ".format(self.primary_agent.total_reward)
print " Penalties : {}" .format(self.primary_agent.penalties)
print " Total_paces : {}" .format(self.primary_agent.total_paces) # [debug]
print " Deadline : {}" .format(self.primary_agent.q_deadline)
```

# 4. Enhance the driving agent

a) Report what changes you made to your basic implementation of Q-Learning to achieve the final version of the agent. How well does it perform? (Here you should give a detailed list of parameter tested and report the results obtained).

#### Q4 Answer to a: ( python Q\_agent.py)

After running the simulator for 100 trials, I collect the key data (total\_reward, total paces for each turn, total\_deadline, total reached\_times) and write them into a csv file and make a value sorted according to the success rate .

1. Make an independent generate function for primary agent

```
# make a independent generate funtion for primary agent with 3 params

def create_primary_agent(self, agent_class,alpha,gamma,epsilon):
    agent = agent_class(self, alpha,gamma,epsilon)
    self.agent_states[agent] = {'location': random.choice(self.intersections.keys()), 'heading': (0, 1)}
    return agent

def create_agent(self, agent_class, *args, **kwargs):
    agent = agent_class(self, *args, **kwargs)
    self.agent_states[agent] = {'location': random.choice(self.intersections.keys()), 'heading': (0, 1)}
    return agent
```

2. Set an iteration function to run simulator in different values of (alpha, gamma, epsilon).

At last, make an average statistic for

total\_reward,penalties,total\_paces(how many steps when agent successfully reached destination before deadline) and reached times.

alpha list = np.arange(0.5,1,0.05)

```
gamma_list = np.arange(0.05, 0.5, 0.05)
    epsilon_list = [0.03, 0.05, 0.08]
def grid best params():
    alpha_list = np.arange(0.5,1,0.05)
gamma_list = np.arange(0.05,0.5,0.05)
    epsilon_list = [0.03,0.05,0.08]
    alphas = []
    gammas = []
    epsilons = []
    avg_total_reward = []
    avg_total_penalties = []
    avg_total_paces = []
avg_total_deadline = []
    total_reached_times = []
    for alpha in alpha_list:
        for gamma in gamma list:
            for epsilon in epsilon list:
                 alphas.append(alpha)
                 gammas.append(gamma)
                 epsilons.append(epsilon)
                 a = setup_simulator(alpha, gamma, epsilon)
                 # statistic the average data of Q agent in each pair of (alpha, gamma)
                 avg_total_reward.append( np.average(a.total_reward_list) )
                 avg_total_penalties.append( np.average(a.penalties_list) )
                 avg total paces.append( np.average(a.total paces list) )
                 avg_total_deadline.append(np.average(a.q_deadline_list))
                 total_reached_times.append(a.reached_times)
    pd.DataFrame({'alpha': alphas,
        'gamma': gammas,
'epsilon': epsilons,
        'avg_total_reward': avg_total_reward,
        'avg_total_penalties': avg_total_penalties,
        'avg total paces': avg total paces,
        'avg_total_deadline': avg_total_deadline,
        'total reached_times': total_reached_times,
        }).to_csv('Gridsearchn100_version.csv')
```

#### 3. Clean data in the statistics\_file.csv

```
def data_clean_smartcab(file_name):
    df = pd.read_csv(file_name)
    df_sorted = df.sort_values(['total_reached_times'], ascending=False)
    df_sorted['reward_per_pace'] = df['avg_total_reward'] / df['avg_total_paces']
    df_sorted['success_rate'] = df['total_reached_times'] /100
    df_sorted.to_csv('Gridsearchn100_version.csv')
```

4. Tuned different combinations of (alpha,gamma, epsilon),

The top 20 performances dataset: success rate range in 95%-99%

#### Read 'Gridsearchn100\_version.csv', and then clean Data

df4 = pd.read_csv('Gridsearchn100_version.csv')													
df4 df4 df4	df4_sorted = df4.sort_values(['total_reached_times'], ascending = False) df4_sorted = df4_sorted.drop(['Unnamed: 0'],axis=1) df4_sorted('reward_per_pace') = df4_sorted('avg_total_reward') / df4_sorted['avg_total_paces'] df4_sorted('success_rate') = df4_sorted('total_reached_times') /100  df4_sorted												
	alpha	avg_total_deadline	avg_total_paces	avg_total_penalties	avg_total_reward	epsilon	gamma	total_reached_times	reward_per_pace				
63	0.60	30.808081	14.333333	0.161616	30.707071	0.03	0.20	99	2.142354				
91	0.65	29.191919	13.929293	0.232323	30.000000	0.05	0.20	99	2.153735				
168	0.80	30.555556	14.888889	0.141414	31.065657	0.03	0.15	99	2.086499				
253	0.95	29.646465	13.494949	0.343434	29.262626	0.05	0.20	99	2.168413				
228	0.90	29.387755	14.071429	0.204082	30.188776	0.03	0.25	98	2.145395				
22	0.50	29.285714	13.132653	0.244898	28.765306	0.05	0.40	98	2.190365				
70	0.60	30.721649	14.082474	0.226804	29.984536	0.05	0.30	97	2.129209				
230	0.90	28.865979	13.474227	0.402062	28.711340	0.08	0.25	97	2.130834				
56	0.60	31.649485	15.432990	0.350515	31.536082	0.08	0.05	97	2.043420				
238	0.90	29.690722	14.670103	0.237113	30.469072	0.05	0.40	97	2.076950				
119	0.70	30.000000	15.082474	0.474227	31.298969	0.08	0.20	97	2.075188				
251	0.95	29.536082	14.463918	0.453608	29.938144	0.08	0.15	97	2.069850				
116	0.70	29.895833	14.375000	0.447917	30.447917	0.08	0.15	96	2.118116				
160	0.75	29.427083	15.052083	0.333333	30.812500	0.05	0.45	96	2.047059				
115	0.70	29.010417	13.104167	0.229167	28.640625	0.05	0.15	96	2.185612				
50	0.55	29.736842	14.442105	0.431579	29.636842	0.08	0.40	95	2.052114				
44	0.55	30.052632	15.231579	0.410526	31.268421	0.08	0.30	95	2.052868				
233	0.90	29.526316	14.389474	0.389474	30.089474	0.08	0.30	95	2.091075				
122	0.70	28.473684	13.105263	0.410526	28.236842	0.08	0.25	95	2.154618				
158	0.75	31.052632	15.010526	0.305263	31.047368	0.08	0.40	95	2.068373				

As the table shown, 4 groups get the best reached\_times 99 in 100 trials .

1. (alpha:0.60,gamma:0.20,epsilon:0.03)

Get 99% success rate,

avg\_total\_penalties=0.16paces/100trials

Reached the destination only cost average 14.33 paces in average deadline 30.80 paces.

And Q agent get 2.14rewards/per paces with EM\_total\_rewards = 30.71 points.

### 2. (alpha:0.65,gamma:0.20,epsilon:0.05)

Get 99% success rate,

avg\_total\_penalties=0.23paces/100trials

Reached the destination only cost average 13.93 paces in average deadline 29.19 paces.

And Q agent get 2.15rewards/per paces with EM\_total\_rewards = 30.00 points.

### 3. (alpha:0.80,gamma:0.15,epsilon:0.03)

Get 99% success rate,

avg\_total\_penalties=0.14paces/100trials

Reached the destination only cost average 14.88paces in average deadline 30.55paces.

And Q agent get 2.08rewards/per paces with EM\_total\_rewards = 31.07points.

## 4. (alpha:0.95,gamma:0.20,epsilon:0.05)

Get 99% success rate,

avg\_total\_penalties=0.34paces/100trials

Reached the destination only cost average 13.49paces in average deadline 29.38paces.

And Q agent get 2.16rewards/per paces with EM\_total\_rewards = 29.26points.

Q4 b) Does your agent get close to finding an optimal policy, i.e. reach the destination in the minimum possible time, and not incur any penalties? (Here you should observe and analysis the behavior of the agent, does it always go in an optimal path and follow traffic laws and if not under what specific circumstances does it not follow the optimal policies).

### Q4 Answer to b:

The best marks of success rate is 99% in the specified parameters (alpha= 0.60, gamma= 0.20, epsilon = 0.03) , (alpha= 0.65, gamma= 0.20, epsilon = 0.05) , (alpha= 0.80, gamma= 0.15 epsilon = 0.03), (alpha= 0.95, gamma= 0.20, epsilon = 0.05) which is much higher than 20% the random in basic agent method.In addition, the Q agent cost only 14.33 total paces while the average deadline is 30.81.It is meaning the Q agent is high efficiency to reach the destination .

As we can see in the top20 data table, different parameters values combined as a group also make good marks in this case, which means the parameters is not as expected important as we think before. Furthermore, a good Q-learning policy in agent. update really play the key role in it.

The Q agent got 99% success rate meaning only 0.14-0.34 penalties got in 100 trials while only cost less than 50% steps of deadline for a trip.

So I think the optimal policy based on Q-learning has been found. In addition, we can find this method got much less average paces , penalites and higher total rewards to reach the destination than other schemes in different parameters.

It means the Q\_agent not only has learned how to reach the destination correctly but also knowed the best road plan in each location.