

Theoretical Cosmology

Part II: Hot thermal Universe

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ICG PhD Lectures, November 2021

1. Introduction to Big-Bang cosmology	15 November
2. <i>Hot thermal Universe</i>	19 November
3. Inflation	22 November
4. Dark energy	26 November

Plan for today

- 1. Thermodynamics in the early Universe*
- 2. Ingredients of the thermal soup*
- 3. Decoupling of interactions and freeze-out*
- 4. Big-Bang Nucleosynthesis (BBN)*
- 5. Cosmic Microwave Background (CMB)*

References

- *Big-Bang Cosmology*, Keith Olive and John Peacock, in *Review of Particle Physics* (<http://pdg.lbl.gov/>)
- *Big Bang Nucleosynthesis*, Brian Fields, Paolo Molaro, and Subir Sarkar, in *Review of Particle Physics*
- Past lecture notes by Kazuya Koyama, Vincent Vennin and Hans Winther

Statistical mechanics in equilibrium

- *Thermal equilibrium*: Frequent particle interactions. No net flow of thermal energy.

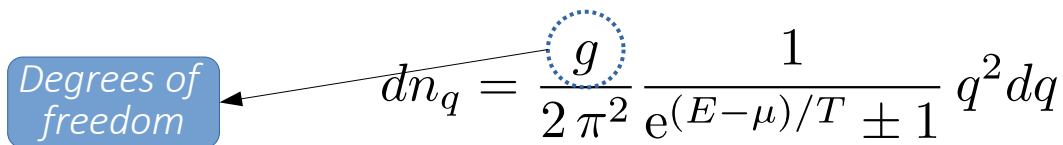
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- *Density of states* of a particle with momentum between q and $q + dq$

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The diagram shows a blue rounded rectangle on the left containing the text "Degrees of freedom". An arrow points from this rectangle to the variable g in the denominator of the following equation. The variable g is circled with a blue dotted line. The equation is:

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Diagram illustrating the components of the density of states equation:

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- **Pressure** of a perfect gas

$$P_i = \int \frac{q_i^2}{3 E_i} dn_{q_i}$$

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- Then evaluating over the entire system, we obtain the *entropy density*

$$s = \frac{\rho + P - \sum_i \mu_i n_i}{T}$$

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- As Universe expands, interactions of some particles no longer reversed. Entropy conservation allows us to determine the resulting change in temperature in the thermal bath.

Relativistic particles

- At high temperatures $m, \mu \ll T$, particles are relativistic. Density of states for bosons reduces to *Planck's distribution* (blackbody).

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Non-relativistic particles

- When the temperature drops below a particle's mass $T \ll m$, the distinction between fermions and bosons disappear and the density of states is given by the *Maxwell-Boltzmann distribution*

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Temperature as an inverted clock

- Continuity equation for radiation ($P = \frac{\rho}{3}$) is solved by

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- On the other hand, $\rho \propto g_{eff} T^4$. For constant g_{eff} , this implies

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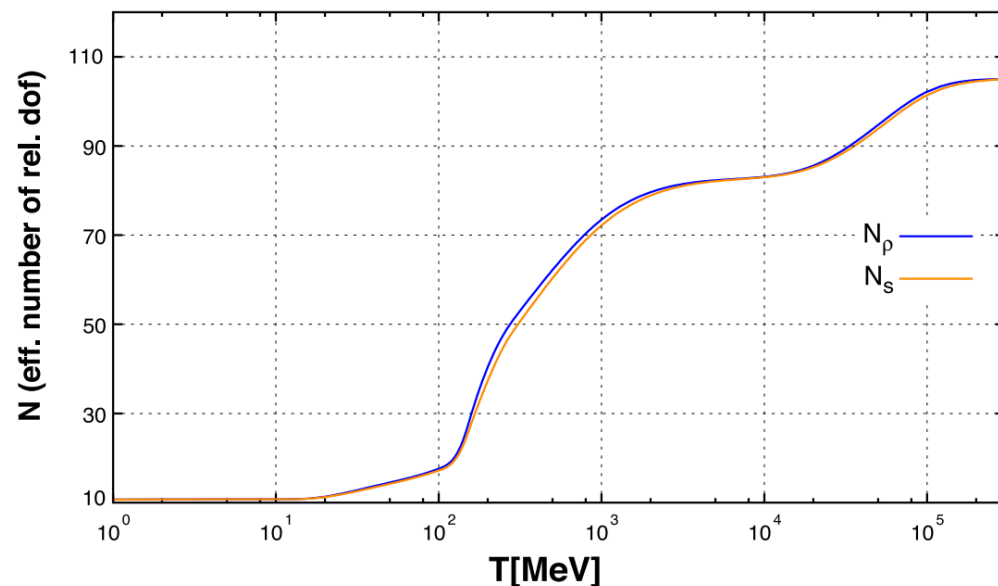
- However, g_{eff} *does* change with time: as temperature decreases, more species become non-relativistic. For time estimations, we will neglect this effect.

Evolution of g_{eff}

$$g_{eff} \equiv \sum_B g_B + \frac{7}{8} \sum_F g_F$$

- As the temperature cools down, particles become non-relativistic.
➡ the effective # of relativistic degrees of freedom changes!

Temperature	New Particles	$4 g_{eff}$
$T < m_e$	γ 's + ν 's	29
$m_e < T < m_\mu$	e^\pm	43
$m_\mu < T < m_\pi$	μ^\pm	57
$m_\pi < T < T_c^\dagger$	π 's	69
$T_c < T < m_{\text{strange}}$	π 's + u, \bar{u}, d, \bar{d} + gluons	205
$m_s < T < m_{\text{charm}}$	s, \bar{s}	247
$m_c < T < m_\tau$	c, \bar{c}	289
$m_\tau < T < m_{\text{bottom}}$	τ^\pm	303
$m_b < T < m_{W,Z}$	b, \bar{b}	345
$m_{W,Z} < T < m_{\text{Higgs}}$	W^\pm, Z	381
$m_H < T < m_{\text{top}}$	H^0	385
$m_t < T$	t, \bar{t}	427



From “Big Bang Cosmology”, Olive and Peacock,
 PDG Reviews and Tables 2018

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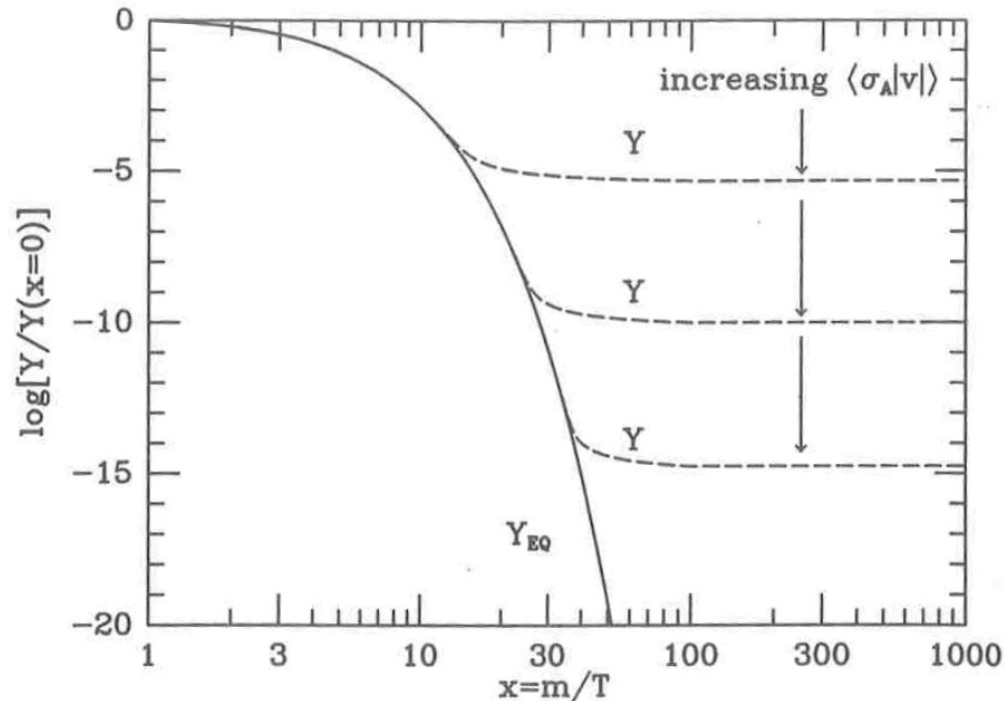
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- *Interaction rate*: Γ . Time between consecutive interactions is Γ^{-1} . Provided that this time scale is much shorter than the Hubble time H^{-1} , processes are efficient.
- *Decoupling* happens when

$$\Gamma^{-1} > H^{-1}$$

In this case, the interaction takes longer than the age of the universe. The particles can no longer transfer energy through this specific interaction. If particles cannot take part in any interaction, they *freeze-out*. *No longer in thermal equilibrium.*

“Survival of the weakest”

If the particles become non-relativistic while in equilibrium, their number density decays exponentially. When their interactions decouple, they are no longer in equilibrium and they freeze-out.



The plot shows the comoving abundance of a particle normalised to its relativistic value. The dashed lines show the actual value, the solid line is the equilibrium value.

Particles with *weaker interactions* leave equilibrium *earlier* and end up with a *larger abundance*.

From “Early Universe”, Kolb and Turner (1990)

Boltzmann equation

- For an accurate description of the decoupling, we should keep track of the evolution of the distribution outside of equilibrium. The key equation is the *Boltzmann equation*. For a particle species A

$$\frac{d f_A}{dt} = \hat{C}_A[f]$$

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The diagram illustrates the Boltzmann equation for a particle species A . It features three main components arranged horizontally: a blue rounded rectangle on the left labeled "Distribution function", a central mathematical expression, and another blue rounded rectangle on the right labeled "Collision terms". The central expression is $\frac{df_A}{dt} = \hat{C}_A[f]$. The term f_A in the numerator of the derivative is enclosed in a dotted blue circle, and the operator \hat{C}_A is also enclosed in a dotted blue circle. A horizontal arrow points from the central expression to the "Distribution function" box, and another horizontal arrow points from the central expression to the "Collision terms" box.

$$\text{Distribution function} \leftarrow \frac{df_A}{dt} = \hat{C}_A[f] \rightarrow \text{Collision terms}$$

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$$\dot{n}_A + \underbrace{3H n_A}_{\text{expansion}} = - \underbrace{\langle \sigma v \rangle}_{\text{Particle physics}} (n_A^2 - n_{A,eq}^2)$$

$$\begin{array}{l} \sigma \quad \text{Cross section} \\ v \quad \text{Velocity} \\ \langle \rangle \quad \text{Thermal average} \\ \Gamma = n \langle \sigma v \rangle \end{array}$$

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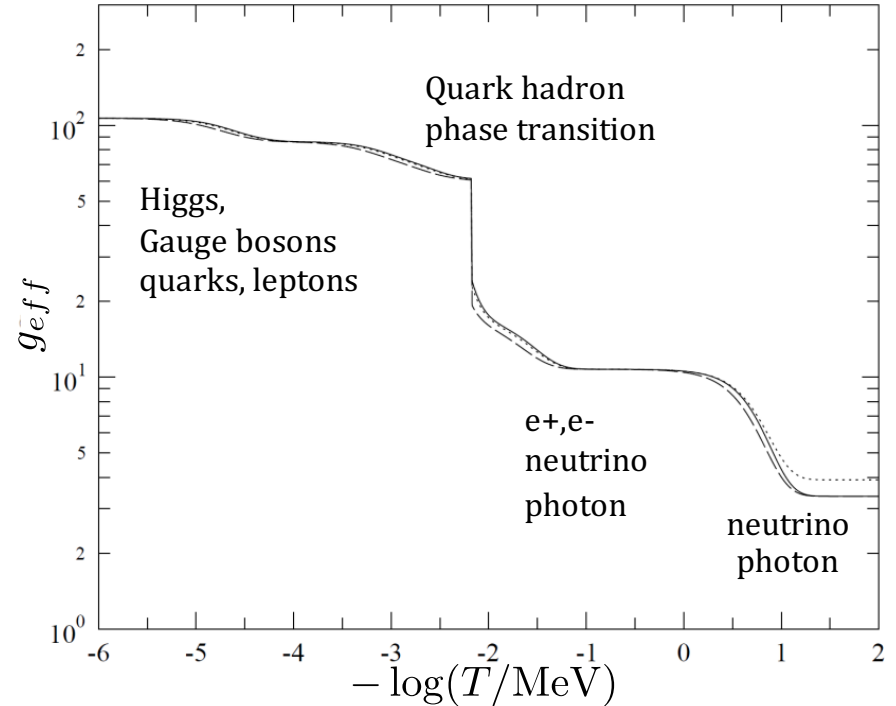
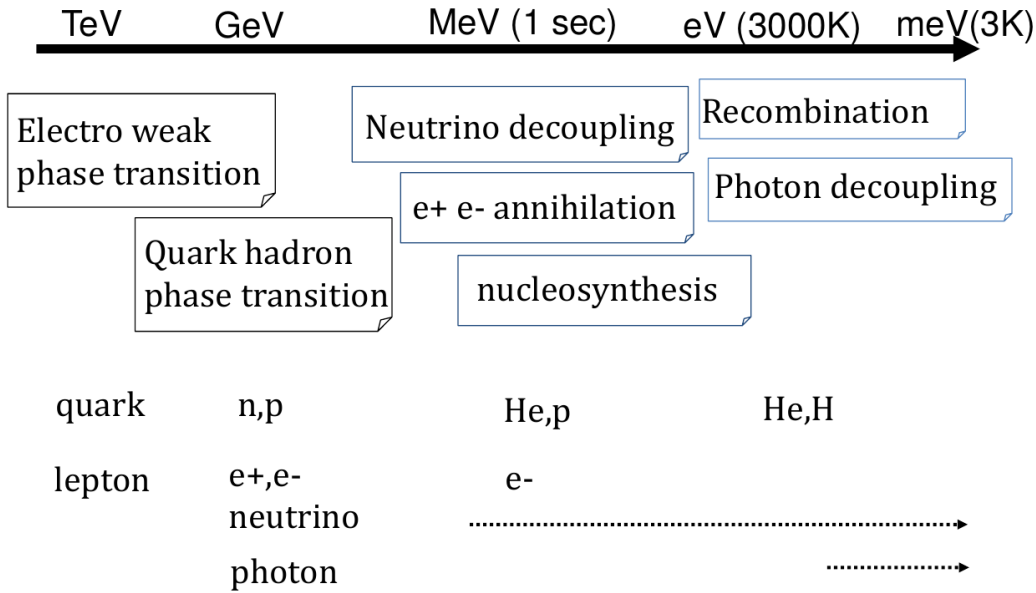
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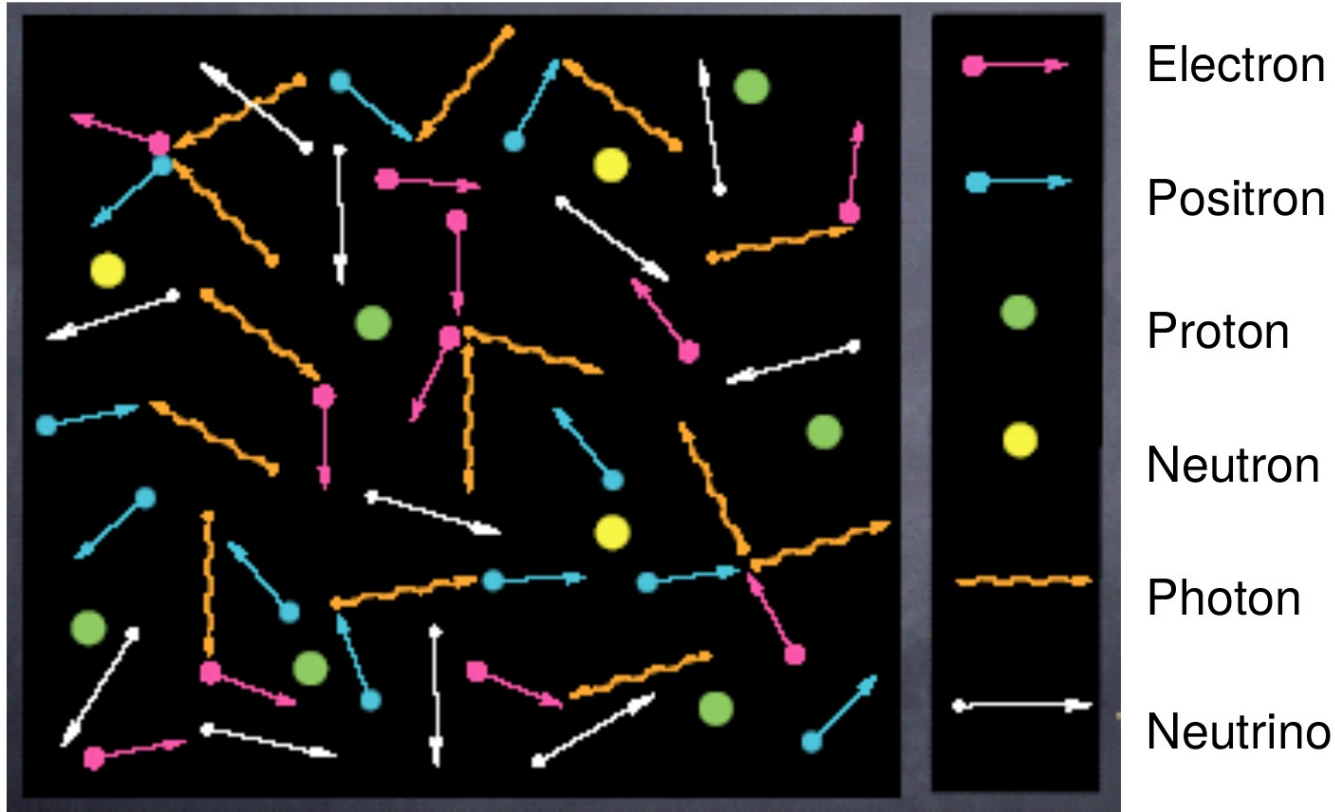
- For a non-relativistic particle, when right hand side becomes negligible compared to the expansion term (decoupling), value of n_A freezes to the equilibrium value at the freeze-out temperature.

Thermal history of the Universe



We pick our story up after the QCD phase transition.

Neutrino decoupling



At this point in the thermal history, all that remains in the thermal bath are the light leptons, nucleons and photons.

Neutrino decoupling

- Neutrinos charged only under *weak interactions*. The relevant processes are

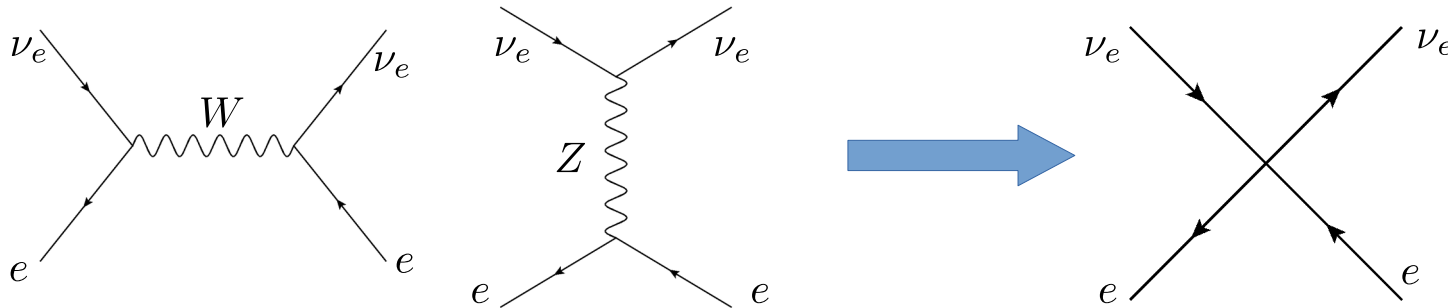
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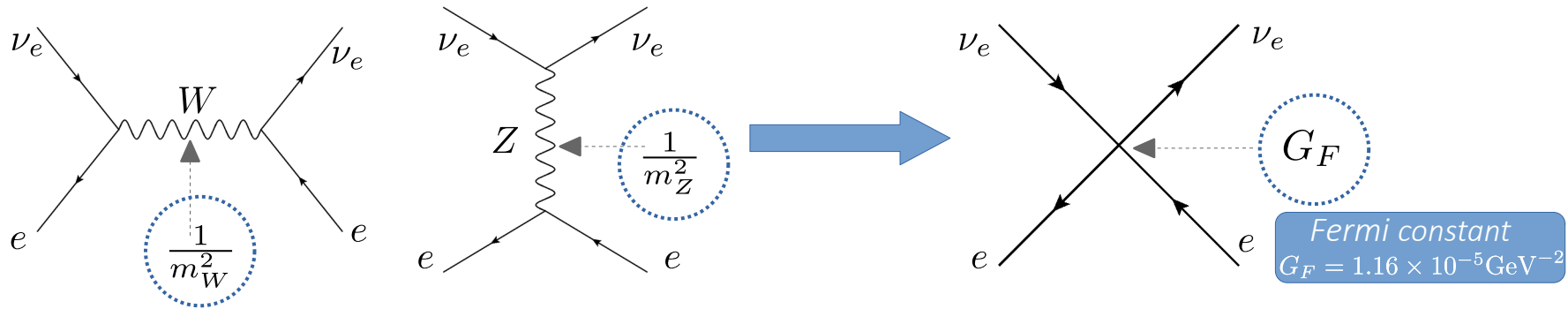


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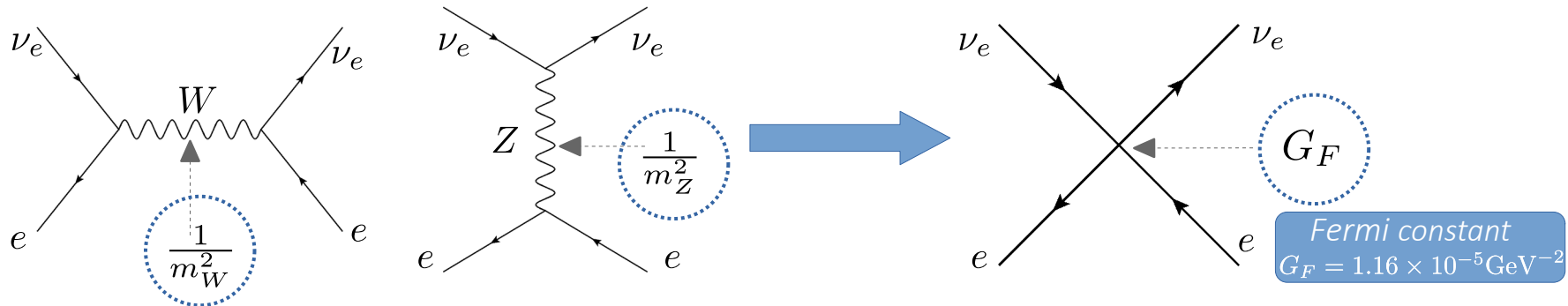


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- The cross section $\sigma \propto G_F^2$. From dimensional analysis $[\sigma] = [\text{area}] = [\text{mass}^{-2}] = -2$ and $[G_F^2] = -4$, we need two more mass dimensions. Since the only scale is the temperature, we estimate $\sigma = G_F^2 T^2$. For the interaction rate $[\Gamma] = +1$, we have

$$\Gamma = G_F^2 T^5$$

Interaction rate falls down as a^{-5} .
In a cold universe (e.g. now), it is very difficult to observe cosmological neutrinos.

Neutrino decoupling

- Estimated interaction rate for neutrinos $\Gamma = G_F^2 T^5$
- Expansion rate, up to $\mathcal{O}(1)$ coefficients

$$H^2 = \frac{8\pi G_N}{3} \rho = \frac{8\pi G_N}{3} \frac{g_{eff} \pi^2 T^4}{30} \simeq G_N T^4$$

- Neutrinos *decouple* when $\Gamma \sim H$, or

$$T \simeq \left(\frac{\sqrt{G_N}}{G_F^2} \right)^{1/3} = 1 \text{ MeV} \quad \longrightarrow \quad \sim 1 \text{ second}$$

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- At MeV scale, nucleons already non-relativistic with mass $\sim 1\text{GeV}$. They are still in the thermal bath, but their numbers have significantly decreased. We will come back to those later.
- We will next look at temperatures $T \sim m_e = 0.5\text{MeV}$, when electrons and positrons become non-relativistic and start to annihilate.

Electron-positron annihilation

- At $T \gg m_e$, electron-positron pairs annihilate via EM interaction $e^- + e^+ \longleftrightarrow \gamma + \gamma$

Electron-positron annihilation

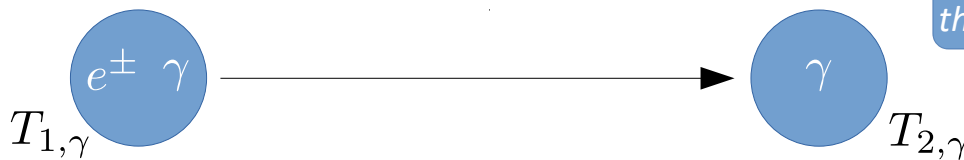
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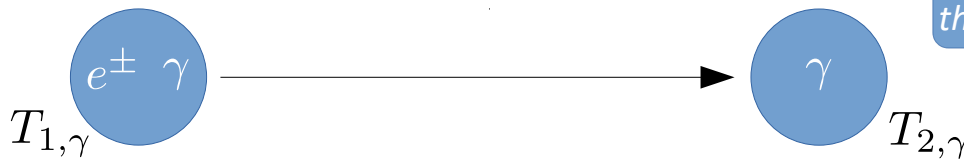
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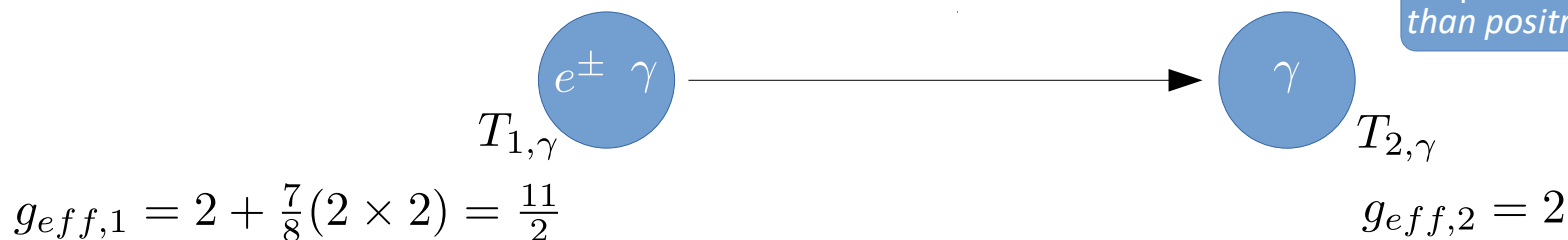


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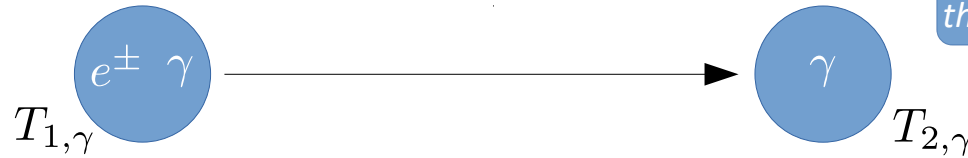
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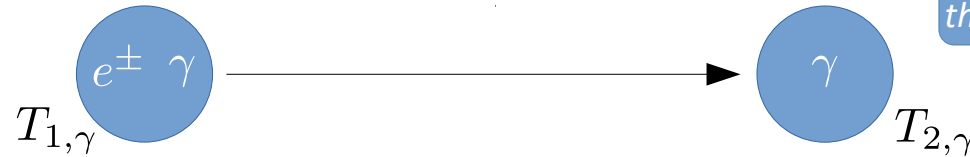
Entropy conservation:

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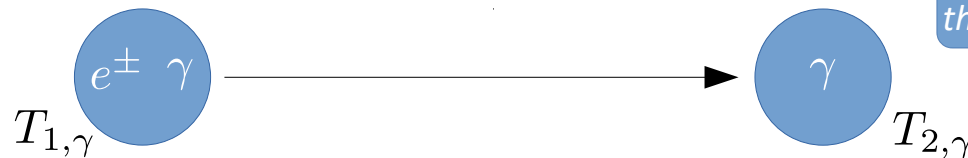
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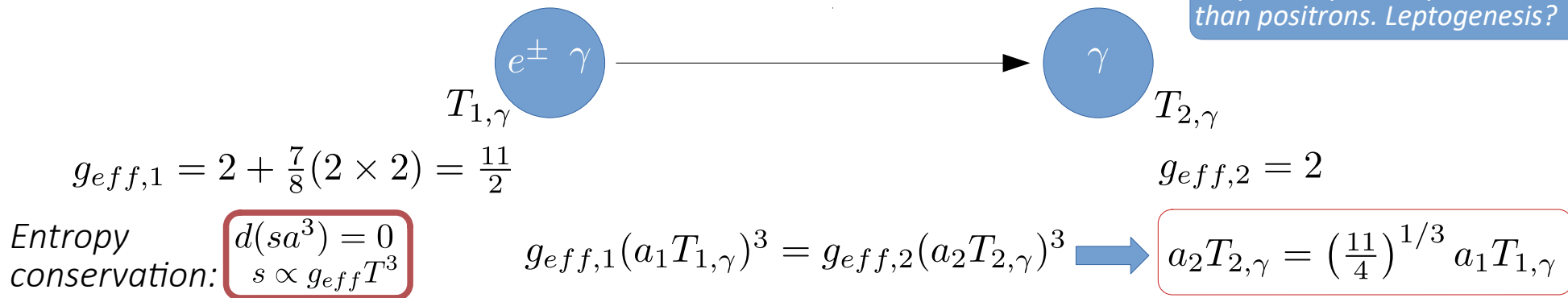
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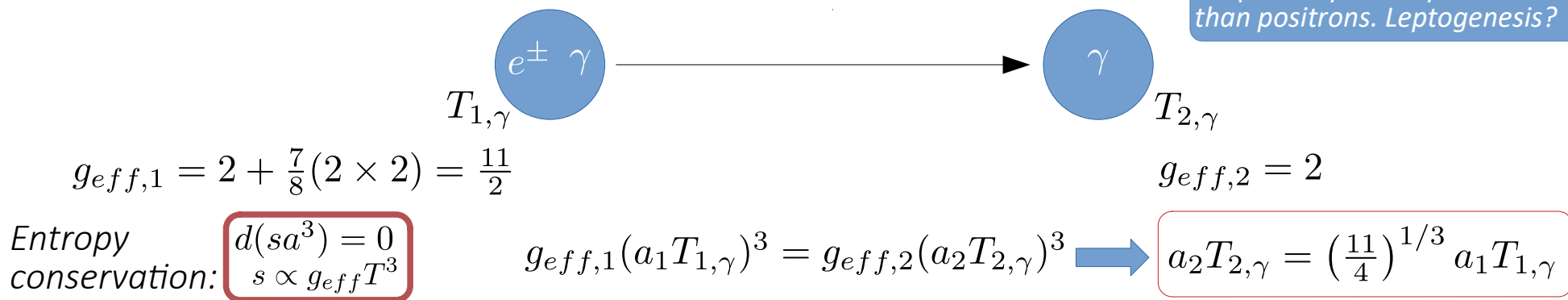
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- We can thus relate the two final temperatures:

$$T_\gamma = \left(\frac{11}{4}\right)^{1/3} T_\nu \simeq 1.4 T_\nu$$

Neutrinos today

- Although neutrinos decoupled, they continue to be relativistic. If this is still true today, we can estimate their present-day temperature.

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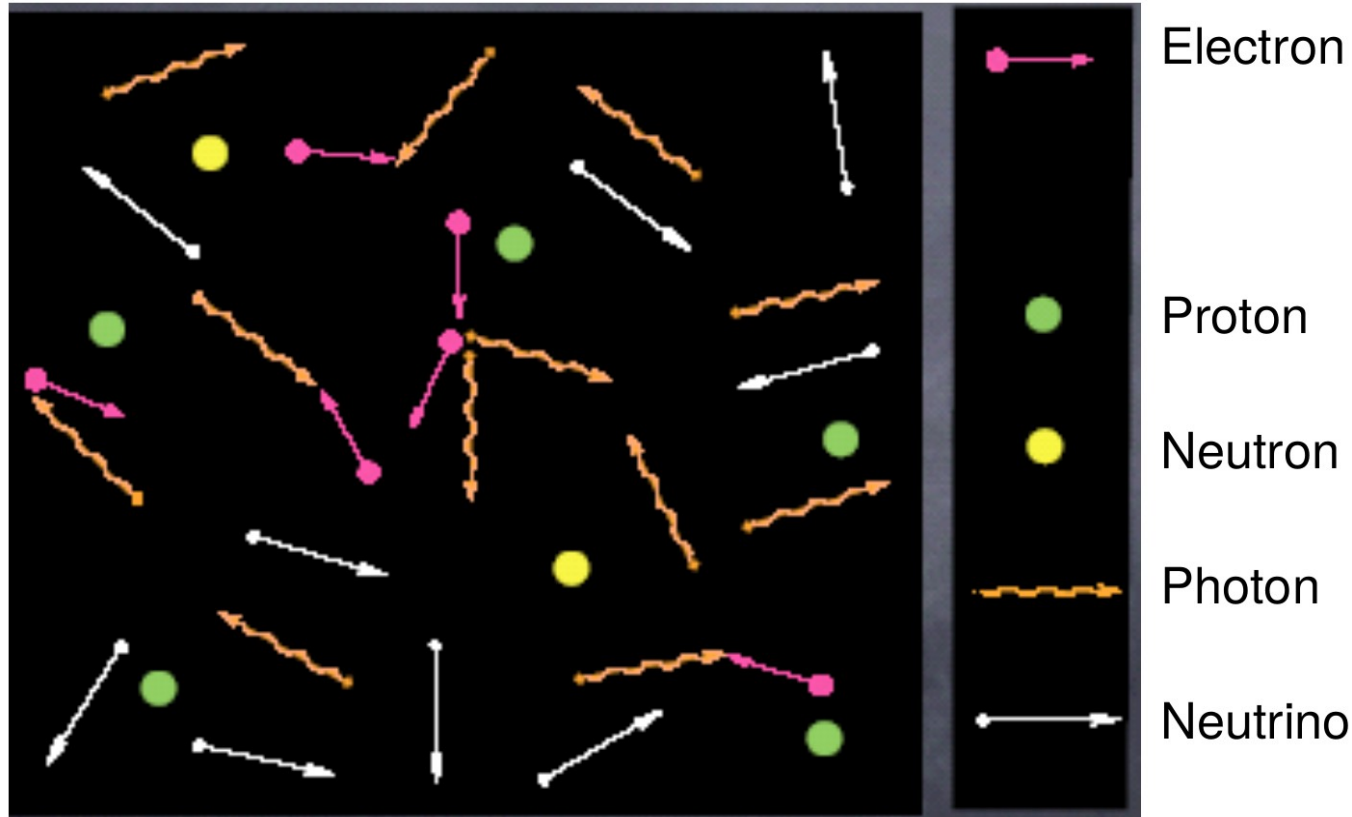
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- However, *neutrinos have mass* $< 2 \text{ eV}$. We do not know the mass values, but we know from mass differences that at least one of the neutrino species are non-relativistic today

$$|\Delta m_{32}^2|^{1/2} > |\Delta m_{21}^2|^{1/2} > T_{\nu,0}$$

Big-Bang Nucleosynthesis (BBN)



Neutrinos have decoupled but are still relativistic. Most protons and neutrons annihilated, but due to baryon asymmetry a small but important amount remain. Similarly few electrons around due to lepton asymmetry. No positrons, anti-protons, anti-neutrons.

Baryon asymmetry

- Nucleons become non-relativistic at $T \sim \text{GeV}$. However, they are still in thermal equilibrium until weak interactions decouple

$$p + \bar{p} \longrightarrow \gamma + \gamma \qquad n + \bar{n} \longrightarrow \gamma + \gamma$$

In fact, protons stay in equilibrium longer since they are charged under EM.

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$$e^{-\frac{m_N}{T_{FO}}} \simeq e^{-\frac{\text{GeV}}{\text{MeV}}} \simeq e^{-1000} \simeq 10^{-434}$$

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- Number densities decrease with volume, so the ratio is roughly the same today.
Using $\rho_{B,0} = m_N n_{B,0}$ and the expressions for thermal radiation $\rho_{\gamma,0} = \frac{\pi^4}{30\zeta(3)} n_{\gamma,0} T_0$

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- At $T \gg \text{GeV}$ for every billion photons there were a billion nucleons and anti-nucleons. At $T \sim \text{GeV}$, a billion pair annihilated and only one nucleon remained!

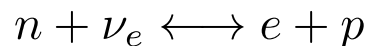
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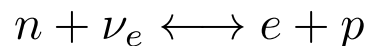
- Nucleons have different masses. Ratio of their # densities not conserved!

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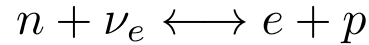
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- After the nucleons become non-relativistic, and nucleon-antinucleon annihilations saturate, *but* before weak interactions decouple, they continue to interact via



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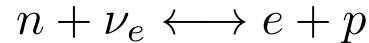
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- With some neutrons around, elements heavier than H can form.

Nucleosynthesis

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m_p, m_n	Free nucleon masses
m_Q	Mass of nucleus Q
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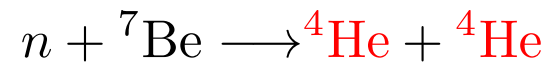
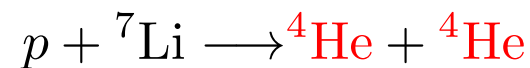
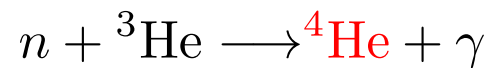
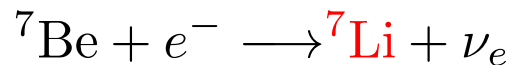
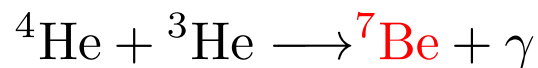
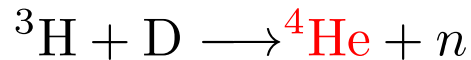
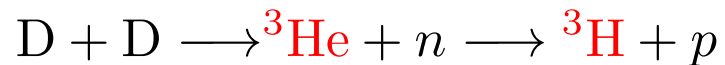
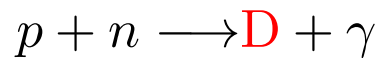
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- For $B_Q > T$ it becomes harder for the photons to break the nucleus. Typically, $B_Q \sim \mathcal{O}(\text{MeV})$. Around $T \sim \text{MeV}$ nuclei start to form.

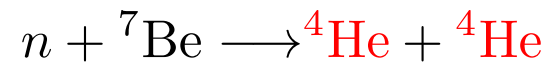
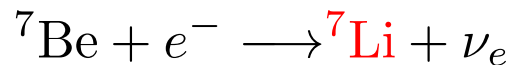
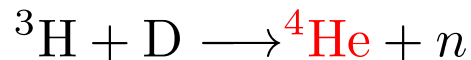
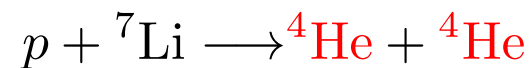
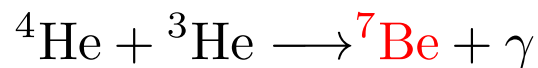
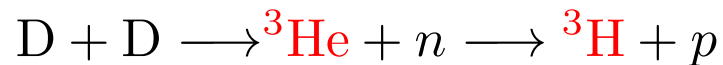
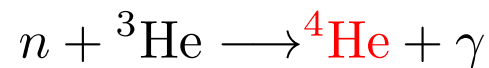
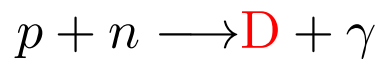
D(${}^2\text{H}$)	$p n$
${}^3\text{H}$	$p n n$
${}^3\text{He}$	$p p n$
${}^4\text{He}$	$p p n n$
${}^7\text{Li}$	$p p p n n n n$
${}^7\text{Be}$	$p p p p n n n$

	Binding Energy
Stable but volatile → ${}^2\text{H}$	2.2 MeV
Lifetime ~12 years → ${}^3\text{H}$	8.5 MeV
Stable → ${}^3\text{He}$	7.7 MeV
Stable → ${}^4\text{He}$	28.3 MeV
Stable → ${}^7\text{Li}$	39.2 MeV
Lifetime ~53 days → ${}^7\text{Be}$	37.6 MeV
Stable but too far down → ${}^{12}\text{C}$	92.2 MeV

Primordial Helium abundance

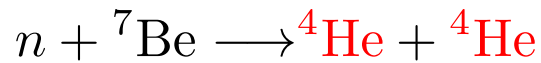
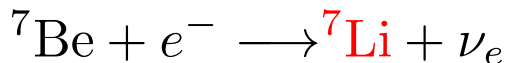
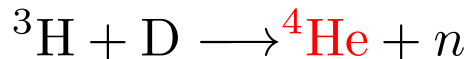
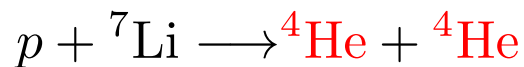
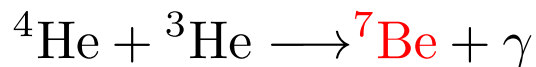
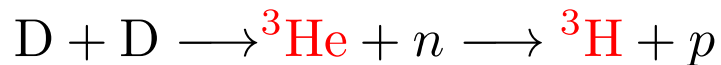
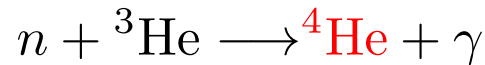
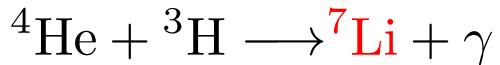
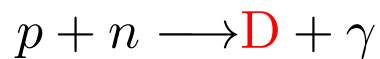


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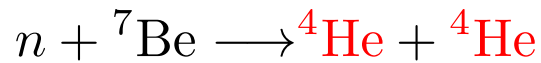
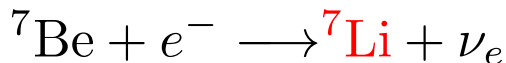
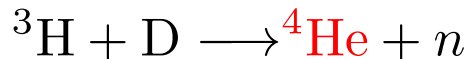
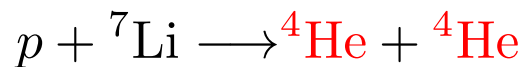
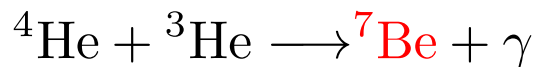
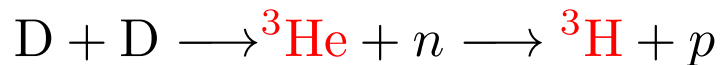
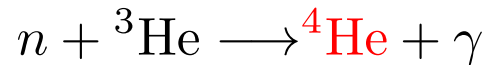
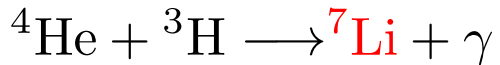
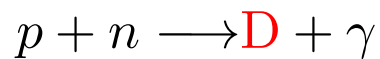
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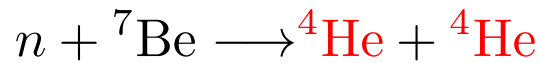
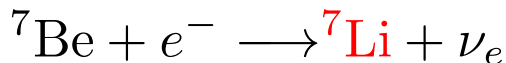
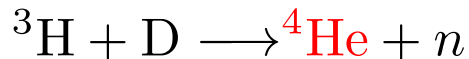
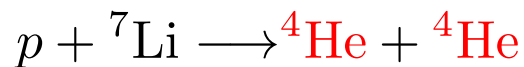
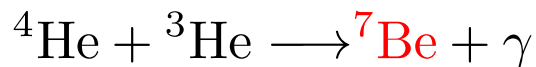
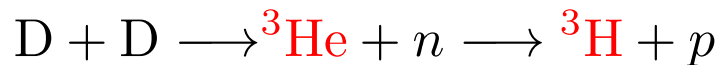
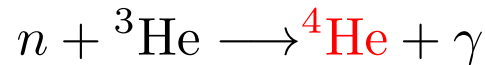
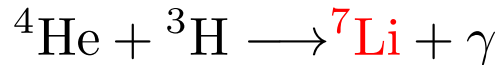
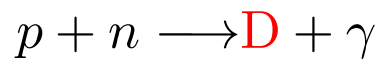
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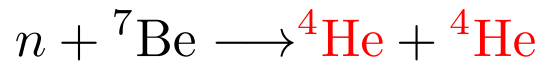
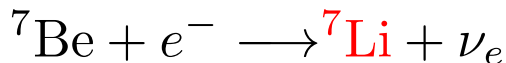
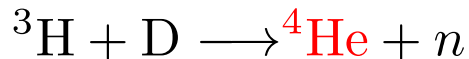
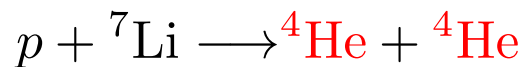
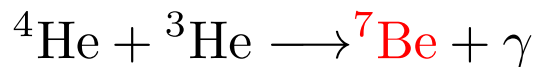
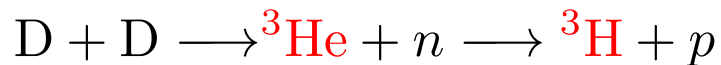
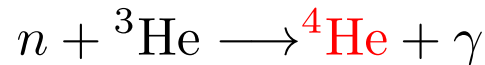
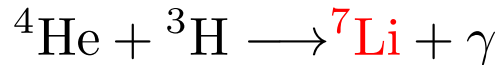
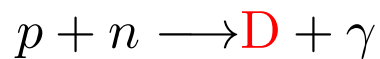


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- Safe to assume *all neutrons end up in ${}^4\text{He}$* . Defining primordial Helium abundance:

$$Y_p \equiv \frac{n_{N,He}}{n_N} = \frac{\text{\# of nucleons in } {}^4\text{He}}{\text{Total \# of nucleons}} = \frac{4 \times \frac{n_n}{2}}{n_n + n_p} = \frac{2 \frac{n_n}{n_p}}{1 + \frac{n_n}{n_p}} = 0.25$$

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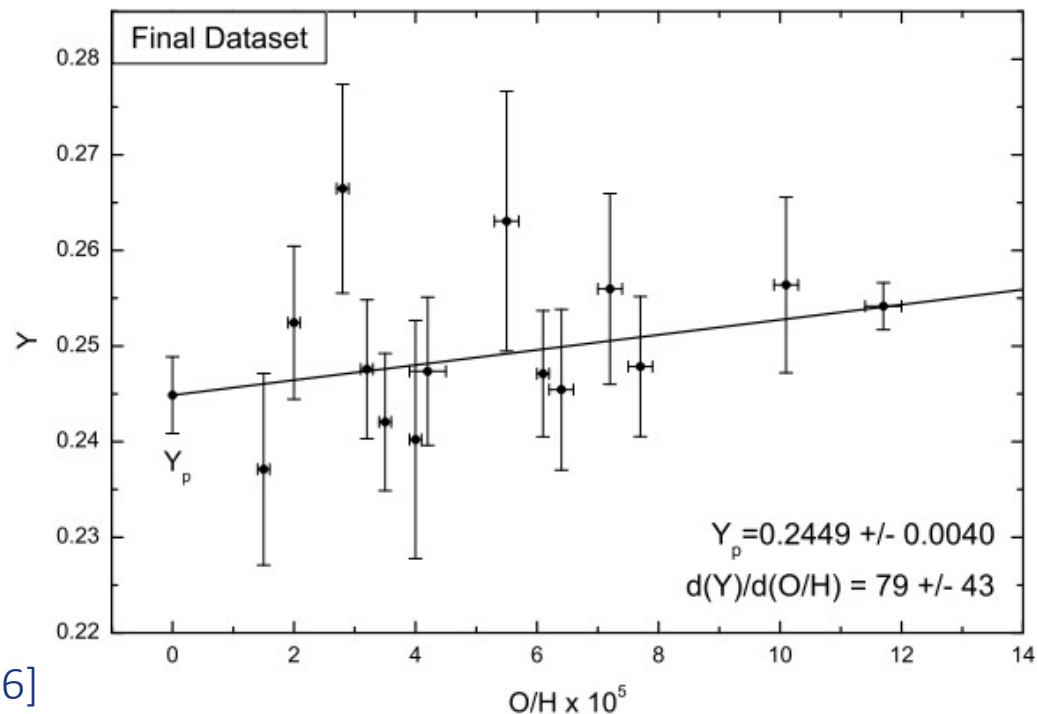
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- The primordial abundance of other nuclei much smaller ($10^{-5} \div 10^{-10}$). BBN produces H, He and Li. Anything heavier is produced much later in stars.

Primordial ${}^4\text{He}$ abundance in the sky

- ${}^4\text{He}$ is also produced in the main sequence phase of stellar evolution.
- Luckily, stars also produce other things: “metals,” ... like oxygen. Abundance vs metallicity. Regression to zero metallicity gives the primordial value.

- Current bound: $Y_p = 0.2449 \pm 0.0040$

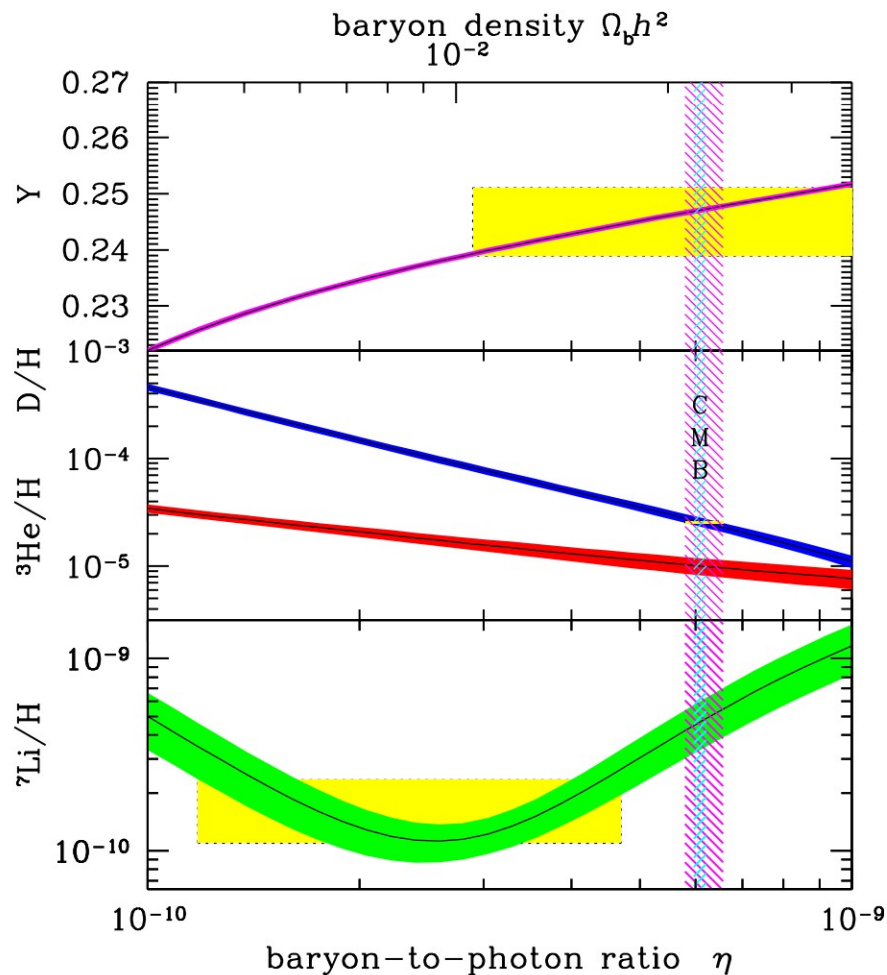


Aver, Olive, Skillman 2015 [arXiv:1503.08146]

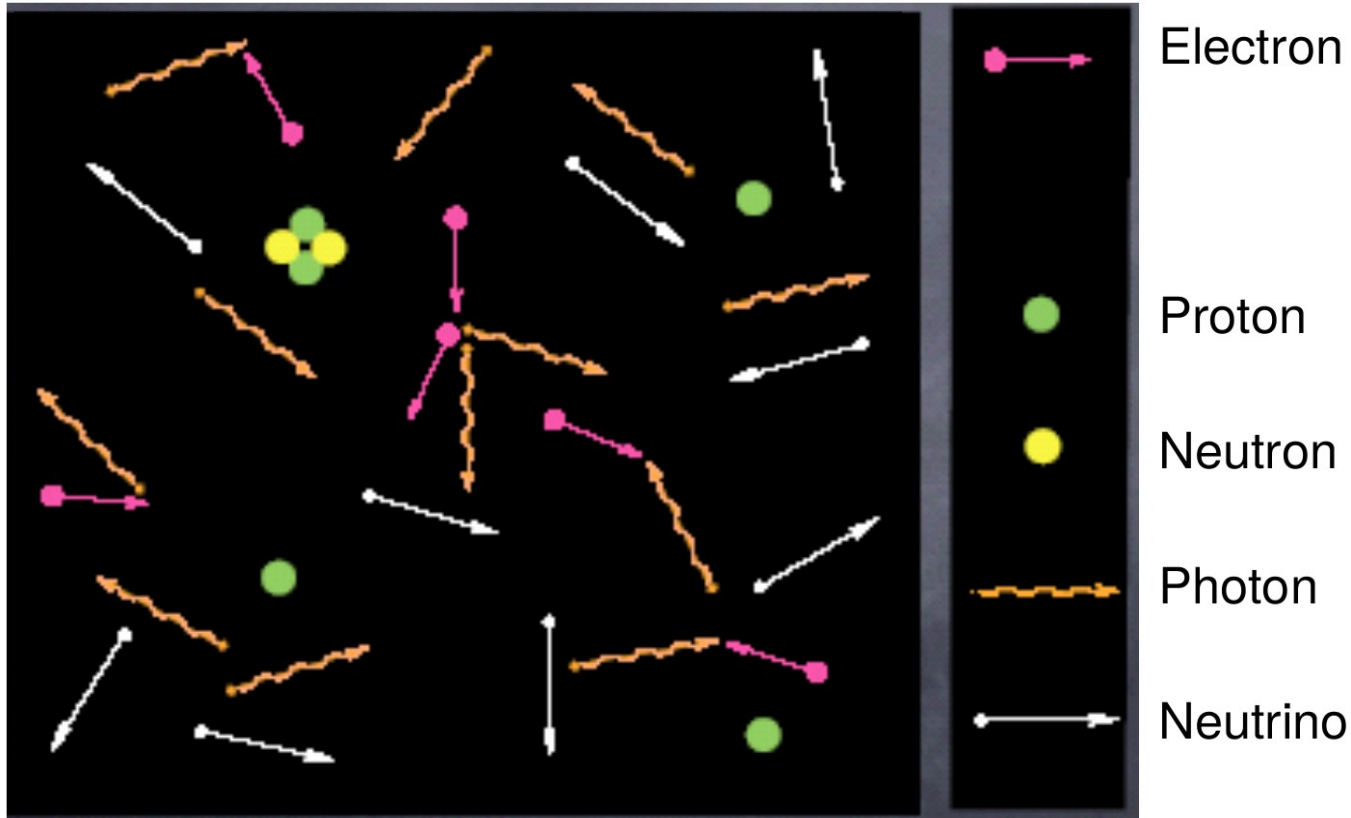
BBN Summary

- BBN starts at $t \sim 1$ s ($T \sim \text{MeV}$) and ends at $t \sim 3$ min ($T \sim 100$ keV).
- Mostly good agreement between theoretical predictions and observations.
- D and ^4He constrains baryon/photon ratio. CMB provides an independent measure.
- Tension: *Lithium problem*. Direct measurements of ^7Li inconsistent with baryon/photon ratio measured by CMB. Nuclear? Astrophysical? New physics?

Fields, Molaro, Sarkar (2017),
from PDG review on BBN




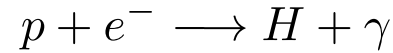
Recombination and photon decoupling




At this stage, we have decoupled free neutrinos, interacting photon and electrons, and light nuclei.

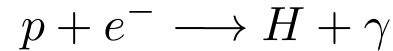
Recombination

- Binding energy of e^- in H  $E_0 = 13.6 \text{ eV}$
- When $T \gg E_0$ the Universe is ionised. EM interactions between electrons, protons and photons continue until $T \ll E_0$. e^- and p start to form H atoms (*recombination*).



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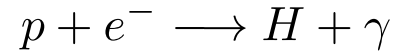
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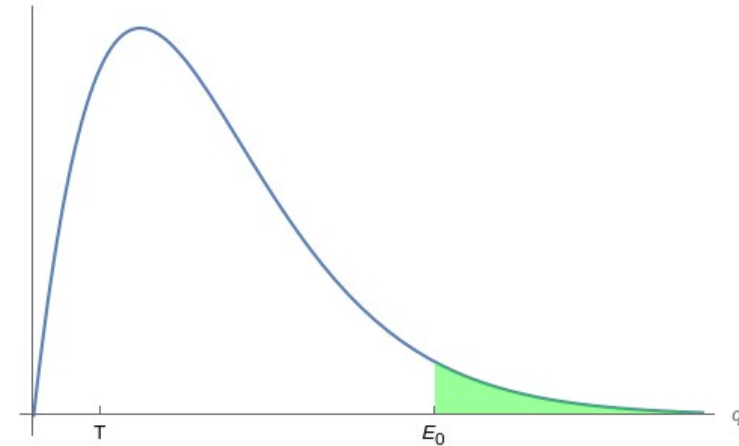
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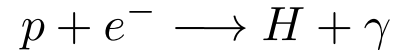


- As free charges are diluted, EM interactions start to decouple. This is the *last scattering surface (LSS)*, photons travel freely until today.
- However, this happens much later than $T \sim E_0$. There are 10^9 times more photons than protons and electrons. *The tail end of the distribution re-ionizes the atoms.*



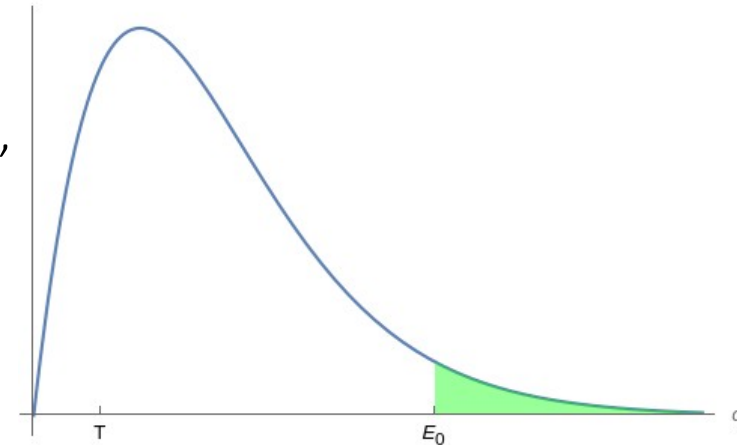
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- Binding energy of e^- in H $\rightarrow E_0 = 13.6 \text{ eV}$
- When $T \gg E_0$ the Universe is ionised. EM interactions between electrons, protons and photons continue until $T \ll E_0$. e^- and p start to form H atoms (*recombination*).



- As free charges are diluted, EM interactions start to decouple. This is the *last scattering surface (LSS)*, photons travel freely until today.
- However, this happens much later than $T \sim E_0$. There are 10^9 times more photons than protons and electrons. *The tail end of the distribution re-ionizes the atoms.*
- We can estimate an upper limit on the temperature of recombination. If the fraction of photons which have energy $> E_0$ falls below the baryon/photon ratio, recombination becomes efficient. The temperature where these are comparable:

$$\frac{\int_{E_0}^{\infty} p^2 dp [e^{p/T} - 1]^{-1}}{\int_0^{\infty} p^2 dp [e^{p/T} - 1]^{-1}} < 6 \times 10^{-10} \quad \rightarrow \quad T \lesssim 0.5 \text{ eV} \sim 5800 \text{ K}$$



Ionisation fraction

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$$x \equiv \frac{n_e}{n_p + n_H} = \frac{n_e}{\hat{n}_B}$$

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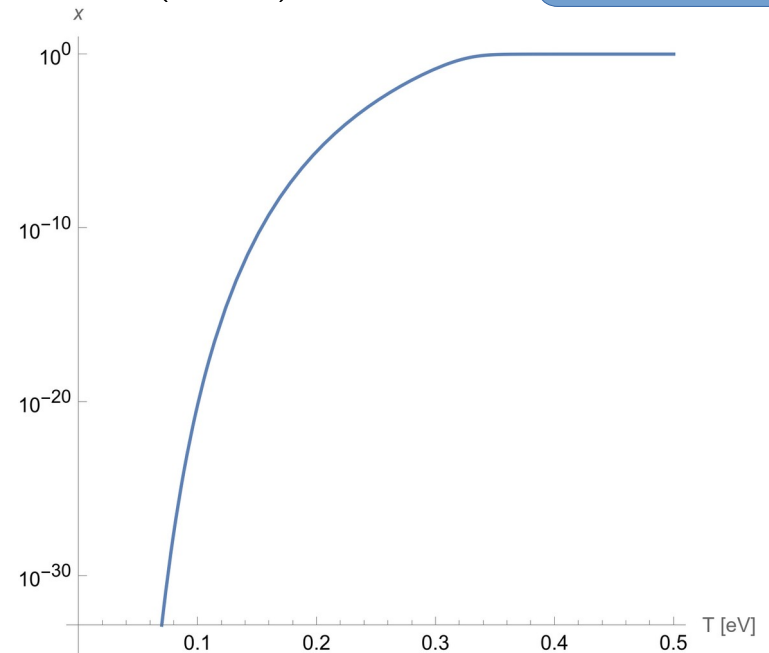
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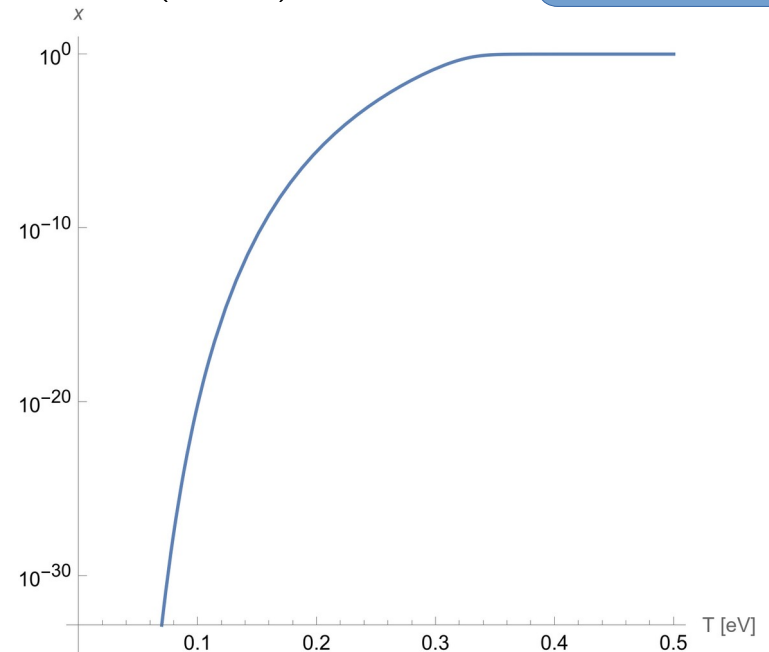
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- Recombination starts when $n_p \sim n_H$
➡ two orders smaller than E_0 ➡ $T \simeq 0.32 \text{ eV}$



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- Our estimates may be a bit off the mark, since we assumed that all H are formed in ground state. Taking into account that excited H will be re-ionised by photons, the estimates can be improved. *Recombination ends at temperature:*

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- Photons always relativistic, so the relic radiation, or the *cosmic microwave background (CMB)* obeys the Planck (black-body) distribution.

The perfect blackbody spectrum

- Existence of CMB was predicted by Gamow (1946), Alpher and Herman (1948), who estimated its temperature off by an $\mathcal{O}(1)$ factor. Observationally identified in 1964 by Penzias and Wilson.
- The blackbody spectrum was measured by FIRAS instrument on the COBE satellite (predecessor of WMAP and Planck).

$$T_{\gamma,0} = 2.7\text{ K}$$

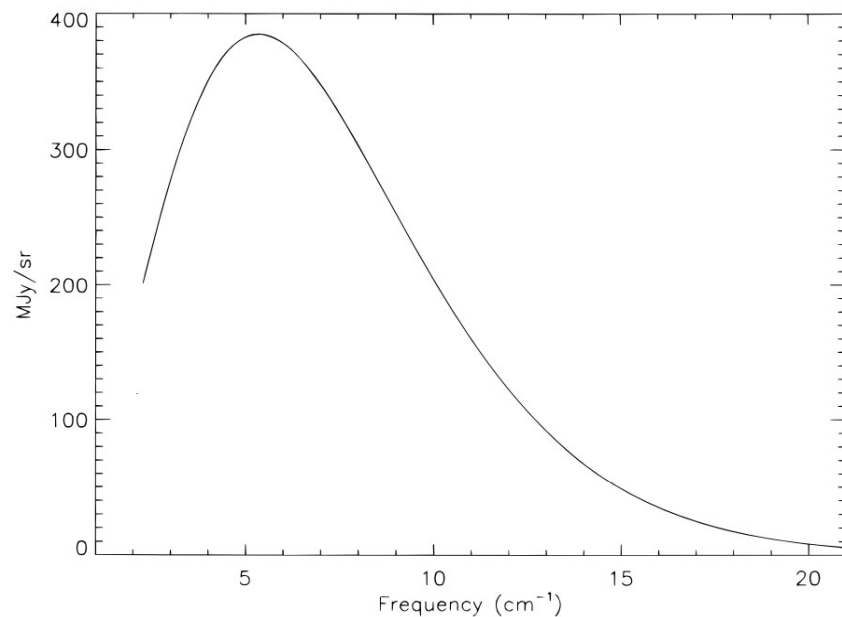


FIG. 4.—Uniform spectrum and fit to Planck blackbody (T). Uncertainties are a small fraction of the line thickness.

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- After photon decoupling, there is no process to bring photons back to equilibrium. *Changes in the spectrum stay there for good.* e.g. Sunyaev-Zeldovich effect. High energy e^- in galaxy clusters distort the CMB.

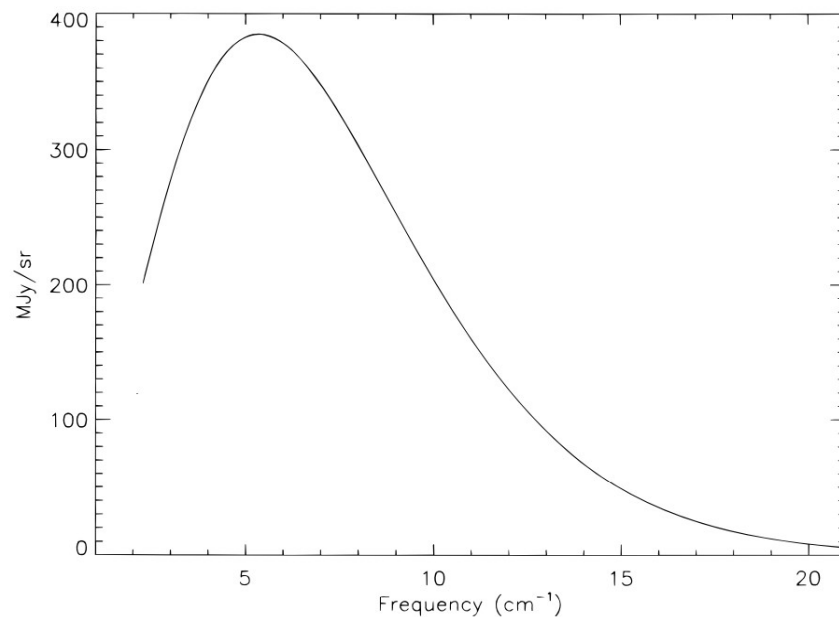
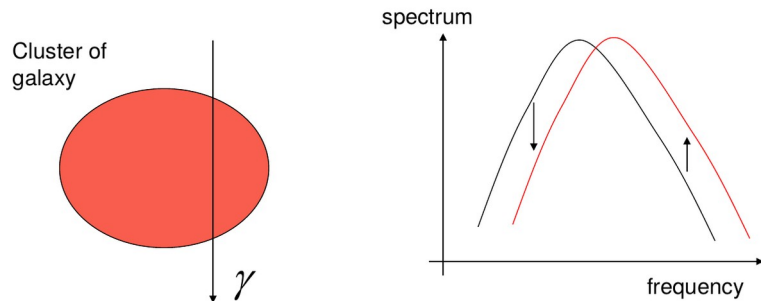
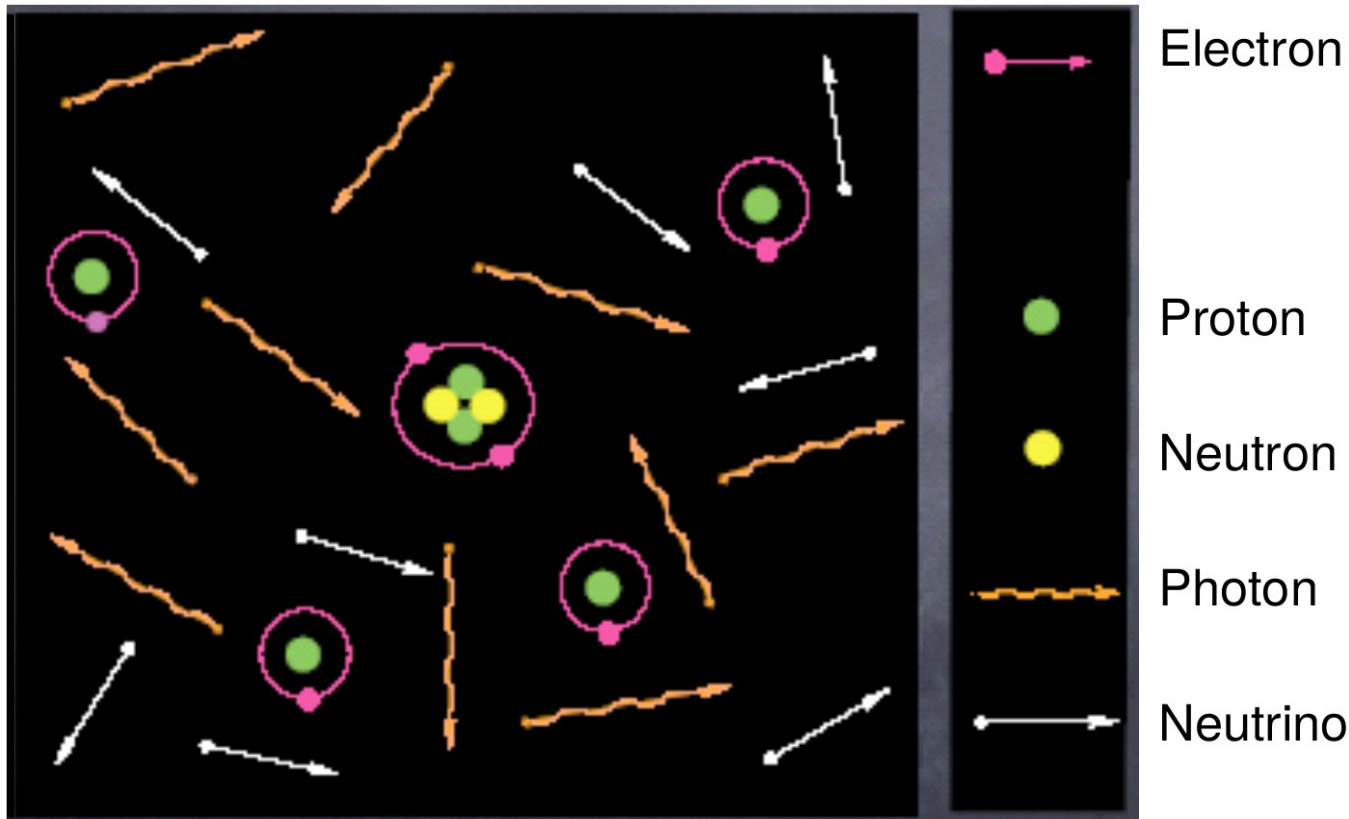


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End of radiation era



This is how the Universe looks like after LSS. Photons and neutrinos are decoupled, and the Universe is now full of light elements. There is probably some *darkness* as well. It turns out that the radiation era had already ended *before last scattering*.

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- At the time of recombination, the universe was dominated by matter... dark matter.

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- **Structure formation is dark**: Matter perturbations grow during MD, but baryons frozen until LSS. Only after LSS, photon pressure becomes negligible and baryon perturbations can grow. CDM does not interact with photons, so it starts growing immediately at equality. After recombination, baryons fall into the potential wells of CDM.



History of the Universe

